

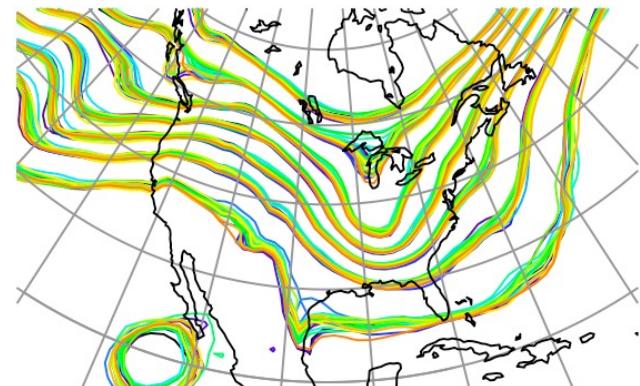
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Land Data Assimilation using DART : Carbon cycling across the Western US

Brett Raczka, NCAR, Data Assimilation Research Section (DAReS)



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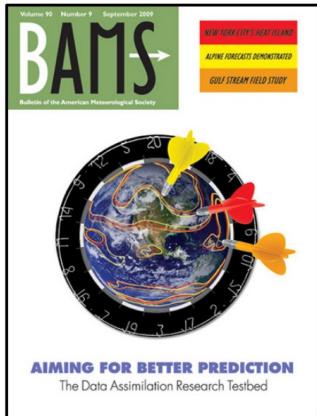


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Example of DART workflow

Anderson et al., 2009



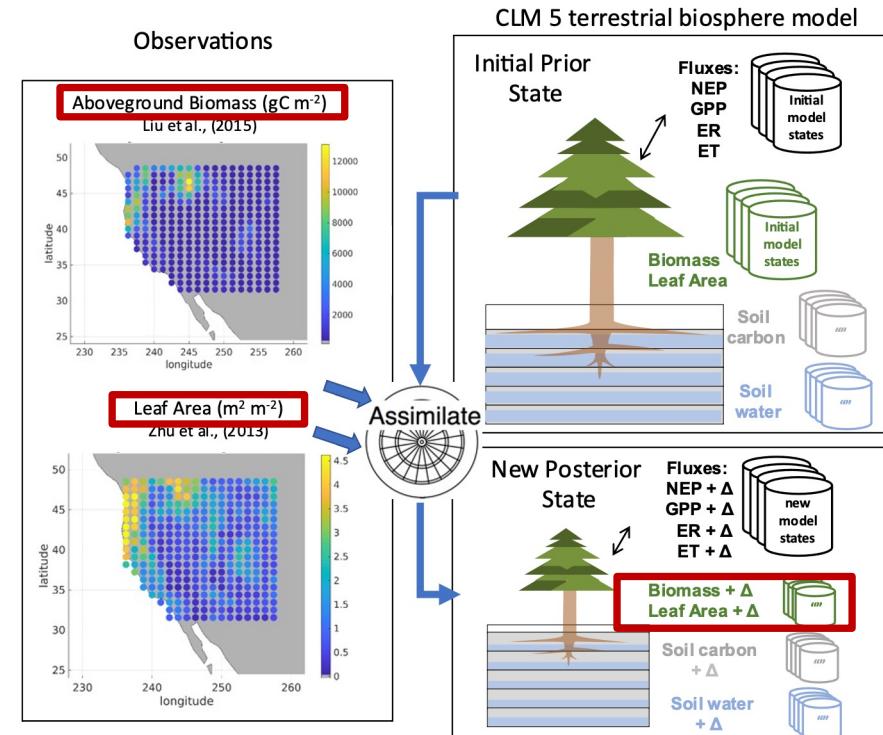
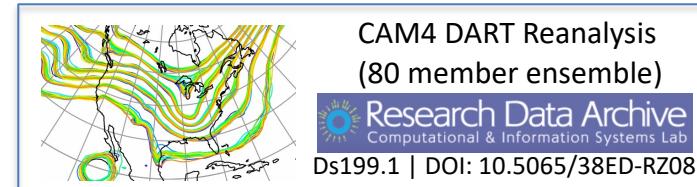
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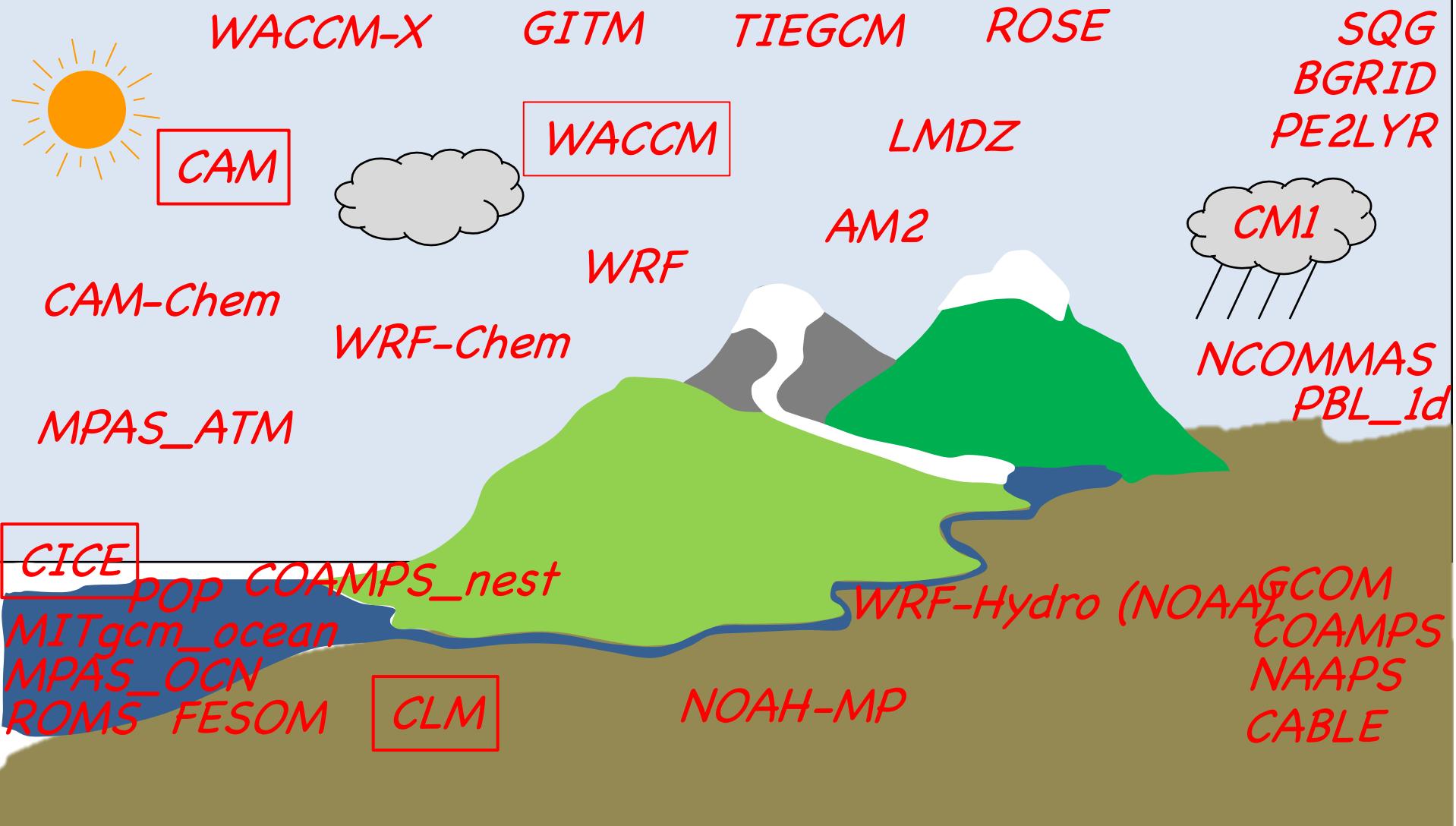
Improving CLM5.0 Biomass and Carbon Exchange Across the Western United States Using a Data Assimilation System

Brett Racza , Timothy J. Hoar, Henrique F. Duarte, Andrew M. Fox, Jeffrey L. Anderson, David R. Bowling, John C. Lin,

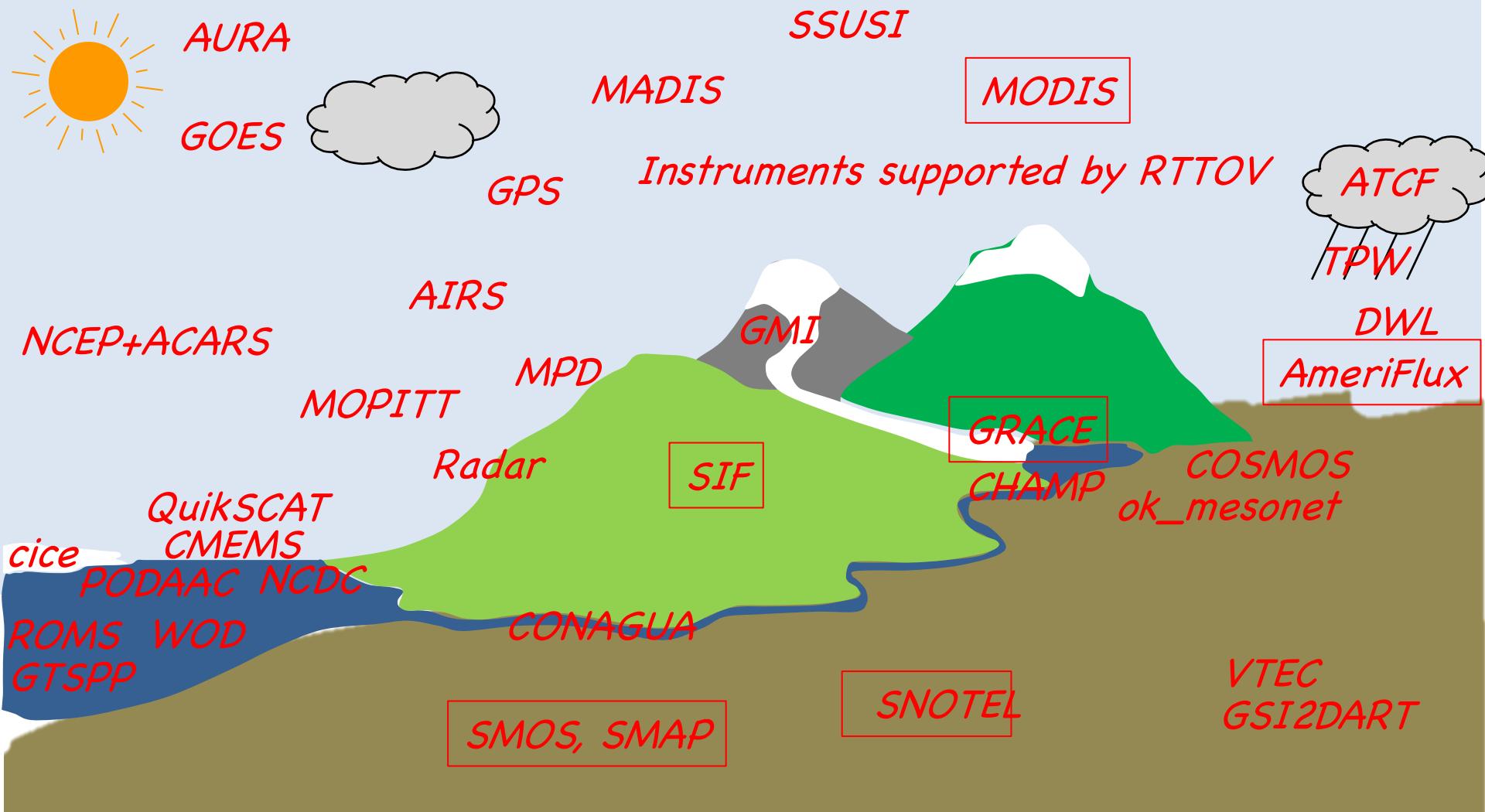
First published: 19 June 2021 | <https://doi.org/10.1029/2020MS002421>



Geophysical Models Interfaced to DART



Earth System Observations (others available)



