

Lecture 2 cont'd.

all $n \geq 4$.

Sometimes the Induction

Principle can be expressed
as follows.

Let S be a subset of \mathbb{N}
such that

(1) $P_{(1)}$ is true.

(2) For every $k \in \mathbb{N}$,

if $P(1), \dots, P(k)$ are all

true, then $P(k+1)$ is true,

Then $P(n)$ is true for
all $n \in \mathbb{N}$.

This is sometimes called

the Principle of Strong

Induction.

Ex. Suppose a sequence

$\{x_n\}$ is defined by

$$x_1 = 1, \quad x_2 = 2 \quad \text{and}$$

$$x_{n+2} = \frac{1}{2}(x_{n+1} + x_n).$$

Use Strong Induction to
show that

$$1 \leq x_n \leq 2, \quad \text{all } n \in N.$$

Let P_{1n} be the statement
that $1 \leq x_n \leq 2$.

Note that P_{11} and P_{12}
both hold by hypothesis.

Now let $k \in \mathbb{N}$ with $k \geq 2$,
and suppose that P_{1j} is
true for all $j \leq k$.

Then $x_{k+1} = \frac{1}{2}(x_k + x_{k-1})$

$$\nearrow \leq \frac{1}{2}(2+2) = 2$$

by strong induction
hypothesis

and

$$x_{k+1} = \frac{1}{2}(x_k + x_{k-1})$$

$$\nwarrow \geq \frac{1}{2}(1+1) = 1$$

by strong ind.
hypothesis

Hence $1 \leq x_{k+1} \leq 2$,

which shows that $P(k+1)$

is true. Thus the Strong

Induction Principle

implies that $P(n)$ is

true for all $n \in N$.

1.3 Finite and Infinite Sets

Let $N_m = \{1, 2, \dots, m\}$.

1. A set S has m elements if there is a bijection f from N_m onto S
2. A set S is finite if it has m elements (m is unique).
3. S is infinite if it is not finite

4. A set S is denumerable

if there is a bijection of

\mathbb{N} onto S

5. S is countable if it is either

finite or denumerable.

6. S is uncountable if it is

not countable

Ex. Some examples.

The set $E = \{2n : n \in N\}$

of even natural numbers
is denumerable.

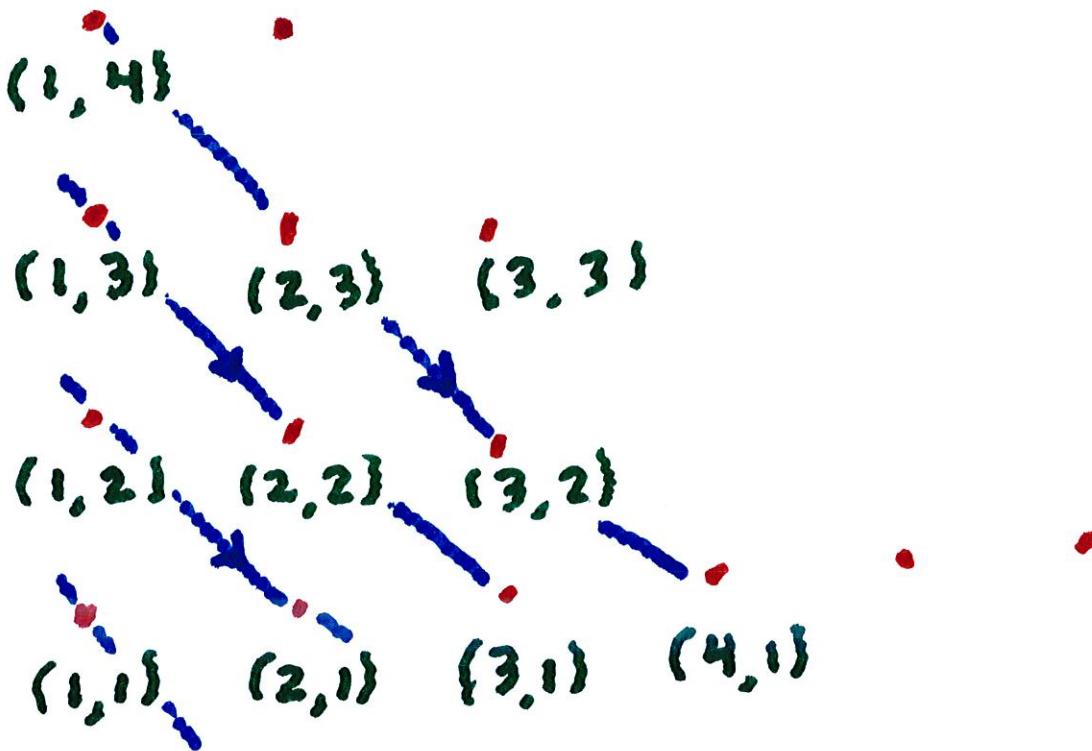
So is $\mathbb{Z} = \{0, 1, -1, 2, -2, \dots\}$

So is $P = \{2, 3, 5, 7, 11, \dots\}$

(the set of prime numbers).

