

# Hash table

## What is hashing?

- To reduce the key space to a reasonable size
- Each key is mapped to a unique index (**hash value/code/address**)
- Search time remains  $O(1)$  on the average

**hash function:** {all possible keys}  $\rightarrow$  {0, 1, 2, ...,  $h-1$ }

- The array is called a **hash table**
- Each entry in the hash table is called a **hash slot**
- When multiple keys are mapped to the same hash value, a **collision** occurs
- If there are  $n$  records stored in a hash table with  $h$  slots, its **load factor** is  $\alpha = \frac{n}{h}$

# PROPERTIES OF A *GOOD* HASH FUNCTION

- A good hash function should:
  1. Return indexes that fit within the size of the array
    - *i.e.*,  $[0 \dots \text{arrayLength}-1]$
  2. Be fast to compute
    - The hash function is a critical factor in access time
  3. Be repeatable (*i.e.*, always return same index) for a given key
  4. Distribute keys evenly over the full range of the array
    - This is to minimise collisions, a major issue in hash tables
- Properties **1–3 are easy to ensure**, **Property 4 is not**
  - You don't know what keys you'll be getting in advance, so it's not possible to ensure they will be evenly distributed

# COLLISION HANDLING METHODS

- OpenAddressing: Upon a collision, jump forward ('probe') a set amount to a new index and try again
  - If the new index is also used, repeat the probe until an empty index is found
- 1. Linear Probing – probe by step size of one every time
- 2. Quadratic Probing – probe forward by  $(\text{probeNum})^2$ 
  - i.e., probe first by 1, then 4, then 9, 16, 25, 36, 49, ...
- 3. Double Hashing – use a *secondary* (different) hash function on the key to generate the probe step length
- Separate Chaining: Key-value pairs are added to a linked list anchored at the colliding hash index

# DOUBLE HASHING

- Quadratic probing's increasing-step-size is an issue
  - Cannot guarantee that all slots will be visited
    - So if only one free slot, the quadratic stepping might miss it
      - Although hash tables aren't usually *that* full
  - The secondary clustering is also an issue: inefficient
- Double hashing seeks to solve these problems
  - Calculate a step size based on the *key*
    - Each key will have its own step-size increment
      - Greatly reduces secondary clustering
    - Step-size for a given key won't change, thus with a prime-sized table it is *guaranteed* to be able to visit all slots
      - Because no common divisor between step-size and table-size

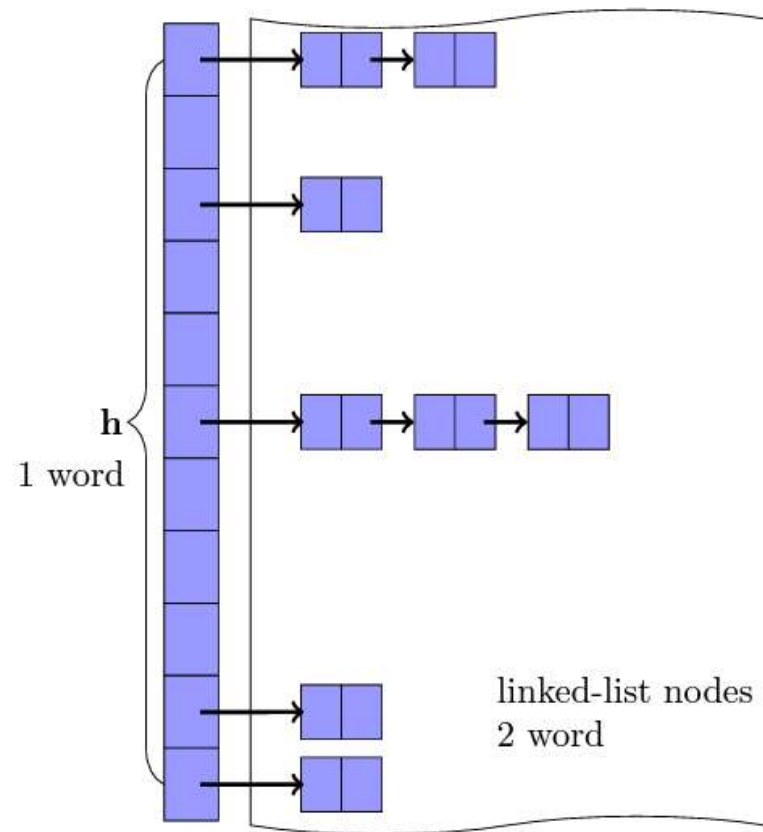
# DOUBLE HASHING

- Step-size calc is done by a *second* hash function
  - Simple hash functions are good enough: we aren't overly concerned with even distribution since it's just step-size
  - Define a maximum step-size and make that the modulo
  - Must not produce 0 step sizes though
  - A good secondary hash function is:

