

# Transformers-3

the sequence continues ...



# Today

- Through DECODERs, and ENCODERs
  - Attention !
    - With it's nuances
  - Associated engineering
- Transformer IMPLEMENTATION !



# Evolution

- From RNNs
- BERT, RoBERTa, .....



# Attention !

- The core idea in Transformers
- Original encoder-decoder RNNs, for seq-to-seq tasks



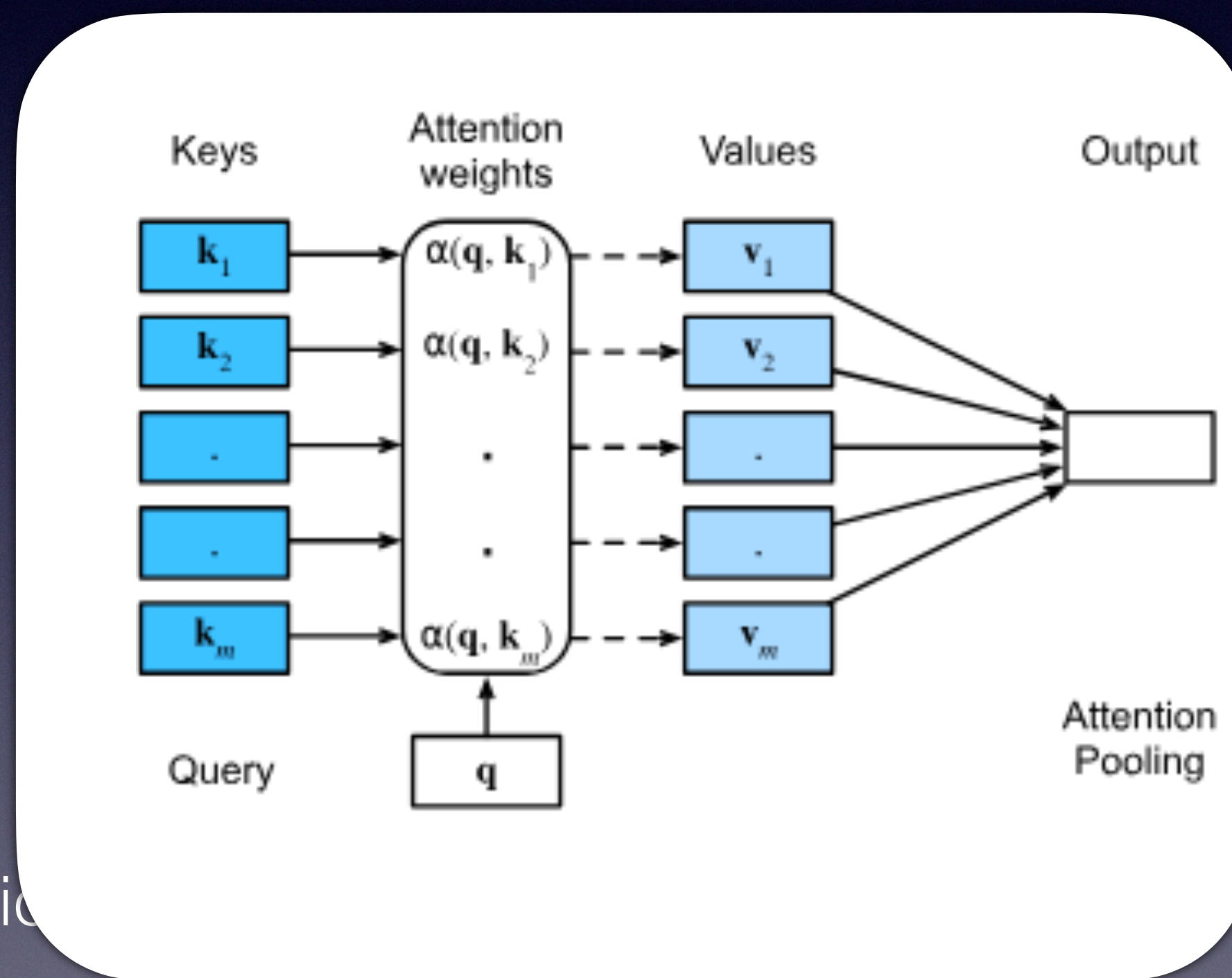
# Attention: (Q,K,V) from ?

- Our attention is *differentiable*
- Many scoring functions
  - Can be learnt as well (Bahdanau)
  - Dot Product attention



# Attention Recap

Cal fans erupted into cheers as Daniel Villaseñor, Cal CEE sophomore, nailed a 33-yard field goal on ESPN College GameDay

