

1           **Title: Recent Population Expansions of Hepatitis B Virus in the United States**

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3   **Short title:** Selective HBV expansion among cases of acute hepatitis B virus infection in USA

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# **Footnote Page**

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19 determination or policy. No conflict of interest exists for any authors or financial funding.

20 **Competing financial interests:** The authors declare no competing financial interests.

21

22 **Word count:** Text - 3085; Abstract - 243

23

24 **Abbreviations:** Hepatitis B virus (HBV), whole-genome (WG), men who have sex with men  
25 (MSM), injecting drug use (IDU)

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27 **Key words:** disease transmission, infectious; sequence analysis, DNA; homosexuality, male;  
28 substance abuse, intravenous; phylodynamics; risk factors

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**ABSTRACT**

35       The recent epidemic history of hepatitis B virus (HBV) infections in the United States is  
36 complex, as indicated by current disparity in HBV genotype distribution between acute and  
37 chronic hepatitis B cases and rapid decline in hepatitis B incidence since the 1990s. We report  
38 temporal changes in genetic composition of the HBV population using whole-genome sequences  
39 (n=179) from acute hepatitis B cases (n=1206) identified through the Sentinel County  
40 Surveillance for Acute Hepatitis (1998-2006). HBV belonged mainly to subtypes A2 (75%) and  
41 D3 (18%), with times of their most recent common ancestors being, respectively, 1979 and 1987,  
42 respectively. A2 underwent rapid population expansions in *ca.* 1995 and *ca.* 2002, coinciding  
43 with transient rises in acute hepatitis B notification rates among adults; D3 underwent expansion  
44 in *ca.* 1998. A2 strains from cases identified after 2002, compared to those before 2002, tended  
45 to cluster phylogenetically, indicating selective expansion of specific strains, and were  
46 significantly reduced in genetic diversity ( $p = 0.001$ ) and frequency of drug-resistance mutations  
47 ( $p = 0.001$ ). The expansion of genetically close HBV A2 strains was associated with risk of  
48 infection among male homosexuals ( $p = 0.03$ ). Incident HBV strains circulating in the US were  
49 recent in origin, and restricted in genetic diversity. Disparate transmission dynamics among  
50 phylogenetic lineages affected the genetic composition of HBV populations and their capacity to  
51 maintain drug-resistance mutations. The tendency of selectively expanding HBV strains to be  
52 transmitted among male homosexuals highlights the need to improve hepatitis B vaccination  
53 coverage among at-risk adults.

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## IMPORTANCE

*Hepatitis B virus (HBV) remains an important cause of acute and chronic liver disease globally, and in the United States. Genetic analysis of **HBV whole genomes from cases of acute hepatitis B identified from 1998-2006 in the United States** showed dominance of genotype A2 (75%), followed by D3 (18%). Strains of both subtypes were recent in origin and underwent rapid population expansions from 1995-2000, indicating increase in transmission rate for certain HBV strains during a period of decline in the reported incidence of acute hepatitis B in the US. HBV A2 strains from a particular cluster that experienced the most recent population expansion were more commonly detected among men who have sex with men. Vaccination needs to be stepped up to protect persons who remain at risk of HBV infection.*

## INTRODUCTION

Hepatitis B virus (HBV) is one of the major causative agents of liver disease, including acute and chronic hepatitis, cirrhosis and hepatocellular carcinoma. Infection with HBV is common, with an estimated prevalence of 240 million infected persons globally (1). In the United States (US), it is estimated that 1.4 million people are infected with HBV (2) and 43,000 new infections occur annually (3). The estimated incidence rate of acute HBV infection has declined from 13.5 cases per 100,000 population in 1987 to 2.8 cases per 100,000 population in 2002 (4, 5), which is attributable in part to nationwide implementation of vaccination against hepatitis B. However, this decline was not uniform: between 1999 and 2002, incidences were observed to increase transiently among adults in certain age groups (5). Despite the availability of hepatitis B vaccine and the implementation of comprehensive national guidelines, immunization coverage remains suboptimal in certain demographic and risk groups (6-9).

HBV is genetically diverse, and its strains are classified into 8 major genotypes (A to H) and numerous subtypes (10). The Sentinel Counties Study of Acute Viral Hepatitis was a population-based study that enrolled acute viral hepatitis patients from 6 city/county health departments in the US from 1982 through 2006 (11, 12). We recently showed that 75% of acute hepatitis B cases from 1998-2006 were infected by HBV genotype A2 and 17% by genotype D (13). This distribution contrasts with findings from a study of persons in the US with chronic hepatitis B showing that only 37.4% were infected by genotype A2, and 10.4% by genotype D (14). Strains identified among the acute cases appeared to be of limited genetic diversity, with many strains sharing identical sequences (13). These observations might, however, have reflected usage of the relatively conserved *S* gene as basis of HBV strain comparison. We and others have shown that analysis of whole-genome (WG) sequences of HBV confers significantly

90 finer resolution to the study of viral diversity and better assessments of HBV evolution during  
91 natural infection and under selection pressure from antiviral drugs (15-17).

