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Hybrid Brain Tumor Detection Using U-Net and SVM

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*In front of the jury composed of:*

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# Dedication

*To the architects of my dreams, my parents, whose unwavering love and guidance have been my compass; to my family, the sanctuary of my heart, and to my friends, the sparks of joy in my journey.*

This thesis is a testament to your belief in me, a reflection of your sacrifices, and a celebration of the bond we share. Thank you for being my pillars of strength and my endless source of inspiration.

**- Mohammed**

# Dedication

*To my parents, whose unwavering love, support, and guidance have been instrumental in shaping my dreams; to my family, who have been my rock and my safe haven; and to my friends, who have been the source of joy and laughter in my life.*

This thesis is a reflection of their sacrifices, a testament to their faith in me, and a celebration of the bond we share. Thank you for being my pillars of strength and my endless source of inspiration.

- Assil

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# Abstract

Brain tumors, particularly gliomas, pose a significant clinical challenge, requiring both precise localization and accurate grading to guide treatment. In this project, we present a hybrid framework that first segments tumor regions in brain Magnetic Resonance Imaging (MRI) scans using a U-Net model trained on the Brain Tumor Segmentation (BraTS2020) dataset and then classifies these regions as Low-Grade or High-Grade Gliomas with a Support Vector Machine (SVM) model based on features extracted from the segmented masks. On the held-out test set, our U-Net achieved an accuracy of 99.3%, while the SVM classifier delivered an overall accuracy of 93%.

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**Keywords:** Brain Tumor, U-Net, SVM, MRI, BraTS, Segmentation.

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# Résumé

Les tumeurs cérébrales, en particulier les gliomes, posent un défi clinique important, nécessitant à la fois une localisation précise et un classement précis pour guider le traitement. Dans ce projet, nous présentons un cadre hybride qui segmente d'abord les régions tumorales dans l'imagerie par résonance magnétique (IRM) du cerveau en utilisant un modèle U-Net formé sur l'ensemble de données de segmentation des tumeurs cérébrales (BraTS2020) et classe ensuite ces régions comme faibleGliomes de grade ou de haut grade avec un modèle SVM (Support Vector Machine) basé sur les caractéristiques extraites des masques segmentés. Sur l'ensemble de test non exécuté, notre U-Net a atteint une précision de 99,3%, tandis que le classificateur SVM a fourni une précision globale de 93%.

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**Mots-clés:** Tumeur cérébrale, U-Net, SVM, IRM, BraTS, Segmentation.

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# ملخص

تُشكل أورام الدماغ، وخاصة الأورام الدبقية، تحدياً طبياً كبيراً، وتتطلب إلى التحديد والتصنيف بشكل دقيق من أجل توجيه العلاج. في هذا المشروع، نقدم إطاراً هجيناً يقوم أولاً بتقسيم مناطق الورم في عمليات مسح (MRI) للدماغ باستخدام نموذج (U-Net) المدرب على مجموعة بيانات أورام الدماغ (BraTS2020) ثم يقوم بتصنيف هذه المناطق على أنها أورام دبقية منخفضة الدرجة أو عالية الدرجة باستخدام نموذج آلة الدعم المتجه (SVM) استناداً إلى الميزات المستخرجة من الأقنعة المجزأة. في مجموعة الاختبار، حققت شبكة (U-Net) الخاصة بنا دقة قدرها 99,3%، بينما قدم مصنف (SVM) دقة إجمالية قدرها 93%.

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الكلمات المفتاحية: أورام الدماغ، U-Net، SVM، MRI، BraTS، Segmentation.

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# List of abbreviations

**AI** Artificial Intelligence.

**BraTS** Brain Tumor Segmentation dataset.

**CNN** Convolutional Neural Network.

**DL** Deep Learning.

**HGG** High-Grade Glioma.

**K-NN** K-Nearest Neighbors.

**LGG** Low-Grade Glioma.

**ML** Machine Learning.

**MRI** Magnetic Resonance Imaging.

**RF** Random Forest.

**SVM** Support Vector Machine.

**U-Net** U-Net Convolutional Neural Network.

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# Chapter 1

## General Introducton

### 1.1 Introduction

Traditionally, radiologists rely on MRI scans to detect brain tumors, While this method is effective, it also has limitations analyzing hundreds of scans manually is time consuming and prone to human error. That is where technology comes in. With recent advances in artificial intelligence, especially deep learning, we now have powerful tools that can learn from medical images and help with faster and more accurate diagnosis.

In this project, we focus on building a hybrid system to detect brain tumors and determine whether they are low-grade or high-grade. We use a U-Net model for segmenting the tumor regions in MRI images. After identifying these regions, we extract important features and feed them into a Support Vector Machine (SVM) classifier to make the final prediction.

We use the BraTS2020 dataset, focusing on T2-weighted FLAIR images, to train and test our system. Our goal is to create a pipeline that is not only technically sound but also practical and helpful for medical professionals in real-world settings.

### 1.2 What is a Brain Tumor?

A **brain tumor** is an abnormal mass of tissue in which cells grow and multiply uncontrollably, without the mechanisms that regulate normal cell behavior. Brain tumors can be broadly categorized into *benign* (non-cancerous) and *malignant* (cancerous) forms, each with varying

levels of severity and progression [1].

Brain tumors are generally divided into two main categories:

- **Primary brain tumors:** These originate in the brain and include common types such as:
  - *Gliomas* – tumors arising from glial cells, which provide support and insulation to neurons [2].
  - *Meningiomas* – tumors that form in the membranes surrounding the brain and spinal cord [3].
  - *Pituitary adenomas* – tumors that develop in the pituitary gland [3].
  - *Medulloblastomas* – fast-growing tumors more commonly seen in children [1].
- **Secondary (metastatic) brain tumors:** These originate from cancers elsewhere in the body (such as the lungs or breast) and spread to the brain [1].

Among gliomas, two major clinical subtypes are often considered for diagnostic and prognostic purposes [2]:

- **Low-Grade Gliomas (LGG):** Slow-growing tumors that often have a better prognosis.
- **High-Grade Gliomas (HGG):** Aggressive tumors with rapid progression and poor prognosis.

