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# **yt-xarray, Facilitating Software Reuse Between Space and Earth Sciences**

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## Abstract

In this presentation, we describe recent efforts to better link two open source Python packages, yt and xarray, in order to improve the ability to use yt with NASA, cloud-hosted datasets. yt provides support for the analysis and visualization of multi-resolution volumetric data. It can read, understand, and process data from dozens of different platforms, including well-used and established astrophysical simulation data formats as well as observational and simulation data from a number of geophysical domains. Xarray is a popular metadata-preserving array library with excellent support for analysis of remotely stored data, particular through its support for cloud-native formats like Zarr. Recent work on both a new yt\_xarray package and core yt has simplified the ability to analyze and visualize geospatial and geophysical datasets loaded with xarray using yt. In this presentation, we describe some of the improvements, including 3D volume rendering with embedded interpolation and use of yt analysis methods with remotely hosted data using xarray as a data backend.

## A quick note on this presentation

A collection of scripts and notebooks reproducing all the figures in this description along with the source code for generating PDF or html renderings of this JupyterBook is available at the following repository:

[https://github.com/data-exp-lab/yt\\_xarray\\_NASA\\_SMD\\_2024](https://github.com/data-exp-lab/yt_xarray_NASA_SMD_2024)

Additionally, a html rendering of this JupyterBook is available at

<https://chrishavlin.github.io/NASASoftwareWorkshop2024>

## 0.1 Introduction

yt is a Open Source Python package for analysis and visualization of volumetric data. It was originally written for analysis of multi-resolution astrophysical simulation outputs and has expanded its use cases into additional domains such as geodynamics, geophysics, weather simulation and engineering. yt can ingest data from a wide range of data structures including AMR grid patches, Octree structures, smoothed-particle hydrodynamic output and unstructured meshes. Additionally, a large portion of the methods in yt are parallelized with MPI and commonly used in HPC systems for analysis of simulation data.

Recent efforts to improve the use of yt in geoscience domains have focused on improving documentation (Havlin et al., 2020, 2021) and improving interoperability with other Python packages which has resulted in the yt\_xarray package. xarray is a popular metadata-preserving array library with support for a large number of file formats including traditional formats like netCDF and HDF but also newer cloud optimized storage solutions like Zarr arrays.

The work presented here describes recent improvements to yt\_xarray, most notably, the introduction of a coordinate transformation framework to simplify the steps required to utilize any of the methods in yt that rely on ray tracing (such as Volume Rendering). We also describe current efforts to leverage Zarr within the yt framework, both indirectly through the xarray backend exposed by yt\_xarray and more directly within yt to access chunked particle data and multi-resolution grid structures.

## 0.2 Overview of yt\_xarray

`yt_xarray` is a package built to facilitate data transfer between `xarray` and `yt`. Its primary implementation is through an `xarray` accessor object, accessible off of any `xarray` dataset object. Given an `xarray` dataset, `ds`, the primary method `ds.yt.load_grid` will load a field or subset of fields from the `xarray` dataset within a wrapping `yt` dataset:

```
import yt_xarray

# load a standard xarray dataset
ds = yt_xarray.open_dataset("path/to/your/dataset.nc") # or xarray.open_dataset
# initialize a yt dataset wrapper
yt_ds = ds.yt.load_grid(fields=(['list', 'of', 'fields']))
```

`yt_xarray` is designed to minimize and delay copying of data where possible. As such, references to the original `xarray` dataset are maintained within the wrapping `yt` dataset and data is only read on-demand. For gridded datasets, `yt` processes grids independently, resulting in a delayed, on-demand read of index ranges in the underlying `xarray` dataset. When that `xarray` dataset is chunked with `Dask` or `Zarr`, the chunks will be contained within `yt` grids and only read on demand.

