

September 20, 2021

Applicant Name: DAT Ecosystem, Diego Paez Applicant Address: diego@geutstudio.com

Award # 1001

Dear Mr. Paez,

Congratulations! Your submission has been selected for the following grant award by Code for Science & Society (hereafter CS&S). CS&S will be authorizing a grant of \$20,000 to process the award for your project "DAT ECOSYSTEM".

To accept this award, please ensure that the Notice of Award (page 5) is signed and returned via email to danielle@codeforsociety.org by September 30, 2021. If there is a change in the primary or secondary points of contact for the award or project sponsor affiliation, please notify us when you return the Notice of Award. Please note there is a 15% cap on indirect costs and these costs must be included in the \$20,000 total. We understand that you will be working with Open Collective to receive and administer this grant. Please let us know within 7 days of receiving this letter if this has changed. In addition, we request that you work with Open Collective to complete and return the appropriate tax forms as well as a wire transfer form (attached).

Please confirm the details of the Award Agreement (page 2) are correct and notify us when you return the Award Agreement if any information needs to be updated. Please also take the time to review the General Conditions of the award (beginning on page 3).

All future correspondence with us regarding this award should reference the award number listed at the top of this letter.

Please reach out if you have any questions or concerns.

Sincerely,

Danielle Robinson Executive Director



Award Agreement

Award # 1001

Approved On: September 15, 2021

CS&S Executive Director: Danielle Robinson Organization: Code for Science & Society

Awardee: DAT Ecosystem

Point of Contact (Authorized Representative): Diego Paez, diego@geutstudio.com
Project sponsor: Open Collective Foundation, Alanna Irving, alanna@opencollective.com
Purpose: To foster the decentralized *garden* by supporting initiatives and projects that have a clear positive social impact, such as civic tech, marginalized communities and science.

Duration: September 15, 2021- June 30, 2022

Amount: \$20,000

Payment schedule: 1 payment in October 2021

Notice of Award and report due dates:

1. Notice of Award: September 15, 2021

2. Narrative and impact report: June 30, 2022

3. Final spending report: June 30, 2022

The General Conditions attached hereto constitute an integral part of the Grant Agreement.



General Conditions

- CS&S will disburse funds to the project sponsor of the Awardee named above on page 1 of the Award Agreement. If the total costs are less than the funds paid by CS&S, the balance will be returned to CS&S. The project sponsor will refund any unused portion of the funds if the awardee does not complete the work described in the purpose during the timeframe specified in the Award Agreement.
- 2. Awardee and project sponsor further agree that they shall not use any portion of the grant funds: (i) to participate or intervene in (including the publishing or distribution of statements) any political campaign on behalf of (or in opposition to) any candidate for public office; (ii) for any effort to induce or encourage violations of law or public policy; (iii) to cause any private inurement or improper private benefit to occur; or (iv) in any manner inconsistent with charitable or educational purposes as defined under Code Section 501(c)(3).
- 3. Grantee and project sponsor shall comply with all federal, state and local laws applicable to the activities undertaken with the award funds, including all applicable laws, regulations and orders, including but not limited to those relating to anti-terrorism. economic trade sanctions, bribery and corruption, including the Trading with the Enemy Act, 50 U.S.C. App. 1 et seq., the International Emergency Economic Powers Act, 50 U.S.C. §§ 1701- 1706, and other economic or financial trade sanctions laws, as implemented by Executive Orders and by regulations promulgated by the Office of Foreign Assets Control of the U.S. Department of the Treasury (OFAC), the U.S. Foreign Corrupt Practices Act, 15 U.S.C §§ 78dd-1 et seq. In connection with this Award Agreement, awardee and project sponsor acknowledge and confirm that awardee and project sponsor will use reasonable efforts to ensure it will not directly use the award funds i) in any manner in support of activities prohibited by U.S. laws related to combatting terrorism, money laundering or corruption; ii) in any manner or in connection with any grant to or involving persons identified on OFAC's Specially Designated Nationals and Blocked Persons List (SDN List), or to or involving entities owned or controlled by such persons; (iii) in any manner or in connection with any grant to or in support of or involving persons or entities operating, organized, or resident in, a country or territory against which the United States maintains comprehensive trade sanctions; or (iv) in any manner that would otherwise violate, or cause CS&S to violate or facilitate the violation of, economic or financial trade sanctions, export controls, or trade embargoes imposed by the U.S. government.

By June 30, 2022, the grantee agrees to provide CS&S a final written report in English summarizing the project and its impact. Please email danielle@codeforsociety.org if translation services are requested. By June 30, 2022, a final financial report will also be due that contains a statement of expenditures (in U.S. dollars). This report shall include a spreadsheet of actual versus budgeted spending of funds, an explanation of variances in subcategories in excess of 20%, and an explanation and request for approval of changes in spending in any category greater than 20%. The due dates for these reports are set forth on page 1 of this Grant Agreement.

