A photograph taken from inside a tent, looking out through the opening. The tent's interior is dark, and the opening frames a bright, snowy mountain peak under a clear blue sky. The mountain is covered in snow and has some rocky outcrops. In the foreground, inside the tent, there are some items like a metal pot and a blue bag.

# **Chapter 6**

## **System Design: Decomposing the System**

# *Design*

“There are two ways of constructing a software design: One way is to make it so simple that there are obviously no deficiencies, and the other way is to make it so complicated that there are no obvious deficiencies.”

**- C.A.R. Hoare**

***Which would be more difficult?***

# *Why is Design so Difficult?*

- ♦ *Analysis*: Focuses on the application domain
- ♦ *Design*: Focuses on the solution domain
  - ♦ Design knowledge is a moving target
  - ♦ The reasons for design decisions are changing very rapidly
    - ♦ **Halftime knowledge in software engineering:  
About 3-5 years**
    - ♦ **What I teach today will be out of date in 3 years**
      - ♦ Cost of hardware rapidly sinking
- ♦ “Design window”:
  - ♦ Time in which design decisions have to be made
- ♦ Technique
  - ♦ Time-boxed prototyping

The “evolutionary rapid development” process focuses on the use of small artisan-based teams integrating software and systems engineering disciplines working multiple, often parallel short-duration *timeboxes* with frequent customer interaction. ...*reuse of architectural components* ...

# *Overview*

## System Design I (Today)

### **0. Overview of System Design**

#### **1. Design Goals**

#### **2. Subsystem Decomposition**

## System Design II: Addressing Design Goals (next lecture)

#### **3. Concurrency**

**More Self reading**

#### **4. Hardware/Software Mapping**

#### **5. Persistent Data Management**

#### **6. Global Resource Handling and Access Control**

#### **7. Software Control**

#### **8. Boundary Conditions**

# *System Design*

## System Design

```
graph TD; SD[System Design] --- D1[1. Design Goals]; SD --- D2[2. System Decomposition]; SD --- D3[3. Concurrency]; SD --- D4[4. Hardware/Software Mapping]; SD --- D5[5. Data Management]; SD --- D6[6. Global Resource Handling]; SD --- D7[7. Software Control]; SD --- D8[8. Boundary Conditions];
```

### **1. Design Goals**

Definition  
Trade-offs

### **2. System Decomposition**

Layers/Partitions  
Cohesion/Coupling

### **3. Concurrency**

Identification of  
Threads

### **4. Hardware/ Software Mapping**

Special purpose  
Buy or Build Trade-off  
Allocation  
Connectivity

### **5. Data Management**

Persistent Objects  
Files  
Databases  
Data structure

### **6. Global Resource Handling**

Access control  
Security

### **8. Boundary Conditions**

Initialization  
Termination  
Failure

### **7. Software Control**

Monolithic  
Event-Driven  
Threads  
Conc. Processes

# *How to use the results from the Requirements Analysis for System Design*

- ◆ Nonfunctional requirements =>
  - ◆ **Activity 1: Design Goals Definition**
- ◆ Functional model =>
  - ◆ **Activity 2: System decomposition (Selection of subsystems based on functional requirements, cohesion, and coupling)**
- ◆ Object model =>
  - ◆ **Activity 4: Hardware/software mapping**
  - ◆ **Activity 5: Persistent data management**
- ◆ Dynamic model =>
  - ◆ **Activity 3: Concurrency**
  - ◆ **Activity 6: Global resource handling**
  - ◆ **Activity 7: Software control**
- ◆ Subsystem Decomposition
  - ◆ **Activity 8: Boundary conditions**

# *List of Design Goals*

- ◆ Reliability
- ◆ Modifiability
- ◆ Maintainability
- ◆ Understandability
- ◆ Adaptability
- ◆ Reusability
- ◆ Efficiency
- ◆ Portability
- ◆ Traceability of requirements
- ◆ Fault tolerance
- ◆ Backward-compatibility
- ◆ Cost-effectiveness
- ◆ Robustness
- ◆ High-performance
- Good documentation
- Well-defined interfaces
- User-friendliness
- Reuse of components
- Rapid development
- Minimum # of errors
- Readability
- Ease of learning
- Ease of remembering
- Ease of use
- Increased productivity
- Low-cost
- Flexibility

*Are these exhaustive? Anything else?*  
*What do we do with all these?*

# *How do we get the Design Goals?*

**Let's look at a small example**

□ **Current Situation:**

- ◆ Computers must be used in the office

□ **What we want:**

- ◆ A computer that can be used in mobile situations.

