

Recent Protocols for IoT



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Session	MQTT, SMQTT, CoRE, DDS, AMQP, XMPP, CoAP, HTTP, REST, IEC,	Security	Management
Datalink Network	Encapsulation 6LowPAN, 6TiSCH, 6Lo, Thread Routing RPL, CORPL, CARP Wi-Fi, 802.11ah, Bluetooth Low Energy, Z-Wave, ZigBee Smart, DECT/ULE, 3G/LTE, NFC, Weightless, HomePlug GP, 802.15.4e, G.9959, WirelessHART, DASH7, ANT+, LTE-A, LoRaWAN, ISA100.11a, DigiMesh, WiMAX, NB-IoT, SigFox	IEEE 1888.3, TCG, Oath 2.0, SMACK, SASL, EDSA, ace, DTLS, Dice,	IEEE 1905, IEEE 1451, IEEE 1377, IEEE P1828, IEEE P1856

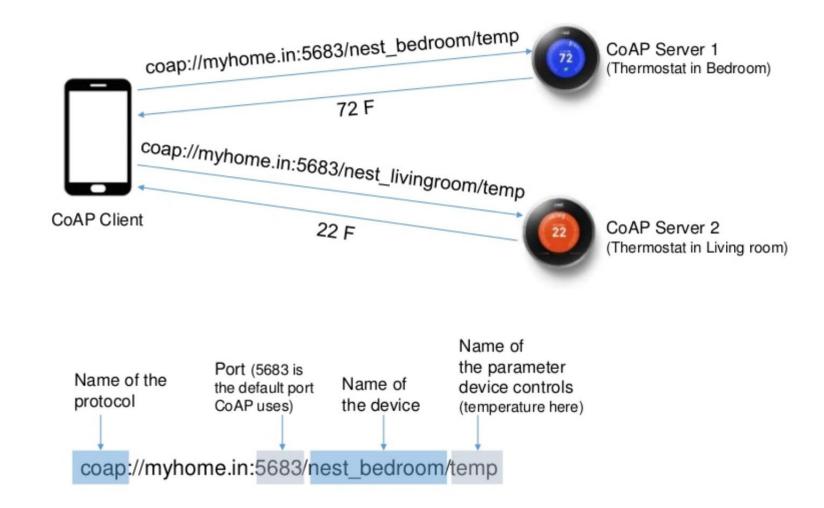
Constrained Application Protocol (CoAP)



- Developed by the Constrained Resource Environments (CoRE) IETF group
- REST-based web transfer protocol (<u>RESTful</u> protocol)
- To communicate over the Internet using <u>UDP</u> instead of TCP (while still providing reliability)
- CoAP has been designed to work on <u>resource-constrained</u> microcontrollers with as low as 10 KB of RAM and 100 KB of code space (RFC 7228).
- CoAP could be used for adapting simple HTTP interfaces into a more compact protocol
 - different message types to request resources from server: GET, PUT, POST, DELETE and OBSERVE
 - subset of HTTP functionality re-designed for low power embedded devices such as sensors (for IoT and M2M)
- Supports multicast and congestion control

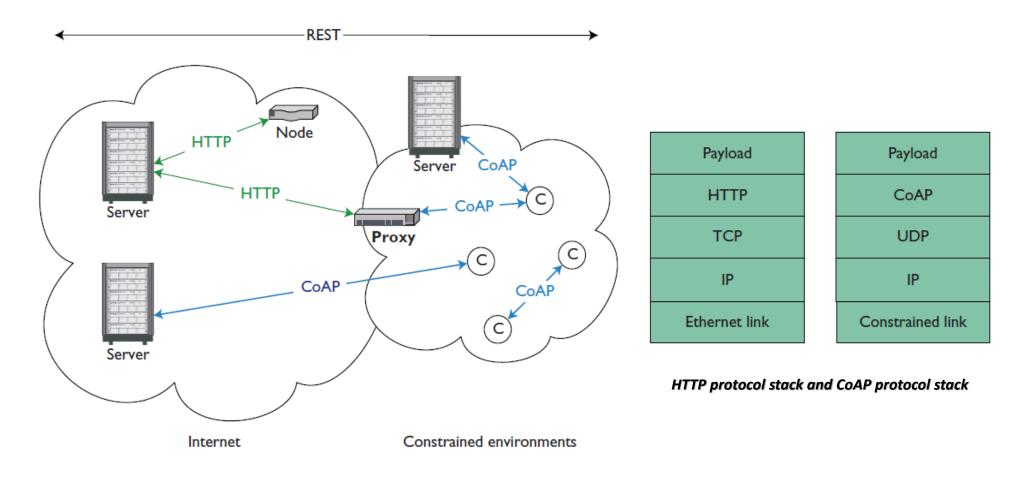
Example of a CoAP Transaction





CoAP Architecture





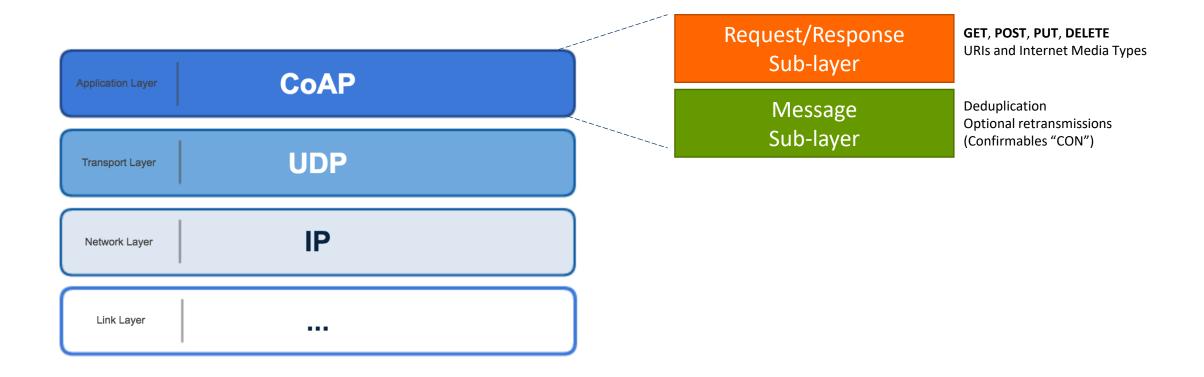
HTTP and CoAP work together across constrained and traditional Internet environments

Bormann, Carsten, Angelo P. Castellani, and Zach Shelby. 2012. "CoAP: An application protocol for billions of tiny Internet nodes." IEEE Internet Computing, vol. 1, no. 2, pp. 62–67, Mar/Apr.

