

# Final Report - Kallikrein genes

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Anouk Dupe, David Eckey, Dustin Schilling, Maria Yemane

Supervisor: Dr. Maria Dinkelacker

Tutor: Nils Mechtel

Data Analysis for students of Molecular Biotechnology

Heidelberg University

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## 1. Introduction

Tissue-restricted antigens (TRAs) are good drug targets in cancer and cancer immunotherapy. In general, TRAs are genes, which are highly expressed in specific tissue compared to others (Kont et al., 2008). One group of TRAs are Kallikrein genes (KLK). KLKs are a family of 15 mammalian secreted serine proteases. Analysis has shown that the KLK locus is located on chromosome 19 and forms the largest cluster of contiguous proteases in the entire genome. (Yousef et al., 2000).

All 15 Kallikrein genes are proteolytic enzymes under steroid hormone regulation and are involved in the regulation of blood pressure, tissue remodeling, skin desquamation, and many other processes. The structure of KLK are similar with two beta-drums, two alpha-helices and a distinct loop involved in the regulation of activity and selectivity. Currently, the specific role of each Kallikrein is unclear. It is known that they are involved in the complex regulatory processes, more specifically in those different signaling cascades.

Dysregulation of KLKs are frequently associated with cancer. Their expression in different tissues and their involvement in different physiological processes make them potential tumor expression markers (Fischer et Meyer-Hoffert, 2013). Different expression of Kallikrein genes has been found in many cancer types.

## 2. Quality control

To assure the quality of the data the steps presented in “R Course Microarray Analysis” by Dr. Maria Dinkelacker (2019) were followed. The main goal of the quality control is to identify and remove microchips, which show significantly altered gene expression. These differences would be difficult to remove via variance stabilizing normalisation (vsd) and could interfere with the rest of the data. The quality control was performed on the breast cancer microarray dataset GSE65216 (Maire et al., 2013) and the small cell lung cancer microarray dataset GSE149507 (Cai et al., 2021).

### 2.1 Quality control - GSE65216 breast cancer

The examination of the individual arrays showed no alteration which indicate physical damage. The boxplots showed low fluctuation in gene expression for the 20 arrays after normalisation. In addition, none of the chips deviate strongly from each other. In both the density and RNA degradation plot (before and after normalisation).

### 2.2 Quality control - GSE149507 lung cancer

One of the chips of the small cell lung cancer microarrays displayed non-linear relationships in the scatterplots. Since the samples of dataset GSE149507 for normal and carcinoma tissue are linked to one patient each. Therefore, the two chips GSM4504109\_SCLC\_05\_ca and GSM4504110\_SCLC\_05\_n were replaced. The substitute microarrays were tested again and did not show any discrepancies.

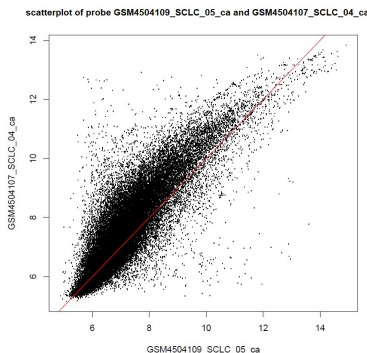


Figure 1: Scatter plot example of broken chip breast cancer GSE65216.

### 3. TRA data

To distinguish between TRA KLK genes and non-TRA KLK genes, a total of 6 TRA datasets were utilized (see appendix). These TRA datasets were then unified, which allowed the extraction of tissue-restricted KLKs according to their transcription number. To get an overview of the distribution of the KLK tissue restriction, a pie chart was conducted. Pie charts allow a quick overview of the proportional distribution. The high prevalence of prostatic kallikrein genes, as well as an occurrence in esophagus, thyroid and salivary gland is notable. Since six datasets were combined, annotations that differed for the same tissue type were fused.