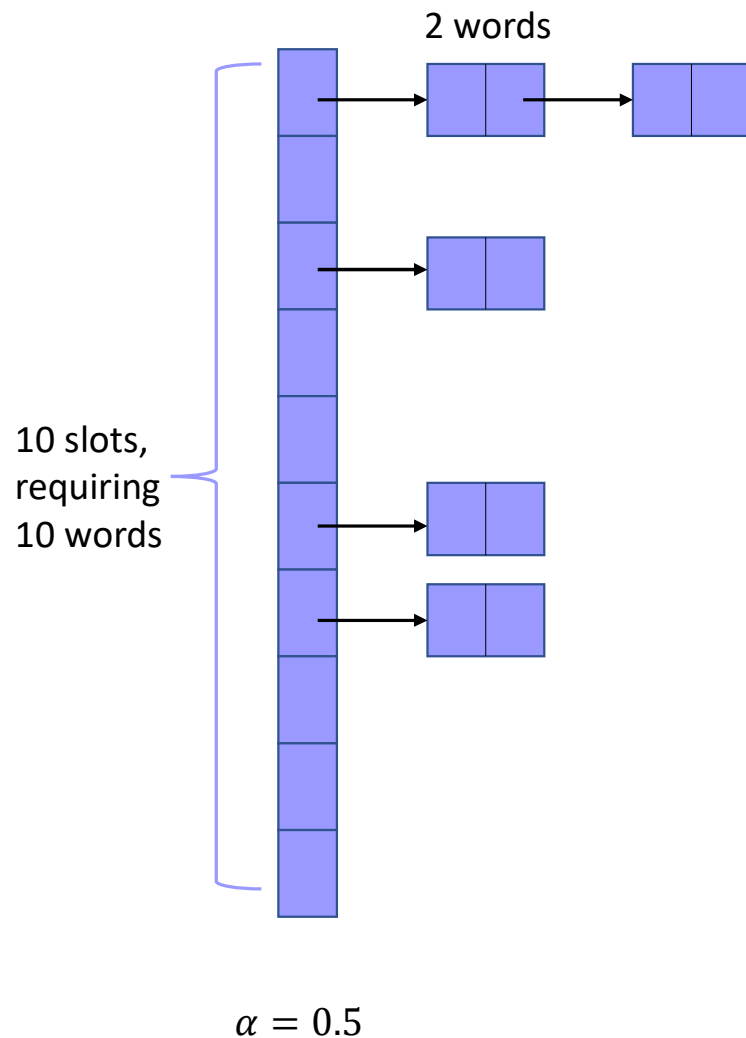
```
FUNCTION stepHash IMPORT key (integer)
                EXPORT hashStep (integer)
hashStep ← MAX_STEP - ( key % MAX_STEP ) // Step size will be between 1 and maxStep
```

- MAX\_STEP should be small-ish; certainly  $\ll$  table size!
- Use a prime number for MAX\_STEP

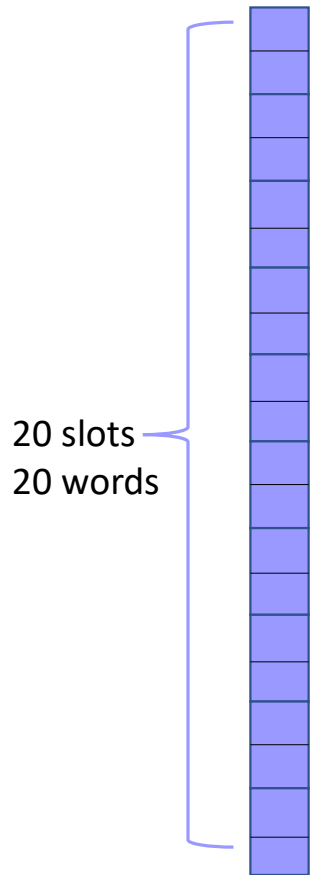
# Q1



- The type of a hash table  $H$  under closed addressing is an array of list references, and under open addressing is an array of keys. Assume a key requires one “word” of memory and a linked list node requires two words, one for the key and one for a list reference.
- Consider each of these load factors for closed addressing: 0.5, 1.0, 2.0. Estimate the total space requirement, including space for lists, under closed addressing
- Assuming that the same amount of space is used for an open addressing hash table, what are the corresponding load factors under open addressing?



- Let  $h$  be hash table size. There are  $h$  slots.
  - Load factor  $\alpha = \frac{n}{h}$
1. When  $\alpha = 0.5$ , under closed addressing
    - $n=0.5h$ , meaning there are  $0.5h$  keys, which are  $0.5h$  nodes.
    - Each node require 2 words.
    - Total space is  $2n + h = 2 \times 0.5h + h = 2h$ .
  2. When  $\alpha=1$ 
    - There are  $h$  nodes
    - Total space:  $h \times 2 + h = 3h$ .
  3. When  $\alpha=2$ 
    - There are  $2h$  nodes
    - Total space:  $2h \times 2 + h = 5h$ .