**Why?**

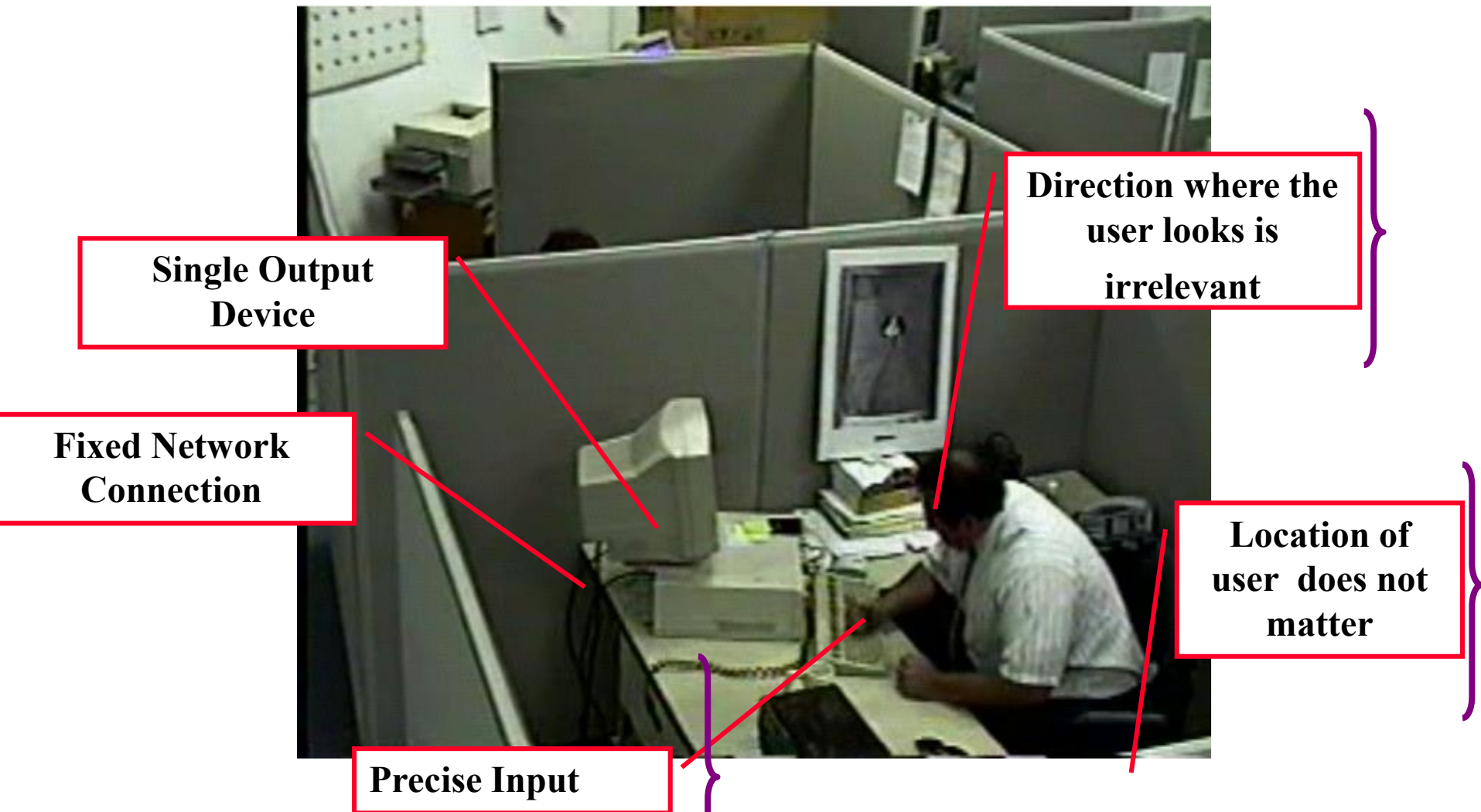
**Problem**



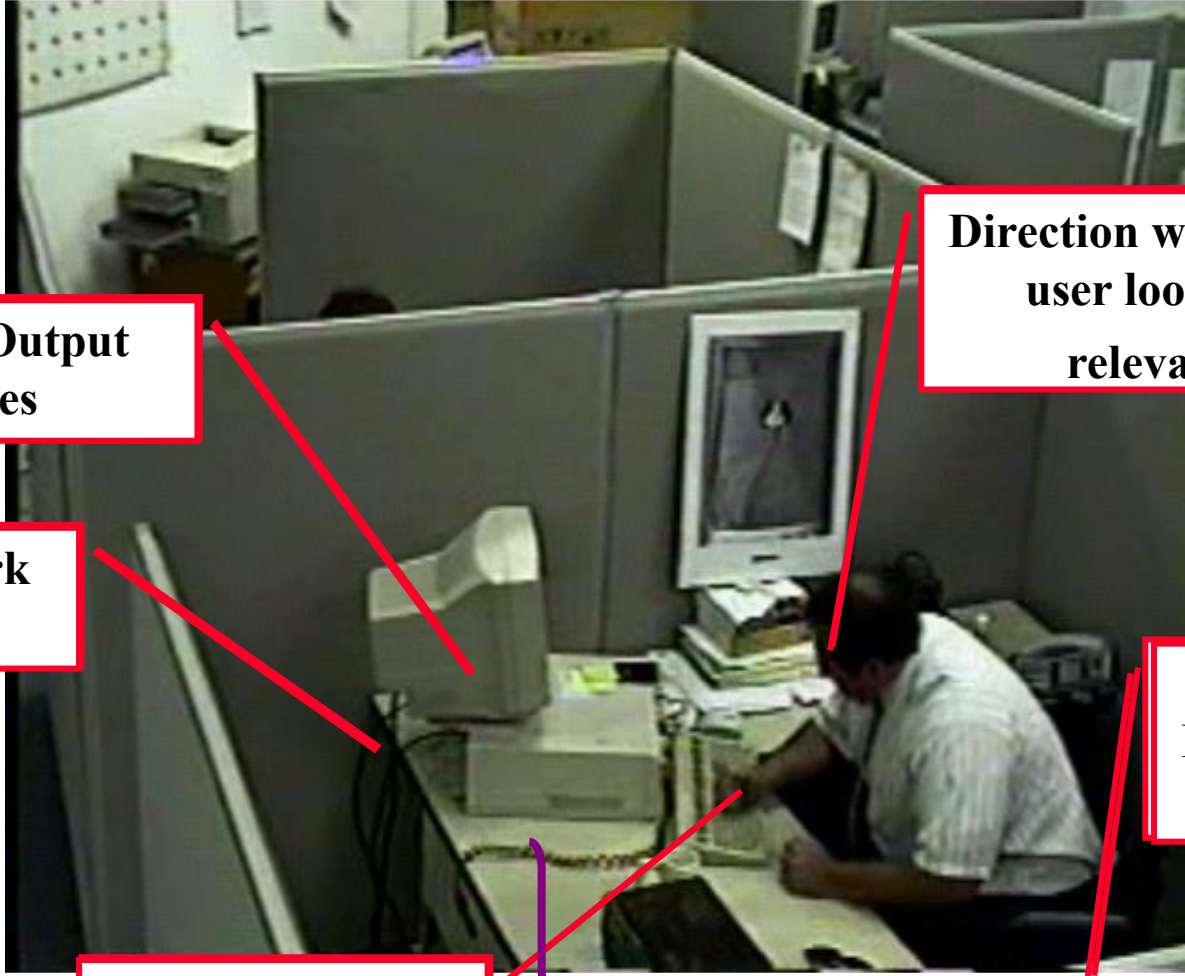
***What are the technical terms describing the two?***



# *Identify Current Technology Constraints*



# *Generalize Constraints using Technology Enablers*



The image shows a person working at a desk in a cubicle. Several annotations are present:

- Multiple Output Devices**: Points to a computer monitor and a printer.
- Dynamic Network Connection**: Points to a network switch or router.
- Vague Input**: Points to a keyboard.
- Direction where the user looks is relevant**: Points to the person's head.
- Location-based**: Points to the cubicle area.

*Any concrete scenarios?*

# *Establish New Design Goals*

- ❑ **Mobile Network Connection**
- ❑ **Multiple Output Devices**
- ❑ **Location-Based**
- ❑ **Multimodal Input (Users Gaze, Users Location, ...)**
- ❑ **Vague input**

***Are these Requirements or Design?***

# *Sharpen the Design Goals*

## □ **Location-based input**

- ◆ Input depends on user location
- ◆ Input depends on the direction where the user looks (“egocentric systems”)