Field Campaign and Satellite Data: Pollution Emission Estimation

- Assimilate atmospheric CO into CAM-Chem

Aircraft measurements from KORUS-AQ field study in Korea 2016

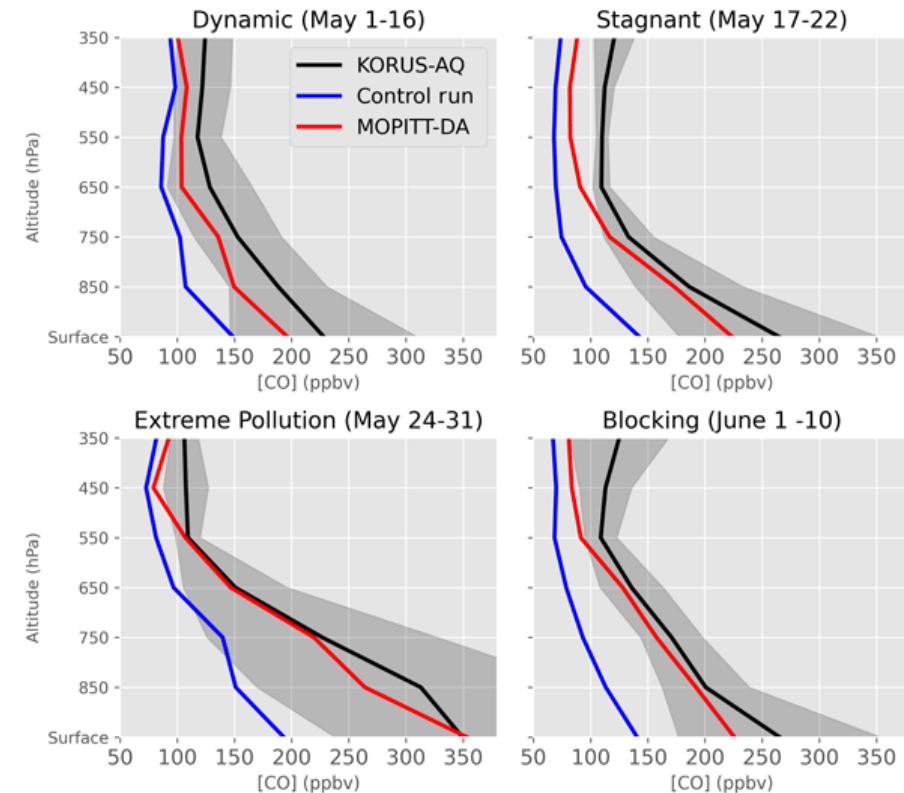
Satellite retrievals of CO from Terra/MOPITT
Chemistry modeling with CAM-Chem DART

Ensemble Kalman Filter with:

- Optimized CO initial conditions
- Optimized CO emissions

Inversion of MOPITT data updated emissions estimates, improved model performance

- Against the KORUS-AQ aircraft observations of CO (shown) and O₃, OH, HO₂
- Suggests underestimates of CO/VOCs in China



Lead; Benjamin Gaubert

DA improves fit to NASA DC-8 aircraft CO measurements for all synoptic conditions:
DA closer to obs than no DA.

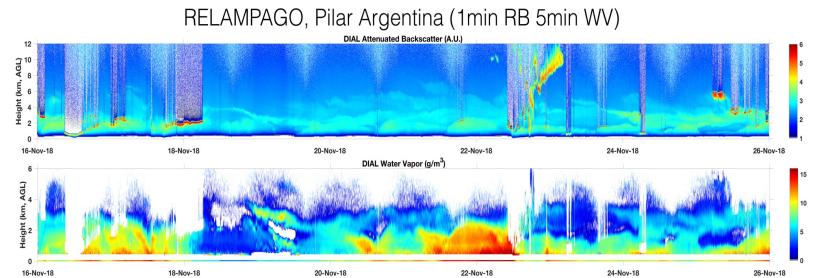


MPD Water Vapor Profile DA for Convective Weather Forecasts

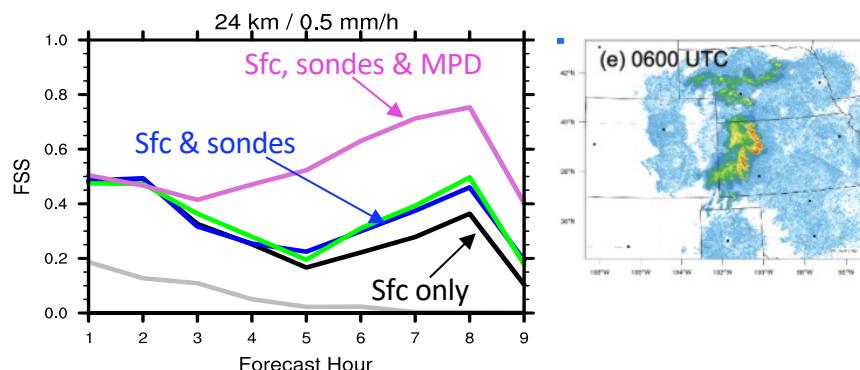
- Assimilate MPD Water Vapor into WRF



MicroPulse Differential absorption lidar (MPD) developed by Montana State University and EOL measures continuous relative backscatter and water vapor profiles.

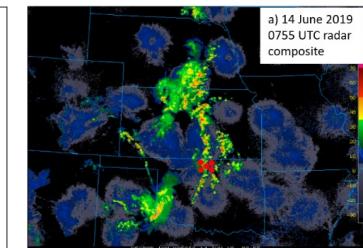
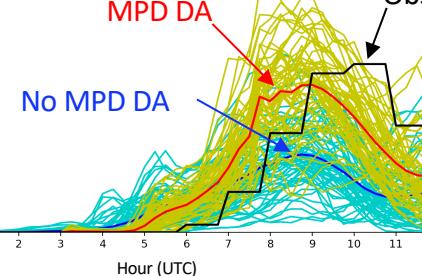


Observing System Simulation Experiment (OSSE)



Observing System Experiment (OSE)

Precipitation time series

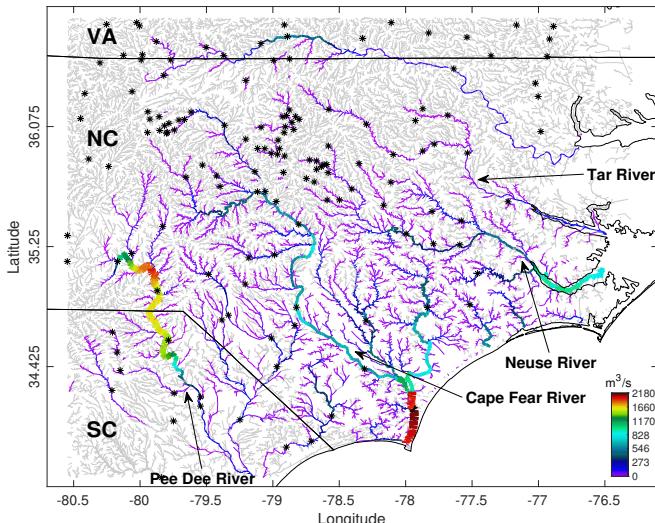


Lead: Tammy Weckwerth

WRF/DART DA of MPD improves short-term forecasts of convection initiation and evolution compared to assimilating conventional observations (in the OSSE) and no DA (in the OSE).

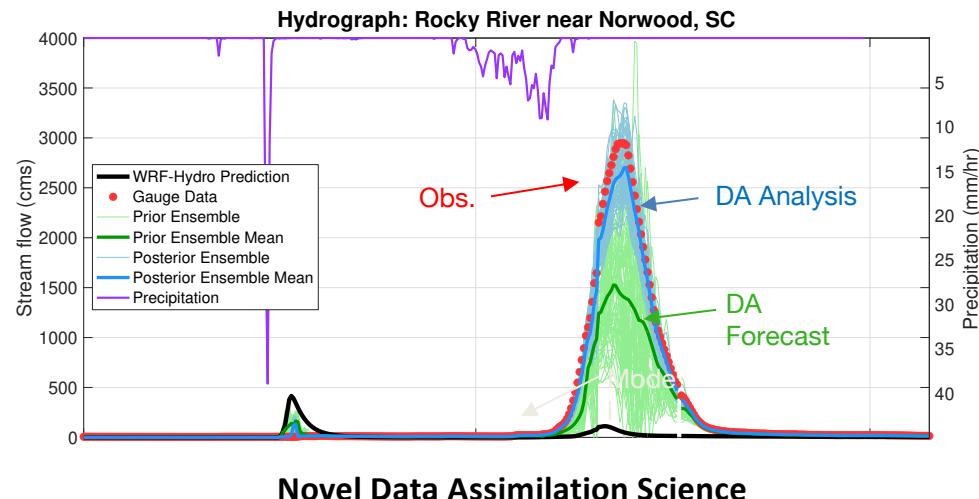
Flood Prediction: WRF-Hydro/DART for Hurricane Florence 2018

High-resolution stream network with USGS streamflow gauges.

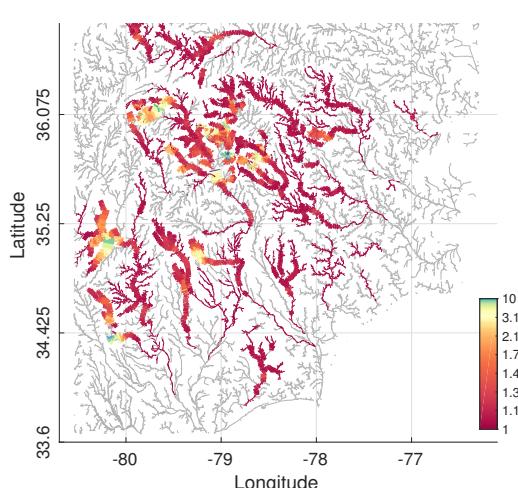


Lead; Moha el Gharamti

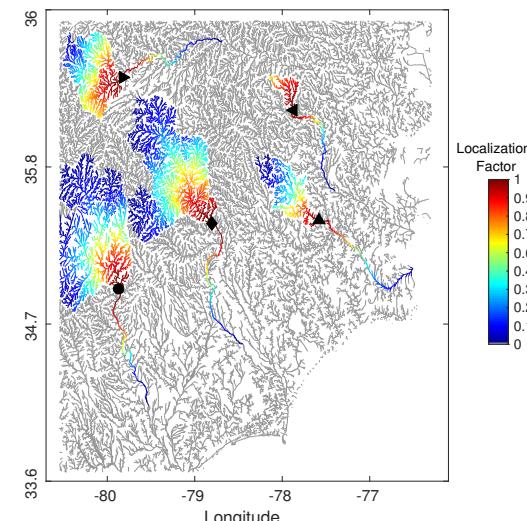
DA greatly improves analysis and forecasts of streamflow.



