

# Data Structures

## Divide and Conquer

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# Outline

## 1 Introduction

- Gauss the Prince of Mathematics
- The Complex Multiplication
- The Russian Trick!!!
- Complexities

## 2 Divide and Conquer

- Recursion

## 3 Examples

- Mergesort
  - Example
  - Divide and Conquer in Mergesort
  - The Algorithm
  - Example
  - Complexity
- Quicksort
  - Definitions
  - Quicksort Algorithm
  - Complexity
  - Example
  - Improving Performance

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# Gauss and the Beginning

Carl Friedrich Gauss (1777–1855)



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# Gauss and the Beginning

Carl Friedrich Gauss (1777–1855)

He devised a way to multiply two imaginary numbers as

$$(a + bi)(c + di) = ac + (ad + bc)i - bd \quad (1)$$

By realizing that

$$bc + ad = (a + b)(c + d) - ac - bd \quad (2)$$

Thus minimizing the number of multiplications from four to three.

EXERCISE

We can represent binary numbers like 1001 as  $1000 + 01 = 2^3 \times 10 + 01$

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QUESTION

We can represent binary numbers like 1001 as  $1000 + 01 = 2^3 \times 10 + 01$

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Actually

We can represent binary numbers like 1001 as  $1000 + 01 = 2^2 \times 10 + 01$

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# Anatoly Alexeevitch Karatsuba (1937 - 2008)

At the Faculty of Mechanics and Mathematics of Moscow State University

He developed a trick to decrease the speed up of the multiplication of two numbers in binary representation.

Complex numbers

$$x = x_L \circ x_R = 2^{n/2} x_L + x_R \approx ix_L + x_R$$

# Anatoly Alexeevitch Karatsuba (1937 - 2008)

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He developed a trick to decrease the speed up of the multiplication of two numbers in binary representation.

## Simply Realizing

$$x = x_L \circ x_R = 2^{n/2} x_L + x_R \approx ix_L + x_R$$

# Thus

We can represent numbers  $x, y$  as

- $x = x_L \circ x_R = 2^{n/2}x_L + x_R$
- $y = y_L \circ y_R = 2^{n/2}y_L + y_R$

This multiplication can be found by using

$$xy = (2^{n/2}x_L + x_R)(2^{n/2}y_L + y_R) = 2^n x_L y_L + 2^{n/2}(x_L y_R + x_R y_L) + x_R y_R \quad (3)$$

However,

if we use the Gauss's trick, we only need  $x_L y_L$ ,  $x_R y_R$ ,  $(x_L + x_R)(y_L + y_R)$  to calculate the multiplication:

$$\bullet x_L y_R + x_R y_L = (x_L + x_R)(y_L + y_R) - x_L y_L - x_R y_R$$

# Thus

We can represent numbers  $x, y$  as

- $x = x_L \circ x_R = 2^{n/2}x_L + x_R$
- $y = y_L \circ y_R = 2^{n/2}y_L + y_R$

Thus, the multiplication can be found by using

$$xy = (2^{n/2}x_L + x_R)(2^{n/2}y_L + y_R) = 2^n x_L y_L + 2^{n/2}(x_L y_R + x_R y_L) + x_R y_R \quad (3)$$

Properties:

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## Thus

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- $x_L y_R + x_R y_L = (x_L + x_R)(y_L + y_R) - x_L y_L - x_R y_R$

# Now, You have this...

We have that

$xy$  can be calculated using  $x_Lx_L$ ,  $x_Ly_R$ ,  $x_Ry_L$  and  $x_Ry_R$ .

And each of this multiplications

$x_Lx_L$ ,  $x_Ly_R$ ,  $x_Ry_L$  and  $x_Ry_R$  can be calculated in a similar way

Recursion

This is known as a Recursive Procedure!!!

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Recursion

This is known as a Recursive Procedure!!!

# Gauss' Algorithm.

## The Recursive Method

```
function multiplyGauss(x,y)
```

Input: Positive integers x and y, in binary

Output: Their product

```
n = max(size of x, size of y)
```

```
if n = 1 then return xy
```

```
xL , xR = leftmost ceil(n/2) , rightmost floor(n/2)
bits of x
```

```
yL , yR = leftmost ceil(n/2) , rightmost floor(n/2)
bits of y
```

```
P1 = multiplyGauss(xL,yL)
```

```
P2 = multiplyGauss(xR,yR)
```

```
P3 = multiply(xL + xR, yL + yR)
```

```
return 2^n * P1 + 2^(n/2)*(P3 - P1 - P2) + P2
```

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# Complexities

## Old Multiplication

$$T(n) = 4T\left(\frac{n}{2}\right) + \text{Some Work} \quad (4)$$

## New Multiplication with Carries Mat

$$T(n) = 3T(n) + \text{Some Work} \quad (5)$$

## We will prove that

- For old style multiplications  $O(n^2)$ .
- For new style multiplications  $O(n^{\log_2 3})$

# Complexities

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## What will happen?