92 The disparity of genotype distribution between acute (13) and chronic (14) cases of HBV  
93 infection reflects complexity of epidemiological factors associated with patterns of HBV  
94 introduction, transmission and maintenance in the US. The disproportionately high  
95 representation of genotypes A2 and D in incident HBV infections imply greater efficacy of  
96 transmission from chronic infections with these genotypes than with other HBV genotypes, or  
97 more frequent transmission of these 2 genotypes from acutely infected persons. Taken together  
98 with the observation of a substantial decline in incident HBV infection, the genotypic disparity  
99 between acute and chronic hepatitis B indicates a dynamic epidemic history of HBV infections  
100 over the last 2 decades. To gain insight into the evolutionary history and molecular epidemiology  
101 of incident HBV infection in the US, we characterized HBV WG sequences from cases of acute  
102 HBV infection.

## 103 MATERIALS AND METHODS

104 In the Sentinel Counties Study (11), a case of acute hepatitis B was defined as having  
105 history of sudden onset of signs and symptoms consistent with hepatitis together with serological  
106 detection of immunoglobulin M antibody to hepatitis B core antigen, or newly detected hepatitis  
107 B surface antigen within the window period of 60 days. The cases were treatment-naïve at the  
108 time of sampling. The 6 Sentinel sites were: Jefferson County, Alabama; Pinellas County,  
109 Florida; Pierce County, Tacoma, Washington; Multnomah County, Oregon; San Francisco,  
110 California; and Denver County, Denver, Colorado. The study was approved by institutional  
111 review boards of the Centers for Disease Control and Prevention (CDC). For the current study,

112 only sera originating from cases enrolled between 1998 through to 2006 that contained sufficient  
113 HBV titer to allow for WG amplification and sequencing were included.

#### 114 **HBV WG amplification, sequencing and analyses**

115 HBV WG sequences were amplified using 2 rounds of PCR and sequenced, as previously  
116 described (15). Detection sensitivity of this approach is  $5 \times 10^2$  IU/ml, using the 3<sup>rd</sup> World Health  
117 Organization International standard for HBV DNA. HBV genotyping was performed by  
118 nucleotide (nt) sequencing of a 435–base pair DNA segment amplified from the HBV *S* gene  
119 (from nt position 222 to 656 of HBV genome). Phylogenetic trees were constructed using a  
120 maximum likelihood algorithm. Nt diversity and the distribution of nt distances were evaluated  
121 among A2 and D3 sequences. Analysis of Molecular variance (AMOVA) was conducted to  
122 measure the fraction of heterogeneity in genetic distances among the A2 sequences that arose  
123 due to differences between the A2 time clusters. WG sequences of the HBV isolates have been  
124 deposited in the National Center for Biotechnology Information GenBank database (accession  
125 numbers KF779209 - KF779386).

#### 126 **Estimation of evolutionary dates and demographic history**

127 The time to the most recent common ancestor (tMRCA) for each genotype was  
128 calculated using BEAST (ver. 1.7.1) (18). Estimates were calculated using the HKY substitution  
129 model with 4 gamma rate categories and invariant sites. The calculations used a coalescent  
130 constant size tree prior and an uncorrelated lognormal molecular clock with an initial substitution  
131 rate estimate of  $5 \times 10^{-3}$  substitutions per site per year. The nt mean was estimated with a uniform  
132 prior distribution. Bayesian skyline plot analysis was done separately for genotype A2 and D3  
133 using mean substitution rate estimates from the tMRCA estimates and a constant rate  
134 uncorrelated lognormal molecular clock with a coalescent Bayesian skyline prior and 10 groups

135 in a piecewise-constant skyline model. All calculation was run until the effective sample size  
136 (ESS) was greater than 200.

#### 137 **Statistical analysis**

138 Risk-ratio estimates were calculated to determine the association between patient  
139 characteristics and phylogenetic distribution of WG sequences. We used univariate and  
140 multivariate analyses to determine factors associated with infection by HBV genotypes. We also  
141 compared genetic distances among HBV strains by geographic or temporal distributions. SAS  
142 for Windows Version 9.3 was used for statistical analysis. Differences in strain mutations were  
143 tested by Fisher's exact test (Supplementary Information).

