
FYP Final Presentation

— Higher-order Debugging and
Logging in OCaml —

OCaml

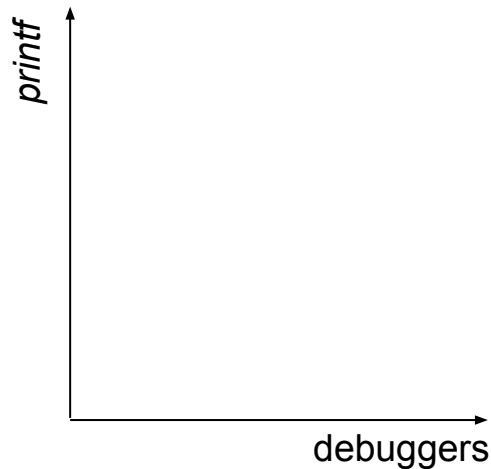
- Multi-paradigm: functional, imperative, object-oriented
- Strong types, type inference
- Parametric polymorphism *and* (structural) subtyping
- Higher-order modules



OCaml

Debugging

- Inspect state at different points of a program
- Two main axes
 - *printf* debugging
 - Function tracing
 - Source-level
 - Use of tools
 - Debuggers, profilers, etc.
 - Bytecode



Problem

- Debugging in OCaml is difficult!
- Strong types impose rigid structure
- Lack of accessible tooling for debugging

printf debugging

- **Ad hoc polymorphism**
- “A polymorphic function can denote a number of distinct and potentially heterogeneous implementations depending on the type of argument(s) to which it is applied...”
- No function overloading, implicit coercions, typeclasses...
- No runtime type information/reflection by default
- Modular implicits (someday)

Problem: no ad hoc polymorphism

- Leads to lots of boilerplate in practice

```
println (string_of_int 1)
```

- *printf* debugging is cumbersome

ocamldebug

- Great features!
 - Time-travel debugging
 - Events and time stamps
 - Module/function/event-level breakpoints
- Strict semantics

Problem: lack of accessible tooling

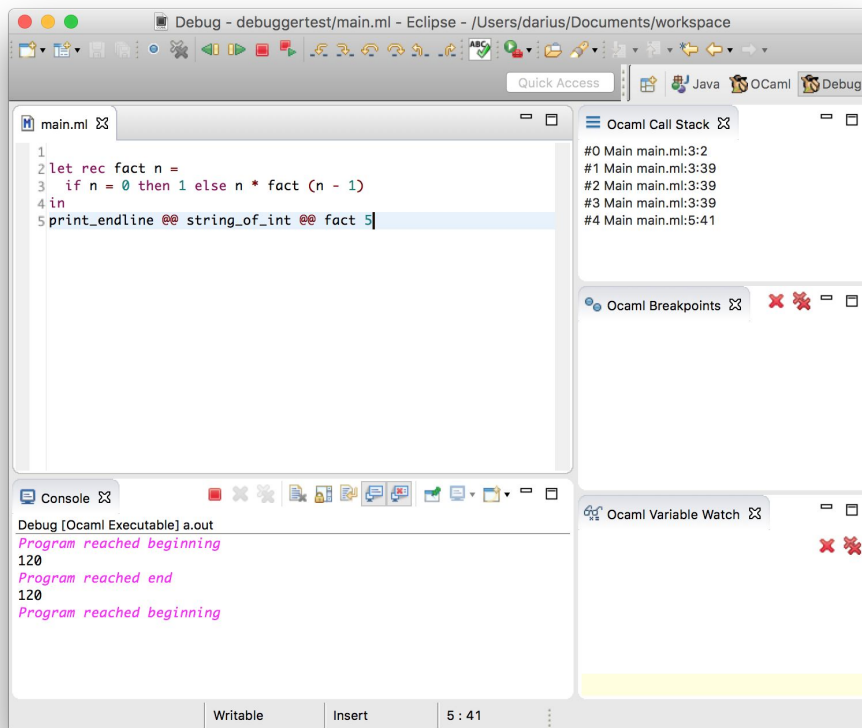
- Command-line interface

```
→ ~/o/debug ocamldebug a.out
    OCaml Debugger version 4.02.3

(ocd) go 21
Loading program... done.
/Users/darius/ocaml/debug/a.out
Time: 21 - pc: 17004 - module Rd
53 Array.iter print_endline Sys.argv<|a|>;
(ocd) next
hello
Time: 26 - pc: 17028 - module Rd
58 print_endline "hello"<|a|>;
(ocd)
world
Time: 31 - pc: 17052 - module Rd
59 print_endline "world"<|a|>;
```