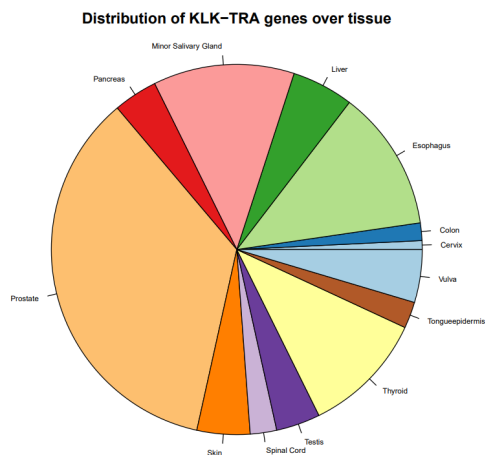


Figure 2: Tissue specificity of KLK genes - KLK genes from six TRA datasets are combined and sorted for tissue specificity

## 4. Expression Analysis

### 4.1 Breast cancer GSE65216 (Maire et al., 2013)

The breast cancer microarray data GSE65216 (Maire et al., 2013) consists of 20 samples. Respectively, five samples derive from four mutation positive tissue: triple negative breast cancer (TNBC), Her2, Luminal A and Luminal B. Notable, in the microarray data some of the expression values of KLK isoforms were identical. Therefore, the Pearson-correlation was determined between all transcripts. Since isoforms with the correlation of one did not contain additional information, all of the identical isoforms besides one were removed. In the end, 39 identical isoforms are removed, leaving 73 KLK transcripts for the 15 KLK genes for further analysis. Out of the 73 isoforms, 63 are TRAs, while only 10 are regarded as tissue restricted. Furthermore, the KLKs are sorted after their names in ascending order for the later visualization.

### Histogram

The histogram represent the frequency of the present gene expression in breast cancer samples. It is conspicuous, that the median gene expression of KLKs is much lower than the overall median gene expression. This means that most of the KLK gene expression is normally down-regulated in relation to the whole genome (Yousef et al., 2004).

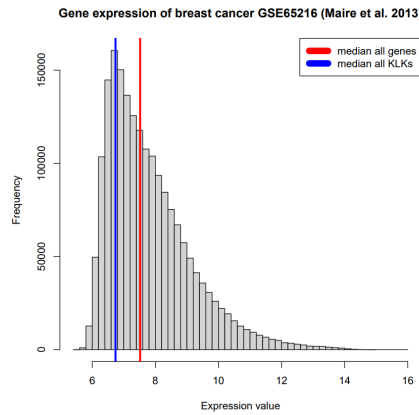


Figure 3: Histogram of breast cancer gene expression.

## Boxplots

The boxplots confirm the fairly low gene expression of KLKs. There are only two isoforms that exceed the median of the whole genome expression of the breast cancer set, KLK4.4 and KLK8.8. KLK4 gene expression was found by Schmitt et al. to be up-regulated in breast cancer tissue as in comparison to healthy breast tissue. Thereby, KLK4.4 is part of the further analysis. In contrast to that, KLK8 seems to be higher expressed in both normal and cancer tissue (Schmitt et al., 2013).

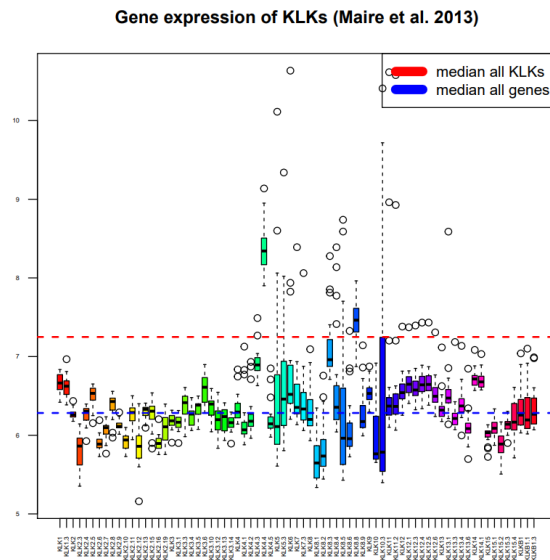


Figure 4: Boxplot of KLK gene expression in breast cancer.

## Heatmap

In figure 5, KLK4.4 forms its own branch independent of all the others. As already shown in the boxplots, KLK4.4 was distinctly up-regulated. To increase the clarity of the heatmap, KLKs are separated into 3 clusters.