Accurate detection and classification of these tumor types are essential for clinical decision-making, which has led to the integration of artificial intelligence (AI) and deep learning (DL) methods into medical imaging workflows.

## 1.3 Problem Statement

Despite the advancements in medical imaging, the accurate identification and classification processes of the brain tumors in MRI scans remains a challenging task. Also the manual segmentation is time-consuming, not forgetting the human error, and the need for expert knowledge. Existing automated methods often struggle with inconsistent tumor boundaries and data imbalance, especially when distinguishing between low-grade and high-grade gliomas.

There is a clear need for a robust and efficient system that can both segment brain tumors accurately and classify their grade reliably. This project aims to address that gap by combining DL for tumor segmentation with classical ML for tumor grade classification providing a practical hybrid solution that balances performance and .

## **1.4 Objectives**

### **1.4.1 General Objective**

To develop an automated system for brain tumor detection, segmentation, and classification using DL and ML techniques on MRI scans.

### **1.4.2 Specific Objectives**

1. Explore and preprocess the BraTS dataset, focusing on T2-weighted MRI images.
2. Train a U-Net model for precise segmentation of brain structures, including tumor regions.
3. Extract tumor regions from the segmentation masks and classify them as low-grade or high-grade gliomas using an SVM classifier.
4. Evaluate the performance of the segmentation and classification models using appropriate metrics.
5. Build a simple application that integrates both models for real-time inference and visualization.

## **1.5 Motivation**

The potential of using DL and ML in brain tumor detection and classification is truly remarkable. It can help reduce the time required for diagnosis, which is life saving on early intervention. Moreover, it offers the promise of improved accuracy and consistency in medical decisions by minimizing the subjectivity and fatigue that can affect human experts.

Through this project, we aimed to contribute to that goal by building an automated system

that segments brain tumors from MRI scans and classifies them into Low-Grade Glioma (LGG) or High-Grade Glioma (HGG). We used a U-Net architecture for precise segmentation of tumor regions, followed by a SVM classifier to determine the tumor type. This combination ensures both spatial understanding of the tumor and a robust classification mechanism.

Our motivation stems from the desire to support the medical community with practical AI tools that can speed up diagnosis and reduce the burden on healthcare professionals, especially in regions where access to experienced radiologists may be limited.

## 1.6 Contributions

This project presents a complete pipeline that combines DL and ML techniques for brain tumor detection and classification using MRI scans. The primary goal was to build an accurate and practical system that can assist in identifying and distinguishing between Low-Grade Gliomas (LGG) and High-Grade Gliomas (HGG).

The key contributions of this work are as follows:

- **Tumor Segmentation with U-Net:** We trained a U-Net model to segment brain tumors from T2-weighted MRI images. This architecture was chosen for its proven effectiveness in biomedical image segmentation, allowing us to extract precise tumor regions. [4]
- **Tumor Classification using SVM:** After segmentation, we extracted features from the segmented tumor areas and used them to train a SVM classifier. This model classifies the tumor as either LGG or HGG, offering a straightforward yet powerful method for diagnosis.
- **Dataset Utilization and Visualization:** We utilized the BraTS dataset [5], specifically T2 modality images, and developed custom visualizations to help evaluate model predictions. These visualizations include overlays and individual class masks to clearly demonstrate the model's performance.



# Chapter 2

## Theoretical Work Background

### 2.1 Introduction

Artificial intelligence (AI) has become indispensable in medical imaging, offering tools that can assist—and in some cases outperform—radiologists in detecting and characterizing pathologies. In the context of brain tumors, AI-driven methods enable rapid and accurate identification of tumor boundaries and grading, directly impacting treatment planning and patient outcomes.

In this chapter, we lay the theoretical groundwork for our hybrid approach to brain tumor analysis. We begin with the fundamentals of digital image processing in medical contexts, then review classical machine-learning methods such as Support Vector Machines (SVM). Next, we introduce deep learning, focusing on convolutional neural networks (CNNs) and their encoder–decoder variants, culminating with the U-Net architecture that underpins our segmentation stage. Along the way, we discuss key concepts—loss functions, optimization, regularization, and evaluation metrics—that guide the design and assessment of both our segmentation and classification models.

### 2.2 What Is Artificial Intelligence?

Artificial Intelligence (AI) is a multidisciplinary field focused on developing machines and computer programs capable of performing tasks that typically require human intelligence, such

as visual perception, reasoning, decision making, and language understanding. According to [6], AI is defined as:

the science and engineering of creating intelligent machines, particularly intelligent computer programs that can perform tasks requiring human intelligence, such as visual perception, decision making, and language translation.

In other words, AI includes both the study of human cognition—how people perceive, learn, reason, and decide—and the development of algorithms and systems that can perform tasks requiring “intelligence,” such as visual recognition or decision making. While some AI techniques draw inspiration from biological processes (e.g. neural networks), the field also embraces purely mathematical and statistical methods.

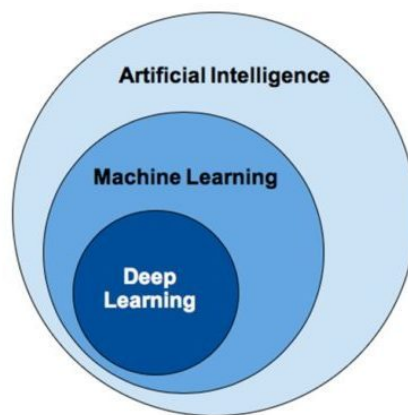


Figure 2.1: Relationship between AI, machine learning, and deep learning.

## 2.3 Machine Learning

Machine learning (ML) has emerged as a crucial area of study for organizations aiming to harness data resources and gain deeper insights into their operations. Unlike traditional programming methods, where explicit instructions are coded, machine learning enables systems to learn directly from data. In the medical imaging field, ML techniques offer powerful ways to analyze complex MRI data, supporting more accurate and efficient diagnostic processes. However, machine learning is a complex process that involves using diverse algorithms to iteratively learn from data, refine data representations, and make predictions. By feeding training data into these algorithms, increasingly accurate models can be developed. These machine

learning models represent the knowledge acquired by algorithms during the training phase [7].