144

### 145 **RESULTS**

#### 146 **HBV genetic diversity**

147 From 1998 through 2006, 1,206 acute hepatitis B cases were reported from the 6 survey  
148 sites. The HBV *S* gene could be sequenced from 614 cases (13). As we have shown earlier (13),  
149 its diversity was restricted: among the A2 strains (75%), 47% shared 3 sequences, with 32%  
150 sharing a single sequence; and among the D strains (18%), 41% shared a single sequence  
151 (Fig.1a). WG sequences were obtained from 179 HBV strains, of which 134 (75%) belonged to  
152 A2, 32 (18%) to D3, and the remaining 13 (8%) to genotypes B, C, E, F, G and H. There are  
153 some unavoidable limitations to our study. We did not have sufficient serum volume or titers for  
154 HBV WG genome sequencing of all incident cases genotyped using the *S* gene amplicon.  
155 However, the % distribution of genotypes A, D and the others was similar among HBV strains,  
156 from which WG or only *S* gene sequences were obtained, confirming a fair representation of the  
157 sampled population by the 179 WG sequences. The WG sequences were unique (Fig.1b), except



158 for those from 2 A2 and 2 D3 strains; the identical A2 strains were from cases in the same  
159 county and identified from the same year, and the identical D3 strains were from different  
160 counties but identified in the same year. Five strains were recombinant: 2 between A1 and A2,  
161 and 3 between D3 and A2. Cases infected by these recombinants were excluded from analysis.

162 The WG sequences were tightly clustered within A2 and D3 subtypes, producing a star-  
163 like phylogeny, indicating close genetic relatedness within each subtype (Fig.1b) and recent  
164 selective sweep or population expansion for each subtype. Genetic relatedness among A2 strains  
165 varied from 97.4%-100%, and among D3 from 97.6%-100% (Fig.5b). Among A2 strains from  
166 any county, the genetic relatedness ranged between 97.6% and 100%. The proportion of pairs of  
167 sequences differing at > 3 nt positions was 99.8% for A2 strains, and 97.5% for D3 strains.

#### 168 **Geographic and temporal distributions**

169 No correlation between genetic distance and geographic distance for either subtype A2 or  
170 D3 was observed, although there was some degree of clustering of A2 and D3 strains from  
171 Pinellas County, Florida, and Multnomah County, Oregon in phylogenetic tree (sequences  
172 highlighted in yellow, Fig. 2a). No correlation was observed between genetic distances among  
173 HBV strains and year of diagnosis. For example, the 8 sequences (highlighted in yellow, Fig. 2b)  
174 that formed a tight cluster in the tree were diagnosed 1-4 years apart.

#### 175 **Recent origin of A2 and D3 strains**

176 The tight sequence clustering (Fig.1) and the close genetic relatedness (Fig.5b) among  
177 HBV strains of same subtypes indicate their recent origins. Bayesian analysis (Fig. 3) showed  
178 that the MRCA for A2 existed in *ca.* 1979 (range, 1961-1989), and for D3 in *ca.*1987 (range,  
179 1971-1998).

## 180 **A2 and D3 population dynamics**

181 Skyline plot analysis of all HBV A2 sequences indicated expansion of the A2 strains  
182 between 1994 and 1996, followed by a transient decline, and a second expansion during 2002.  
183 For D3 strains, there was a trend towards population expansion between 1998 and 2000 (Fig. 3).

## 184 **Differential A2 expansion**

185 Inspection of the A2 phylogenetic tree identified 2 rapidly evolving viral lineages that  
186 together form a cluster (designated Cluster 1, yellow circle in Fig. 4a). Although Cluster 1  
187 contained HBV strains sampled from 1998 to 2006, ~80% of strains originated from cases  
188 diagnosed after 2002. A skyline plot constructed using sequences from Cluster 1 showed a sharp  
189 population expansion during 2002 (Fig. 4b). The other A2 sequences (designated Cluster 2, Fig.  
190 4a) were mainly from samples collected before 2002. Analysis of these sequences showed an  
191 earlier expansion between 1994 and 1996 (Fig. 4c). These observations indicate that the HBV A2  
192 strains experienced 2 recent expansions (Fig. 3a). The first expansion, mainly of Cluster 2  
193 strains, occurred during the mid-1990s. The second, of Cluster 1 strains, occurred in 2002 and  
194 was accompanied with population decline among the Cluster 2 strains.

## 195 **Changes in A2 and D3 heterogeneity**

196 Differential expansion of specific phylogenetic lineages should have a significant effect  
197 on genetic heterogeneity of HBV population over time. Indeed, the mean nt diversity across all  
198 positions of the HBV genome were significantly greater ( $p = 0.001$ ) in the A2 sequences of the  
199 1998-2001 cases than those of the 2002-2006 cases (Fig. 5). However, the genetic differences  
200 were not distributed uniformly along the HBV genome, with regions at positions 1-400, 600-  
201 1000, 1500-1900 and 2200-2700 showing more diversity for A2 strains sampled between 1998  
202 and 2001 than between 2002 and 2006 (Fig. 5a). The observation suggests that these genomic

203 regions contribute more than other to differentiation among HBV A2 strains sampled here.  
 204 Comparison of sequences within and between Clusters 1 and 2 by AMOVA showed that 91.4%  
 205 of all genetic variations occur within each cluster ( $p = 0.0001$ ), and only 8.7% between clusters  
 206 (Fig.5).