## □ **Multi-modal input**

- ◆ The input comes from more than one input device

## □ **Dynamic connection**

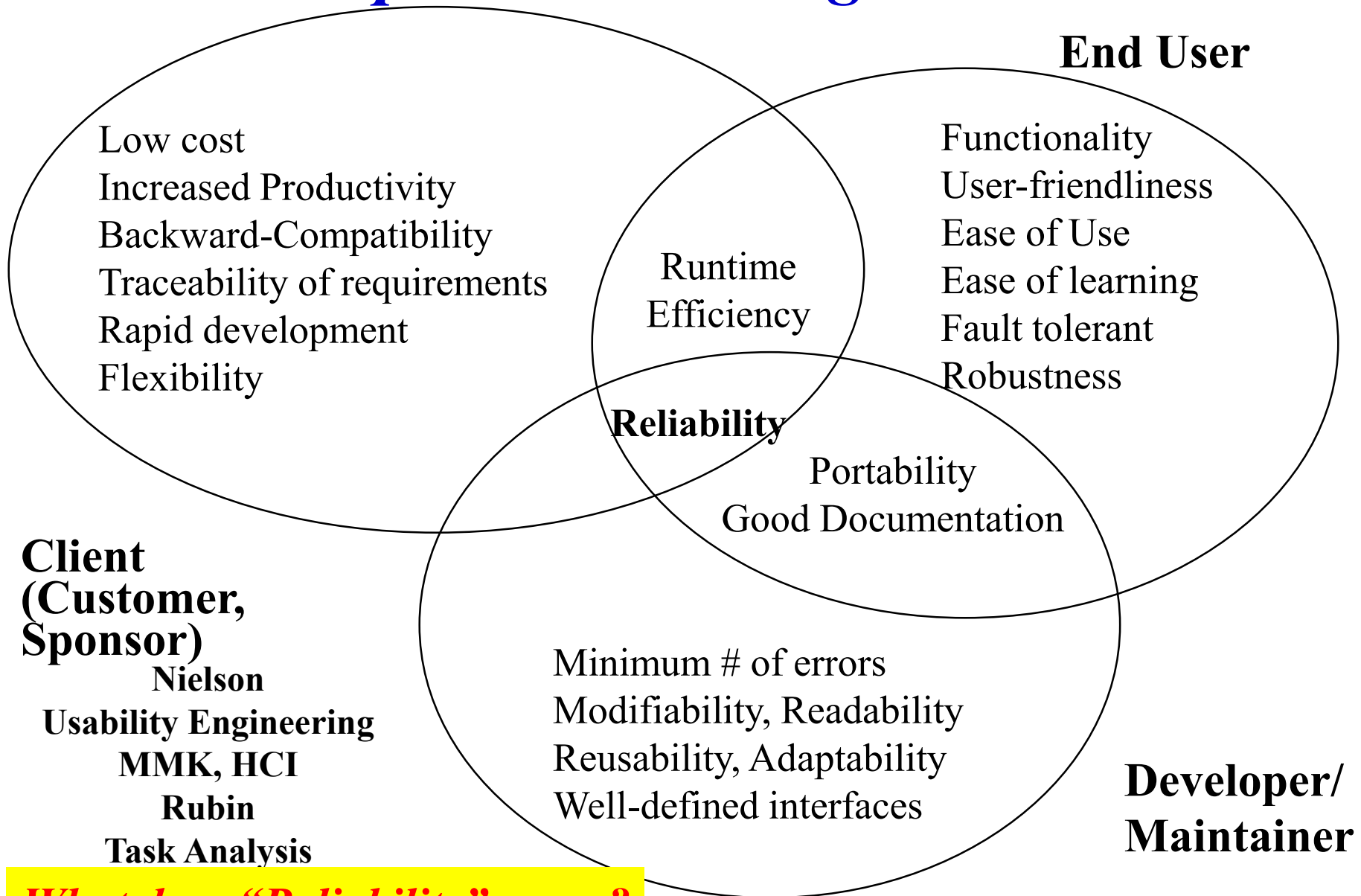
- ◆ Contracts are only valid for a limited time

## □ **Is there a possibility of further generalizations?**

## □ **Example: location can be seen as a special case of *context***

- ◆ User preference is part of the context
- ◆ Interpretation of commands depends on context

# *Relationship Between Design Goals*



*What does “Reliability” mean?*

# *Typical Design Trade-offs*

- ◆ Functionality vs. Usability
- ◆ Cost vs. Robustness
- ◆ Efficiency vs. Portability
- ◆ Rapid development vs. Functionality
- ◆ Cost vs. Reusability
- ◆ Backward Compatibility vs. Readability

## Section 2. System Decomposition

- ◆ Subsystem (*UML: Package*)
  - ◆ Collection of classes, associations, operations, events and constraints that are interrelated
  - ◆ *Seed for subsystems: UML Objects and Classes.*
- ◆ (Subsystem) Service:
  - ◆ Group of operations provided by the subsystem
  - ◆ *Seed for services: Subsystem use cases*
- ◆ Service is specified by Subsystem interface:
  - ◆ Specifies interaction and information flow from/to subsystem boundaries, but *not inside* the subsystem.
  - ◆ Should be well-defined and small.
  - ◆ Often called API: Application programmer's interface, but this term should be used during implementation, not during System Design

*From what spec.?*

# *Coupling and Cohesion*

- ♦ Goal: Reduction of *complexity while change occurs*
- ♦ **Cohesion** measures the dependence among classes
  - ♦ **High cohesion:** The classes in the subsystem perform similar tasks and are related to each other (via associations)
  - ♦ **Low cohesion:** Lots of miscellaneous and auxiliary classes, no associations
- ♦ **Coupling** measures dependencies between subsystems
  - ♦ **High coupling:** Changes to one subsystem will have high impact on the other subsystem (change of model, massive recompilation, etc.)
  - ♦ **Low coupling:** A change in one subsystem does not affect any other subsystem
- ♦ Subsystems should have as **maximum** cohesion and **minimum** coupling as possible:

*Can you illustrate these using UML conventions?*



# *Partitions and Layers*

*... and ???*

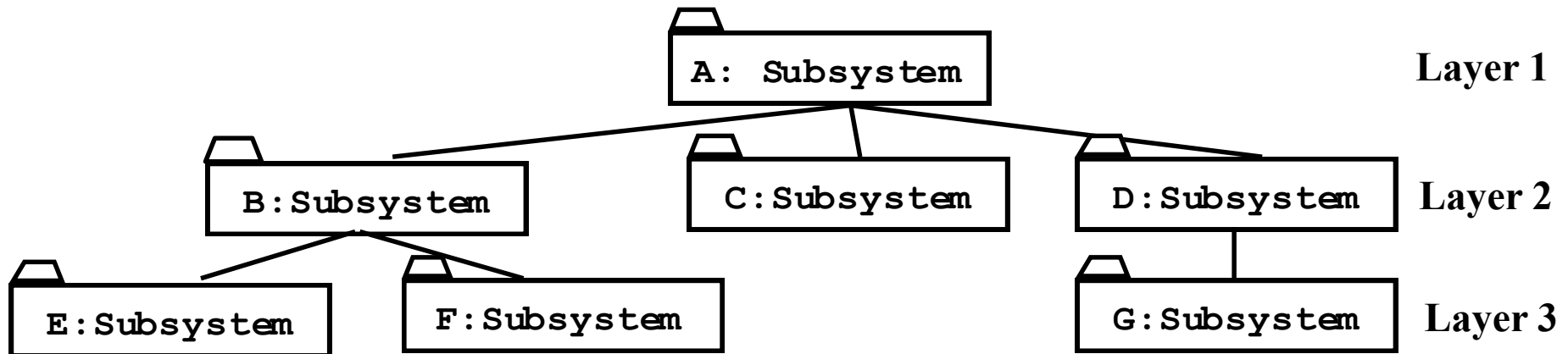
Partitioning and layering are techniques to achieve low coupling.

A large system is usually decomposed into subsystems using both, layers and partitions.