### 0.2.1 Recent Improvements

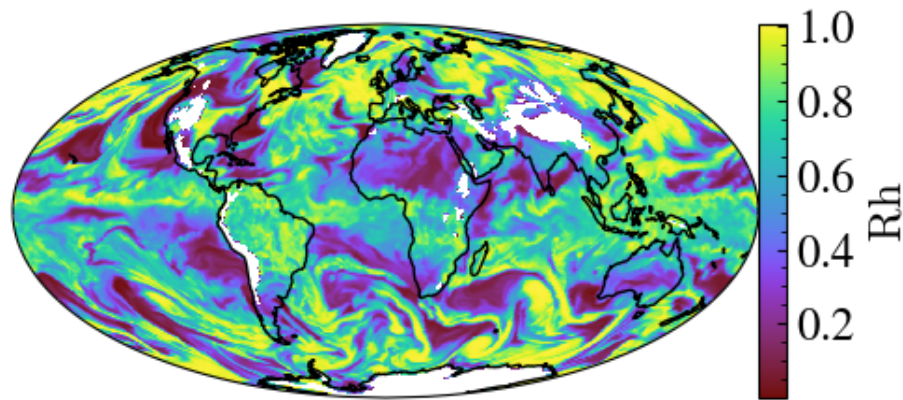
A number of recent updates to both `yt_xarray` and `yt` have simplified using `yt` with `xarray` datasets.

First, a number of functions from the `yt` API have been exposed from within the `yt_xarray.yt` accessor object, including: `SlicePlot`, `ProjectionPlot`, `PhasePlot` and `ProfilePlot`, allowing the user to skip the intermediate step of creating a `yt` dataset object.

The following example creates a slice plot of a MERRA-2 reanalysis file (Global Modeling and Assimilation Office, 2015):

```
import yt_xarray
import cartopy.feature as cfeature
import numpy as np

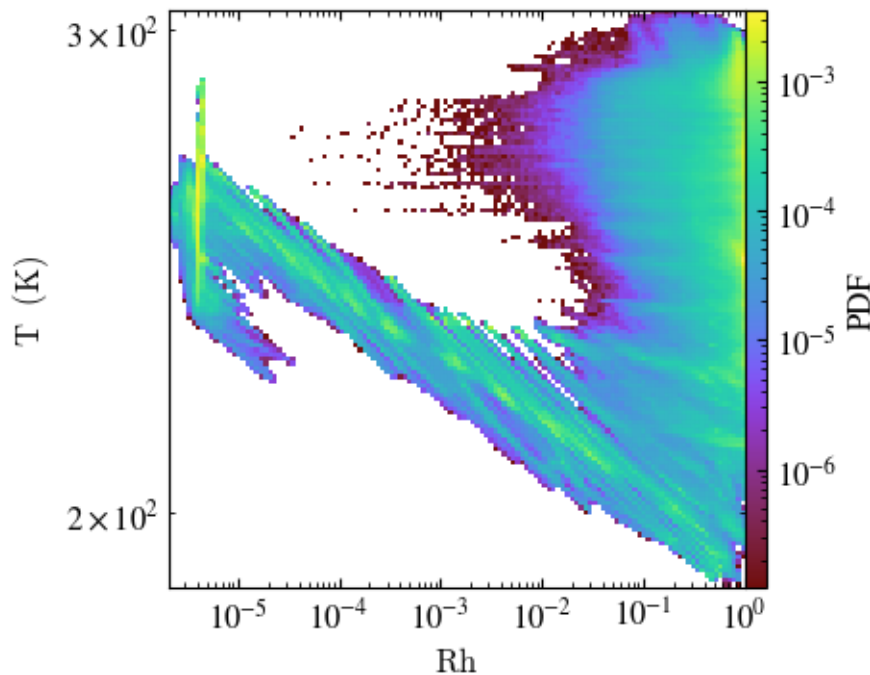
dsx = yt_xarray.open_dataset("sample_nc/MERRA2_100.inst3_3d_asm_Np.19800120.nc4")
dsx0 = dsx.isel({'time':0})
slc = dsx0.yt.SlicePlot('altitude', 'RH', center=(800, 0., 0.))
slc.set_log('RH', False)
slc.render()
slc.plots['RH'].axes.add_feature(cfeature.COASTLINE)
slc.show()
```