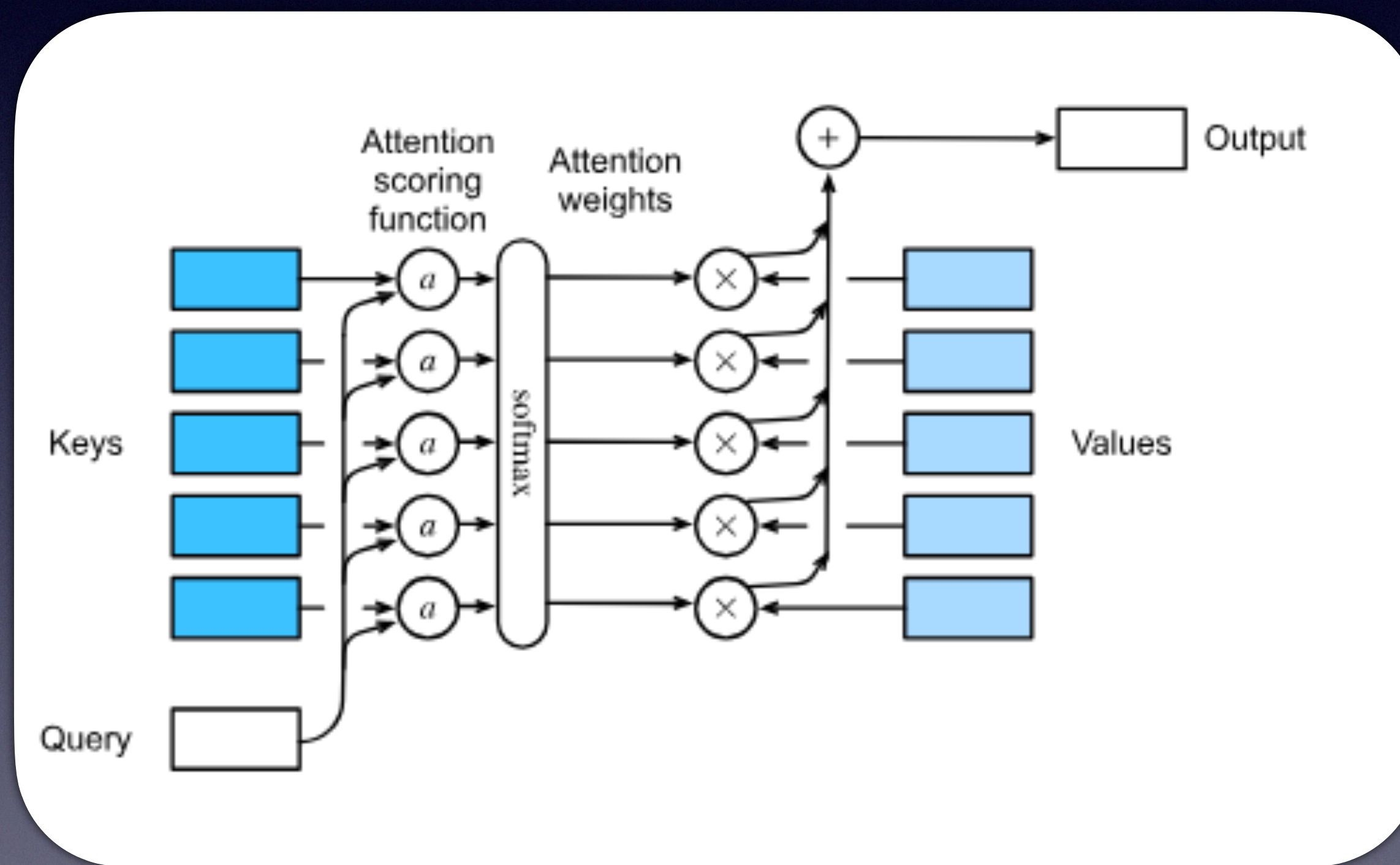


- [[Formulae]]
- It is an intuition, and just an intuition
- SELF Attention



# Scoring (Softmax)

$$\alpha(\mathbf{q}, \mathbf{k}_i) = \text{softmax}(a(\mathbf{q}, \mathbf{k}_i)) = \frac{\exp(\mathbf{q}^\top \mathbf{k}_i / \sqrt{d})}{\sum_{j=1} \exp(\mathbf{q}^\top \mathbf{k}_j / \sqrt{d})}$$





# Engineering

- Valid Lengths
- Batch matrix Multiplication BMM
- Masked Softmax

$$\begin{aligned} \mathbf{Q} &= [\mathbf{Q}_1, \mathbf{Q}_2, \dots, \mathbf{Q}_n] \in \mathbb{R}^{n \times a \times b}, \\ \mathbf{K} &= [\mathbf{K}_1, \mathbf{K}_2, \dots, \mathbf{K}_n] \in \mathbb{R}^{n \times b \times c}. \end{aligned}$$

$$\text{BMM}(\mathbf{Q}, \mathbf{K}) = [\mathbf{Q}_1 \mathbf{K}_1, \mathbf{Q}_2 \mathbf{K}_2, \dots, \mathbf{Q}_n \mathbf{K}_n] \in \mathbb{R}^{n \times a \times c}.$$



# Dot Product Attention

```
class DotProductAttention(nn.Module):
    def __init__(self, dropout):
        super().__init__()
        self.dropout = nn.Dropout(dropout)

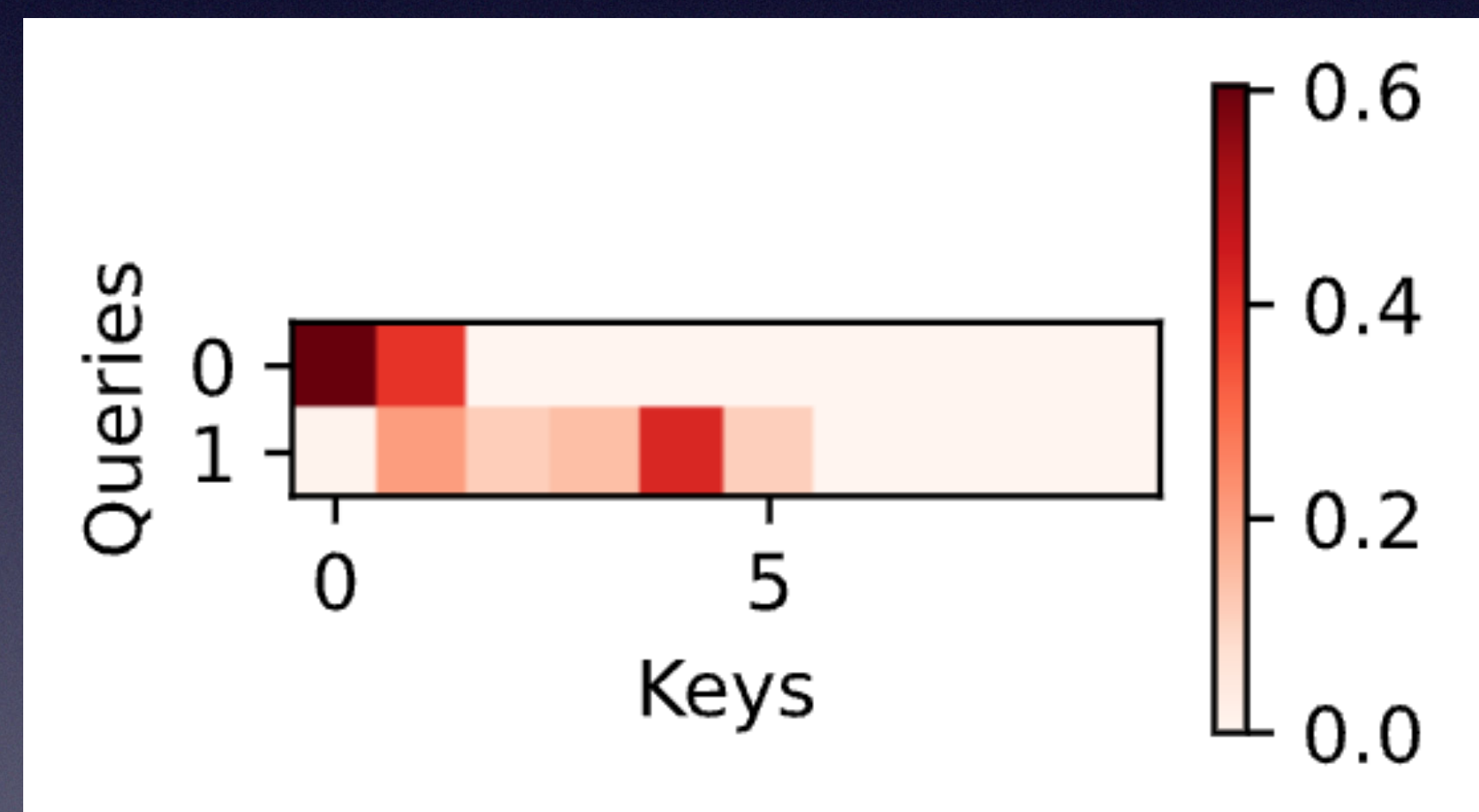
    # Shape of queries: (batch_size, no. of queries, d)
    # Shape of keys: (batch_size, no. of key-value pairs, d)
    # Shape of values: (batch_size, no. of key-value pairs, value dimension)
    # Shape of valid_lens: (batch_size,) or (batch_size, no. of queries)
    def forward(self, queries, keys, values, valid_lens=None):
        d = queries.shape[-1]
        # Swap the last two dimensions of keys with keys.transpose(1, 2)

        scores = torch.bmm(queries, keys.transpose(1, 2)) / math.sqrt(d)
        self.attention_weights = masked_softmax(scores, valid_lens)

        return torch.bmm(self.dropout(self.attention_weights), values)
```