- Assuming that the same amount of space is used for an open addressing hash table, what are the corresponding load factors under open addressing?

1. When there are  $0.5h$  keys, and given  $2h$  space, the corresponding load factor under open addressing is

$$\alpha = \frac{0.5h}{2h} = 0.25$$

2. When there are  $1h$  keys, and given  $3h$  space,

$$\alpha = \frac{h}{3h} = 0.33$$

3. When there are  $2h$  keys, and given  $5h$  space,

$$\alpha = \frac{2h}{5h} = 0.4$$



## Q2

- Consider a hash table of size  $n$  using open address hashing and linear probing. Suppose that the hash table has a load factor of 0.5, describe with a diagram of the hash table, the best-case and the worst-case scenarios for the key distribution in the table.
- For each of the two scenarios, compute the average-case time complexity in terms of the number of key comparisons when inserting a new key. You may assume equal probability for the new key to be hashed into each of the  $n$  slots. [Note: Checking if a slot is empty is not a key comparison.]

$n=7$

$H(k) = k \bmod n$

0	0
1	
2	2
3	
4	4
5	
6	6

- Linear Probing: probe the next slot when there is a collision
  - $H(k,i) = (k+i) \bmod n$ , where  $i \in [0, n-1]$
- There are  $n$  slots,  $\alpha=0.5$ , there are  $n/2$  keys.
- Best case scenario:
  - The  $n/2$  keys are hashed and distributed evenly into the  $n$  slots
- Assume that equal probability for a key to be hashed into each of the  $n$  slots, the average-case time complexity

$$= \frac{1}{n} \left( \sum_{i=1}^{\frac{n}{2}} 0 \right) + \frac{1}{n} \left( \sum_{i=1}^{\frac{n}{2}} 1 \right) = \frac{1}{n} \times \frac{n}{2} = 0.5 = \Theta(1)$$

$n=7$

$H(k) = k \bmod n$

0	
1	
2	
3	3
4	10
5	17
6	24

- Linear Probing: probe the next slot when there is a collision
  - $H(k,i) = (k+i) \bmod n$ , where  $i \in [0, n-1]$
- There are  $n$  slots,  $\alpha=0.5$ , there are  $n/2$  keys.
- Worse case scenario:
  - The  $n/2$  keys are hashed in consecutive slots. Each key always has to rehash and visit every key in the table. The  $i$ th key is hashed and rehash  $i$  times to get the slot.
- Average-time-complexity

$$\begin{aligned} &= \frac{1}{n} \left( \sum_{i=1}^{\frac{n}{2}} 0 \right) + \frac{1}{n} \left( \sum_{i=1}^{\frac{n}{2}} i \right) = \frac{1}{n} \times \frac{\frac{n}{2} \times (1 + \frac{n}{2})}{2} \\ &= \frac{n}{8} + \frac{1}{4} = \Theta(n) \end{aligned}$$

## Q3

- Each character in the string is assigned a numeric value, and the entire string is then interpreted as a number in radix  $2^p$
- For a string  $S=C_1C_2C_3\dots C_n$ , where each  $C_i$  is a character with a numerical value  $a_i$ , its numerical representation in radix  $2^p$  is:
- $k = a_1 \times (2^p)^{n-1} + a_2 \times (2^p)^{n-2} + \dots + a_n \times (2^p)^0$

## Q3

- Let  $x$  and  $y$  be two strings that are permutations of each other. This means they contain the same characters, just arranged differently.

The numerical representations of  $x$  and  $y$  are:

- $k_x = a_1 \times (2^p)^{n-1} + a_2 \times (2^p)^{n-2} + \dots + a_n \times (2^p)^0$
- $k_y = b_1 \times (2^p)^{n-1} + b_2 \times (2^p)^{n-2} + \dots + b_n \times (2^p)^0$
- *Fact:*  $(2^p)^i \equiv 1 \pmod{2^p - 1}$ , for any integer  $i$ . This means that every power of  $2^p$  is congruent to 1 modulo  $2^p - 1$ .

## Q3

- Applying Modulo  $2^p - 1$
- $k_x \equiv a_1 + a_2 + \cdots a_n \text{ mod } (2^p - 1)$
- $k_y \equiv b_1 + b_2 + \cdots b_n \text{ mod } (2^p - 1)$
- As  $a_1 + a_2 + \cdots a_n = b_1 + b_2 + \cdots b_n$
- $k_x \equiv k_y \text{ mod } (2^p - 1)$
- $h(k_x) = h(k_y)$

## Q3

- This property can lead to hash collisions in applications where order matters.
- Password Hashing "1234" and "4321" should produce different hashes, but under this scheme, they do not.
- Database Indexing "ABCD" and "BCDA" mapping to the same hash could cause incorrect lookups.
- Cryptographic Signatures: Digital signatures should change if even a small character swap happens.