207 WG sequences were inspected for the presence of clinically important nt changes (Table  
 208 2). None of the substitutions known to confer vaccine escape were identified. The A1896 (stop  
 209 codon at codon 28) pre-core mutation was found in 3 D3 strains and none in A2 strains. There  
 210 were 18 strains carrying aa substitutions known to be associated with drug resistance: rtA194 (to  
 211 adefovir/tenofovir;  $n=17$ ), rtM204 and rtV207 (to lamivudine,  $n=16$ ) and rtS202 and rtM250 (to  
 212 entecavir,  $n=3$ ). These drug-resistance associated changes were observed in 34% of D3 strains,  
 213 28% of A2 Cluster 2 strains, and none from Cluster 1 strains ( $p < 0.0001$ ) (Table 2). Analysis of  
 214 mutations within the HBsAg “a” determinant at positions 120-165 aa identified a single HBV A2  
 215 strain with the known vaccine-escape mutation T126I (Table 2).

#### 216 **Factors associated with selective A2 expansion**

217 Analysis of demographic data of acute hepatitis B cases (Table 1) showed that HBV A2  
 218 strains comprising Cluster 1 (Fig. 4a) tended to be carried by persons: of African-American  
 219 origin compared to whites (OR, 3.22; 95% CI, 1.44-7.2;  $p = 0.005$ ); from Jefferson County,  
 220 Alabama, compared to those from Denver, Colorado (OR, 5.85; 95% CI, 1.37-24.89;  $p = 0.019$ );  
 221 and who were diagnosed after 2002 compared to those earlier than 2002 (OR, 4.47; 95% CI  
 222 95%, 2-9.98;  $p = 0.001$ ). Among the risk factors examined, Cluster 1 strains were more common  
 223 among cases who were MSM compared to those who were not (OR, 2.05; 95% CI, 1.03-4.05;  $p$   
 224  $= 0.03$ ). Multivariate analysis was conducted but owing to small sample sizes, no statistically  
 225 significant conclusions could be made.

226

## DISCUSSION

227 Genetic analysis of HBV WG sequences confirmed significant prevalence of genotypes  
 228 A and D among acute hepatitis B cases in the US (1998-2006). All HBV genotype A variants  
 229 belonged to subtype A2. Although the *S* gene sequences used in the previous study did not allow  
 230 for a confident subtyping of the genotype D variants(13), phylogenetic analysis of the WG  
 231 sequences conducted here showed for the first time that all HBV genotype D variants belonged  
 232 to subtype D3. The high incidence of A2 in acute cases in the US parallels other global reports.  
 233 In Europe, the majority of incident HBV strains belong to A2 (19, 20), with specific strains  
 234 identified in English prisons (21), in outbreaks of nosocomial HBV transmissions in Germany  
 235 (22) and Belgium (23), and among MSM in The Netherlands (24). In Japan, HBV A2 has been  
 236 observed to be spreading not only within the MSM community (25), but also from there to the  
 237 general population (26). The National Heart, Lung and Blood Institute Retrovirus Epidemiology  
 238 Donor Study-II (REDS-II) of 34 million US blood donations between 2006 and 2009 reported  
 239 that the majority of 193 donor HBV strains (37%) consisted of A2, with incident donors carrying  
 240 higher frequencies of A2 (67%) compared to those in prevalent donors (27%) (27). A similar  
 241 difference in genotype distribution between acute hepatitis and chronic hepatitis has been  
 242 reported in Japan (28). There is preliminary evidence suggesting that the apparent high  
 243 transmission rate of A2 may reflect the longer duration of HBV viremia in infected patients (29,  
 244 30).

245 Almost all HBV WG sequences were unique, with only 2 A2 and 2 D3 variants being  
 246 identical. It is important to note that the observations made in this study show that sharing the *S*  
 247 gene sequence (13) is not an indication of the close genetic relatedness among HBV A2 or D3  
 248 variants, since HBV variants carrying identical *S* gene sequences were scattered without

249 clustering within the subtype-specific branches in the phylogenetic tree constructed using WG  
 250 sequences (Fig 1). The identical *S* gene sequences shown as a single red node in Fig 1a (32% of  
 251 total sequences) are found in many different WG sequences in Fig 1b. Thus, the extent of HBV  
 252 genetic relatedness estimated using the *S* gene sequences should be interpreted with caution, and  
 253 limited to genotype assignment. Comprehensive analysis using WG rather than the *S* gene of  
 254 HBV should lend confidence to establishing genetic relatedness of incident HBV, and also to  
 255 detection of recombinants and clinically significant mutations.

