

# Dependency Grammar

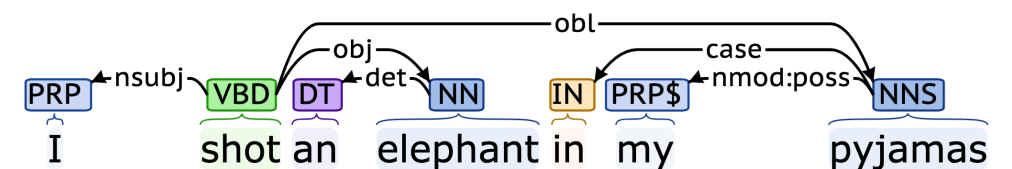
COMP90042

Natural Language Processing

Lecture 16



THE UNIVERSITY OF  
MELBOURNE



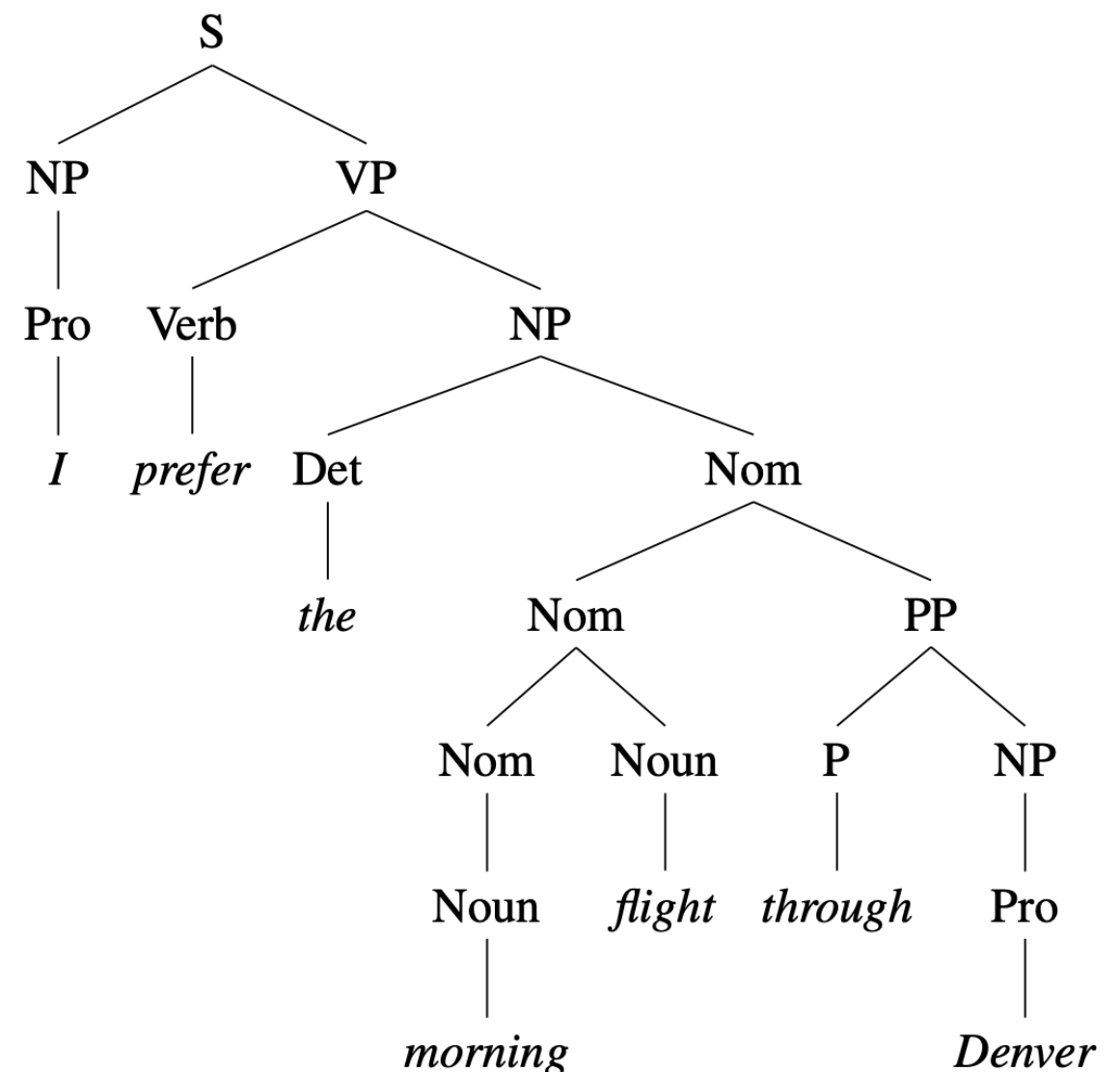
# Correction on Lecture 13, Page 8

## Regular Languages

- Regular language: the simplest class of languages
- Any **regular expression** is a regular language
- ~~The regular expression itself is the **grammar**~~
  - ▶ Evaluates whether a string is part of the language

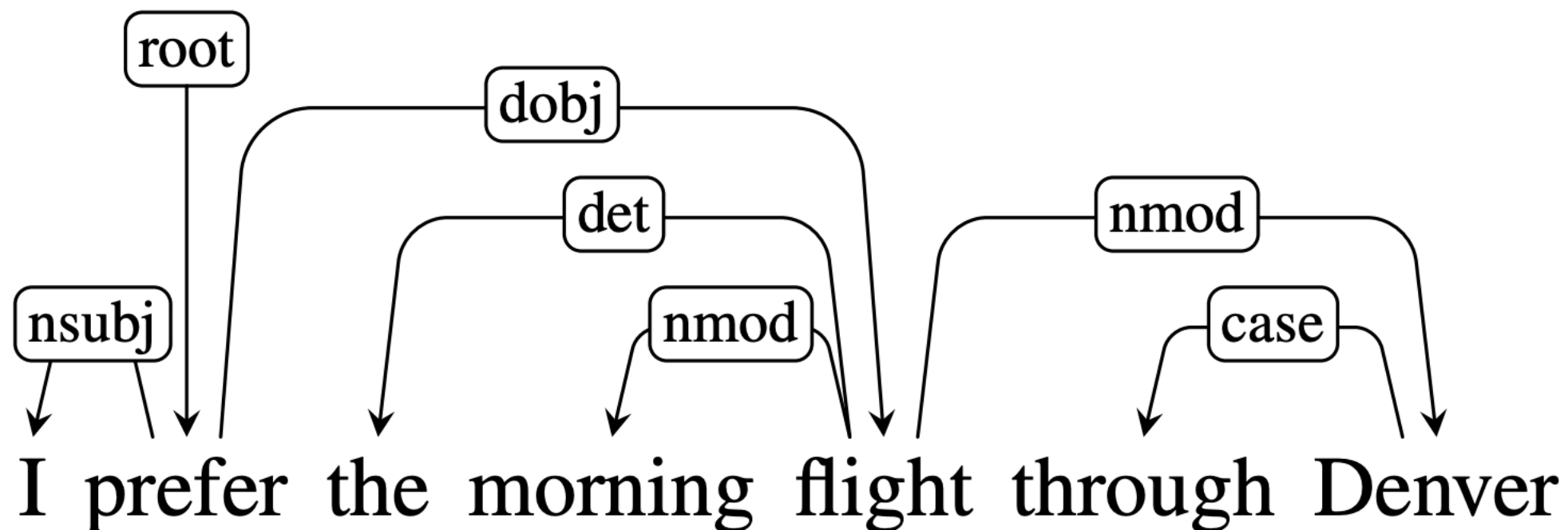
# Context-Free Grammars (Recap)

- CFGs assume a constituency tree which identifies the **phrases** in a sentence
  - based on idea that these phrases are interchangeable (e.g., swap an NP for another NP) and maintain grammaticality



# Dependency Grammars

- Dependency grammar offers a simpler approach
  - ▶ describe **relations** between pairs of words
  - ▶ namely, between **heads** and **dependents**
  - ▶ e.g. (*prefer*, *dobj*, *flight*)



# Why?

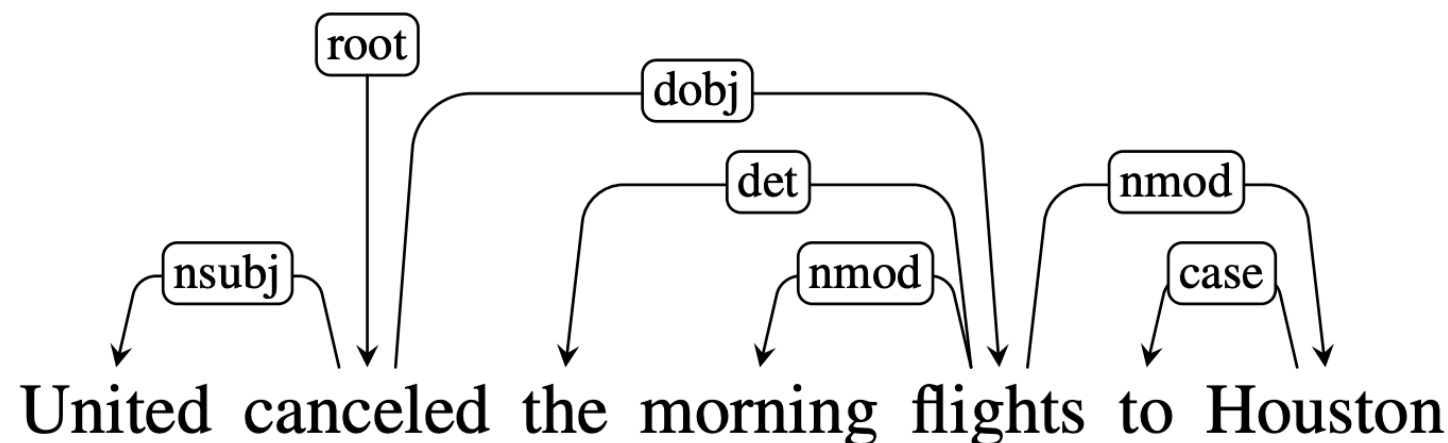
- Deal better with languages that are morphologically rich and have a relatively free word order
  - ▶ CFG need a separate rule for each possible place a phrase can occur in
- Head-dependent relations similar to semantic relations between words
  - ▶ More useful for applications: coreference resolution, information extraction, etc