1. Prior and Posterior Adaptive Covariance Inflation



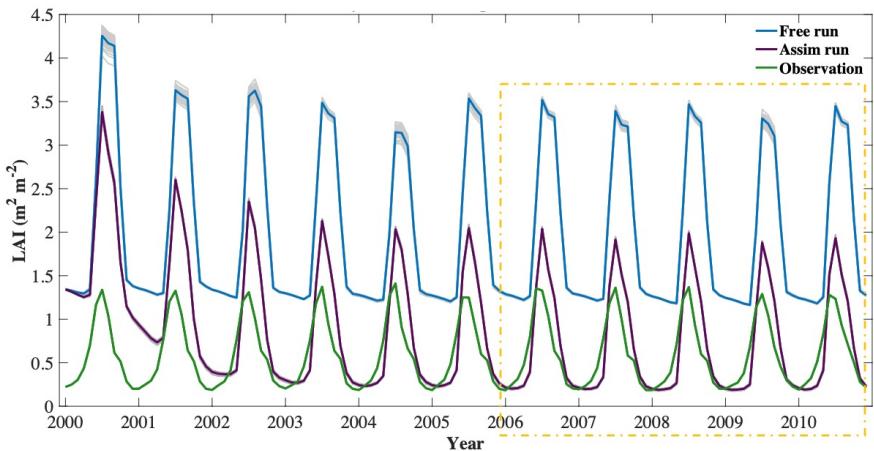
2. Along-The-Stream (topology-based) Localization



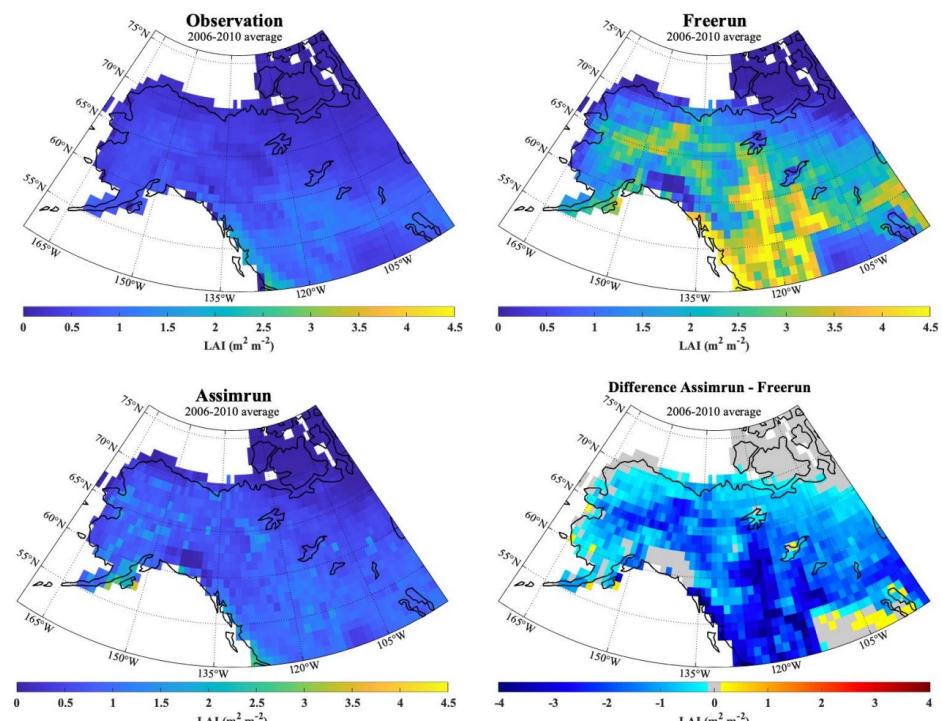
Current Land Data Assimilation (CLM-DART)

Assimilating Leaf Area Observations within Arctic Boreal Domain (ABoVE Project)
Led by: Xueli Huo, Andy Fox and others

Monthly Arctic Leaf Area

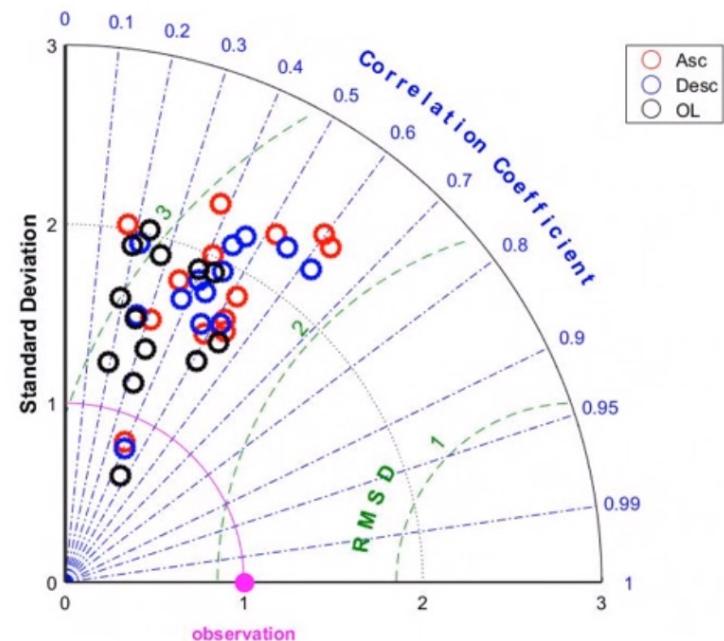
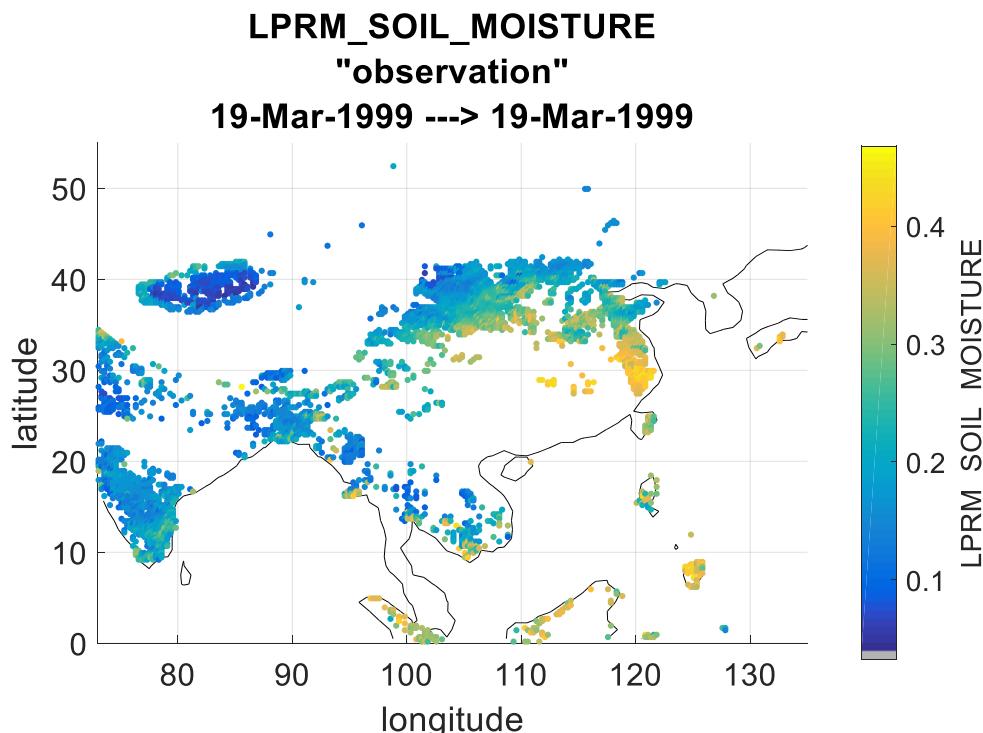


The mean annual LAI in the assimilation run decreased by **63.7%** compared with the free run.



Current Land Data Assimilation (CLM-DART)

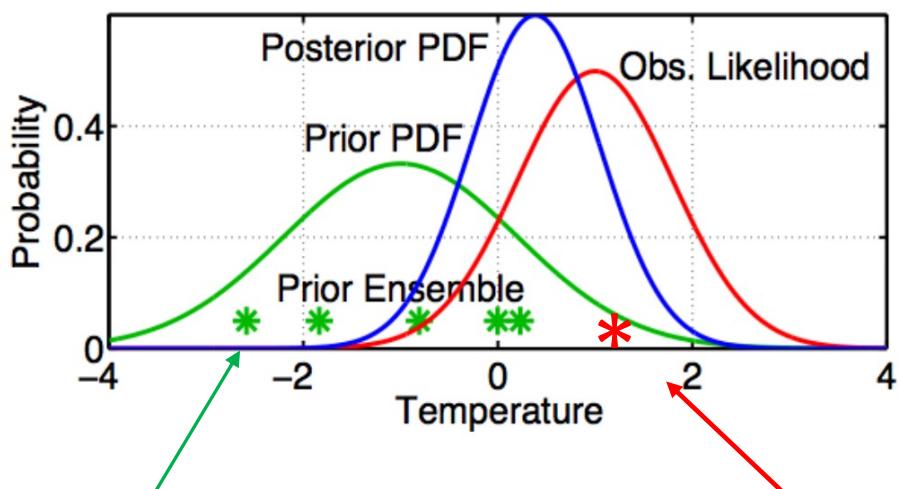
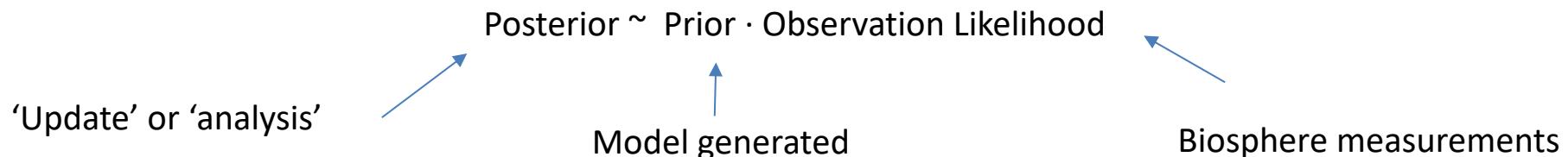
Assimilating Surface Soil Moisture Observations (Passive/Active Microwave Bands)
Led by: Daniel Hagan



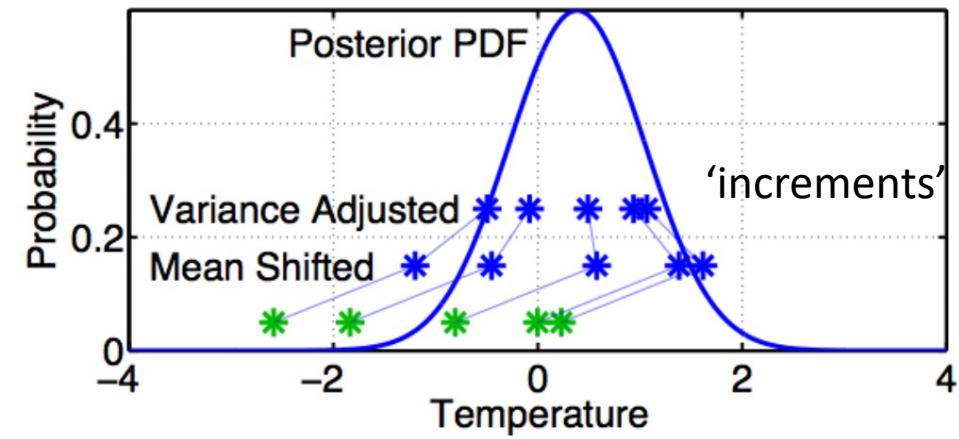
Basics of EnKF Data Assimilation

- Observations combined with a model forecast to produce an improved forecast ('analysis').
- Improving model state (e.g. temperature, biomass, soil carbon) not parameter optimization

Bayes Theorem



5 prior model estimates of temperature



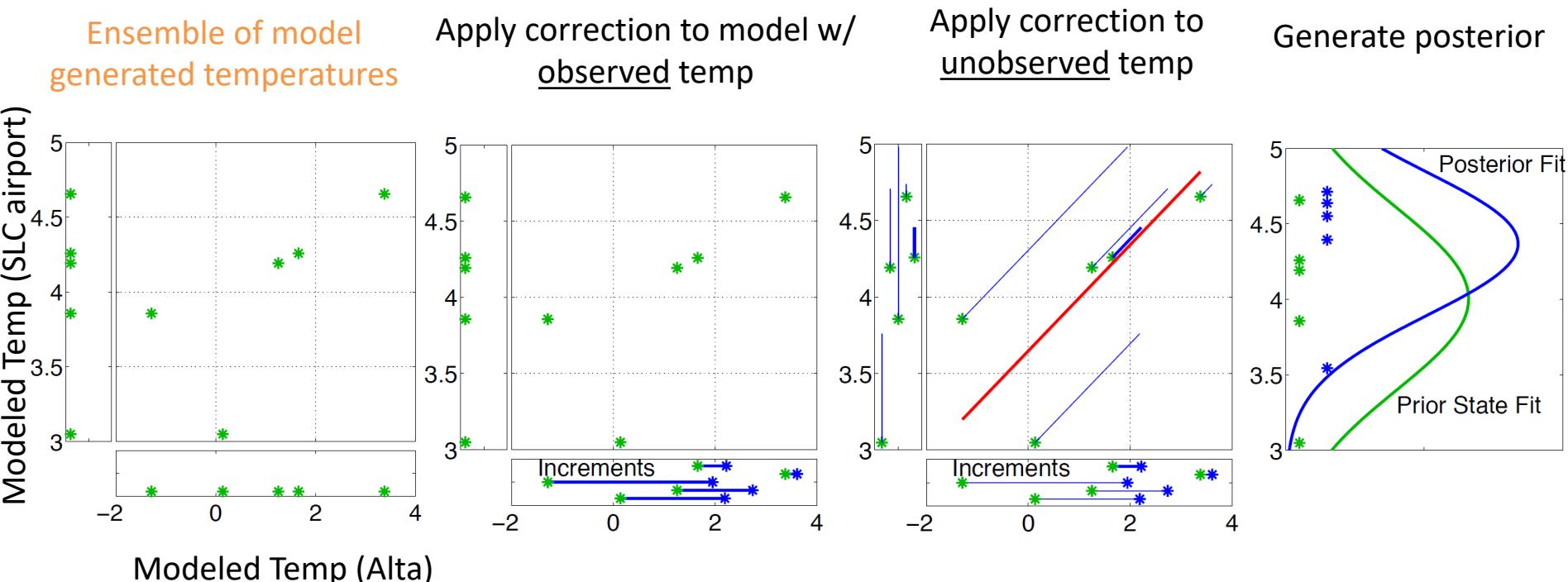
1 new observation of temperature



This is an 'observed' state variable, but what about 'unobserved' state variables?