TCP vs UDP



- TCP overhead is too high, and its flow control is not appropriate for short-lived transactions
- UDP has lower overhead and supports multicast



Message Header (4 bytes)



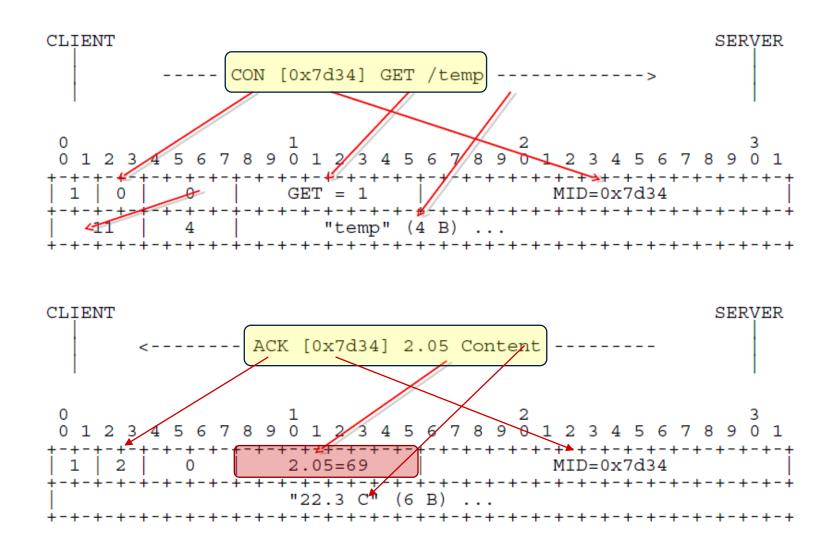
Bit

Bit:	0 1	2 3	4	5	6	7	0	1	2	3	4	5	6	7	0	1	2	3	4	5	6	7	0	1	2	3	4	5	6	7
	Ver	Т		TK	(L					Со	de	•								1	1e	SSC	age	e II	D					
	Token (if any)																													
	Options (if any)																													
	Payload Marker													F	Pa	ylc	ac	i) k	fa	ny)									

```
Ver - Version (1)
T - Message Type (Confirmable, Non-Confirmable, Acknowledgement, Reset)
TKL- Token Length, if any, the number of Token bytes after this header
Code - Request Method (1-10) or Response Code (40-255)
Message ID - 16-bit identifier for matching responses
Token - Optional response matching token
```

Message Mapping





Response Code



CoAP Status Code	Description
2.01	Created
2.02	Deleted
2.03	Valid
2.04	Changed
2.05	Content
2.31	Continue
4.00	Bad Request
4.01	Unauthorized
4.02	Bad Option
4.03	Forbidden
4.04	Not Found
4.05	Method Not Allowed
4.06	Not Acceptable
4.08	Request Entity Incomplete
4.12	Precondition Failed
4.13	Request Entity Too Large
4.15	Unsupported Content-Format
5.00	Internal Server Error
5.01	Not Implemented
5.02	Bad Gateway
5.03	Service Unavailable
5.04	Gateway Timeout
5.05	Proxying Not Supported

HTTP Status Code	Description
1xx	Informational
	Successful
2xx	200 – OK 201 – Created 202 – Accepted 204 – No Content
	Redirection
3xx	301 - Moved Permanently 305 - Use Proxy 307 - Temporary Redirect
	Client Error
4xx	400 – Bad Request 401 – Unauthorized 403 – Forbidden 404 - Not Found 405 – Method Not Found 408 – Request Timeout
	500 - Internal Server Error
5xx	501 – Not Implemented 503 – Service Unavailable 504 - Gateway Timeout

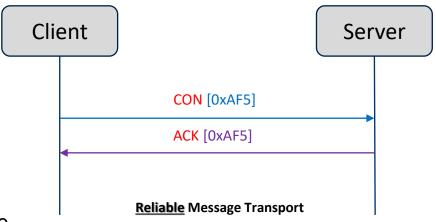
Only mostly used HTTP Status Codes are listed here

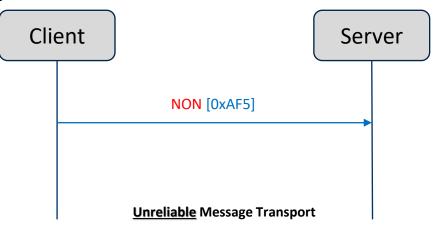
Message Layer Model



Four Message Types:

- Confirmable (CON) requires an acknowledgement
- Non-confirmable (NON) no acknowledgement needed
- Acknowledgement (ACK) Acknowledge a Confirmable message
- Reset (RST) indicates a Confirmable message has been received but context is missing for processing

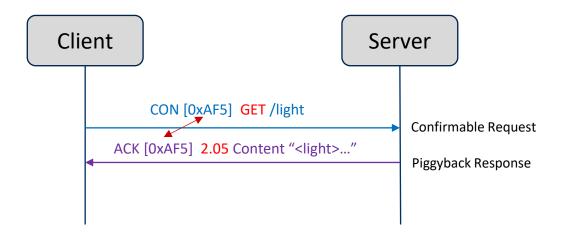




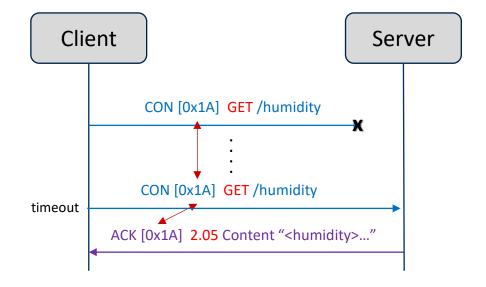
Request / Response Layer Model



Piggy-backed



Dealing with Packet Loss



Request / Response Layer Model



Separate Response

Client

CON [0x123] GET /light Token: 0x41

ACK [0x123]

CON [0x123] GET /light Token: 0x41 "<light>..."

ACK [0x123]

ACK [0x123]

