## Transformers-3

the sequence continues ...

## Today

- Through DECODERs, and ENCODERs
  - Attention!
    - With it's nuances
  - Associated engineering
- Transformer IMPLEMENTATION!

## Evolution

- From RNNs
- BERT, RoBERTa, .....

### Attention!

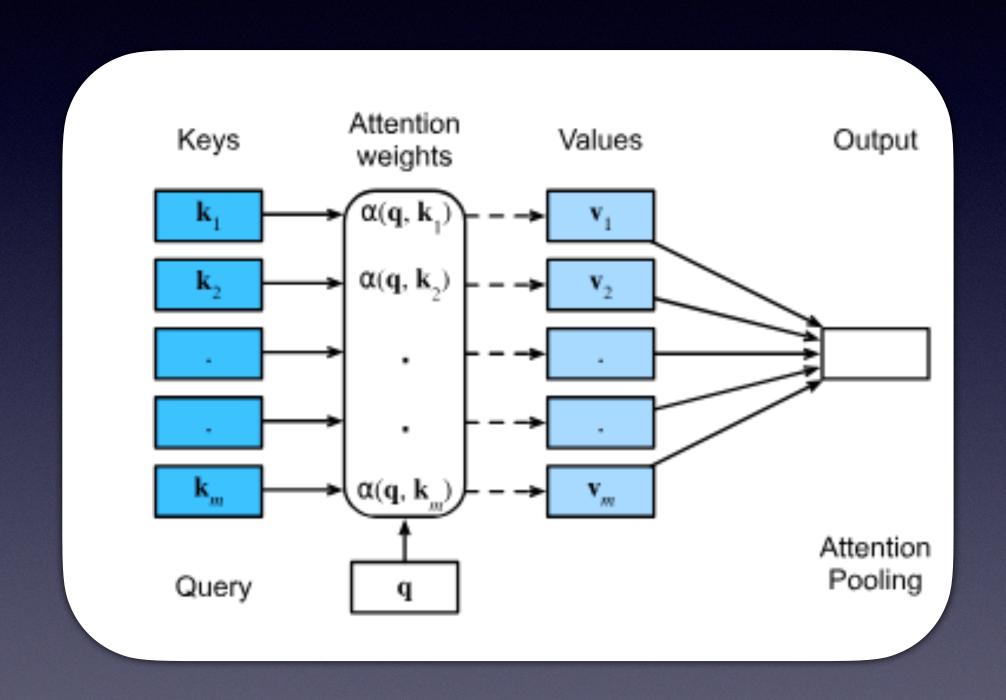
- The core idea in Transformers
- Original encoder-decoder RNNs, for seq-to-seq tasks

## Attention: (Q,K,V) from?

- Our attention is differentiable
- Many scoring functions
  - Can be learnt as well (Bahdanau)
  - Dot Product attention

#### Attention

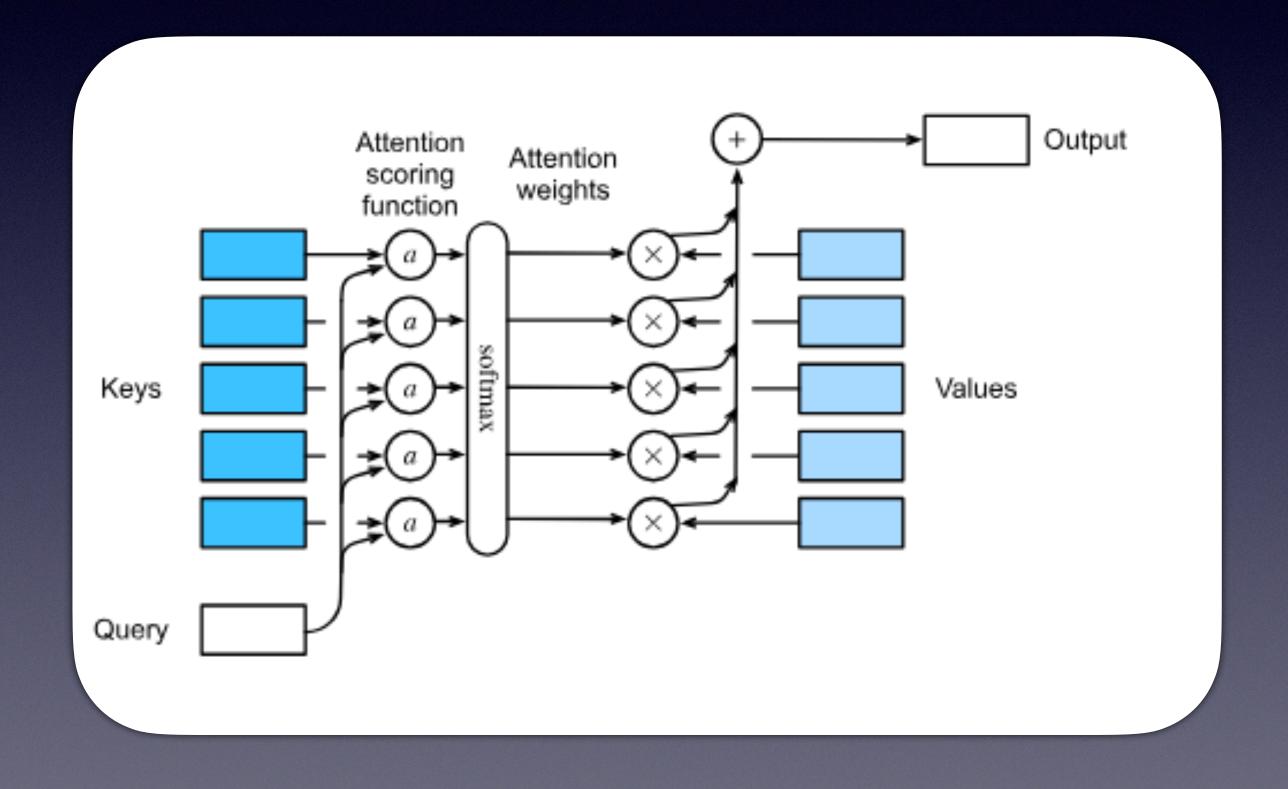
Cal fans erupted into cheers as Daniel Villaseñor, Cal CEE sophomore, nailed a 33-yard field goal on ESPN College GameDay