Basics of EnKF Data Assimilation

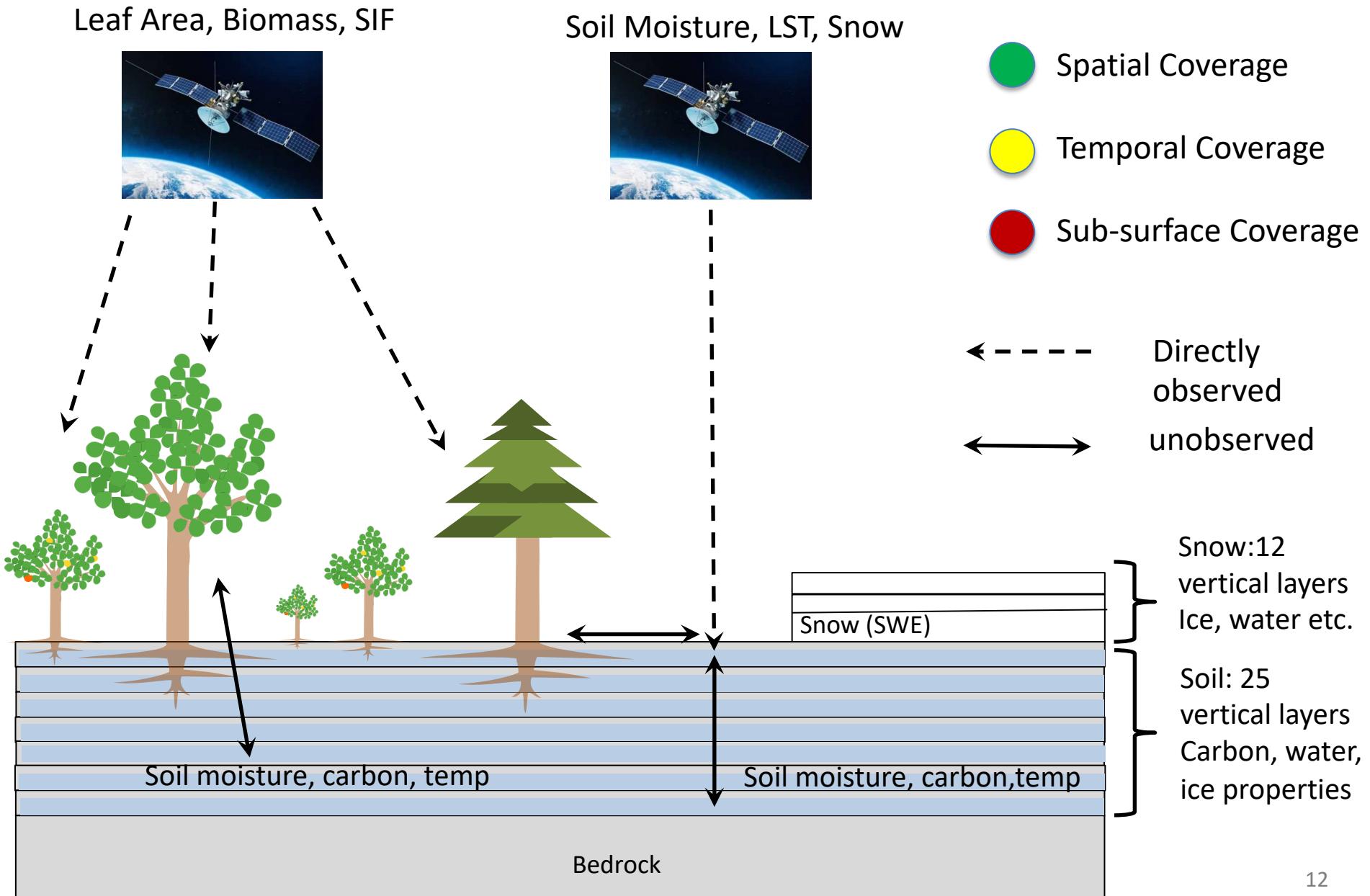
- Imagine you were modeling temperature across Salt Lake City but only had temperature observations at Alta Ski Resort



- The correlation between states is based upon error covariance matrix generated from a model. Also observation uncertainty must be carefully quantified.
- How can we apply correlations to improve model performance for Land DA?



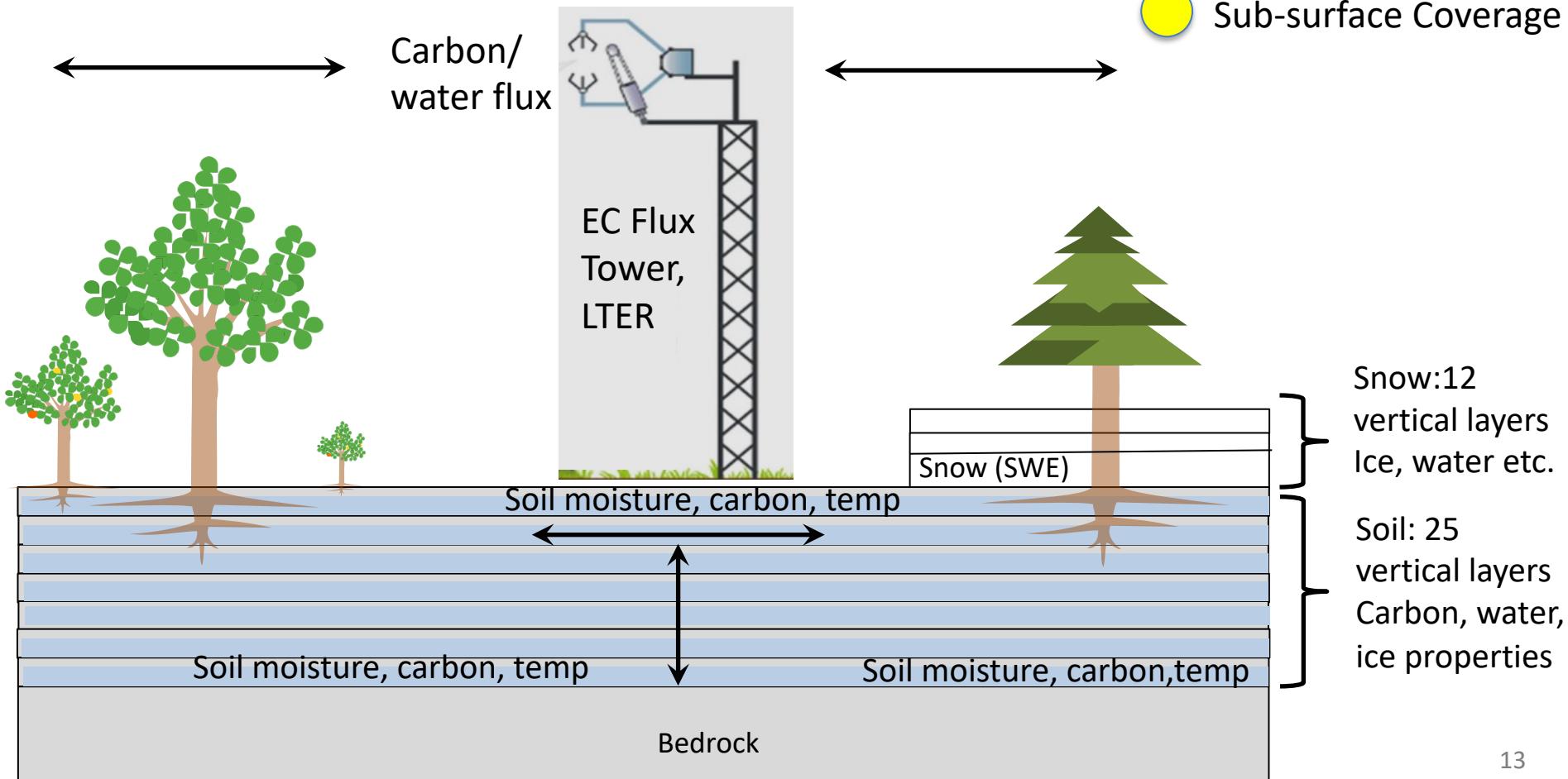
Limitations in remotely-sensed land observations



Limitations in ground-based land observations

- Horizontal/Vertical Spatial Correlations Important for limited surface observation network

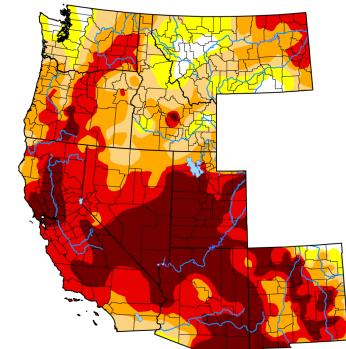
- Spatial Coverage
- Temporal Coverage
- Sub-surface Coverage



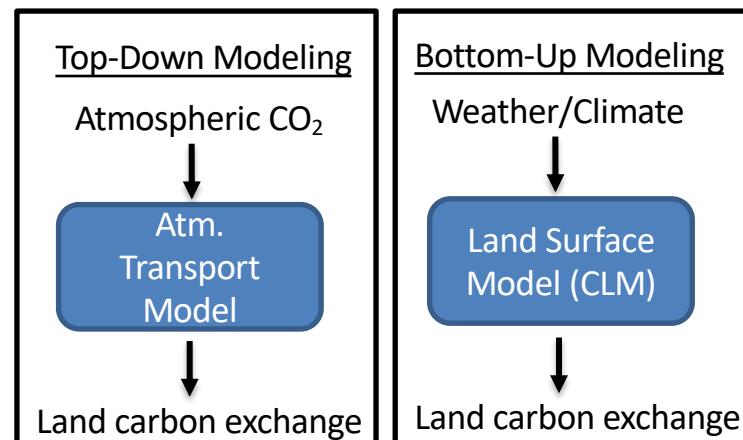
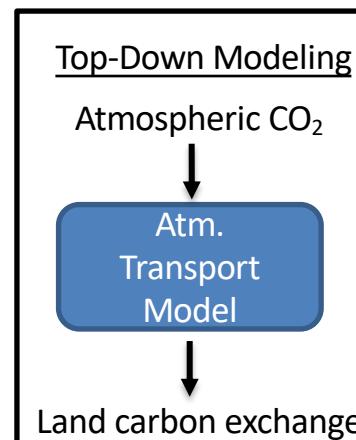
Carbon Monitoring Across Western US



US Drought Monitor,
June 10, 2021

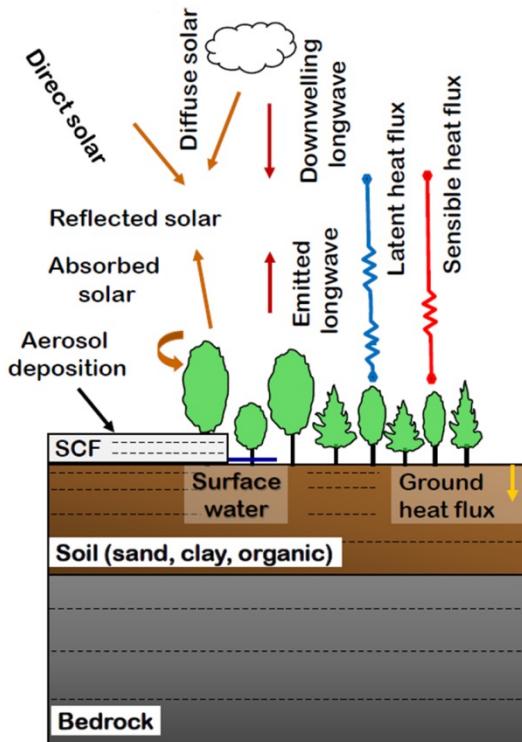


- Vulnerable carbon stocks create drastic change to landscape and ecosystem functioning
- Complex terrain challenges traditional carbon monitoring, flux towers, atmospheric inversions

