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1 # FDA Submission
2
3 **Your Name:** Rob Straker
4
5 **Name of your Device:** Convolutional Neural Netwo
6
7 ## Algorithm Description
8
9 ### 1. General Information
10
11 **Intended Use Statement:** This algorithm is inten
12
13 **Indications for Use:** This algorithm is indicate
14
15 **Device Limitations:** The algorithm performs mode
16
17 **Clinical Impact of Performance:** The algorithm i
18
19
20 ### 2. Algorithm Design and Function
21
22 ![Algorithm Flowchart](/algorithm_flowchart.png)
23
24 **DICOM Checking Steps:** The following checks wre
25 1. Confirm body part is 'Chest'.
26 2. Confirm modality is 'DX', which stands for Digit
27 3. Confirm patient position is either 'AP' (Anterio
28
29 **Preprocessing Steps:** Preprocessing was complete
30
```

```
31 *Metadata:*
32 1. Column Creation. Split "Finding Labels" column
33 2. Pneumonia Class. Create a column for 'Pneumonia'
34
35 *Images:*
36 1. Image resizing. Resize images to consistent square
37 2. Image normalization. Subtract mean intensity from images
38
39 **CNN Architecture:** A pre-trained VGG-16 model with
40
41 ![Pre-Trained VGG-16 Model](/architecture_vgg16.png)
42
43 ![Fully Connected Layer Extension](/architecture_fc.png)
44
45
46 ### 3. Algorithm Training
47
48 **Parameters:**
49 * Types of augmentation used during training:
50     - rescale=1. / 255.0
51     - horizontal_flip = True
52     - vertical_flip = False
53     - height_shift_range= 0.1
54     - width_shift_range=0.1
55     - rotation_range=20
56     - shear_range = 0.1
57     - zoom_range=0.1)
58
59 * Batch size = 64
60
```

```
61 * Optimizer learning rate = 1.0e-6
```

63

```
63 * Layers of pre-existing architecture that were fr
```

- block1_conv1 False

```
- block1_conv2 False
```

- block1_pool False

- `block2_conv1` False

- block2_conv2 False

- `block2_pool` False

- block3 conv1 False

- `block3 conv2 False`

- block3 conv3 False

- block3 pool False

- block4 conv1 False

- `block4 conv2 False`

- block4 conv3 False

- block4 pool False

- block5 conv1 False

- **block5_conv2** False

```
81 * Layers of pre-existing architecture that were fi
```

- block5 conv3 True

- block5 pool True

```
85 * Layers added to pre-existing architecture:
```

87

```
Model: "sequential_2"
```

89

Layer (type)

90

```
91  =====
92  model_2 (Model) (None, 7, 7, 512)
93
94  flatten_2 (Flatten) (None, 25088)
95
96  dropout_4 (Dropout) (None, 25088)
97
98  dense_4 (Dense) (None, 1024)
99
100 dropout_5 (Dropout) (None, 1024)
101
102 dense_5 (Dense) (None, 512)
103
104 dropout_6 (Dropout) (None, 512)
105
106 dense_6 (Dense) (None, 1)
107
108  =====
109  Total params: 40,931,137
110  Trainable params: 28,576,257
111  Non-trainable params: 12,354,880
112
113
114
115 ! [Algorithm Training Performance History] (/trainin
116
117 ! [Precision-Recall Curve] (/p_r_curve.png)
118
119 ! [AUC Curve] (/auc_curve.png)
120
```

121 ! [F1 Threshold Curve] (/f1_thresh_curve.png)

122

123 ****Final Threshold and Explanation:****

124 The final threshold was determined by finding that

125

126 Final Threshold = 0.38

127

128

129 **### 4. Databases**

130

131 ****Description of Training Dataset:****

132 The dataset was split 80:20, with 80% of images as

133

134 ****Description of Validation Dataset:****

135 Efforts were made to ensure representative proport

136

137

138 **### 5. Ground Truth**

139

140 The ground truth for this algorithm is the Silver

141

142

143 **### 6. FDA Validation Plan**

144

145 ****Patient Population Description for FDA Validatio**

146

147 ****Ground Truth Acquisition Methodology:**** Recruit

148

149 ****Algorithm Performance Standard:**** The key metric

150 - $F1 = 2 * (precision * recall) / (precision + re$

- 151 - Also known as the harmonic mean of precision and
- 152 - This is based on real radiologist performance.
- 153