- It is an *intuition*, and *just* an intuition:)
- SELF Attention

# Scoring (Softmax)

$$\alpha(\mathbf{q}, \mathbf{k}_i) = \operatorname{softmax}(a(\mathbf{q}, \mathbf{k}_i)) = \frac{\exp(\mathbf{q}^{\mathsf{T}} \mathbf{k}_i / \sqrt{d})}{\sum_{j=1} \exp(\mathbf{q}^{\mathsf{T}} \mathbf{k}_j / \sqrt{d})}.$$



## Attention Computation: Engineering

- Valid Lengths
- Batch Matrix Multiplication BMM
- Masked Softmax

$$\mathbf{Q} = [\mathbf{Q}_1, \mathbf{Q}_2, \dots, \mathbf{Q}_n] \in \mathbb{R}^{n \times a \times b},$$
$$\mathbf{K} = [\mathbf{K}_1, \mathbf{K}_2, \dots, \mathbf{K}_n] \in \mathbb{R}^{n \times b \times c}.$$

$$\mathrm{BMM}(\mathbf{Q},\mathbf{K}) = [\mathbf{Q}_1\mathbf{K}_1,\mathbf{Q}_2\mathbf{K}_2,\ldots,\mathbf{Q}_n\mathbf{K}_n] \in \mathbb{R}^{n \times a \times c}$$

- **n**: The batch size or number of matrices (or sequences) being processed.
- **a**: The number of queries in each batch.
- **b**: The size of the key vector (the dimension of the queries and keys, which are the same in self-attention).
- **c**: The size of the value vector (or output dimension)

