## Web Mining

- Web mining aims to discover useful information or knowledge from the Web hyperlink structure, page content, and usage data.
- Categories
  - Web structure mining
  - Web content mining
  - Web usage mining

# Chapter 6: Information Retrieval and Web Search

An introduction

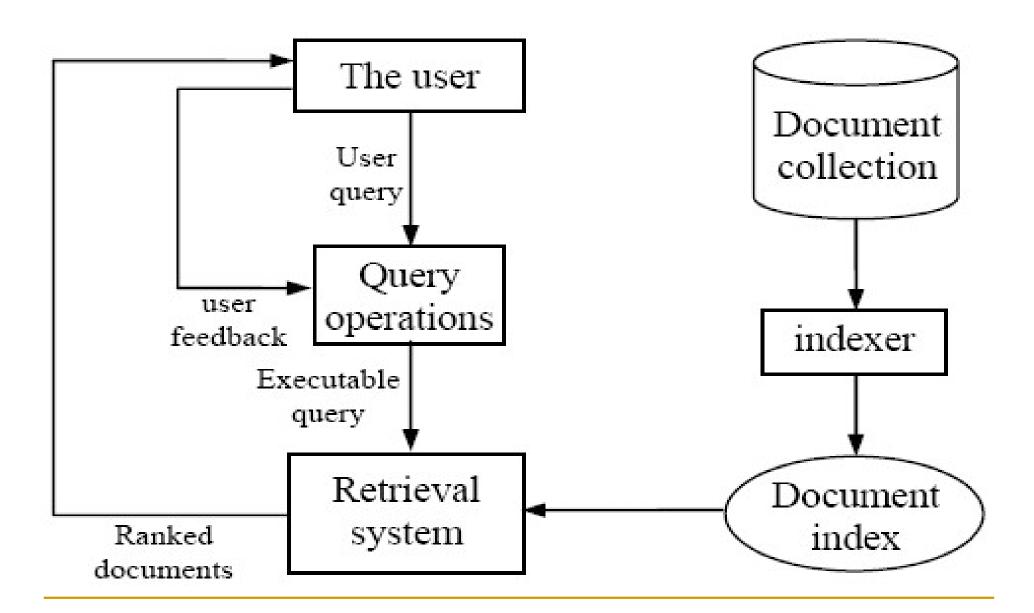
### Introduction

- Text mining refers to data mining using text documents as data.
- Most text mining tasks use Information Retrieval (IR) methods to pre-process text documents.
- These methods are quite different from traditional data pre-processing methods used for relational tables.
- Web search also has its root in IR.

## Information Retrieval (IR)

- Conceptually, IR is the study of finding needed information. I.e., IR helps users find information that matches their information needs.
  - Expressed as queries
- Historically, IR is about document retrieval, emphasizing document as the basic unit.
  - Finding documents relevant to user queries
- Technically, IR studies the acquisition, organization, storage, retrieval, and distribution of information.

### IR architecture



## IR queries

- Keyword queries
- Boolean queries (using AND, OR, NOT)
- Phrase queries
- Proximity queries
- Full document queries
- Natural language questions

### Information retrieval models

- An IR model governs how a document and a query are represented and how the relevance of a document to a user query is defined.
- Main models:
  - Boolean model
  - Vector space model
  - Statistical language model
  - etc

### Boolean model

- Each document or query is treated as a "bag" of words or terms. Word sequence is not considered.
- Given a collection of documents D, let  $V = \{t_1, t_2, ..., t_{|V|}\}$  be the set of distinctive words/terms in the collection. V is called the vocabulary.
- A weight  $w_{ij} \in \{0, 1\}$  is associated with each term  $t_i$  of a document  $\mathbf{d}_j \in D$ . For a term that does not appear in document  $\mathbf{d}_j$ ,  $w_{ij} = 0$ ; otherwise  $w_{ij} = 1$ .

$$\mathbf{d}_{j} = (w_{1j}, w_{2j}, ..., w_{|V|j}),$$

### Boolean model (contd)

- Query terms are combined logically using the Boolean operators AND, OR, and NOT.
  - E.g., ((data AND mining) AND (NOT text))
- Retrieval
  - Given a Boolean query, the system retrieves every document that makes the query logically true.
  - Called exact match.
- The retrieval results are usually quite poor because term frequency is not considered.