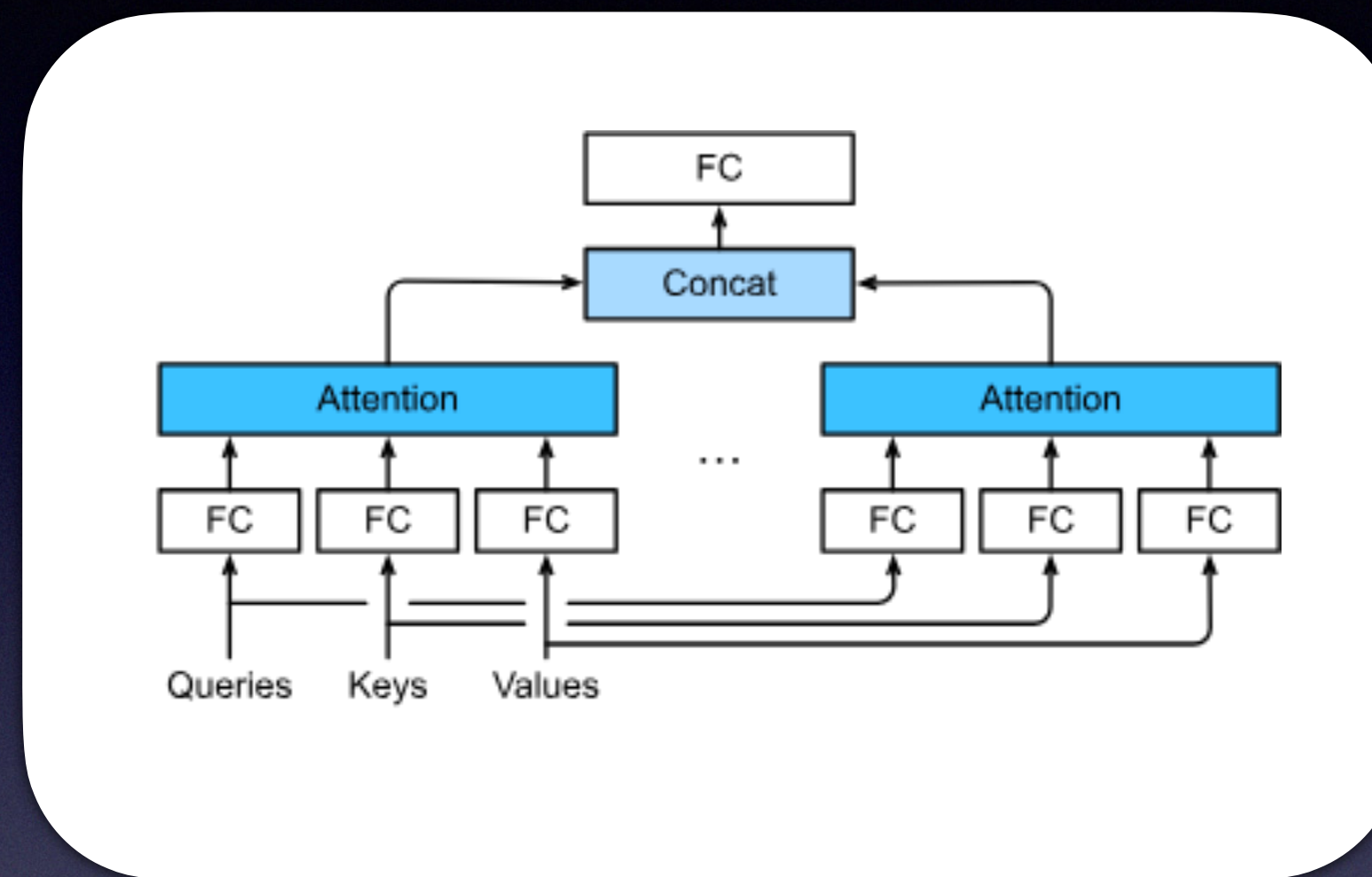
$$\alpha(\mathbf{q}, \mathbf{k}_i) = \text{softmax}(a(\mathbf{q}, \mathbf{k}_i)) = \frac{\exp(\mathbf{q}^\top \mathbf{k}_i / \sqrt{d})}{\sum_{j=1} \exp(\mathbf{q}^\top \mathbf{k}_j / \sqrt{d})}$$







# Multi Head Attention



- Why do we need multiple heads ?

Cal fans erupted into cheers as Daniel Villaseñor, Cal CEE sophomore, nailed a 33-yard field goal on ESPN College GameDay