The following code creates a `yt.PhasePlot`, a 2D binned statistic plot by binning the temperature (T) and relative humidity (RH) variables across the whole dataset. The 3rd variable is the binned field (in this case an array of ones) and values are summed within bins (by setting `weight_field=None`) and normalized by their totals (`fractional=True`), resulting in a global 2D probability distribution of T vs RH.

```
# add a field for
ones_da = xr.DataArray(np.ones(dsx0.RH.shape), dims=dsx0.RH.dims)
dsx0['ones_field'] = ones_da

pp = dsx0.yt.PhasePlot('RH', 'T', 'ones_field', weight_field=None, fractional=True,
    figure_size=(3,3))
pp.set_colorbar_label('ones_field', 'PDF')
pp.set_font_size(12)
pp.show()
pp.save('slice_images/merra2_phase_plot.png')
```



Within `yt`, there have also been improvements to plot annotations for geographic data (released in `yt` v4.4.0) and in-progress arbitrary cutting planes which will allow cross-section construction (`yt` [PR#4847](#))

### 0.3 Embedded Transformations within `yt_xarray`

In addition to providing methods for creating `yt` datasets that directly reference `xarray` datasets, ongoing development within `yt_xarray` (`yt_xarray` [PR #75](#)) will provide a number of methods for building cartesian `yt` datasets with embedded transformations and interpolation of `xarray` datasets defined in non-cartesian coordinates. This approach provides a convenient way of utilizing `yt` methods that rely on cartesian geometries without having to pre-interpolate data, making reproducible workflows to, for example, generate 3D volume renderings much simpler to make.

The general workflow is

1. open the `xarray` dataset
2. define the transformation from the dataset's native coordinates to cartesian coordinates
3. define the method of interpolation
4. initialize the `yt` dataset

In pseudo-code, the above steps look like:

```
import yt_xarray
from yt_xarray.transformations import GeocentricCartesian, build_interpolated_
    cartesian_ds

ds = yt_xarray.open_dataset(...) # or xr.open_dataset
gc = GeocentricCartesian(...)
ds_yt = build_interpolated_cartesian_ds(ds, gc, ...) # a cartesian yt dataset
```

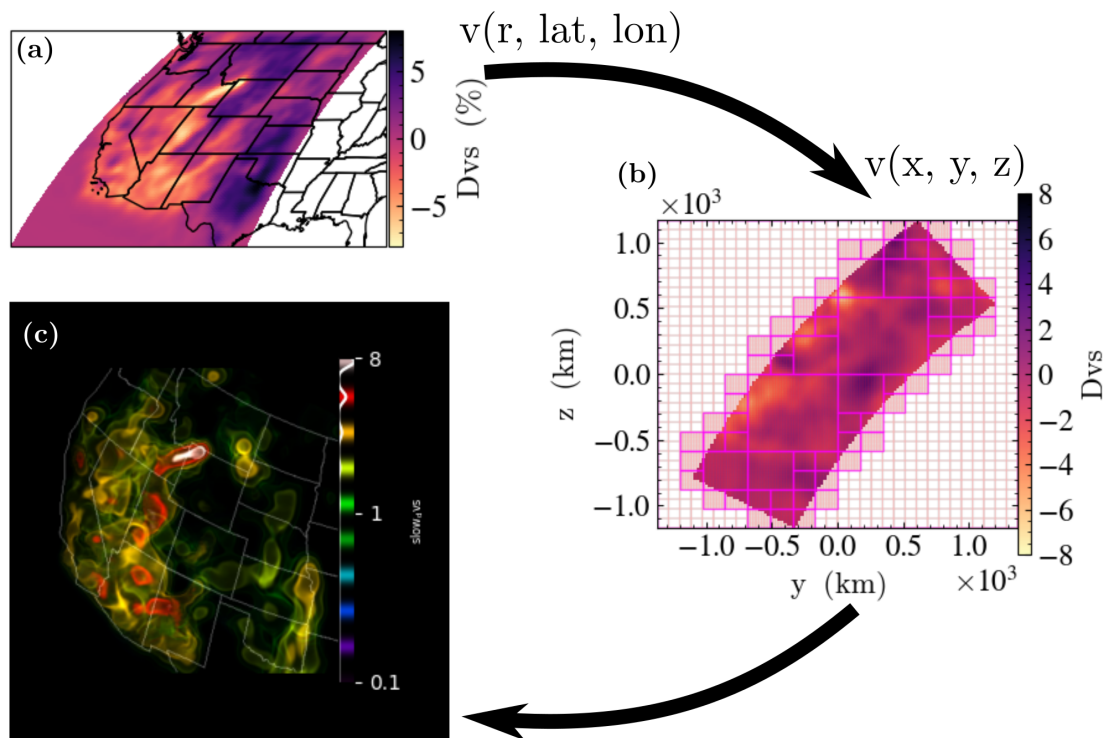
Initially, `build_interpolated_cartesian_ds` only sets up the cartesian grid (or grids) that will be used to wrap the non-cartesian geometry and actual interpolation of the data onto the cartesian grid (or grids) is delayed until `yt` needs the data. During initialization of the `yt` dataset (`build_interpolated_cartesian_ds`, step 4 above), the user can specify parameters that control how the cartesian grid is built. For example, the following pseudo-code:

```
build_interpolated_cartesian_ds(
    ds,
    gc,
    grid_resolution=(16,16,16),
    refine_grid=True,
    refine_by=8,
    refine_min_grid_size=2,
    ...)
```