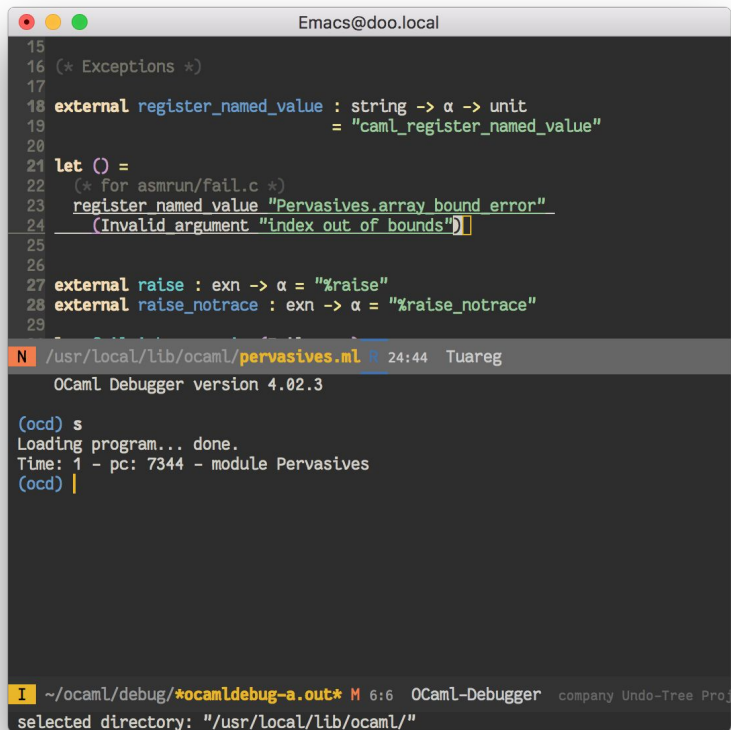

Problem: lack of accessible tooling

- Eclipse frontend



Problem: lack of accessible tooling

- Emacs frontend



The screenshot shows the Emacs editor window titled "Emacs@doo.local". The main editing area contains OCaml code with line numbers 15 through 29. The code defines exceptions and registers values. A cursor is positioned at the end of line 24. Below the code is a status bar showing the file path and line number. At the bottom, the OCaml Debugger interface is visible, showing the command prompt and the current state of the debugger.

```
15
16 (* Exceptions *)
17
18 external register_named_value : string -> α -> unit
19     = "caml_register_named_value"
20
21 let () =
22     (* for asmrn/fail.c *)
23     register_named_value "Pervasives.array_bound_error"
24     (Invalid_argument "index out of bounds")
25
26
27 external raise : exn -> α = "%raise"
28 external raise_notrace : exn -> α = "%raise_notrace"
29
```

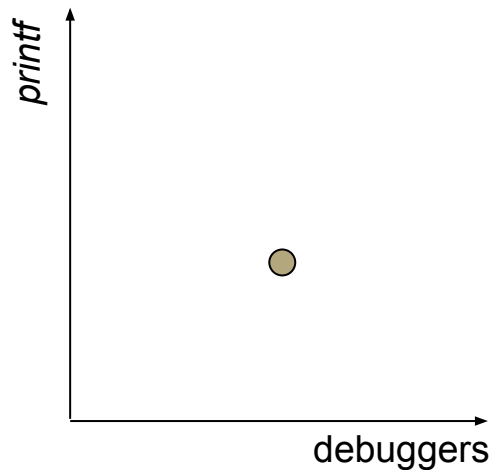
N /usr/local/lib/ocaml/pervasives.ml R 24:44 Tuareg
OCaml Debugger version 4.02.3