# Dependency Relations

- Captures the grammatical relation between a head and a dependent
- Head = central word
- Dependent = supporting word
- Grammatical relation = subject, direct object, etc
- Many dependency theories and taxonomies proposed for different languages
- **Universal Dependency**: a framework to create a set of dependency relations that are computationally useful and **cross-lingual**

# Universal Dependency

Clausal Argument Relations	Description
NSUBJ	Nominal subject
DOBJ	Direct object
IOBJ	Indirect object
CCOMP	Clausal complement
XCOMP	Open clausal complement
Nominal Modifier Relations	Description
NMOD	Nominal modifier
AMOD	Adjectival modifier
NUMMOD	Numeric modifier
APPOS	Appositional modifier
DET	Determiner
CASE	Prepositions, postpositions and other case markers
Other Notable Relations	Description
CONJ	Conjunct
CC	Coordinating conjunction



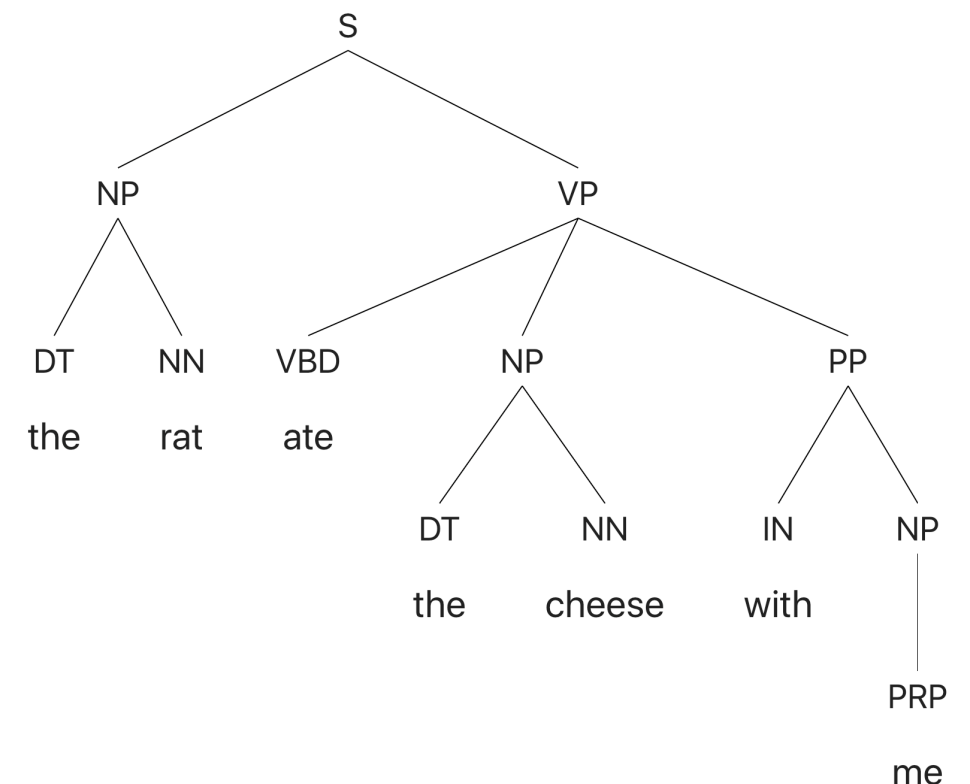
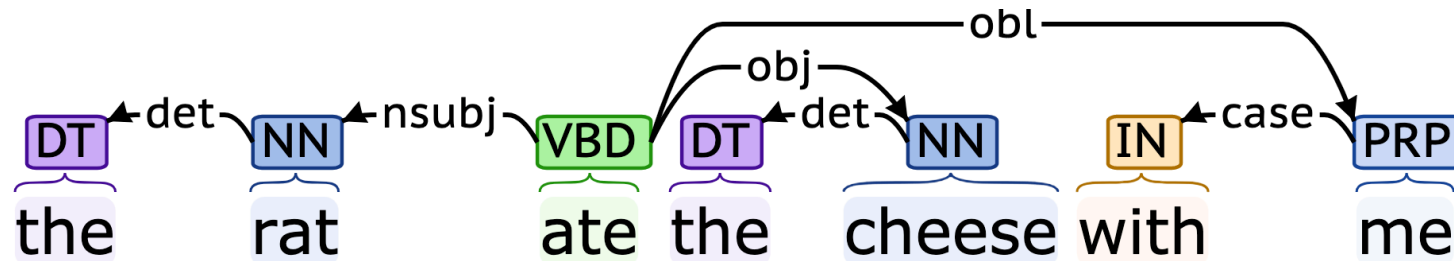
# More Examples

Relation	Examples with <i>head</i> and <b>dependent</b>
NSUBJ	<b>United</b> <i>canceled</i> the flight.
DOBJ	United <i>diverted</i> the <b>flight</b> to Reno. We <i>booked</i> her the first <b>flight</b> to Miami.
IOBJ	We <i>booked</i> <b>her</b> the flight to Miami.
NMOD	We took the <b>morning</b> <i>flight</i> .
AMOD	Book the <b>cheapest</b> <i>flight</i> .
NUMMOD	Before the storm JetBlue canceled <b>1000</b> <i>flights</i> .
APPOS	<i>United</i> , a <b>unit</b> of UAL, matched the fares.
DET	<b>The</b> <i>flight</i> was canceled. <b>Which</b> <i>flight</i> was delayed?
CONJ	We <i>flew</i> to Denver and <b>drove</b> to Steamboat.
CC	We flew to Denver <b>and</b> <i>drove</i> to Steamboat.
CASE	Book the flight <b>through</b> <i>Houston</i> .



# Question Answering

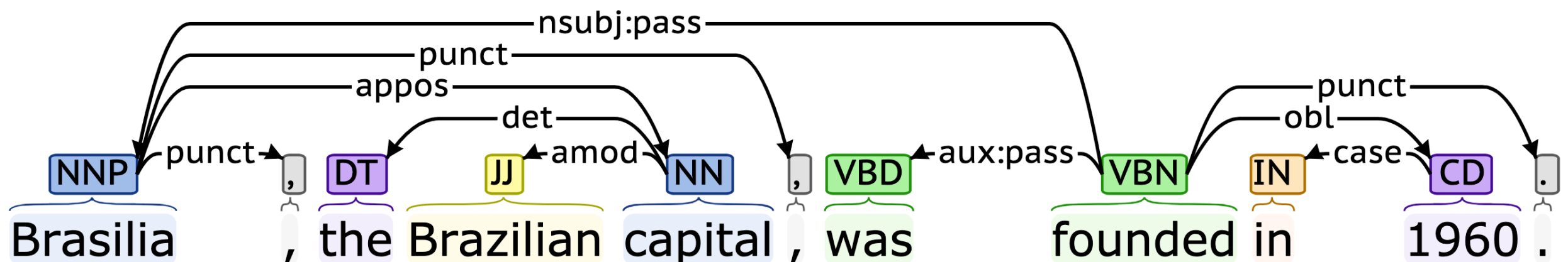
- Dependency tree more directly represents the core of the sentence: *who did what to whom?*
  - ▶ captured by the links incident on verb nodes



- ▶ more minor details are buried deeper in the tree (e.g., adjectives, determiners etc)