#### Dot Product Attention

```
class DotProductAttention(nn.Module):
    def __init__(self, dropout):
        super().__init__()
        self.dropout = nn.Dropout(dropout)

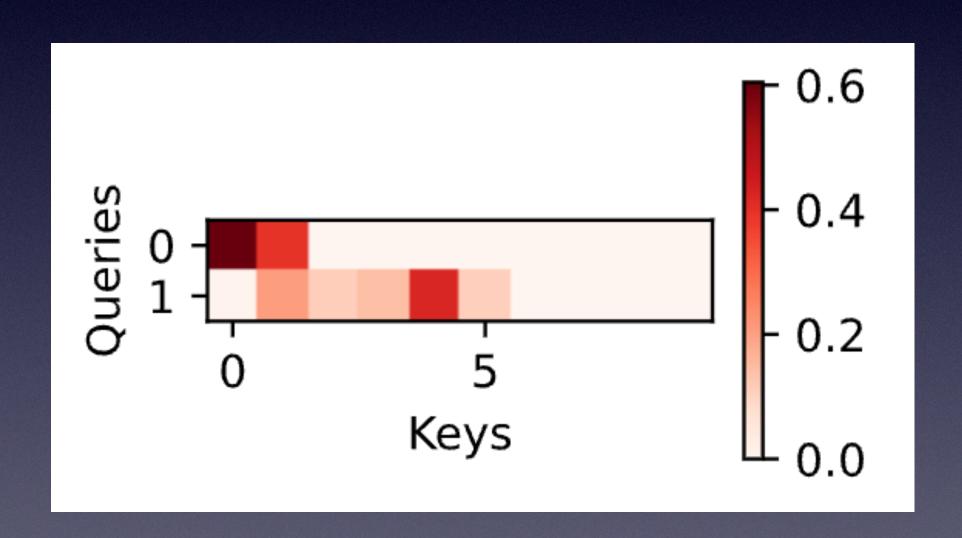
# Shape of queries: (batch_size, no. of queries, d)
    # Shape of keys: (batch_size, no. of key-value pairs, d)
# Shape of values: (batch_size, no. of key-value pairs, value dimension)
# Shape of valid_lens: (batch_size,) or (batch_size, no. of queries)
def forward(self, queries, keys, values, valid_lens=None):
    d = queries.shape[-1]
    # Swap the last two dimensions of keys with keys.transpose(1, 2)

scores = torch.bmm(queries, keys.transpose(1, 2)) / math.sqrt(d)
self.attention_weights = masked_softmax(scores, valid_lens)

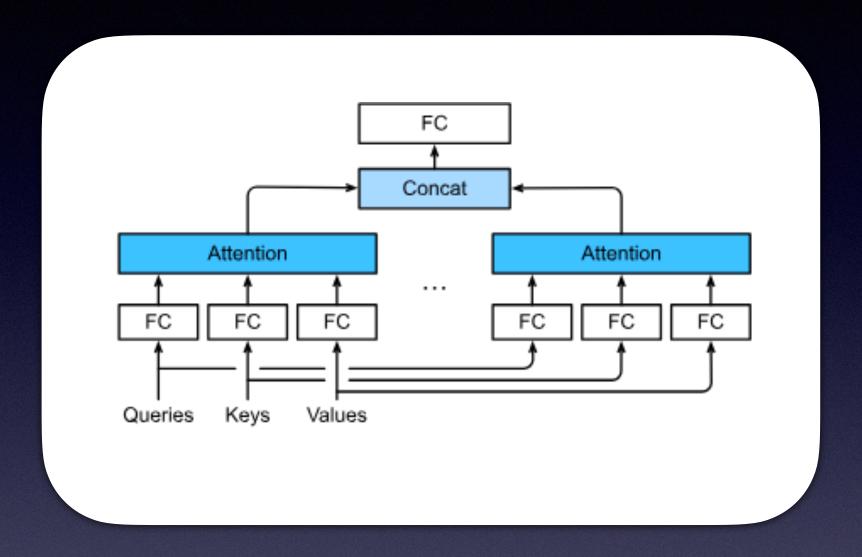
return torch.bmm(self.dropout(self.attention weights), values)
```

```
\alpha(\mathbf{q}, \mathbf{k}_i) = \operatorname{softmax}(a(\mathbf{q}, \mathbf{k}_i)) = \frac{\exp(\mathbf{q}^{\mathsf{T}} \mathbf{k}_i / \sqrt{d})}{\sum_{j=1} \exp(\mathbf{q}^{\mathsf{T}} \mathbf{k}_j / \sqrt{d})}
```

# Heatmap



#### Multi Head Attention

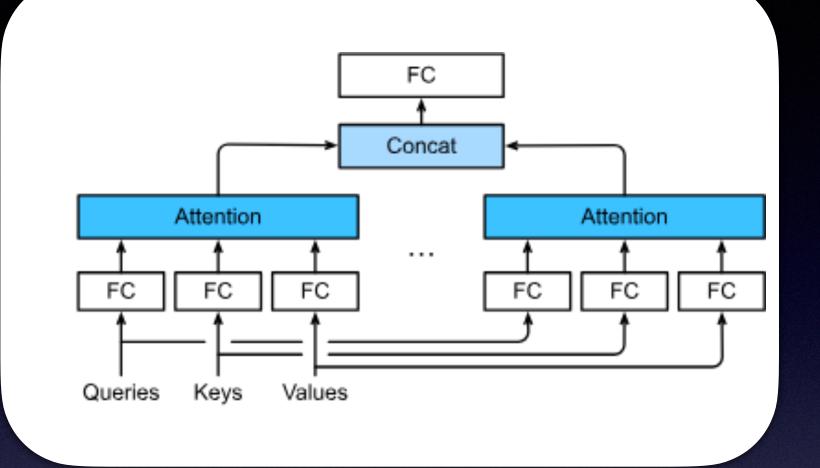


Why do we need multiple heads?

Cal fans erupted into cheers as Daniel Villaseñor, Cal CEE sophomore, nailed a 33-yard field goal on ESPN College GameDay

#### Multi Head Attention

```
class MultiHeadAttention(d21.Module):
   def init (self, num hiddens, num heads, dropout, bias=False, **kwargs):
       super(). init ()
       self.num heads = num heads
       self.attention = d21.DotProductAttention(dropout)
       self.W q = nn.LazyLinear(num hiddens, bias=bias)
       self.W k = nn.LazyLinear(num hiddens, bias=bias)
       self.W v = nn.LazyLinear(num hiddens, bias=bias)
       self.W o = nn.LazyLinear(num hiddens, bias=bias)
   def forward(self, queries, keys, values, valid_lens):
       # Shape of queries, keys, or values:
       # (batch size, no. of queries or key-value pairs, num hiddens)
       # Shape of valid lens: (batch size,) or (batch size, no. of queries)
       # After transposing, shape of output queries, keys, or values:
       # (batch_size * num_heads, no. of queries or key-value pairs,
       # num hiddens / num heads)
       queries = self.transpose qkv(self.W q(queries))
       keys = self.transpose qkv(self.W k(keys))
       values = self.transpose_qkv(self.W_v(values))
       if valid lens is not None:
           # On axis 0, copy the first item (scalar or vector) for num heads
           # times, then copy the next item, and so on
           valid lens = torch.repeat interleave(
               valid lens, repeats=self.num heads, dim=0)
       # Shape of output: (batch_size * num_heads, no. of queries,
       # num hiddens / num heads)
       output = self.attention(queries, keys, values, valid_lens)
       # Shape of output concat: (batch size, no. of queries, num hiddens)
       output_concat = self.transpose output(output)
       return self.w o(output concat)
```



