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## **THEME**

# Brain Tumor Detection Using U-Net and SVM

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# **Dedication**

To the architects of my dreams, my parents, whose unwavering love and guidance have been my compass; to my family, the sanctuary of my heart, and to my friends, the sparks of joy in my journey.

This thesis is a testament to your belief in me, a reflection of your sacrifices, and a celebration of the bond we share. Thank you for being my pillars of strength and my endless source of inspiration.

- Mohammed

# **Dedication**

To my parents, whose steadfast love, support, and guidance have been the cornerstone of my dreams; to my family, my unwavering foundation and sanctuary; and to my friends, who fill my life with joy and laughter.

This thesis is a tribute to their dedication, a mirror of their faith in me, and a celebration of our shared journey. Thank you for being my strength and my endless source of inspiration.

- Assil

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**Abstract** 

Brain tumors, particularly gliomas, pose a significant clinical challenge, requiring both

precise localization and accurate grading to guide treatment. Accurate segmentation of tumor

regions is a critical first step, enabling meaningful analysis and interpretation of the affected

areas. In this project, we present a hybrid framework that first segments tumor regions in brain

Magnetic Resonance Imaging (MRI) scans using a U-Net model trained on the Brain Tumor

Segmentation dataset, and then classifies these regions as Low-Grade or High-Grade Gliomas

with a Support Vector Machine (SVM) model based on features extracted from the segmented

masks. On the held-out test set, our U-Net achieved an accuracy of 99.3%, while the SVM

classifier delivered an overall accuracy of 93%.

**Keywords:** Brain Tumor, U-Net, SVM, MRI, BraTS, Segmentation.

V

# Résumé

Les tumeurs cérébrales, en particulier les gliomes, posent un défi clinique important, nécessitant à la fois une localisation précise et un classement précis pour guider le traitement. La segmentation précise des régions tumorales est une première étape essentielle, permettant une analyse et une interprétation significatives des zones touchées. Dans ce projet, nous présentons un cadre hybride qui segmente d'abord les régions tumorales dans l'imagerie par résonance magnétique (IRM) du cerveau en utilisant un modèle U-Net formé sur la base de données de segmentation des tumeurs cérébrales (BraTS), puis classe ces régions comme faibleGliomes de grade ou de haut grade avec un modèle SVM (Support Vector Machine) basé sur les caractéristiques extraites des masques segmentés. Sur l'ensemble de test non exécuté, notre U-Net a atteint une précision de 99,3 %, tandis que le classificateur SVM a fourni une précision globale de 93 %.

Mots-clés: Tumeur cérébrale, U-Net, SVM, IRM, BraTS, Segmentation.

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# ملخص

تُشكل أورام الدماغ، وخاصة الأورام الدبقية، تحديا طبيا كبيراً، وتتطلب الى التحديد والتصنيف بشكل دقيق من أجل توجيه العلاج. إن التقسيم الدقيق لمناطق الورم يعد خطوة أولى بالغة الأهمية، حيث يتيح إجراء تحليل وتفسير مفيد للمناطق المصابة. في هذا المشروع، نقدم إطاراً هجينا يقوم أولاً بتقسيم مناطق الورم في عمليات مسح (MRI) للدماغ باستخدام نموذج (U-Net) المدرب على مجموعة بيانات أورام الدماغ (BraTS2020) ثم يقوم بتصنيف هذه المناطق على أنها أورام دبقيه منخفضة الدرجة أو عالية الدرجة باستخدام نموذج آلة الدعم المتجه (SVM) استناداً إلى الميزات المستخرجة من الأقنعة المجزأة. في مجموعة الاختبار، حققت شبكة (U-Net) الخاصة بنا دقة قدرها %9,3% بينما قدم مصنف (SVM) دقة إجمائية قدرها %9.9%.

الكلمات المفتاحية: او رام الدماغ، WRI ،SVM ،U-Net ، الكلمات المفتاحية

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# List of abbreviations

AI Artificial Intelligence.
CNN Convolutional Neural Network.
CNS Central Nervous System.
CT Computed Tomography.
ML Machine Learning.
NADE Neural Autoregressive Distribution Estimator.
RNN Recurrent Neural Network.
SVM Support Vector Machine.
U-Net U-Net Convolutional Neural Network.

WHO World Health Organization.

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# **General Introduction**

Brain tumors represent one of the most challenging conditions in modern medicine, with precise diagnosis and classification being crucial for effective treatment planning and patient outcomes.

Although magnetic resonance imaging (MRI) has revolutionized brain tumor visualization, the interpretation of these scans remains a complex, time-consuming task requiring specialized expertise. Radiologists must analyze hundreds of images per patient, identifying subtle patterns that differentiate tumor types and grades—a process susceptible to inter-observer variability and human fatigue. Recent advances in artificial intelligence, particularly in deep learning and machine learning, offer promising solutions to these challenges. Automated systems can potentially enhance diagnostic accuracy, reduce analysis time, and provide objective, reproducible assessments of tumor characteristics. However, developing such systems requires addressing multiple technical complexities, from precise tumor delineation to accurate grading.

In this project, we trained a hybrid model for brain tumor detection and classification from MRI scans using BraTS dataset. Our approach combines the strengths of deep learning-based segmentation with traditional machine learning classification. Specifically, we utilize a (U-Net) architecture to segment tumor regions from MRI images, followed by feature extraction from these segmented areas, then processed by a Support Vector Machine (SVM) classifier to distinguish between low-grade and high-grade gliomas.

The thesis is structured as follows: We begin by introducing essential medical and technical concepts related to brain anatomy and tumor classification. We then explore the theoretical foundations of artificial intelligence, machine learning, and deep learning. Next, we review the state-of-the-art approaches in brain tumor detection. Finally, we present our methodology, results, and a demonstration of our system's practical application.

# **Chapter 1**

# **Medical and Technical Concepts**

## 1.1 Introduction

This chapter provides essential background information on the clinical and technological context of the thesis. We begin by describing the brain and its tissues from both macroscopic and microscopic perspectives. We then discuss brain tumors, which are the primary focus of this research. Next, we introduce the different types of medical imaging modalities and explain the importance of segmentation tasks in neuro-oncology. Finally, we delve deeper into the principles and components of Magnetic Resonance Imaging (MRI), which is the primary imaging modality used in this thesis.

# 1.2 The Microscopic Description of the Brain

The human brain is an irregular, ovoid organ with a large anteroposterior axis. Its average volume is approximately 1100 cm<sup>3</sup> in women and 1400 cm<sup>3</sup> in men, and it weighs between 1400 g and 1800 g. It occupies the cranial cavity but does not contact the bone directly, as it is suspended in cerebrospinal fluid inside a fluid chamber [8].

The brain comprises four main regions: the cerebrum, cerebellum, brainstem, and diencephalon (Figure 1.1).

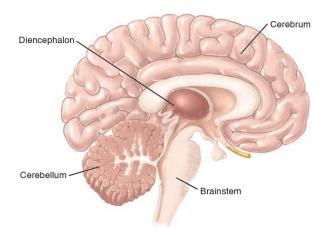


Figure 1.1: Brain main parts: cerebrum, cerebellum, brainstem, and diencephalon [1].

#### Cerebrum

The cerebrum is the largest part of the brain, representing about 83% of its total volume. It is divided into right and left hemispheres connected by the corpus callosum. Each hemisphere controls the contralateral side of the body.

#### Cerebellum

The cerebellum lies below the cerebrum and accounts for about 11% of brain volume. It coordinates reflexes, movement, balance, and posture.

#### **Brainstem**

The brainstem connects the cerebrum and cerebellum to the spinal cord and controls vital functions such as eye movements, breathing, blood pressure, and heart rate.

#### Diencephalon

Surrounded by the cerebral hemispheres, the diencephalon coordinates motor functions, maintains consciousness, regulates autonomic functions (eating, thirst, temperature, circadian rhythm) and interacts with both brain and cerebellum [9].

## 1.3 Brain Tissue

The brain's anatomical structures can be divided into hemispheres and lobes, but in medical imaging, voxels are typically classified into three main tissue types: gray matter, white matter,

and cerebrospinal fluid (CSF) [10].

## **Gray Matter**

Gray matter contains neuronal cell bodies (soma), axon tracts, glial cells, capillary blood vessels, and neuropil (dendrites, unmyelinated axons, glia) [11].

#### **White Matter**

White matter consists primarily of myelinated axons (tracts), oligodendrocytes, and astrocytes, forming the brain's long-range connections [11].

## **Cerebrospinal Fluid**

Cerebrospinal fluid (CSF) cushions the brain and spinal cord, removes metabolic waste, and maintains proper central nervous system function [12].

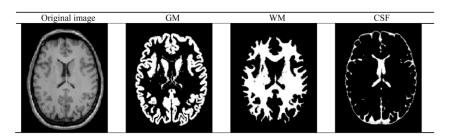


Figure 1.2: Segmentation of a brain MRI into gray matter (GM), white matter (WM), and CSF by Statistical Parametric Mapping (SPM) [2].

## 1.4 Classification of Brain Tumors

Brain tumors can be categorized by their biological behavior and histology. The World Health Organization (WHO) broadly classifies them as benign, premalignant, or malignant based on growth rate, invasiveness, and cellular atypia [13].

# 1.4.1 Benign Tumors

Benign tumors grow slowly and do not invade surrounding brain tissue. Histologically, their cells resemble normal counterparts and exhibit low mitotic activity. Common examples

include meningiomas and pituitary adenomas, which often present with well-circumscribed margins and have favorable prognoses following surgical resection [13].

#### 1.4.2 Premalignant Tumors

Premalignant lesions (WHO Grade I–II) display atypical cellular features and proliferative potential higher than benign lesions, yet lack overt invasive behavior. These tumors may progress to higher grades over time, and thus require close monitoring and, in some cases, adjuvant therapy.

#### 1.4.3 Malignant Tumors

Malignant tumors (WHO Grade III–IV) are characterized by rapid growth, high mitotic index, and the ability to infiltrate adjacent neural structures. They often recur despite multimodal treatment (surgery, radiotherapy, chemotherapy) and carry poor overall survival [13].

# 1.5 Common Intracranial Tumor Types

The three most frequent primary brain tumors in adults are [14]:

- **Meningioma.** Originating from the arachnoidal cap cells of the meninges, meningiomas are typically benign (WHO Grade I), slow-growing, and extra-axial. They often cause symptoms by mass effect rather than infiltration.
- Pituitary Adenoma. Arising in the anterior pituitary gland, these adenomas are usually benign but can lead to endocrine dysfunction and visual disturbances due to suprasellar extension.
- Glioma. Gliomas arise from glial cells and represent the majority of malignant primary CNS tumors. They are graded by WHO as:
  - Low-Grade Glioma (LGG, Grades I–II). Slow-growing, with diffuse infiltration but relatively favorable prognosis.
  - High-Grade Glioma (HGG, Grades III–IV). Aggressive, with high proliferative index and poor survival; includes anaplastic astrocytoma (Grade III) and glioblastoma

multiforme (Grade IV).