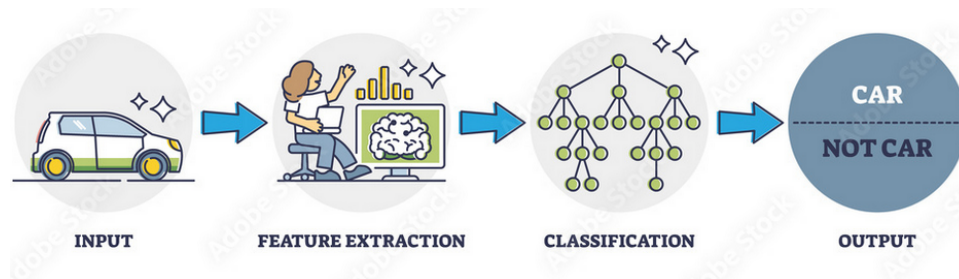


Figure 2.2: Machine learning process.

## 2.3.1 Machine Learning approaches

### 2.3.1.1 Supervised Learning

In supervised learning, the algorithm learns from labeled training data, where each data point is associated with a corresponding label or target value as depicted in Figure 2.3. Examples of supervised learning algorithms include linear regression , decision trees , random forests , support vector machines , and neural networks.

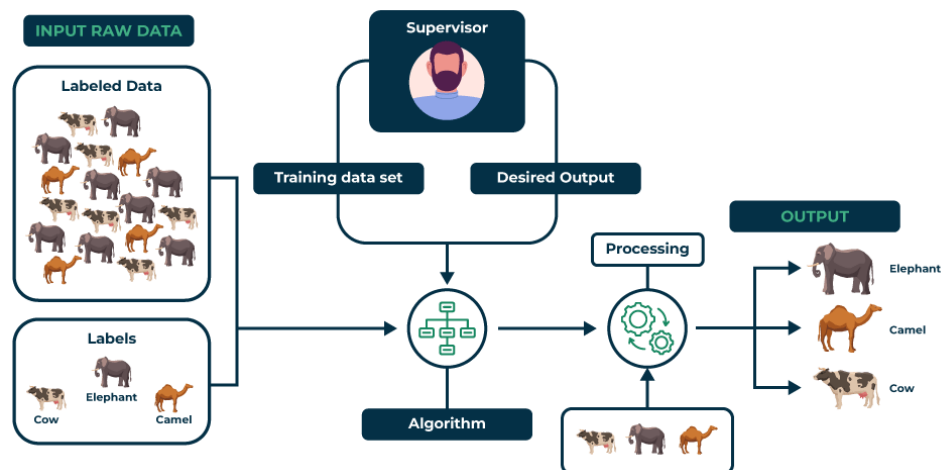


Figure 2.3: Supervised learning process.

### 2.3.1.2 Unsupervised Learning

Unsupervised learning deals with unlabeled data, where the algorithm learns to find patterns or structure in the data without any specific guidance. Such as k-means and hierarchical clustering, and dimensionality reduction techniques, such as principal component analysis and t-distributed stochastic neighbor embedding, Figure 2.4

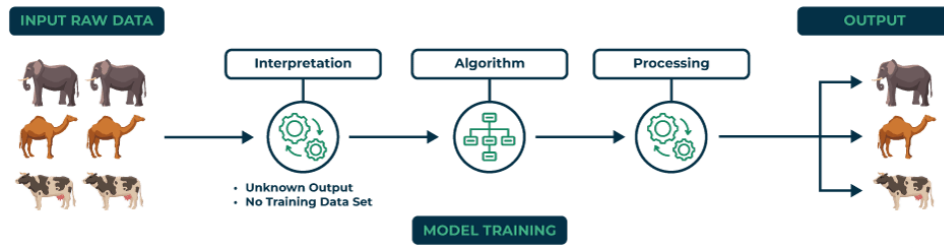


Figure 2.4: Unsupervised learning process.

### 2.3.1.3 Reinforcement Learning

Reinforcement learning is a type of machine learning where an agent learns to make decisions by interacting with an environment. The agent receives feedback in the form of rewards or penalties based on its actions, allowing it to learn optimal strategies over time. This approach is often used in robotics, game playing, and autonomous systems. Figure 2.5 illustrates the reinforcement learning process.

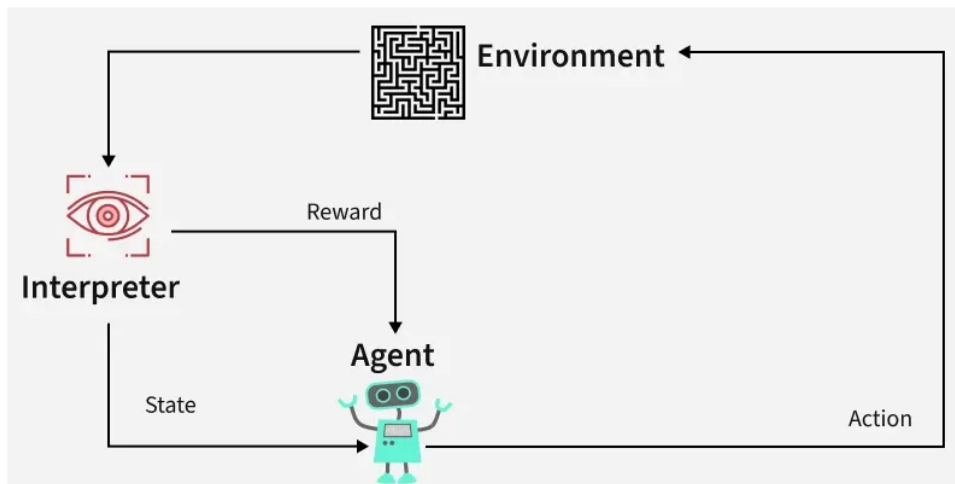


Figure 2.5: Reinforcement learning process.

### 2.3.2 Support Vector Machines (SVM)

Support Vector Machines (SVMs) are supervised machine learning models widely used for classification and regression tasks. The core idea of SVM is to find an optimal hyperplane that separates data points of different classes with the maximum possible margin, which enhances the model's ability to generalize to unseen data. SVMs can efficiently handle both linear and non-linear classification problems by employing the kernel trick, which implicitly maps input data into a higher-dimensional feature space where a linear separation becomes possible. The

theoretical foundation of SVMs is based on the Structural Risk Minimization (SRM) principle, which aims to minimize an upper bound on the generalization error, offering advantages over traditional Empirical Risk Minimization approaches. Originally developed by Vapnik and colleagues in the 1990s, SVMs have become popular due to their strong empirical performance and robustness to overfitting, especially in high-dimensional spaces [8].

