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# Project Proposal

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**Kyle Daruwalla**

Department of Electrical and Computer Engineering  
University of Wisconsin – Madison  
daruwalla@wisc.edu

**Akhil Sundararajan**

Department of Electrical and Computer Engineering  
University of Wisconsin – Madison  
asundararaja@wisc.edu

## 1 Introduction

Machine learning systems tackle problems ranging from content filtering and recommender systems to object recognition and text classification. In recent years, advances in deep learning have led to finding better classifiers for these problems. In deep learning, feature extraction is performed automatically by using many layers of neural networks; each layer involves passing inputs of the previous layer through a nonlinear activation function. Compositions of successive layers can thus correspond to learning nonlinear decision boundaries, which has contributed to successes in image classification and speech recognition [1]. Training of deep neural networks is performed using the stochastic gradient descent (SGD) algorithm, but the inherently serial nature of SGD has led to exploration of potential speedups through parallelized hardware. In this project, we seek to investigate how the computational cost per iteration of training a deep neural network depends on the hardware architecture being used.

### 1.1 Field-Programmable Gate Arrays

Even though learning algorithms are inherently serial, speedup might be possible by using specialized hardware to reduce the cost per iteration.

Field-programmable gate arrays (FPGAs) are reconfigurable hardware units. An FPGA is comprised of *slices*, which are the fundamental hardware unit from which any designed hardware is constructed. Each slice is comprised of *look-up tables* (LUTs) and *flip-flops* (FFs). When reporting the resource consumption of a particular design, it is common to report the metric in terms of slices or LUTs+FFs.

Hardware on an FPGA is designed using a *hardware description language* (HDL). The most common HDL is Verilog. While Verilog shares some syntax with C, it should not be confused for a sequential programming language. HDLs allow a designer to spatially describe the hardware.

FPGAs are commonly used for real-time control, because the design freedom they offer allows for lean, efficient controller design. Furthermore, designs are not hampered by hardware limitations, because the designer can create any hardware he desires. As the boundary between control theory and optimization has blurred, FPGAs have become suitable hardware platforms for machine learning algorithms such as neural networks [2] [3]. Similarly, FPGAs are an attractive option to make object-recognition algorithms real-time [4].

While previous work has largely focused on deployment of neural networks on FPGAs, this project will focus on the training phase. Specifically, can FPGAs be utilized to build efficient parallel hardware to speedup the lengthy training process for convolutional neural networks?

## 2 Problem Definition

Convolutional neural networks are optimized for classification of structured data, such as images, and map input data into labels through successive representation layers. Each layer abstracts information about the layer previous through a nonlinear activation function. To find a classifier that abstracts patterns in input data, we would like to minimize the empirical risk on the training data, given  $m$  training example-label pairs  $\{(x_i, y_i)\}_{i=1}^m$ .

$$\min_{f \in F} \sum_{i=1}^n \mathcal{L}(f(x_i); y_i) \quad (1)$$

where  $\mathcal{L}$  is some loss function assigns a value related to the discrepancy between the label generated by the model  $f$  and the true label. SGD is used to solve the ERM, but its serial nature motivates the investigation of methods that achieve speedup.

## 3 Proposed Implementation

In order to perform an effective comparison between software implementations of CNNs and hardware implementations, we propose using TensorFlow, Amazon EC2, and Xilinx FPGAs. TensorFlow will be used to implement various software implementations of a given CNN structure for ImageNet. These CNN implementations will be trained and tested on Amazon EC2. A hardware implementation of the CNN will be created for Xilinx FPGAs in Vivado. Simulations will be performed to verify its functionality, and it will be deployed on a physical FPGA to test its timing characteristics and accuracy.

### 3.1 TensorFlow on EC2

The first experiment will be to study training performance of the ImageNet dataset on Amazon EC2, which will serve as a baseline for a traditional single-machine CPU setting. We propose to use TensorFlow, an open-source, python-based machine learning package released by Google in November 2015 [5]. In addition, using packages in TensorFlow, we will study GPU acceleration on Amazon EC2. Due to TensorFlow's distributed execution capability, we also propose to train CNNs using the HOGWILD! algorithm. Since SGD is inherently serial, our FPGA implementation targets lowering cost per iteration. This can be compared to HOGWILD!, which is a parallelized approach to achieving speedup. Due to the variety of structural and parameter design choices typical in building a CNN, we also propose to examine several CNN architectures in combination with the different hardware platforms considered in this project. Different activation functions including sign, ReLU, and sigmoid also will be explored.