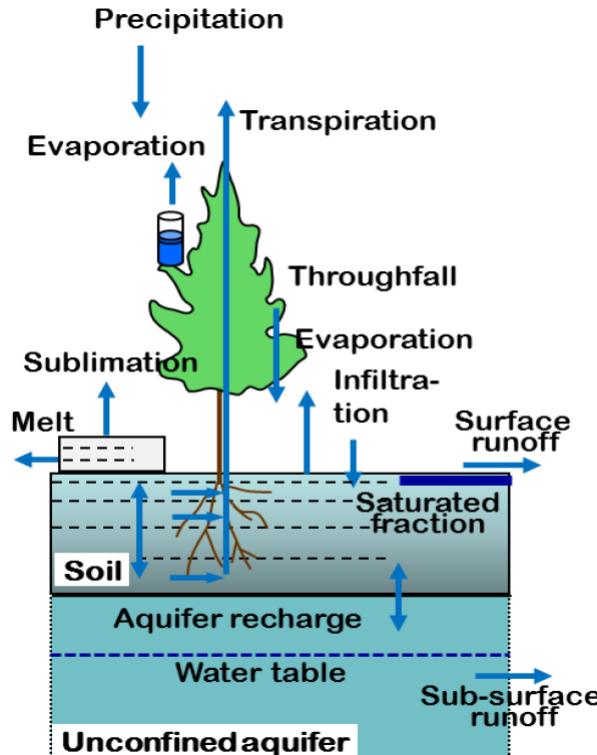


Components of a land surface model (CLM)

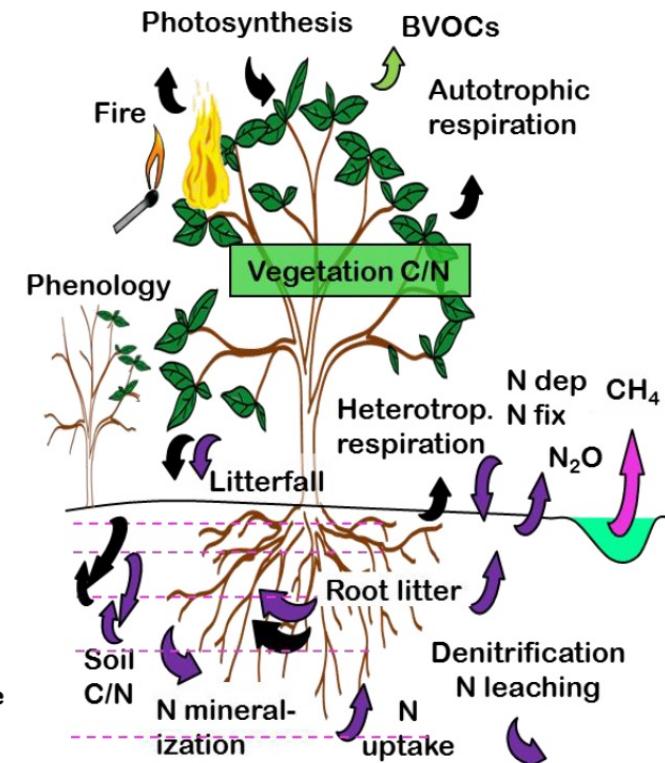
Energy balance



Hydrology

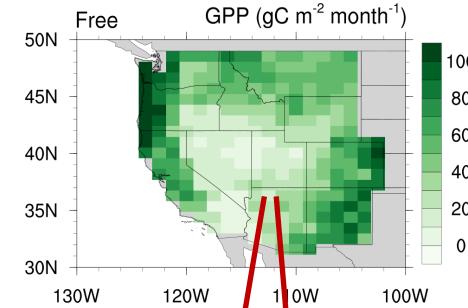
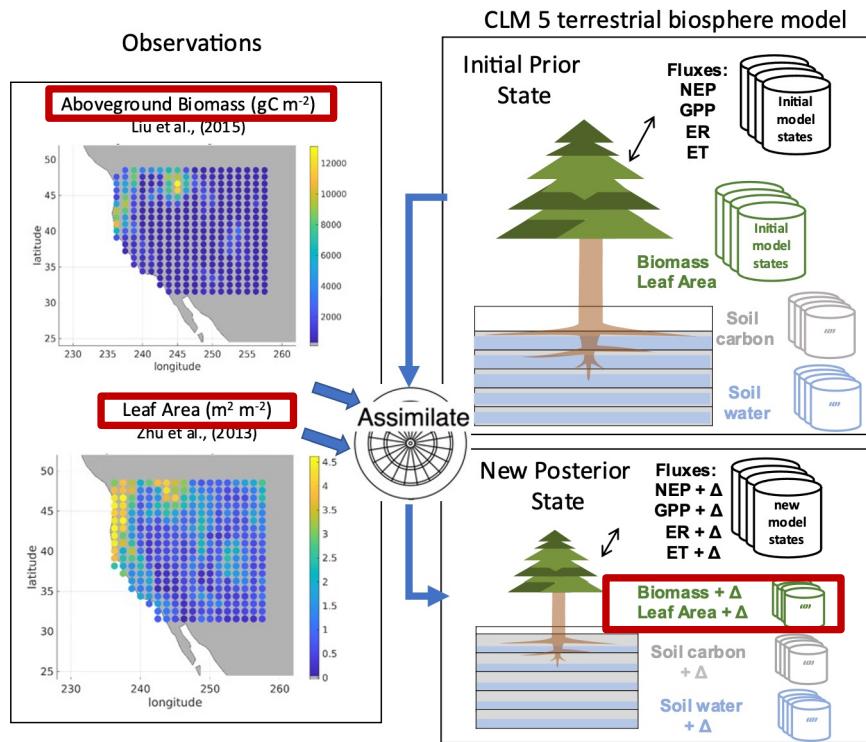
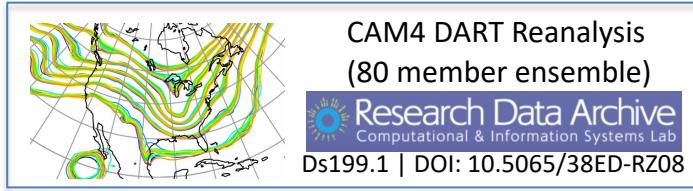


Carbon and nitrogen cycles

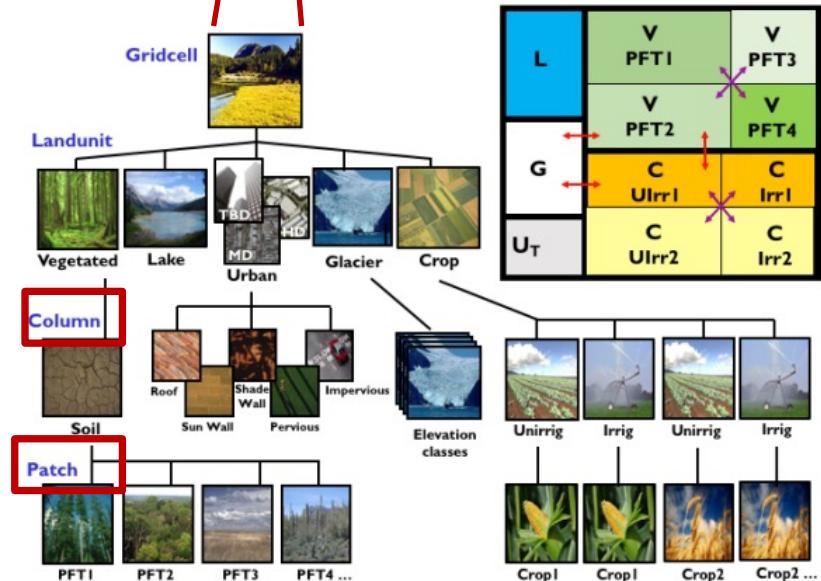


- The carbon cycle is coupled to, and limited by, the nitrogen and water cycles

CLM5-DART Overview

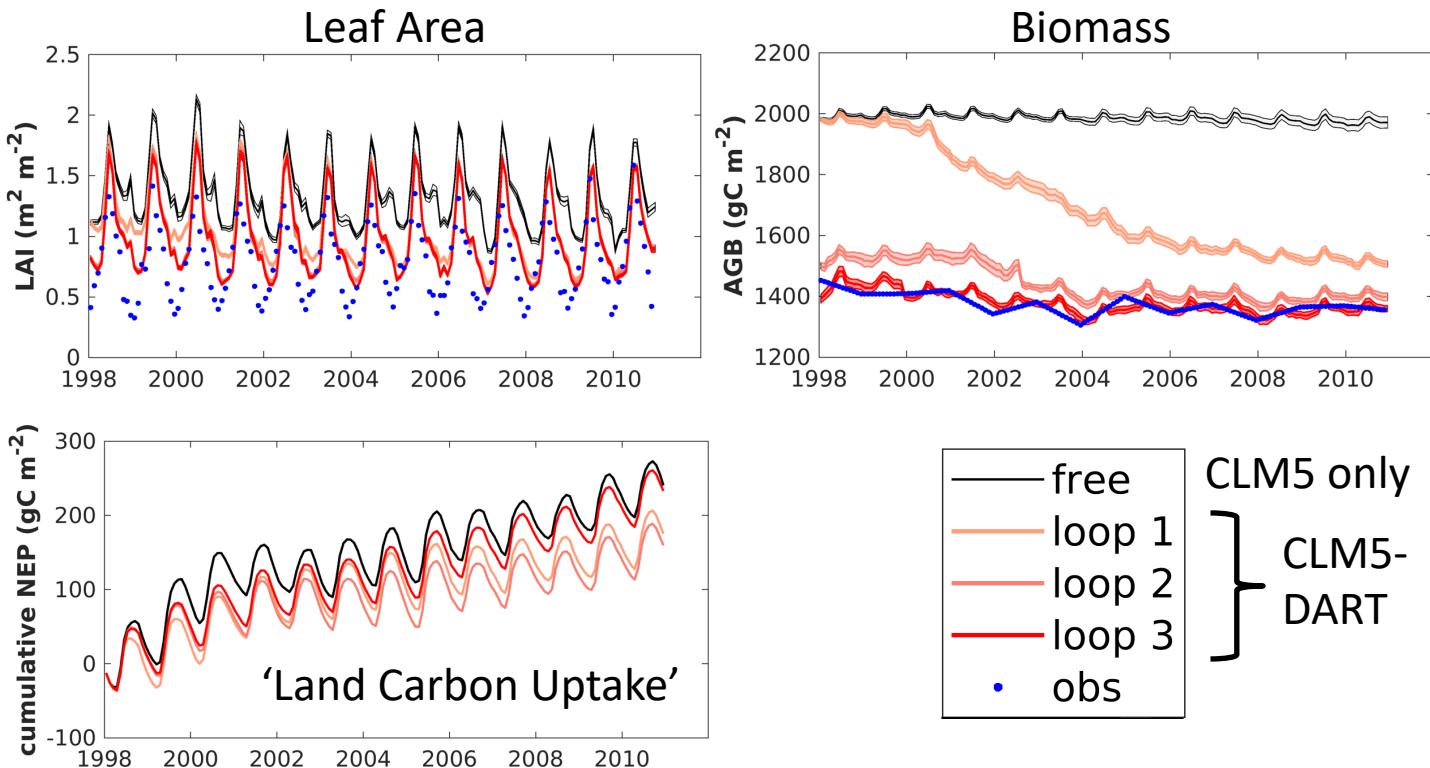
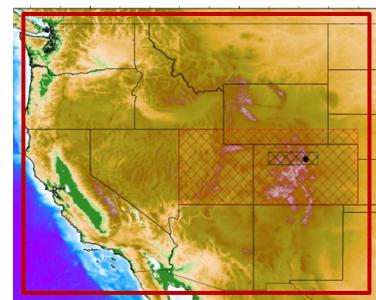


Grid Cell
($\sim 1^\circ \times 1^\circ$)