`grid_resolution` specifies the coarse grid resolution of 16 cells in each dimension. When `refine_grid` is `True`, `yt_xarray` will apply a recursive subdivision of the domain into a number of smaller grids: starting from the coarse grid, the domain is divided in half along each dimension and grid cells are filled with a binary image mask, where cell values are set to 1 if they fall within the bounds of the non-cartesian geometry. Division proceeds recursively, with empty grids being discarded, until the remaining grids satisfy an adjustable fill criteria (or the max number of iterations has been reached). In addition to the recursive bisection, an implementation of the grid refinement algorithm of Berger and Rigoutsos (1991) is available to use by setting `refinement_method='signature_filter'`.

In the following, two examples with real datasets are described, with images from sequential stages of the above transformation workflow along with a final 3D volume rendering. The first example uses a seismic tomography model of the Earth's upper mantle beneath the Western U.S. (Schmandt and Humphreys, 2010), while the second uses a locally downloaded 3D MERRA-2 reanalysis data file (Global Modeling and Assimilation Office, 2015).

### 0.3.1 Volume Rendering Workflow: Seismic Tomography



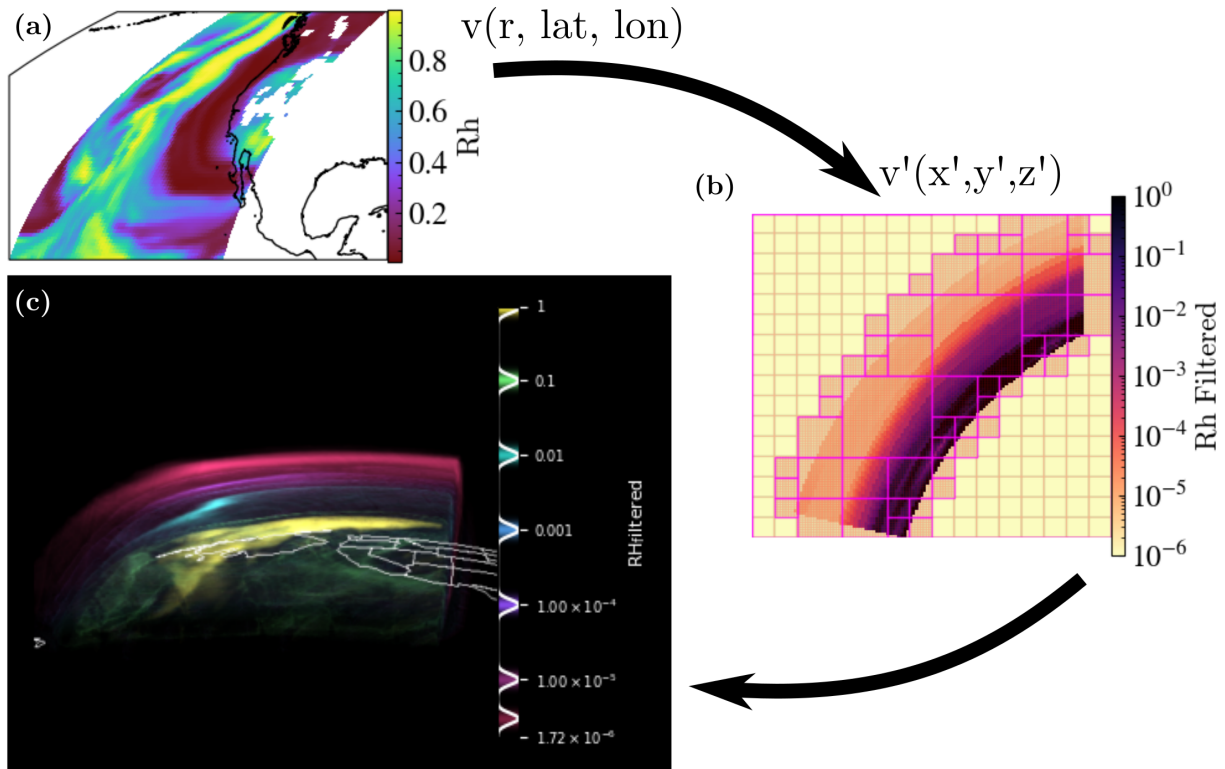
(a) The data is initially loaded with `xarray` in native coordinates which in this case is internal geodetic coordinates (depth beneath the Earth's surface, latitude and longitude). From here, all the usual `xarray` methods apply: the image here is a slice at 100 km depth through shear wave speed anomalies (Dvs), generated in this case with `yt_xarray`'s convenience wrapper of `yt.SlicePlot` (see previous section).