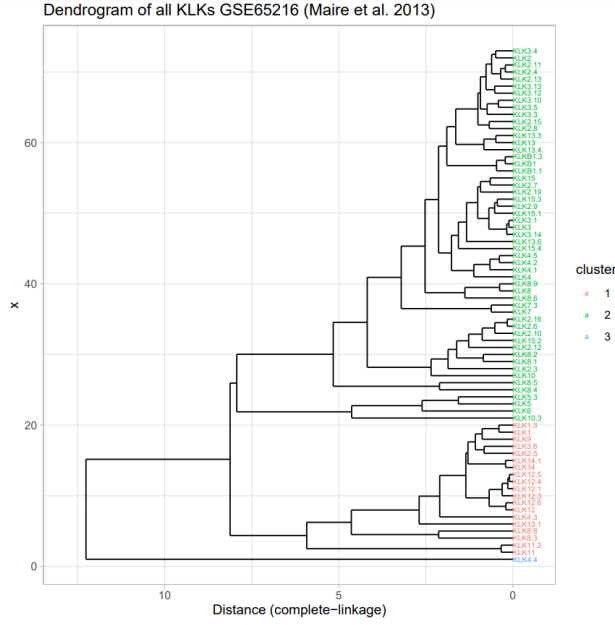


Figure 5: Dendrogram of KLK genes in breast cancer. Clustering is performed after the complete-linkage method. The genes are separated into 3 clusters.

Once again, KLK4.4 clearly stands out (cluster 3) with an overall up-regulated gene expression across all samples. In addition, KLK4.4 belongs to the TRA group. Moreover, gene expression in cluster 1 is higher than within the second cluster. There are only few samples which seem to have up-regulated KLK transcripts for certain mutation types. For instance, the samples Tum01\_TNBC and Tum016\_TNBC got one of the highest expression values across all the KLKs for KLK10.3, KLK6 and KLK5. As well as the samples Tum71\_LumA and Tum76\_LumA for the transcripts KLK11 and KLK11.2.

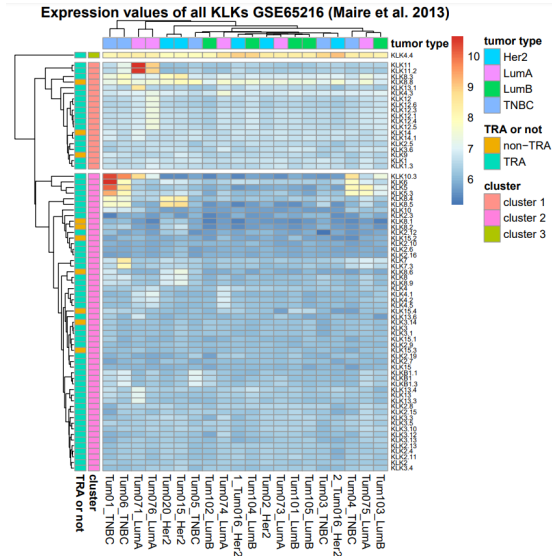


Figure 6: Heatmap of KLK gene expression in breast cancer. The samples are annotated corresponding to their mutation type. Additionally, the KLKs are differentiated by their cluster and potential tissue restriction.

## Principal component analysis

The principal component analysis (PCA) reduces multidimensional datasets into principle components with proportional variance. In this analysis, PCA was executed over the samples. Scaling was not included, due to the data being vsn-normalized. The cumulative variance of the first two principal components (PCs) yield 72% of the total variance.

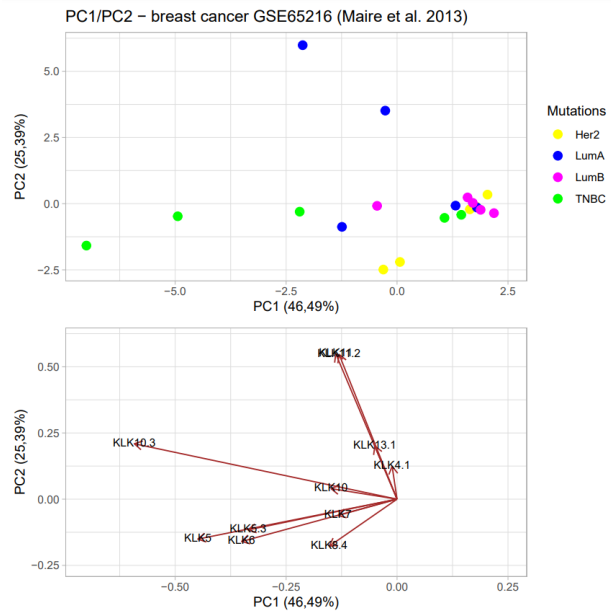


Figure 7: PC1 is plotted against PC2. The upper part shows the distribution of the breast cancer samples annotated by their mutation type, while the lower part depicts the 12 highest loadings of the KLK genes. Centering was enabled, scaling was not included.