Accurate grading of gliomas (LGG vs. HGG) is critical for treatment planning and prognosis, and motivates the need for precise segmentation and classification pipelines.

# 1.6 Signs and Symptoms Associated with Brain Tumors

Brain tumors are the most common cause of death among all people and childhood cancers according to the Surveillance, Epidemiology, and End Results Program. Signs and symptoms depend on a variety of factors, including location of the tumor, age of the person, and rate of tumor growth. Patients with brain tumors develop focal (e.g., motor deficits, seizures, ocular impairments, urinary incontinence, and speech impediments) and/or generalized neurological symptoms and signs (e.g., headache, nausea, vomiting, dizziness, sleep-wake disturbances, and mentalstatus abnormalities) [15].

# 1.7 Diagnosis of Brain Tumors

The diagnosis of brain tumors is a complex process that requires a combination of clinical evaluation, imaging studies, and sometimes invasive procedures. The following sections outline the key components of this diagnostic process.

## 1.7.1 Physical Examination

One of the basics of this examination is that the doctor diagnoses the condition of the patient who is under suspicion of a brain tumor, where the doctor studies his condition by interrogating the patient to verify whether there are clinical signs that in turn lead the doctor to do a clinical examination that focuses on functions in which the brain intervenes, such as memory, emotions, understanding the language, feel of touch. By testing these functions, the peripheral symptoms can be detected, and therefore the affected area of the brain.

# 1.7.2 Complementary Examination

In most cases, the patient's condition requires the doctor to perform various tests, including a lumbar puncture. This is a test in which a sample of the spinal brain fluid is taken by sticking a

needle into the lower stem of the spine, with the patient lying on the left side, a needle inserted into the L3 to L4 or L4 to L5. Afterwards, the sample is analyzed to find any cancer cells present for the tumor.

#### 1.7.3 Brain Biopsy

Biopsy is a risky process that can cause bleeding while the surgeon is operating under the patient's total anesthesia, as well as a potential transmission of an infection, whether blood infection or other infection. The goal of this process is to search for cancer and determine its nature by surgically removing a sample of tissues taken from the tumor for closer examination to ascertain the nature of the tissues that are unknown.

## 1.7.4 Medical Imaging

This examination is based on imaging techniques such as MRI and computed tomography (CT) scanner or CT scan. MRI was adopted for its unique feature of having detailed, and also it is good technique for knowing the brain tumor in human body, where in the following we will present the general front and characteristics of it [16].

# 1.8 Medical Imaging in Brain Tumor Diagnosis

Magnetic Resonance Imaging (MRI) has emerged as the gold standard for brain tumor diagnosis due to its superior soft tissue contrast, high spatial resolution, and non-invasive nature. Unlike other imaging modalities such as CT scans, MRI provides detailed structural information without exposing patients to ionizing radiation, making it particularly valuable for serial monitoring and treatment planning [17].

The multimodal nature of MRI is especially useful in brain tumor assessment, with each sequence highlighting different aspects of the tumor:

- **T1-weighted** (**T1**): Provides excellent anatomical detail and clearly delineates boundaries between gray and white matter. Tumors typically appear hypointense (darker) compared to surrounding tissue.
- T1 with contrast enhancement (T1ce): After gadolinium administration, areas with

disrupted blood-brain barrier (characteristic of high-grade tumors) enhance, appearing hyperintense and revealing the active tumor core.

- **T2-weighted** (**T2**): Highlights areas with increased water content, making it valuable for identifying edema and infiltrative tumor components. Tumors and surrounding edema appear hyperintense.
- Fluid-Attenuated Inversion Recovery (FLAIR): Suppresses cerebrospinal fluid signals, enhancing the visibility of periventricular lesions and edema associated with tumors.

Traditionally, neuroradiologists diagnose brain tumors by visually inspecting these multiple MRI sequences, mentally integrating information across modalities to determine tumor boundaries, assess grade, and identify critical structures [18]. This process is inherently subjective, time-consuming, and susceptible to inter-observer variability, with studies reporting considerable disagreement even among experienced radiologists These limitations have driven significant interest in developing computational approaches for automated and semi-automated tumor analysis.

## 1.9 Conclusion

This chapter introduced the fundamental concepts of brain anatomy, tumor classification, and magnetic resonance imaging (MRI) modalities. We discussed the importance of accurate segmentation and classification of brain tumors and the traditional approach of manual diagnosis by radiologists. We also outlined the limitations and challenges of this approach, highlighting the need for computational methods to improve the accuracy and efficiency of brain tumor diagnosis.

# Chapter 2

# **Deep Learning and Machine Learning**

## 2.1 Introduction

Artificial intelligence (AI) has become indispensable in medical imaging, offering tools that can assist—and in some cases outperform—radiologists in detecting and characterizing pathologies. In the context of brain tumors, AI-driven methods enable rapid and accurate identification of tumor boundaries and grading, directly impacting treatment planning and patient outcomes.

In this chapter,

# 2.2 What is Artificial Intelligence?

Artificial Intelligence (AI) is a multidisciplinary field focused on developing machines and computer programs capable of performing tasks that typically require human intelligence, such as visual perception, reasoning, decision making, and language understanding. According to [19], AI is defined as:

"the science and engineering of creating intelligent machines, particularly intelligent computer programs that can perform tasks requiring human intelligence, such as visual perception, decision making, and language translation."

In other words, AI includes both the study of human cognition—how people perceive,

learn, reason, and decide—and the development of algorithms and systems that can perform tasks requiring "intelligence," such as visual recognition or decision making. While some AI techniques draw inspiration from biological processes (e.g. neural networks), the field also embraces purely mathematical and statistical methods.

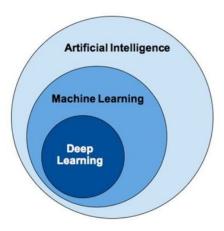


Figure 2.1: Differences between AI, machine learning, and deep learning. [3]

# 2.3 Machine Learning

Machine learning (ML) has emerged as a crucial area of study for organizations aiming to harness data resources and gain deeper insights into their operations. Unlike traditional programming methods, where explicit instructions are coded, machine learning enables systems to learn directly from data. In the medical imaging field, ML techniques offer powerful ways to analyze complex MRI data, supporting more accurate and efficient diagnostic processes. However, machine learning is a complex process that involves using diverse algorithms to iteratively learn from data, refine data representations, and make predictions. By feeding training data into these algorithms, increasingly accurate models can be developed. These machine learning models represent the knowledge acquired by algorithms during the training phase [20].

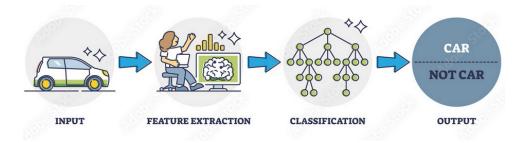


Figure 2.2: Illustration of the Machine Learning Process. [4]

## 2.3.1 Why Machine Learning?

Machine learning offers several compelling advantages that have driven its adoption in medical imaging and other fields:

- Pattern Recognition in Complex Data: Machine learning excels at discovering patterns
  in high-dimensional data that may be imperceptible to human observers. For medical
  imaging, this means identifying subtle tissue abnormalities or correlations that might
  otherwise be missed.
- Automation of Repetitive Tasks: ML algorithms can automate time-consuming aspects
  of image analysis, freeing radiologists to focus on interpretation and clinical decisionmaking rather than routine measurements or screenings.
- Quantitative Assessment: Unlike qualitative human evaluations that may vary between observers, machine learning provides consistent, quantitative measurements of disease characteristics, potentially reducing inter-observer variability.
- Integration of Multimodal Data: Modern ML methods can combine information from multiple imaging modalities (MRI, CT, PET) along with clinical data to provide more comprehensive analysis than would be possible with any single data source.
- Predictive Capabilities: Beyond current state assessment, machine learning can predict
  disease progression or treatment response based on patterns learned from large datasets
  of prior patients.
- **Personalized Medicine**: ML algorithms can identify subgroups of patients with similar characteristics or disease presentations, enabling more tailored treatment approaches.
- Continuous Learning: Machine learning systems can be designed to improve over time
  as they process more data, unlike traditional rule-based systems that remain static unless
  manually updated.
- Cost-Effectiveness: By improving diagnostic accuracy and efficiency, machine learning can reduce unnecessary tests, treatments, and hospitalizations, potentially lowering healthcare costs.

In brain tumor analysis specifically, machine learning offers the ability to precisely delin-

eate tumor boundaries, distinguish between tumor types and grades, differentiate tumor from surrounding edema, and monitor treatment response with greater objectivity and consistency than visual assessment alone.

## 2.3.2 Machine Learning Application Examples

Machine learning has been successfully applied across numerous domains, with particularly transformative impacts in healthcare and medical imaging:

- Tumor Detection and Classification: ML algorithms can detect and classify brain tumors, lung nodules, and other cancerous lesions from imaging data [21].
- **Disease Progression Monitoring**: Tracking changes in disease markers over time, such as multiple sclerosis lesion load or Alzheimer's-related brain atrophy [22].
- Radiomics: Extracting quantitative features from medical images to improve diagnostic and prognostic accuracy [23].
- **Resource Allocation**: Predicting hospital readmissions or length of stay to optimize resource allocation [24].
- Algorithmic Trading: Making trading decisions based on market data analysis [25].
- **Recommendation Systems**: Suggesting products or content based on user preferences and behavior [26].
- Churn Prediction: Identifying customers likely to discontinue services [27].
- **Supply Chain Optimization**: Predicting demand and optimizing inventory management [28].
- **Resource Management**: Optimizing water and energy resource allocation [29].

#### 2.3.3 Different Techniques and Algorithms in Machine Learning

Machine learning encompasses a diverse array of techniques and algorithms, each with unique strengths for specific types of problems:

#### **Classification Algorithms:**

- Logistic Regression: A statistical method for binary or multinomial classification that models the probability of class membership [30].
- **Support Vector Machines (SVMs)**: Algorithms that find the optimal hyperplane to separate classes in high-dimensional space [31].
- **Decision Trees**: Tree-like models of decisions based on feature values [32].
- **Random Forests**: Ensemble learning methods that construct multiple decision trees and output the class that is the mode of the classes of individual trees [33].
- Naive Bayes: Probabilistic classifiers based on applying Bayes' theorem with strong independence assumptions [34].
- **k-Nearest Neighbors (k-NN)**: Instance-based learning where an object is classified by a majority vote of its neighbors [35].