# Multi Head Attention

```
class MultiHeadAttention(d2l.Module):
    def __init__(self, num_hiddens, num_heads, dropout, bias=False, **kwargs):
        super().__init__()
        self.num_heads = num_heads

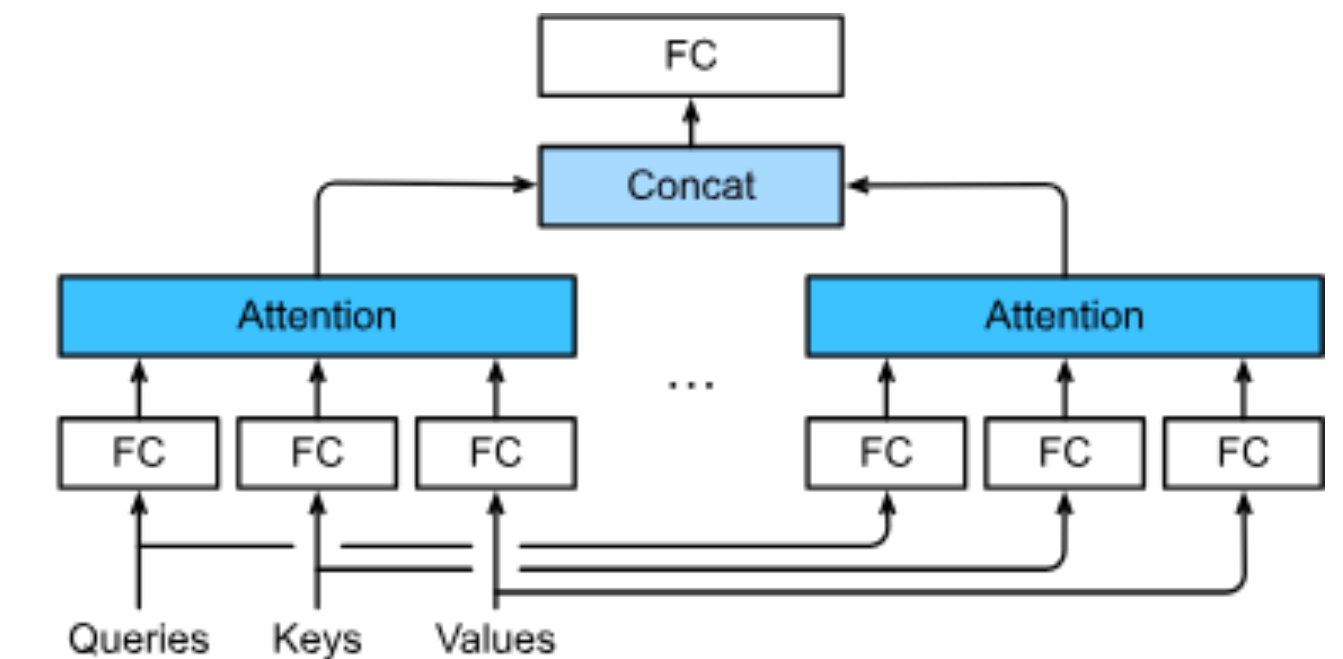
        self.attention = d2l.DotProductAttention(dropout)

        self.W_q = nn.LazyLinear(num_hiddens, bias=bias)
        self.W_k = nn.LazyLinear(num_hiddens, bias=bias)
        self.W_v = nn.LazyLinear(num_hiddens, bias=bias)
        self.W_o = nn.LazyLinear(num_hiddens, bias=bias)

    def forward(self, queries, keys, values, valid_lens):
        # Shape of queries, keys, or values:
        # (batch_size, no. of queries or key-value pairs, num_hiddens)
        # Shape of valid_lens: (batch_size,) or (batch_size, no. of queries)
        # After transposing, shape of output queries, keys, or values:
        # (batch_size * num_heads, no. of queries or key-value pairs,
        # num_hiddens / num_heads)
        queries = self.transpose_qkv(self.W_q(queries))
        keys = self.transpose_qkv(self.W_k(keys))
        values = self.transpose_qkv(self.W_v(values))

        if valid_lens is not None:
            # On axis 0, copy the first item (scalar or vector) for num_heads
            # times, then copy the next item, and so on
            valid_lens = torch.repeat_interleave(
                valid_lens, repeats=self.num_heads, dim=0)

        # Shape of output: (batch_size * num_heads, no. of queries,
        # num_hiddens / num_heads)
        output = self.attention(queries, keys, values, valid_lens)
        # Shape of output concat: (batch size, no. of queries, num_hiddens)
        output_concat = self.transpose_output(output)
        return self.W_o(output_concat)
```



split

concatenate

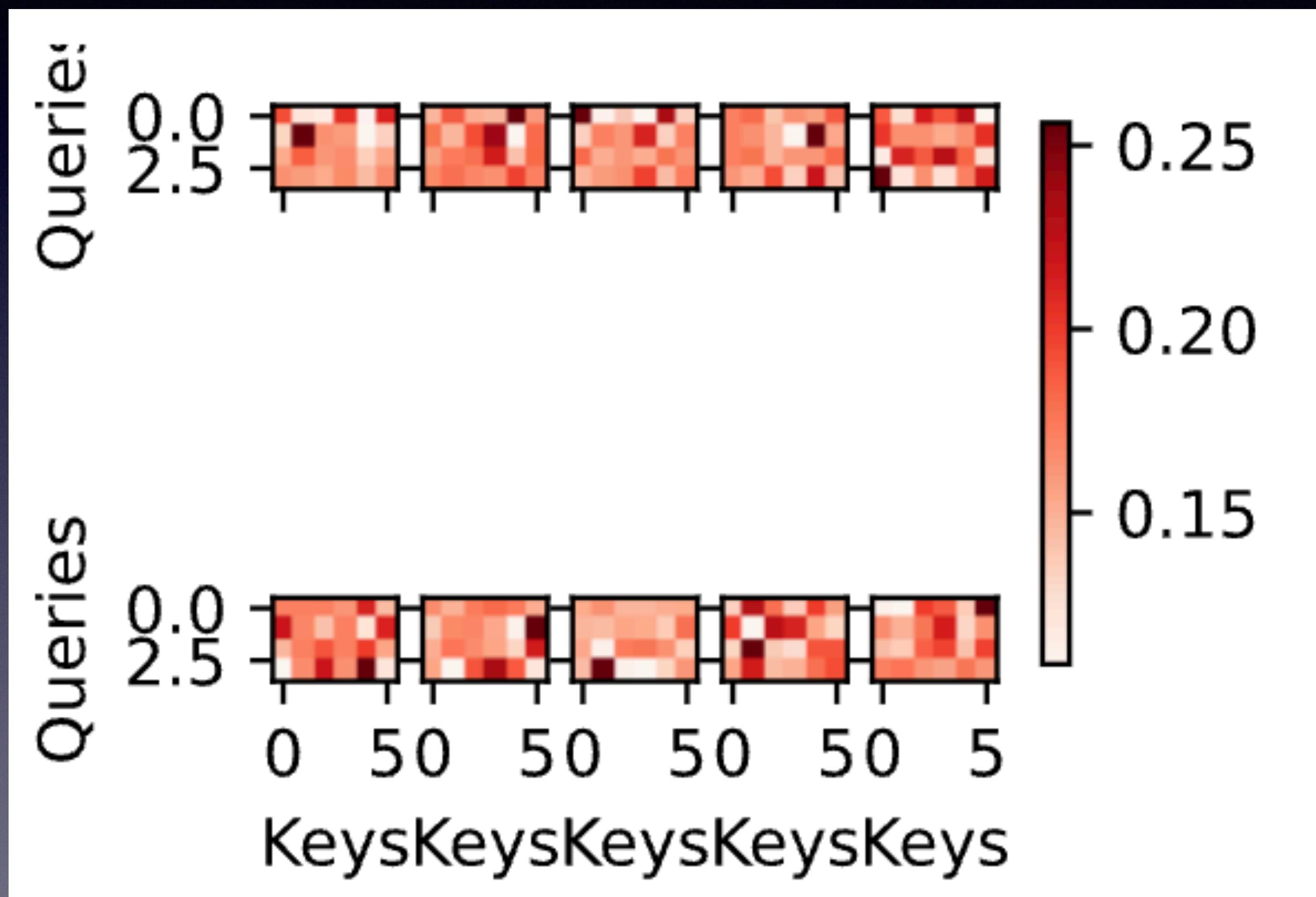


```
@d2l.add_to_class(MultiHeadAttention)
def transpose_qkv(self, X):
    # Shape of input X: (batch_size, no. of queries or key-value pairs,
    # num_hiddens). Shape of output X: (batch_size, no. of queries or
    # key-value pairs, num_heads, num_hiddens / num_heads)
    X = X.reshape(X.shape[0], X.shape[1], self.num_heads, -1)
    # Shape of output X: (batch_size, num_heads, no. of queries or key-value
    # pairs, num_hiddens / num_heads)
    X = X.permute(0, 2, 1, 3)
    # Shape of output: (batch_size * num_heads, no. of queries or key-value
    # pairs, num_hiddens / num_heads)
    return X.reshape(-1, X.shape[2], X.shape[3])

@d2l.add_to_class(MultiHeadAttention)
def transpose_output(self, X):
    X = X.reshape(-1, self.num_heads, X.shape[1], X.shape[2])
    X = X.permute(0, 2, 1, 3)
    return X.reshape(X.shape[0], X.shape[1], -1)
```