256 Both A2 and D3 strains were of relatively recent origin, with the MRCA for each subtype  
 257 predicted to exist only 30-50 years ago, observations that also substantiate the close genetic  
 258 relatedness observed within each subtype. Despite their short evolutionary histories, both  
 259 genotypes experienced population expansions. The effective population size of D3 increased  
 260 between 1998 and 2000. The A2 strains underwent 2 rounds of population expansion (Fig. 3 and  
 261 4). The first expansion, between 1994 and 1996, coincided with a transient rise in notifications of  
 262 acute hepatitis B from 1994-1998 (4). The second expansion, during 2002, was observed for the  
 263 2 lineages that comprise Cluster 1. It was preceded or accompanied by a modest decline in the  
 264 effective population size for the other A2 strains (Cluster 2, Fig. 4). The second A2 expansion  
 265 coincided with increased acute hepatitis B notifications reported by the US National Notifiable  
 266 Disease Surveillance (NNDSS) between 1999 and 2002 among men aged > 19 years old (5%),  
 267 and among men and women aged > 40 years old (20% and 31%, respectively) (5).

268 The dynamic nature of HBV's epidemic history during the study period has resulted in  
 269 significant changes in genetic composition of the HBV A2 population carried by acute hepatitis  
 270 B cases. The A2 strains sampled after 2002 were restricted in genetic heterogeneity, with  
 271 reduction in the number of polymorphic sites unevenly distributed across the HBV genome (Fig.

272 5a). No known drug-resistance substitutions were found in these recently expanded strains,  
 273 contrasting with almost a third of strains sampled before 2002 that carried such substitutions  
 274 (Table 2). Consistent with the differential expansion of a single A2 cluster (Fig. 4a), the findings  
 275 indicate that incident HBV populations circulating after 2002 were genetically distinct from  
 276 those before 2002.

277 Reduction in genetic heterogeneity and the number of pre-existing drug-resistance  
 278 mutations over time suggests the potentially changing capacity of HBV populations to respond to  
 279 antiviral treatment. Predisposition to drug resistance is a convergent trait encoded in epistatic  
 280 connectivity among HBV genomic sites (16,31). The shift in the HBV population structure  
 281 observed in the current study likely reflects changes in epistatic connectivity pertaining to the  
 282 presentation of drug-resistance mutations. Additionally, the rapid population expansion of the  
 283 closely related HBV strains is likely consequence of frequent opportunities for transmission from  
 284 persons with primary infections, who tend to carry fewer intra-host HBV variants (32), than from  
 285 persons with chronic infection. Thus, reduction in frequency of the detected drug-resistance  
 286 mutations after the second expansion period is likely associated with changing genetic structure  
 287 and declining heterogeneity of the HBV population than with specific selection pressures related  
 288 to therapeutic treatment.

289 Analysis of demographic characteristics of the cases showed that the Cluster 1 HBV A2  
 290 strains tended to be detected among African-Americans, persons from Jefferson County in  
 291 Alabama, those diagnosed after 2002, and MSM (Table 1). Multivariate analysis did not produce  
 292 statistically significant results, due to the relative small sample size. Nonetheless, although each  
 293 of the 3 factors was shown to be independently associated with infection by Cluster 1 strains,  
 294 MSM activity is the behavioral risk factor identified that would account for the observed increase

295 in the rate of transmission of these genetically close strains. While locally circulating HBV  
 296 strains may be expected to be genetically related, geographical location or race by itself cannot  
 297 explain increase in the rate of transmission. Furthermore, race cannot be independently  
 298 associated with genetic relatedness among incident HBV strains.

299 The demographic and risk associations to infection by Cluster 1 HBV A2 strains are  
 300 consistent with NNDSS data showing a rise in incidence rate of acute hepatitis B between 1999-  
 301 2002, particularly in southern USA, and among persons reporting engagement in high-risk  
 302 practices, such as MSM activity and IDU (4). Contemporaneous reports from other parts of the  
 303 world have shown sharp increases of acute infections with genotype A2 strains linked to similar  
 304 high-risk behaviors (20, 21). As noted, rapid transmissions among acutely infected MSM in the  
 305 Netherlands and Japan (25, 26) were associated with genetically identical or closely related A2  
 306 strains. Data from Japan also indicate a greater propensity of primary infection with A2,  
 307 compared to other genotypes, associated with persistent HBV infection (29,30). Although there  
 308 are currently no data indicating such propensity of the US A2 strains that underwent selective  
 309 transmission, it would be important to monitor the natural history of infection by these strains.  
 310 Our results indicate the possibility of missed opportunities to vaccinate MSM. Gaps in  
 311 implementing existing vaccination strategies must be addressed to increase hepatitis B  
 312 vaccination coverage for MSM.