#### **Regression Algorithms**:

- Linear Regression: Modeling the relationship between a dependent variable and independent variables using a linear approach [36].
- **Ridge Regression**: Linear regression with L2 regularization to prevent overfitting [37].
- Lasso Regression: Linear regression with L1 regularization that can perform feature selection [38].
- Elastic Net: Combines the penalties of ridge and lasso regression [39].
- **Regression Trees**: Decision trees applied to regression problems [40].
- Gaussian Process Regression: A non-parametric approach using Gaussian processes for modeling [41].

#### **Clustering Algorithms**:

- **K-Means**: Partitioning observations into k clusters based on the nearest mean [42].
- **Hierarchical Clustering**: Building a hierarchy of clusters either through agglomerative or divisive approaches [43].

- **DBSCAN**: Density-based clustering that can find arbitrarily shaped clusters and identify noise points [44].
- Gaussian Mixture Models: Probabilistic models that assume data points are generated from a mixture of Gaussian distributions [45].
- **Spectral Clustering**: Using eigenvalues of similarity matrices to reduce dimensionality before clustering [46].

#### **Dimensionality Reduction:**

- **Principal Component Analysis (PCA)**: Linear transformation that projects data onto lower-dimensional space [47].
- **t-Distributed Stochastic Neighbor Embedding (t-SNE)**: Non-linear technique for dimensionality reduction particularly suited for visualization [48].
- Uniform Manifold Approximation and Projection (UMAP): Manifold learning technique for dimension reduction [49].
- Linear Discriminant Analysis (LDA): Finds linear combinations of features that characterize or separate classes [50].
- **Autoencoders**: Neural network-based approach to dimensionality reduction [51].

#### **Ensemble Methods:**

- **Bagging**: Building multiple models on different subsets of data and averaging their predictions [52].
- **Boosting**: Sequential building of models where each tries to correct errors of the previous one [53].
- Stacking: Combining predictions from multiple models as input to a meta-learner [54].
- **Voting**: Combining predictions from multiple models through voting mechanisms [55].

#### **Specialized Techniques:**

• Anomaly Detection: Identifying rare items, events or observations that differ signifi-

cantly from the majority [56].

- **Association Rule Learning**: Discovering relations between variables in large databases [57].
- **Bayesian Networks**: Probabilistic graphical models representing variables and their conditional dependencies [58].
- Genetic Algorithms: Optimization techniques inspired by natural selection [59].

In medical imaging analysis, combinations of these techniques are often employed, with feature extraction methods like radiomics providing inputs to classification or regression algorithms for diagnostic or prognostic modeling.

## 2.3.4 Machine Learning approachs

Machine learning algorithms can be categorized into three main categories: supervised learning, unsupervised learning, and reinforcement learning. In the following sections, we will explore each of these categories in more detail, highlighting their strengths and weaknesses, and providing examples of how they are used in medical imaging analysis.

#### 2.3.4.1 Supervised Learning

In supervised learning, the algorithm learns from labeled training data, where each data point is associated with a corresponding label or target value as depicted in Figure 2.3. Examples of supervised learning algorithms include linear regression, decision trees, random forests, support vector machines, and neural networks.

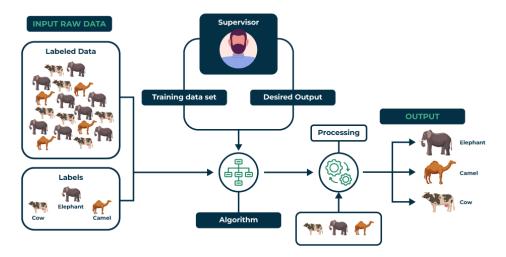


Figure 2.3: Illustration of the Supervised learning process. [5]

#### 2.3.4.2 Unsupervised Learning

Unsupervised learning deals with unlabeled data, where the algorithm learns to find patterns or structure in the data without any specific guidance. Such as k-means and hierarchical clustering, and dimensionality reduction techniques, such as principal component analysis and t-distributed stochastic neighbor embedding, Figure 2.4

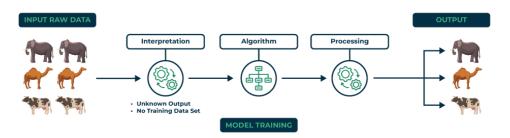


Figure 2.4: Illustration of the Unsupervised learning process.[5]

#### 2.3.4.3 Reinforcement Learning

Reinforcement learning is a type of machine learning where an agent learns to make decisions by interacting with an environment. The agent receives feedback in the form of rewards or penalties based on its actions, allowing it to learn optimal strategies over time. This approach is often used in robotics, game playing, and autonomous systems. Figure 2.5 illustrates the reinforcement learning process.

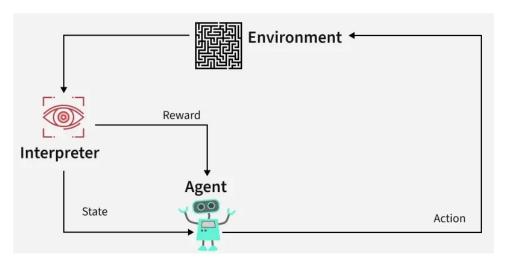


Figure 2.5: Illustration of the Reinforcement learning process.[5]

# 2.4 Deep Learning

Deep learning has emerged as a powerful approach for modeling complex data through intricate architectures that incorporate non-linear transformations. Neural networks, including deep neural networks, serve as the fundamental components of deep learning. These techniques have achieved remarkable progress in various domains such as sound and image processing, enabling tasks like facial recognition, speech recognition, computer vision, language processing, and text classification. The potential applications of deep learning are vast and continue to expand.

Different types of neural network architectures, such as multilayer perceptrons, Convolutional Neural Networks (CNNs), and recurrent neural networks, cater to specific data types and tasks. These architectures are characterized by deep layers organized in a cascading manner. Successful implementation of deep learning requires well-designed stochastic optimization algorithms, appropriate initialization techniques, and thoughtful structure selection.

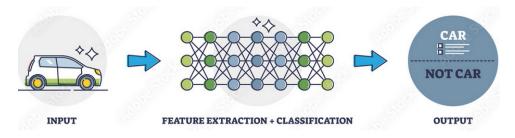


Figure 2.6: Illustration of the Deep Learning Process. [4]

#### 2.4.1 History of Deep Learning

The history of deep learning spans several decades, with key developments occurring in distinct waves of innovation. The foundational concept of artificial neural networks dates back to 1943 when Warren McCulloch and Walter Pitts proposed a computational model of neurons [60]. The field progressed with Frank Rosenblatt's introduction of the perceptron in the late 1950s, which demonstrated the ability to perform simple pattern recognition [61].

However, the field faced significant challenges in the 1970s after Marvin Minsky and Seymour Papert published "Perceptrons" [62], highlighting the limitations of single-layer neural networks. This initiated what is now known as the "AI winter," a period of reduced funding and interest in neural network research.

The 1980s saw a resurgence with the development of backpropagation by Geoffrey Hinton, David Rumelhart, and Ronald Williams [63], enabling effective training of multi-layer networks. However, computational limitations prevented widespread adoption of deeper architectures.

The modern era of deep learning began in the 2000s with several breakthrough developments:

- In 2006, Geoffrey Hinton introduced deep belief networks with an effective layer-by-layer pre-training method [64].
- In 2012, AlexNet, developed by Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, dramatically outperformed traditional computer vision algorithms in the ImageNet competition [65].
- Subsequent years saw the development of increasingly sophisticated architectures such
  as VGGNet, GoogLeNet/Inception, and ResNet, each advancing the state-of-the-art in
  various tasks.

In the medical imaging domain, a significant milestone was the introduction of U-Net in 2015 by Ronneberger et al. [66], specifically designed for biomedical image segmentation, demonstrating deep learning's capacity to address specialized healthcare challenges.

#### 2.4.2 Why Deep Learning?

Deep learning offers several distinct advantages that have fueled its adoption across numerous domains, particularly in medical imaging:

- Automatic Feature Extraction: Unlike traditional machine learning that relies on handcrafted features, deep learning automatically discovers relevant features from raw data, eliminating the need for domain-specific expertise in feature engineering.
- Hierarchical Feature Learning: Deep networks learn representations at multiple levels
  of abstraction. Lower layers capture basic patterns (e.g., edges in images), while higher
  layers combine these to represent complex concepts (e.g., textures, objects, or tumor
  characteristics).
- Scalability with Data: Deep learning models continue to improve as more data becomes available, unlike many traditional algorithms that plateau in performance. This is particularly relevant in medical imaging as dataset sizes continue to grow.
- **Transfer Learning**: Knowledge gained from one task can be transferred to another, reducing the need for large amounts of labeled data for each new application—crucial in medical domains where annotated data is often scarce.
- End-to-End Learning: Deep learning can learn directly from raw input to desired output, eliminating intermediate processing steps that might introduce biases or errors.
- Handling Unstructured Data: Medical images, natural language reports, and other unstructured data that constitute most healthcare data are particularly well-suited for deep learning approaches.

In the context of brain tumor analysis, deep learning excels at identifying subtle patterns in MRI images that might be imperceptible to human observers, potentially leading to earlier detection and more precise characterization of pathologies.

# 2.4.3 Deep Learning Application Examples

Deep learning has revolutionized numerous fields with successful applications across diverse domains:

#### **Medical Imaging and Healthcare:**

- Brain tumor segmentation and classification from MRI scans [67]
- Retinal disease detection from optical coherence tomography (OCT) [68]
- Lung cancer detection and classification from CT scans [69]
- Skin lesion classification for melanoma detection [70]
- Breast cancer detection in mammography [71]

#### **Computer Vision**:

- Object detection and recognition in images and video
- Facial recognition and emotion detection
- Autonomous driving perception systems
- Video surveillance and anomaly detection

#### **Natural Language Processing:**

- Machine translation between languages
- Sentiment analysis in social media and customer feedback
- Question answering systems and chatbots
- Text summarization and content generation

#### **Speech and Audio Processing:**

- Speech recognition and transcription
- Voice synthesis and generation
- Speaker identification and verification
- Music generation and recommendation

#### **Game Playing and Simulation:**

- AlphaGo and AlphaZero defeating world champions in Go, chess, and shogi
- Game-playing agents in complex video games
- Physics simulations and molecular dynamics

These examples illustrate deep learning's versatility and transformative impact across domains. In medical imaging specifically, deep learning applications continue to expand, with potential to dramatically improve diagnostic accuracy, reduce interpretation time, and enable personalized treatment planning.