The loadings consists of the the top twelve most differentiated KLK isoforms. This was conducted by adding absolute values of the rotation matrix for each individual KLK isoform. Some samples are more characterized by the expression of KLK11 and KLK11.2. This is mostly the case for Tum71\_LumA and Tum76\_LumA samples, just as in the heatmap. Another finding of the PCA is that TNBC mutations are affected by KLK5 and KLK6 expression.

## K-means clustering

In order to draw conclusions on characteristics and distribution of different KLKs, k-means was performed. The optimal number of clusters  $k$  was determined with the elbow method. For different cluster counts the respective within sum of squares (WSS) was computed, a sudden decrease results in a kink. In this case, the optimal number of clusters is six. In figure 8 two of the six clusters are clearly separated. Cluster 1 contains KLK4.4 and KLK8.8, while cluster 4 contains KLK5, KLK5.3, KLK6 and KLK10.3. The respective KLKs out of these two clusters will be further analyzed.

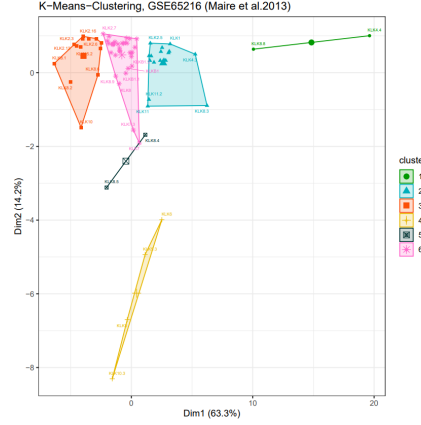


Figure 8: K-means cluster analysis with  $k = 6$  clusters for the breast cancer dataset

## Hypothesis testing

The expression values of the KLKs obtained from Marie et al. were not normally distributed. Therefore, the non-parametric Wilcoxon-Mann-Whitney test was applied. The method merges the values of the two tested samples and ranks the values in an increasing order, before calculating the p-value. First, KLK4.4 (TRA) and KLK8.8 (non-TRA) from k-means cluster 1 were significantly higher expressed than all other KLKs. Those results correspond with the observations from the heatmap and the k-means clustering. Cluster 4 (KLK5, KLK5.3, KLK6, KLK10.3) was isolated in the k-means clustering. Significant over-expression could not be confirmed, due to the fact that was no differentiation between the tumor types.

The main characteristic of the dataset from Marie et al. is the subdivision into the samples with different mutations (Her2, LumA, LumB, TNBC). In figure 9, these genes are shown with the subdivision into the different mutation types. A recurring pattern in the figure is the significant over-expression of TNBC compared with Her2. This observation includes KLK5, KLK5.3, KLK10, KLK10.3.

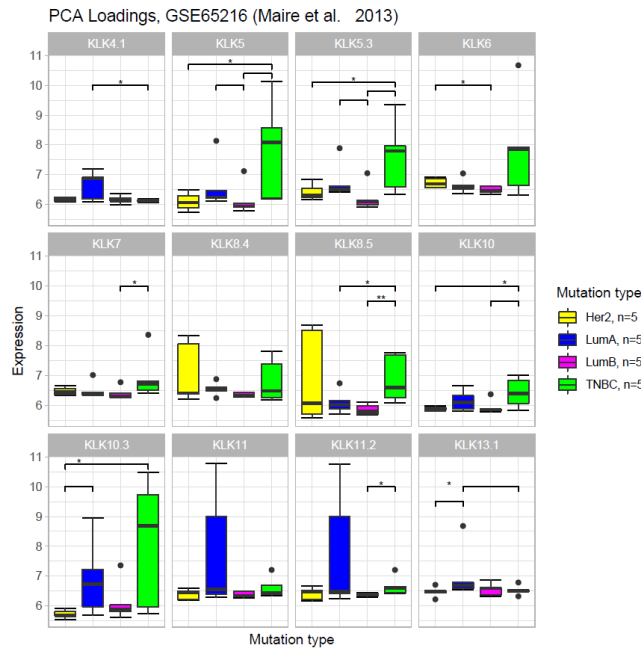


Figure 9: Panel plot of the PCA loading genes with significant bars. \*: p-value  $\leq 0.5$ , \*\*: p-value  $\leq 0.01$



## 4.2 Lung cancer GSE149507 (Cai et al., 2021)

The lung cancer microarray GSE149507 (Cai et al., 2021) derives from six patients with small cell lung cancer. The dataset consists of a total of twelve samples. Carcinoma tissue and healthy lung tissue, which is adjacent to the carcinoma, make up six samples each.