313 This study highlights that full-length genomes are critical for complete characterization  
 314 of the biological properties of HBV variants and understanding the epidemiology of the disease.  
 315 Despite decline in the rate of incident HBV infection in the US, HBV strains identified among  
 316 acute hepatitis B cases have experienced 3 population expansions. The expansions altered  
 317 significantly the viral genetic composition, and affected capacity of HBV populations to

318 maintain resistance to antiviral treatment. A strong association between phylogeny and  
 319 transmission rates reflected in selective viral expansion of specific HBV lineages, particularly of  
 320 A2 strains, suggests biological differences among HBV variants affecting their dissemination, or  
 321 the existence of host contact networks linked to specific risks, such as among MSM. Integration  
 322 of hepatitis B vaccine into routine childhood vaccination schedules has dramatically increased  
 323 immunization coverage among younger age groups, but similar gains in coverage have not been  
 324 demonstrated among high-risk adults (33,34), a population that accounts for an estimated 75–  
 325 95% of all incident HBV cases in the US (4,33). Increased HBV transmission rate among MSM  
 326 reflects inadequate hepatitis B vaccination coverage, highlighting the need to improve coverage  
 327 of at-risk adults (9,35,36).

#### 328 **ACKNOWLEDGEMENTS**

329 We would like to acknowledge the contributions of JW Ward, D Holtzman, SD Holmberg, H  
 330 Roberts, J Groeger and V Barry for their support.

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443

444

# FIGURE LEGENDS

- 445
- 446 **Fig. 1** Phylogenetic maximum likelihood tree constructed using (a) HBV *S gene* sequences (378
- 447 bp) and (b) WG sequences (3.2kb) from genotype A and D. Frequency of *S gene* sequences is
- 448 color-coded, with color scale shown in vertical bar for each phylogenetic tree. Red circles in
- 449 panel (b) represent sequences that have the most common variant of the *S gene* found in 76 WGs.
- 450 Each white circle represents a WG sequence in which *S gene* is unique.
- 451 **Fig. 2** Phylogenetic analysis of WG sequences belonging to genotypes A and D, color-coded
- 452 according to county and year of sampling. Sentinel Counties are: Jefferson County, Alabama;
- 453 Pinellas County, Florida; Pierce County, Washington; Multnomah County, Oregon; San
- 454 Francisco County, California and Denver County, Colorado.
- 455 **Fig. 3** Bayesian skyline plot showing HBV epidemic history in the US. Middle line represents
- 456 mean estimate of effective population size, plotted as  $\log N_e \tau$ , and blue lines shows 95% highest
- 457 posterior density (HPD) intervals for this estimate. Time scale encompasses lower limit of 95%
- 458 HPD for time of most recent common ancestor (tMRCA) to time of collection for most recent
- 459 specimens.
- 460 **Fig. 4(a)** Phylogenetic analysis of WG (genotype A2) color-coded according to year of
- 461 diagnosis. The bootstrap values are marked on figure. Cluster 1 sequences (highlighted in
- 462 yellow) represent most heterogeneous lineage of sequences compared to Cluster 2 (not
- 463 highlighted). **(b)** Skyline plot for the A2 Cluster 1. Middle black line represents estimated mean
- 464 of effective population size (logarithmic scale). Blue lines show limits of the 95% HPD for this
- 465 estimate. Vertical dotted line represents lower 95% HPD for tMRCA. **(c)** Skyline plot for A2
- 466 Cluster 2.

467 **Fig. 5(a)** Nucleotide (nt) diversity of entire HBV genome color-coded based according to year  
 468 of diagnosis. Each point is an average over window of 301 nt, with step of 1. The cartoon at the  
 469 bottom of the figure depicts the HBV genetic map in alignment with the nt numbering on the X-  
 470 axis. **(b)** Frequency distribution of nt distances among WG from A2 and D3 isolates color-coded  
 471 according to year of diagnosis.

472 **Table 1.** Demographic and risk characteristics of A2-infected cases stratified according to  
 473 infection by Cluster 1 and 2 strains. ‘Referrant’ is the group to which another group is compared  
 474 to.

475 **Table 2.** Distribution of mutations - **(a)** any clinical significant nt changes (in pre-core/core, S  
 476 and polymerase regions) in genotypes A2 and D3; p value based on comparison between the %  
 477 of two subtypes, **(b)** drug-resistance mutations rtA194 (to adefovir/tenofovir; n=17), rtM204 and  
 478 rtV207 (to lamivudine, n=16) and rtS202 and rtM250 (to entecavir, n=3). p value based on  
 479 comparison between % of the two A2 clusters; **(c)** *S gene* mutations (S120 to S165); and **(d)**  
 480 precore (A1896, stop codon 28) mutation.