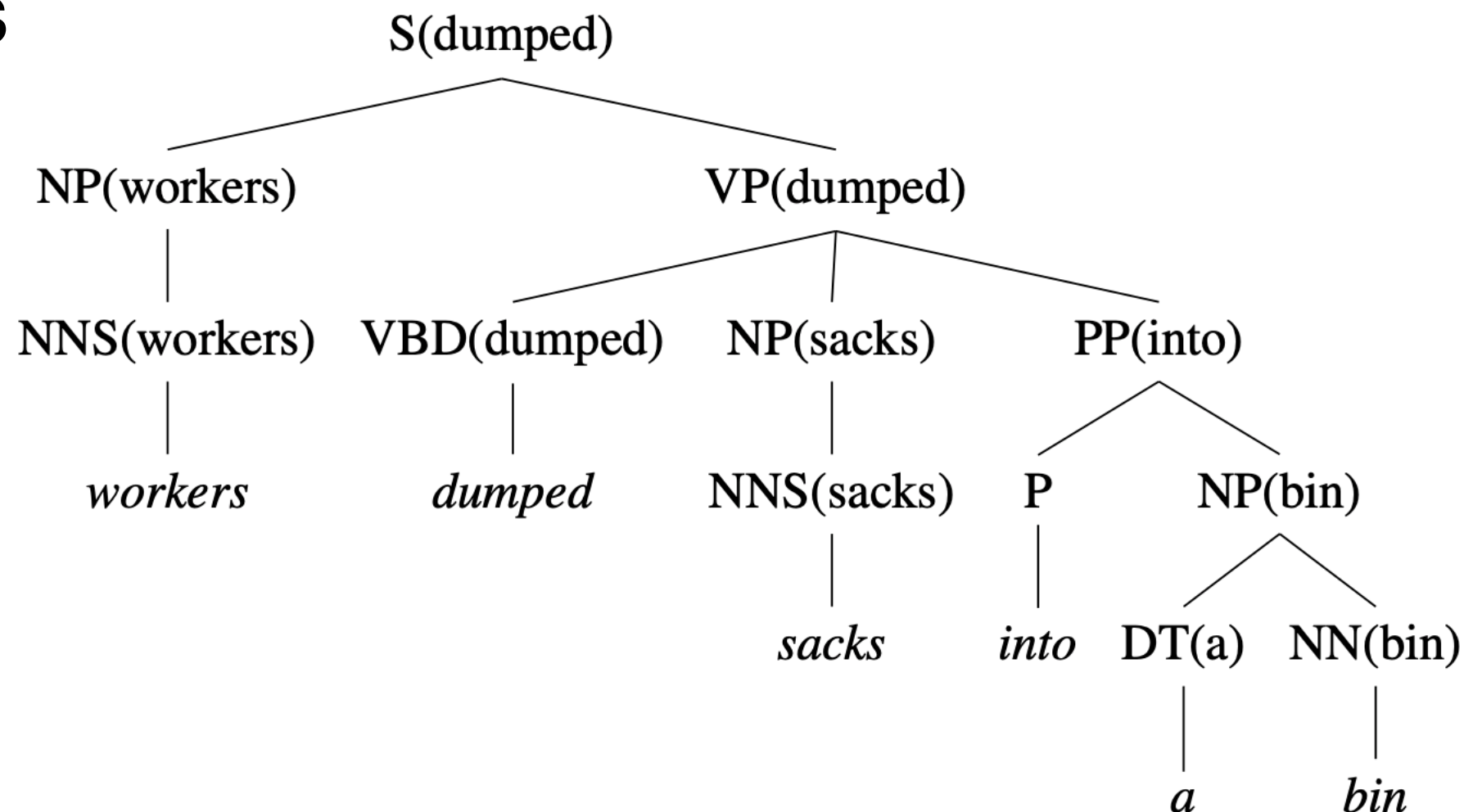
# Information Extraction

- “Brasilia, the Brazilian capital, was founded in 1960.”
  - capital(Brazil, Brasilia)
  - founded(Brasilia, 1960)
- Dependency tree captures relations succinctly



# What about CFGs

- Constituency trees can also provide similar information
- But it requires some distilling using head-finding rules



# Dependency vs Constituency

- Dependency tree
  - ▶ each node is a word token
  - ▶ one node is chosen as the root
  - ▶ directed edges link heads and their dependents
- Constituency tree
  - ▶ forms a hierarchical tree
  - ▶ word tokens are the leaves
  - ▶ internal nodes are 'constituent phrases' e.g., NP, VP etc
- Both use part-of-speech

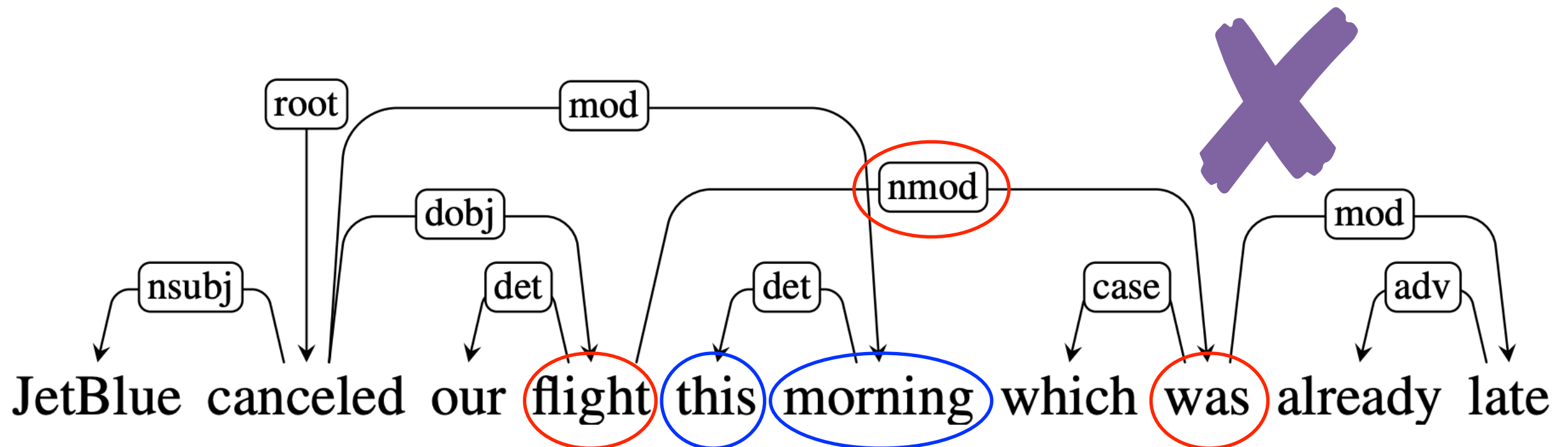
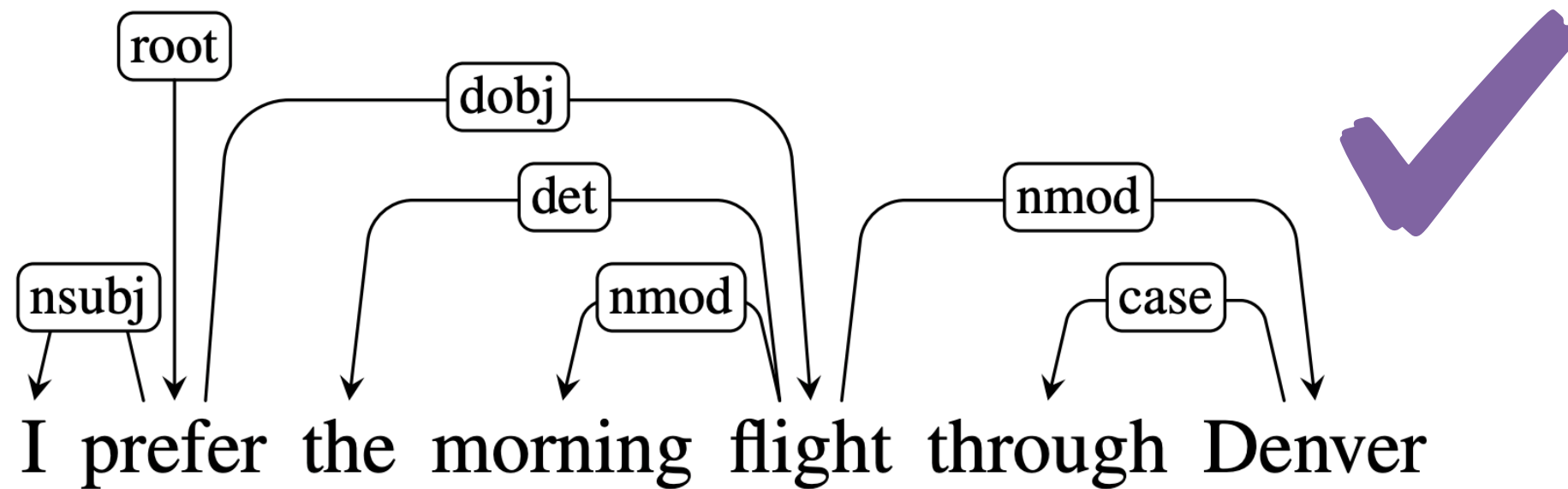
# Properties of a Dependency Tree

- Each word has a single head (parent)
- There is a single root node
- There is a unique path to each word from the root
- All arcs are **projective**
  - ▶ Transition-based parsers can only produce projective trees

# Projectivity

- An arc is projective if there is a path from head to every word that lies between the head and the dependent
- A dependency tree is projective if all arcs are projective
- That is, a dependency tree is projective if it can be drawn with no **crossing edges**
- Most sentences are projective, however exceptions exist
  - Common in languages with flexible word order