Observations reduce biomass/leaf area, net carbon flux steady

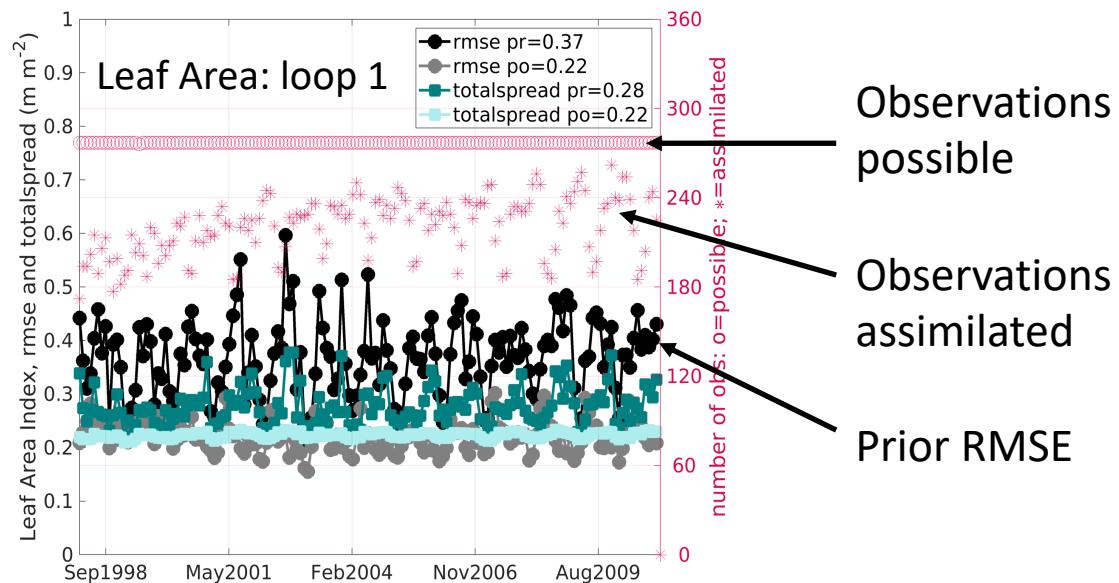
- ~30 % reduction in AGB and LAI respectively



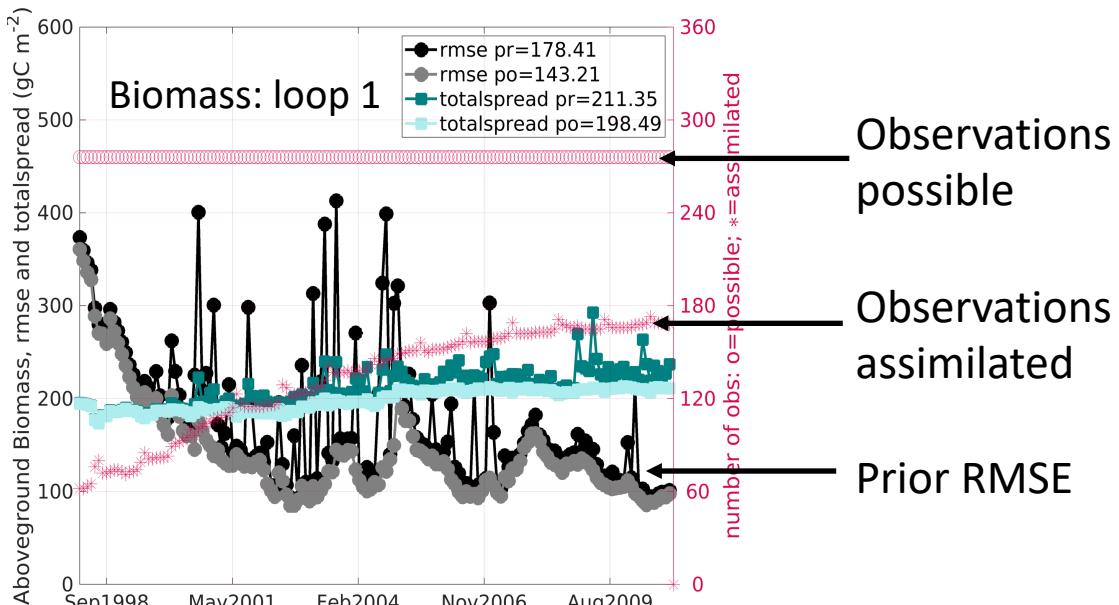
Simulation Name	AGB (kgC m^{-2})	LAI (m m^{-2})	GPP ($\text{gC m}^{-2} \text{ month}^{-1}$)	ER ($\text{gC m}^{-2} \text{ month}^{-1}$)	NEP ($\text{gC m}^{-2} \text{ month}^{-1}$)
<i>Free</i>	1.98	1.31	48.18	47.18	1.00
<i>CLM5-DART</i>	1.36	0.96	38.49	37.21	1.28

Diagnostics of LAI/AGB observation acceptance and RMSE

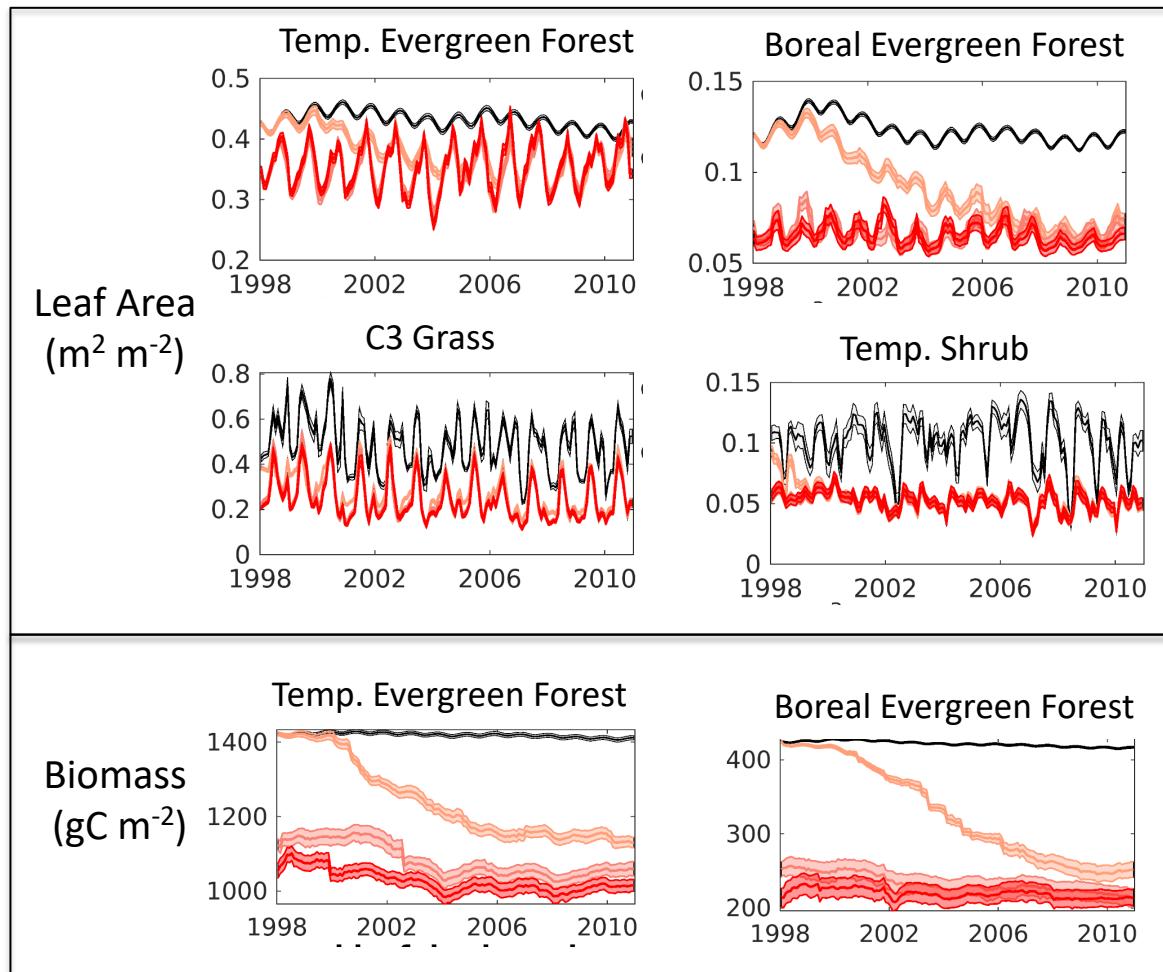
Leaf Area : steady acceptance rate (90%) seasonal dependence, RMSE steady