(b) After defining the transformation from geodetic coordinates to cartesian coordinates, a wrapping cartesian grid is refined to generate a cartesian `yt` dataset. This cartesian dataset can be used with any `yt` method. A `yt.SlicePlot` with grid and cell annotations illustrates the refined grid structure: the squares outline in bold represent the edges of grids while fainter lines indicate grid cells. The starting grid here was  $32 \times 32 \times 32$  with a refinement factor of 8, resulting in a dense sampling where there is data in the underlying geometry. In constructing this plot, `yt` will access data only in the grids intersected by the desired cutting plane and grids are processed individually. This means that only a subset of the full dataset is interpolated on-demand, as needed by `yt`.

(c) Once the cartesian `yt` dataset is available, methods in `yt` that rely on cartesian ray tracing are available to use. The image here is a 3D volume rendering of only the slow velocity anomalies (where Dvs is less than 0). The transfer function used here consists of a number of gaussian samples of the data spaced linearly between 0.1 and 8 percent and results in a clear signal of the Yellowstone hot spot track beneath northeast Idaho and northwest Wyoming, where high temperatures and partially molten rock decrease the shear wave speed

### 0.3.2 Volume Rendering Workflow: Atmospheric Geophysics, MERRA-2

The second example demonstrates an example with a 3D atmospheric field using data from MERRA-2. Due to the small radial length scale of the atmosphere compared to the features being rendered, an additional radial scale factor is applied in transforming to virtual cartesian coordinates.

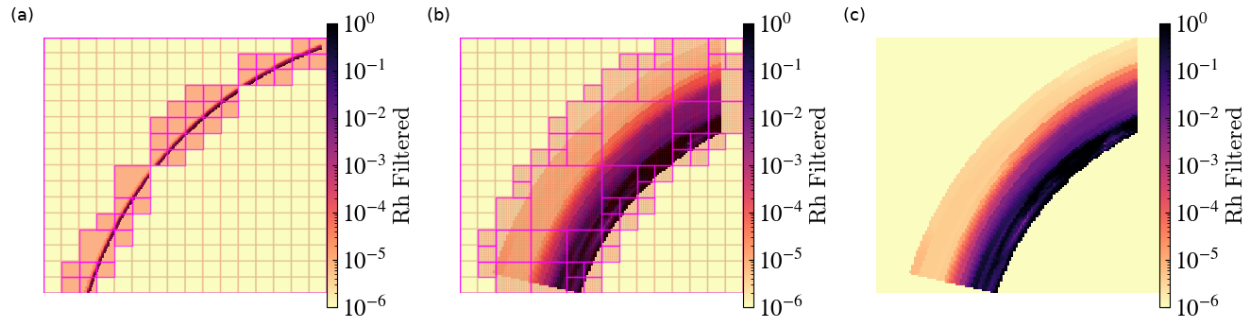


(a) Again, data is initially loaded with `xarray` in native coordinates and a plot of relative humidity (RH) at 800 hPa is made using the `SlicePlot` convenience method.