$p_1 = 2, p_2 = 3, p_3 = 5, \text{ etc.}$

Is $\mathbb{N} \times \mathbb{N}$ denumerable?



Follow first diagonal,
then the second, then
the third, etc. .

11

7

4

8

2

5

9

1

3

6

10

Using this method, let

$f(m, n)$ = value assigned
to (m, n) .

Thus $f(1, 1) = 1 \quad f(1, 2) = 2$

$f(2, 1) = 3. \quad f(1, 3) = 4$

... $f(4, 1) = 10, \dots$

Sum of first 2 diagonals

$$= 1 + 2 = 3 \quad f(2, 1) = 3$$

Sum of k diagonals is

$$1 + 2 + 3 + \dots + k = \frac{k(k+1)}{2}.$$

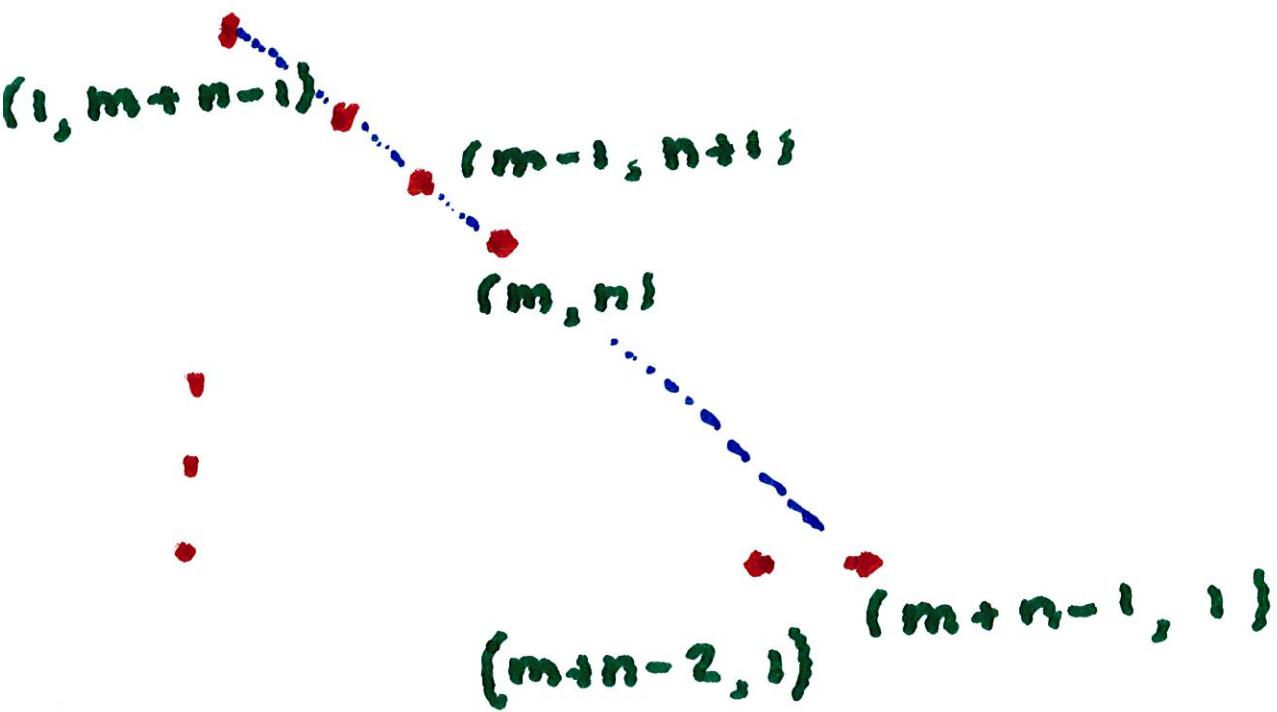
$$f(k, 1) = \frac{k(k+1)}{2}.$$

We see that the endpoints of

$(m+n-1)$ -th diagonal are

$(1, m+n-1)$ and $(m+n-1, 1)$.

Hence the predecessor of
 $(1, m+n-1)$ is $(1, m+n-2)$.