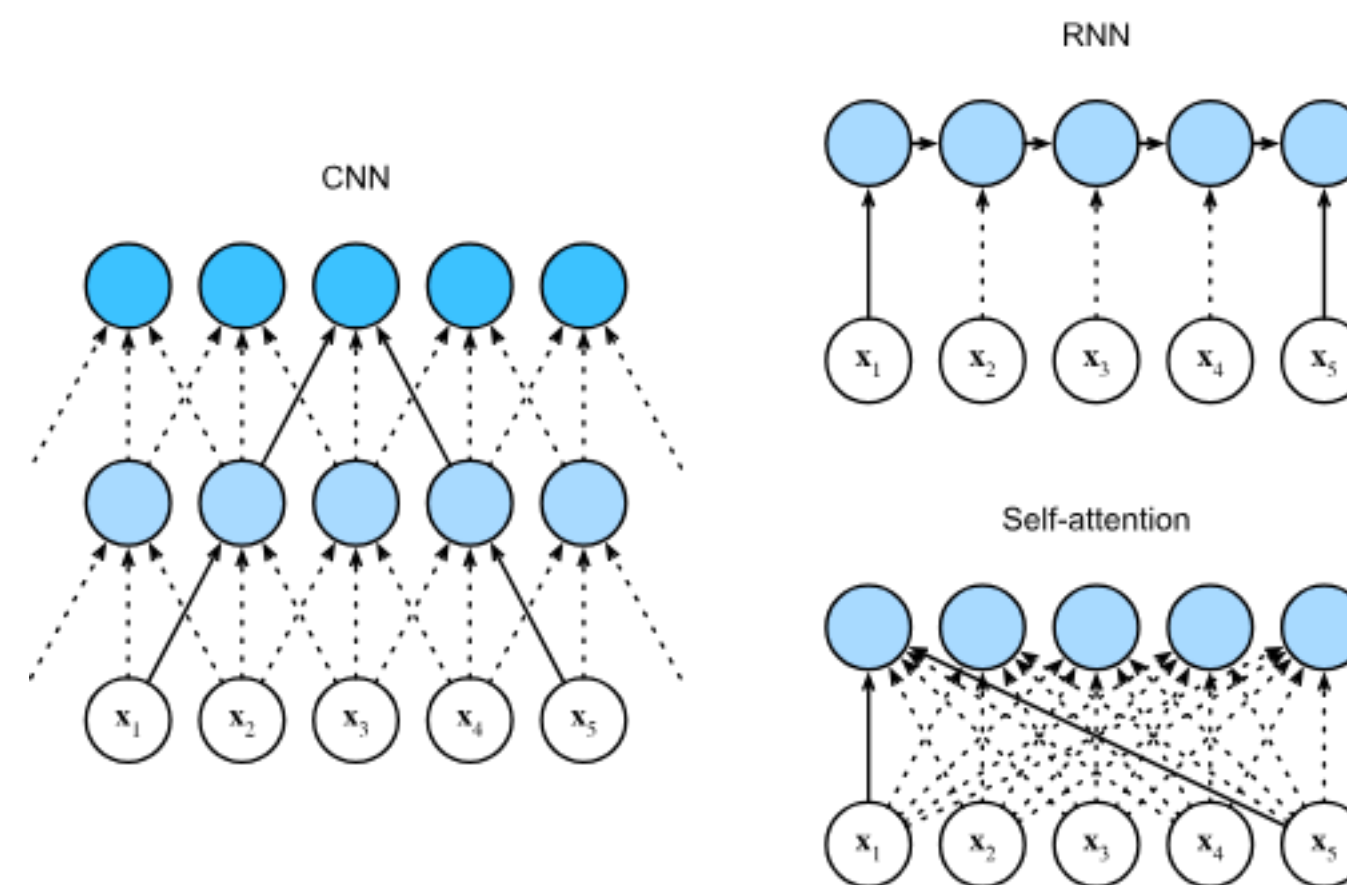


# Multi Head





# Comparing self attention





# Self Attention & Positional Encoding

```
class PositionalEncoding(nn.Module):
    def __init__(self, num_hiddens, dropout, max_len=1000):
        super().__init__()
        self.dropout = nn.Dropout(dropout)
        # Create a long enough P
        self.P = torch.zeros((1, max_len, num_hiddens))
        X = torch.arange(max_len, dtype=torch.float32).reshape(
            -1, 1) / torch.pow(10000, torch.arange(
                0, num_hiddens, 2, dtype=torch.float32) / num_hiddens)
        self.P[:, :, 0::2] = torch.sin(X)
        self.P[:, :, 1::2] = torch.cos(X)

    def forward(self, X):
        X = X + self.P[:, :X.shape[1], :].to(X.device)
        return self.dropout(X)
```