### 2.4.4 Different Architectures of Deep Learning

Deep learning encompasses a variety of architectural paradigms, each designed to address specific types of problems:

**Feedforward Neural Networks (FNNs)**: The most basic architecture where information flows only in one direction, from input to output. Multilayer Perceptrons (MLPs) are a common type of FNN with multiple hidden layers, suitable for tabular data and basic pattern recognition tasks.

Convolutional Neural Networks (CNNs): Specialized for processing grid-like data such as images. CNNs employ convolutional layers that apply filters across the input, capturing spatial hierarchies and patterns. Notable CNN architectures include:

- **AlexNet**: Pioneering architecture that demonstrated the power of deep learning in computer vision [65]
- VGGNet: Featured uniform architecture with small filters [72]
- **ResNet**: Introduced residual connections to train very deep networks effectively [73]
- **Inception/GoogLeNet**: Employed multi-scale processing through parallel convolutional filters [74]
- **DenseNet**: Connected each layer to every other layer in a feed-forward fashion [75]
- **U-Net**: Specialized for biomedical image segmentation with a contracting path and an expansive path [66]

**Recurrent Neural Networks (RNNs)**: Designed for sequential data by maintaining internal memory states. Variants include:

- Long Short-Term Memory (LSTM): Addresses the vanishing gradient problem with specialized memory cells [76]
- Gated Recurrent Units (GRU): Simplified version of LSTM with fewer parameters [77]
- Bidirectional RNNs: Process sequences in both forward and backward directions

**Transformer Networks**: Introduced in the paper "Attention Is All You Need" [78], transformers rely on self-attention mechanisms rather than recurrence or convolution. They have revolutionized NLP through models like BERT, GPT, and T5, and are increasingly applied to computer vision tasks.

#### **Generative Models:**

- Generative Adversarial Networks (GANs): Consist of generator and discriminator networks trained simultaneously in a minimax game [79]
- Variational Autoencoders (VAEs): Encode inputs to a latent space distribution and decode samples from this distribution [80]
- **Diffusion Models**: Generate data by gradually removing noise from a signal [81]

**Hybrid Architectures**: Many modern deep learning solutions combine elements from different architectural paradigms to leverage their respective strengths. For example, CNN-LSTM networks for video analysis or attention-augmented CNNs for improved image recognition.

In medical imaging analysis, specialized architectures like U-Net and its derivatives have become particularly important due to their effectiveness in segmentation tasks, while various CNN architectures excel at classification and detection problems.

# 2.4.5 Hardware Used in Deep Learning

The computational demands of deep learning models have driven significant developments in specialized hardware. The following components and systems are crucial for effective deep learning implementation:

**Graphics Processing Units (GPUs)**: GPUs have revolutionized deep learning by enabling massive parallelization of matrix operations. NVIDIA's CUDA-capable GPUs have become the standard for deep learning research and development, with specialized architectures including:

- Consumer GPUs (e.g., GeForce RTX series)
- Professional GPUs (e.g., Quadro series)
- Data center GPUs (e.g., Tesla V100, A100, H100)

**Tensor Processing Units** (**TPUs**): Developed by Google, TPUs are application-specific integrated circuits (ASICs) designed specifically for machine learning workloads. They excel at matrix operations and are available through Google Cloud services in various configurations (v2, v3, v4).

**Neural Processing Units** (**NPUs**): Specialized processors designed for neural network computations, often integrated into mobile devices and edge computing platforms to enable on-device AI capabilities with lower power consumption.

**Field-Programmable Gate Arrays** (**FPGAs**): Reconfigurable hardware offering flexibility and energy efficiency for specific deep learning applications, particularly in edge and embedded systems.

**High-Performance Computing (HPC) Clusters**: For large-scale training and inference, distributed systems with multiple GPUs/TPUs connected via high-speed interconnects (e.g., NVLink, InfiniBand) are essential.

#### **Memory Considerations:**

- High-bandwidth memory (HBM) for accelerators
- Large RAM requirements for data loading and processing
- Fast storage systems (NVMe SSDs) for data access

**Cloud Computing Platforms**: Major cloud providers offer specialized infrastructure for deep learning:

Amazon Web Services (AWS) with EC2 GPU instances

- Google Cloud Platform (GCP) with TPU access
- Microsoft Azure with GPU-enabled virtual machines
- Specialized platforms like Lambda Labs and Paperspace

In medical imaging applications, hardware requirements are often substantial due to the high resolution and dimensionality of imaging data (e.g., 3D MRI volumes). Research institutions and hospitals increasingly rely on dedicated GPU clusters or cloud resources to develop and deploy deep learning models for clinical applications.

The hardware landscape continues to evolve rapidly, with emerging technologies like neuromorphic computing and photonic computing promising even greater efficiency for neural network computations in the future.

# 2.4.6 Convolutional Neural Networks (CNNs)

A convolutional neural network (CNN) is a type of neural network with a topology similar to a grid, inspired by the human brain. It is commonly used for image processing tasks, as well as natural language processing.

A CNN consists of two main parts. The input is an image, represented as a 2D matrix of pixels for grayscale images and a 3D matrix of pixels for color images (Red, Green, Blue).

The first part of a CNN is the convolutional layer, which acts as a feature extractor. The image is passed through a series of filters, or convolution kernels, to generate new images called feature maps. Some intermediate filters reduce the image resolution. Finally, the feature maps are concatenated to form a vector of features, known as the CNN code.

The output of the convolutional layer, the CNN code, is the input to the second part of the network. The main role of this part is to combine the features of the CNN code to classify the image. The output is a final layer with one neuron per category.

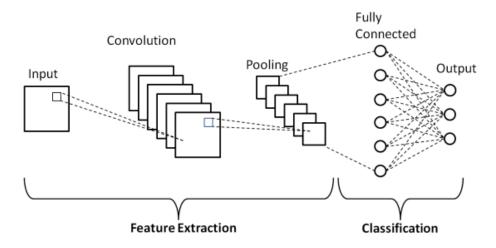


Figure 2.7: Convolutional Neural Network.

## 2.4.6.1 Convolutional Layer

The convolutional layer is the most important layer and usually the first layer in a CNN. It consists of three main elements involved in the convolution operation:

- Input image (f)
- Feature detector (filter) (h)
- Feature map (output) (G)

A convolution takes an image and a filter as input and applies the convolution operation to produce a new image, called the activation map or feature map.

The activation map values are calculated using the following formula:

$$G[m,n] = (f*h)[m,n] (2.1)$$

where

- f is the input image,
- *h* is the convolution filter,
- m, n are the spatial indices over which the convolution is computed.

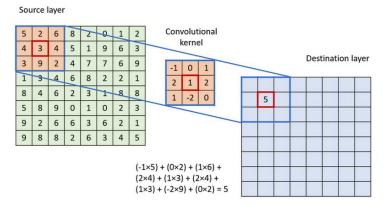


Figure 2.8: Convolution Layer.

#### 2.4.6.2 Correction Layer (ReLU)

The Correction Layer, typically implemented using the Rectified Linear Unit (ReLU), is an activation function applied after each convolution operation to enhance processing efficiency. It replaces all negative pixel values with zero, introducing non-linearity into the network while maintaining computational simplicity. The ReLU function is defined as:

$$f(x) = \max(0, x) \tag{2.2}$$

Several other activation functions exist, such as the sigmoid function, the hyperbolic tangent function (tanh), and the hyperbolic saturating tangent function. However, ReLU is often preferred in deep learning models because it enables faster convergence and better performance compared to these alternatives.

#### 2.4.6.3 Pooling Layers

Pooling layers are utilized to reduce the spatial dimensions of feature maps while preserving the most important information and features. This helps decrease computational complexity and mitigate overfitting. There are several types of pooling operations:

- Max Pooling: It selects the maximum value from each patch of the feature map. Typically, a  $2 \times 2$  patch is used. Max pooling is the most commonly used pooling method.
- **Min Pooling**: The inverse of max pooling; it selects the minimum value from each patch of the feature map.

- **Average Pooling**: It computes the average of all the values within each patch of the feature map by summing the values and dividing by the number of elements.
- Sum Pooling: It computes the sum of all elements within each patch of the feature map.
- **Flattening**: After the pooling operations, the resulting feature maps are flattened into a single one-dimensional vector to prepare for fully connected (dense) layers.

## 2.4.6.4 Fully Connected Layer

after the convolution and pooling layers, the high-level reasoning in the neural network is done in fully connected layers. The output of flattening is the input of FC layers which are the same as artificial neural networks and carry out the same mathematical operations. The last fully-connected layer uses an activation function such as sigmoid or softmax to get probabilities of the outputs.

# 2.5 Conclusion

In this chapter, we established the theoretical foundation necessary for our study on brain tumor analysis using artificial intelligence techniques. We began by exploring the fundamental concepts of artificial intelligence, machine learning, and deep learning, highlighting their evolutionary development and significance in the medical imaging domain. We examined various machine learning approaches and algorithms, with particular emphasis on supervised learning methods and Support Vector Machines (SVMs) for classification tasks. The chapter then delved into deep learning methodologies, focusing on the architecture and operational principles of Convolutional Neural Networks (CNNs) and their key components—convolutional layers, pooling mechanisms, and fully connected layers. Finally, we presented the U-Net architecture, a specialized CNN model designed specifically for biomedical image segmentation, which forms a crucial component in the segmentation phase of our proposed hybrid approach. This comprehensive theoretical background provides the necessary context for understanding the practical implementation and performance evaluation of our models in the subsequent chapters.

# **Chapter 3**

# State of the art on Brain Tumor Detection methods

# 3.1 Introduction

This chapter reviews the significant literature connected to this study, which aims to establish a conceptual information system framework for medical imaging employing MRI brain tumor pictures. The review focuses on the use of accessible current electronic scanners, computer-based methodologies, and their application to enhance the MRI brain tumor tissue analysis with a comparable accuracy to manual analysis methodology.

# 3.2 Literature Review

In this section, we will discuss the existing literature on the application of machine learning and deep learning techniques in the field of brain tumor detection.

# 3.2.1 Medical Imagery

Medical imaging is a technique that allows us to visualize the internal structure of a human body in order to diagnose various medical conditions.

#### 3.2.1.1 Existing available approaches to analyze tissues

The routine examination of tissues is required to investigate the manifestations of the disease. With the availability of the medical images now, it provides a great opportunity to visualize tissue samples in order to diagnose various medical conditions. In order to make this diagnosis, there are three possible ways to perform this examination in the laboratory, including:

- 1. Traditional microscopic analysis.
- 2. Capturing the images using electronic devices and Analysis.
- 3. Computer based approaches using digitized images.