# Projectivity



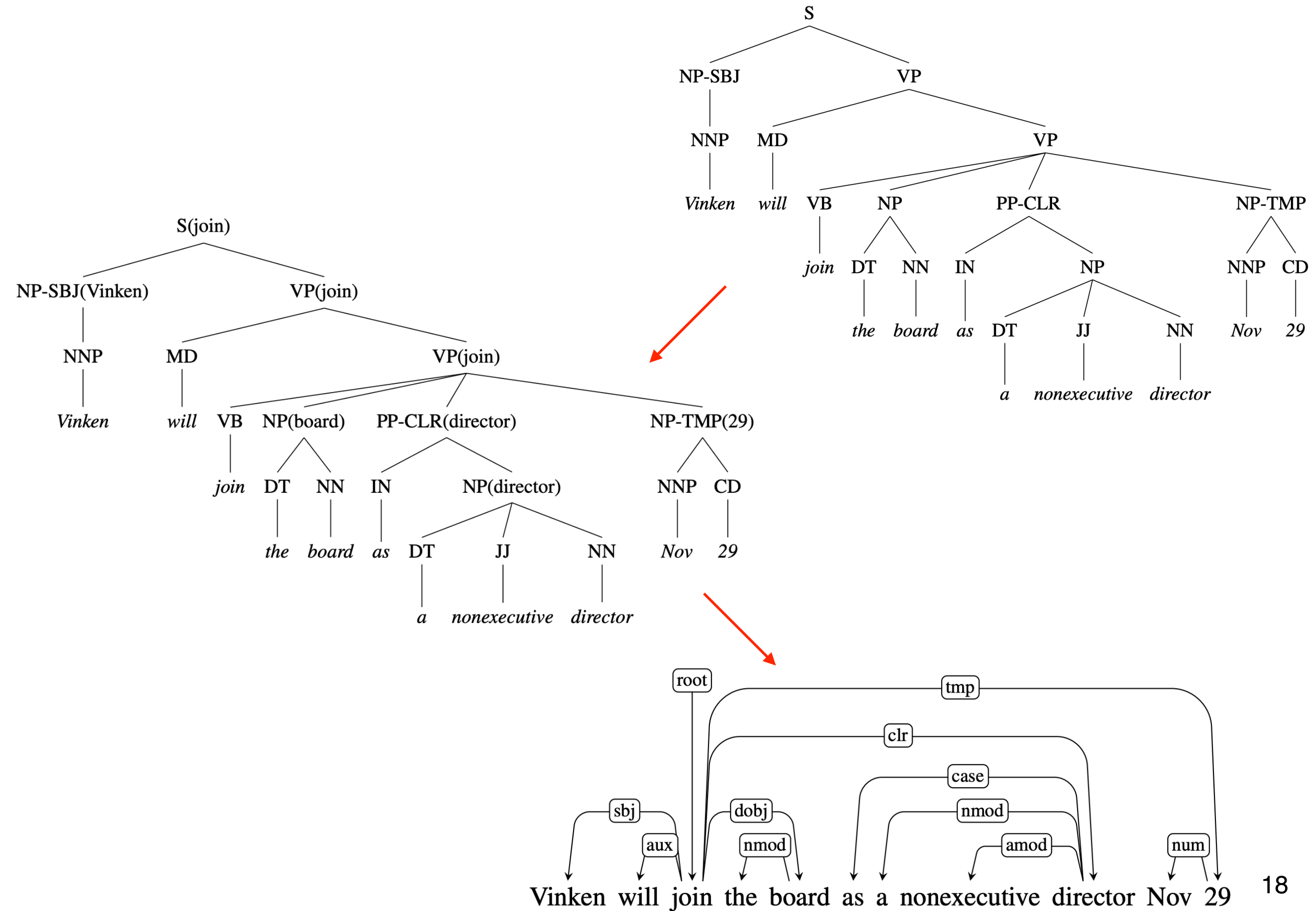
# Dependency Treebanks

- A few dependency treebanks
  - ▶ Czech, Arabic, Danish, Dutch, Greek, Turkish ...
- More recently, **Universal Dependency Treebank**
  - ▶ collates >100 treebanks, >60 languages
  - ▶ unified part-of-speech, morphology labels, relation types
  - ▶ consistent handling of conjunctions and other tricky cases
- <http://universaldependencies.org/>



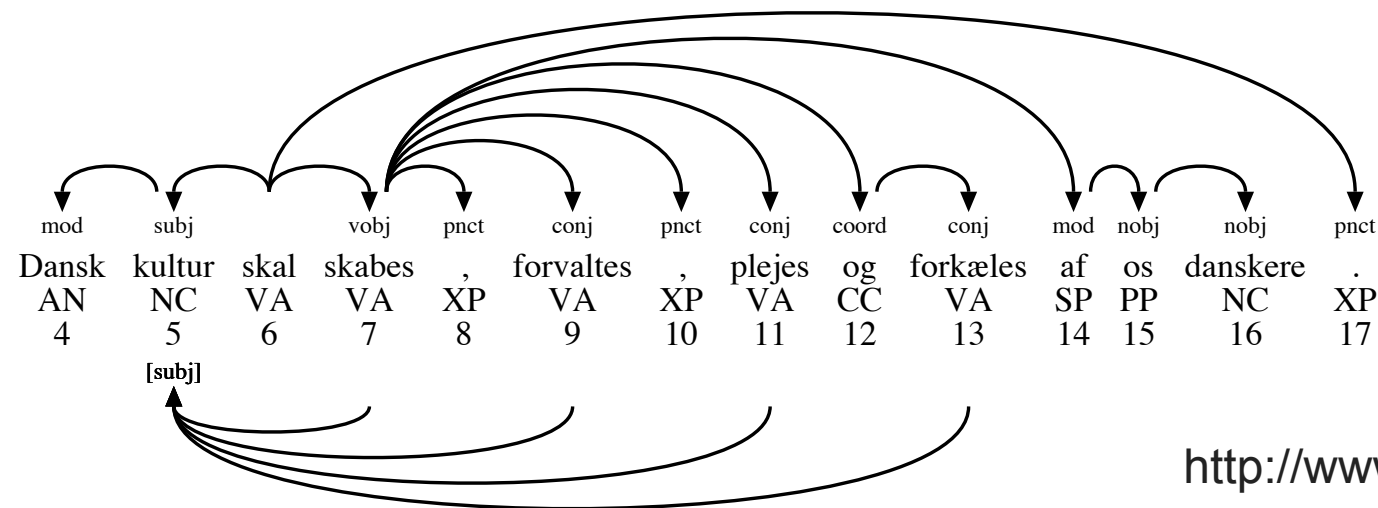
# Treebank Conversion

- Many constituency treebanks; some can be automatically converted into dependencies
- Dependency trees generated from constituency trees are always projective
- Main idea: identify head-dependent relations in constituency structure and the corresponding dependency relations
  - ▶ Use various heuristics, e.g., head-finding rules
  - ▶ often with manual correction



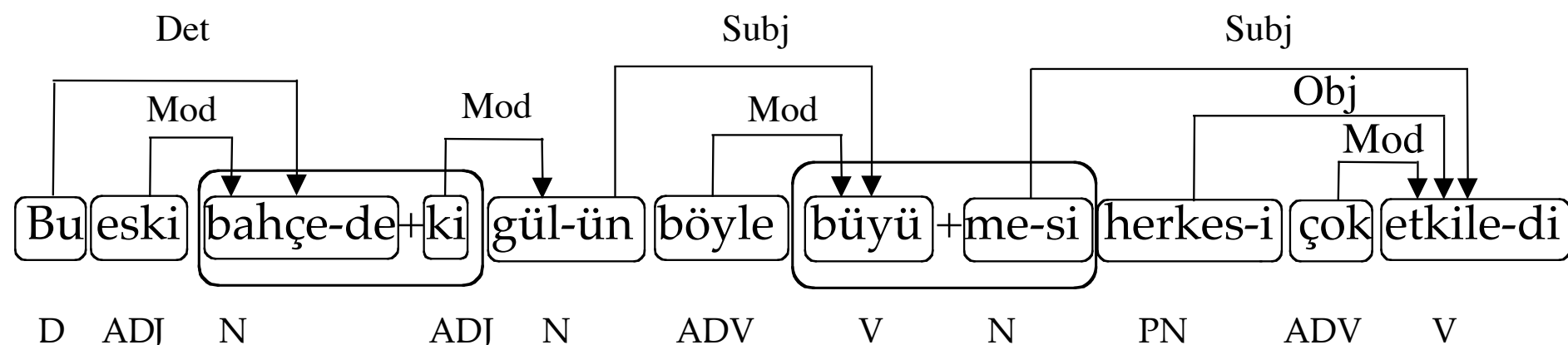
# Examples From Treebanks

- Danish DDT includes additional 'subject' link for verbs



<http://www.buch-kromann.dk/matthias/ddt1.0/>

- METU-Sabancı Turkish treebank
  - edges between morphological units, not just words



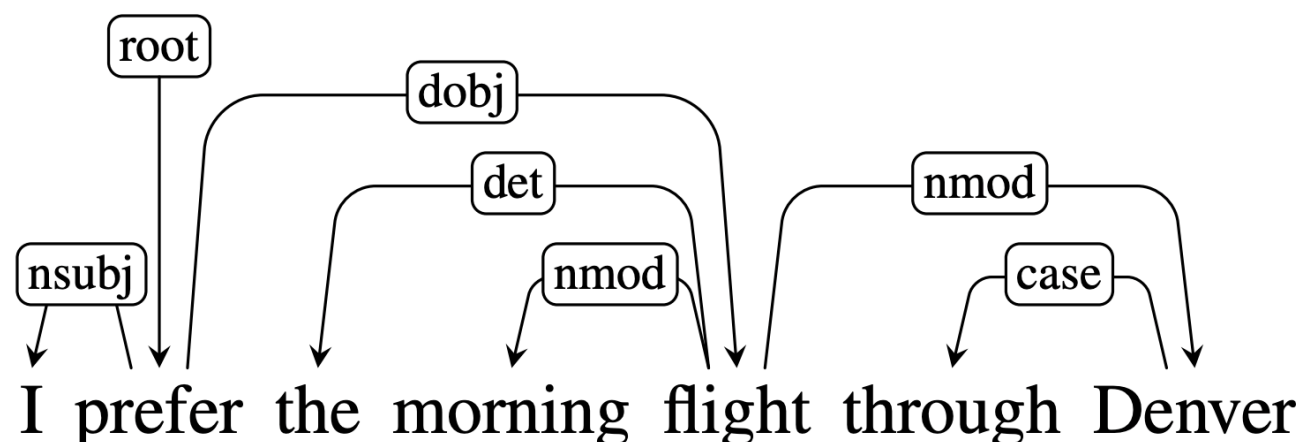
# Parsing

# Dependency Parsing

- Parsing: task of finding the *best* structure for a given input sentence
  - ▶  $\operatorname{argmax}_t \operatorname{score}(t \mid w)$
- Two main approaches:
  - ▶ **transition-based**: treats problem as incremental sequence of decisions over next action in a state machine
  - ▶ **graph-based**: encodes problem using nodes/edges and use graph theory methods to find optimal solutions