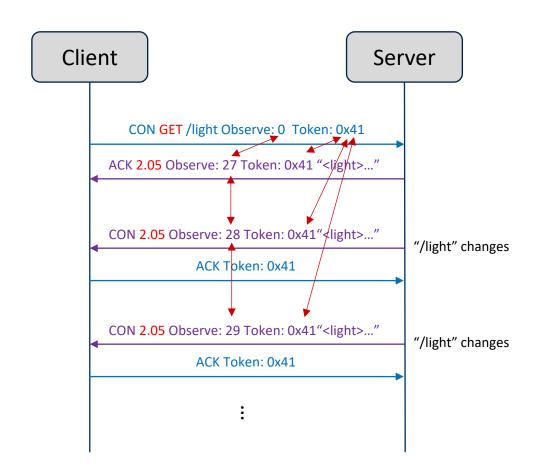
"/light" ready

Non-confirmable R&R



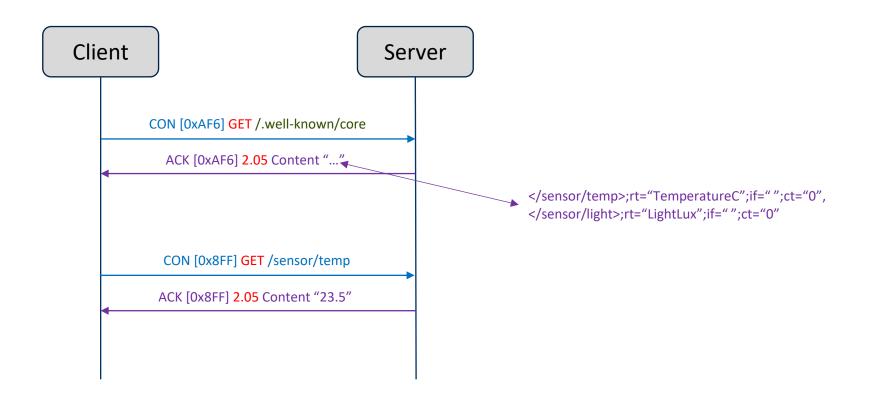
Observation





Resource Discovery





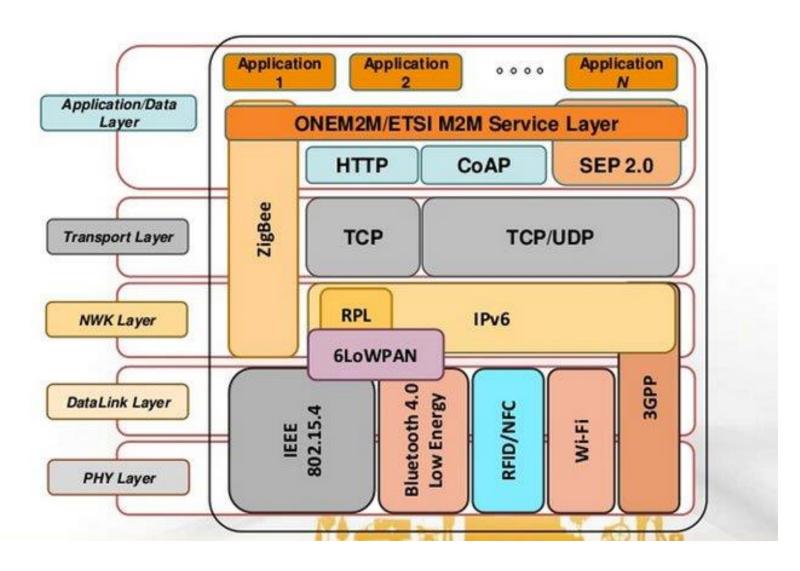
Block Transfer



Client		Server	
	CON GET /light		nr=0
•	ACK block2(nr=0, m=1, sz=1024) 2.05 ""		nr=1
	CON block2(nr=1, m=0, sz=1024) GET /light		nr=2
•	ACK block2(nr=1, m=1, sz=1024) 2.05 ""		nr=3
	CON block2(nr=2, m=0, sz=1024) GET /light		/light
•	ACK block2(nr=2, m=1, sz=1024) 2.05 ""		
	CON block2(nr=3, m=0, sz=1024) GET /light		
•	ACK block2(nr=3, m=0, sz=1024) 2.05 ""		
	 Block2 Option added to messages nr = incremental block number within original data m = more blocks flag sz = block size 		

IoT

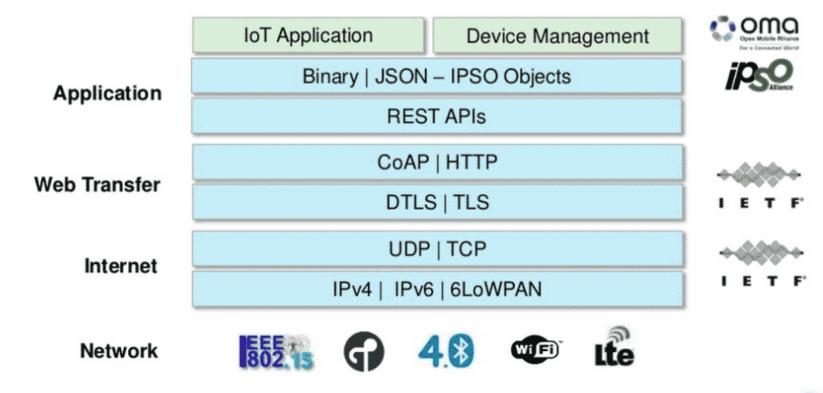




IoT



Remember the I in IoT!





Comparing CoAP with ...



	DDS	AMQP	CoAP	MQTT	REST/HTTP	ХМРР
TRANSPORT	UDP/IP (unicast + multicast) TCP/IP	TCP/IP	UDP/IP	тср/ір	TCP/IP	TCP/IP
INTERACTION MODEL	Publish-and-Subscribe, Request-Reply	Point-to-Point Message Exchange	Request-Reply (REST)	Publish-and-Subscribe	Request-Reply	Point-to-Point Message Exchange
SCOPE	Device-to-Device Device-to-Cloud Cloud-to-Cloud	Device-to-Device Device-to-Cloud Cloud-to-Cloud	Device-to-Device	Device-to-Cloud Cloud-to-Cloud	Device-to-Cloud Cloud-to-Cloud	Device-to-Cloud Cloud-to-Cloud
AUTOMATIC DISCOVERY	~	*	~			*
CONTENT AWARENESS	Content-based Routing Queries	959		*		:*:
QoS	Extensive (20+)	Limited	Limited	Limited		
INTEROPERABILITY LEVEL	Semantic	Structural	Semantic	Foundational	Semantic	Structural
SECURITY	TLS, DTLS, DDS Security	TLS + SASL	DTLS	TLS	HTTPS	TLS + SASL
DATA PRIORITIZATION	Transport Priorities	100			*	*
FAULT TOLERANCE	Decentralized	Implementation- Specific	Decentralized	Broker is SPoF	Server is SPoF	Server is SPoF

Other CoAP Stuff



- Intermediaries and Caching
- Security DTLS (TLS/SSL for Datagrams)
- Alternative Transport (e.g. SMS)
- Message Header Options



Recent Protocols for IoT



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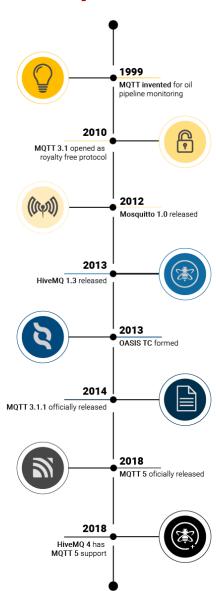
Datalink