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# Complexities

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# What is $T(n)$ ?

In recursive theory

$T(n)$  is the amount of work that a procedure is doing.

Something like this:

We can actually use this function to represent the recursive work of any procedure!!

Procedure of the  $x$  and  $y$  function

$xlyl\;xlyn\;xryl\;xnyn$

(6)

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$x_{LYL} \ x_{LYR} \ x_{RYL} \ x_{RYN}$

(5)

# What is $T(n)$ ?

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In the case of the old multiplication

$$x_L y_L \ x_L y_R \ x_R y_L \ x_R y_R \quad (6)$$

We have...

## Four recursive multiplications

Clearly we are using half of the input!!!

Then

Each of this multiplications costs  $n/2$

And as we go deeper in the recursion we have the following sequence

$$n \rightarrow \frac{n}{2} \rightarrow \frac{n}{4} \rightarrow \frac{n}{8} \rightarrow \frac{n}{16} \rightarrow \dots \rightarrow 1$$

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# How do we get these complexities?

In the case of these algorithms

**Some Work** = Summations that take constant time or  $O(1)$ .

For example:

Let me draw the recursion tree

for a similar work. You can check this.

$$O(n^{\log_2 3})$$

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First

Let me draw the recursion tree

In which the work can be seen like

$$O(n^{\log_2 3})$$

# How do we get these complexities?

In the case of these algorithms

**Some Work** = Summations that take constant time or  $O(1)$ .

First

Let me draw the recursion tree

In a similar way you can obtain the

$$O\left(n^{\log_2 3}\right)$$

# Epitaph

We can do divide and conquer  
In a really un-clever way!!!

So we can go and design something better  
Thus, improving speedup!!!

The divide and conquer

- A great design...
- Or a crappy job...

# Epitaph

We can do divide and conquer  
In a really un-clever way!!!

Or we can go and design something better  
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- ➊ The divide-and-conquer approach
  - A great design...
  - Or a crappy job...

# Epitaph

We can do divide and conquer  
In a really un-clever way!!!

Or we can go and design something better  
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The difference between

- A great design...
- Or a crappy job...

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# Recursion is the base of Divide and Conquer

This is the natural way we do many things

We always attack smaller versions first of the large one!!!

Stephen Cole Kleene

- He defined the basics about the use of recursion.

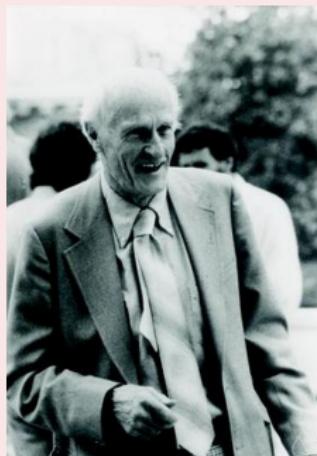
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# Kleene and Company

## Some facts about him

- Stephen Cole Kleene (January 5, 1909 – January 25, 1994) was an American mathematician.
- One of the students of Alonzo Church!!
  - ▶ Church is best known for the lambda calculus, Church–Turing thesis and proving the undecidability of the use of an algorithm to say Yes(Valid) or No(No Valid) to a first order logic statement on a FOL System (Proposed by David Hilbert).

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## Recursion Theory

- Kleene, along with Alan Turing, Emil Post, and others, is best known as a founder of the branch of mathematical logic known as recursion theory.
- This theory subsequently helped to provide the foundations of theoretical computer science.

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# Basics

## A clear application of Divide and Conquer

First, we explain the Merging

### Merging

The key to Merge Sort is merging two sorted lists into one, such that if you have two lists:

$$X = (x_1 \leq x_2 \leq \dots \leq x_m) \text{ and } Y = (y_1 \leq y_2 \leq \dots \leq y_n)$$

into a resulting list  $Z = (z_1 \leq z_2 \leq \dots \leq z_{m+n})$

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## Example: Merging

We have the following

X: 

3	10	11	54
---	----	----	----

Y: 

1	5	25	75
---	---	----	----

Result:

--	--	--	--	--	--	--	--

## Example: Merging

We have the following

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Result: 

1							
---	--	--	--	--	--	--	--

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---	---	--	--	--	--	--	--

## Example: Merging

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Result: 

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---	---	---	--	--	--	--	--

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---	---	---	----	--	--	--	--

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---	---	---	----	----	--	--	--

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Result: 

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---	---	---	----	----	----	--	--

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--	--	--	--

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---	---	---	----	----	----	----	--

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We have the following

X:				
----	--	--	--	--

Y:				
----	--	--	--	--

Result:	1	3	5	10	11	25	54	75
---------	---	---	---	----	----	----	----	----

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# Divide and Conquer

## Thus, basic step

Merging two lists of one element each is the same as sorting them.