- ♦ **Partitions** vertically divide a system into several independent (or weakly-coupled) subsystems that provide services on the same level of abstraction.
- ♦ A **layer** is a subsystem that provides subsystem services to a higher layers (level of abstraction)
  - ♦ A layer can only depend on lower layers
  - ♦ A layer has no knowledge of higher layers

*What are other architectural styles?*

# Subsystem Decomposition into Layers



**Ideally use one package for each subsystem**

- ◆ Subsystem Decomposition Heuristics:
- ◆ No more than  $7 \pm 2$  subsystems **Why?**
  - ◆ More subsystems increase cohesion but also complexity (more services)
- ◆ No more than  $4 \pm 2$  layers, use 3 layers (good) **Why?**

# *Relationships between Subsystems*

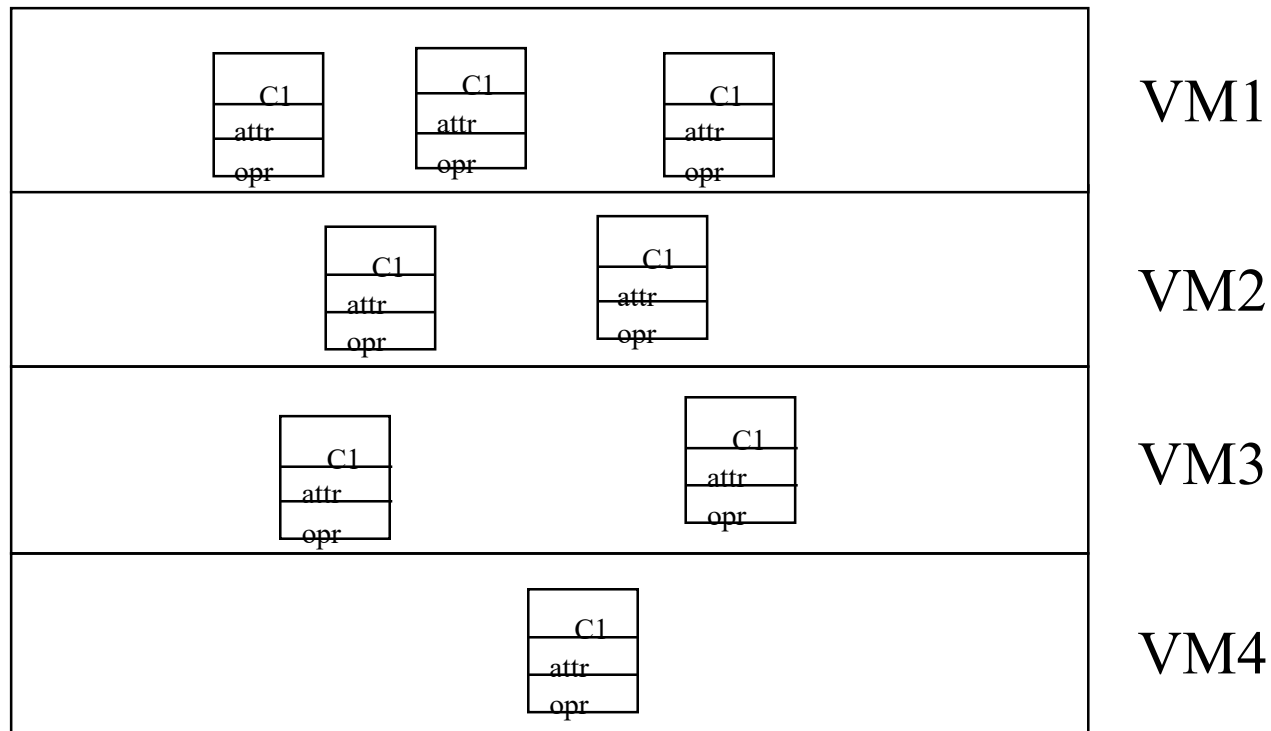
- ♦ Layer relationship
  - ♦ Layer A “Calls” Layer B (runtime)
  - ♦ Layer A “Depends on” Layer B (“make” dependency, compile time)
- ♦ Partition relationship
  - ♦ The subsystem have mutual but not deep knowledge about each other
  - ♦ Partition A “Calls” partition B and partition B “Calls” partition A

*Actually, this will depend on the directionality?*

# Virtual Machine

- ♦ Dijkstra: T.H.E. operating system (1965)
  - ♦ A system should be developed by an ordered set of virtual machines, each built in terms of the ones below it.

## Problem



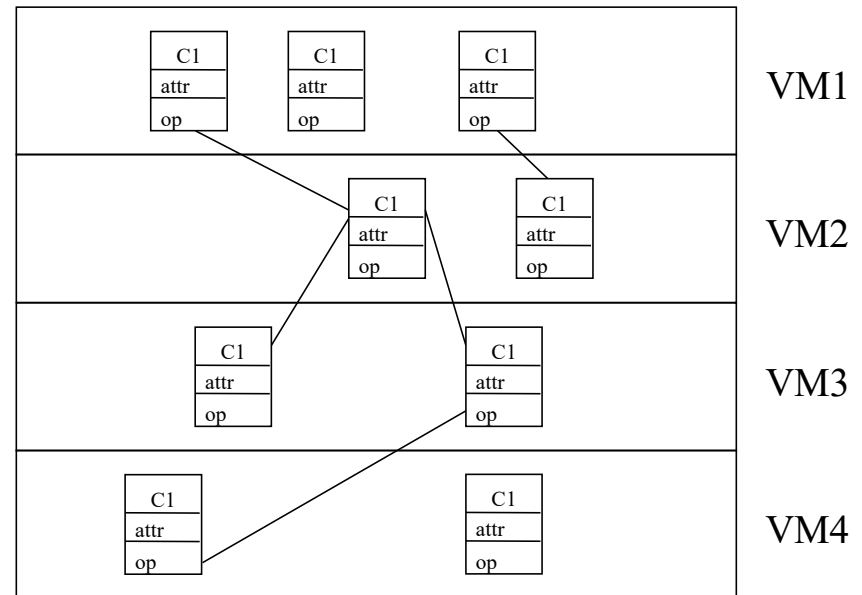
## Existing System

# *Virtual Machine*

- ♦ A virtual machine is an abstraction
  - ♦ It provides a set of attributes and operations.
- ♦ A virtual machine is a subsystem
  - ♦ It is connected to higher and lower level virtual machines by **"provides services for"** associations. *How do we represent this in UML?*
- ♦ Virtual machines can implement two types of software architecture
  - ♦ Open and closed architectures.

# *Closed Architecture (Opaque Layering)*

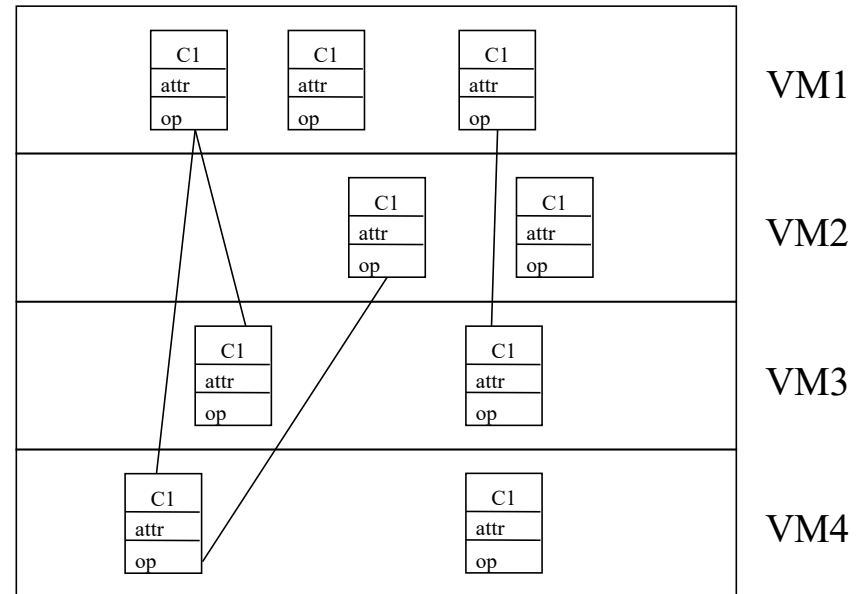
- ◆ Any layer can only invoke operations from the immediate layer below
- ◆ Design goal: **High maintainability, flexibility**



*Only vertical communications?*

# *Open Architecture (Transparent Layering)*

- ◆ Any layer can invoke operations from any layers below
- ◆ Design goal: **Runtime efficiency**



# *Properties of Layered Systems*

- ♦ Layered systems are *hierarchical*. They are desirable because hierarchy reduces complexity (by low coupling).
- ♦ Closed architectures are more portable. *Why?*
- ♦ Open architectures are more efficient.  
*and what else?* *So, which is better?*
- ♦ If a subsystem is a layer, it is often called a virtual machine.