# Transition-Based Parsing: Intuition

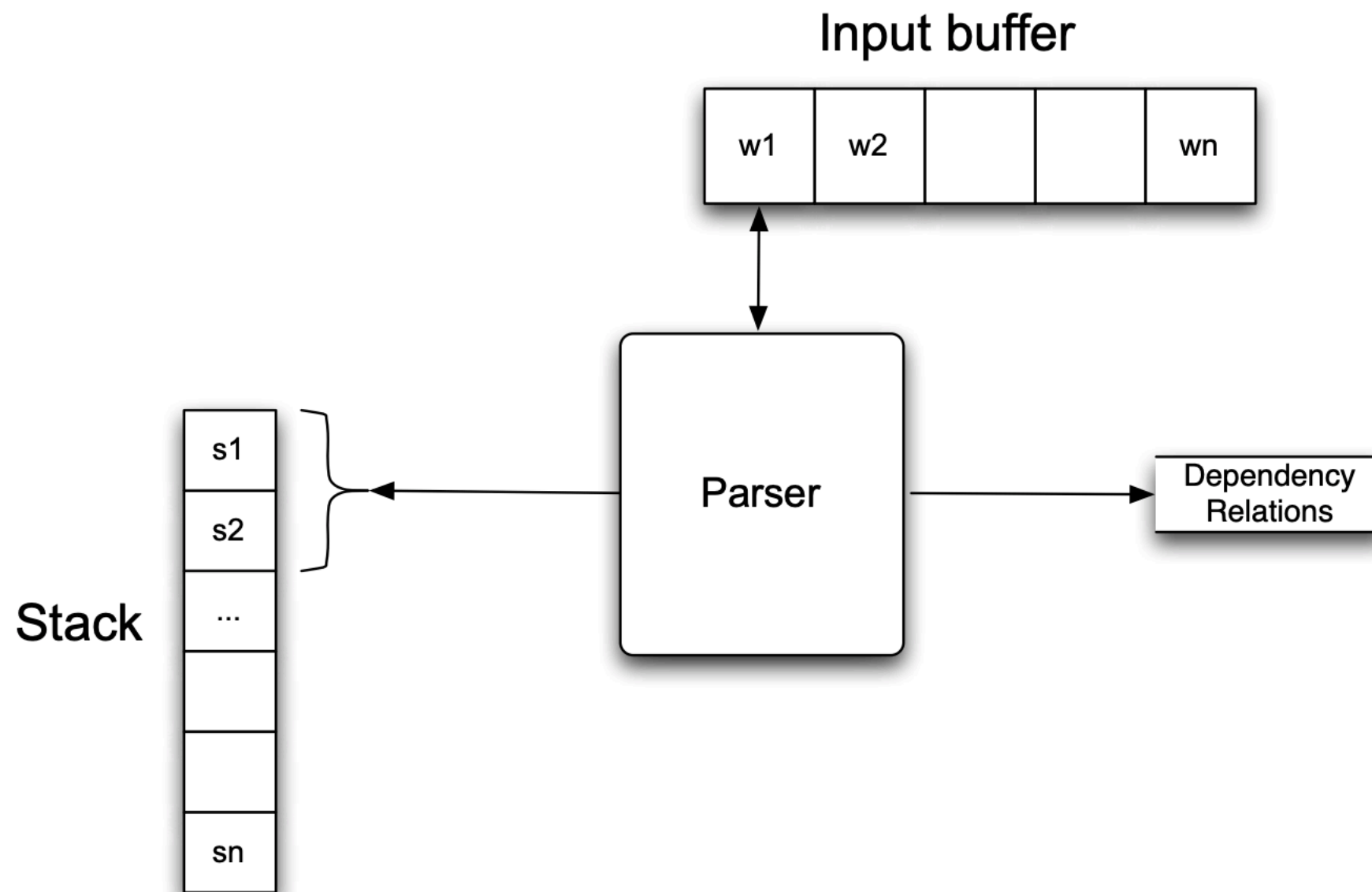
- Examine the words in a single pass from left to right
- At each step, perform one of the following actions:
  - ▶ Assign current word as head of some previously seen words [**left arc**]
  - ▶ Assign some previously seen words as head of current word [**right arc**]
  - ▶ Don't do anything, store it and move to next step [**shift**]



# Transition-Based Parsing

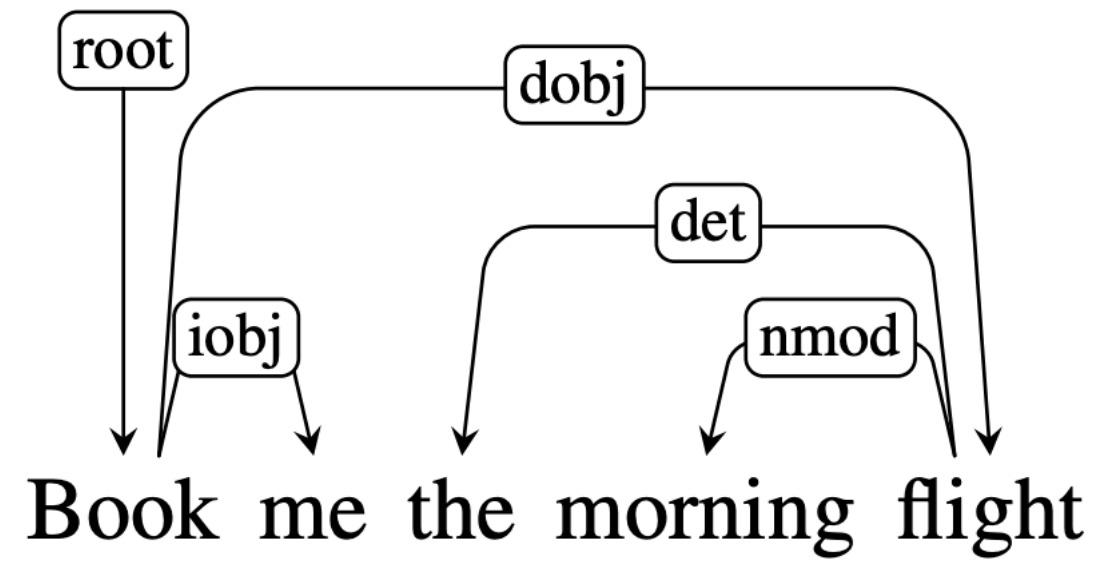
- Transition-based parsers can only produce projective trees
- Frames parsing as sequence of transitions
  - ▶ maintain two data structures
    - *buffer*: input words yet to be processed
    - *stack*: head words currently being processed
  - ▶ two types of transitions
    - *shift*: move word from buffer to top of stack
    - *arc*: add arc (left/right) between top two items on stack, and *remove* dependent from stack