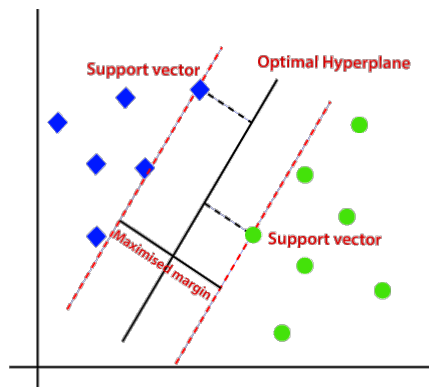


Figure 2.6: Support Vector Machine.

The fundamental formula defining the decision boundary of a Support Vector Machine (SVM) is a hyperplane expressed as:

$$\mathbf{w}^\top \mathbf{x} + b = 0 \quad (2.1)$$

where  $\mathbf{w}$  is the weight vector normal to the hyperplane,  $\mathbf{x}$  is the input feature vector, and  $b$  is the bias term.

For binary classification with labels  $y_i \in \{+1, -1\}$ , the SVM enforces the following constraints on each training point  $(\mathbf{x}_i, y_i)$ :

$$y_i(\mathbf{w}^\top \mathbf{x}_i + b) \geq 1, \quad \forall i. \quad (2.2)$$

The margin width (the distance between the closest points of each class to the hyperplane) is given by  $\frac{2}{\|\mathbf{w}\|_2}$ . Maximizing this margin is therefore equivalent to minimizing  $\|\mathbf{w}\|_2$ , leading to the following convex optimization problem:

$$\min_{\mathbf{w}, b} \frac{1}{2} \|\mathbf{w}\|_2^2, \quad (2.3)$$

$$\text{subject to } y_i(\mathbf{w}^\top \mathbf{x}_i + b) \geq 1, \quad \forall i. \quad (2.4)$$

For non-linearly separable data, slack variables and kernel functions can be introduced, but the core formulation remains centered on maximizing the margin around this hyperplane.

## 2.4 Deep Learning

Deep learning has emerged as a powerful approach for modeling complex data through intricate architectures that incorporate non-linear transformations. Neural networks, including deep neural networks, serve as the fundamental components of deep learning. These techniques have achieved remarkable progress in various domains such as sound and image processing, enabling tasks like facial recognition, speech recognition, computer vision, language processing, and text classification. The potential applications of deep learning are vast and continue to expand.

Different types of neural network architectures, such as multilayer perceptrons, Convolutional Neural Networks (CNNs), and recurrent neural networks, cater to specific data types and tasks. These architectures are characterized by deep layers organized in a cascading manner. Successful implementation of deep learning requires well-designed stochastic optimization algorithms, appropriate initialization techniques, and thoughtful structure selection.



Figure 2.7: Deep learning process.

## 2.4.1 Convolutional Neural Networks (CNNs)

A convolutional neural network (CNN) is a type of neural network with a topology similar to a grid, inspired by the human brain. It is commonly used for image processing tasks, as well as natural language processing.

A CNN consists of two main parts. The input is an image, represented as a 2D matrix of pixels for grayscale images and a 3D matrix of pixels for color images (Red, Green, Blue).

The first part of a CNN is the convolutional layer, which acts as a feature extractor. The image is passed through a series of filters, or convolution kernels, to generate new images called feature maps. Some intermediate filters reduce the image resolution. Finally, the feature maps are concatenated to form a vector of features, known as the CNN code.

The output of the convolutional layer, the CNN code, is the input to the second part of the network. The main role of this part is to combine the features of the CNN code to classify the image. The output is a final layer with one neuron per category.

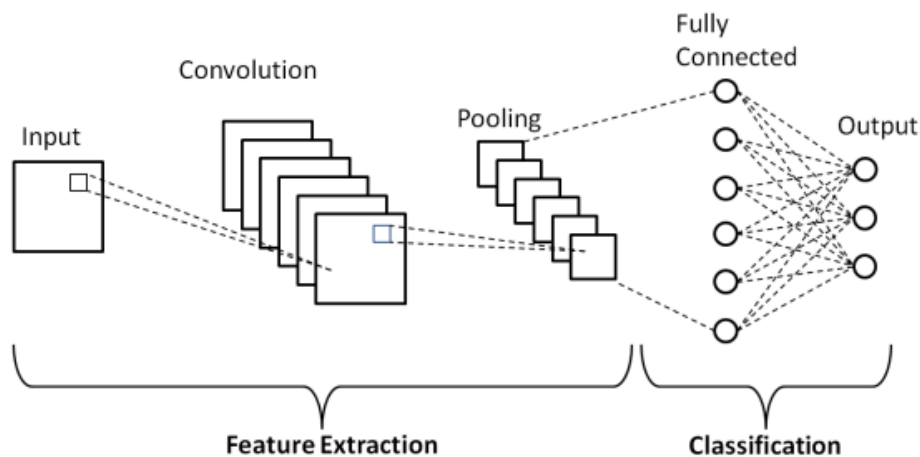


Figure 2.8: Convolutional Neural Network.

### 2.4.1.1 Convolutional Layer

The convolutional layer is the most important layer and usually the first layer in a CNN. It consists of three main elements involved in the convolution operation:

- **Input image ( $f$ )**
- **Feature detector (filter) ( $h$ )**

- **Feature map (output) ( $G$ )**

A convolution takes an image and a filter as input and applies the convolution operation to produce a new image, called the activation map or feature map.

The activation map values are calculated using the following formula:

$$G[m,n] = (f * h)[m,n] \quad (2.5)$$

where

- $f$  is the input image,
- $h$  is the convolution filter,
- $m,n$  are the spatial indices over which the convolution is computed.