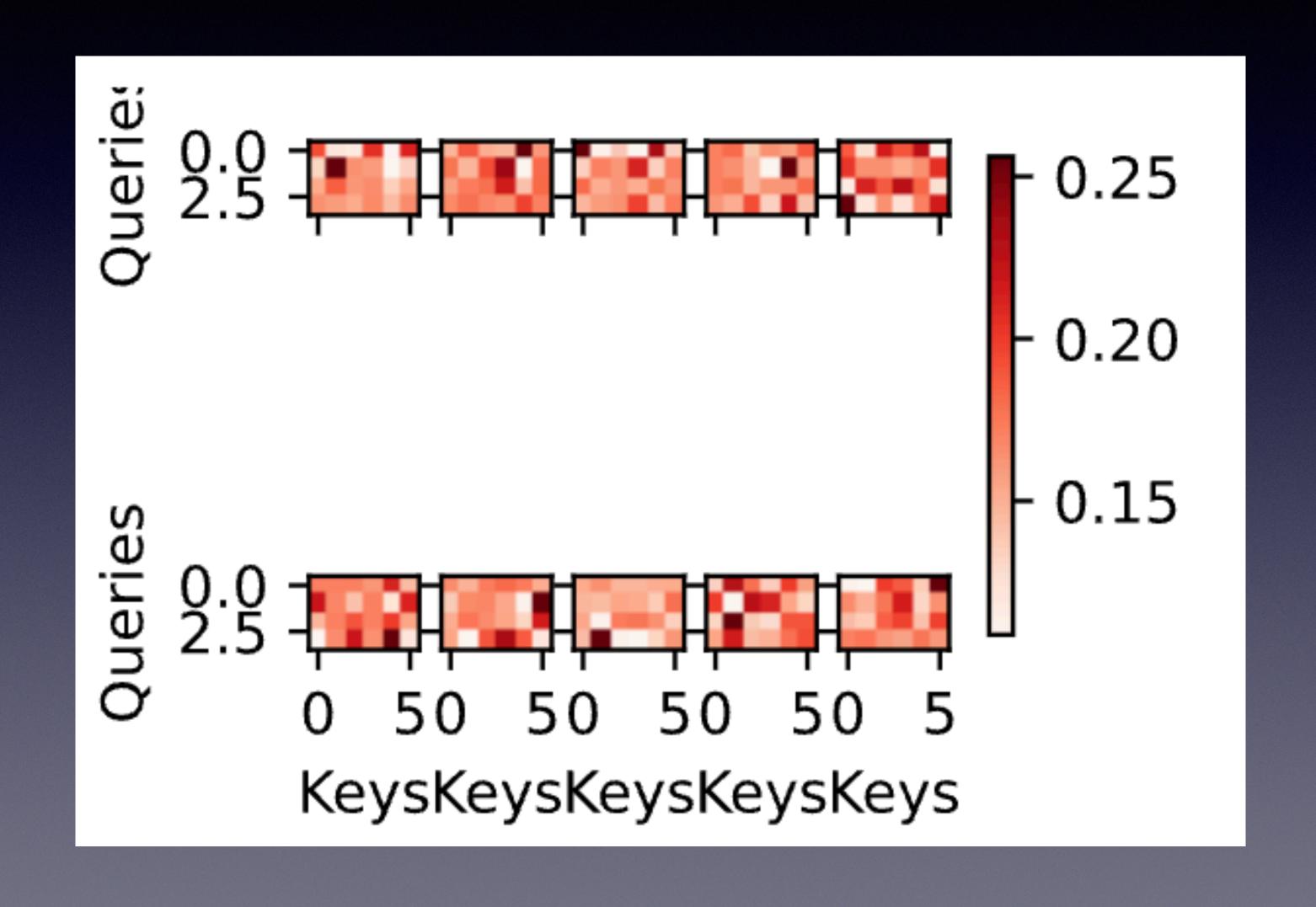
split

concatenate

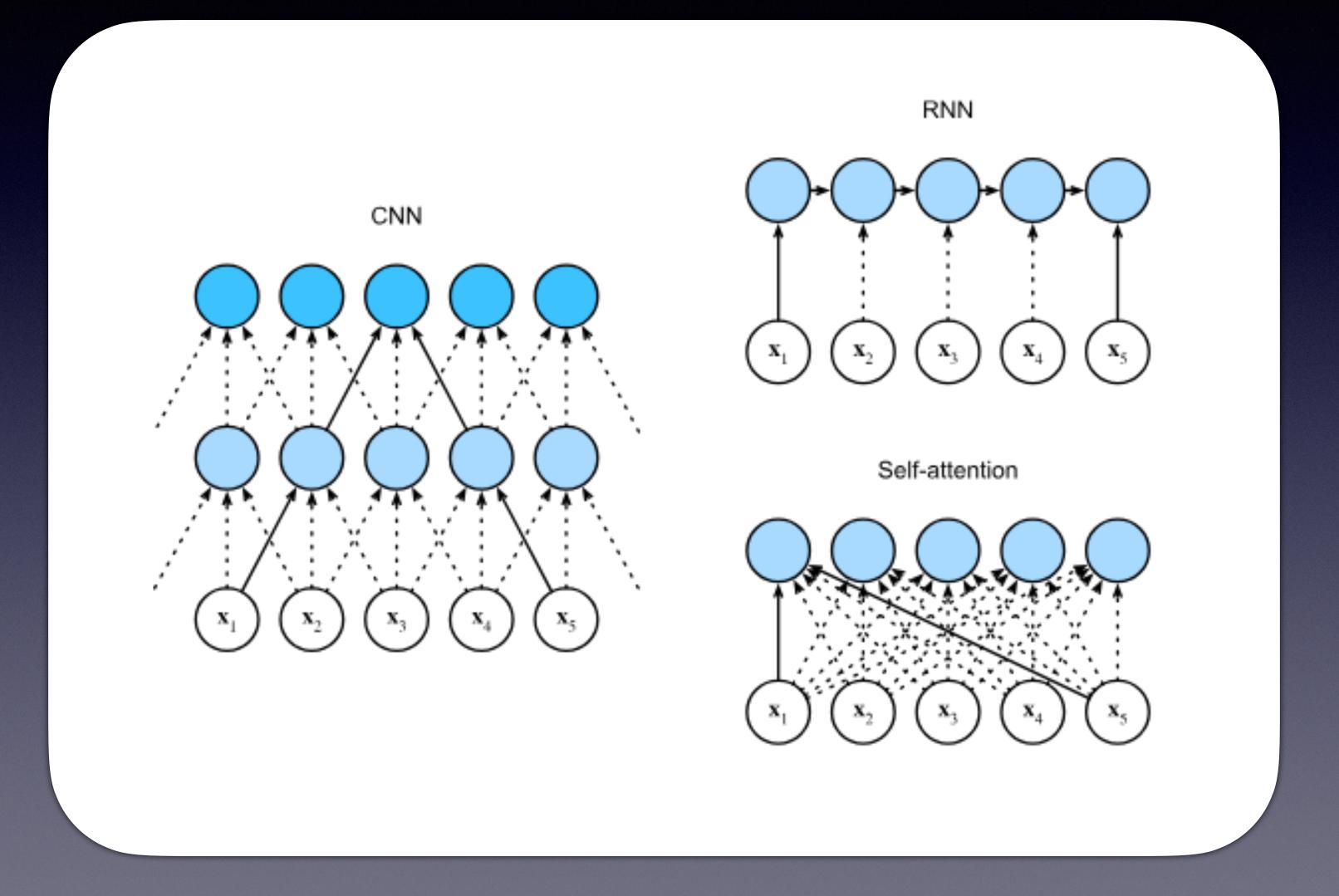
## transpose\_qkv

```
@d21.add to class(MultiHeadAttention)
def transpose qkv(self, X):
    # Shape of input X: (batch size, no. of queries or key-value pairs,
    # num hiddens). Shape of output X: (batch size, no. of queries or
    # key-value pairs, num heads, num hiddens / num heads)
    X = X.reshape(X.shape[0], X.shape[1], self.num_heads, -1)
    # Shape of output X: (batch size, num heads, no. of queries or key-value
    # pairs, num hiddens / num heads)
    X = X.permute(0, 2, 1, 3)
    # Shape of output: (batch size * num heads, no. of queries or key-value
    # pairs, num hiddens / num heads)
    return X.reshape(-1, X.shape[2], X.shape[3])
@d21.add to class(MultiHeadAttention)
def transpose output(self, X):
    X = X.reshape(-1, self.num heads, X.shape[1], X.shape[2])
    X = X.permute(0, 2, 1, 3)
    return X.reshape(X.shape[0], X.shape[1], -1)
```

