

First imagine a recessive allele that is common: Its frequency is, say, 0.95. The dominant allele thus has a frequency of 0.05. By multiplying the allele frequencies, we can calculate the genotype frequencies:

$$\begin{array}{ccc} AA & Aa & aa \\ 0.05^2 = 0.0025 & 2 \cdot 0.05 \cdot 0.95 = 0.095 & 0.95^2 = 0.9025 \end{array}$$

Roughly 10% of the individuals in the population have the dominant phenotype, while 90% have the recessive phenotype. Both phenotypes are reasonably well represented, and if they differ in fitness, then the allele frequencies in the next generation may be substantially different.

Now imagine a recessive allele that is rare: Its frequency is 0.05. The dominant allele thus has a frequency of 0.95. The genotype frequencies are

$$\begin{array}{ccc} AA & Aa & aa \\ 0.95^2 = 0.9025 & 2 \cdot 0.95 \cdot 0.05 = 0.095 & 0.05^2 = 0.0025 \end{array}$$

Approximately 100% of the population has the dominant phenotype, while approximately 0% has the recessive phenotype. Even if the phenotypes differ greatly in fitness, there are so few of the minority phenotype that there will be little change in allele frequencies in the next generation. In a random mating population, most copies of a rare recessive allele are phenotypically hidden inside heterozygous individuals and thereby immune from selection.

As a final consideration in our discussion of dominant and recessive alleles, note that selection may favor or disfavor both kinds of variants. We emphasize this point because many people new to population genetics expect that dominant alleles are necessarily beneficial and thus tend to rise in frequency. While it is certainly true that some dominant alleles are beneficial, many others are deleterious. For example, Eileen Shore and colleagues (2006) identified a dominant mutation, located in a gene encoding a receptor for bone morphogenic protein, as the cause of fibrodysplasia ossificans progressiva, a rare and severely disabling condition in which skeletal muscle and connective tissue transform inexorably into bone. In all, some 30% of the alleles known to cause human diseases are autosomal dominants (López-Bigas et al. 2006). The terms *dominant* and *recessive* describe the relationship between genotype and phenotype, not the relationship between genotype and fitness.

Natural selection is most potent as a mechanism of evolution when it is acting on common recessive alleles (and rare dominant alleles). When a recessive allele is rare, most copies are hidden in heterozygotes and protected from selection.

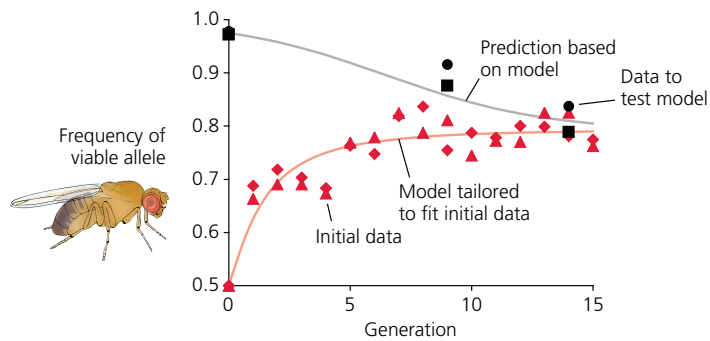
## Selection on Heterozygotes and Homozygotes

In our next two tests, we focus on whether our model can accurately predict what happens when selection favors heterozygotes or homozygotes. Both tests will use data on laboratory populations of fruit flies (*Drosophila melanogaster*).

### Selection Favoring Heterozygotes

Our first example comes from research by Terumi Mukai and Allan Burdick (1959). Like Dawson, Mukai and Burdick studied evolution at a single locus with two alleles. We will call the alleles *V*, for viable, and *L* for lethal. This is because flies with genotype *VV* or *VL* are alive, whereas flies with genotype *LL* are dead. The researchers used heterozygotes as founders to establish two experimental populations with initial allele frequencies of 0.5. They let the populations evolve for 15 generations, each generation measuring the frequency of allele *V*.

So far, Mukai and Burdick's experiment sounds just like Dawson's. If it is, then our theory predicts that *V* will rise in frequency—rapidly at first, then more



**Figure 6.21 Evolution in four laboratory populations of fruit flies** When homozygous, one allele is viable and the other lethal. Nonetheless, populations with a frequency of 0.5 for both alleles (red) evolved toward an intermediate equilibrium. The black populations represent a test of the hypothesis that heterozygotes enjoy the highest fitness. From data in Mukai and Burdick (1959).

slowly. By generation 15 it should reach a frequency of over 94%. But that is not what happened.

Mukai and Burdick's data appear in **Figure 6.21**, represented by the red symbols. As expected, the frequency of *V* increased rapidly over the first few generations. However, in both populations the rate of evolution slowed long before the viable allele approached a frequency of 0.94. Instead, *V* seemed to reach an equilibrium, or unchanging state, at a frequency of about 0.79.

How could this happen? An equilibrium frequency of 0.79 for the viable allele means that the lethal allele has an equilibrium frequency of 0.21. How could natural selection maintain a lethal allele at such a high frequency in this population? Mukai and Burdick argue that the most plausible explanation is **heterozygote superiority**, also known as **overdominance**. Under this hypothesis, heterozygotes have higher fitness than either homozygote. At equilibrium, the selective advantage enjoyed by the lethal allele when it is in heterozygotes exactly balances the obvious disadvantage it suffers when it is in homozygotes.