### Boxplot

Most of the KLK boxplots are lower than the overall median gene expression and thereby clearly down-regulated (Yousef et al., 2004). KLK4.4 clearly stands out again as the highest expressed KLK gene. The boxplot demonstrates that KLK12 and its isoforms have a high variance and their expression patterns are similar. A possible reason is that the lung cancer dataset consists of both normal and healthy tissue, as in comparison to the breast cancer dataset. In this case, KLK12 and its isoforms a subject for further investigation to determine whether they are differently expressed between normal and carcinoma samples.

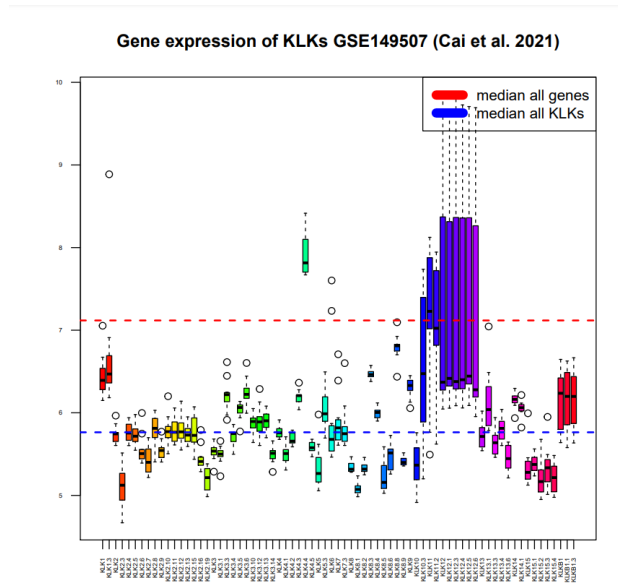


Figure 10: Boxplot of KLK gene expression in lung cancer.

### Heatmap

The lung dataset was split into three clusters with the same method used for the breast cancer dataset. In addition, the samples are clustered according to their tissue type being lung carcinoma or healthy tissue. In the dendrogram of the sample type it is striking that the normal samples are clustered into one group with additionally two more cancer samples. Whereas, the other four cancer samples all form their own distinct group. The clustering of the samples clearly reflects itself in the KLK11 and KLK12 gene expression. While KLK4.4 is higher expressed for both normal and carcinoma samples, KLK11 and KLK12 isoforms are mainly higher expressed for the carcinoma sample. The only exception are the already mentioned carcinoma samples SCLC\_01 and SCLC\_03.

Four out of the six cancer samples have slightly up-regulated KLK11 values. The significance will be tested. The two aforementioned carcinoma samples SCLC\_01 and SCLC\_03 even got down-regulated KLK11 expression.

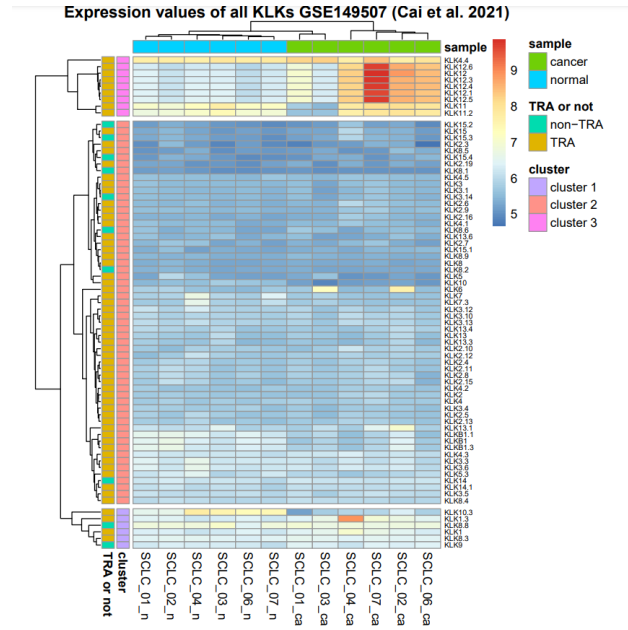


Figure 11: Heatmap of KLK gene expression in breast cancer. Carcinoma and normal samples are annotated. Additionally, the KLKs are differentiated by their cluster and potential tissue restriction.