Biomass : increasing acceptance rate (75%), decreasing RMSE

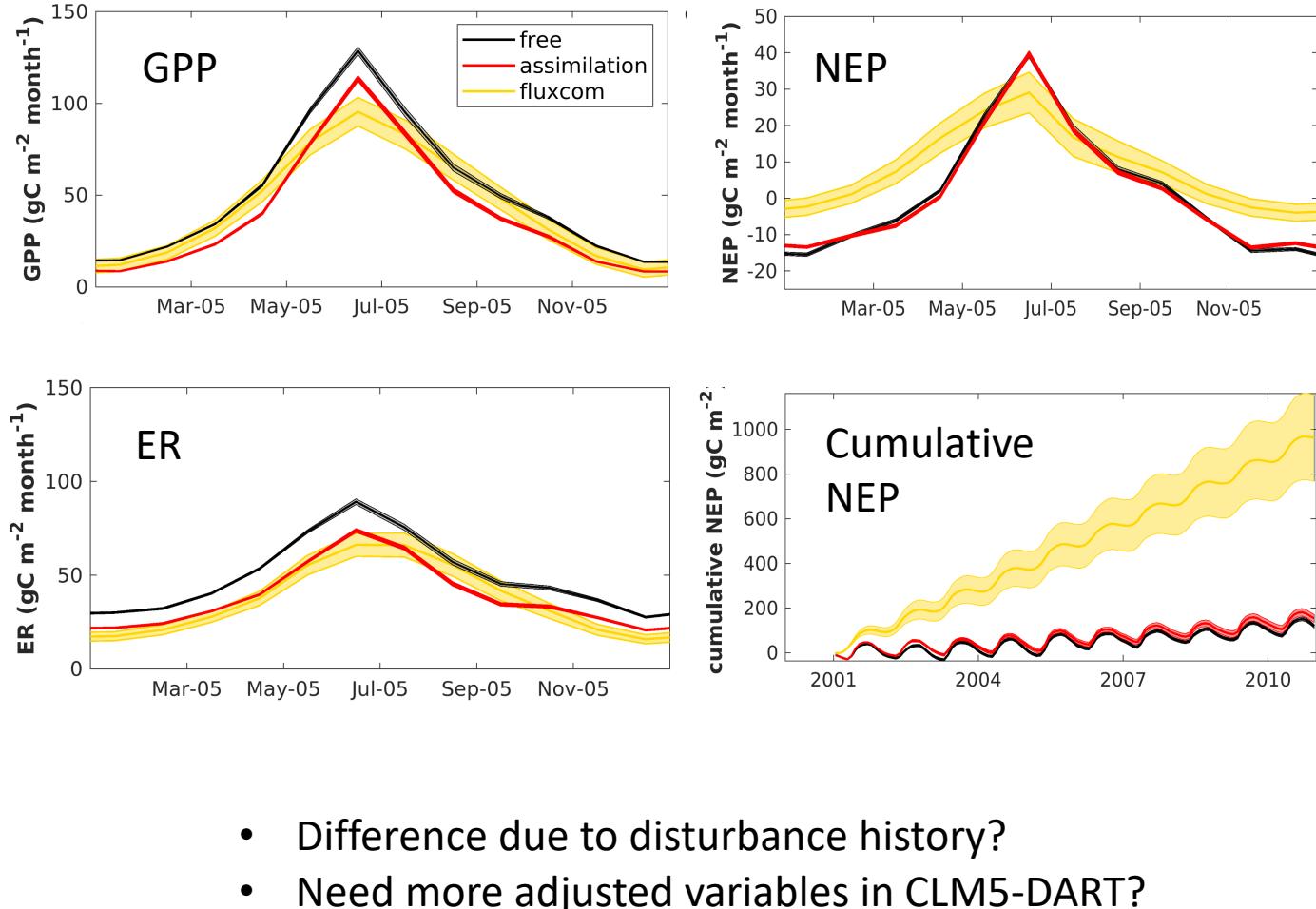


Behavior for dominant PFTs within domain



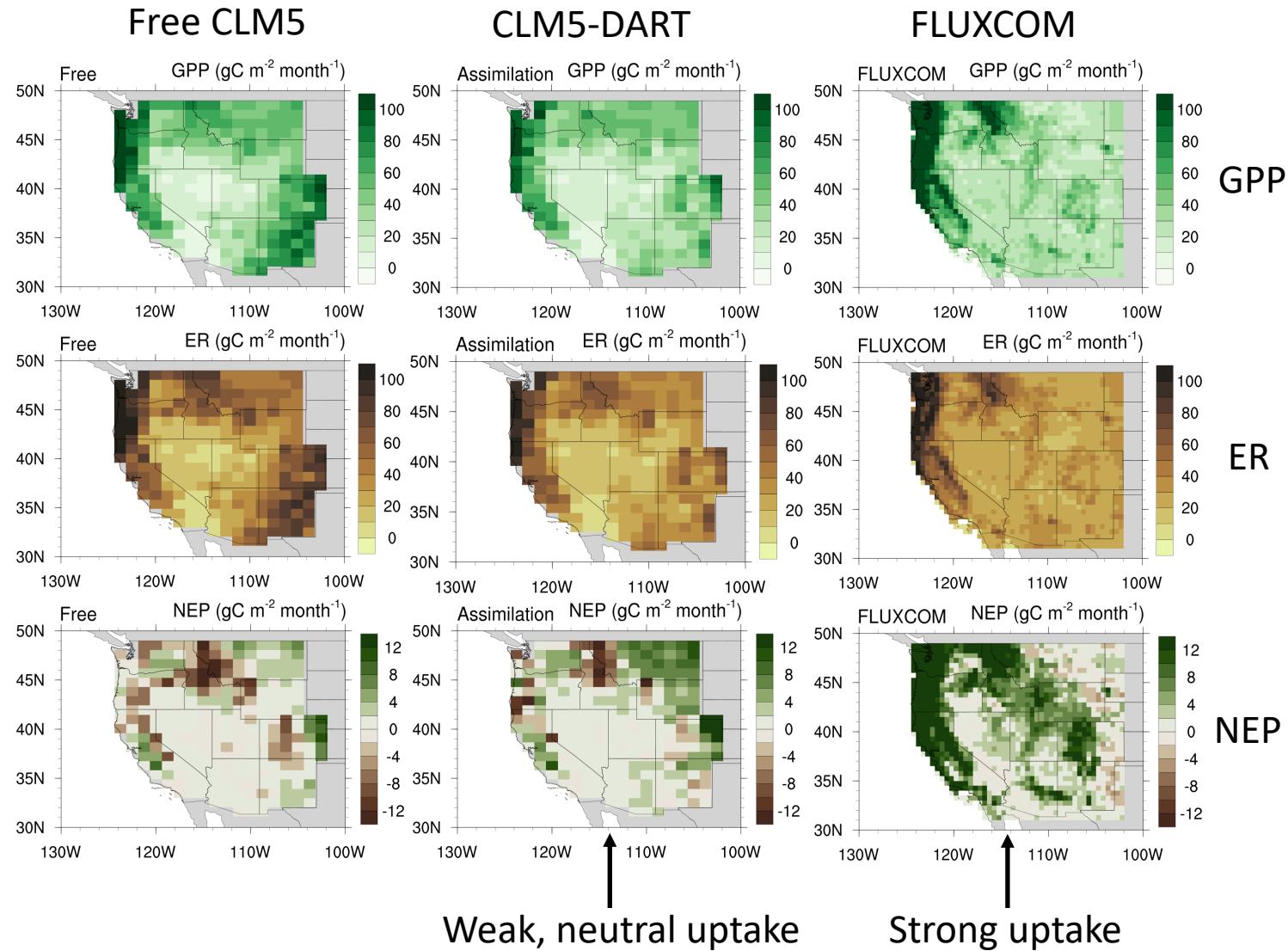
CLM5-DART simulates weak carbon sink compared to FLUXCOM

- CLM5-DART (**red**) reduces biomass states create offsetting reductions in GPP and ER compared to free run
- FLUXCOM (**yellow**): Machine learning approach that uses flux tower data, satellite data and meteorology as explanatory variables for carbon cycling data product Jung et al., (2020).



CLM5-DART simulates weak carbon sink compared to FLUXCOM

1998-2011
Average
Fluxes



Weak, neutral uptake

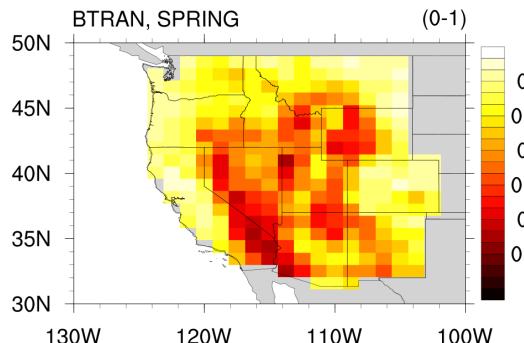
Strong uptake



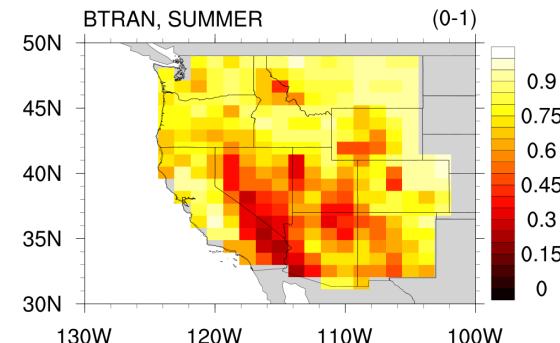
Water limitation shapes carbon uptake pattern

- Soil moisture limitation and GPP highly correlated (spring: $R=0.64$; summer: $R=0.67$)

Spring (1998-2011)

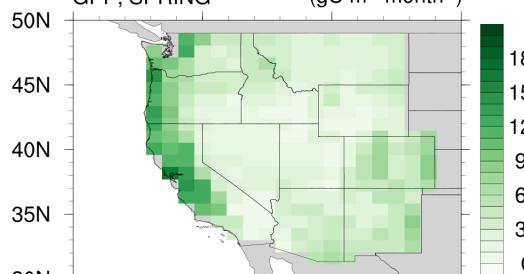


Summer (1998-2011)

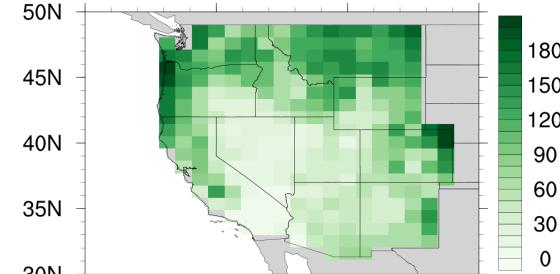


Soil moisture limitation

GPP, SPRING ($\text{gC m}^{-2} \text{ month}^{-1}$)

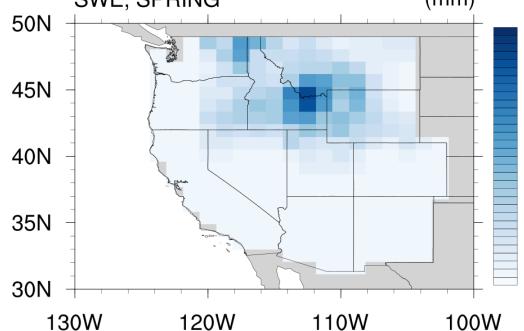


GPP, SUMMER ($\text{gC m}^{-2} \text{ month}^{-1}$)

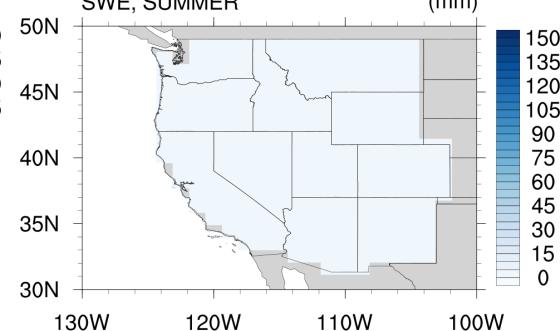


GPP

SWE, SPRING (mm)



SWE, SUMMER (mm)



Snow water equivalent

- Simulated snow has low bias

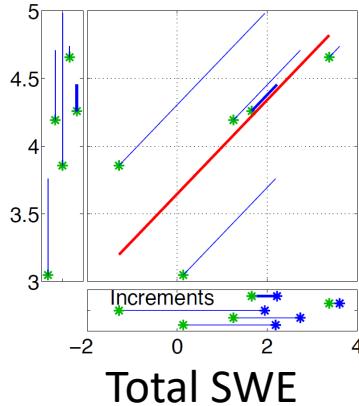


Current challenges in Land DA : Snow

Snow (SWE)
Observations



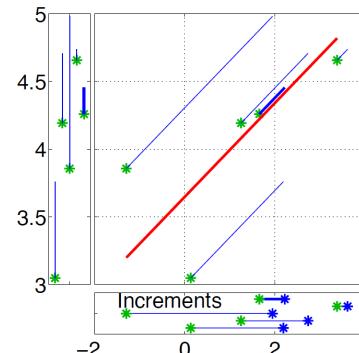
Snow
Layer
 $i = n$



Snow Layer _i + Δ
" "
i= 2
" "
i= 3
" "
i= n
Ground

$$\Delta \text{Total SWE} \neq \Sigma(\Delta \text{Layers}) \quad \times$$

Total
SWE

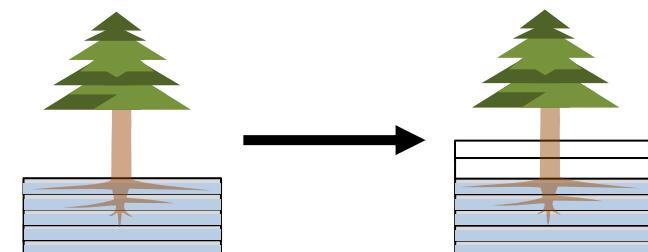


Repartition
Algorithm

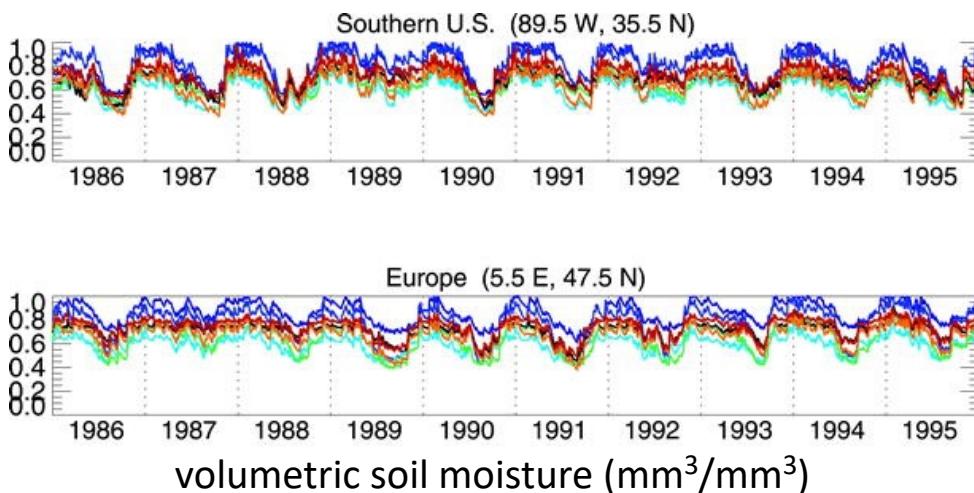
Snow Layer _i + Δ
" "
i= 2
" "
i= 3
" "
i= n
Ground

$$\Delta \text{Total SWE} = \Sigma(\Delta \text{Layers}) \quad \checkmark$$

- Generating Snow ??