(ocd) s
Loading program... done.
Time: 1 - pc: 7344 - module Pervasives
(ocd) |

I ~/ocaml/debug/*ocamldebug-a.out* M 6:6 OCaml-Debugger company Undo-Tree Proj
selected directory: "/usr/local/lib/ocaml/"

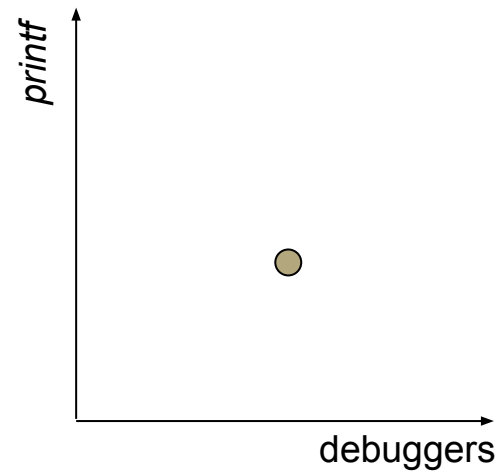
Solutions

- Improve ocamldebug
 - Support tracing-friendly workflow
 - Interface: program's traces
 - Main means of navigation, rather than single-stepping and breakpoints
 - Takes advantage of time-travel
 - Superset of existing functionality
 - Focus on Emacs frontend



Solutions

- Generate code
 - Implement ad hoc polymorphism
 - Generate tracing boilerplate



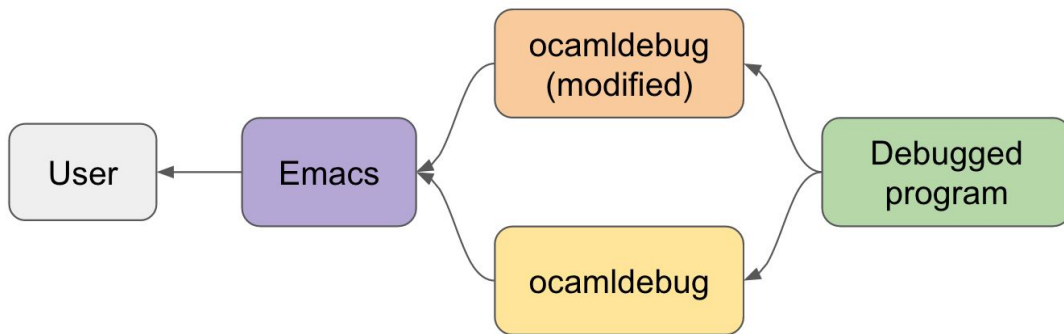
ocamldebug

```
→ ~/o/debug ocamldebug a.out
OCaml Debugger version 4.02.3

(o cd) go 21
Loading program... done.
/Users/darius/ocaml/debug/a.out
Time: 21 - pc: 17004 - module Rd
53 Array.iter print_endline Sys.argv<|a|>;
(o cd) next
hello
Time: 26 - pc: 17028 - module Rd
58 print_endline "hello"<|a|>;
(o cd)
world
Time: 31 - pc: 17052 - module Rd
59 print_endline "world"<|a|>;
```

Initial Architecture

- Separate instance of ocamldebug
 - Modified to single-step continuously
- Use info to control first instance of ocamldebug