## PCA

The first two PCs explain 84% of the total variance. This high cumulative variance indicates that the majority of the variance can be explained by only a few transcripts. As expected, the PCA shows a clear separation between normal and carcinoma samples. Considering the top loadings, four of the cancer samples are characterized by KLK12, while the other two tumor samples SCLC\_01\_ca and SCLC\_03\_ca are mainly represented by KLK4.4 and KLK6 expression.

As in the heatmap, four out of the six cancer samples have up-regulated KLK12 expression values, the two cancer samples SCLC\_01\_ca and SCLC\_03\_ca form an exception. They are rather defined by KLK6 and KLK4.4 expression.

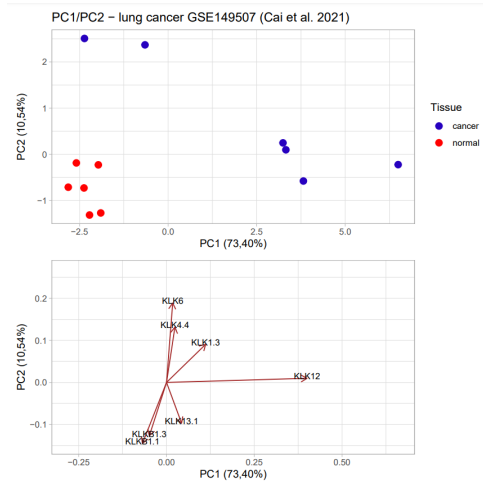


Figure 12: PC1 is plotted against PC2. The upper part shows the distribution of the lung cancer samples annotated by their tissue type, while the lower part depicts the top 7 loadings of the KLKs.

## Clustering - kmeans

The optimal cluster count was determined with the same method as for the breast cancer dataset and equaled five. Cluster 5 only consists of KLK12 and its isoforms. KLK4.4 and KLK8.8, which were conspicuous in the heatmap, are part of cluster 1. The other three clusters containing genes, which were low expressed in the heatmap, are located next to each other.

## Hypothesis testing

The results of the PCA and the k-means indicate that for some KLKs the expression differs between the cancerous and normal tissue. Since the KLK expression is not normally distributed, the Wilcoxon signed-rank test was used. In figure 13 plot A, KLK4.4 was significantly higher expressed in cancer tissue. Unlike KLK10.3, which was significantly higher expressed in normal tissue. Plot B shows that KLK12 and its isoforms are significantly higher expressed in cancer tissue. Also, the plot visualizes the high similarity within isoforms because only identical transcripts were removed during the clean up. KLKs that characterize normal samples in the PCA are shown in plot C. In this respect, KLKB1.1 and KLKB1.3 are significantly down-regulated in the cancer tissue. The KLKs which characterized the cancer tissue are shown in plot D. Here, KLK1.3, KLK4.4, and KLK12 were significantly higher expressed in cancerous tissue. In summary, five out of seven loadings were found to have a significant expression difference between the tissue types. Those results confirm the clear separation of cancer and normal tissue microchips in the PCA based on KLK expression. In conclusion, the hypothesis tests confirm the clear separation of cancer and normal tissue sample in the PCA based on KLK expression.