(b) Defining the transformation in this case is more complicated than the previous case. The data here is defined with dimensions of (pressure level, latitude, longitude) and so an additional transformation from pressure level to altitude is



required. Additionally, the radial scale of the atmosphere is small compared to the longitudinal and latitudinal distances of interest here. If we were to transform to geocentric cartesian coordinates, the atmosphere would be very difficult to resolve, as illustrated in panel (a) of the following image:

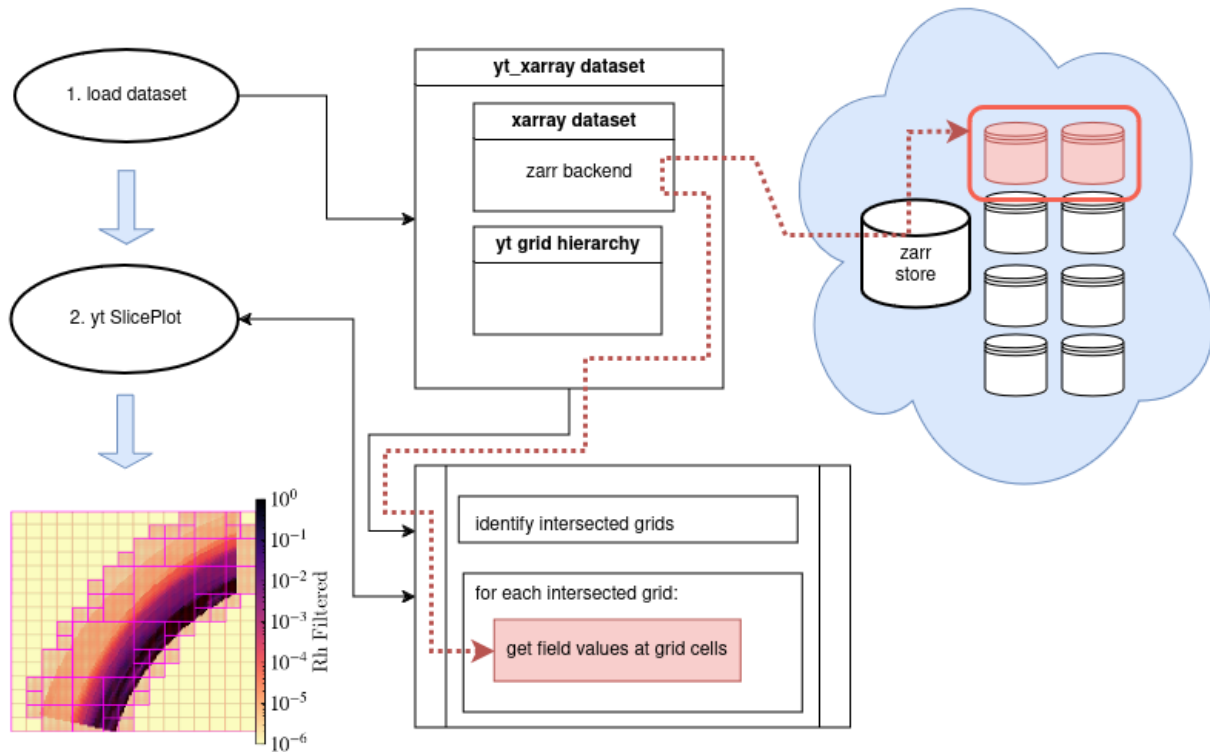


For the purposes of volume rendering, we can overcome this issue by applying a radial scale factor: panel (b) shows a scale factor of 20 and (c) shows the same without the grid annotations. So to create our cartesian `yt` dataset that contains the `xarray` dataset in native geometry, we first must implement a pressure-to-height transformation and then utilize a scaled geodetic to cartesian transformation. Both of these transformations could be contained within a single transformation object, but because this particular MERRA-2 file contains a height variable, the actual implementation can be simplified slightly. In addition to the transformation considerations, the RH field contains missing values identified by NaN values. Because projections in `yt` do not yet handle NaN values well, the interpolation here fills in missing values with a small non-negative number.