Session	MQTT, SMQTT, CoRE, DDS, AMQP, XMPP, CoAP, HTTP, REST, IEC,	Security	Management
k Network	Encapsulation 6LowPAN, 6TiSCH, 6Lo, Thread Routing RPL, CORPL, CARP Wi-Fi, 802.11ah, Bluetooth Low Energy, Z-Wave, ZigBee Smart, DECT/ULE, 3G/LTE, NEC. Weightless, HomePlus, CR, 202.15.4e.	IEEE 1888.3, TCG, Oath 2.0, SMACK, SASL, EDSA, ace,	IEEE 1905, IEEE 1451, IEEE 1377, IEEE P1828, IEEE P1856
Datalink	NFC, Weightless, HomePlug GP, 802.15.4e, G.9959, WirelessHART, DASH7, ANT+, LTE-A, LoRaWAN, ISA100.11a, DigiMesh, WiMAX, NB-IoT, SigFox	DTLS, Dice,	

Message Queuing Telemetry Transport (MQTT)



- MQTT was invented by Andy Stanford-Clark (IBM) and Arlen Nipper (Arcom, now Cirrus Link) back in 1999, where their use case was to create a protocol for minimal battery loss and minimal bandwidth connecting oil pipelines over satellite connections. They specified the following goals, which the future protocol should have:
 - Simple to implement
 - Bi-directional asynchronous "push"
 Communications
 - Provide a QoS Data Delivery
 - Lightweight and Bandwidth Efficient
 - Data Agnostic
 - Continuous Session Awareness



MQTT



- Built for proprietary embedded systems; now shifting to IoT
- You can send anything as a message; up to 256 MB
- Built for unreliable networks (Continuous Session Awareness)
- Enterprise scale implementations down to hobby projects
- Decouples readers and writers
- Message have a topic, quality of service, and retain status associated with them
- MQTT is a binary based protocol where the control elements are binary bytes and not text strings.

Protocol Stack



TCP/IP Port: 1883

When running over TLS/SSL, TCP/IP Port 8883

SSL: Secure Socket Layer

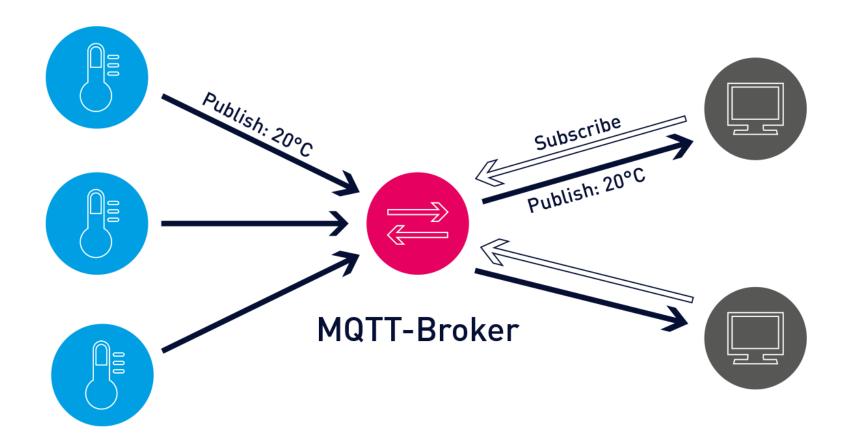
TLS: Transport Layer Security*

Application MQTT TLS/SSL optional **TCP** IP

MQTT

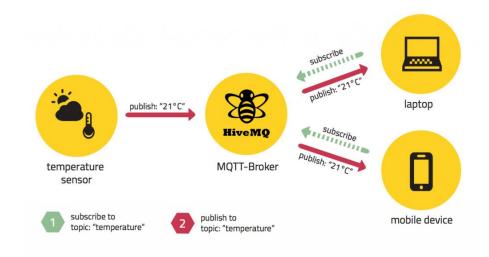


- MQTT consist of three parts:
 - Broker
 - Subscribers
 - Publishers



Publish/Subscribe Concept





Publish: 24° C

Subscribe to topic: temperature

Publish: 24° C

Subscribe to topic: temperature

Subscriber:

Mobile device

Subscriber:

Backend system

MQTT Broker

Publisher: Temperature Sensor

Publish to topic: temperature

Publish: 24°C

Decoupled in space and time:

- Clients do <u>not</u> need each others IP address
- Broker's IP and port <u>must</u> be known by clients
- Namespace hierarchy used for topic filtering
- Possible that a published message is never consumed by any subscriber

MQTT: Simple to implement



Connect

Subscribe

Publish

Unsubscribe

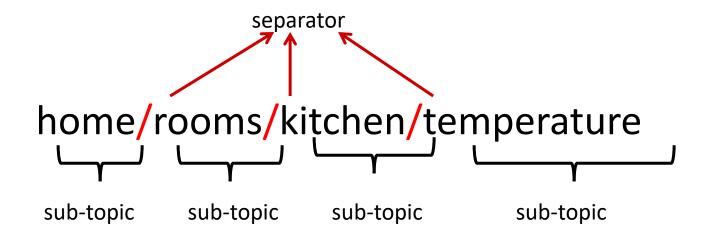
Disconnect

```
client = new Messaging.Client(hostname, port, clientId)
client.onMessageArrived = messageArrived;
client.onConnectionLost = connectionLost;
client.connect({ onSuccess: connectionSuccess });
function connectionSuccess() {
    client.subscribe("planets/earth");
    var msg = new Messaging.Message("Hello world!");
    msg.destinationName = "planets/earth";
    client.publish(msg);
function messageArrived(msg) {
    console.log(msg.payloadString);
    client.unsubscribe("planets/earth");
    client.disconnect();
```

Topics



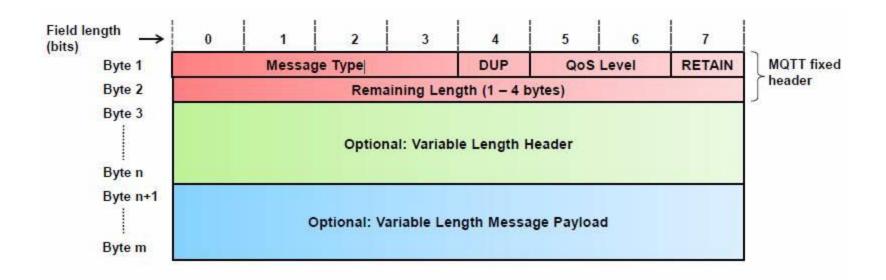
- Each published data specifies a topic
- Each subscriber subscribed to that topic will receive it
- Topic format:



MQTT Message Format



Shortest Message is Two Bytes



Message Types

Name	Value	Direction of flow	Description
Reserved	0	Forbidden	Reserved
CONNECT	1	Client to Server	Client request to connect to Server
CONNACK	2	Server to Client	Connect acknowledgment
PUBLISH	3	Client to Server or	Publish message
		Server to Client	
PUBACK	4	Client to Server or Server to Client	Publish acknowledgment
PUBREC	5	Client to Server or Server to Client	Publish received (assured delivery part 1)
PUBREL	6	Client to Server or Server to Client	Publish release (assured delivery part 2)
PUBCOMP	PUBCOMP 7		Publish complete (assured delivery part 3)
SUBSCRIBE	8	Client to Server	Client subscribe request
SUBACK	9	Server to Client	Subscribe acknowledgment
UNSUBSCRIBE	10	Client to Server	Unsubscribe request
UNSUBACK	11	Server to Client	Unsubscribe acknowledgment
PINGREQ	12	Client to Server	PING request
PINGRESP	13	Server to Client	PING response
DISCONNECT	14	Client to Server	Client is disconnecting
Reserved	15	Forbidden	Reserved