## Multi Head



# Comparing self attention

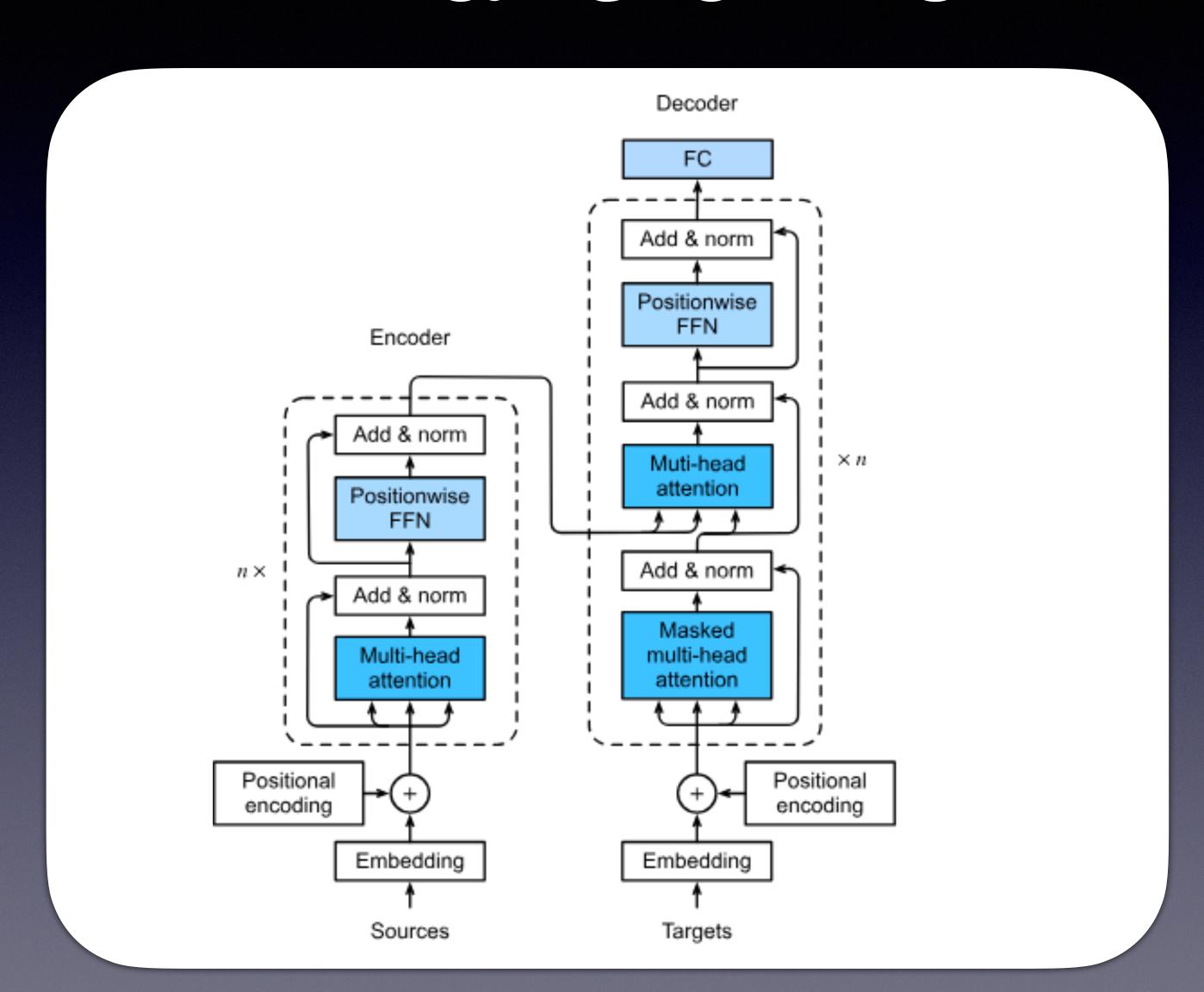


### Self Attention & Positional Encoding

## Additional

- Add & Norm
- PositionwiseFFN

### Transformer



#### Positionwise FFN

```
class PositionWiseFFN(nn.Module):
    def __init__(self, ffn_num_hiddens, ffn_num_outputs):
        super().__init__()
        self.dense1 = nn.LazyLinear(ffn_num_hiddens)
        self.relu = nn.ReLU()
        self.dense2 = nn.LazyLinear(ffn_num_outputs)

    def forward(self, X):
        return self.dense2(self.relu(self.dense1(X)))
```

- Non-linearity, dimensionality transformation
- After self-attention
- FFN introduces non-linear transformations through ReLU
- projects the embeddings into a different dimensional space. This allows the model to learn more complex relationships between tokens beyond the linear attention mechanism.
- Independent token processing: FFN applied independently at each position in the sequence