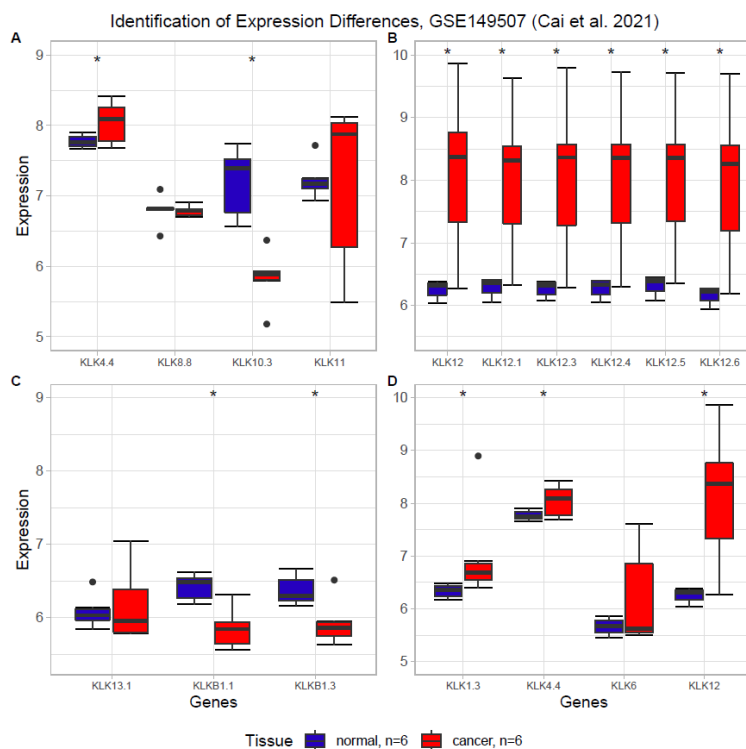


Figure 13: A) Genes from k-means cluster 1, B) Genes from k-means cluster 4, C) PCA loading genes oriented in the direction of normal tissue, D) PCA loading genes oriented in the direction of cancer tissue. \* indicate a p-value < 0.05 between the expression in the different tissue types, upper and lower tail Wilcoxon signed-rank test were used.

## 5. Logistic regression

Kallikrein mRNA or protein expression are already established in clinical practice as biomarkers especially in prostate cancer (Diamandis et al., 1998). Testing whether the identified genes with a significant expression difference were likely to predict tissue type, logistic regression was chosen. The basic assumptions for logistic regression are: 1. Independence of errors, every observation has to be separate from the others. 2. Linearity of the continuous variables in logit - the relationship between the variable and their logit transformed outcome should be linear. 3. Absence of multicollinearity or redundancy. 4. No outliers with a strong influence. 5. For every independent variable there should be at least ten outcomes (Stoltzfus 2011).

These assumptions reveal the shortcomings of the used data and explain the experienced problems with logistic regression. First, the main limitation of the used dataset is the low number of included microchips. The number of microchips has also been further reduced by splitting the data in a training dataset (eight microchips) and testing dataset (four microchips). Therefore, expected problems of high standard errors and large beta-coefficients for the independent variables were encountered when including more than one independent variable. This phenomenon is also called overfit-model. For most individual genes with a significant expression difference the described problems were encountered. The only exceptions were KLK4.4 and KLK12. But in both cases the p-value was not significant. In contrast, the prediction of these univariant models were surprisingly accurate. The model with KLK4.4 could predict the tissue type of three out of four microchips correctly, whereas the model with KLK12 predicted every tissue type right. However, a closer look at the probabilities reveals that these models are not reliable. The probabilities for normal to be cancer tissue were mostly over a quarter, indicating a high uncertainty.

## 6. Discussion

The aim of this project was to analyze whether the expression of Kallikrein genes in given datasets show potential biomarker characteristics.

In previous studies regarding breast cancer, a higher expression of KLK8 in TNBC and Her2 positive tumors compared to LumA and LumB positive tumors was reported (Michaelidou et al., 2018). However, the conducted analysis could only confirm significant TNBC over-expression for the isoform KLK8.5 compared to LumA and LumB. Nevertheless, the boxplots of KLK8.4 and KLK8.5 showed the trend of Her2 and TNBC over-expression. The expression pattern that TNBC is significantly higher than Her2 (KLK5 and KLK10) could not be validated in the literature. This could be subject for further investigations. In summary, the conducted analysis could partially conform the findings from other research groups. The differences can probably be explained by the small amount of samples used in this analysis. In conclusion Kallikrein gene expression can be used for identifying tumor subtypes and even predict the outcome for a patient (Haritos et al., 2018). Analysis of the lung cancer microarray GSE149507 (Cai et al., 2021) demonstrated differences in the expression of some KLKs between the cancerous and normal tissues. KLK4.4 was significantly higher expressed in cancer tissue, although it was not obviously shown in the heatmap. In normal tissue KLK10.3 was expressed significantly higher. The finding of decreased KLK11 expression in lung cancer by Sasaki et al. could not be confirmed. Rather, the median of cancer tissue samples was higher. Although over-expression of KLK12 and its isoforms could not be found in the literature, functional studies showed KLK12 to be an pro-angiogenic factor (Kryzer et al., 2013). Regression analysis shows the capability of identifying cancerous tissue according to specific KLKs. Since the sample size was not sufficient enough to include more than one independent variable, it should be increased for further studies.

In conclusion, our results show the potential of the Kallikrein genes to differentiate between cancerous and healthy tissue, as well as between certain mutation types. Furthermore, the over-expression of certain tissue-restricted KLKs allows for their potential use as drug targets (Borgoño et al., 2004).

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