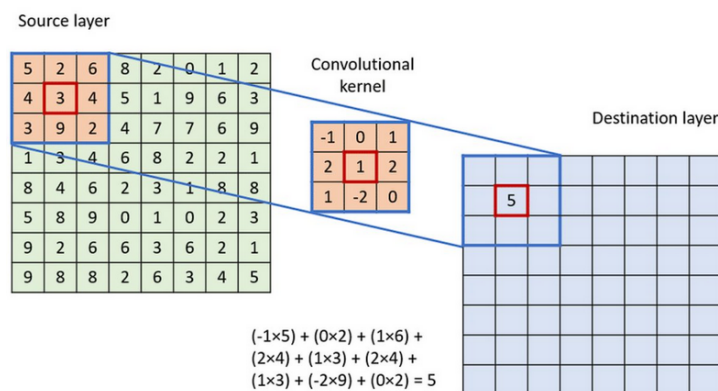


Figure 2.9: Convolution Layer.

### 2.4.1.2 Correction Layer (ReLU)

The Correction Layer, typically implemented using the Rectified Linear Unit (ReLU), is an activation function applied after each convolution operation to enhance processing efficiency. It replaces all negative pixel values with zero, introducing non-linearity into the network while maintaining computational simplicity. The ReLU function is defined as:

$$f(x) = \max(0, x) \quad (2.6)$$

Several other activation functions exist, such as the sigmoid function, the hyperbolic tan-



gent function (tanh), and the hyperbolic saturating tangent function. However, ReLU is often preferred in deep learning models because it enables faster convergence and better performance compared to these alternatives.

#### 2.4.1.3 Pooling Layers

Pooling layers are utilized to reduce the spatial dimensions of feature maps while preserving the most important information and features. This helps decrease computational complexity and mitigate overfitting. There are several types of pooling operations:

- **Max Pooling:** It selects the maximum value from each patch of the feature map. Typically, a  $2 \times 2$  patch is used. Max pooling is the most commonly used pooling method.
- **Min Pooling:** The inverse of max pooling; it selects the minimum value from each patch of the feature map.
- **Average Pooling:** It computes the average of all the values within each patch of the feature map by summing the values and dividing by the number of elements.
- **Sum Pooling:** It computes the sum of all elements within each patch of the feature map.
- **Flattening:** After the pooling operations, the resulting feature maps are flattened into a single one-dimensional vector to prepare for fully connected (dense) layers.

#### 2.4.1.4 Fully Connected Layer

after the convolution and pooling layers, the high-level reasoning in the neural network is done in fully connected layers. The output of flattening is the input of FC layers which are the same as artificial neural networks and carry out the same mathematical operations. The last fully-connected layer uses an activation function such as sigmoid or softmax to get probabilities of the outputs.

### 2.4.2 U-Net Architecture

U-Net is a convolutional neural network architecture specifically designed for biomedical image segmentation. Introduced by Ronneberger et al. in 2015, U-Net features a symmetric encoder-decoder structure: the contracting path (encoder) captures image context through

successive convolution and pooling operations, while the expansive path (decoder) enables precise localization via upsampling and concatenation with high-resolution features from the encoder. This architecture allows U-Net to achieve accurate segmentation even with limited annotated data by leveraging extensive data augmentation. U-Net has demonstrated superior performance in various biomedical segmentation challenges, notably outperforming previous methods in tasks such as neuronal structure segmentation in electron microscopy images and cell tracking in light microscopy [4].

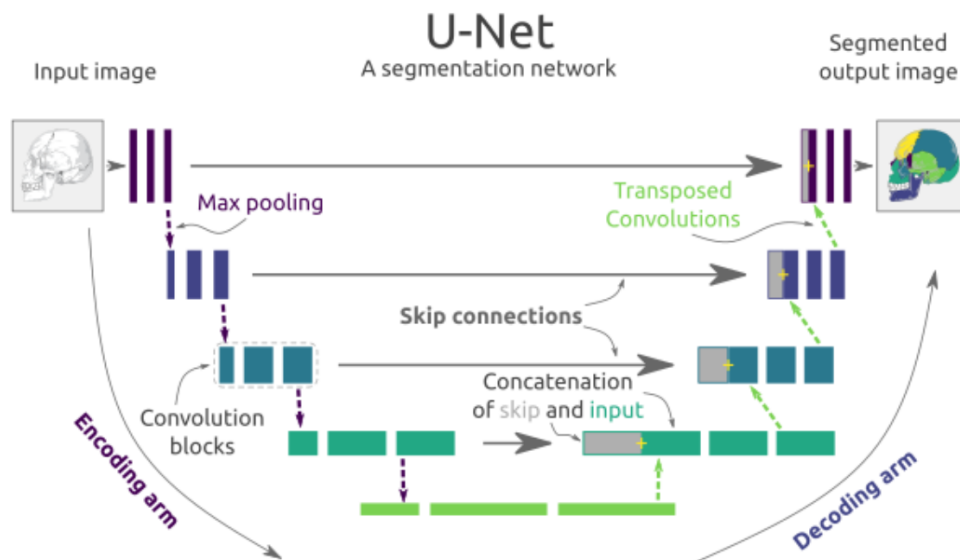


Figure 2.10: U-Net Architecture.

#### 2.4.2.1 Key Components of a U-Net Architecture

- **Contracting Path (Encoder):**

This path is responsible for extracting contextual features from the input image. It consists of repeated blocks of two  $3 \times 3$  convolutional layers (with ReLU activation), followed by a  $2 \times 2$  max pooling operation for downsampling. With each downsampling step, the number of feature channels is doubled, allowing the network to capture increasingly abstract representations of the input.

- **Bottleneck:**

Located at the deepest part of the network, the bottleneck consists of convolutional layers without pooling. It serves as the bridge between the encoder and decoder, capturing the most condensed and abstract features of the input.

- **Expansive Path (Decoder):**

This path reconstructs the spatial resolution of the feature maps and enables precise localization. Each step in the decoder involves upsampling the feature map (often via transposed convolution or up-convolution), concatenating it with the corresponding feature map from the encoder (skip connection), and then applying two  $3 \times 3$  convolutions (with ReLU activation). The number of feature channels is halved at each upsampling step.

- **Skip Connections:**

At each level, feature maps from the encoder are concatenated with the upsampled feature maps in the decoder. These skip connections help retain high-resolution spatial information that might otherwise be lost during downsampling, improving the accuracy of segmentation boundaries.