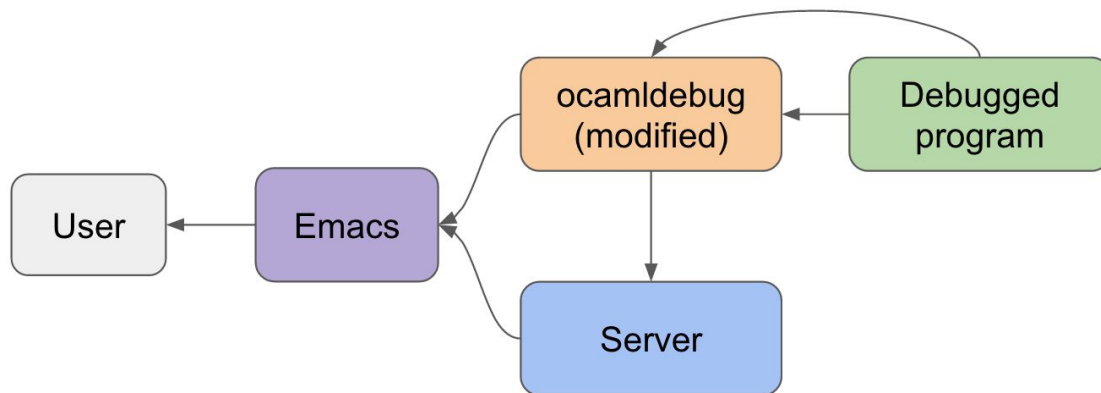


Initial Architecture

- Worked as a first cut, but...
 - Inefficient: two instances of `ocamldebug`
 - Second instance only used to generate log
 - Interface wasn't much improved
 - User input and debugger output interleaved
 - All modifications were compiled directly into debugger

Final Architecture

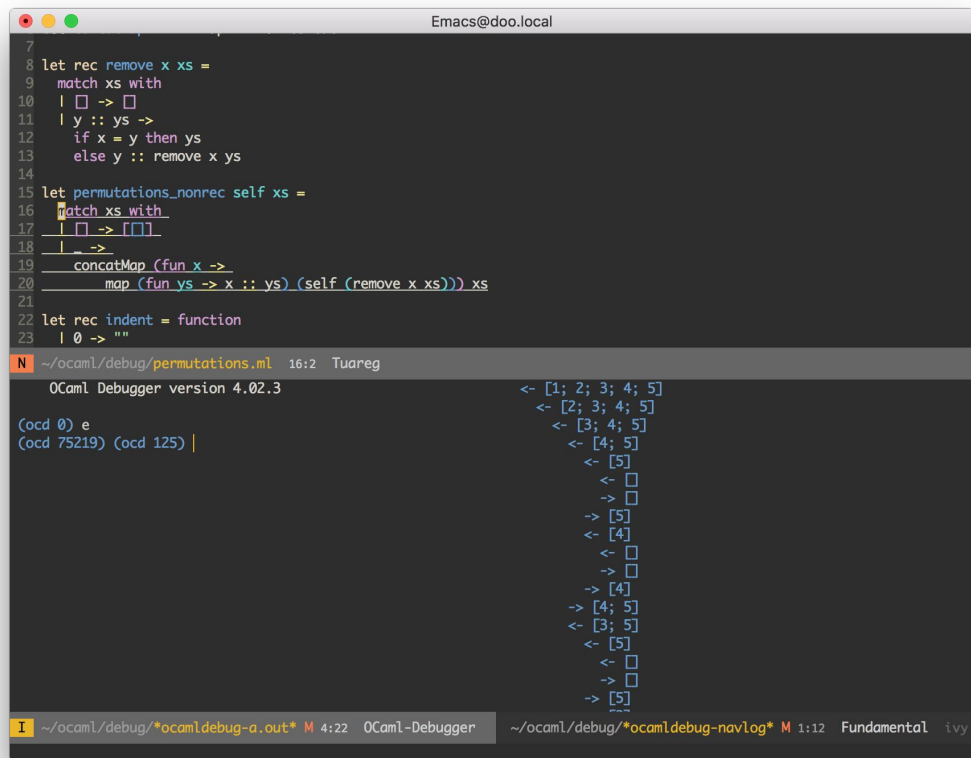
- Intermediate server between ocamldebug and Emacs
 - Buffers, formats, and controls output to Emacs



Final Architecture

- Higher potential for interactivity
- Debugger only modified to send events to server
- Written in OCaml instead of Emacs Lisp

Result



The screenshot shows an Emacs editor window titled "Emacs@doo.local". The main buffer contains OCaml code for a recursive function to remove an element from a list and a function to generate permutations. The code is as follows:

```
7
8 let rec remove x xs =
9   match xs with
10  | [] -> []
11  | y :: ys ->
12    if x = y then ys
13    else y :: remove x ys
14
15 let rec permutations_nonrec self xs =
16   match xs with
17   | [] -> [[]]
18   | _ ->
19     concatMap (fun x ->
20       map (fun ys -> x :: ys) (self (remove x xs))) xs
21
22 let rec indent = function
23   | 0 -> ""
```