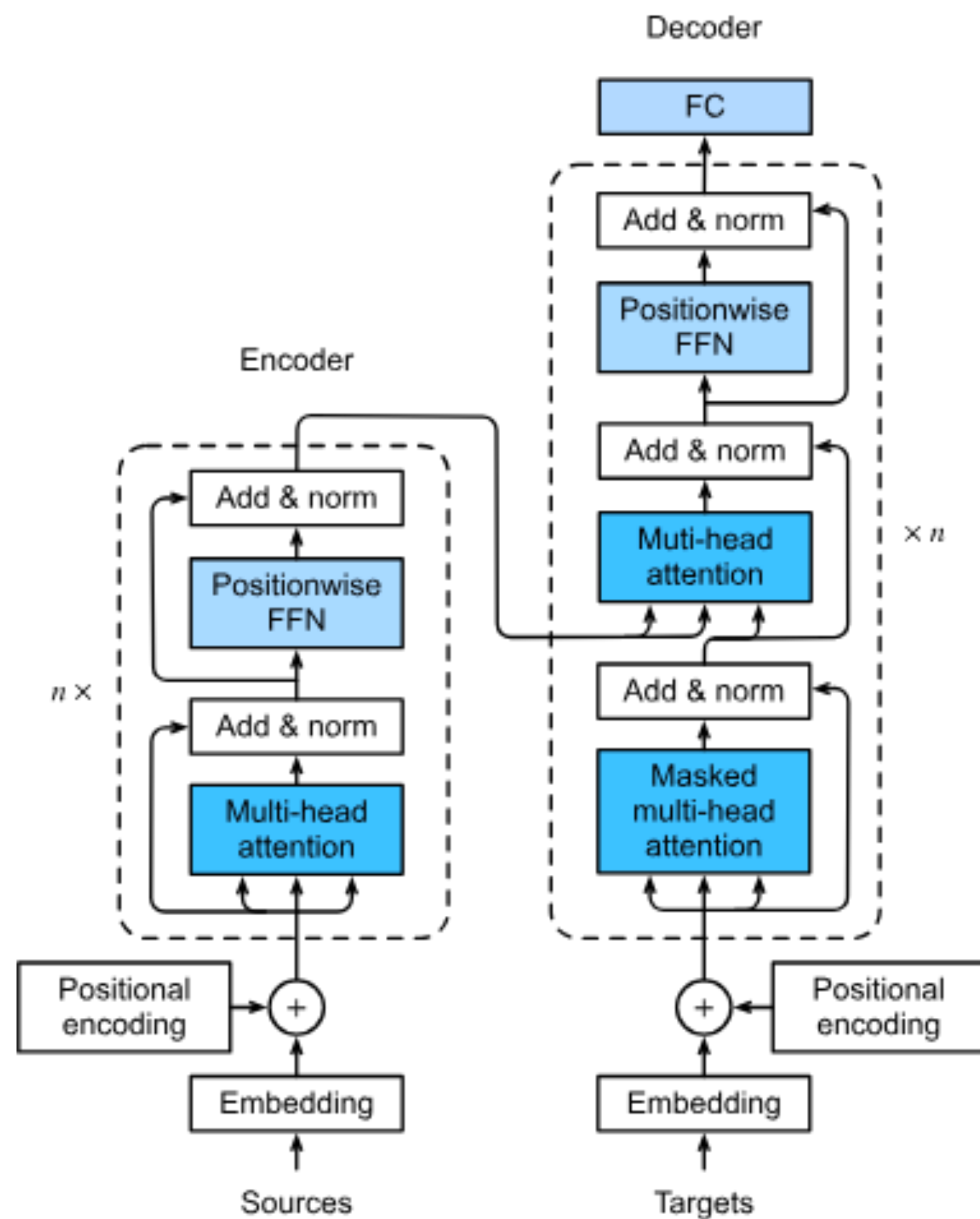


# Engineering

- Add & Norm
- FFN



# Transformer





# Positionwise FFN

```
class PositionWiseFFN(nn.Module):
    def __init__(self, ffn_num_hiddens, ffn_num_outputs):
        super().__init__()
        self.dense1 = nn.Linear(ffn_num_hiddens)
        self.relu = nn.ReLU()
        self.dense2 = nn.Linear(ffn_num_outputs)

    def forward(self, X):
        return self.dense2(self.relu(self.dense1(X)))
```

- **Non-linearity, dimensionality transformation**
- After self-attention
  - FFN introduces non-linear transformations through ReLU
  - projects the embeddings into a different dimensional space. This allows the model to learn more complex relationships between tokens beyond the linear attention mechanism.
- **Independent token processing:** FFN applied independently at each position in the sequence