481

**Table 1**

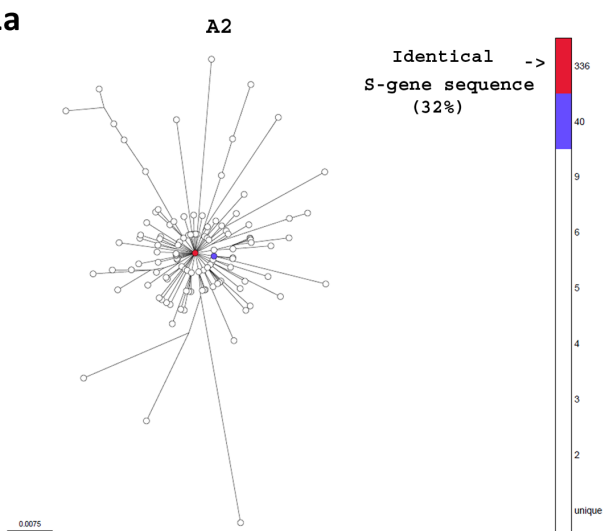
Genotype A2	Cluster 1		Cluster 2		OR (95% CI)*	Total
	n	%	n	%		
<b>Race/ethnicity</b>						
White	21	31.3	46	68.7	Referent	67
Black	25	59.5	17	40.5	3.22 (1.44, 7.20)	42
Hispanic	4	30.8	9	69.2	0.97(0.27, 3.52)	13
<b>County</b>						
Denver, CO	3	20.0	12	80.0	Referent	15
Jefferson, AL	19	59.4	13	40.6	5.85 (1.37, 24.89)	32
Multnomah, OR	5	31.3	11	68.7	1.82 (0.35, 9.46)	16
Pinellas, FL	24	40.7	35	59.3	2.74 (0.70, 10.77)	59
Pierce, WA	2	50.0	2	50.0	4.00 (0.39, 41.23)	4
San Francisco, CA	0	0.0	3	100.0	Not applicable	3
<b>Year of diagnosis</b>						
1998 - 2001	11	21.2	41	78.8	Referent	52
2002 - 2006	42	54.5	35	45.5	4.47 (2.00, 9.98)	77
<b>HBV infection risk</b>						
MSM - Yes	25	75.8	8	24.2	Referent	33
MSM - No	33	52.4	30	47.6	2.84 (1.11, 7.25)	63
IDU - Yes	6	54.5	5	45.5	Referent	11
IDU - No	45	39.8	68	60.2	0.55(0.16, 1.92)	113

\* Exact odds ratio 95% confidence intervals

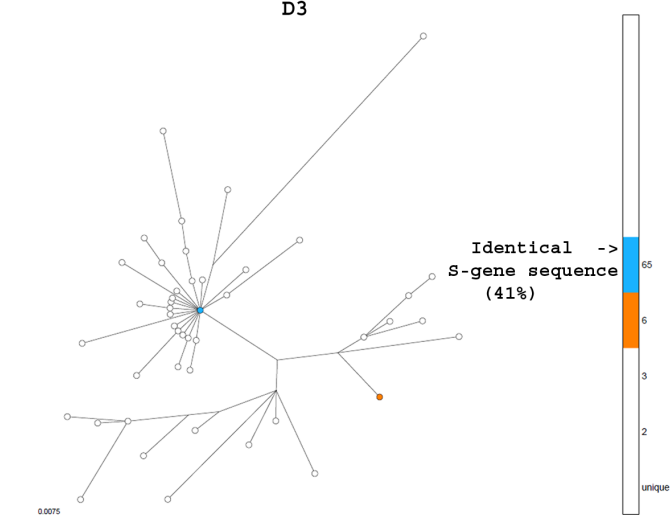
**Table 2**

(a)	Genotype	Clinically significant mutations (RT, S, Pre-core/Core)		Total cases
		No (%)	Yes (%)	
	A2	112(86.2)	18(13.8)	130
	D3	13(44.8)	16(55.2)	29
	Total	125(78.6)	34(21.4)	159
		P<0.0001	P<0.0001	
(b)	Genotype (Year)	HBsAg mutation (RT)		Total cases
		No (%)	Yes (%)	
	A2 (Cluster 2)	46(71.9)	18(28.1)	64
	A2 (Cluster 1)	66(100)	0(0)	66
	D3 (1998-2006)	18(62.1)	11(37.9)	29
	Total	101(77.7)	29(22.3)	130
			P<0.0001	
(c)	Genotype (Year)	Vaccine escape mutation (S)		Total cases
		No (%)	Yes (%)	
	A2 (Cluster 2)	64(100)	0(0)	64
	A2 (Cluster 1)	66(100)	0(0)	66
	D3 (1998-2006)	28(96.6)	1(3.4)	29
	Total	129(99.2)	1(0.8)	130
(d)	Genotype (Year)	eAg mutation (Pre-core/Core)		Total cases
		No (%)	Yes (%)	
	A2 (Cluster 2)	64(100)	0(0)	64
	A2 (Cluster 1)	66(100)	0(0)	66
	D3 (1998-2006)	26(89.7)	3(10.3)	29
	Total	127(97.7)	3(2.3)	130