# Transition-Based Parsing

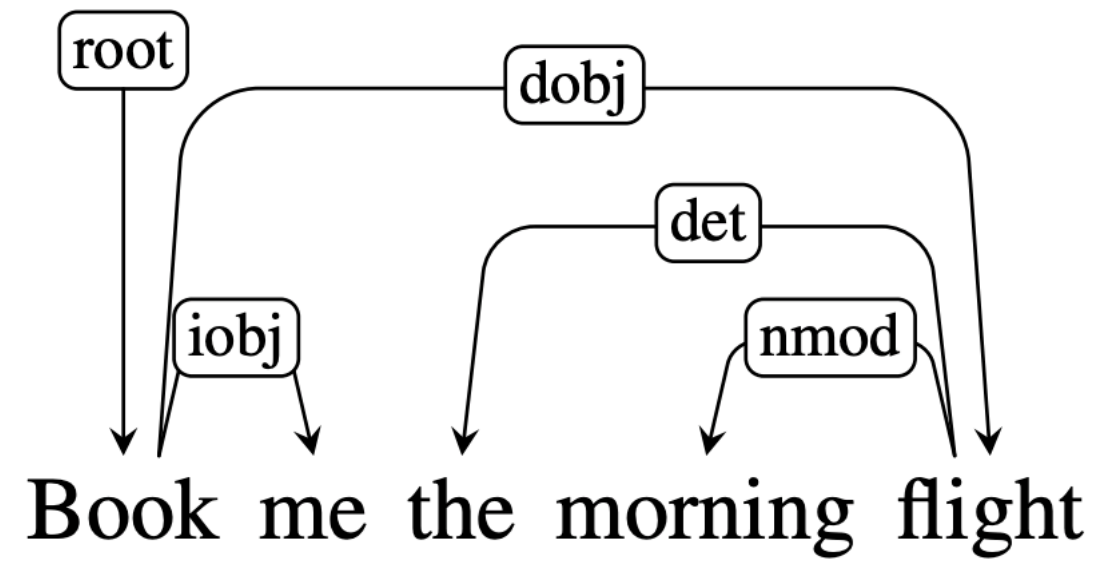


J&amp;M Fig 15.5

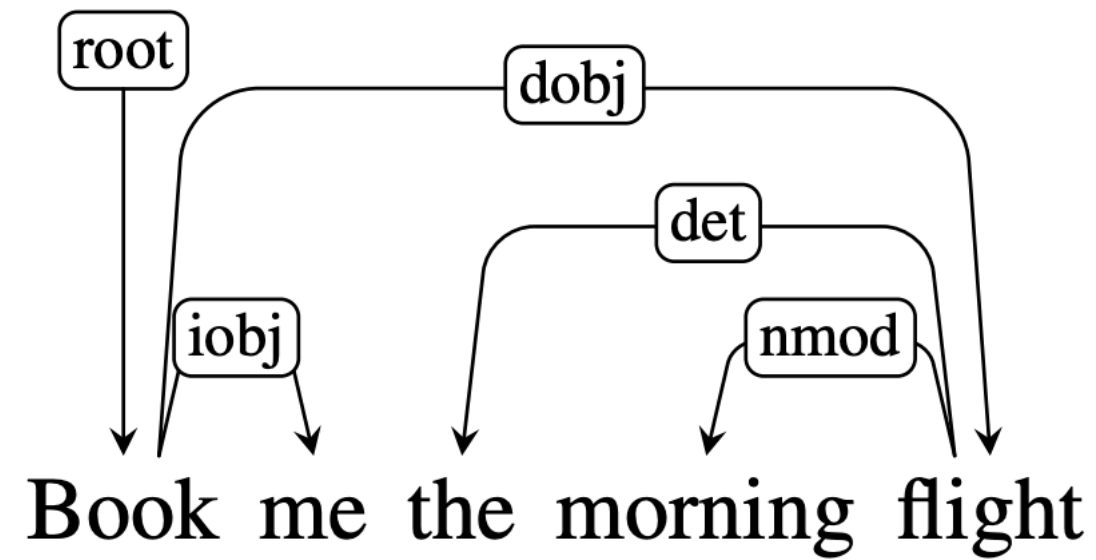




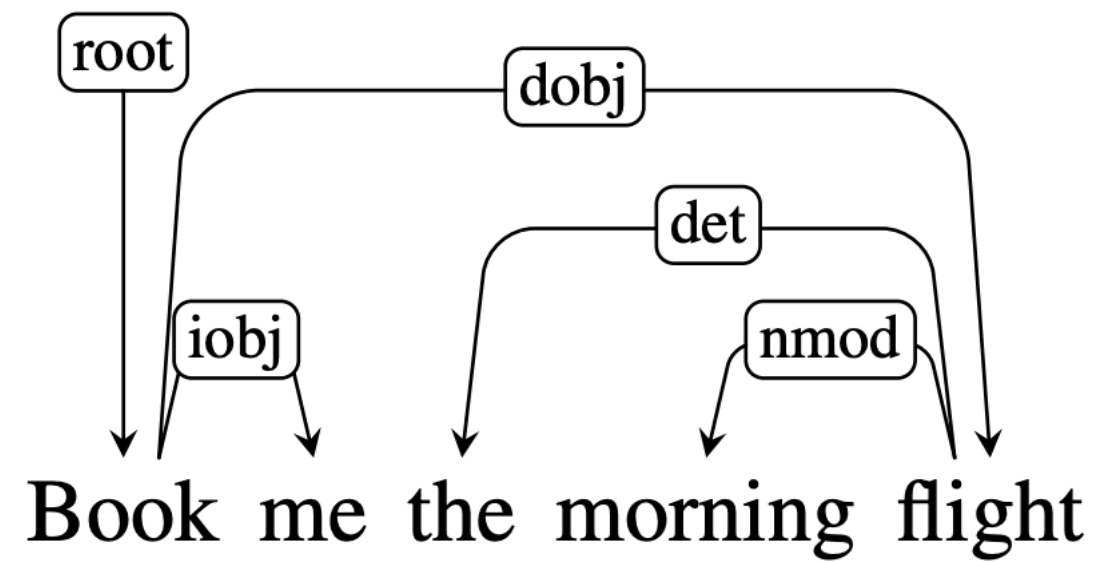
Step	Stack	Word List	Action	Relation Added
0	[root]	[book, me, the, morning, flight]	SHIFT	



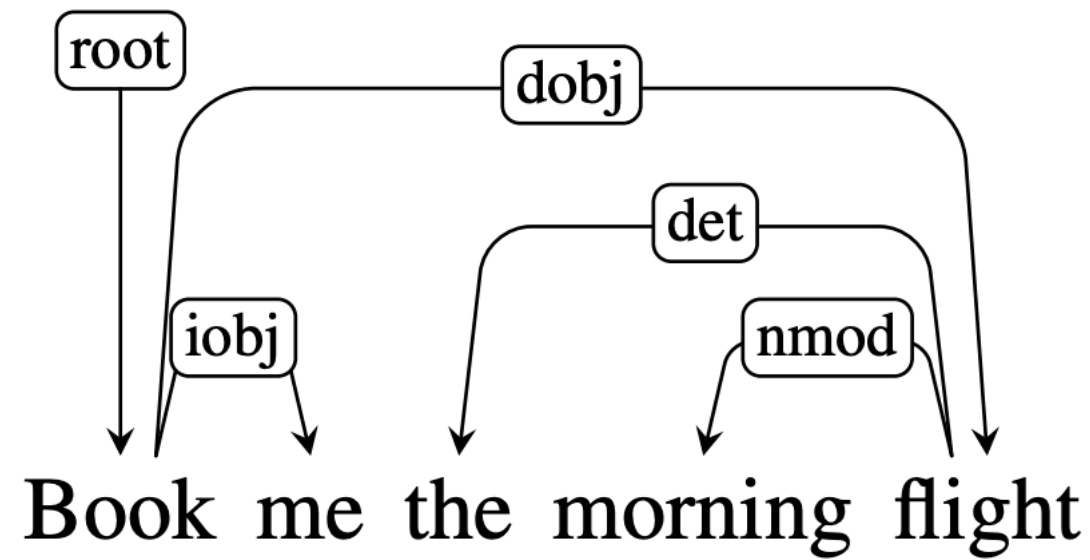
Step	Stack	Word List	Action	Relation Added
0	[root]	[book, me, the, morning, flight]	SHIFT	
1	[root, book]	[me, the, morning, flight]	SHIFT	



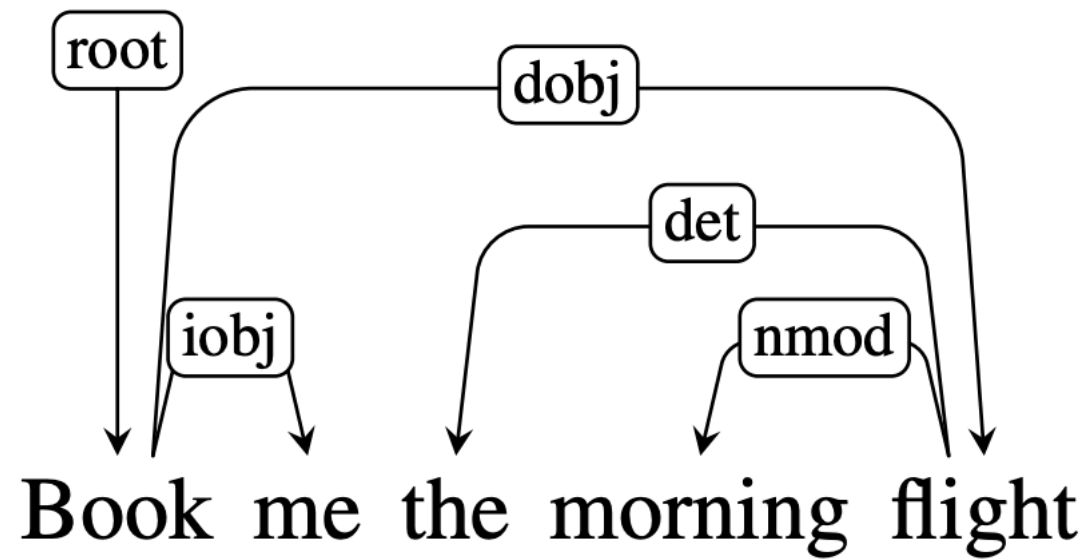
Step	Stack	Word List	Action	Relation Added
0	[root]	[book, me, the, morning, flight]	SHIFT	(book → me)
1	[root, book]	[me, the, morning, flight]	SHIFT	
2	[root, book, me]	[the, morning, flight]	RIGHTARC	