### 3.2 Neural Networks on FPGAs

Each filter in the CNN will be modeled as a *unit-neuron* on the FPGA (shown in Figure 1). During the compute phase, the selector signal  $s$ , will feed the current patch  $(x_0, x_1, x_2, x_3, x_4)$  into the unit-neuron. A weight register file will hold the current weights,  $(w_0, w_1, w_2, w_3, w_4)$ . The activation function,  $\sigma$ , will be approximated using a lookup table if it is not piecewise linear. The output  $f$  will store a single pixel of output for a given filter.

A controller will adjust  $(x_0, x_1, x_2, x_3, x_4)$  so that it corresponds to the current patch being evaluated. After the compute phase is complete, it will update the  $(w_0, w_1, w_2, w_3, w_4)$  values and drive  $s$  high so that the weight register file can be updated. There will be latching (not shown in Figure 1) on the output values of the filters so that they can be held while the weights are updated.

The potential for speedup comes from parallelizing the filter operation, using faster fixed-point computation units, and approximation of the activation function.

## 4 Proposed Analysis

After creating an FPGA implementation of a neural network, timing characteristics for a particular CNN can be created. For example, the proposed unit-neuron will have some physical path delay,  $\tau$ .

Using this known constant and the structure of our CNN, we would like to define a design space. This will allow a designer targeting neural networks on FPGAs to know the time per iteration as a function of  $\tau$ , the number of layers, and the CNN structure. This will provide some theoretical closed form for the computational complexity on an FPGA.

Additionally, after collecting empirical results, we would like to do perform the following analysis:

1. Comparing generalization error between the CPU, GPU, HOGWILD!, and FPGA implementations.
2. Comparing convergence rates (in terms of seconds) for SGD with backprop between the CPU, GPU, HOGWILD!, and FPGA implementations.
3. Provide a metric of when FPGAs might provide a larger speedup than HOGWILD!

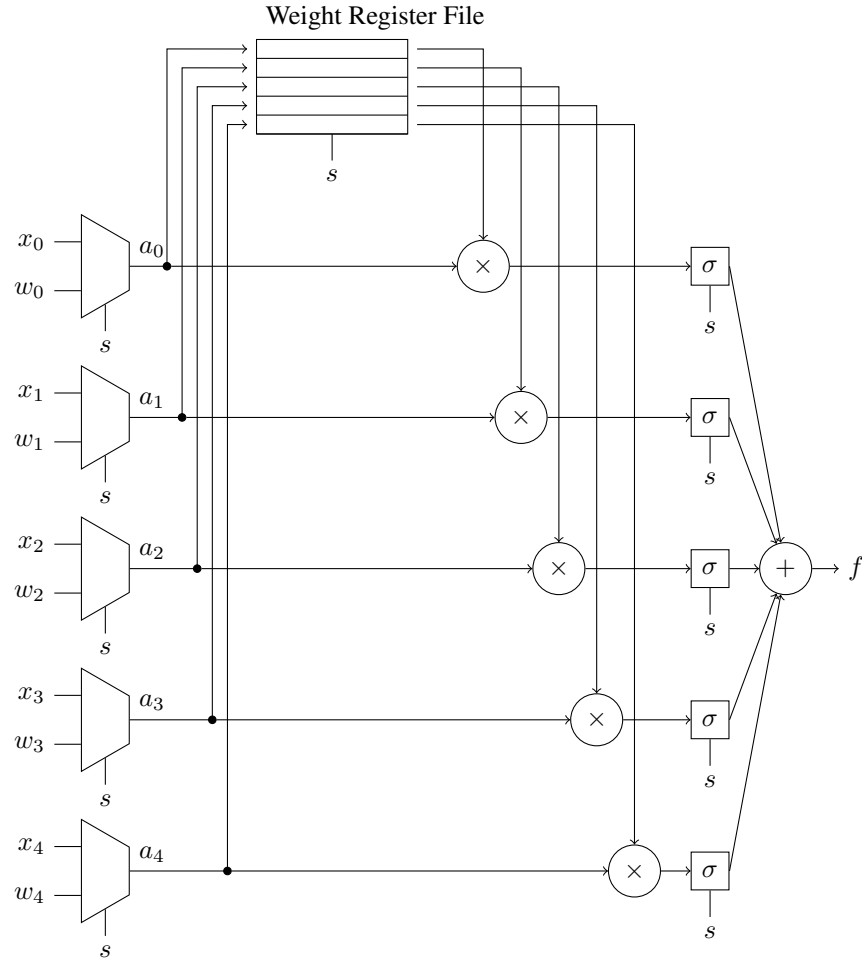


Figure 1: A unit-neuron implementation for an FPGA with a filter size of 5

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