- **Final Output Layer:**

The last layer is typically a  $1 \times 1$  convolution that maps each feature vector to the desired number of output classes, producing a pixel-wise classification map for segmentation tasks.

This U-shaped design enables U-Net to effectively combine global context with fine-grained localization, making it highly effective for precise image segmentation tasks.

### 2.4.3 Magnetic Resonance Imaging (MRI)

Magnetic resonance imaging (MRI) uses a powerful magnetic field and radio waves to generate detailed and high-resolution images of organs and tissues inside the human body, as depicted in Figure 2.11. This non-invasive imaging method offers significant advantages in visualizing intricate details of the brain, spinal cord, joints, and soft tissues. MRI plays a vital role in diagnosing and evaluating a wide range of medical conditions, such as tumors, neurological disorders, and musculoskeletal injuries. Its ability to produce precise and detailed images aids healthcare professionals in accurately identifying and assessing these conditions, enabling effective treatment planning and optimal patient care [9].

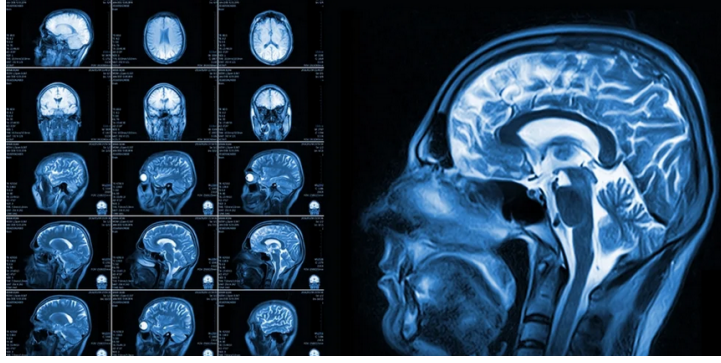


Figure 2.11: Magnetic Resonance Imaging (MRI).

## 2.5 Conclusion

In this chapter, we established the theoretical foundation necessary for our study on brain tumor analysis using artificial intelligence techniques. We first explored the fundamental concepts of artificial intelligence, machine learning, and deep learning, emphasizing their significance in the medical imaging domain. We discussed the various machine learning approaches, highlighting the principles and applications of Support Vector Machines (SVMs) for classification tasks. Furthermore, we introduced deep learning methodologies, focusing on Convolutional Neural Networks (CNNs) and their components, including convolutional, pooling, and fully connected layers. Finally, we presented the U-Net architecture, a specialized CNN model for biomedical image segmentation, which plays a crucial role in the segmentation phase of our proposed hybrid approach. This theoretical background sets the stage for the practical implementation and evaluation of our models in the following chapters.

# Chapter 3

## State of the Art

### 3.1 Medical Imaging in Brain Tumor Diagnosis

Magnetic Resonance Imaging (MRI) has emerged as the gold standard for brain tumor diagnosis due to its superior soft tissue contrast, high spatial resolution, and non-invasive nature [10]. Unlike other imaging modalities such as CT scans, MRI provides detailed structural information without exposing patients to ionizing radiation, making it particularly valuable for serial monitoring and treatment planning [11].

The multimodal nature of MRI is especially useful in brain tumor assessment, with each sequence highlighting different aspects of the tumor [12]:

- **T1-weighted (T1):** Provides excellent anatomical detail and clearly delineates boundaries between gray and white matter. Tumors typically appear hypointense (darker) compared to surrounding tissue.

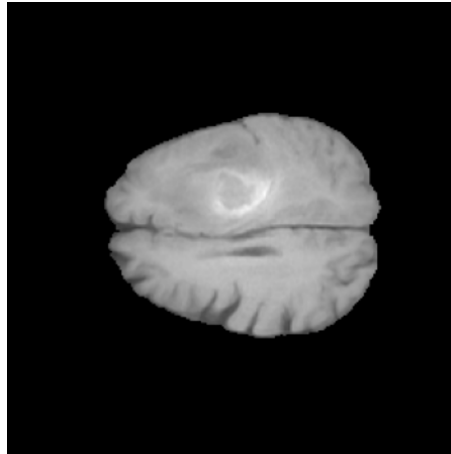


Figure 3.1: Example of T1-weighted MRI sequence.

- **T1 with contrast enhancement (T1ce):** After gadolinium administration, areas with disrupted blood-brain barrier (characteristic of high-grade tumors) enhance, appearing hyperintense and revealing the active tumor core.

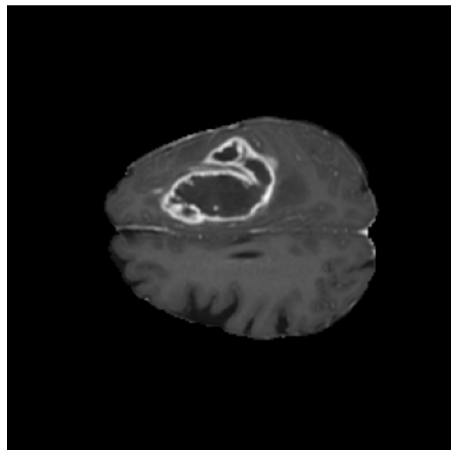


Figure 3.2: Example of T1-weighted contrast-enhanced MRI sequence.

- **T2-weighted (T2):** Highlights areas with increased water content, making it valuable for identifying edema and infiltrative tumor components. Tumors and surrounding edema appear hyperintense.

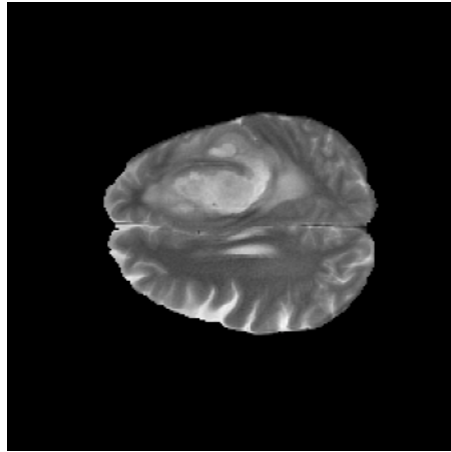


Figure 3.3: Example of T2-weighted MRI sequence.