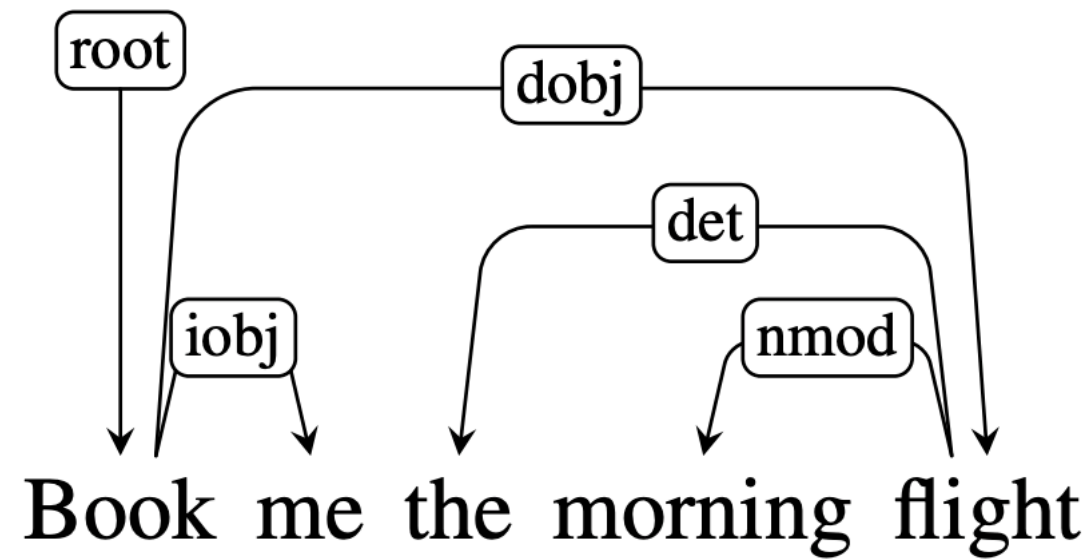
Step	Stack	Word List	Action	Relation Added
0	[root]	[book, me, the, morning, flight]	SHIFT	(book → me)
1	[root, book]	[me, the, morning, flight]	SHIFT	
2	[root, book, me]	[the, morning, flight]	RIGHTARC	
3	[root, book]	[the, morning, flight]	SHIFT	



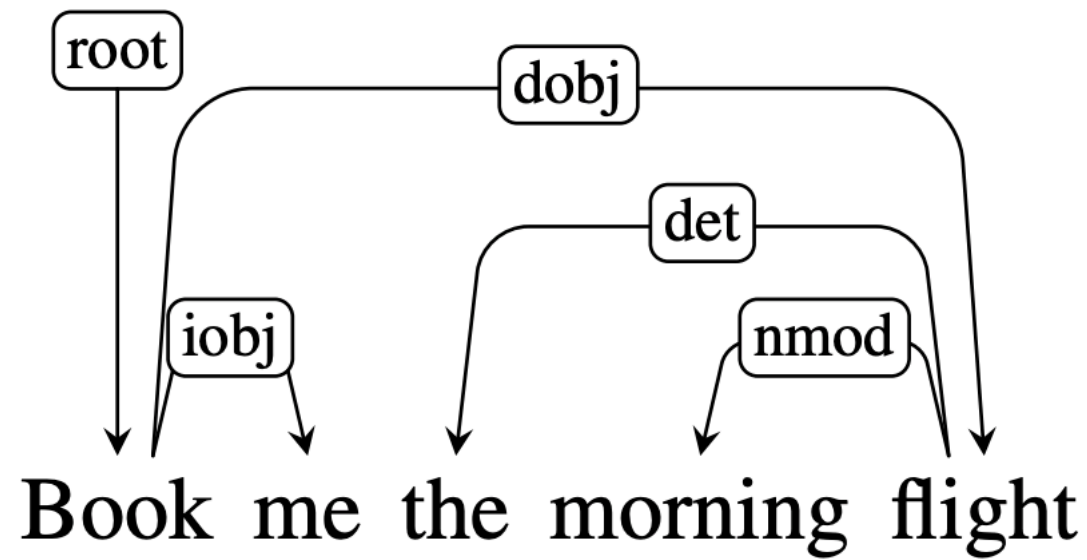
Step	Stack	Word List	Action	Relation Added
0	[root]	[book, me, the, morning, flight]	SHIFT	(book → me)
1	[root, book]	[me, the, morning, flight]	SHIFT	
2	[root, book, me]	[the, morning, flight]	RIGHTARC	
3	[root, book]	[the, morning, flight]	SHIFT	
4	[root, book, the]	[morning, flight]	SHIFT	
5	[root, book, the, morning]	[flight]	SHIFT	(morning ← flight)
6	[root, book, the, morning, flight]	[]	LEFTARC	



Step	Stack	Word List	Action	Relation Added
0	[root]	[book, me, the, morning, flight]	SHIFT	(book → me)
1	[root, book]	[me, the, morning, flight]	SHIFT	
2	[root, book, me]	[the, morning, flight]	RIGHTARC	
3	[root, book]	[the, morning, flight]	SHIFT	
4	[root, book, the]	[morning, flight]	SHIFT	
5	[root, book, the, morning]	[flight]	SHIFT	(morning ← flight) (the ← flight)
6	[root, book, the, morning, flight]	[]	LEFTARC	
7	[root, book, the, flight]	[]	LEFTARC	

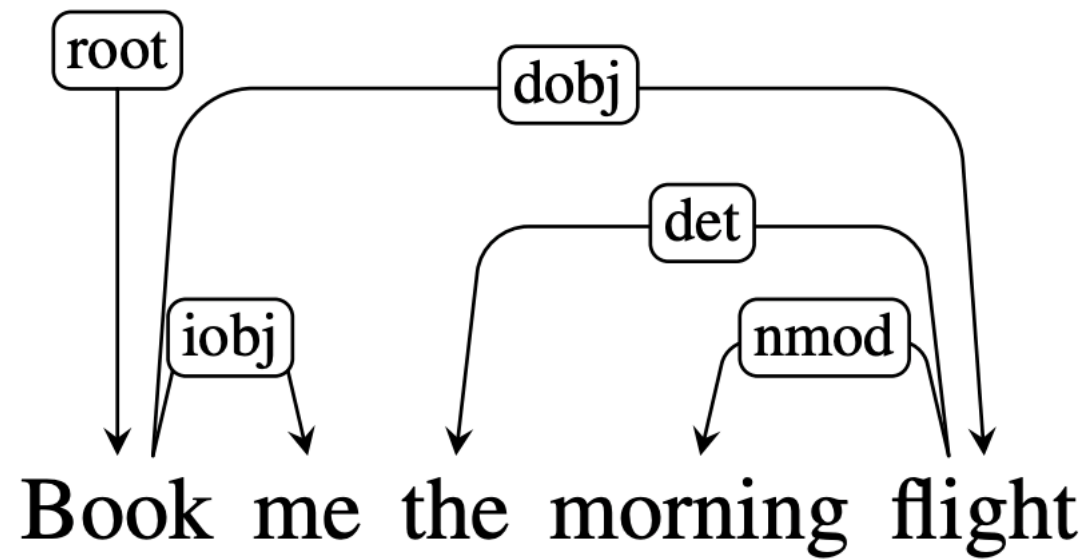


Step	Stack	Word List	Action	Relation Added
0	[root]	[book, me, the, morning, flight]	SHIFT	(book → me)
1	[root, book]	[me, the, morning, flight]	SHIFT	
2	[root, book, me]	[the, morning, flight]	RIGHTARC	
3	[root, book]	[the, morning, flight]	SHIFT	
4	[root, book, the]	[morning, flight]	SHIFT	
5	[root, book, the, morning]	[flight]	SHIFT	(morning ← flight)
6	[root, book, the, morning, flight]	[]	LEFTARC	
7	[root, book, the, flight]	[]	LEFTARC	
8	[root, book, flight]	[]	RIGHTARC	(book → flight)



Step	Stack	Word List	Action	Relation Added
0	[root]	[book, me, the, morning, flight]	SHIFT	(book → me)
1	[root, book]	[me, the, morning, flight]	SHIFT	
2	[root, book, me]	[the, morning, flight]	RIGHTARC	
3	[root, book]	[the, morning, flight]	SHIFT	
4	[root, book, the]	[morning, flight]	SHIFT	
5	[root, book, the, morning]	[flight]	SHIFT	(morning ← flight)
6	[root, book, the, morning, flight]	[]	LEFTARC	
7	[root, book, the, flight]	[]	LEFTARC	
8	[root, book, flight]	[]	RIGHTARC	
9	[root, book]	[]	RIGHTARC	(root → book)