#### Encoder Block

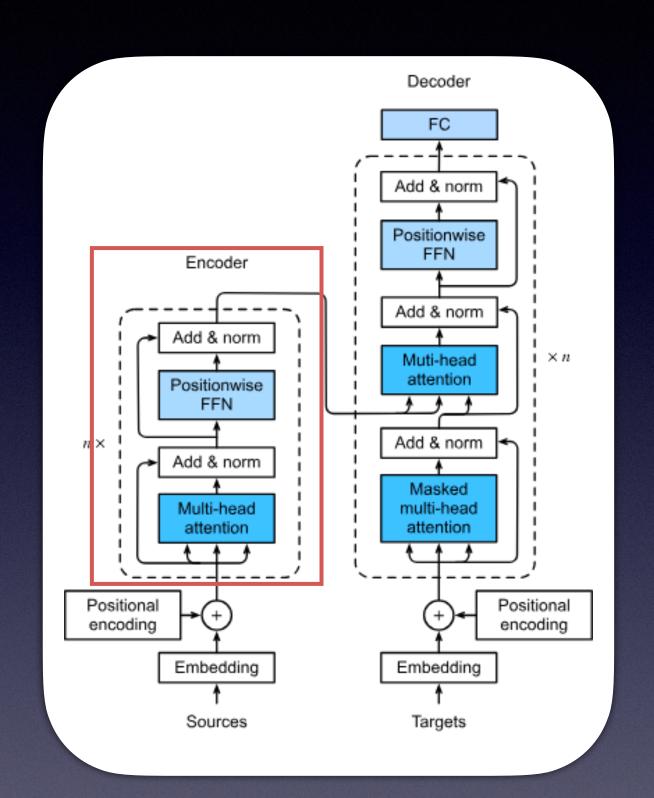
```
class TransformerEncoderBlock(nn.Module):
    def __init__(self, num_hiddens, ffn_num_hiddens, num_heads, dropout, use_bias=False):
        super().__init__()

    self.attention = d21.MultiHeadAttention(num_hiddens, num_heads,dropout, use_bias)
        self.addnorm1 = AddNorm(num_hiddens, dropout)
        self.ffn = PositionWiseFFN(ffn_num_hiddens, num_hiddens)
        self.addnorm2 = AddNorm(num_hiddens, dropout)

def forward(self, X, valid_lens):

    Y = self.addnorm1(X, self.attention(X, X, X, valid_lens))

    return self.addnorm2(Y, self.ffn(Y))
```

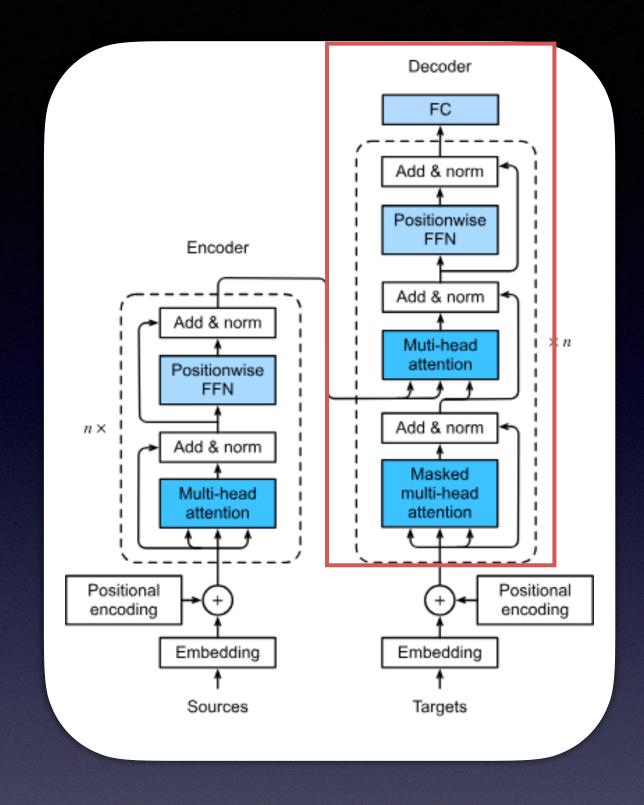


#### Encoder

```
class TransformerEncoder(d21.Encoder):
   def __init__(self, vocab_size, num_hiddens, ffn_num_hiddens,
                 num heads, num blks, dropout, use bias=False):
       super().__init__()
       self.num hiddens = num hiddens
       self.embedding = nn.Embedding(vocab size, num hiddens)
       self.pos_encoding = d21.PositionalEncoding(num_hiddens, dropout)
       self.blks = nn.Sequential()
       for i in range(num blks):
           self.blks.add module("block"+str(i), TransformerEncoderBlock(
                num hiddens, ffn num hiddens, num heads, dropout, use bias))
   def forward(self, X, valid_lens):
       # Since positional encoding values are between -1 and 1, the embedding
       # values are multiplied by the square root of the embedding dimension
       # to rescale before they are summed up
       X = self.pos encoding(self.embedding(X) * math.sqrt(self.num hiddens))
       self.attention weights = [None] * len(self.blks)
       for i, blk in enumerate(self.blks):
           X = blk(X, valid lens)
           self.attention weights[i] = blk.attention.attention.attention weights
       return X
```