#### 3.2.1.2 Traditional microscopic analysis

In the histopathology laboratory, professionals analyze slides of biopsy specimens using a microscope for counting and identification of different kinds of tissues. says that normally sample tissues acquired from a biopsy is cut into small segments (often 5-20 microns), processed and put onto glass slides. In addition to that preservation and staining is a component of processing the tissue segment in the fabrication of histopathology slides.

Generally, pathology professionals then conduct out manual inspection of these slides using a microscope as a regular job at different magnification levels (e.g. 10x, 20x, 40x, 100x, 200, 400x) to make judgements. Typically, histopathology slides are more informative than other modalities.

With advancements in computer power and the development of digital scanners and image processing tools, digital histopathology image analysis enables specialists to augment to help analyze the pictures. This decreases the time and effort necessary for diagnosis by pathologists as well as reduces human error and subjectivity. There are numerous sorts of digital scanners accessible at today to study various types of tissue structures which include Ultrasound, CT, MRI, PET.

#### 3.2.1.3 Capturing the images using electronic devices

From what we mentioned, ultrasound despite its wide fame in the field of medical imaging and its low risk to patients. However, it is limited from the side that it cannot treat small tissues, as it is only specialized for large tissues. Compared to ultrasound, the use of CT and MRI delivers better when considering cancer tissues especially brain tumors and among CT and MRI. Among CT and MRI, MRI provides greater soft tissue contrast than other techniques because it can present in detail and can distinguish tissues in the brain. MRI are considered safe for patients to avoid harmful effects because they do not use ionizing radiation during the examination, and provide more detail than CT images.

# 3.2.1.4 Computer-Aided methods using digitized images obtained from biopsy specimen slides

Manual microscopic examination is time intensive, prone to mistakes and produces uneven results among specialists. Most utilized electronic scanner like ultrasound can only detect big and mature follicles. Additionally, CT, MRI and UT need professionals' assistance. To solve the challenges connected with microscopic analysis and electronic scanners computer-based techniques would be a feasible solution since computerized image analysis minimizes time, effort, human mistakes and subjectivity for the diagnosis of diverse tissues by pathologists. There are several sorts of 35current computer-based methodologies accessible at present which largely considers cancer tissue analysis, blood vessel analysis and lymphatic channel analysis. While there are so many current ways accessible to date yet none of the present approaches are suited to evaluate MRI brain tumor tissues. This is owing to the fact that none of the available systems are totally automated which do not need any human interaction when assessing a fresh batch of photographs.



Figure 3.1: Example of brain MRI image. [6]

# 3.2.2 Image processing techniques

#### 3.2.2.1 Image Pre-processing

The medical images processed contain a great deal of information as they are usually noisy due to unwanted pixels. it is always necessary to preprocess as a first step in most current image analysis techniques to analyze the image of the brain tumor where pre-processing aims to improve:

- 1. Noise removal: Removing impulse noise from images is one of the most important concerns in digital image processing, where noise must be removed in a way that preserves the important information of the image. A variety of techniques are used to eliminate and reduce noise in images, including a Gaussian filter, which is used to remove details and noise. It provides positive and enhanced results for noisy images.
- 2. Enhance contrast: It is defined as the manipulation and redistributing the image pixels in a linear or non-linear fashion to improve the separation of obscured structural variations in pixel intensity into a more visually differentiable structural distribution [82]. However, there is no universal theory for enhance contrast approach [83]. Digitized images acquired from MRI are typically grayscale images. It is hard to deal with the intensity of a grayscale image straightway [83]. The processing of contrast enhancement histograms is one of the most widely used approaches. The processing of histogram includes equalization (An approach that extends the intensity range and improves image contrast.) and normalization (An approach for modifying the series of pixel intensity values according to relative frequencies).

#### 3.2.2.2 Segmentation

Segmentation is the most important part in image processing. Fence off an entire image into several parts which is something more meaningful and easier for further process. These several parts that are rejoined will cover the entire image. Segmentation may also depend on various features that are contained in the image. It may be either color or texture. Before denoising an image, it is segmented to recover the original image. The main motto of segmentation is to reduce the information for easy analysis. Segmentation is also useful in Image Analysis and Image Compression [84].

#### 3.2.2.3 classification

Although there are many techniques used to identify and classify each depending on the characteristics they target for detection, for images of MRI brain tumor, there are three basic characteristics (shape, size, and color) required for tumor detection. In most cases, the svm algorithm is used, but more recently, the Convolutional Neural Network approach is also widely used in medical image processing.

#### **3.2.2.4 Detection**

Image detection is a technique that analyzes the picture and finds items inside it, medical image detection refers to the process of recognizing medical-related objects that are included within an image. This assists in establishing the precise placement of multiple tissues as well as the direction of those tissues.

# 3.3 Related Work

In this section, we review key approaches to brain tumor segmentation and classification that motivated our work. We focus on five representative studies, highlighting their main techniques and results, and then summarize them in Table 3.1.

# 3.3.1 Brain Tumor Segmentation and Grading of Lower-Grade Glioma

Naser *et al.* [85] used a U-Net-based CNN with transfer learning from VGG16 to segment tumors in 110 T1-FLAIR cases of low-grade glioma (LGG). They then classified LGG into

grade II vs III, achieving 89 % accuracy on slice-level MRI and 95 % at the patient level. In contrast, our work employs transfer learning to distinguish among three tumor types rather than grades, and omits mask-based classification in favor of position and texture cues.

#### 3.3.2 Wavelet Statistical Texture + RNN

Begum *et al.* [86] combined optimal wavelet statistical features with an RNN classifier. Their pipeline includes Gaussian filtering for noise removal, OGSA-based feature selection, RNN classification, and tumor ROI segmentation via a modified region growing algorithm (MRG). They reported 95 % accuracy. Our approach instead uses skull stripping with morphological dilation/erosion (and GrabCut verification) to eliminate noise without explicit filtering.

# 3.3.3 Hybrid CNN + NADE

Hashem *et al.* [87] trained two parallel CNNs whose feature outputs are combined via a Neural Autoregressive Distribution Estimator (NADE). This joint distribution aids in tumor shape identification. Using cross-entropy loss on 3 064 T1-weighted images (6-fold CV), they achieved 95 % accuracy. We similarly verify segmentation masks with GrabCut, but replace NADE's distribution estimation with model-derived morphological consistency checks.

# 3.3.4 Hierarchical Transfer Learning with AlexNet & GoogleNet

The framework in [88] applies skull stripping and then uses AlexNet to classify tumors into benign vs malignant, followed by GoogleNet to further distinguish malignant into glioma vs meningioma. With data augmentation (flips, rotations), they report:

- Benign vs Malignant: precision 93.75 %, recall 100 %, F1 96 %.
- Glioma vs Meningioma: precision 95 %, recall 100 %, F1 97.43 %, accuracy 97.50 %.

Our demo uses a single-stage classifier for three tumor types, with GrabCut-verified skull stripping to preserve T1-FLAIR properties.

# 3.3.5 VGG Block-wise Fine-Tuning

Lee *et al.* [89] employ VGG19 with a block-wise fine-tuning strategy, dividing the network into six blocks and progressively unfreezing from the last block. Evaluated on the same 3 064 T1-weighted set (5-fold CV), they reach 94.42% accuracy. We adopt transfer learning as well but use discriminative slanted triangular learning rates rather than linear schedules for fine-tuning.

Table 3.1: Summary of prior methods in brain tumor segmentation and classification

Study	Approach Dataset		<b>Key Results</b>	
Naser <i>et al.</i> [85]	U-Net + VGG16 transfer learning	110 LGG (T1-FLAIR)	MRI accuracy: 89%, patient accuracy: 95%	
Begum <i>et al.</i> [86]	OGSA wavelet + RNN + MRG segmentation	BraTS2020 (?)	95% accuracy	
Hashem et al. [87]	Hybrid CNNs + NADE	3,064 T1- weighted images	95% (6-fold CV)	
Framework [88]	$\begin{array}{ccc} \text{AlexNet} & \rightarrow \\ \text{GoogleNet} & \text{hierar-} \\ \text{chy} & \end{array}$	BraTS2020 (?)	Benign vs Mal: F1 96%; Glioma vs Men: F1 97.43%, acc 97.50%	
Lee et al. [89]	VGG19 block-wise fine-tuning	3,064 T1- weighted images	94.42% (5-fold CV)	

# 3.4 Conclusion

In this chapter, we reviewed key approaches to brain tumor segmentation and classification, highlighting the most utilized machine learning and deep learning models for this purpose. We examined studies that informed our work, focusing on their methodologies and results.

# **Chapter 4**

# **Contribution**

# 4.1 Introduction

In this chapter, we present the core contributions of our work on automated brain tumor detection in magnetic resonance images. Building upon the BraTS benchmark dataset [17], our pipeline integrates a deep learning–based segmentation module with a classical machine learning classifier and culminates in a user-friendly demo application. The main objectives of this chapter are:

- To describe a tailored U-Net-based segmentation pipeline for delineating tumor subregions in MRI slices.
- To detail a feature-extraction and SVM classification scheme that distinguishes highgrade from low-grade gliomas using volumetric, intensity, texture, and shape descriptors.
- To demonstrate the integration of these modules within an end-to-end application for streamlined inference on new patient data.

The remainder of this chapter is organized as follows. In Section 4.3, we introduce the dataset and preprocessing steps. Section 4.4 details the U-Net segmentation module, including architecture and training protocol. Section 4.5 covers the feature engineering and SVM classification. Section 4.6 presents the design and functionality of our demo application. We conclude with a discussion of key findings and future directions.

# 4.2 Proposed Framework Overview

In this section, we look at our proposed fremework from a systematic perspective. The framework is designed to perform end-to-end brain tumor segmentation and classification. We will discuss the design of the final pipeline and the training workflow to achieve the desired results.

# **4.2.1** End-to-End Inference Pipeline

The purpose of our project is to have an end-to-end inference pipeline accepts a raw MR image as input, applies preprocessing steps, performs segmentation of the tumor region using the trained U-Net model, classifies the tumor grade via the SVM classifier, and finally outputs the original image overlaid with the segmentation mask along with the predicted grade as shown in Figure 4.1.

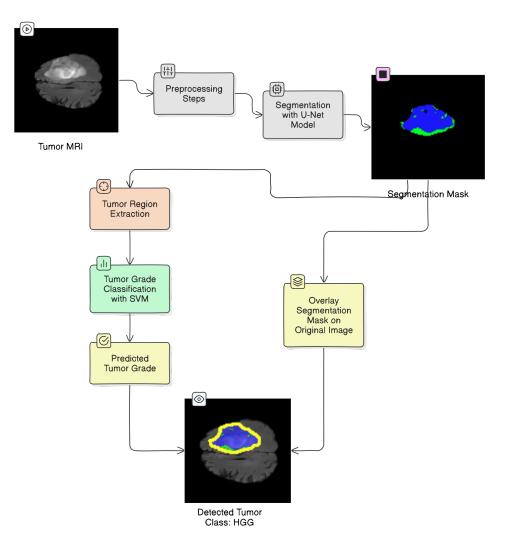


Figure 4.1: Overview of the end-to-end inference pipeline.