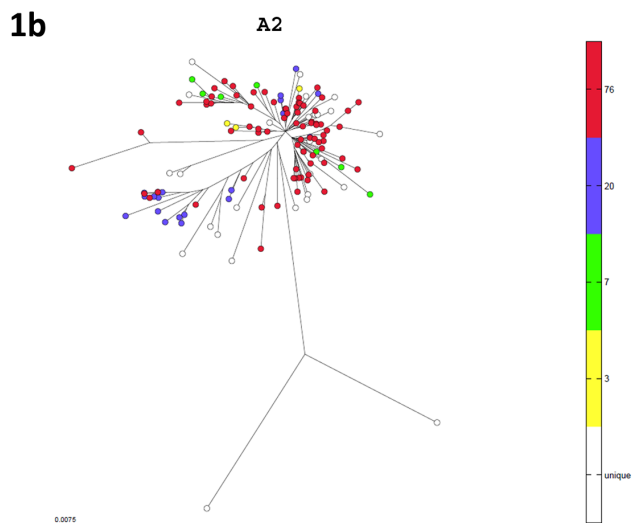
1a



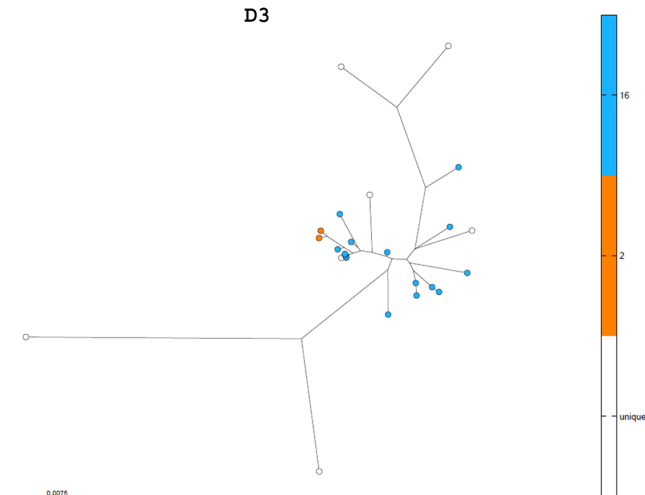
**D3**



1b



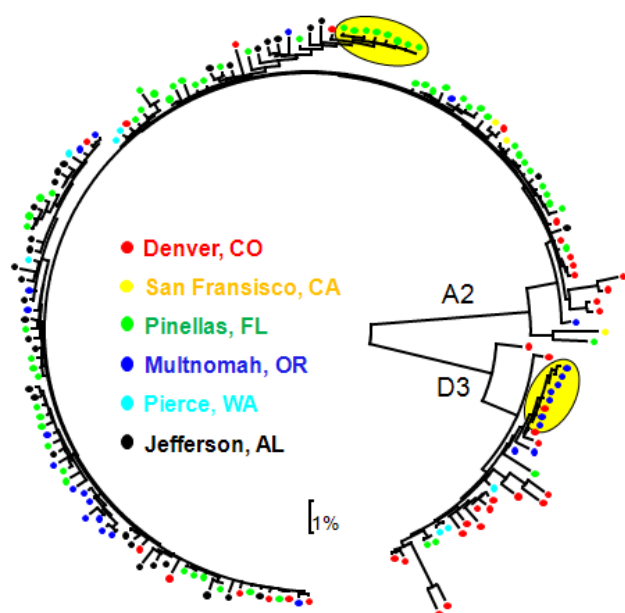
**D3**





2a

By County



2b

By Year

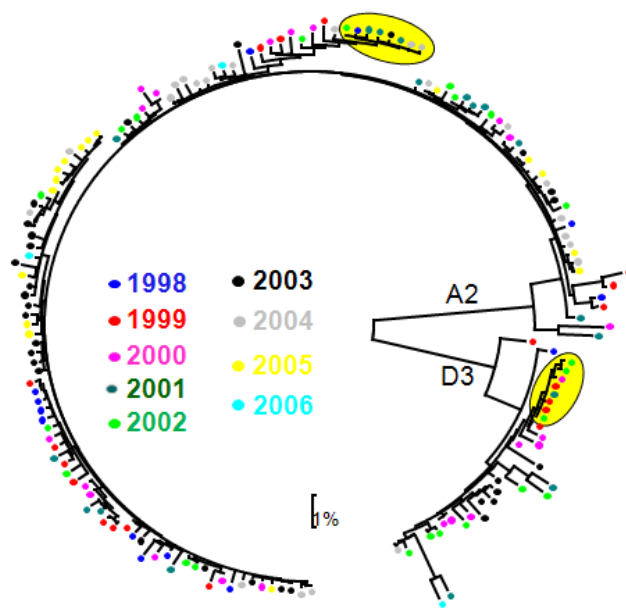


Fig 3

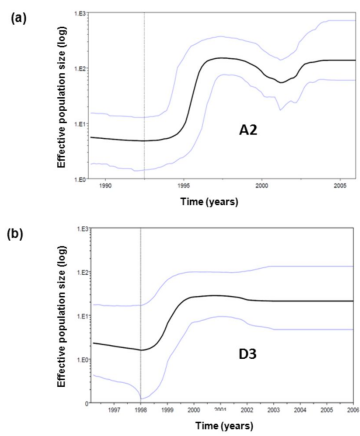


Fig 4