#### Decoder Block

```
class TransformerDecoderBlock(nn.Module):
   # The i-th block in the Transformer decoder
   def __init__(self, num_hiddens, ffn_num_hiddens, num_heads, dropout, i):
       super(). init ()
       self.i = i
       self.attention1 = d2l.MultiHeadAttention(num_hiddens, num_heads, dropout)
       self.addnorm1 = AddNorm(num hiddens, dropout)
       self.attention2 = d2l.MultiHeadAttention(num_hiddens, num_heads, dropout)
       self.addnorm2 = AddNorm(num_hiddens, dropout)
       self.ffn = PositionWiseFFN(ffn_num_hiddens, num_hiddens)
       self.addnorm3 = AddNorm(num hiddens, dropout)
   def forward(self, X, state):
       enc_outputs, enc_valid_lens = state[0], state[1]
       # During training, all the tokens of any output sequence are processed
       # at the same time, so state[2][self.i] is None as initialized. When
       # decoding any output sequence token by token during prediction,
       # state[2][self.i] contains representations of the decoded output at
       # the i-th block up to the current time step
       if state[2][self.i] is None:
           key_values = X
        else:
            key_values = torch.cat((state[2][self.i], X), dim=1)
       state[2][self.i] = key_values
       if self.training:
            batch_size, num_steps, _ = X.shape
           # Shape of dec_valid_lens: (batch_size, num_steps), where every
           # row is [1, 2, ..., num_steps]
           dec_valid_lens = torch.arange(
               1, num_steps + 1, device=X.device).repeat(batch_size, 1)
        else:
           dec_valid_lens = None
        # Self-attention
       X2 = self.attention1(X, key_values, key_values, dec_valid_lens)
       Y = self.addnorm1(X, X2)
       # Encoder-decoder attention. Shape of enc_outputs:
       # (batch size, num steps, num hiddens)
       Y2 = self.attention2(Y, enc_outputs, enc_outputs, enc_valid_lens)
       Z = self.addnorm2(Y, Y2)
       return self.addnorm3(Z, self.ffn(Z)), state
```



#### • Self-Attention

- During **training**, all tokens are processed simultaneously.
- During inference, tokens are generated one by one, and the model attends to previously generated tokens.
- The key-values are updated by concatenating the previously generated tokens (state[2][self.i]) with the current input X.
- Cross-Attention: After self-attention, model attends to the *encoder's* output (enc\_outputs)
  - •to refine its understanding of the input sequence for generating output tokens.
- **State**: state[2][self.i] stores the sequence of generated tokens at the current block for future steps, allowing autoregressive generation. During inference, the key\_values are built step by step.

#### Decoder

```
class TransformerDecoder(d21.AttentionDecoder):
   def __init__(self, vocab_size, num_hiddens, ffn num hiddens, num heads,
                 num blks, dropout):
       super(). init ()
       self.num hiddens = num hiddens
       self.num blks = num blks
       self.embedding = nn.Embedding(vocab_size, num_hiddens)
       self.pos_encoding = d21.PositionalEncoding(num_hiddens, dropout)
       self.blks = nn.Sequential()
       for i in range(num blks):
            self.blks.add module("block"+str(i), TransformerDecoderBlock(
               num hiddens, ffn num hiddens, num heads, dropout, i))
       self.dense = nn.LazyLinear(vocab size)
   def init_state(self, enc_outputs, enc_valid_lens):
       return [enc outputs, enc_valid_lens, [None] * self.num_blks]
   def forward(self, X, state):
       X = self.pos encoding(self.embedding(X) * math.sqrt(self.num hiddens))
       self. attention weights = [[None] * len(self.blks) for in range (2)]
        for i, blk in enumerate(self.blks):
           X, state = blk(X, state)
           # Decoder self-attention weights
           self._attention_weights[0][i] = blk.attention1.attention.attention_weights
           # Encoder-decoder attention weights
            self. attention weights[1][i] = blk.attention2.attention.attention weights
       return self.dense(X), state
   @property
```

def attention weights(self):

return self.\_attention\_weights

#### **Forward Method:**

- Passes input through embeddings, positional encoding, and the decoder blocks.
- Captures attention weights from both selfattention (attention1) and encoder-decoder attention (attention2)

#### **Output Layer:**

 After passing through all decoder blocks final output passed to a dense layer (map to vocal)

### Decoder

 Does the number of blocks have to be equal for Encoder and Decoder?

## Training

#### Translation

```
engs = ['go .', 'i lost .', 'he\'s calm .', 'i\'m home .']
fras = ['va !', 'j\'ai perdu .', 'il est calme .', 'je suis chez moi .']
preds, _ = model.predict_step(
    data.build(engs, fras), d2l.try_gpu(), data.num_steps)
for en, fr, p in zip(engs, fras, preds):
    translation = []
    for token in data.tgt_vocab.to_tokens(p):
        if token == '<eos>':
            break
        translation.append(token)
    print(f'{en} => {translation}, bleu,'
            f'{d2l.bleu(" ".join(translation), fr, k=2):.3f}')
```