# 4.2.2 Model Training Workflow

As shown in Figure 4.2, The training workflow begins with the BraTS dataset. After preprocessing and augmentation, the data is split into training, validation, and test sets. We then train the U-Net segmentation model in parallel with feature extraction followed by SVM classifier training, yielding two standalone models for inference.

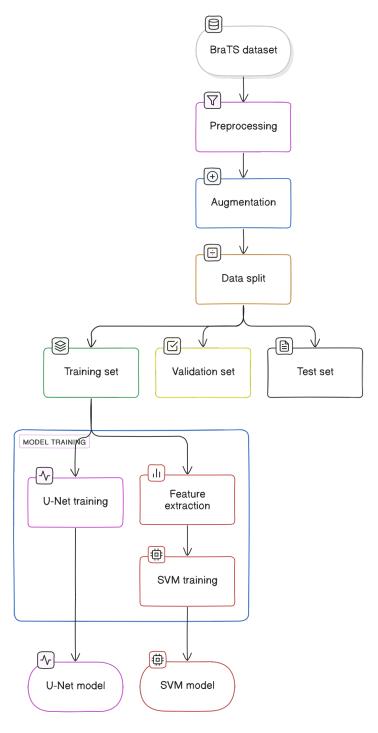


Figure 4.2: Overview of the training workflow.

# 4.3 Dataset and Preprocessing

In order to train our hybrid model we used the Brain Tumor Segmentation (BraTS) 2020 dataset, which is a collection of multimodal Magnetic Resonance Imaging (MRI) scans used for the segmentation of brain tumors.

# **4.3.1** BraTS Dataset Description

The dataset includes MRI scans (Figure 4.3) from glioma patients, providing four different MRI modalities per patient:

- 1. **Native** (**T1**): Reveals detailed anatomical structures and tissue composition, aiding in the identification of tumors, cysts, and other abnormalities.
- 2. **Post-contrast T1-weighted (T1ce)**: Enhances tumor visibility using a gadolinium-based contrast agent, which accentuates abnormal vascularity and lesion boundaries.
- 3. **T2-weighted** (**T2**): Highlights fluid content within brain tissues, which is useful for detecting edema but can sometimes obscure lesions.
- 4. **T2-FLAIR** (**Fluid Attenuated Inversion Recovery**): Suppresses the high signal from fluids (e.g., cerebrospinal fluid), making lesions in the white matter more conspicuous.

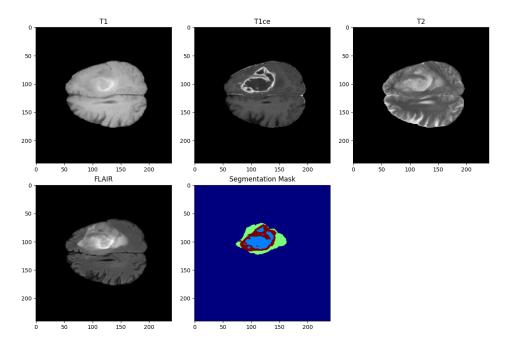


Figure 4.3: Brats modalities: T1, T1ce, T2, and T2-FLAIR.

These scans (Figure 4.3) come with expert-annotated segmentation masks that delineate the tumor into various sub-regions, such as the necrotic and non-enhancing tumor core, the peritumoral edema, and the enhancing tumor. Research has demonstrated that accurate segmentation is linked to improved prognostic assessments and treatment outcomes.

- Class 0 (Not Tumor): This class represents normal brain tissue or background, where no tumor tissue is present.
- Class 1 (Non-Enhancing Tumor): This class corresponds to the necrotic or non-enhancing core regions of the tumor. These areas typically lack contrast enhancement and may include dead or less active tumor tissue.
- Class 2 (Edema): This class identifies regions of peritumoral edema, which is the swelling around the tumor caused by fluid accumulation. Edema is important for understanding the extent of the tumor's impact on surrounding brain tissue.
- Class 4 (Enhancing Tumor): This class captures the actively enhancing parts of the tumor, visible after the administration of a contrast agent. These regions often indicate aggressive tumor tissue with increased blood flow and permeability.

To visually interpret these segmentations, we map the categorical labels to a custom colormap. In our example (Figure 4.4), we use four distinct colors to represent:

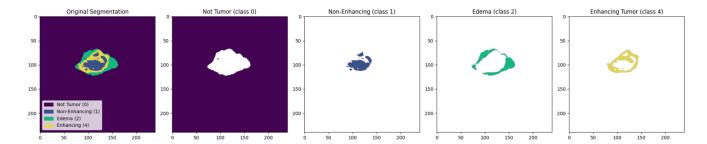


Figure 4.4: Segmentation of Tumor classes.

# 4.3.2 Dataset Splitting

To train and evaluate our model effectively, we need to partition our dataset into three subsets:

• Training Set (70%): Used to learn the model parameters.

- Validation Set (approximately 20%): Used for tuning hyperparameters and preventing overfitting.
- Test Set (10%): Used for assessing the final model's performance on unseen data.

This split can be done randomly or in a stratified manner (to preserve the class distribution), which is especially useful when dealing with imbalanced datasets. Properly splitting the dataset is crucial for building a robust model that generalizes well to new data.

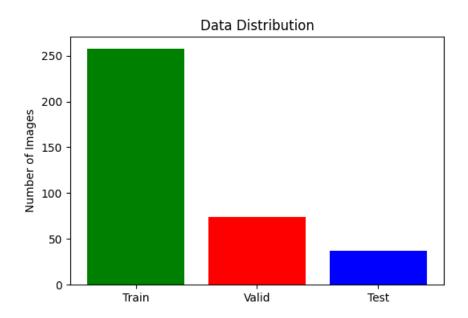


Figure 4.5: Dataset splitting: training, validation, and test sets.

# 4.3.3 Data Preprocessing

Before feeding MR volumes into our models, we apply a series of standardized preprocessing steps to ensure consistency and improve model robustness. Our pipeline operates on 2D axial slices extracted from 3D volumes, as follows:

1. **Slice Extraction.** For each patient volume, we select 100 consecutive axial slices starting at index 22. This avoids initial and final slices that contain little anatomical information.

#### 2. Resizing.

• *Image Slices:* Each extracted slice is resized to 128×128 pixels to match the U-Net input dimensions.

- Segmentation Masks: Corresponding ground-truth masks are first resized to 240×240
  (to preserve label fidelity) and later downsampled alongside images during one-hot
  encoding.
- 3. **Intensity Normalization.** All pixel intensities in a slice are divided by the global maximum value of that volume, scaling inputs to the [0,1] range. This step harmonizes contrast across patients and modalities.
- 4. **Augmentation.** To increase effective training diversity, random geometric transformations are applied during batch generation:
  - Horizontal and vertical flips (each with 50% probability).
  - Rotations by multiples of 90° (randomly chosen among 0°, 90°, 180°, 270°).

These preprocessing routines standardize input dimensions, normalize intensity distributions, and inject variability—laying a solid foundation for both segmentation and classification tasks.

# 4.4 Segmentation Module

The segmentation module is responsible for delineating tumor subregions in MR slices. It consists of a U-Net-based convolutional network for mask prediction.

#### 4.4.1 U-Net Architecture

U-Net is a convolutional neural network architecture specifically designed for biomedical image segmentation. Introduced by Ronneberger et al. in 2015, U-Net features a symmetric encoder-decoder structure: the contracting path (encoder) captures image context through successive convolution and pooling operations, while the expansive path (decoder) enables precise localization via upsampling and concatenation with high-resolution features from the encoder. This architecture allows U-Net to achieve accurate segmentation even with limited annotated data by leveraging extensive data augmentation. U-Net has demonstrated superior performance in various biomedical segmentation challenges, notably outperforming previous methods in tasks such as neuronal structure segmentation in electron microscopy images and cell tracking in light microscopy [90].

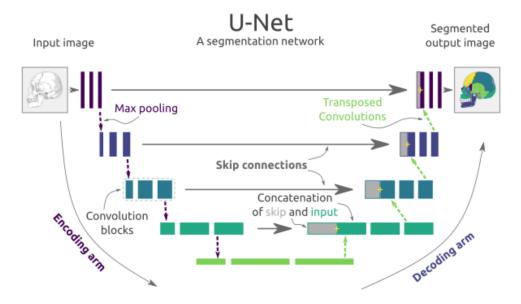


Figure 4.6: U-Net Architecture Illustrating the Encoding and Decoding Arms with Skip Connections. [7]

### **Key Components of a U-Net Architecture**

#### • Contracting Path (Encoder):

This path is responsible for extracting contextual features from the input image. It consists of repeated blocks of two  $3 \times 3$  convolutional layers (with ReLU activation), followed by a  $2 \times 2$  max pooling operation for downsampling. With each downsampling step, the number of feature channels is doubled, allowing the network to capture increasingly abstract representations of the input.

#### Bottleneck:

Located at the deepest part of the network, the bottleneck consists of convolutional layers without pooling. It serves as the bridge between the encoder and decoder, capturing the most condensed and abstract features of the input.

#### • Expansive Path (Decoder):

This path reconstructs the spatial resolution of the feature maps and enables precise localization. Each step in the decoder involves upsampling the feature map (often via transposed convolution or up-convolution), concatenating it with the corresponding feature map from the encoder (skip connection), and then applying two  $3 \times 3$  convolutions (with ReLU activation). The number of feature channels is halved at each upsampling step.

#### • Skip Connections:

At each level, feature maps from the encoder are concatenated with the upsampled feature maps in the decoder. These skip connections help retain high-resolution spatial information that might otherwise be lost during downsampling, improving the accuracy of segmentation boundaries.

#### • Final Output Layer:

The last layer is typically a  $1 \times 1$  convolution that maps each feature vector to the desired number of output classes, producing a pixel-wise classification map for segmentation tasks.