- **Fluid-Attenuated Inversion Recovery (FLAIR):** Suppresses cerebrospinal fluid signals, enhancing the visibility of periventricular lesions and edema associated with tumors.

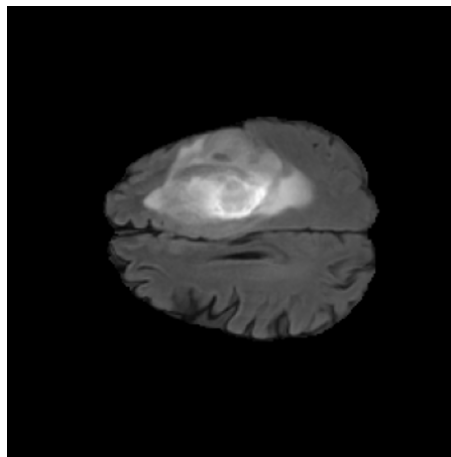


Figure 3.4: Example of FLAIR MRI sequence.

Traditionally, neuroradiologists diagnose brain tumors by visually inspecting these multiple MRI sequences, mentally integrating information across modalities to determine tumor boundaries, assess grade, and identify critical structures [13]. This process is inherently subjective, time-consuming, and susceptible to inter-observer variability, with studies reporting considerable disagreement even among experienced radiologists. These limitations have driven significant interest in developing computational approaches for automated and semi-automated tumor analysis.

## 3.2 The BraTS Dataset

The Brain Tumor Segmentation (BraTS) challenge and dataset represent a landmark initiative in standardizing the evaluation of brain tumor segmentation algorithms [11, 12]. Since its inception in 2012, BraTS has evolved into the most widely adopted benchmark for algorithm development and performance assessment in this domain [14].

The BraTS dataset is particularly valuable due to its:

- **Multimodal approach:** Each case includes four co-registered MRI sequences (T1, T1ce, T2, and FLAIR), enabling algorithms to leverage complementary information [15].
- **Standardized preprocessing:** All images undergo skull-stripping, resampling to isotropic 1mm<sup>3</sup> voxels, and registration to a common anatomical template, reducing technical variability [12].
- **Expert annotations:** Each tumor is manually segmented by experienced neuroradiologists following a standardized protocol, with additional verification to ensure quality [11].
- **Multi-institutional data:** Images are acquired from multiple institutions using different scanners and protocols, promoting the development of robust algorithms [14].

1. **Enhancing Tumor (ET):** Areas showing hyperintensity in T1ce relative to T1
2. **Tumor Core (TC):** Encompassing the ET, necrotic components, and non-enhancing tumor
3. **Whole Tumor (WT):** Including all tumor tissues and surrounding edema

This hierarchical annotation structure enables the evaluation of algorithms at multiple levels of detail, from gross tumor detection to fine-grained sub-region delineation [12]. The BraTS datasets and associated challenges have catalyzed significant methodological advances, with performance metrics improving consistently year over year [16].



### 3.3 Traditional Machine Learning Approaches

Before the deep learning revolution, brain tumor segmentation and classification relied heavily on traditional machine learning techniques coupled with handcrafted feature extraction [17]. These approaches typically followed a pipeline of preprocessing, feature extraction, and classification using conventional machine learning algorithms.

**Support Vector Machines (SVM)** were particularly popular for brain tumor classification and segmentation due to their strong theoretical foundations and effectiveness with high-dimensional data [18]. Zacharaki et al. [19] developed an SVM-based system that extracted 161 features including intensity, texture, and shape characteristics from multi-parametric MRI, achieving 85% accuracy in discriminating between different tumor types. Similarly, Reza and Iftekharuddin [20] combined texture features with fractal analysis and SVM classification to segment brain tumors, demonstrating competitive performance on earlier BraTS datasets.

**Random Forest (RF)** classifiers also showed promise due to their robustness to overfitting and ability to handle multi-class problems efficiently. Zikic et al. [21] employed RF with context-aware features for brain tumor segmentation, while Festa et al. [22] achieved strong results in the BraTS 2013 challenge using RF with handcrafted features. Tustison et al. [23] further refined this approach by incorporating an extensive feature set derived from multiple MRI sequences, winning the BraTS 2013 challenge.

**K-Nearest Neighbors (K-NN)** algorithms were explored by Simi and Joseph [24], who combined texture features with k-NN classification for tumor segmentation. Huang et al. [25] also investigated k-NN for brain tumor classification using multispectral MRI features.

Despite their success, these traditional approaches faced significant limitations:

- **Dependence on handcrafted features:** Their performance was heavily contingent on the quality of manually designed features, requiring substantial domain expertise [26].
- **Limited contextual understanding:** Most methods struggled to incorporate broader spatial context, often relying on voxel-wise or small-patch features [27].
- **Computational inefficiency:** Sequential processing of feature extraction followed by classification led to lengthy processing times impractical for clinical settings [28].

- **Suboptimal performance on heterogeneous tumors:** The high variability in tumor appearance often challenged these methods, particularly for complex or atypical cases [11].

These limitations ultimately paved the way for the adoption of deep learning techniques, which could learn hierarchical features directly from data and better capture the complex patterns present in brain tumor images.

### 3.4 Deep Learning in Brain Tumor Segmentation

The adoption of deep learning, particularly Convolutional Neural Networks (CNN), has revolutionized brain tumor segmentation by enabling automatic hierarchical feature learning directly from imaging data [27]. This paradigm shift has eliminated the need for handcrafted features, and led to improving segmentation accuracy and robustness.

Among deep learning architectures, U-Net has emerged as the cornerstone for medical image segmentation, including brain tumor analysis [29]. Its distinctive encoder-decoder structure with skip connections effectively combines localization and contextual information, preserving fine details while capturing broader tumor patterns. Urban et al. [30] were among the first to apply CNNs to brain tumor segmentation, while Pereira et al. [31] demonstrated that carefully designed CNN architectures could outperform traditional methods on the BraTS challenge.