### Vector space model

- Documents are also treated as a "bag" of words or terms.
- Each document is represented as a vector.
- However, the term weights are no longer 0 or 1.
   Each term weight is computed based on some variations of TF or TF-IDF scheme.
- Term Frequency (TF) Scheme: The weight of a term  $t_i$  in document  $\mathbf{d}_j$  is the number of times that  $t_i$  appears in  $\mathbf{d}_j$ , denoted by  $f_{ij}$ . Normalization may also be applied.

## TF-IDF term weighting scheme

- The most well known weighting scheme
  - TF: still term frequency
  - IDF: inverse document frequency.

N: total number of docs  $df_i$ : the number of docs that  $t_i$  appears.

The final TF-IDF term weight is:

$$tf_{ij} = \frac{f_{ij}}{\max\{f_{1j}, f_{2j}, ..., f_{|V|j}\}}$$

$$idf_i = \log \frac{N}{df_i}$$

$$w_{ij} = tf_{ij} \times idf_i$$

## Retrieval in vector space model

- Query q is represented in the same way or slightly differently.
- Relevance of d<sub>i</sub> to q: Compare the similarity of query q and document d<sub>i</sub>.
- Cosine similarity (the cosine of the angle between the two vectors)

$$cosine(\mathbf{d}_{j}, \mathbf{q}) = \frac{\langle \mathbf{d}_{j} \bullet \mathbf{q} \rangle}{\|\mathbf{d}_{j}\| \times \|\mathbf{q}\|} = \frac{\sum_{i=1}^{|V|} w_{ij} \times w_{iq}}{\sqrt{\sum_{i=1}^{|V|} w_{ij}^{2}} \times \sqrt{\sum_{i=1}^{|V|} w_{iq}^{2}}}$$

Cosine is also commonly used in text clustering

### An Example

- A document space is defined by three terms:
  - □ hardware, software, users
  - the vocabulary
- A set of documents are defined as:

```
\triangle A1=(1, 0, 0), A2=(0, 1, 0), A3=(0, 0, 1)
```

 $\triangle$  A4=(1, 1, 0), A5=(1, 0, 1), A6=(0, 1, 1)

 $\square$  A7=(1, 1, 1) A8=(1, 0, 1). A9=(0, 1, 1)

- If the Query is "hardware and software"
- what documents should be retrieved?

## An Example (cont.)

- In Boolean query matching:
  - document A4, A7 will be retrieved ("AND")
  - retrieved: A1, A2, A4, A5, A6, A7, A8, A9 ("OR")
- In similarity matching (cosine):
  - = q=(1, 1, 0)
  - □ S(q, A1)=0.71,

S(q, A2)=0.71,

S(q, A3)=0

 $\Box$  S(q, A4)=1,

S(q, A5)=0.5

S(q, A6)=0.5

 $\Box$  S(q, A7)=0.82,

S(q, A8)=0.5,

S(q, A9)=0.5

- Document retrieved set (with ranking)=
  - {A4, A7, A1, A2, A5, A6, A8, A9}

### Relevance feedback

- Relevance feedback is one of the techniques for improving retrieval effectiveness. The steps:
  - the user first identifies some relevant  $(D_r)$  and irrelevant documents  $(D_{ir})$  in the initial list of retrieved documents
  - the system expands the query q by extracting some additional terms from the sample relevant and irrelevant documents to produce q<sub>e</sub>
  - Perform a second round of retrieval.
- **Rocchio method** ( $\alpha$ ,  $\beta$  and  $\gamma$  are parameters)

$$\mathbf{q}_e = \alpha \mathbf{q} + \frac{\beta}{|D_r|} \sum_{\mathbf{d}_r \in D_r} \mathbf{d}_r - \frac{\gamma}{|D_{ir}|} \sum_{\mathbf{d}_{ir} \in D_{ir}} \mathbf{d}_{ir}$$

### Rocchio text classifier

- In fact, a variation of the Rocchio method above, called the Rocchio classification method, can be used to improve retrieval effectiveness too
  - so are other machine learning methods. Why?
- Rocchio classifier is constructed by producing a prototype vector c<sub>i</sub> for each class i (relevant or irrelevant in this case):

$$\mathbf{c}_{i} = \frac{\alpha}{|D_{i}|} \sum_{\mathbf{d} \in D_{i}} \frac{\mathbf{d}}{\|\mathbf{d}\|} - \frac{\beta}{|D - D_{i}|} \sum_{\mathbf{d} \in D - D_{i}} \frac{\mathbf{d}}{\|\mathbf{d}\|}$$

In classification, cosine is used.

## Text pre-processing

- Word (term) extraction: easy
- Stopwords removal
- Stemming
- Frequency counts and computing TF-IDF term weights.