# Encoder Block

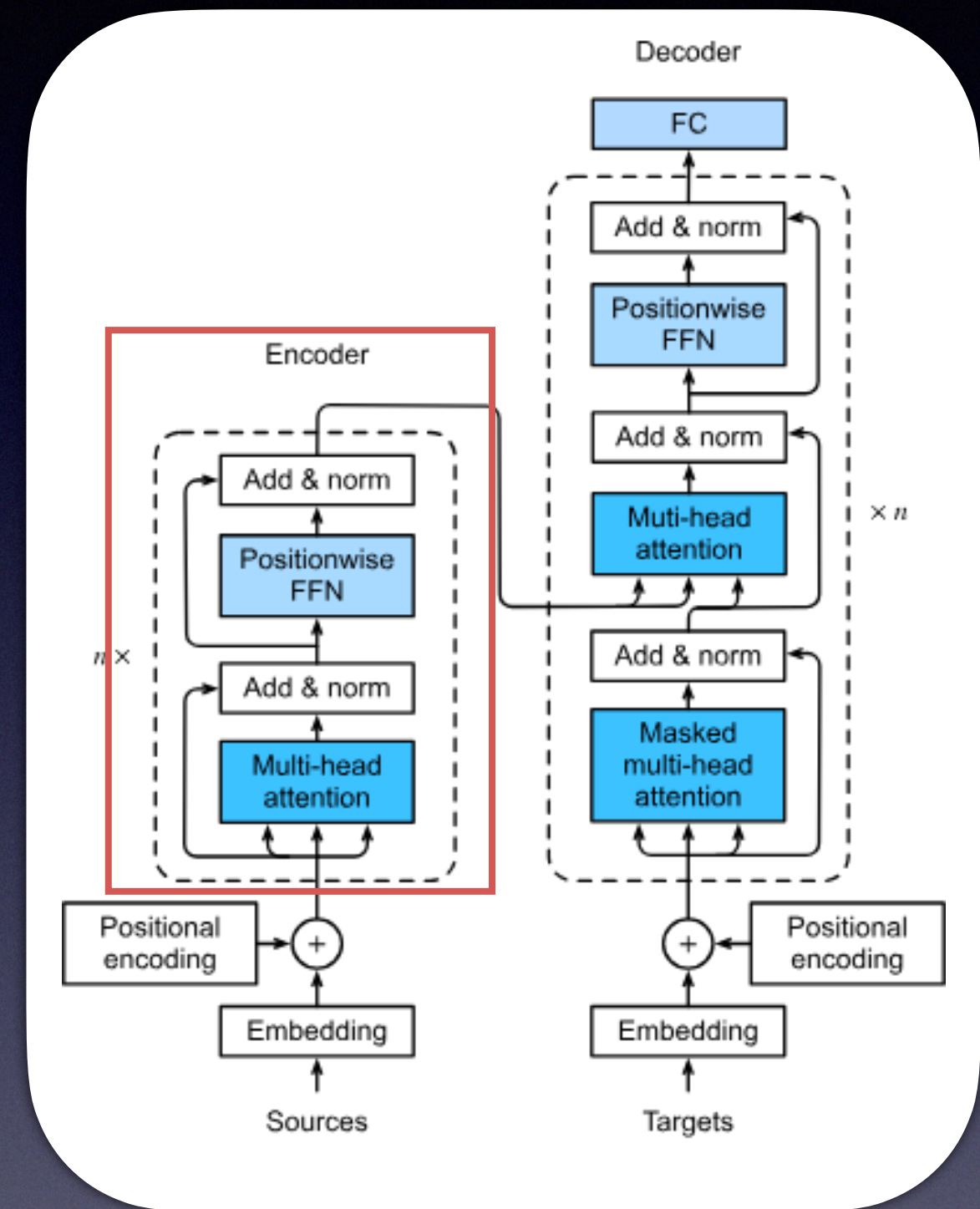
```
class TransformerEncoderBlock(nn.Module):
    def __init__(self, num_hiddens, ffn_num_hiddens, num_heads, dropout, use_bias=False):
        super().__init__()

        self.attention = d2l.MultiHeadAttention(num_hiddens, num_heads, dropout, use_bias)
        self.addnorm1 = AddNorm(num_hiddens, dropout)
        self.ffn = PositionWiseFFN(ffn_num_hiddens, num_hiddens)
        self.addnorm2 = AddNorm(num_hiddens, dropout)

    def forward(self, X, valid_lens):

        Y = self.addnorm1(X, self.attention(X, X, X, valid_lens))

        return self.addnorm2(Y, self.ffn(Y))
```





# Encoder

```
class TransformerEncoder(d2l.Encoder):
    def __init__(self, vocab_size, num_hiddens, ffn_num_hiddens,
                  num_heads, num_blks, dropout, use_bias=False):
        super().__init__()
        self.num_hiddens = num_hiddens
        self.embedding = nn.Embedding(vocab_size, num_hiddens)
        self.pos_encoding = d2l.PositionalEncoding(num_hiddens, dropout)
        self.blks = nn.Sequential()
        for i in range(num_blks):
            self.blks.add_module("block"+str(i), TransformerEncoderBlock(
                num_hiddens, ffn_num_hiddens, num_heads, dropout, use_bias))

    def forward(self, X, valid_lens):
        # Since positional encoding values are between -1 and 1, the embedding
        # values are multiplied by the square root of the embedding dimension
        # to rescale before they are summed up
        X = self.pos_encoding(self.embedding(X) * math.sqrt(self.num_hiddens))
        self.attention_weights = [None] * len(self.blks)
        for i, blk in enumerate(self.blks):
            X = blk(X, valid_lens)
            self.attention_weights[i] = blk.attention.attention.attention_weights
        return X
```



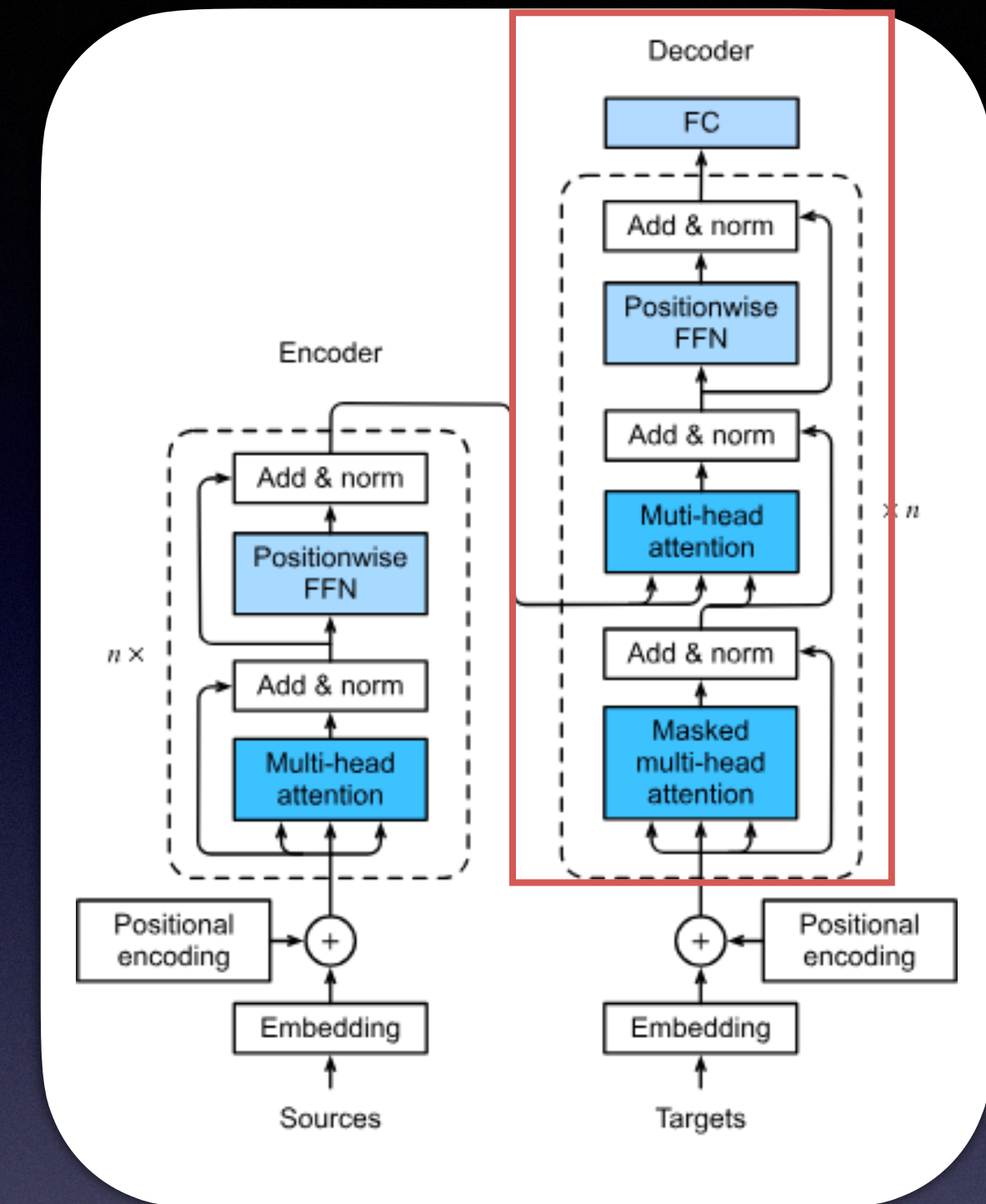
# Decoder Block

```
class TransformerDecoderBlock(nn.Module):
    # The i-th block in the Transformer decoder
    def __init__(self, num_hiddens, ffn_num_hiddens, num_heads, dropout, i):
        super().__init__()
        self.i = i

        self.attention1 = d2l.MultiHeadAttention(num_hiddens, num_heads, dropout)
        self.addnorm1 = AddNorm(num_hiddens, dropout)
        self.attention2 = d2l.MultiHeadAttention(num_hiddens, num_heads, dropout)
        self.addnorm2 = AddNorm(num_hiddens, dropout)
        self.ffn = PositionWiseFFN(ffn_num_hiddens, num_hiddens)
        self.addnorm3 = AddNorm(num_hiddens, dropout)

    def forward(self, X, state):
        enc_outputs, enc_valid_lens = state[0], state[1]
        # During training, all the tokens of any output sequence are processed
        # at the same time, so state[2][self.i] is None as initialized. When
        # decoding any output sequence token by token during prediction,
        # state[2][self.i] contains representations of the decoded output at
        # the i-th block up to the current time step
        if state[2][self.i] is None:
            key_values = X
        else:
            key_values = torch.cat((state[2][self.i], X), dim=1)
        state[2][self.i] = key_values
        if self.training:
            batch_size, num_steps, _ = X.shape
            # Shape of dec_valid_lens: (batch_size, num_steps), where every
            # row is [1, 2, ..., num_steps]
            dec_valid_lens = torch.arange(
                1, num_steps + 1, device=X.device).repeat(batch_size, 1)
        else:
            dec_valid_lens = None

        # Self-attention
        X2 = self.attention1(X, key_values, key_values, dec_valid_lens)
        Y = self.addnorm1(X, X2)
        # Encoder-decoder attention. Shape of enc_outputs:
        # (batch_size, num_steps, num_hiddens)
        Y2 = self.attention2(Y, enc_outputs, enc_outputs, enc_valid_lens)
        Z = self.addnorm2(Y, Y2)
        return self.addnorm3(Z, self.ffn(Z)), state
```