A little experimentation with a computer should allow the reader to confirm that Mukai and Burdick's hypothesis explains their data nicely. The red curve in **Figure 6.21** represents evolution in a model population in which the fitnesses of the three genotypes are as follows:

<i>VV</i>	<i>VL</i>	<i>LL</i>
0.735	1.0	0

This theoretical curve matches the data closely.

Note that in this case the fit between theory and data does not represent a rigorous test of our model. That is because we examined the data first, then tweaked the fitnesses in the model to make its prediction fit. That is a bit like shooting at a barn and then painting a target around the bullet hole. Mukai and Burdick's flies did, however, provide an opportunity for a test of our model that is rigorous. And Mukai and Burdick performed it.

The researchers established two more experimental populations, this time with the initial frequency of the viable allele at 0.975. If the genotype fitnesses are, indeed, those required to make our model fit the red data points in **Figure 6.21**, then this time our model predicts that the frequency of the *V* allele should fall. As before, it should ultimately reach an equilibrium near 0.79. The predicted fall toward equilibrium is shown by the blue curve in **Figure 6.21**. Mukai and Burdick's data appear in the figure as blue symbols. The data match the prediction closely. Our model has passed its second test.

Mukai and Burdick's flies have shown us something new. In all our previous examples, selection has favored one allele or the other. Under such circumstances

Research on fruit flies shows that natural selection can act to maintain two alleles at a stable equilibrium. One way this can happen is when heterozygotes have superior fitness.



## COMPUTING CONSEQUENCES 6.7

## Stable equilibria with heterozygote superiority and unstable equilibria with heterozygote inferiority

Here we develop algebraic and graphical methods for analyzing evolution at loci with overdominance and underdominance. Imagine a population in which allele  $A_1$  is at frequency  $p$  and allele  $A_2$  is at frequency  $q$ . In Computing Consequences 6.3, we developed an equation describing the change in  $p$  from one generation to the next under selection:

$$\begin{aligned}\Delta p &= \frac{p}{\bar{w}}(pw_{11} + qw_{12} - \bar{w}) \\ &= \frac{p}{\bar{w}}(pw_{11} + qw_{12} - p^2w_{11} - 2pqw_{12} - q^2w_{22})\end{aligned}$$

Substituting  $(1 - q)$  for  $p$  in the first and third terms in the expression in parentheses gives

$$\Delta p = \frac{p}{\bar{w}}[(1 - q)w_{11} + qw_{12} - (1 - q)^2w_{11} - 2pqw_{12} - q^2w_{22}]$$

which, after simplifying and factoring out  $q$ , becomes

$$\Delta p = \frac{pq}{\bar{w}}(w_{12} + w_{11} - qw_{11} - 2pw_{12} - qw_{22})$$

Now, by definition, the frequency of allele  $A_1$  is at equilibrium when  $\Delta p = 0$ . The equation above shows that  $\Delta p = 0$  when  $p = 0$  or  $q = 0$ . These two equilibria are unsurprising. They occur when one allele or the other is absent from the population. The equation also gives a third condition for equilibrium, which is

$$w_{12} + w_{11} - qw_{11} - 2pw_{12} - qw_{22} = 0$$

Substituting  $(1 - p)$  for  $q$  and solving for  $p$  gives

$$\hat{p} = \frac{w_{22} - w_{12}}{w_{11} - 2w_{12} + w_{22}}$$

where  $\hat{p}$  is the frequency of allele  $A_1$  at equilibrium. Finally, let the genotype fitnesses be as follows:

$$\begin{array}{ccc} A_1A_1 & A_1A_2 & A_2A_2 \\ 1 - s & 1 & 1 - t \end{array}$$

Positive values of the selection coefficients  $s$  and  $t$  represent overdominance; negative values represent underdominance. Substituting the fitnesses into the previous equation and simplifying gives

$$\hat{p} = \frac{t}{s + t}$$

For example, when  $s = 0.4$  and  $t = 0.6$ , heterozygotes have superior fitness, and the equilibrium frequency for allele  $A_1$  is 0.6. When  $s = -0.4$  and  $t = -0.6$ , heterozygotes have inferior fitness, and the equilibrium frequency for allele  $A_1$  is also 0.6.

Another useful method for analyzing equilibria is to plot  $\Delta p$  as a function of  $p$ . Figure 6.20a shows such a plot for the two numerical examples we just calculated. Both curves show that  $\Delta p = 0$  when  $p = 0$ ,  $p = 1$ , or  $p = 0.6$ .

The curves in Figure 6.22a also allow us to determine whether an equilibrium is stable or unstable. Look at the red curve; it describes a locus with heterozygote superiority. Notice that when  $p$  is greater than 0.6,  $\Delta p$  is negative. This means that when the frequency of allele  $A_1$  exceeds its equilibrium value, the population will move back toward equilibrium in the next generation. Likewise, when  $p$  is less than 0.6,  $\Delta p$  is positive. When

our model predicts that sooner or later the favored allele will reach a frequency of 100%, and the disfavored allele will disappear. By keeping a population at an equilibrium in which both alleles are present, however, heterozygote superiority can maintain genetic diversity indefinitely. For an algebraic treatment of heterozygote superiority, see [Computing Consequences 6.7](#).

### Selection Favoring Homozygotes

Our second example comes from work by G. G. Foster and colleagues (1972). These researchers set up experiments to demonstrate how populations evolve when heterozygotes have lower fitness than either homozygote. Foster and colleagues used fruit flies with compound chromosomes.