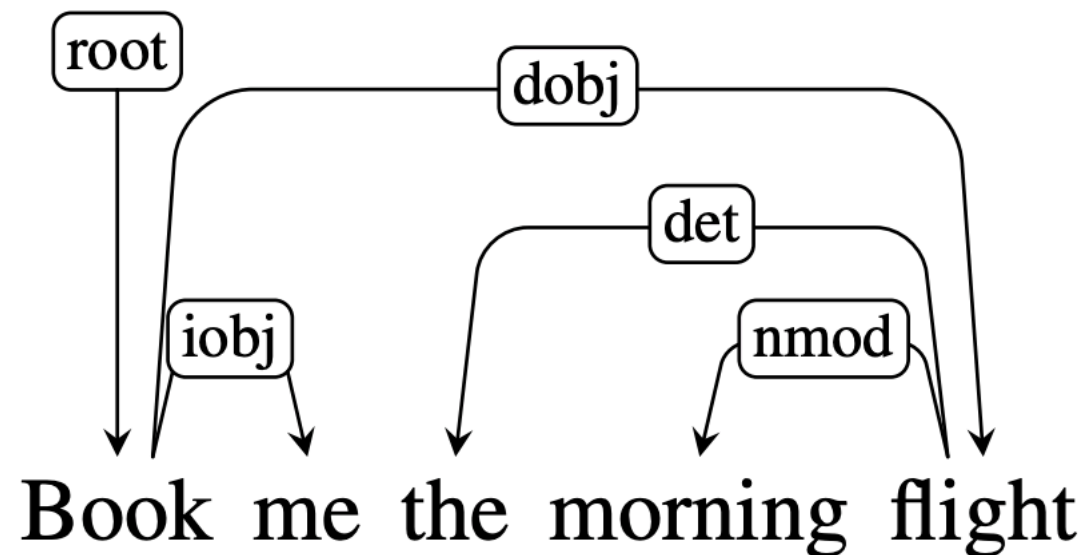
Step	Stack	Word List	Action	Relation Added
0	[root]	[book, me, the, morning, flight]	SHIFT	(book → me)
1	[root, book]	[me, the, morning, flight]	SHIFT	
2	[root, book, me]	[the, morning, flight]	RIGHTARC	
3	[root, book]	[the, morning, flight]	SHIFT	
4	[root, book, the]	[morning, flight]	SHIFT	
5	[root, book, the, morning]	[flight]	SHIFT	(morning ← flight) (the ← flight) (book → flight) (root → book)
6	[root, book, the, morning, flight]	[]	LEFTARC	
7	[root, book, the, flight]	[]	LEFTARC	
8	[root, book, flight]	[]	RIGHTARC	
9	[root, book]	[]	RIGHTARC	
10	[root]	[]	Done	

# Dependency Labels

- For simplicity, we omit labels on the dependency relations
- In practice, we parameterise the left-arc and right-arc actions with dependency labels:
  - ▶ E.g. left-arc-nsubj or right-arc-dobj
- This expands the list of actions to more than just 3 types

# The Right Action?

- We assume an **oracle** that tells us the right action at every step
- Given a dependency tree, the role of oracle is to generate a sequence of ground truth actions



Action
SHIFT
SHIFT
RIGHTARC
SHIFT
SHIFT
SHIFT
LEFTARC
LEFTARC
RIGHTARC
RIGHTARC
Done

# Parsing Model

- We then train a supervised model to **mimic** the actions of the oracle
  - ▶ To learn at every step the correct action to take (given by the oracle)
  - ▶ At test time, the trained model can be used to parse a sentence to create the dependency tree

# Parsing As Classification

- Input:
  - ▶ Stack (top-2 elements:  $s_1$  and  $s_2$ )
  - ▶ Buffer (first element:  $b_1$ )
- Output
  - ▶ 3 classes: *shift*, *left-arc*, or, *right-arc*
- Features
  - ▶ word ( $w$ ), part-of-speech ( $t$ )

Stack	Word buffer	Relations
[root, canceled, flights]	[to Houston]	(canceled → United) (flights → morning) (flights → the)

- Input features:

- ▶  $s_1.w = \text{flights}$

- ▶  $s_2.w = \text{cancelled}$

- ▶  $s_1.t = \text{NNS}$

- ▶  $s_2.t = \text{VBD}$

- ▶  $b_1.w = \text{to}$

- ▶  $b_1.t = \text{TO}$

- ▶  $s_1.t \circ s_2.t = \text{NNS\_VBD}$

Source	Feature templates		
One word	$s_1.w$	$s_1.t$	$s_1.wt$
	$s_2.w$	$s_2.t$	$s_2.wt$
	$b_1.w$	$b_1.w$	$b_0.wt$
Two word	$s_1.w \circ s_2.w$	$s_1.t \circ s_2.t$	$s_1.t \circ b_1.w$
	$s_1.t \circ s_2.wt$	$s_1.w \circ s_2.w \circ s_2.t$	$s_1.w \circ s_1.t \circ s_2.t$
	$s_1.w \circ s_1.t \circ s_2.t$	$s_1.w \circ s_1.t$	

- Output label: *shift*

# Classifiers

- Traditionally SVM works best
- Nowadays, deep learning models are the state-of-the-art
- Weakness: local classifier based on greedy search
- Solutions:
  - ▶ Beam search: keep track of top-N best actions (next lecture!)
  - ▶ Dynamic oracle: during training, use predicted actions occasionally

# Graph-Based Parsing

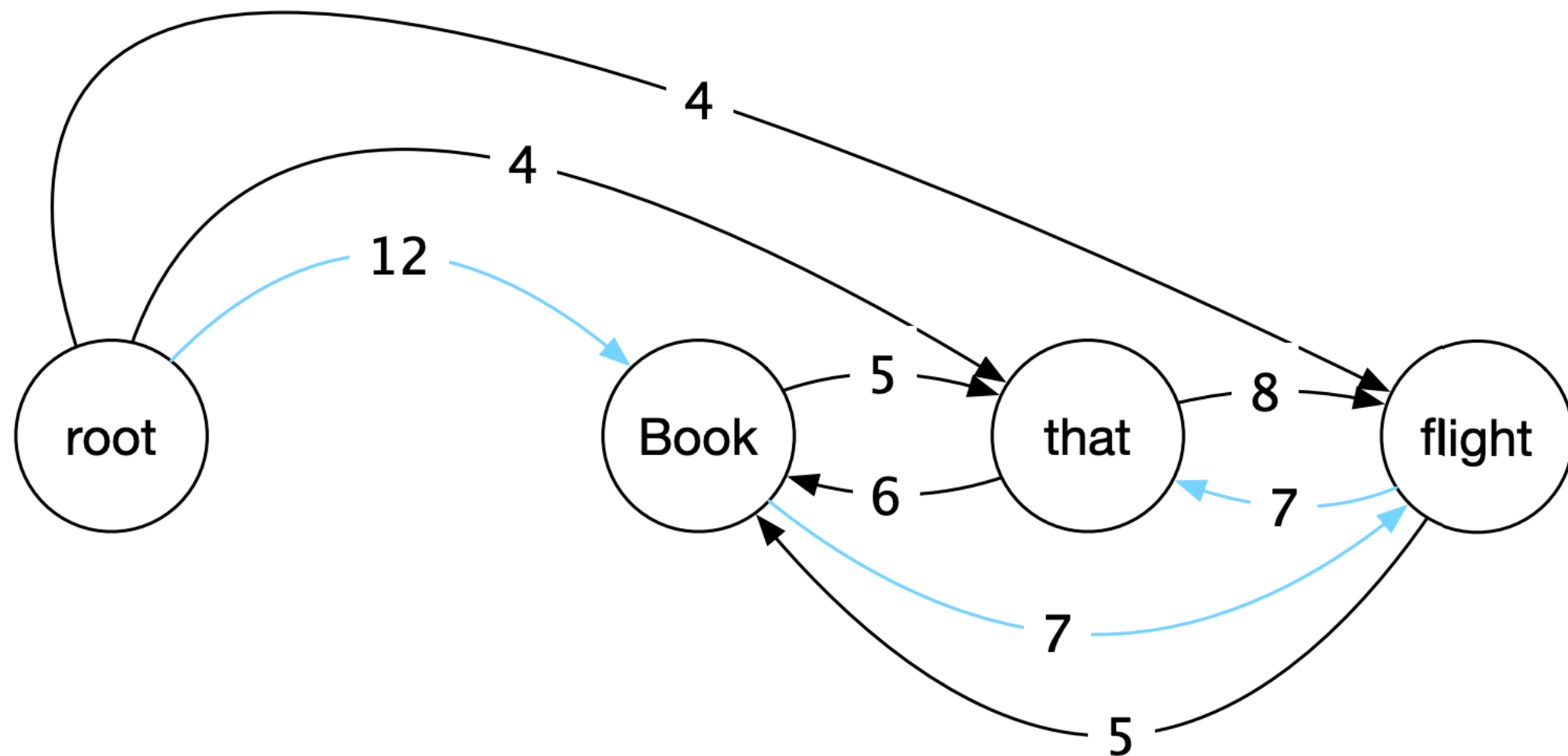
- Given an input sentence, construct a fully-connected, weighted, directed graph
- Vertices: all words
- Edges: head-dependent arcs
- Weight: score based on training data (relation that is frequently observed receive a higher score)
- Objective: find the maximum spanning tree



# Advantage

- Can produce non-projective trees
  - ▶ Not a big deal for English
  - ▶ But a problem for many other languages
- Score entire trees
  - ▶ Avoid making greedy local decisions like transition-based parsers
  - ▶ Captures long dependencies better

# Example



- Caveat: tree may contain cycles
- Solution: need to do cleanup to remove cycles (Chu-Liu-Edmonds algorithm)

# A Final Word

- Dependency parsing a compelling, alternative, formulation to constituency parsing
  - ▶ structures based on words as internal nodes
  - ▶ edges encode word-word syntactic and semantic relations
  - ▶ often this is the information we need for other tasks!
- Transition-based parsing
  - ▶ a sequence of shift and left/right-arc actions
- Graph-based parsing
  - ▶ frames it as a maximum spanning tree problem

# Required Reading

- J&M3 Ch. 15