The bottom panel shows the Tuareg buffer with the command "N ~/ocaml/debug/permutations.ml 16:2 Tuareg". The OCaml Debugger version 4.02.3 is running. The command "(ocd 0) e" has been executed, resulting in the following output:

```
(ocd 75219) (ocd 125) |
  <- [1; 2; 3; 4; 5]
  <- [2; 3; 4; 5]
  <- [3; 4; 5]
  <- [4; 5]
  <- [5]
  <- []
  -> []
  -> [5]
  <- [4]
  <- []
  -> []
  -> [4]
  -> [4; 5]
  <- [3; 5]
  <- [5]
  <- []
  -> []
  -> [5]
```

The status bar at the bottom shows the current buffer is "~/ocaml/debug/*ocamldebug-a.out*", the cursor is at line 4, column 22, and the current mode is "OCaml-Debugger". Other buffers like "~/ocaml/debug/*ocamldebug-navlog*", "Fundamental", and "ivy" are also visible.

Issues

- Concurrency
 - Debugger: system threads
 - Server: cooperative multitasking; scales transparently
- Synchronisation
 - Mapping output lines to time stamps
- Communication
 - S-expressions
- Augmented client
 - end

Code generation

Problem: lack of ad hoc polymorphism

```
let f : int list -> string option =  
  fun n -> ...
```

Problem: lack of ad hoc polymorphism

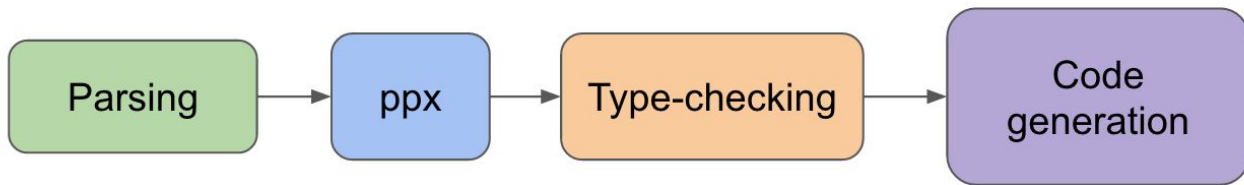
```
let f : int list -> string option =  
  let pr_arg = string_of_list string_of_int in  
  let pr_result = string_of_option id in  
  Debug.no_1 "f" pr_arg pr_result f a
```

Boilerplate

- Potential for copy-paste bugs and inconsistencies
- Tedious and boring to write; breaks flow
- Clutters code

Solution: syntactic metaprogramming

- Code generation with ppx
 - Compile-time preprocessing of the AST
 - Enables us to transform code in various ways
 - Untyped by default



Goals

Figure out parameter types

```
let fact : int list -> string option =
```

```
  let pr_arg = string_of_list string_of_int in
```

```
  let pr_result = string_of_option id in
```

```
  Debug.no_1 "fact" pr_arg pr_result fact a
```

Provide a means of customisation

Generate
function
boilerplate

Goal: figuring out parameter types

- Ad hoc polymorphism (i.e. overloading)

```
show 1
```

```
=> 1
```

```
show (true, "true")
```

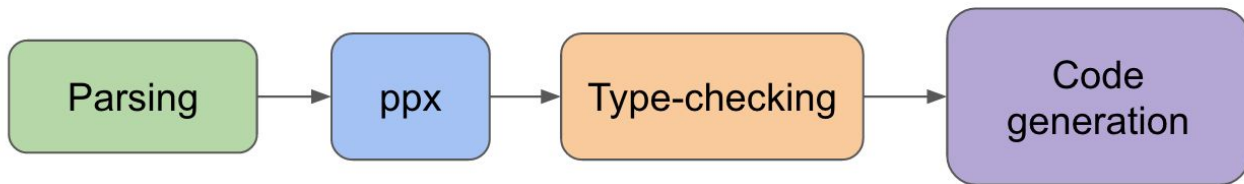
```
=> (true, "true")
```

Goal: ad hoc polymorphism

- Different methods
 - Overloading
 - Closed (SML)
 - Open (F#)
 - Typeclasses (Haskell)
- Our method: SML overloading → typeclasses
- Extending OCaml
 - Compiler extension
 - Typed ppx transformation