*What are examples of systems using a layered architectural style?*



# *Software Architectural Styles*

- ◆ Subsystem decomposition
  - ◆ Identification of subsystems, services, and their relationship to each other.
- ◆ *Specification* of the system decomposition is critical.
- ◆ Patterns for software architecture
  - ◆ Client/Server
  - ◆ Peer-To-Peer
  - ◆ Repository
  - ◆ Model/View/Controller
  - ◆ Pipes and Filters

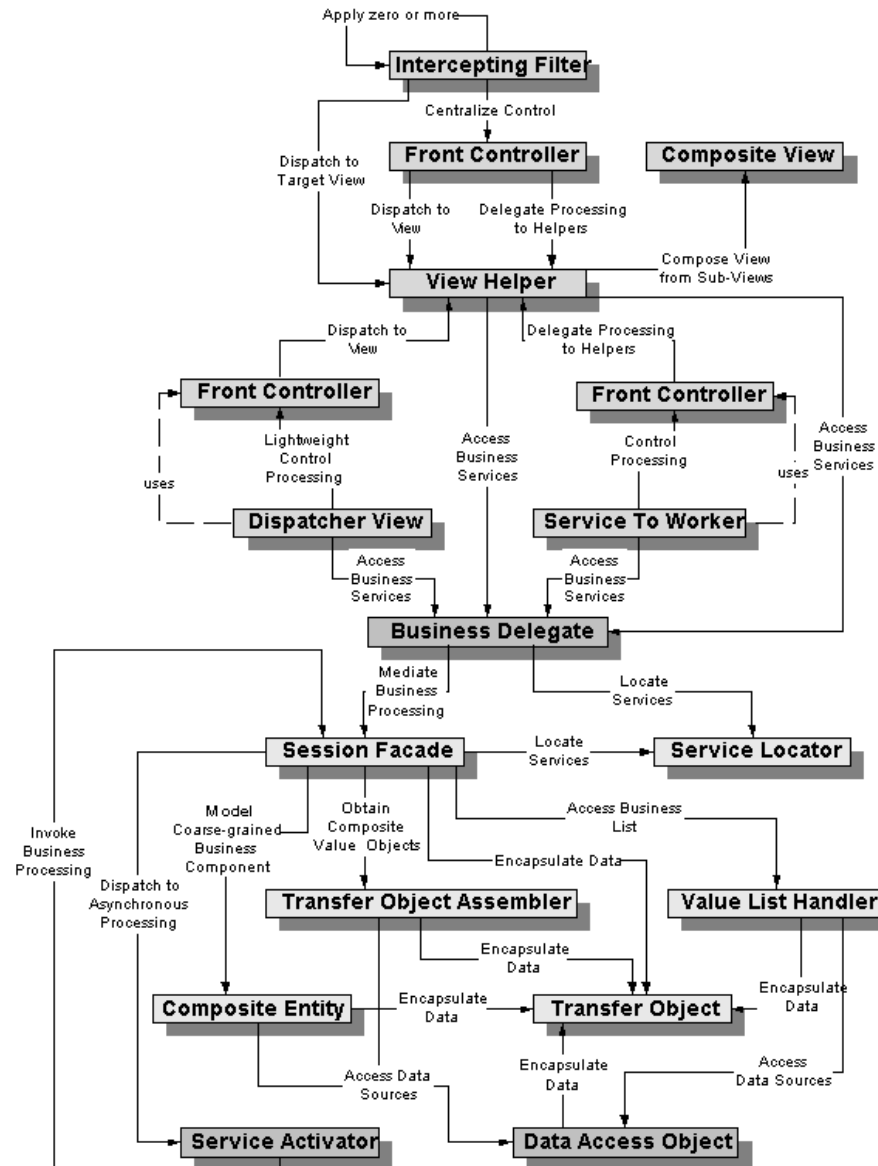
*Patterns = styles?*

*Is this a J2EE pattern?*

*What are other architectural styles?*

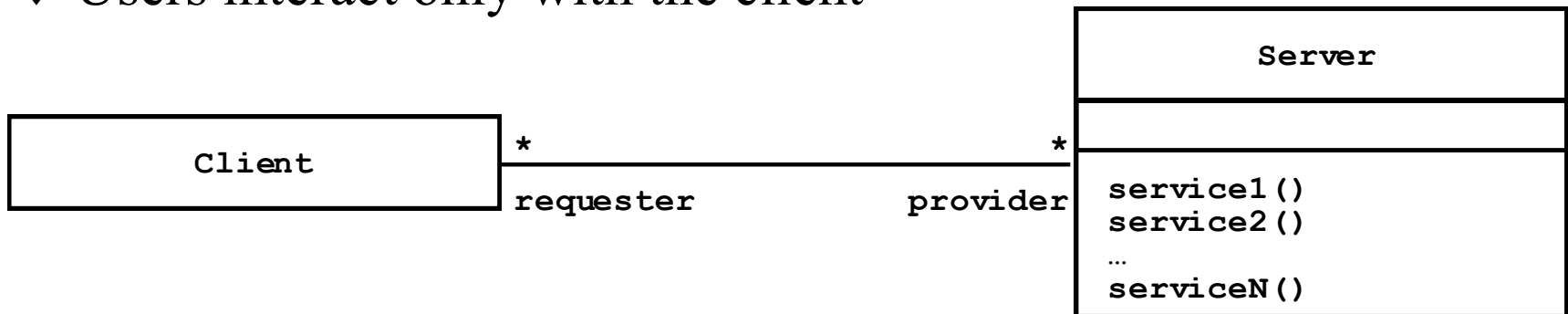
# Core J2EE Patterns: Patterns index page

<http://java.sun.com/blueprints/corej2eepatterns/Patterns/index.html>



# Client/Server Architectural Style

- ♦ One or many **servers** provides services to instances of subsystems, called **clients**.
- ♦ Client calls on the server, which performs some service and returns the result
  - ♦ **Client** knows the *interface* of the server (*its service*)
  - ♦ **Server** does not need to know the interface of the client
- ♦ Response in general immediately
- ♦ Users interact only with the client



*Is “interface” the same as “interface of the server” in UML?*

# *Client/Server Architectural Style*

- ◆ Often used in database systems:
  - ◆ **Front-end: User application (client)**
  - ◆ **Back end: Database access and manipulation (server)**
- ◆ Functions performed by client:
  - ◆ **Customized user interface**
  - ◆ **Front-end processing of data**
  - ◆ **Initiation of server remote procedure calls**
  - ◆ **Access to database server across the network**
- ◆ Functions performed by the database server:
  - ◆ **Centralized data management**
  - ◆ **Data integrity and database consistency**
  - ◆ **Database security**
  - ◆ **Concurrent operations (multiple user access)**
  - ◆ **Centralized processing (for example archiving)**

?