### The Recursion

Merge sort divides up an unsorted list until the above condition is met and then sorts the divided parts back together in pairs.

### The Recursion

Specifically this can be done by recursively dividing the unsorted list in half, merge sorting the right side then the left side and then merging the right and left back together.

# Divide and Conquer

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## Strategy

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# Mergesort algorithm.

## Pseudo-Code

MergeSort(List)

- ① mid = len(alist)//2
- ② lefthalf = alist[:mid]
- ③ righthalf = alist[mid:]
- ④ MergeSort(lefthalf)
- ⑤ MergeSort(righthalf)
- ⑥ Merging(List)

# Mergesort algorithm.

## The merge method

```
function multiplyGauss(x,y)
    Input: Positive integers x and y, in binary
    Output: Their product

    n = max(size of x, size of y)

    if n = 1 then return xy

    xL , xR = leftmost ceil(n/2) , rightmost floor(n/2)
                           bits of x
    yL , yR = leftmost ceil(n/2) , rightmost floor(n/2)
                           bits of y

    P1 = multiplyGauss(xL,yL)
    P2 = multiplyGauss(xR,yR)
    P3 = multiply(xL + xR, yL + yR)

    return 2^n * P1 + 2^(n/2)*(P3 - P1 - P2) + P2
```

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# Example

The array

99	6	86	15	58	35	86	4	0
----	---	----	----	----	----	----	---	---

# Example

## First Split

99	6	86	15	58	35	86	4	0
----	---	----	----	----	----	----	---	---



99	6	86	15
----	---	----	----

58	35	86	4	0
----	----	----	---	---

# Example

## Second Split

99	6	86	15	58	35	86	4	0
----	---	----	----	----	----	----	---	---



99	6	86	15
----	---	----	----

58	35	86	4	0
----	----	----	---	---



99	6
----	---

86	15
----	----

58	35
----	----

86	4	0
----	---	---

# Example

## Third Split

99	6	86	15	58	35	86	4	0
----	---	----	----	----	----	----	---	---



99	6	86	15
----	---	----	----

58	35	86	4	0
----	----	----	---	---



99	6
----	---

86	15
----	----

58	35
----	----

86	4	0
----	---	---



99
----

6
---

86
----

15
----

58
----

35
----

86
----

4	0
---	---

# Example

## Fourth Split

99	6	86	15	58	35	86	4	0
----	---	----	----	----	----	----	---	---



99	6	86	15
----	---	----	----

58	35	86	4	0
----	----	----	---	---



99	6
----	---

86	15
----	----

58	35
----	----

86	4	0
----	---	---



99
----

6
---

86
----

15
----

58
----

35
----

86
----

4	0
---	---

4

0

# Example

## Merging

99	6	86	15	58	35	86	0	4
----	---	----	----	----	----	----	---	---



99	6	86	15
----	---	----	----

58	35	86	0	4
----	----	----	---	---



99	6
----	---

86	15
----	----

58	35
----	----

86	0	4
----	---	---



99
----

6
---

86
----

15
----

58
----

35
----

86
----

0	4
---	---

# Example

## Merging

6	99	15	86	35	58	0	4	86
---	----	----	----	----	----	---	---	----



6	99	15	86
---	----	----	----

35	58	0	4	86
----	----	---	---	----



6	99
---	----

15	86
----	----

35	58
----	----

0	4	86
---	---	----

# Example

## Merging

6	15	86	99	0	4	35	58	86
---	----	----	----	---	---	----	----	----



6	15	86	99
---	----	----	----

0	4	35	58	86
---	---	----	----	----

# Example

## Merging

0	4	6	15	35	58	86	86	99
---	---	---	----	----	----	----	----	----

# Outline

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# Complexity

We have the following

The Double Memory Merge Sort runs  $O(N \log N)$  for all cases? ( $N$  being the number of numbers to be sorted) because of its Divide and Conquer approach.

Actually, we can prove the following recursion

$$T(N) = 2T\left(\frac{N}{2}\right) + N = O(N \log N)$$

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# Who invented Quicksort?

## Imagine this

The quicksort algorithm was developed in 1960 by Tony Hoare (He has a postgraduate certificate in Statistics) while in the Soviet Union, as a visiting student at Moscow State University.