### Stopwords removal

- Many of the most frequently used words in English are useless in IR and text mining – these words are called stop words.
  - □ the, of, and, to, ....
  - Typically about 400 to 500 such words
  - For an application, an additional domain specific stopwords list may be constructed
- Why do we need to remove stopwords?
  - Reduce indexing (or data) file size
    - stopwords accounts 20-30% of total word counts.
  - Improve efficiency and effectiveness
    - stopwords are not useful for searching or text mining
    - they may also confuse the retrieval system.

## Stemming

 Techniques used to find out the root/stem of a word. E.g.,

□ user engineering

□ users engineered

□ used engineer

using

stem: use engineer

#### **Usefulness:**

- improving effectiveness of IR and text mining
  - matching similar words
  - Mainly improve recall
- reducing indexing size
  - combing words with same roots may reduce indexing size as much as 40-50%.

### Basic stemming methods

#### Using a set of rules. E.g.,

#### remove ending

- if a word ends with a consonant other than s, followed by an s, then delete s.
- if a word ends in es, drop the s.
- if a word ends in ing, delete the ing unless the remaining word consists only of one letter or of th.
- If a word ends with ed, preceded by a consonant, delete the ed unless this leaves only a single letter.
- **-** ......

#### transform words

□ if a word ends with "ies" but not "eies" or "aies" then "ies --> y."

### Frequency counts + TF-IDF

- Counts the number of times a word occurred in a document.
  - Using occurrence frequencies to indicate relative importance of a word in a document.
    - if a word appears often in a document, the document likely "deals with" subjects related to the word.
- Counts the number of documents in the collection that contains each word
- TF-IDF can be computed.

### Evaluation: Precision and Recall

- Given a query:
  - Are all retrieved documents relevant?
  - Have all the relevant documents been retrieved?
- Measures for system performance:
  - The first question is about the precision of the search
  - The second is about the completeness (recall) of the search.

### Precision-recall curve

**Example 2:** Following Example 1, we obtain the interpolated precisions at all 11 recall levels in the table of Fig. 6.4. The precision-recall curve is shown on the right.

i	$p(r_i)$	$r_i$
0	100%	0%
1	100%	10%
2	100%	20%
3	100%	30%
4	80%	40%
5	80%	50%
6	71%	60%
7	70%	70%
8	70%	80%
9	62%	90%
10	62%	100%

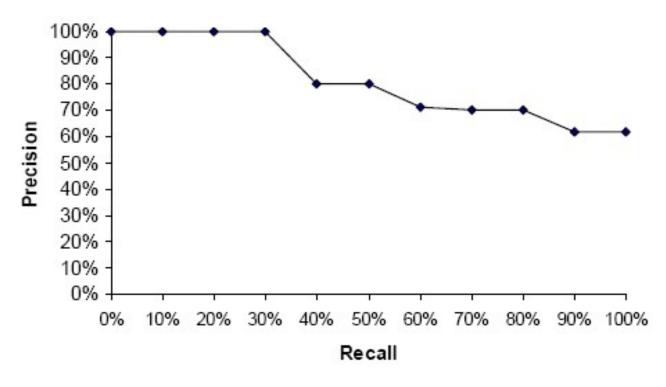
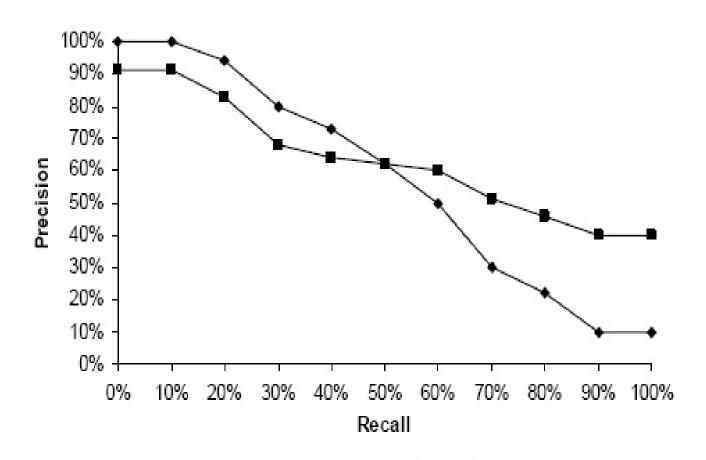


Fig. 6.4. The precision-recall curve

## Compare different retrieval algorithms



**Fig. 6.5.** Comparison of two retrieval algorithms based on their precision-recall curves

## Compare with multiple queries

Compute the average precision at each recall level.

$$\overline{p}(r_i) = \frac{1}{|Q|} \sum_{j=1}^{|Q|} p_j(r_i),$$
 (22)

where Q is the set of all queries and  $p_j(r_i)$  is the precision of query j at the recall level  $r_i$ . Using the average precision at each recall level, we can also draw a precision-recall curve.