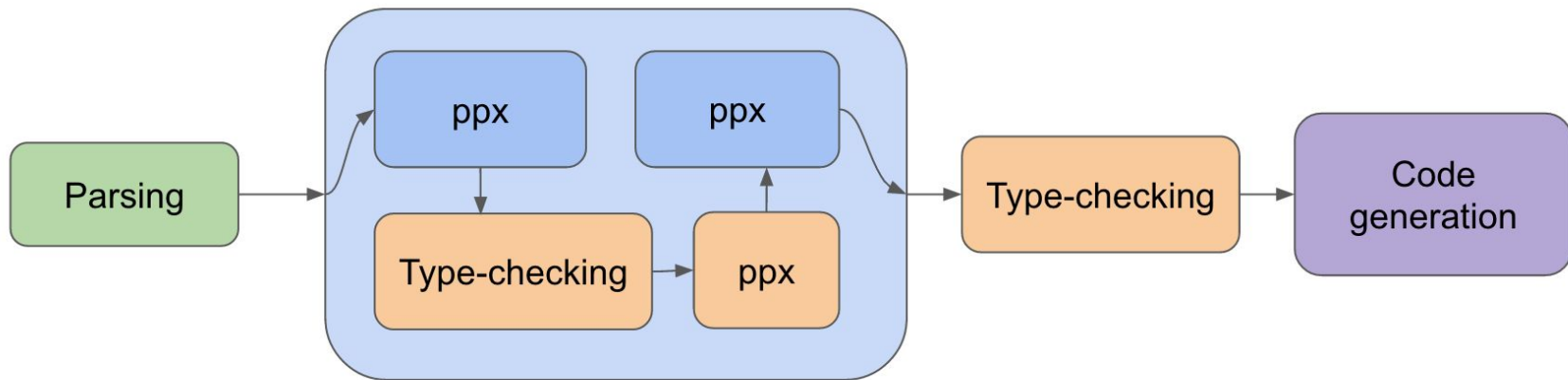
Goal: ad hoc polymorphism

- Traditional (untyped) ppx process



Goal: ad hoc polymorphism

- Augmented (typed) ppx process



Goal: ad hoc polymorphism

- Monomorphic versions of functions are inserted

```
show 1
```

```
Format.sprintf "%d" 1
```

Goal: ad hoc polymorphism

- Monomorphic versions of functions are inserted

```
show (true, "true")
```

```
Format.asprintf "%a"
```

```
(PolyPrint.Printers.pp_tuple Format.pp_print_bool  
  Format.pp_print_string) (true, "true")
```

Goal: ad hoc polymorphism

- Functions in higher-order contexts?

```
show : 'a -> string
```

```
let apply printer value = printer value
```

```
apply show 1
```

show is an identifier, and its
inferred type is polymorphic:
`'a -> string`

Goal: ad hoc polymorphism

- Solution: eta abstraction

```
show : 'a -> string
```

```
let apply printer value = printer value
```

```
apply (fun x -> show x) 1
```

show is applied to a monomorphic
type variable, and its inferred type is
now: `int -> string`

Goal: ad hoc polymorphism

- Abstract data types?

```
(* User-defined *)  
module M : sig  
  type t  
  val thing : t  
  val pp_t : Formatter -> t -> string  
end = ...
```

Goal: ad hoc polymorphism

- Solution: follow conventions


```
show M.thing
```

```
Format.asprintf "%a" M.pp_t M.thing
```

Limitations: ad hoc polymorphism

- We can't implement `show : 'a. 'a -> string` meaningfully without constraints on 'a

```
let stringify x =  
  show x
```



Has type 'a

```
stringify 1  
=> <polymorphic>
```

Limitations: ad hoc polymorphism

- We can't implement `show : 'a. 'a -> string` meaningfully without constraints on 'a
- Can access compiler internals, but cannot modify behaviour
- Shortcoming of our implementation
- Hypothetical type-checker extension

Proposal: ad hoc polymorphism

- *Lifting* transform
 - Abstract over function implementations
 - Conceptually, propagate information from call sites
 - A variety of use cases
 - Abstracting over recursive calls (more on this later)
 - Generalising implementations
 - Polymorphism