Hence,

$$f(m, n) = f(m-1, n+1) + 1$$

$$= f(m-2, n+2) + 2$$

⋮

$$= f(1, m+n-1) + (m-1)$$

$$= f(m+n-2, 1) + m$$

$$f(m, n) = \frac{(m+n-2)(m+n-1)}{2} + m$$

Observe that as we move along the path, $f(m, n)$ increases by 1 with each step. Therefore,

$f: N \times N \rightarrow N$ is 1-to-1

and onto

It follows that f has an inverse $g: N \rightarrow N \times N$ that is also 1-to-1 and onto.

g satisfies

$$g(1) = (1, 1)$$

$$g(2) = (1, 2)$$

$$g(3) = (2, 1)$$

$$g(4) = (1, 3), \text{ etc.}$$

In general

$$g(k) = \{m(k), n(k)\}$$

for $k = 1, 2, \dots$

Now define a

function $\pi(m, n) = \frac{m}{n}$

and also define

$$h(k) = \pi(g(k)) = \frac{m(k)}{n(k)}$$

This is the k-th positive

rational number at

the k-th point on

the path.

Thus we obtain a

function $h: N \rightarrow Q^+$

that is onto but

not 1-to-1.

We want to modify h

to make it 1-to-1 and onto.

But we first prove:

Thm. 1. Suppose that

$h: N \rightarrow S$ is surjective,

where S is infinite. Then

there is a function

$H: N \rightarrow S$ that is 1-to-1

and onto. Thus,

S is denumerable.

Proof. Our goal is to construct a sequence

$$n_1, n_2, \dots, n_g, \dots$$

so that all the elements $h(n_i)$ are all distinct, $1 \leq i < \infty$

and so every element s of S

equals $h(n_i)$ for some i .

Thus the function $H: N \rightarrow S$

defined by

$$H(i) = h(n_i), \quad i=1, 2, 3, \dots$$

is a bijection of \mathbb{N} onto S .

Set $n_1 = 1$. There are two

possible cases:

(i) If $h(2) \neq h(1) = h(n_1)$,

then set $n_2 = 2$.

or

(iii) There is an integer n_2

with $n_2 \geq 3$, so that

$h(n_2) \neq h(n_1)$ and so that

$h(k) = h(n_1)$ for all k

with $n_1 < k < n_2$.



Similarly, one obtains

a sequence n_ℓ , $\ell = 1, 2, 3, \dots$

with

$$1 = n_1 < n_2 < \dots < n_\ell < \dots$$

so that all the points

n_ℓ , $\ell = 1, 2, \dots$ are all distinct

and so that if

$$n_{\ell-1} < k < n_\ell.$$

then

$$h_k \in \{h_1, h_2, \dots, h_{g-1}\}$$

$$\begin{array}{cccccc} 0 & \cancel{+} & \cancel{0} & \cancel{+} & 0 & -0 & \cancel{+} & \cancel{0} \\ n_1 & n_2 & n_3 & n_4 & \cdots & n_{g-1} & n_g & \cdots \end{array}$$

Since all the elements

$$h(n_1), h(n_2), \dots, h(n_g), \dots$$

are distinct, it follows

that $H: N \rightarrow S$, defined

by $H(i) = h(n_i)$, $i=1, 2, \dots$

is 1-to-1.

Also, H is onto since

any $s \in S$ satisfies $s = h(k)$

$= h(n_i)$ for some $n_i < k$.

Hence $s = H(i)$ for some i .

Sets can be arbitrarily

large: For any set S , let

$\mathcal{P}(S)$ be the set of all
subsets of S .

Cantor's Thm:

There does NOT exist a
map $\varphi: S \rightarrow \mathcal{P}(S)$ that
is onto.

Proof. Suppose

$$\varphi : S \rightarrow \mathcal{P}(S)$$

is a surjection.

Since $\varphi(x)$ is a subset

of S , either x belongs
to $\varphi(x)$ or it does not
belong to $\varphi(x)$. We let

$$D = \left\{ x \in S : x \notin \varphi(x) \right\}$$

Since φ is a surjection,

there exists $x_0 \in S$
such that $\varphi(x_0) = D$.

There are 2 cases :

1. Suppose $x_0 \in D$.

Then $x_0 \in \varphi(x_0)$.

By definition of D ,

$x_0 \notin D$. Contradiction

2. Suppose $x_0 \notin D$.

Then $x_0 \notin \varphi(x_0)$.

By definition of D ,

$x_0 \in D$. Contradiction.

Ex. Suppose $S = \{a, b, c\}$

$$\varphi(S) = \{\emptyset, \{a\}, \{b\}, \{c\}, \{a, b\}, \{a, c\}, \{b, c\}$$

$$\text{and } \{a, b, c\}\}$$

$$\}$$

S has 3 elements,
 $\wp(S)$ has 8 elements.

There does not exist
a surjection from
 S onto $\wp(S)$.