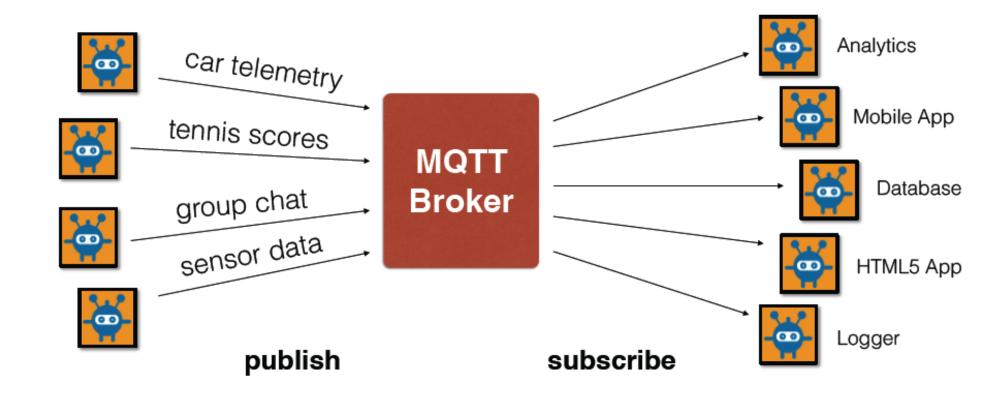
Message Types



Message fixed header field	Description / Values					
Message Type	0: Reserved	8: SUBSCRIBE				
	1: CONNECT	9: SUBACK				
	2: CONNACK	10: UNSUBSCRIBE				
	3: PUBLISH	11: UNSUBACK				
	4: PUBACK	12: PINGREQ				
	5: PUBREC	13: PINGRESP				
	6: PUBREL	14: DISCONNECT				
	7: PUBCOMP	15: Reserved				
DUP	Duplicate message flag. Indicates to the receiver that this message may have already been received. 1: Client or server (broker) re-delivers a PUBLISH, PUBREL, SUBSCRIBE or UNSUBSCRIBE message (duplicate message).					
QoS Level	Indicates the level of delivery assurance of a PUBLISH message. 0: At-most-once delivery, no guarantees, «Fire and Forget». 1: At-least-once delivery, acknowledged delivery. 2: Exactly-once delivery. Further details see MQTT QoS.					
RETAIN	1: Instructs the server to retain the last received PUBLISH message and deliver it as a first message to new subscriptions. Further details see RETAIN (keep last message).					
Remaining Length	Indicates the number of remaining bytes in the message, i.e. the length of the (optional) variable length header and (optional) payload. Further details see Remaining length (RL).					

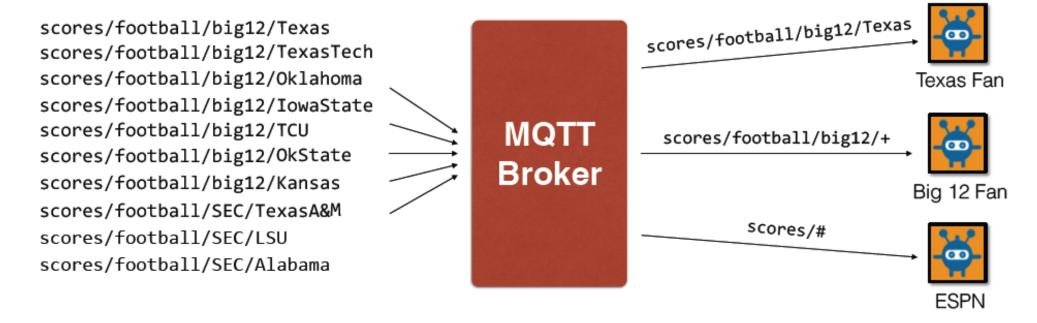
MQTT: pub/sub decouples sender from receiver





MQTT: allows wildcard subscription





single level wildcard: + multi-level wildcard: #

get all the bedroom data \rightarrow myhome/bedroom/+
get the temperature data of three rooms \rightarrow myhome/+/temperature
get all the data \rightarrow myhome/# or #

Best Practices



- Do not use topics beginning with \$
- Never use a leading forward slash: /myhome/groundfloor/livingroom
- Embed a unique identifier or the Client Id into the topic: client1/status
- Don't forget extensibility: think about future topic names
- Use specific topics, not general ones:
 - myhome/livingroom/temperature
 - myhome/livingroom/brightness
 - myhome/livingroom/humidity
- Don't subscribe to #
- Never use spaces in a topic
- Keep the topic short and concise
- Use only ASCII characters; avoid non-printable characters

MQTT: reliable messaging







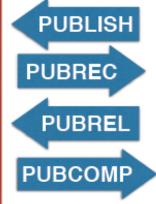
- doesn't survive failures
- never duplicated

QoS₁ at least once





MQTT **Broker**





QoS₂ exactly once

- survives connection loss
- never duplicated

- survives connection loss
- can be duplicated

Publishing "QoS" (Reliability)



- 0 unreliable (aka "at most once")
 - OK for continuous streams, least overhead (1 message)
 - "Fire and forget"
 - TCP will still provide reliability

PUBLISH

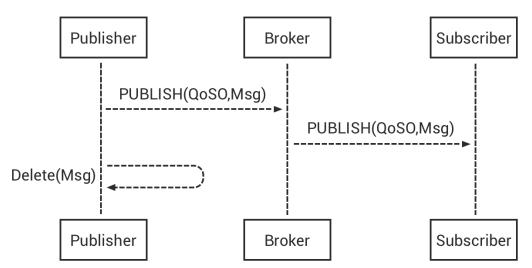
3

Client to Server or

Publish message

Or

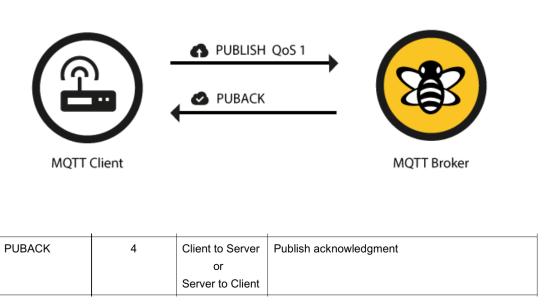
QoS 0:At most once(deliver and forgot)



Publishing "QoS" (Reliability)