4. The awardee will acknowledge the CS&S in any materials or media produced in

CS&S Code for Science & Society

Code for Science and Society, Inc. 3439 SE Hawthorne Blvd, #247 Portland, OR 97214-5048

- connection with the funded project and in any public statements made regarding the project, including but not limited to interviews, speeches, press releases, Web site announcements, and publications. Awardees need to use the following language, "This work was funded [in full or in part] by an award from Code for Science & Society"
- 5. The awardee will record expenditures using provided templates to allow CS&S to verify that funds have been used for the purpose described in the Grant Summary. All expenditures must be in accordance with established policies of the project sponsor. These records will be available for review by CS&S up to three years after the end of the award period.
- 6. Substantial changes to the budget of grantee or project sponsor (variances greater than 20% of sub-category amount) require prior approval by the Executive Director at CS&S.
- 7. The awardee will notify CS&S within 30 days of any changes in the project sponsor's status as a nonprofit corporation in good standing under local law or as a tax-exempt public charity under Section 501(c)(3) of the Internal Revenue Code; any changes to the primary or secondary contacts listed in the Grant Summary; loss of other funding, filing of litigation, or a development that has, or could have, an adverse effect on the project sponsor's finances or affect the ability of the awardee to fulfill the purpose of this award or other obligations in the Grant Summary; or any changes to the purpose of the award.
- 8. The awardee will promptly notify CS&S of any challenges that prevent the proposed project from being carried out, and awardee may propose a different project within the scope of the award. These requests require approval from CS&S. If these options are not viable, then funds need to be returned to CS&S.
- 9. The grantee will make materials produced by this grant, including code, documentation, written reports, and other digital outputs available to the public through open-source and open-access licensing.
- 10. The grantee will defend, indemnify and hold CS&S, including its directors, officers and employees, harmless from and against any third party claims, liabilities, losses, damages, and expenses that arise] out of its performance of this Agreement and its performance of this project execution but only in proportion to and to the extent such liability, loss, damages, or expenses are caused by or result from the negligent or intentional acts or omissions of Grantee, its officers, agents, or employees.
- 11. CS&S holds the right to terminate this agreement at any time if the awardee uses the funds for a purpose outside what is stated in the Award Agreement; the awardee makes any misrepresentation in a report or statement made to CS&S; the agreement terminates by reason of grantor action or otherwise. If CS&S takes such action, the awardee will be notified of this effect, with the termination effective 10 days after delivery.
- 12. If either CS&S or the grantee breaches any provision of or obligation under this Grant Agreement, the non-breaching party may provide the breaching party with notice of the breach. If the breaching party fails to cure the breach within 15 days after receiving the notice, the non-breaching party may terminate this agreement effective immediately upon delivery to the breaching party of a written notice delivered as provided in this paragraph.
- 13. The grantee, project sponsor and CS&S are and remain independent parties. The arrangements in this Grant Agreement do not create a partnership, joint venture, employment, fiduciary, or similar relationship. None of the grantee, project sponsor nor



- CS&S has the power or authority to bind or obligate the other to a third party or commitment in any manner.
- 14. The project sponsor certifies that it complies with all applicable laws and regulations, including those regarding anti-discrimination and Equal Employment Opportunity and that it has a policy against discrimination and discriminatory harassment. The project sponsor will ensure that any individual or entity engaged in work associated with this project and Grant Agreement will act in accordance with those laws, regulations, policies and professional norms and ethics.
- 15. <u>Publicity:</u> Neither party will use the name, trade name, trademark or other designation of the other party in connection with any products, promotion, or advertising, without the prior written permission of the other party. However, nothing in this language is intended to restrict either party from disclosing the existence of and nature of this agreement (including the name of the other party) or from including the existence of and nature of this agreement in the routine reporting of its activities.
- 16. <u>Publication: Grantee</u> shall have the right, at its discretion, to release any information or to publish any material resulting from its performance under this Grant.



Award # 1001

Code for Science and Society has authorized an award to Open Collective Foundation, subject to the terms and conditions of this document.

Danielle Robinson, PhD
Executive Director Code for Science & Society

Purpose: To foster the decentralized *garden* by supporting initiatives and projects that have a clear positive social impact, such as civic tech, marginalized communities and science.

Award Amount: \$20,000

Accepted and agreed by Open Collective Foundation

Alanna Irving	Executive Director
Name of Project Sponsor Representative	Title
Alama Irving	09 / 23 / 2021
Signature	Date
Accepted and agreed by	
Diego Paez	Dat Consortium Rep.
Awardee	Title
R	09 / 22 / 2021
Signature	Date



TITLE DAT Ecosystem Fund Award Agreement

FILE NAMEDAT Ecosystem Fund Award Agreement.docxDOCUMENT IDd24a7ee297cdc321d4b5b9cf685855df3235e693

AUDIT TRAIL DATE FORMAT MM / DD / YYYY

STATUS • Completed

Document History

O9 / 22 / 2021 Sent for signature to Diego Paez (diego@geutstudio.com),
14:39:02 UTC Alanna Irving (alanna@opencollective.com) and Danielle

Robinson (danielle@codeforsociety.org) from

page@codeforsociety.org

IP: 71.90.87.187

O 09 / 22 / 2021 Viewed by Diego Paez (diego@geutstudio.com)

VIEWED 14:39:52 UTC IP: 181.231.185.170

SIGNED 18:34:58 UTC IP: 181.231.185.170

O9 / 22 / 2021 Viewed by Alanna Irving (alanna@opencollective.com)

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Completed

Document History

VIEWED

09 / 24 / 2021

18:47:51 UTC

Viewed by Danielle Robinson (danielle@codeforsociety.org)

IP: 67.171.203.28

SIGNED

09 / 24 / 2021

18:48:05 UTC

Signed by Danielle Robinson (danielle@codeforsociety.org)

IP: 67.171.203.28



09 / 24 / 2021

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The document has been completed.