# 4.4.2 Evaluation Metrics for Segmentation

In segmentation tasks, *accuracy* measures the overall proportion of correctly classified pixels. However, in datasets like BraTS2020—where the background (non-tumor) pixels vastly outnumber tumor pixels—accuracy can be misleading. Therefore, we employ the following metrics for a more balanced evaluation:

• **Precision** (Positive Predictive Value) Measures the fraction of predicted tumor pixels that are truly tumor:

$$Precision = \frac{TP}{TP + FP}$$

where

- TP = number of true positive pixels,
- FP = number of false positive pixels.
- **Sensitivity** (Recall or True Positive Rate) Measures the fraction of actual tumor pixels correctly identified:

$$Sensitivity \, = \, \frac{TP}{TP + FN}$$

where

- FN = number of false negative pixels.
- Specificity (True Negative Rate) Measures the fraction of non-tumor pixels correctly

classified:

Specificity = 
$$\frac{TN}{TN + FP}$$

where

- TN = number of true negative pixels.
- Intersection over Union (IoU) Also known as the Jaccard index, IoU measures overlap between prediction and ground truth:

$$IoU = \frac{TP}{TP + FP + FN}.$$

We report the mean IoU (mIoU) averaged over the four classes.

• **Dice Coefficient** (F1 Score) The Dice coefficient emphasizes overlap and is defined as:

Dice = 
$$\frac{2\text{TP}}{2\text{TP} + \text{FP} + \text{FN}}$$
.

We compute both the *overall Dice* (averaged across classes) and *per-class Dice* for necrotic/core, edema, and enhancing tissue.

# **4.4.3** Segmentation Results

In this section, we present the results of our U-Net segmentation model on the BraTS2020 dataset. The model was trained for 50 epochs with a batch size of 16, we will discuss the end results of the training and validation process, including loss and accuracy metrics.

## **4.4.3.1** Accuracy

The model achieved an impressive pixel-level accuracy of 99.3%, indicating that the vast majority of pixels were correctly classified. This high accuracy is particularly important in medical imaging, where even small errors can have significant implications. The overall results shown in Figure 4.7 provide a clear picture of the training and validation accuracy over the course of the training process.

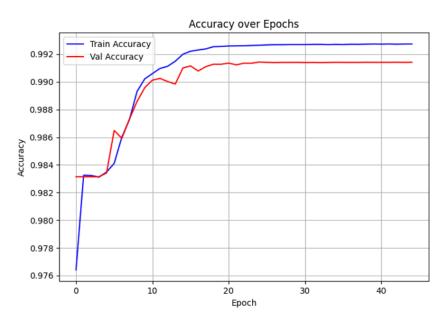


Figure 4.7: Training and Validation Accuracy over Epochs for the U-Net Segmentation Model

#### 4.4.3.2 Loss

The loss function used during training was the categorical cross-entropy loss, which measures the dissimilarity between the predicted and true distributions. The model converged to a low loss of 0.0231, indicating that the predictions were closely aligned with the ground truth. The loss curve shown in Figure 4.8 provides a clear picture of the training and validation loss over the course of the training process.

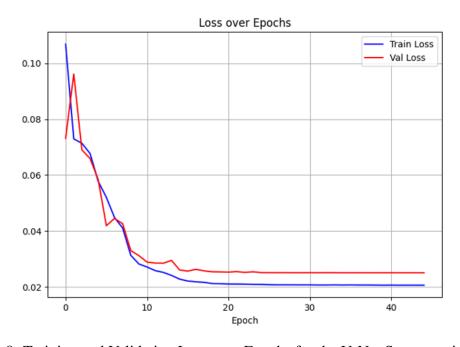


Figure 4.8: Training and Validation Loss over Epochs for the U-Net Segmentation Model

#### 4.4.3.3 Dice Coefficient

The Dice coefficient is a measure of overlap between the predicted and true segmentation masks. The overall Dice coefficient achieved was 58.98%, indicating a good level of agreement between the predicted and true tumor regions. The per-class Dice coefficients were also calculated, providing insights into the model's performance on different tumor subregions. The results shown in Figure 4.9 provide a clear picture of the training and validation Dice Coefficient over the course of the training process.

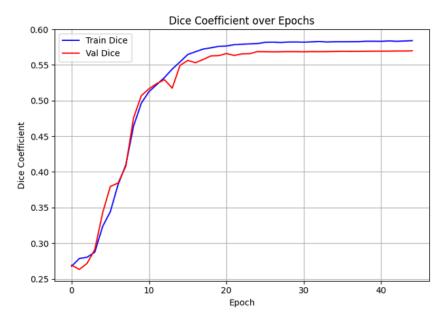


Figure 4.9: Training and Validation Dice Coefficient over Epochs for the U-Net Segmentation Model

#### 4.4.3.4 Mean IoU

The mean Intersection over Union (IoU) was calculated to assess the model's performance across all classes. The mean IoU achieved was 74.66%, indicating a good level of overlap between the predicted and true segmentation masks. This metric is particularly useful in medical imaging, where accurate delineation of tumor boundaries is crucial for treatment planning. The results shown in Figure 4.10 provide a clear picture of the training and validation Mean IoU over the course of the training process.

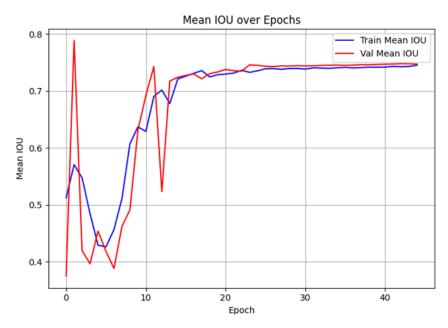


Figure 4.10: Training and Validation Mean IoU over Epochs for the U-Net Segmentation Model

### **4.4.3.5** Summary

Table 4.1 summarizes the quantitative performance of the U-Net segmentation model. The overall accuracy of the model is 99.30%, which is a measure of the proportion of correctly classified pixels. The mean IoU of 74.66% indicates a good level of overlap between the predicted and true segmentation masks across all classes. The Dice coefficient of 58.98% is a measure of the overlap between the predicted and true tumor regions. The precision of 99.37% indicates a low number of false positives, while the sensitivity of 99.08% indicates a low number of false negatives. The specificity of 99.79% indicates that the model is effective at eliminating false positives. The results shown in Figure 4.11 provide a clear picture of the predicted tumor segmentation masks.

Table 4.1: Performance Metrics for the U-Net Segmentation Model

Metric	Value
Loss	0.0231
Accuracy	99.30 %
Mean IoU	74.66 %
Dice Coefficient (overall)	58.98%
Precision	99.37 %
Sensitivity	99.08%
Specificity	99.79 %

Overall, the model converged to a low loss (0.0231) and achieved excellent pixel-level accuracy (99.3%), demonstrating strong background discrimination (specificity = 99.8%). The

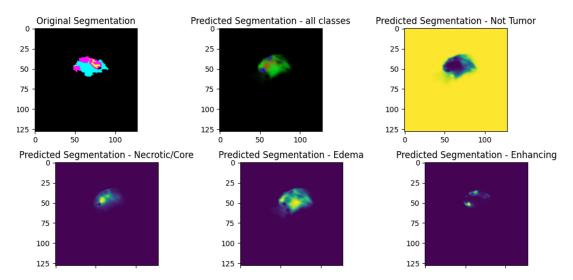


Figure 4.11: Sample of a Predicted tumor segmentation masks.

mean IoU of 74.7 % and overall Dice of 59.0 % indicate reliable overlap between prediction and ground truth also confirms that tumor regions are both accurately and comprehensively detected.

# 4.5 Classification Module

The classification module distinguishes high-grade gliomas (HGG) from low-grade gliomas (LGG) using handcrafted features extracted from the segmented tumor regions and a support vector machine (SVM) classifier.

# 4.5.1 Support Vector Machines (SVM)

Support Vector Machines (SVMs) are supervised machine learning models widely used for classification and regression tasks. The core idea of SVM is to find an optimal hyperplane that separates data points of different classes with the maximum possible margin, which enhances the model's ability to generalize to unseen data. SVMs can efficiently handle both linear and non-linear classification problems by employing the kernel trick, which implicitly maps input data into a higher-dimensional feature space where a linear separation becomes possible. The theoretical foundation of SVMs is based on the Structural Risk Minimization (SRM) principle, which aims to minimize an upper bound on the generalization error, offering advantages over traditional Empirical Risk Minimization approaches. Originally developed by Vapnik and colleagues in the 1990s, SVMs have become popular due to their strong empirical performance

and robustness to overfitting, especially in high-dimensional spaces [91].

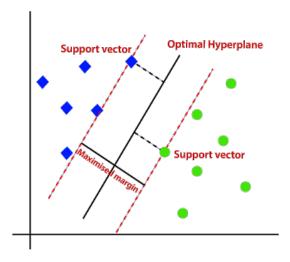


Figure 4.12: Support Vector Machine (SVM) Decision Boundary Visualization

The fundamental formula defining the decision boundary of a Support Vector Machine (SVM) is a hyperplane expressed as:

$$\mathbf{w}^{\mathsf{T}}\mathbf{x} + b = 0 \tag{4.1}$$

where  $\mathbf{w}$  is the weight vector normal to the hyperplane,  $\mathbf{x}$  is the input feature vector, and b is the bias term.

For binary classification with labels  $y_i \in \{+1, -1\}$ , the SVM enforces the following constraints on each training point  $(\mathbf{x}_i, y_i)$ :

$$y_i(\mathbf{w}^\top \mathbf{x}_i + b) \ge 1, \quad \forall i.$$
 (4.2)

The margin width (the distance between the closest points of each class to the hyperplane) is given by  $\frac{2}{\|\mathbf{w}\|_2}$ . Maximizing this margin is therefore equivalent to minimizing  $\|\mathbf{w}\|_2$ , leading to the following convex optimization problem:

$$\min_{\mathbf{w},b} \frac{1}{2} \|\mathbf{w}\|_{2}^{2}, \tag{4.3}$$
subject to  $y_{i}(\mathbf{w}^{\top}\mathbf{x}_{i} + b) \geq 1, \quad \forall i.$ 

subject to 
$$y_i(\mathbf{w}^{\top}\mathbf{x}_i + b) \ge 1, \quad \forall i.$$
 (4.4)

For non-linearly separable data, slack variables and kernel functions can be introduced, but the core formulation remains centered on maximizing the margin around this hyperplane.

#### 4.5.2 Feature Extraction

From each segmented case, we compute the following feature categories:

- **Volume Features:** Volumes of necrotic/core (NCR), edema (ED), enhancing tumor (ET), tumor core (TC), and whole tumor (WT), plus their ratios (e.g., TC/WT, ET/TC).
- Intensity Statistics: Mean, standard deviation, minimum, maximum, median, 10th/90th percentiles, and range of voxel intensities for each modality (FLAIR, T1, T1CE, T2) within each tumor component.
- **Texture Features:** Histogram of oriented gradients (HOG)–based descriptors computed on each component.
- **Shape Features:** Extents along each axis, elongation, flatness, and sphericity of the whole tumor mask.
- **Heterogeneity Features:** Contrast between core and edema, and between enhancing and necrotic regions for each modality.