**Cf. J2EE and its evolution:**  
**-motivation behind J2EE?**  
**-architecture?**

***Does a system use a single style or multiple styles?***

# *Design Goals for Client/Server Systems*

- ♦ *Service Portability*
  - ♦ Server can be installed on a variety of machines and operating systems and functions in a variety of networking environments
- ♦ *Transparency, Location-Transparency*
  - ♦ The server might itself be distributed (why?), but should provide a single "logical" service to the user
- ♦ *Performance* ***Is this what performance means to you?***
  - ♦ Client should be customized for interactive display-intensive tasks
  - ♦ Server should provide CPU-intensive operations
- ♦ *Scalability*
  - ♦ Server should have spare capacity to handle larger number of clients
- ♦ *Flexibility*
  - ♦ The system should be usable for a variety of user interfaces and end devices (eg. WAP Handy, wearable computer, desktop)
- ♦ ***Reliability*** ***Is this what reliability means to you?***
  - ♦ System should survive node or communication link problems

# *Problems with Client/Server Architectural Styles*

- ◆ do not provide peer-to-peer communication
- ◆ Peer-to-peer communication is often needed
- ◆ Example: Database receives queries from application but also sends notifications to application when data have changed

**What does this mean?**

## *Peer-to-Peer communication [Wikipedia]*

**Peer-to-peer (P2P)** networking is a method of delivering computer network services in which the participants share a portion of their own resources, such as processing power, disk storage, network bandwidth, printing facilities. Such resources are provided directly to other participants without intermediary network hosts or servers.<sup>[1]</sup> Peer-to-peer network participants are providers and consumers of network services simultaneously, which contrasts with other service models, such as traditional client-server computing.



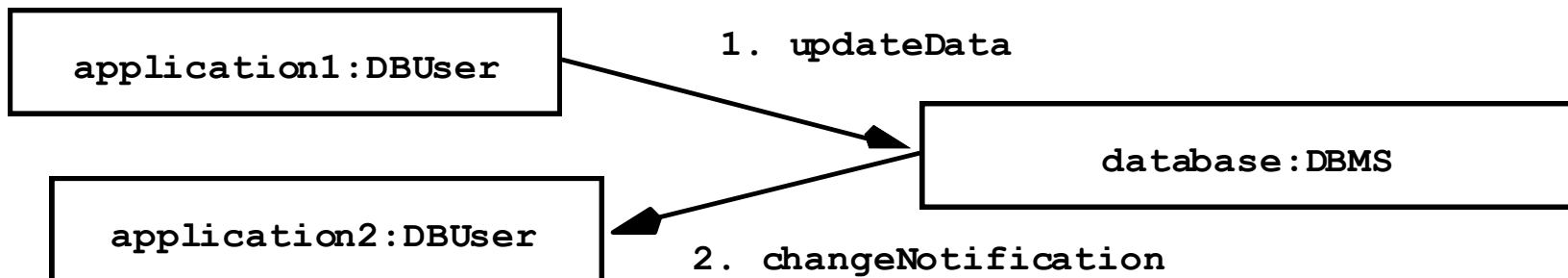
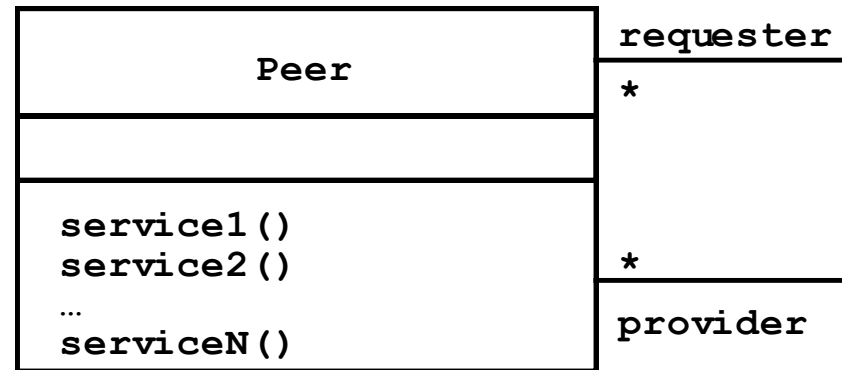
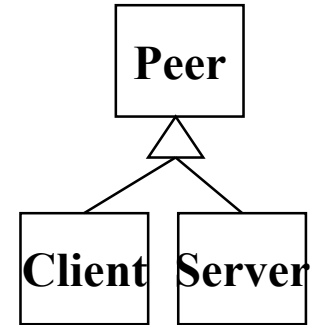
**A peer-to-peer based network**



**A server based network  
(i.e: not peer-to-peer).**

# Peer-to-Peer Architectural Style

- ◆ Generalization of Client/Server Architecture
- ◆ Clients can be servers and servers can be clients

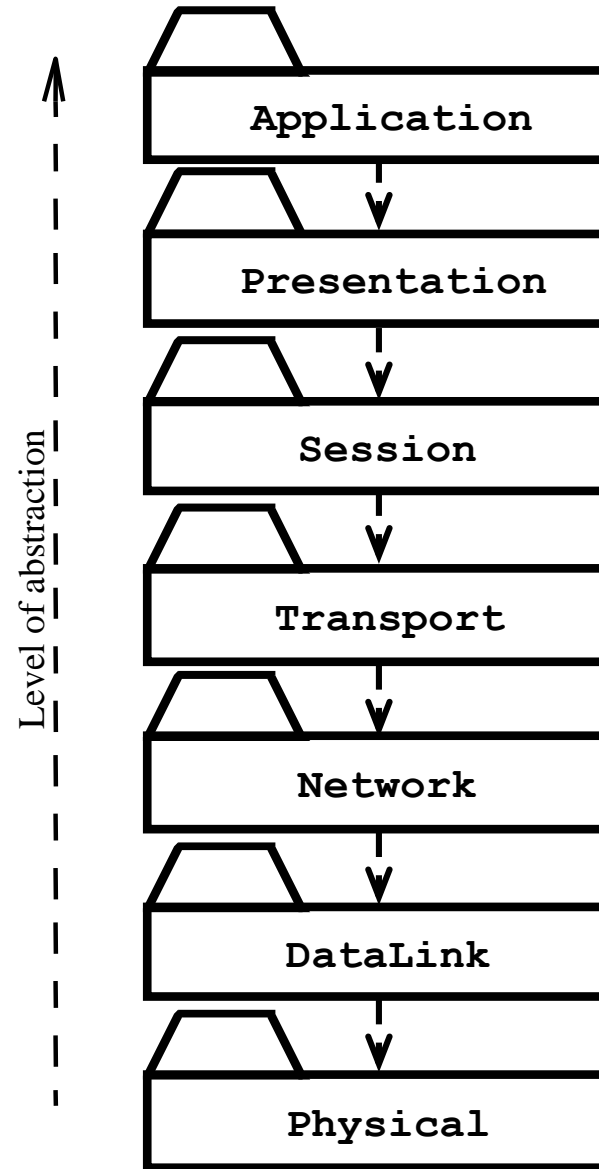


**This is where the chicken-and-egg problem exists!**



# *Example of a Peer-to-Peer Architectural Style*

- ♦ ISO's OSI Reference Model
  - ♦ ISO = International Standard Organization
  - ♦ OSI = Open System Interconnection
- ♦ Reference model defines 7 layers of network protocols and strict methods of communication between the layers.
- ♦ Closed software architecture