At that time, Hoare worked in a project on machine translation for the National Physical Laboratory.



He developed the algorithm in order to sort the words to be translated.

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#### ● Definitions

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# Definitions

## Divide

Partition (rearrange) the array  $A[p, \dots, r]$  into two (possibly empty) sub-arrays  $A[p, \dots, q - 1]$  and  $A[q + 1, \dots, r]$  such that each element of  $A[p, \dots, q - 1]$  is less than or equal to  $A[q]$ , which is, in turn, less than or equal to each element of  $A[q + 1, \dots, r]$ . Compute the index  $q$  as part of this partitioning procedure.

## Conquer

Sort the two sub-arrays  $A[p, \dots, q - 1]$  and  $A[q + 1, \dots, r]$  by recursive calls to quicksort.

## Combine

Since the sub-arrays are sorted in place, no work is needed to combine them: the entire array  $A[p, \dots, r]$  is now sorted.

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# Quicksort Algorithm

## Quicksort Algorithm

**Quicksort**( $A, p, r$ )

- ① if  $p < r$
- ②         $q = \text{Partition}(A, p, r)$
- ③        **Quicksort**( $A, p, q - 1$ )
- ④        **Quicksort**( $A, q + 1, r$ )

# Partition Algorithm

## Quicksort Partition

**Partition**( $A, p, r$ )

- ①  $x = A[r]$
- ②  $i = p - 1$
- ③ for  $j = p$  to  $r - 1$ 
  - ④ if  $A[j] \leq x$ 
    - ⑤  $i = i + 1$
    - ⑥ exchange  $A[i]$  with  $A[j]$
  - ⑦ exchange  $A[i + 1]$  with  $A[r]$
  - ⑧ return  $i + 1$

# Quicksort: What is the Invariance?

## Loop Invariance

- ① **If**  $p \leq k \leq i$ , **then**  $A[k] \leq x$ .
- ② **If**  $i + 1 \leq k \leq j - 1$ , **then**  $A[k] > x$ .
- ③ **If**  $k = r$  , **then**  $A[k] = x$ .
- ④ **UNKNOWN**



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It is possible to prove that the expected complexity

$$O(N \log N)$$

$$O(N^2)$$

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However, the worst case is

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Lets Sort the following sequence

99	6	86	15	58	35	86	4	10
----	---	----	----	----	----	----	---	----

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## Example

Lets Sort the following sequence

6	99	86	15	58	35	86	4	10
---	----	----	----	----	----	----	---	----

## Example

Lets Sort the following sequence

6	4	86	15	58	35	86	99	10
---	---	----	----	----	----	----	----	----

## Example

Exchange pivot with the element at  $i + 1$

6	4	10	15	58	35	86	99	86
---	---	----	----	----	----	----	----	----

# Example

Call the two recursions

6	4	10	15	58	35	86	99	86
---	---	----	----	----	----	----	----	----

## Example

Thus, we have that

6	4	10	15	58	35	86	99	86
---	---	----	----	----	----	----	----	----

## Example

Thus, we have that a simple exchange for the left part

4	6	10	15	58	35	86	99	86
---	---	----	----	----	----	----	----	----

## Example

The right part after exchanging the pivot

4	6	10	15	58	35	86	86	99
---	---	----	----	----	----	----	----	----

# Example

Split

4	6	10	15	58	35	86	86	99
---	---	----	----	----	----	----	----	----

## Example

Split



## Example

Exchange



# Example

Split

4

6

10

15

35

58

86

86

99

# Example

Finally

4	6	10	15	35	58	86	86	99
---	---	----	----	----	----	----	----	----

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## Something Notable

- The popular, uninformed choice is to use the first or last element as the pivot.
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if the input is presorted or in reverse order, then the pivot provides a poor partition, because either all the elements go into *LeftPartition* or they go into *RightPartition*.

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The median of a group of N numbers is the  $\lceil N/2 \rceil$  th largest number.

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## Better Strategy

Use the Median of the left, right and center elements

For example, with input 8, 1, 4, 9, 6, 3, 5, 2, 7, 0

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We have that

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For example, with input 8, 1, 4, 9, 6, 3, 5, 2, 7, 0

We have that

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Median =

$$\frac{(\text{Left} + \text{Right})}{2}$$
 which has element 6

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Use the Median of the left, right and center elements

For example, with input 8, 1, 4, 9, 6, 3, 5, 2, 7, 0

We have that

- ① Left = 1 and the element is 8
- ② Right = 10 and the element is 0

We calculate

$$\left\lfloor \frac{(Left+Right)}{2} \right\rfloor \text{ which has element 6}$$

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