- 1 delivery "at least once" (duplicates possible)
 - Used for alarms more overhead (2 messages)
 - Contains message ID (to match with **ACK**ed message)



Publisher Broker Subscriber

Store(Msg)

PUBLISH(QoS1,Msg)

PUBACK

PUBLISH(Qos1,Msg)

PUBLISH(Qos1,Msg)

PUBACK

PUBACK

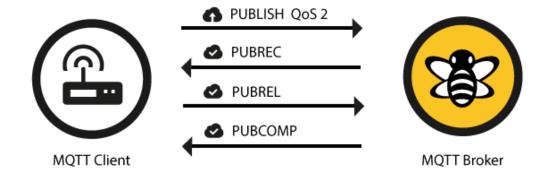
Publisher Broker Subscriber

QoS 1:At least once

Publishing "QoS" (Reliability)

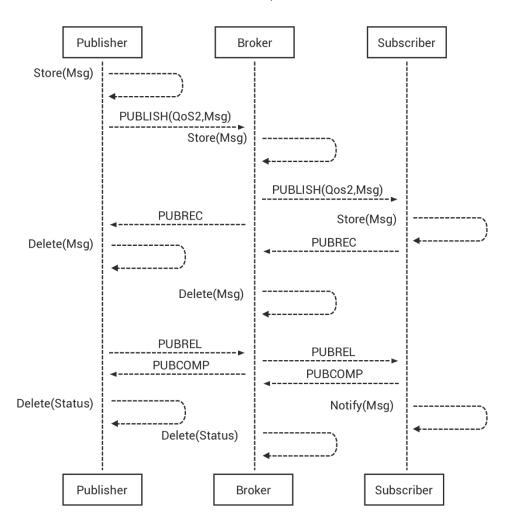
SINGAPORE INSTITUTE OF TECHNOLOGY

- 2 delivery "exactly once"
 - Utmost reliability is important most overhead (4 messages) and slowest



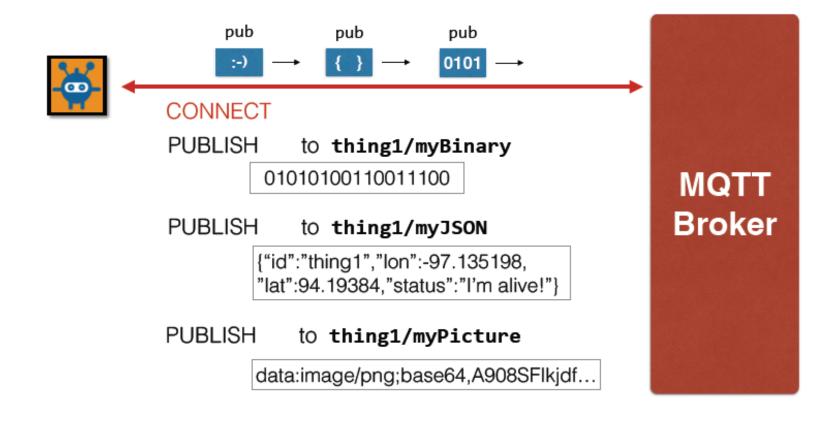
PUBREC	5	Client to Server	Publish received (assured delivery part 1)
		or	
		Server to Client	
PUBREL	6	Client to Server	Publish release (assured delivery part 2)
		or	
		Server to Client	
PUBCOMP	7	Client to Server	Publish complete (assured delivery part 3)
		or	
		Server to Client	

QoS 2:Exactly once



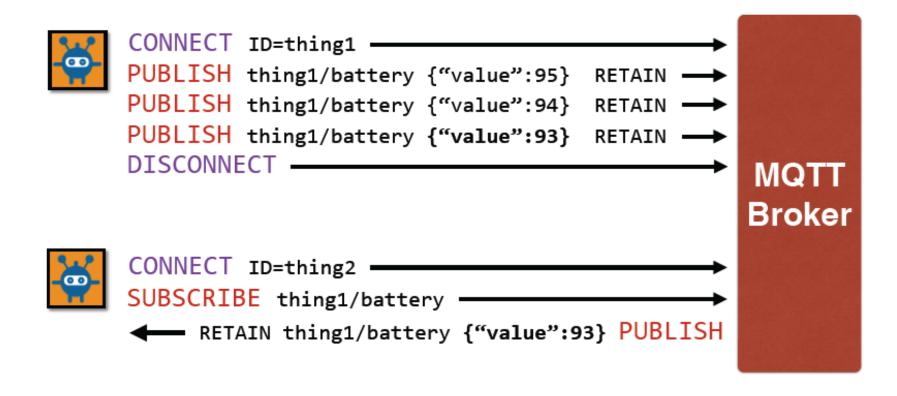
MQTT: Flexible Payload





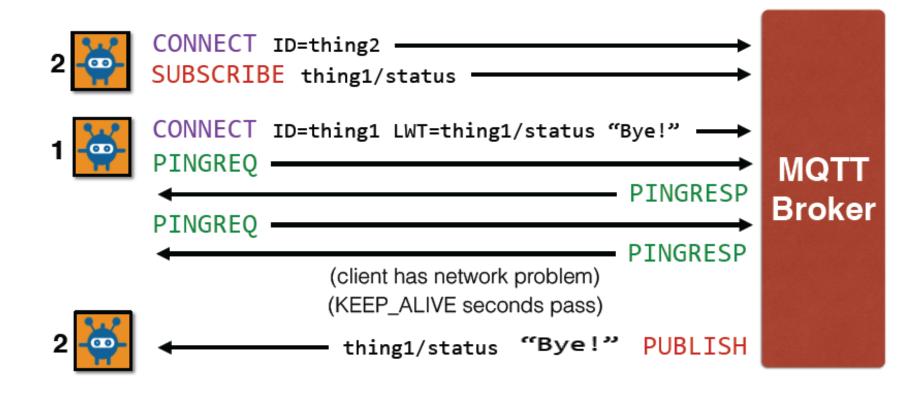
MQTT: Retain Message (state retention)





MQTT: Last Will and Testament (LWT)





Paho-MQTT (Python-client Example)

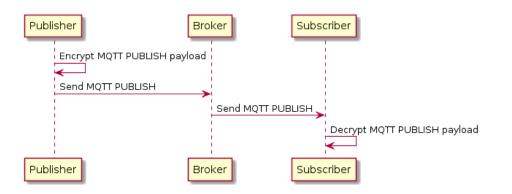


```
#import the client
import paho.mqtt.client as mqtt
#create new instance
client = mqtt.Client("P1")
                                                                          subscribing to multiple topics
#connecting to broker (host, port, keepalive, bind address)
client.connect("iot.eclipse.org", 1883, 60, "192.168.1.184")
#Subscribing to topic "house/bulb1" with QoS=1
client.subscribe("house/bulb1", 1)
client.subscribe([("house/bulb3",2),("house/bulb4",1),("house/bulb5",0)])
#Publishing message to topic "house/bulb1" with "OFF" payload and QoS of 1 with retain=FALSE
client.publish(<u>"house/bulb1"</u>, <u>"OFF"</u>, 1, FALSE)
```