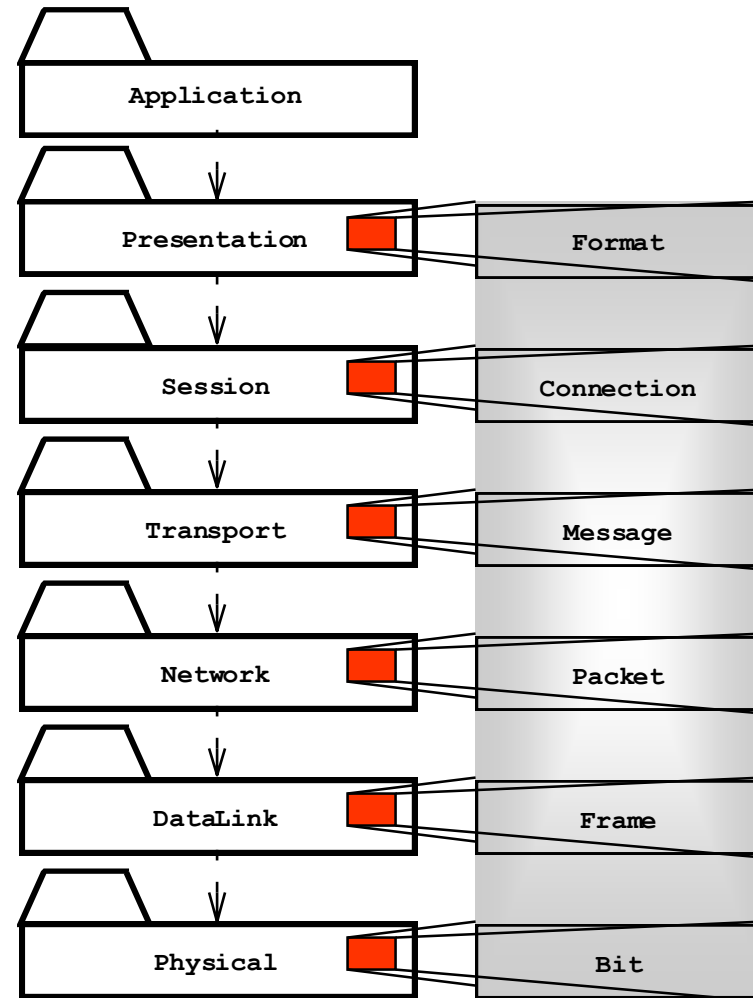


# *OSI model Packages and their Responsibility*

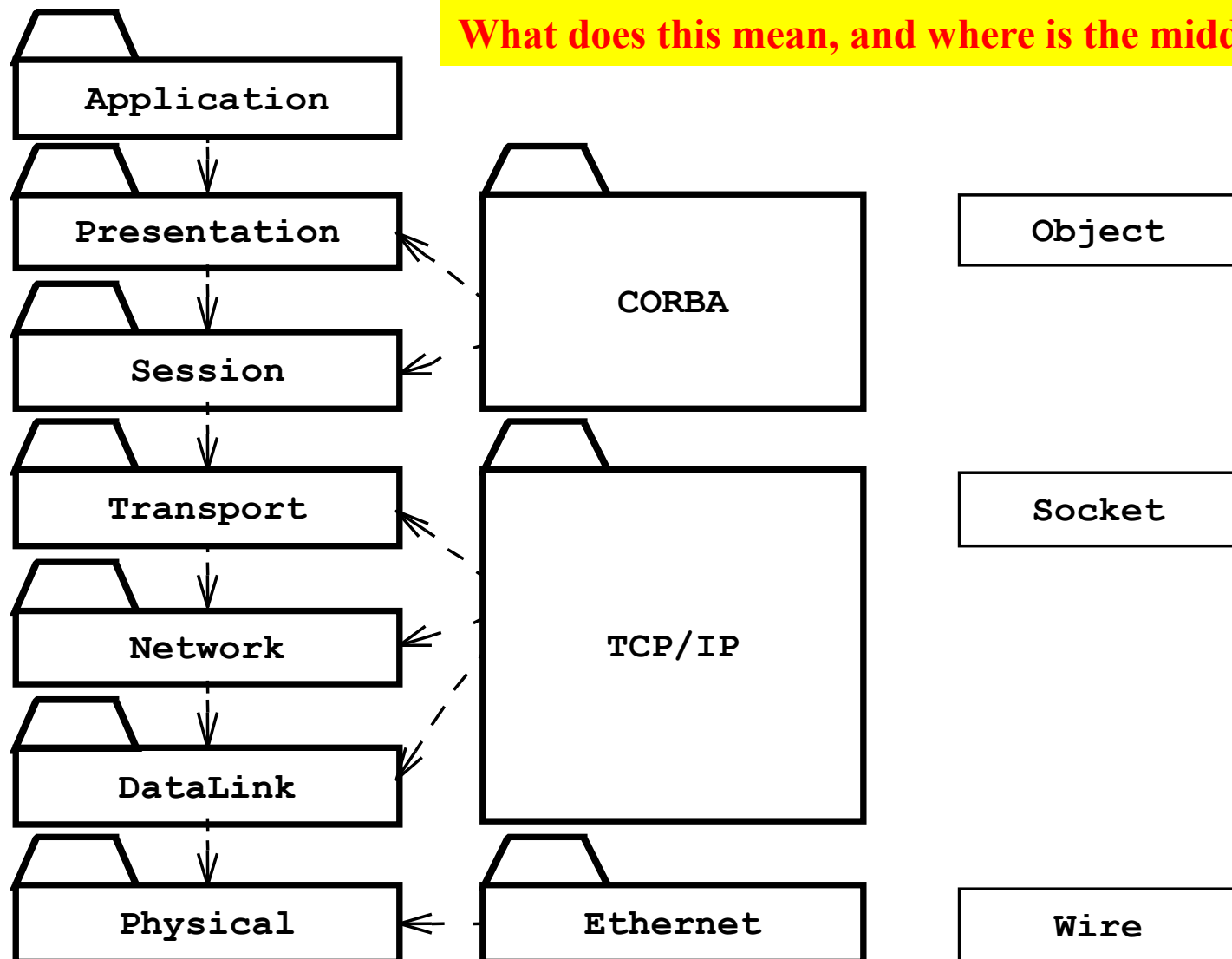
- ♦ The **Physical** layer represents the hardware interface to the net-work. It allows to **send()** and **receive bits** over a **channel**.
- ♦ The **Datalink** layer allows to send and receive **frames** without error using the services from the Physical layer.
- ♦ The **Network** layer is responsible for that the data are reliably **transmitted** and **routed** within a network.
- ♦ The **Transport** layer is responsible for reliably transmitting from end to end. (This is the interface seen by Unix programmers when transmitting over TCP/IP sockets)
- ♦ The **Session** layer is responsible for initializing a connection, including authentication.
- ♦ The **Presentation** layer performs data transformation services, such as byte swapping and encryption
- ♦ The **Application** layer is the system you are designing (unless you build a protocol stack). The application layer is often layered itself.

