



An LC-COR Audit specifically examines operational activities and confirms the control of risk



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What are the features and benefits of an LC-COR?

- Contractors receive a minimum discount of \$1,000 over typical audit costs due to related efficiencies.
- Using existing COR audit protocol (Partnerships model) in cooperation with various certifying partners (AASP, Enform, etc.).
- Supplements the current COR audit instrument with auditor findings relating to legislative compliance and program implementation.
- Assigns auditors based on their areas of professional competency to the organization being audited and utilizes subject matter experts when appropriate.
- Action items arising from an audit are tracked to completion. Additionally, findings relating to legislative compliance are risk ranked. Audit findings and the closure of action items are shared with participating clients.

What is the motivation?

- To ensure the COR (LC-COR) standing with clients is increased and maintained.
- Removes the perception of conflicting interest and increases the transparency relating to audit findings.
- To introduce an implementation/ compliance element to audits (making prevention the focus).
- Reduces the need for additional client and third party compliance audits of LC-COR audited employers.

What are the program goals?

- Create confidence in findings and attainment of a LC-COR.
- Create a focus on health and safety program implementation and achieving legislative compliance.
- To reduce the occurrence of overlapping audits due to increased confidence and audit scope.
- To ensure risk ranked findings are followed through to completion including internal communications.

Contractor Charged Under AB OH&S Act

"The audit process focused unduly on the administrative side and failed to adequately address the practical side"

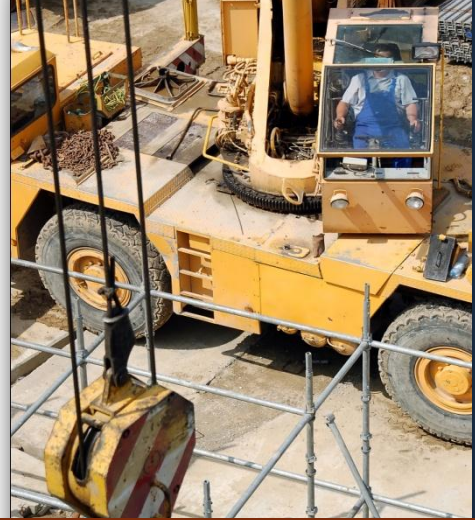
The Honorable Judge D.R. Pahl

Pay \$1,000.00 Less for a LC-COR Audit

The LC-COR audit is available to designated contractors and suppliers at a reduced cost of a **\$1,000.00 discount** off the last COR certifying audit conducted for the same organization.

Your company discount may be greater than \$1,000.00, depending on the audit scope.

LC-COR audit reports and any associated action plans are shared with owner clients with a contractor authorized relationship within the PICS web-based software architecture.



**The LC-COR audit examines implementation and compliance.
Canadian Operators are looking for leading contractors
to demonstrate this level of performance.**

The LC-COR Utilizes the Partnerships COR Audit Protocol

Currently the Certifying Partners offering a LC-COR audit include the Alberta Association for Safety Partnerships (AASP) and Enform, with more Certifying Partners to come.

The process in obtaining a LC-COR is identical to a COR, meaning you adhere to the existing process of working with a Certifying Partner ensuring your health and safety management system meets the Partnerships standard. The LC-COR augments the current health and safety management process assessed by COR by adding criteria to address legislative compliance.

The LC-COR targets high risk activities such as operational standards, competence, training, response plan, responsibilities, emergency equipment, methods of control (engineering, administering, PPE) and other findings relating to specific observations/interviews, methods of delivery/implementation, personnel, moral, interpretation or understanding, etc.

The benefit of a LC-COR audit is not only the legislative auditing compliance as noted above, in addition, auditors are selected based on their legislative competency, the audit report will include additional findings (without any effect on the COR element scoring), and both the audit and findings will be uploaded in the PICS website management system for contractor and client access.



*The COR and LC-COR
audit is simultaneous
reducing contractor
audit fatigue*



The LC-COR Integrates Legislative Compliance with COR

The Province of Alberta continues to clarify that the COR is not designed to address legislative compliance. As stated in INFOSHEET 10 as published by Partnerships, the Government of Alberta and Work Safe Alberta (03/12):

"The COR audit is used to evaluate an employer's health and safety "system". Though the audit does not assess an employer's level of compliance with legislation, there is an expectation that COR-holding employers will do their best to meet their health and safety responsibilities under the law."

As such, the LC-COR audit protocol has been established that augments the current health and safety management process assessed by COR by adding criteria to address legislative compliance (targeting high risk activities, equipment, chemicals, process, etc.).

The LC-COR Utilizes Current Certifying Partners

Employers interested in earning a COR or LC-COR for their health and safety management system and participating in the Workers' Compensation Board (WCB) financial incentive program must select and utilize a Certifying Partner.

Each Certifying Partner enters into a formal agreement with the Government of Alberta to provide basic services toward earning a COR. Currently, the Alberta Association for Safety Partnerships (AASP) and Enform administer the LC-COR audit protocol.

Other certifying partner options can be facilitated upon request by contacting one of our Technical Services representatives who would be more than happy to assist you.



Audit Report and Risk Ranked Findings

The auditor reviews the nodes and their associated criteria and examines relevant findings based on risk, regulatory requirements, industry standards and reasonably practical hazard mitigation.

The audit protocol focuses on areas of potential risk (i.e. specific nodes such as equipment, chemicals, high risk activities, PPE, site and personnel).

Audit findings are risk ranked and populated in the **Audit Report** illustrating each finding including a description of recommendation. This reporting system assists the employer in identifying risk ranked activities including managing these risks through to completion.

Auditor Selection is Based on Legislative Competency

Employers depend on auditors to be able to identify the regulatory requirements imposed by legislation and dependent on the organization's jurisdiction and operational activities.

The LC-COR auditor's selection process includes a review of the auditor's I-CAB assessment.

"The International Competency Assessment Board (I-CAB) provides competency assessments for health and safety legislation specific to jurisdiction and industry. The assessments are intended for anyone who supervises, leads audits, and advises activities where health and safety legislation is applicable. Upon completion of an I-CAB competency assessment, an individual can feel confident in their understanding of the health and safety legislation that directly applies to their work, within a specific jurisdiction."

Excerpt taken from www.I-CAB.org - September 1, 2012



It is essential that each auditor be competent in their ability to interpret and apply health and safety legislation



Further selection criteria includes that an auditor must be in good standing with all participating certifying partners.

The appropriate selection of an auditor is critical because the audit findings are the basis for continual improvement in an employer's health and safety program and an integral part of establishing program priorities for the following years.

Contact PICS Technical Services to register for an LC-COR Audit

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