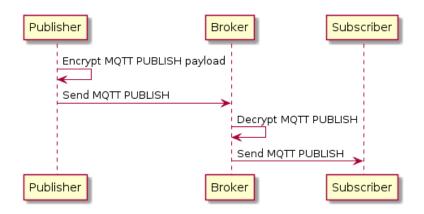
Security



- Transport Layer Security* (TLS) and Secure Sockets Layer (SSL) are cryptographic
 protocols which use a handshake mechanism to negotiate various parameters to create a
 secure communication channel between a client and a server.
 - Mitigate "Man-In-The-Middle-Attacks"
 - Ensures that the communication content cannot be read or altered by third parties*
- Payload Encryption is the encryption of application-specific data on the application level
 - End-to-end (E2E) encryption



Client-to-broker



MQTT: Brokers



Appliance

IBM MessageSight



1m connections 15m QoS 0 / sec policies for security, messaging, connection

developer VM

Commercial

Cloud

HiveMQ
IBM IoT Foundation
Eurotech EDC
Litmus Loop
Others

"Freemium"

Open Source

Mosquitto (C)
Mosca (Node.js)
Moquette (Java)

RSMB (C) [tiny]

Others

Eclipse Sandbox

iot.eclipse.org

Free

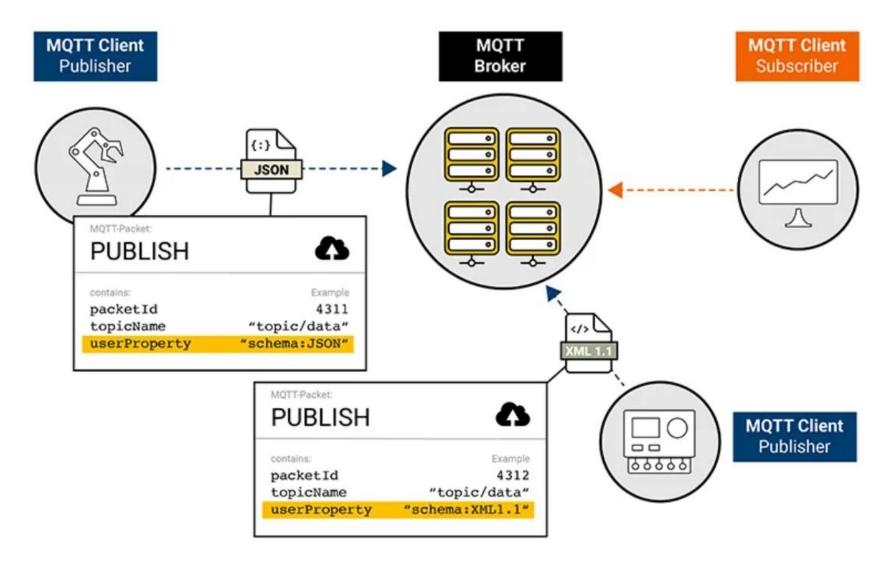
MQTT 5 – Whats New



- User Properties
- Shared Subscriptions
- Payload Format
- Request-Response
- Topic Alias
- Enhanced Authentication
- Flow Control
- Expiry Interval
 - Message
 - Session
- 128 Reason Codes (19 in MQTT 3.1.1) and Reason String
- Improved Client Feedback List of supported features
- Prohibition of retransmitting MQTT messages for healthy TCP connections
- Passwords without usernames for authentication

User Properties - What

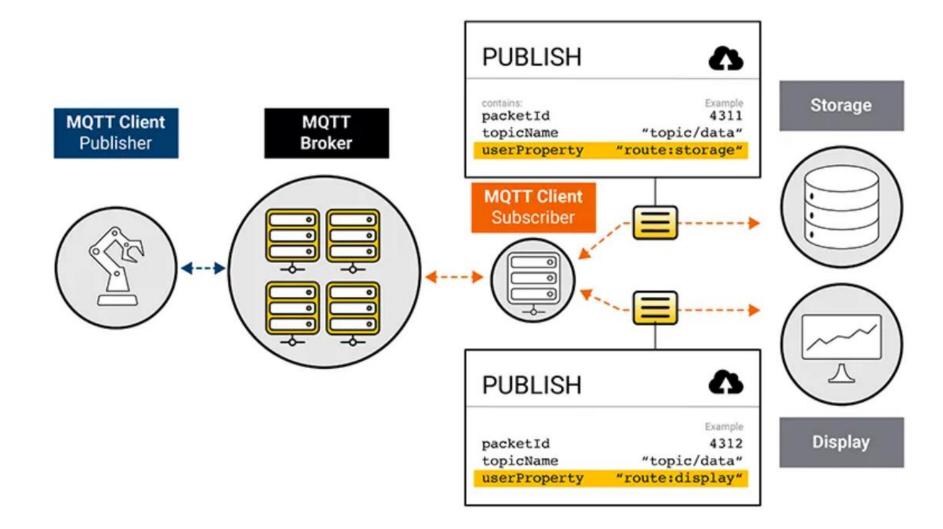




Resource: https://www.hivemq.com/blog/mqtt5-essentials-part6-user-properties/

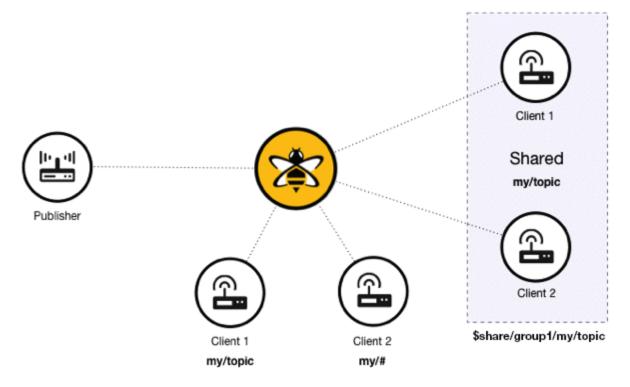
User Properties - Why





Shared Subscriptions





\$share/GROUPID/TOPIC

The shared subscription consists of 3 parts:

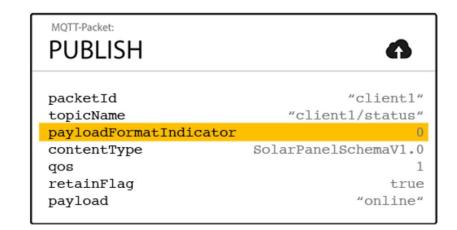
- A static shared subscription identifier (\$share)
- A group identifier
- The actual topic subscriptions (may include wildcards)

Example for a subscriber:

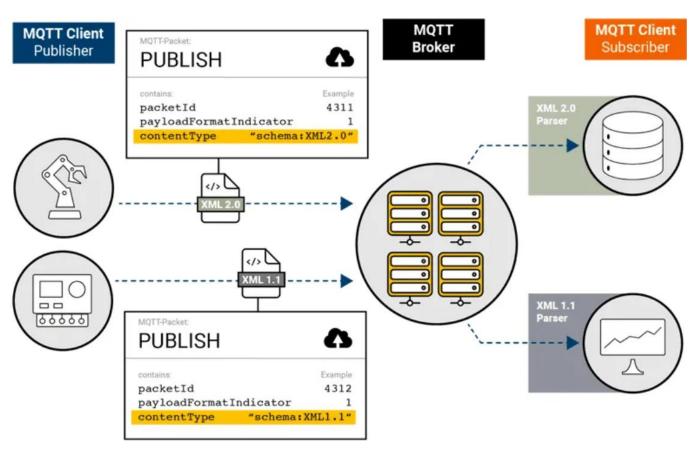
\$share/my-shared-subscriber-group/myhome/groundfloor/+/temperature

Payload Format





- 0 indicates an "unspecified byte stream"
- 1 represents a "UTF-8 encoded payload."
 - MIME-like content type descriptor
- When the Payload Format Indicator isn't provided, it automatically defaults to 0.

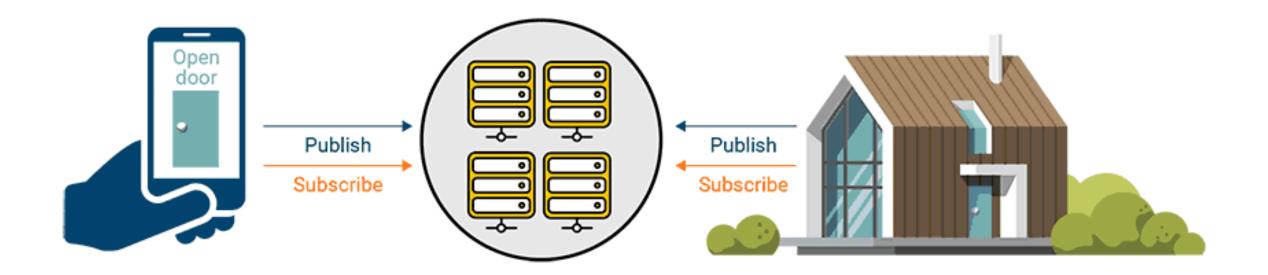


Request-Response



Requesting MQTT Client

MQTT Broker Responding MQTT Client



Topic Alias



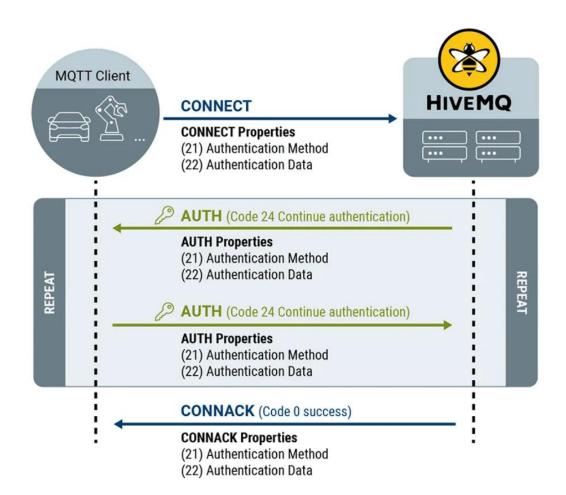
MQTT-Packet: PUBLISH	4
contains:	Example 4313
topicName	"data/europe/germany/south/ bavaria/munich/schwabing/ box-32543y/junction/consumption"
topicAlias	321
qos payload	756

- Payload are relatively small
- Topics are relatively long
- Message throughput is relatively high

Resource: https://www.hivemq.com/blog/mqtt5-essentials-part10-topic-alias/

Enhanced Authentication



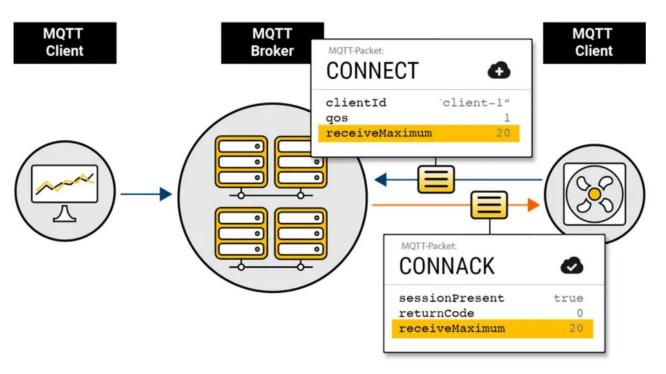


- Challenge-response
 - vs credential-based
- Authentication methods:
 - Salted Challenge Response Authentication Mechanism (SCRAM)
 - Kerberos
- Enabled for every message of the entire flow

Resource: https://www.hivemq.com/blog/mqtt5-essentials-part11-enhanced-authentication/

Flow Control

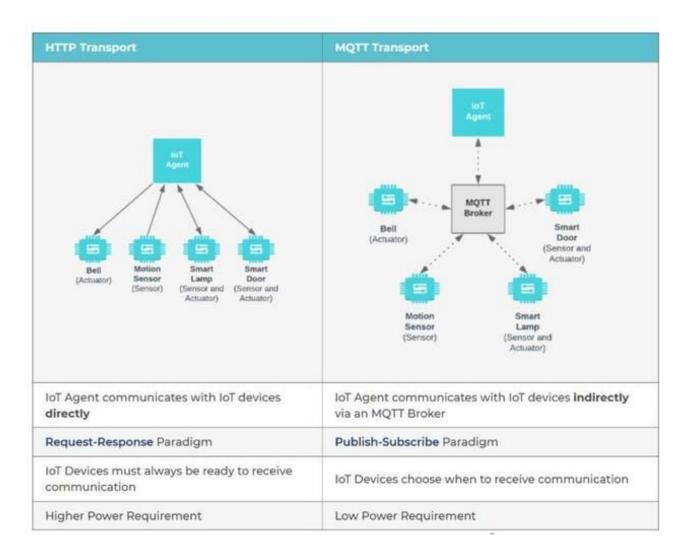




- Flow Control is designed to maintain balanced message processing, preventing the overloading of any participating parties.
- Receive Maximum: Max number of unacknowledged PUBLISH messages the client can accommodate
- "Receive Maximum" value is not specified, the default value of 65,535
- Helps with not overloading low resourced IoT

MQTT: Summary





MQTT: Summary



lightweight simplicity (CPS) open standards reliability on unreliable networks revanilly on unrevance networks maximise bandwidth distribute efficiently SCALADILITY