(c) Returning to the volume rendering workflow image, once a cartesian `yt` dataset is initialized, we can again utilize the volume rendering methods. In this case, a logarithmic transfer function highlights a number of relative humidity values (within the range of actual data and avoiding the small nonzero filler used for missing values).

**In summary**, the embedded transformation framework within `yt_xarray` also provides convenient access to methods in `yt` that require a dataset in cartesian coordinates. A cartesian grid (or grids if using refinement) is built to wrap the underlying non-cartesian geometry and data is read and interpolated onto the cartesian grid on-demand by chunks using the linked `xarray` dataset.

## 0.4 Utilizing Cloud Native data formats with yt\_xarray



One of the benefits of linking `yt` to `xarray` dynamically is the access to the well-developed methods within `xarray` to work with a range of file types, in particular cloud-native formats like `Zarr` (CITATION). Additionally, because `yt_xarray` is careful to delay data reads until required while maintaining links to the underlying `xarray` dataset, the chunked reading possible with `Zarr` (or `dask` arrays).

The flow chart above illustrates the steps and objects involved in the `yt-xarray-Zarr` workflow. The steps visible to the user are at left: (1) load a dataset, (2) construct a slice plot and then return an image. Behind the scenes, initially loading a `yt_xarray` dataset links the underlying `xarray` dataset within a standard `yt` dataset and initializes the `yt` grid hierarchy. When a `yt` selection method is applied, such as creating a slice plot (or extracting data from a geometric subselection), `yt` first identifies grids within the hierarchy that intersect the selection object. For each grid that is intersected (and only for those intersected), `yt` will fetch data at those grid cells. At this point, `yt` will request data from the underlying `xarray` dataset.

At present, we are focusing on a number of complimentary avenues of development and research to improve analysis of cloud-native data with `yt` and `yt_xarray`. First, we are composite a set of tutorial notebooks demonstrating analysis workflows with `yt_xarray` that utilize subsets of cloud-hosted NASA Earth Observation Data in order to increase awareness and uptake of the current functionality. Additionally, we are investigating approaches to reading `Zarr` files from `yt` for both smoothed-particle hydrodynamics (SPH) simulation output and AMR grid structures.

`yt` can read and process output from a number of smoothed-particle hydrodynamics (SPH) simulations. These SPH simulations commonly store output in HDF files, and `yt` is enabled to read from and process particle data in chunks. While it may be possible to re-format many of these datasets in more cloud-ready formats like `Zarr`, it is also possible to obtain performant reads of existing cloud-hosted HDF files by using adding a simple `fsspec` metadata file that describes the HDF file and subsequently loading a `fsspec` mapping object with `Zarr` (Signell, 2020). This approach should work well within `yt`'s SPH data readers and allow an immediate avenue to utilizing cloud-hosted data for `yt` operations that subselect data without needing to change existing output formats.

In addition to particle-base data `yt` can ingest multi-resolution gridded data stored in grid patches of variable refinement as well as octree structures and we are investigating ways of representing such AMR structures within the Zarr framework. The OME-Zarr format was designed to store multi-resolution pyramidal image data (Moore et al, 2023) and has overlap with the goals here and initial experiments embedding a gridded `yt` dataset within the napari experimental Generative Zarr reader showed significant promise (Havlin, 2023). But pyramidal image structures differ from AMR structures in that AMR structures do not necessarily contain data for every level of refinement at every position and so representing the potential sparseness within Zarr requires additional considerations. We are currently exploring the use of both ragged array and awkward array structures to store AMR hierarchies, both of which have recent or in-progress Zarr representations.

## 0.5 Summary

In summary

## 0.6 References

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### 0.6.1 Package References

xarray: <https://docs.xarray.dev>

yt : <https://yt-project.org/>

yt\_xarray : <https://yt-xarray.readthedocs.io>

Zarr: <https://zarr.dev/>

Dask: <https://www.dask.org/>