## • Self-Attention

- During **training**, all tokens are processed simultaneously.
- During **inference**, tokens are generated one by one, and the model attends to previously generated tokens.
- The key-values are updated by concatenating the previously generated tokens ( $\text{state}[2][\text{self.i}]$ ) with the current input  $X$ .

## • Cross-Attention: After self-attention, model attends to the *encoder's* output ( $\text{enc\_outputs}$ )

- to refine its understanding of the input sequence for generating output tokens.

## • State: $\text{state}[2][\text{self.i}]$ stores the sequence of generated tokens at the current block for future steps, allowing autoregressive generation. During inference, the $\text{key\_values}$ are built step by step.



# Decoder

```
class TransformerDecoder(d2l.AttentionDecoder):
    def __init__(self, vocab_size, num_hiddens, ffn_num_hiddens, num_heads,
                  num_blks, dropout):
        super().__init__()
        self.num_hiddens = num_hiddens
        self.num_blks = num_blks
        self.embedding = nn.Embedding(vocab_size, num_hiddens)
        self.pos_encoding = d2l.PositionalEncoding(num_hiddens, dropout)
        self.blks = nn.Sequential()
        for i in range(num_blks):
            self.blks.add_module("block"+str(i), TransformerDecoderBlock(
                num_hiddens, ffn_num_hiddens, num_heads, dropout, i))
        self.dense = nn.LazyLinear(vocab_size)

    def init_state(self, enc_outputs, enc_valid_lens):
        return [enc_outputs, enc_valid_lens, [None] * self.num_blks]

    def forward(self, X, state):
        X = self.pos_encoding(self.embedding(X) * math.sqrt(self.num_hiddens))
        self._attention_weights = [[None] * len(self.blks) for _ in range(2)]

        for i, blk in enumerate(self.blks):
            X, state = blk(X, state)
            # Decoder self-attention weights
            self._attention_weights[0][i] = blk.attention1.attention.attention_weights
            # Encoder-decoder attention weights
            self._attention_weights[1][i] = blk.attention2.attention.attention_weights
        return self.dense(X), state

    @property
    def attention_weights(self):
        return self._attention_weights
```

## Forward Method:

- Passes input through embeddings, positional encoding, and the decoder blocks.
- Captures attention weights from **both self-attention (attention1) and encoder-decoder attention (attention2)**

## Output Layer:

- After passing through all decoder blocks final output passed to a dense layer (map to vocal)



# Decoder

- Does the number of blocks have to be equal for Encoder and Decoder ?



# Training

```
data = d2l.MTFraEng(batch_size=128)
num_hiddens, num_blks, dropout = 256, 2, 0.2
ffn_num_hiddens, num_heads = 64, 4
encoder = TransformerEncoder(
    len(data.src_vocab), num_hiddens, ffn_num_hiddens, num_heads,
    num_blks, dropout)
decoder = TransformerDecoder(
    len(data.tgt_vocab), num_hiddens, ffn_num_hiddens, num_heads,
    num_blks, dropout)
model = d2l.Seq2Seq(encoder, decoder, tgt_pad=data.tgt_vocab['<pad>'],
                    lr=0.001)
trainer = d2l.Trainer(max_epochs=30, gradient_clip_val=1, num_gpus=1)
trainer.fit(model, data)
```



# Translation

```
engs = ['go .', 'i lost .', 'he\'s calm .', 'i\'m home .']
fras = ['va !', 'j\'ai perdu .', 'il est calme .', 'je suis chez moi .']
preds, _ = model.predict_step(
    data.build(engs, fras), d2l.try_gpu(), data.num_steps)
for en, fr, p in zip(engs, fras, preds):
    translation = []
    for token in data.tgt_vocab.to_tokens(p):
        if token == '<eos>':
            break
    translation.append(token)
print(f'{en} => {translation}, bleu, '
      f'{d2l.bleu(" ".join(translation), fr, k=2):.3f}')
```