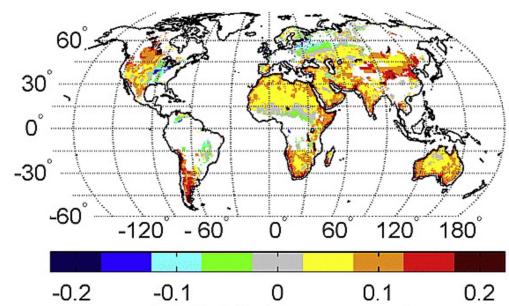


Current challenges in Land DA : Soil Moisture

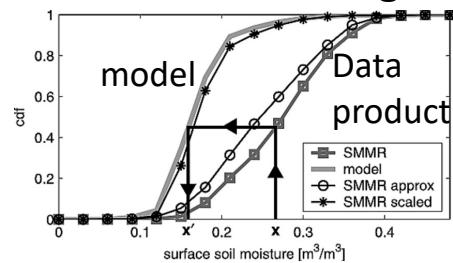


- Soil moisture (SIF, LAI) data are prone to systemic bias in magnitude and variability, but have useful information to assimilate
- CDF matching re-scales data products to match the bias and variability of a model

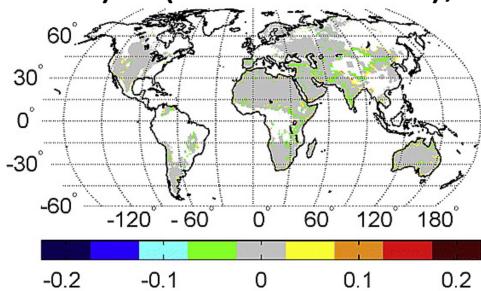
(Model) – (Data Product), Before



CDF Matching



(Model) – (Data Product), After



Koster et al., 2009
(J. of Climate)

Reichle & Koster 2004 (GRL)

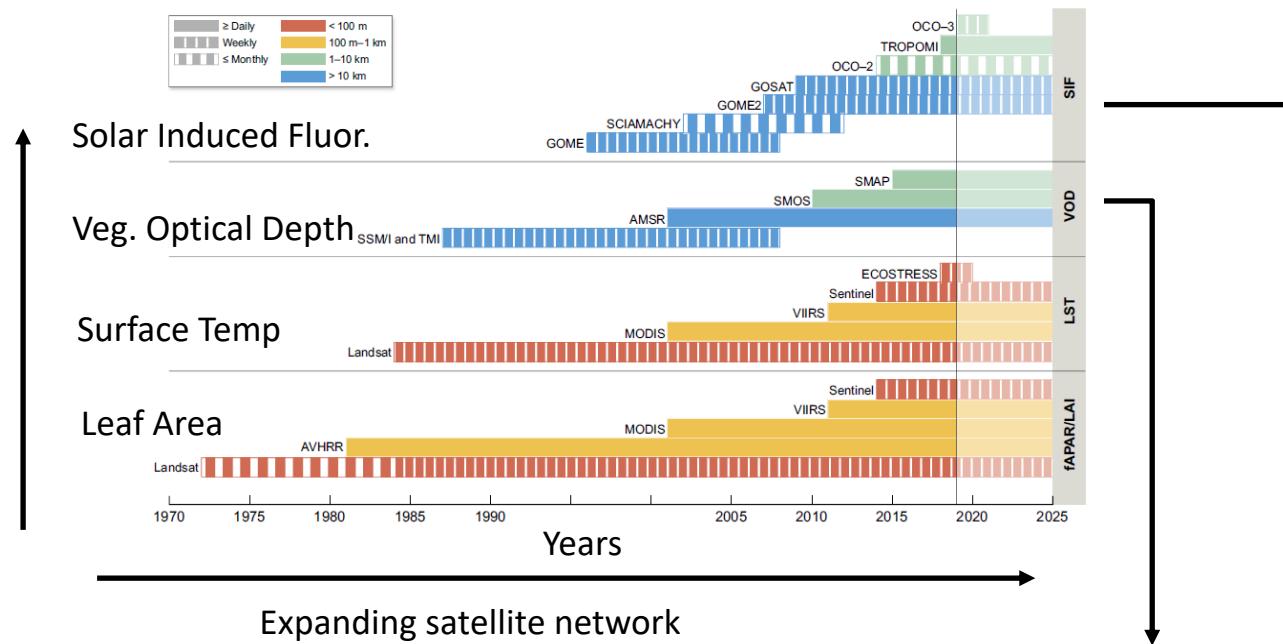
Advancing models & observations together

Expanding
land surface
properties

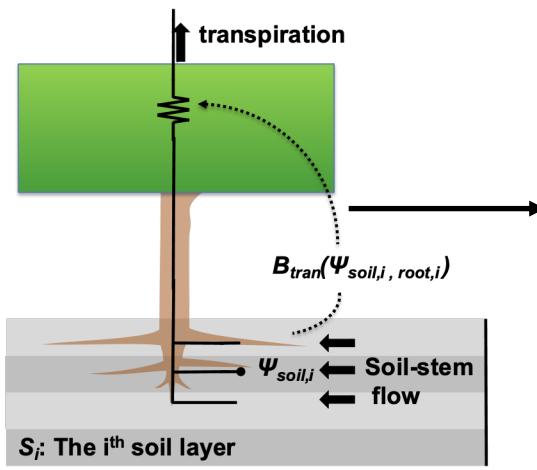


Constraining estimates of terrestrial carbon uptake: new opportunities using long-term satellite observations and data assimilation

William K. Smith¹ , Andrew M. Fox¹ , Natasha MacBean² , David J. P. Moore¹ and Nicholas C. Parazoo³

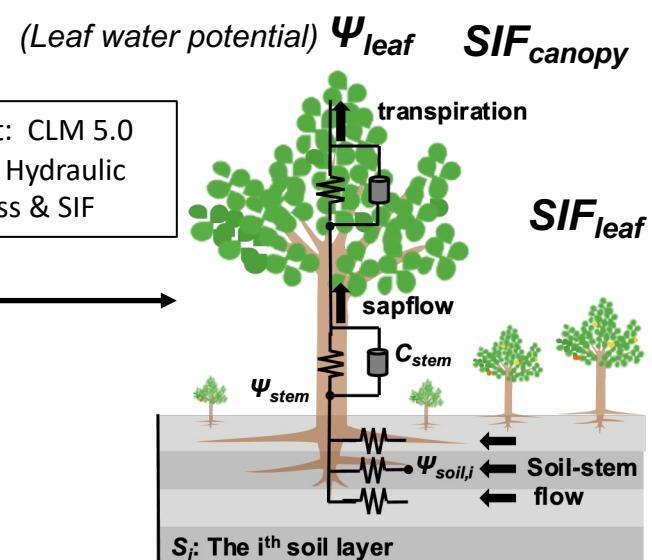


CLM 4.5
(Soil Moisture Stress
Formulation)



Current: CLM 5.0
Added Hydraulic
Stress & SIF

Increasing model
complexity



For more information:



<https://dart.ucar.edu>

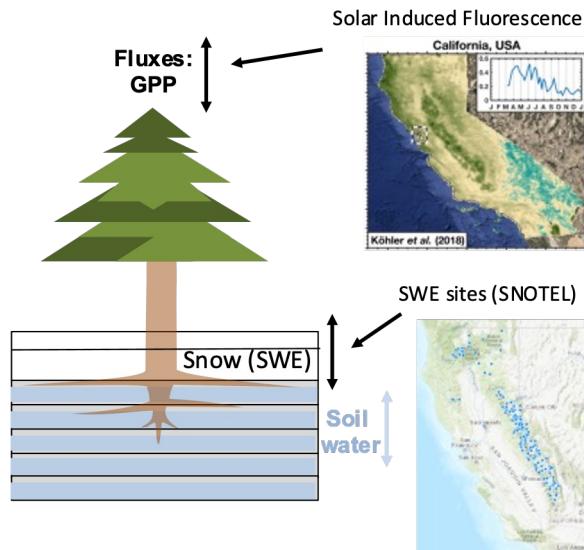
<https://docs.dart.ucar.edu>

dart@ucar.edu

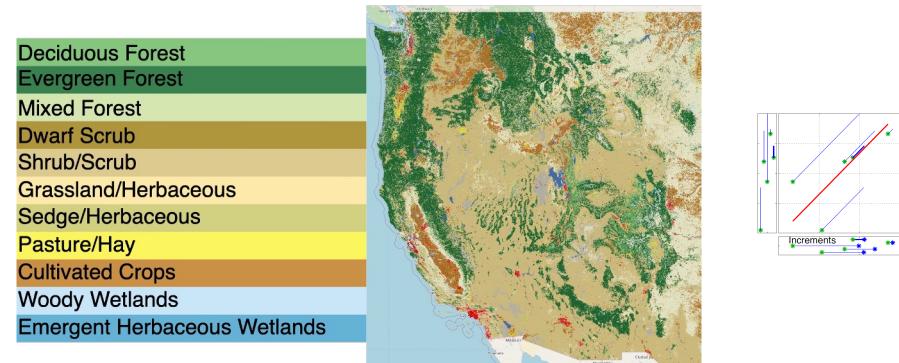
This research was supported by the NASA CMS Program (awards NNX16AP33G and 80NSSC20K0010). CESM is sponsored by the National Science Foundation and the U.S. Department of Energy. We would like to thank the Center for High Performance Computing at the University of Utah. We would also like to acknowledge high-performance computing support from Cheyenne (doi:10.5065/D6RX99HX) provided by NCAR's Computational and Information Systems Laboratory, sponsored by the National Science Foundation, through allocation awards UUSL0005 and UUSL0007.

Future Directions

Additional data streams help constrain carbon cycling



Using high res land cover maps for improved forward operators (PFT specific).

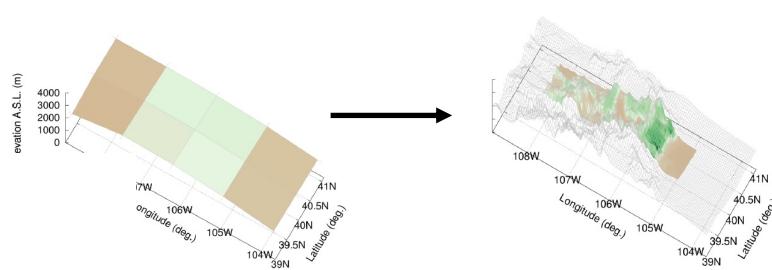


Finer Spatial Resolution?

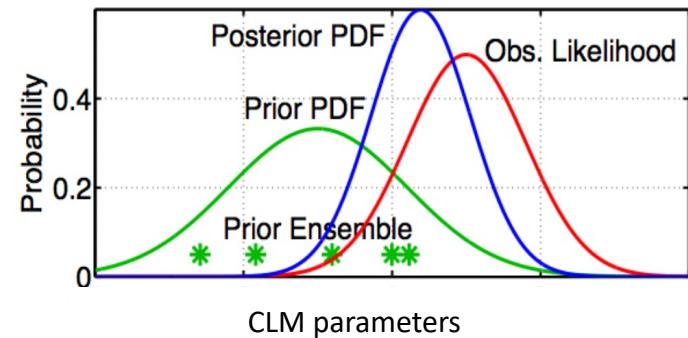
Atmosphere:

CAM4 Reanalysis (~2°) → CAM6 Reanalysis (~1°)
Ds199.1 | DOI: 10.5065/38ED-RZ08 Ds345.0 | DOI: 10.5065/JG1E-8525

Land surface:



Parameter Estimation



CLM5-DART Methods/Terminology

- Remotely Sensed ‘Observations’: $(1.25^\circ \times 0.95^\circ)$
Averaged to match model spatial resolution (reduces representation error)
- Observation Rejection Threshold (3 sigma): Reduces impact of systematic errors
- Adaptive ‘Inflation’ : Improve sampling of model error
- Spatial Localization:
Horizontal range: ~ 100 km

‘Standard’ Adjusted State Variables (Biomass C, N)

- State Space Localization:
Select most important variables
for carbon cycling

Leaf carbon	Leaf nitrogen
Live stem carbon	Fine root nitrogen
Dead stem carbon	Live coarse root nitrogen
Leaf area index	Dead coarse root nitrogen
Fine root carbon	Live stem nitrogen
Live coarse root carbon	Dead stem nitrogen
Dead coarse root carbon	



Extra Slides/Ideas

“Meeting in
the middle
manuscript”

Alexei
Shiklamanov

Parameter
estimation

Look for Tim
Hoar poster for
other land data
assimilation
work.

Add now slide
from Zhang to
introduce
concept.

Andy Fox
Slide.

Slide
components of
an assimilation,
where spread?

Sensitivity to
met forcing, an
model types, H.
Duarte.

Slide 1 & 2 : (Advances in modeling and data
assimilation) : Including explicit representation
of slope/aspect on surface energy balance.
Meeting in the middle manuscript.

Slide 3: Systemic biases between model and
observations (leaf area rejection)

Inflation
Pre-processing of observations, CDF
Meteorological Forcing → Err on side
of over-productivity (snow)