Several U-Net variants have been developed specifically for brain tumor segmentation:

- **3D U-Net:** Çiçek et al. [32] extended the original 2D architecture to process volumetric data, better capturing the three-dimensional nature of tumors. Isensee et al. [33] further refined this approach, achieving top ranking in the BraTS 2018 challenge with a 3D U-Net variant.
- **U-Net++:** Zhou et al. [34] proposed a nested architecture with redesigned skip pathways to bridge the semantic gap between encoder and decoder features. Experimental results showed improved performance on several medical segmentation tasks, including brain tumors.
- **Attention U-Net:** Oktay et al. [35] incorporated attention gates to highlight relevant

features and suppress irrelevant regions, improving segmentation accuracy particularly at tumor boundaries. Schlemper et al. [36] demonstrated the effectiveness of this approach for multi-class tumor segmentation.

The performance of these deep learning models on BraTS challenges has improved consistently over time. In BraTS 2018, Myronenko [37] achieved exceptional results with an encoder-decoder architecture incorporating variational components. McKinley et al. [38] further advanced the field with an ensemble of 3D U-Nets, achieving Dice scores of 0.91, 0.83, and 0.78 for whole tumor, tumor core, and enhancing tumor, respectively. The BraTS 2020 challenge saw even more impressive results, with top-performing methods consistently achieving Dice scores above 0.90 for whole tumor segmentation [16].

Despite these advancements, challenges remain in achieving clinically acceptable performance across diverse patient populations and imaging protocols, driving continuous innovation in the field.

### **3.5 Tumor Classification Using Deep Features**

While segmentation delineates tumor boundaries, classification determines tumor type and characteristics—a critical aspect of diagnosis and treatment planning. Modern approaches increasingly leverage deep features, either independently or in conjunction with traditional machine learning classifiers like Support Vector Machines (SVMs).

Pretrained Convolutional Neural Networks (CNNs) have proven extremely effective as feature extractors for brain tumor classification. Afshar et al. [39] employed a modified ResNet architecture to extract deep features from brain MRI, achieving 93.68% accuracy in classifying tumors into different grades. Similarly, Deepak and Ameer [40] utilized DenseNet for feature extraction followed by SVM classification, reporting improved performance compared to traditional methods. Sajjad et al. [41] extended this approach by fine-tuning VGG-19 on brain tumor images, extracting features from intermediate layers for subsequent classification.

## 3.6 Multiclass Tumor Region Segmentation

Accurate delineation of different tumor sub-regions represents one of the most challenging aspects of brain tumor analysis, requiring discrimination between biologically distinct components that may appear visually similar [12]. The BraTS challenge specifically evaluates algorithms on their ability to segment three tumor sub-components: Enhancing Tumor (ET), Tumor Core (TC), and Whole Tumor (WT).

Multi-class tumor segmentation approaches have evolved significantly in recent years. Zhao et al. [42] proposed a multi-scale CNN architecture specifically designed to address the hierarchical nature of tumor sub-regions, achieving meaningful improvements in enhancing tumor segmentation. Wang et al. [43] developed a cascaded approach where initial whole tumor segmentation guided subsequent sub-region delineation, reducing false positives in non-tumor regions. Kamnitsas et al. [44] introduced DeepMedic, a dual-pathway 3D CNN architecture that simultaneously processed input at different resolutions, effectively capturing both fine details and broader contextual information.

The continued advancement of multi-class tumor segmentation approaches promises to improve diagnostic accuracy and treatment planning by providing more detailed characterization of tumor heterogeneity.

## 3.7 Challenges in the Field

Despite the significant progress, several persistent challenges continue to impact the development and clinical translation of automated brain tumor analysis systems.

**Class imbalance** remains a fundamental issue in both segmentation and classification tasks. Brain tumors typically occupy less than 1% of the total brain volume, creating extreme imbalance that can bias models toward the majority (healthy tissue) class [31]. While techniques such as patch-based training [27], specialized loss functions [33], and data augmentation [45] have partially addressed this issue, performance on smaller tumor sub-regions (particularly enhancing tumor) continues to lag behind whole tumor segmentation.

The **interpretability of deep models** presents another significant hurdle, particularly for clinical adoption. The "black box" nature of deep learning approaches creates reluctance among

clinicians to trust automated segmentations without understanding the underlying decision process [46]. Recent work by Natekar et al. [47] has explored visualization techniques to highlight features influencing segmentation decisions, while Lucieri et al. [48] demonstrated the value of attention maps for explaining tumor classification outcomes. However, creating truly interpretable deep learning systems remains an open challenge.

**Generalization across different MRI scanners and patients** continues to limit clinical applicability. Models trained on specific datasets often experience performance degradation when applied to images acquired with different hardware, field strengths, or acquisition parameters [11]. Zech et al. [49] documented this domain shift problem in medical imaging, while Kamnitsas et al. [44] proposed domain adaptation techniques to mitigate its effects. More recently, Shaw et al. [50] explored adversarial domain adaptation specifically for brain tumor segmentation, showing promising results in cross-scanner generalization.

The **lack of labeled data** remains a fundamental limitation, particularly for rare tumor types or unusual presentations. While the BraTS dataset has grown substantially, it still represents a fraction of the true biological variability of brain tumors [14]. Semi-supervised approaches by Sedai et al. [51] leverage unlabeled data to improve generalization, while Zhao et al. [52] demonstrated promising results with data-efficient few-shot learning techniques. Transfer learning approaches by Ghafoorian et al. [53] have also shown potential in adapting pre-trained models to limited target datasets.

Additional challenges include:

- **Computational efficiency:** 3D deep learning models often require substantial computational resources beyond what's available in many clinical settings [44].
- **Longitudinal analysis:** Most current approaches treat each time point independently, missing the opportunity to leverage temporal information in patient monitoring [54].
- **Integration with other data types:** Combining imaging with clinical, genomic, and pathological data remains challenging despite its potential to improve diagnostic accuracy [14].
- **Clinically relevant evaluation metrics:** Standard technical metrics like Dice coefficients may not directly translate to clinical utility, creating a disconnect between research

advances and clinical impact [55].

Addressing these challenges will require multidisciplinary collaboration between computer scientists, medical imaging experts, and clinicians to develop solutions that are not only technically sophisticated but also clinically relevant and practically deployable.

## **Chapter 4**

### **Figures, tableaux et références**

## **Chapter 5**

### **Conclusion générale (2 pages max)**



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