#### 4.5.3 Feature Selection

- Fit a Random Forest classifier on the training split to compute feature importances.
- Select the top 30 most important features for downstream classification.

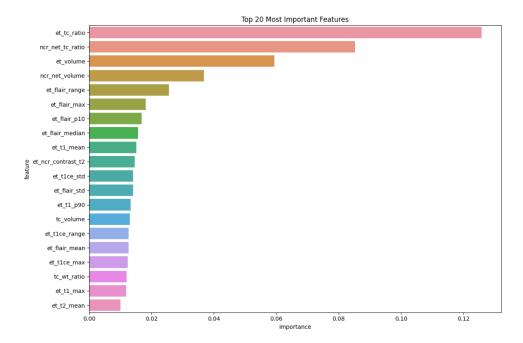


Figure 4.13: Importance of Top Features of The classification model

#### 4.5.4 Evaluation Metrics for Classification

We assess performance on the held-out test set using:

• Accuracy: Fraction of correctly classified patients.

$$Accuracy = \frac{TP + TN}{TP + TN + FP + FN}$$

• Precision, Recall, F1-Score: Computed per class and averaged.

$$Precision = \frac{TP}{TP + FP}, \qquad Recall = \frac{TP}{TP + FN}, \qquad F1 = \frac{2 \cdot TP}{2 \cdot TP + FP + FN}$$

- Confusion Matrix: Counts of true vs. predicted labels.
- **ROC AUC:** Area under the receiver operating characteristic curve.

$$AUC = \frac{1}{2} \sum_{i=1}^{n} (TPR_i + TPR_{i-1}) \cdot (FPR_i - FPR_{i-1})$$

where n is the number of thresholds and  $TPR_i$  and  $FPR_i$  are the true positive and false positive rates at the ith threshold.

#### 4.5.5 Classification Results

The SVM classifier was optimized via grid search, yielding the following best hyperparameters:

• C = 1,  $\gamma = \text{scale}$ , Kernel = linear

Table 4.2 summarizes the classification performance of the Support Vector Machine (SVM) model on the held-out test set. The model achieved an overall accuracy of 93.24 %, indicating strong generalization. High-grade gliomas (HGG) were classified with high precision (95 %) and recall (97 %), resulting in an F1-score of 96 %. In contrast, low-grade gliomas (LGG) achieved slightly lower metrics, with an F1-score of 83 %, reflecting a minor challenge in capturing the more subtle features associated with LGG.

The macro average shows a balanced view of precision and recall across both classes, with scores around 88–90 %, while the weighted average—which takes class support into account—remains consistent at 93 %. These results confirm the SVM model's reliability and effectiveness in brain tumor grade classification, particularly in detecting HGG, which typically has more distinct patterns and features.

Table 4.2: Performance Metrics of SVM Classifier on the Test Set

Class	Precision	Recall	F1-Score	Support	
LGG (0)	86 %	80 %	83 %	15	
HGG (1)	95 %	97 %	96 %	59	
Accuracy	93.24 %				
Macro avg	90%	88%	89 %	74	
Weighted avg	93 %	93 %	93 %	74	

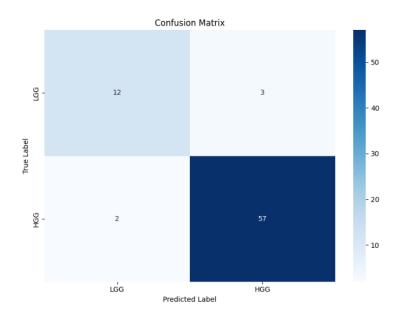


Figure 4.14: Confusion matrix for the SVM classifier.

**Example Inference** On a new patient (ID 083), the pipeline predicted:

• Prediction: HGG

• Probability of HGG: 93.60 %

• Actual Grade: HGG (correct)

# 4.6 Application Demo

To illustrate end-user interaction, we developed a lightweight demo application that integrates our trained U-Net and SVM models into a single GUI. The application consists of two main pages:

# 4.6.1 Upload Page

Presents an HTML form where the user can select and upload a brain tumor image (2D slice). Upon submission, the form sends a POST request to the /results route.

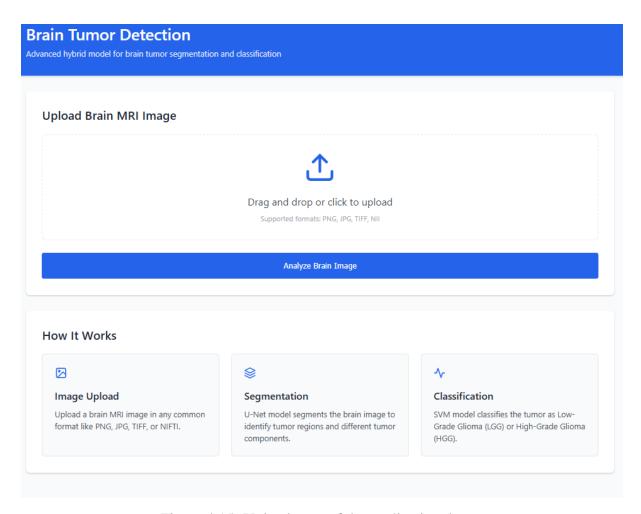


Figure 4.15: Upload page of the application demo.

# 4.6.2 Results Page

Receives the uploaded image, runs the preprocessing, segmentation (U-Net), feature extraction, and classification (SVM) pipeline, and then renders:

- The original input image.
- The segmentation mask overlaid on the input.
- The predicted tumor grade (LGG/HGG) with its confidence score.

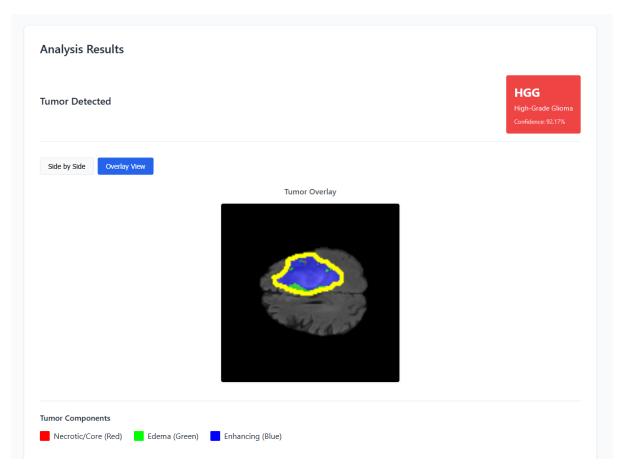


Figure 4.16: Results page of the application demo.

# 4.7 Conclusion

In this chapter, we have presented a comprehensive methodology for automated brain tumor detection and classification using MR images. Our approach integrates a U-Net-based segmentation model with an SVM classifier, achieving high accuracy and robust performance across multiple evaluation metrics. The segmentation module demonstrated reliable delineation of tumor subregions, while the classification module effectively distinguished between high-grade and low-grade gliomas. Additionally, we showcased the practical application of our framework through a user-friendly demo application, highlighting its potential for real-world clinical use. These contributions underscore the effectiveness of combining deep learning and classical machine learning techniques in addressing complex medical imaging challenges. Future work could explore further optimization of the pipeline, incorporation of additional data modalities, and validation on larger, more diverse datasets.

# **General Conclusion**

This thesis has explored the development of a hybrid framework for automated brain tumor detection and classification using Magnetic Resonance Imaging (MRI). By integrating deep learning and classical machine learning techniques, we have demonstrated a robust and effective approach to addressing the challenges of brain tumor analysis in neuro-oncology.

The study began with an examination of fundamental medical concepts related to brain anatomy, tissue types, and tumor classification, establishing the clinical context for our technical approach. We then built a solid theoretical foundation through a comprehensive review of artificial intelligence, machine learning, and deep learning concepts, with particular emphasis on Convolutional Neural Networks (CNNs), the specialized U-Net architecture for biomedical image segmentation, and Support Vector Machines (SVMs) for classification tasks.

After reviewing the state-of-the-art methods in brain tumor detection—including approaches using transfer learning, wavelet features, hybrid architectures, and fine-tuning strategies—we identified opportunities to improve upon existing techniques through our hybrid methodology.

Our framework was implemented using the BraTS2020 dataset, a benchmark in brain tumor segmentation and classification. The pipeline consists of two primary modules:

First, a U-Net-based segmentation model was trained to delineate tumor subregions across multiple MRI modalities (T1, T1ce, T2, and FLAIR). This module achieved impressive pixel-level accuracy of 99.3%, a mean Intersection over Union (IoU) of 74.66%, and an overall Dice coefficient of 58.98%. These metrics highlight the model's ability to accurately identify tumor boundaries while maintaining high specificity (99.79%) to avoid false positives.

Second, a Support Vector Machine classifier was developed to distinguish between high-grade (HGG) and low-grade gliomas (LGG) based on features extracted from the segmented

regions. Using a carefully selected set of volumetric, intensity, texture, shape, and heterogeneity features, the classifier demonstrated strong performance with an overall accuracy of 93.24%. Notably, the model showed excellent capability in identifying high-grade gliomas (96% F1-score), which is particularly valuable given their more aggressive nature and need for urgent intervention.

A key contribution of this work is the integration of these modules into an end-to-end pipeline, capable of processing raw MRI slices to produce segmentation masks and tumor grade predictions with minimal human intervention. This pipeline was encapsulated in an intuitive, user-friendly demo application that allows medical professionals to upload patient images and rapidly receive diagnostic assistance, bridging the gap between research and clinical practice.

Despite the promising results, several challenges and limitations remain. The segmentation module, while accurate, could benefit from further optimization to improve the Dice coefficient for smaller and more complex tumor subregions. The classification module might be enhanced by incorporating additional radiomics features or exploring ensemble learning approaches to boost performance on low-grade gliomas, which currently show a lower F1-score (83%) compared to high-grade tumors.

#### Future work could focus on:

- Expanding the training dataset to include more diverse cases and rare tumor types
- Implementing 3D segmentation to fully leverage volumetric information
- Exploring attention mechanisms to improve feature localization
- Incorporating longitudinal data to track tumor evolution and treatment response
- Validating the framework on external datasets from different institutions and MRI scanners
- Extending the classification to include other tumor types beyond gliomas
- Developing explainable AI components to provide radiologists with insights into model decisions

In conclusion, this thesis has demonstrated the feasibility and effectiveness of combining

deep learning and classical machine learning techniques for comprehensive brain tumor analysis. The proposed hybrid framework not only advances the state of the art in automated tumor detection and classification but also provides a practical tool that could potentially assist radiologists in clinical settings, reduce inter-observer variability, and improve diagnostic efficiency. As artificial intelligence continues to evolve in medical imaging, such approaches may ultimately contribute to improved patient outcomes through earlier and more accurate diagnoses.

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