Proposal: ad hoc polymorphism

- *Lifting* transform
 - Predicate to figure out which functions are eligible
 - Inductively defined
 - Annotated function arrow

$$\frac{\text{let } f = \text{fun } x \rightarrow y : T_x \rightarrow T_y, p(y)}{\text{let } f = \text{fun } s \ x \rightarrow y[a \mapsto s] : T_a \rightarrow^a T_x \rightarrow T_y} [def]$$

let stringify x =
 show x



let stringify s x =
 s x

Proposal: ad hoc polymorphism

- *Lifting* transform
 - Function of call site environment
 - Insert missing parameter
 - Remove annotated arrow

$$\frac{(a : T_a \rightarrow^a T_x \rightarrow T_y)(x : T_x), f(\Gamma) : T_a}{(a \ f(\Gamma) : T_x \rightarrow T_y)(x : T_x) : T_y} [use]$$

`stringify x`



`stringify show x`

Goals

Figure out parameter types

```
let fact : int list -> string option =  
  let pr_arg = string_of_list string_of_int in  
  let pr_result = string_of_option id in  
  Debug.no_1 "fact" pr_arg pr_result fact a
```

Generate
function
boilerplate

Goal: generating function boilerplate

- ppx annotations

```
let plus x y = x + y  
  [@@trace]
```

```
(* Conceptual implementation *)  
let print x = show x |> print_endline
```

Goal: generating function boilerplate

- Tracing
 - Non-recursively (topmost call only) `[@@trace]`
 - Recursively (all calls) `[@@tracerec]`

Goal: generating function boilerplate

- Tracing non-recursively

Print parameters

```
let plus x y =  
  print x; print y;  
  let result = x + y in  
  print result;  
  result
```

Print result

Goal: generating function boilerplate

- Tracing recursively

```
let rec fact n =  
  if n = 0 then 1 else n * fact (n - 1)  
  [@@tracerec]
```

Goal: generating function boilerplate

- Tracing recursively
 - Make function non-recursive by abstracting over recursive call
 - Take the fixed point of the function ('tying the knot')
 - Add instrumentation

Goal: generating function boilerplate

- Tracing recursively

```
let fact n =  
  let fact_original self n =  
    if n = 0 then 1 else n * self (n - 1) in  
  let rec aux n =  
    print n;  
    let result = fact_original aux in  
    print result;  
    result in  
  aux n
```

Abstract over recursive call
(function is no longer recursive)

Preserve original function

Take the fixed point of
fact_original ('tie the knot')

Print parameters and result

Goals

Figure out parameter types

```
let fact : int list -> string option =
```

```
  let pr_arg = string_of_list string_of_int in
```

```
  let pr_result = string_of_option id in
```

```
  Debug.no_1 "fact" pr_arg pr_result fact a
```

Provide a means of customisation

Generate
function
boilerplate

Goal: customisable tracing

- Generalising...

```
let plus x y = x + y
```

```
[@@trace Config]
```

Allow customisation

Goal: customisable tracing

- Generalising...

```
let plus x y =  
  let plus_original x y = x + y in  
  Config.run2 "plus"  
    ("x", show x, x)  
    ("y", show y, y)  
    show plus_original
```

Wrap function call

Augment information available

show called in higher-order context

Goal: customisable tracing

- Configuration module

```
[@@trace Default]
```

```
fact <- n = 3
```

```
fact -> 6
```

Goal: customisable tracing

- Configuration module

```
[@@tracerec Default]
```

```
fact <- n = 3
```

```
fact <- n = 2
```

```
fact <- n = 1
```

```
fact <- n = 0
```

```
fact -> 1
```

```
fact -> 1
```

```
fact -> 2
```

```
fact -> 6
```

Goal: customisable tracing

- Configuration module

```
[@@tracerec Recursive]
```

```
fact <- n = 3  
  fact <- n = 2  
    fact <- n = 1  
      fact <- n = 0  
        fact -> 1  
      fact -> 1  
    fact -> 2  
  fact -> 6
```