- Draw precision recall curves
- Do not forget the F-score evaluation measure.

### Rank precision

- Compute the precision values at some selected rank positions.
- Mainly used in Web search evaluation.
- For a Web search engine, we can compute precisions for the top 5, 10, 15, 20, 25 and 30 returned pages
  - as the user seldom looks at more than 30 pages.
- Recall is not very meaningful in Web search.
  - Why?

## Web Search as a huge IR system

- A Web crawler (robot) crawls the Web to collect all the pages.
- Servers establish a huge inverted indexing database and other indexing databases
- At query (search) time, search engines conduct different types of vector query matching.

### Inverted index

- The inverted index of a document collection is basically a data structure that
  - attaches each distinctive term with a list of all documents that contains the term.
- Thus, in retrieval, it takes constant time to
  - find the documents that contains a query term.
  - multiple query terms are also easy handle as we will see soon.

### An example

**Example 3:** We have three documents of  $id_1$ ,  $id_2$ , and  $id_3$ :

```
id<sub>1</sub>: Web mining is useful.
        id<sub>2</sub>: Usage mining applications.
        id<sub>3</sub>: Web structure mining studies the Web hyperlink structure.
                                                            5
Applications: id<sub>2</sub>
                                     Applications: \langle id_2, 1, [3] \rangle
                                     Hyperlink: \langle id_3, 1, [7] \rangle
Hyperlink:
               id_3
                                     Mining: \langle id_1, 1, [2] \rangle, \langle id_2, 1, [2] \rangle, \langle id_3, 1, [3] \rangle
Mining: id_1, id_2, id_3
Structure: id<sub>3</sub>
                                   Structure: <id<sub>3</sub>, 2, [2, 8]>
Studies: id<sub>3</sub>
                              Studies: <id<sub>3</sub>, 1, [4]>
                                     Usage: <id<sub>2</sub>, 1, [1]>
Usage: id<sub>2</sub>
Useful: id<sub>1</sub>
                                     Useful: <id<sub>1</sub>, 1, [4]>
                                                        <id<sub>1</sub>, 1, [1]>, <id<sub>3</sub>, 2, [1, 6]>
Web:
                 id<sub>1</sub>, id<sub>3</sub>
                                      Web:
          (A)
                                                                   (B)
```

**Fig. 6.7.** Two inverted indices: a simple version and a more complex version

### Index construction

Easy! See the example,

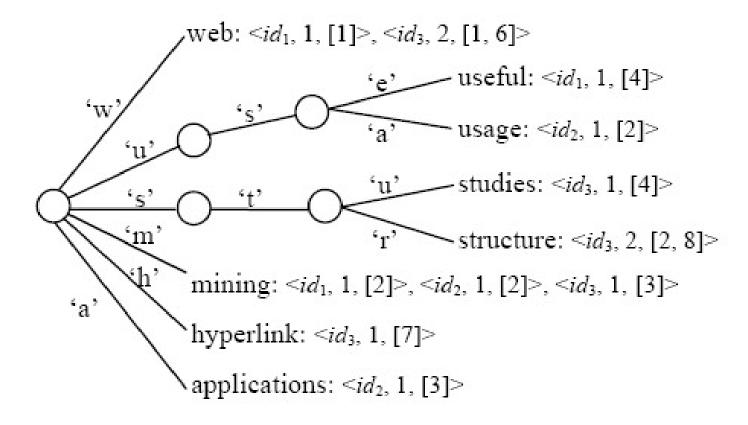


Fig. 6.8. The vocabulary trie and the inverted lists

### Search using inverted index

Given a query **q**, search has the following steps:

- Step 1 (vocabulary search): find each term/word in q in the inverted index.
- Step 2 (results merging): Merge results to find documents that contain all or some of the words/terms in q.
- Step 3 (Rank score computation): To rank the resulting documents/pages, using,
  - content-based ranking
  - link-based ranking

## Different search engines

- The real differences among different search engines are
  - their index weighting schemes
    - Including location of terms, e.g., title, body, emphasized words, etc.
  - their query processing methods (e.g., query classification, expansion, etc)
  - their ranking algorithms
  - Few of these are published by any of the search engine companies. They aretightly guarded secrets.