Goal: customisable tracing

- Configuration module

```
[@@tracerec Minimal]
```

```
fact 3
  fact 2
    fact 1
      fact 0
        = 1
      = 1
    = 2
  = 6
```

Goal: customisable tracing

- DSL for annotations

```
[@@trace Config]
```

```
[@@trace Config; x, y]
```

```
[@@trace Config; x { printer = string_of_int }, y]
```

Additional features

- Call site wrapping
 - Make call site information available to traced functions
 - If a function is traced, corresponding function calls should be wrapped

```
let rec fact n = ...
```

```
  [@@tracerec]
```

```
fact 5
```



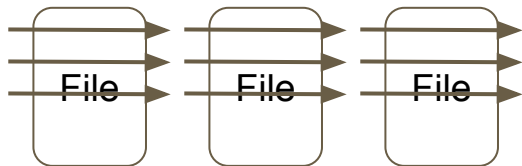
```
(PolyPrint.DefaultTraceConfig.call11  
  "fact" (__FILE__, __LINE__) fact 5)
```


Difficulties: wrapping of call sites

- How to identify call sites?
 - Higher-order language (aliasing; name not sufficient)
 - Partial application
 - Across modules
- Idea: propagate information via types
 - Can only access, not modify compiler internals
- Our implementation
 - Use (local function name, arity, type) triple
 - Assume uniqueness
 - Use first application
- Hypothetical compiler extension

Maintaining state across ppx invocations

- ppx invocations are independent by design
 - Compilation units are processed modularly and independently



Multiple partial passes
over one input file at a
time

Difficulties: persisting ppx environment

- Function definitions seen previously must be remembered!
 - Call sites come first in a topological ordering
- Idea: use interface (mli/cmi) file
 - Limited potential to change compiler with ppx
- Our implementation: persist ppx environment to a file
 - Parallel builds (races vs reduced parallelism)
 - Incremental compilation (staleness, no way to invalidate state)
- Hypothetical extension...

Proposal: wrapping of call sites

- *Wrapping* transform
 - Carry function's traced status in types (persisted to mli/cmi)
 - Starred function arrow

$$\frac{\text{let } f = \text{fun } x \rightarrow y : T_x \rightarrow T_y \text{ } [@@\text{trace}]}{\text{let } f = \text{fun } x \rightarrow y : T_x \rightarrow^* T_y} [trace]$$

Proposal: wrapping of call sites

- *Wrapping* transform
 - Traced functions need to be wrapped to lose their starred arrow
 - Distinguish partial and saturated application (control call site used)

$$\frac{(a : T_1 \rightarrow^* T_2 \rightarrow \dots \rightarrow T_n \rightarrow T_r)(b_1 : T_1) \dots (b_n : T_n)}{call_n a b_1 \dots b_n : T_r} [call_n(saturated)]$$

$$\frac{(a : T_1 \rightarrow^* T_2 \rightarrow \dots \rightarrow T_n \rightarrow T_r)(b_1 : T_1) \dots (b_m : T_m), m < n}{fun b_{m+1} \dots b_n \rightarrow call_n a b_1 \dots b_n : T_r} [call_n(partial)]$$

Proposal: wrapping of call sites

- *Wrapping* transform
 - Solves both problems
 - Types are persisted in cmi/mli
 - Types allow us to identify traced functions
 - Starred arrow can be extended with additional information

Issues

- Integration with wider ecosystem
 - Bugs discovered and reported in compiler, Merlin, ocamlbuild, ocamlfind, libraries, preprocessors, testing framework...
- Limitations of typed ppx transformations
 - Fixed position in the pipeline
 - Part of the build system

Summary

- Typed ppx transformations are interesting
 - Compiler extensions as libraries
 - Lots of power
- ... and a bit idiosyncratic
 - Limitations due to design and being part of build system
 - Don't work in the top level
 - Application order of build tools matters
 - Dependent on compiler version

Summary

- Improvements to ocaml debugging
 - Debugger extensions
 - Ad hoc polymorphism
 - Boilerplate generation

Integration and future work

- Code generation and online debugging do not interoperate seamlessly
 - Generated ASTs don't exist in source code
- Solutions
 - Investigate bytecode format
 - Restore debugging information

Thank you!

Q&A