# *Another View at the ISO Model*

- A closed software architecture
- Each layer is a UML package containing a set of objects



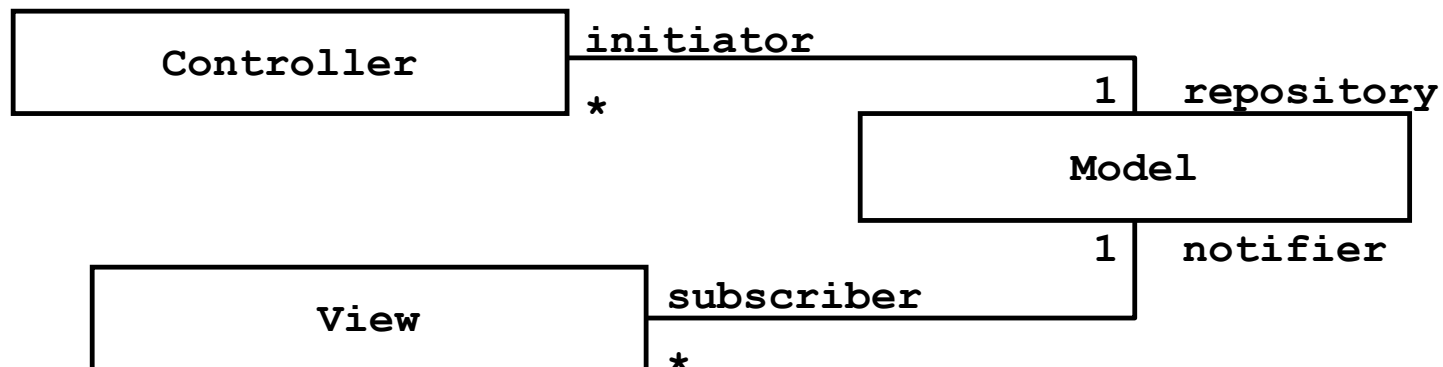
# *Middleware Allows Focus On The Application Layer*



# Model/View/Controller

Cf. boundary/control/entity

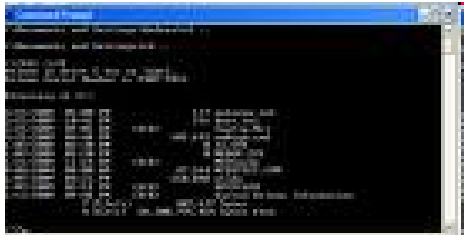
- ◆ Subsystems are classified into 3 different types
  - ◆ **Model subsystem:** Responsible for application domain knowledge
  - ◆ **View subsystem:** Responsible for displaying application domain objects to the user
  - ◆ **Controller subsystem:** Responsible for sequence of interactions with the user and notifying views of changes in the model.
- ◆ MVC is a special case of a repository architecture: **What is this?**
  - ◆ **Model subsystem implements the central datastructure, the Controller subsystem explicitly dictate the control flow**



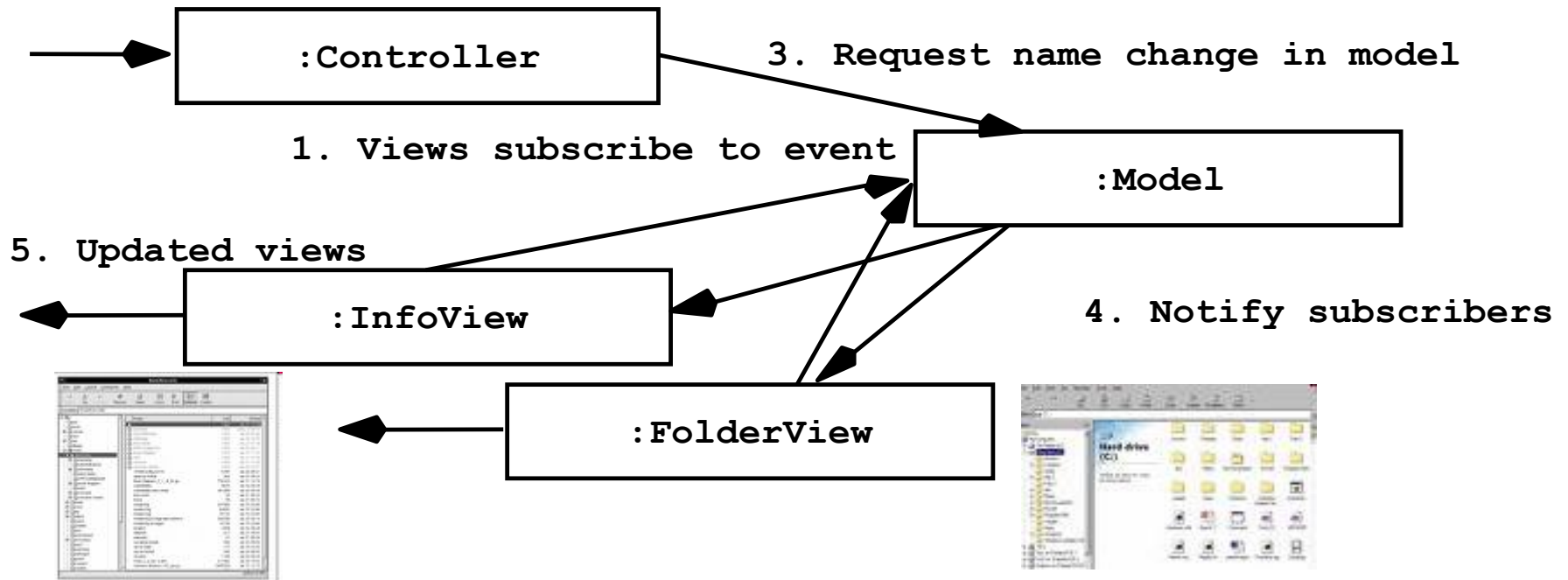
**Which interacts with the user?**

**What creates boundary objects?**

# Sequence of Events (Collaborations)



2. User types new filename



Which interacts with the user?

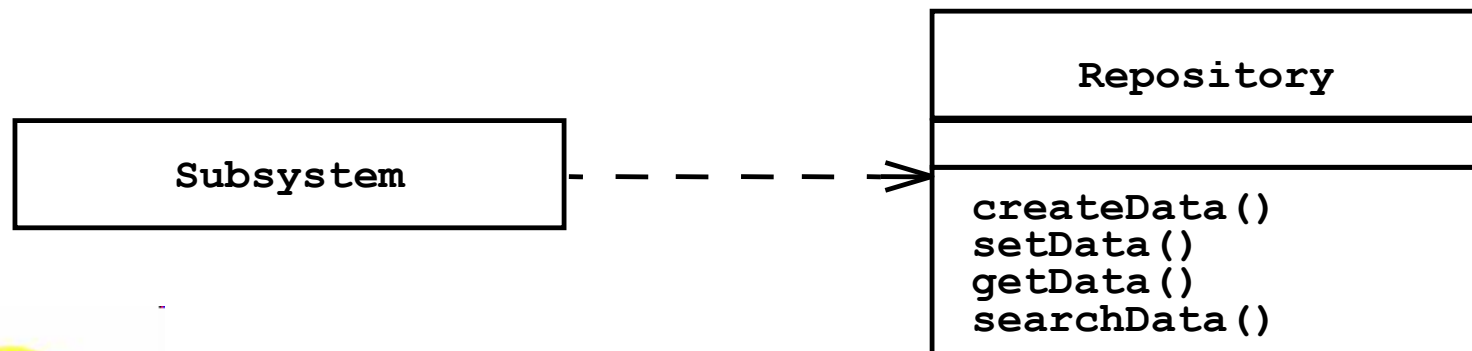
What creates boundary objects?

# *Repository Architectural Style (Blackboard Architecture, Hearsay II Speech Recognition System)*

**What's blackboard? Are all repository architectural styles mean blackboard?**

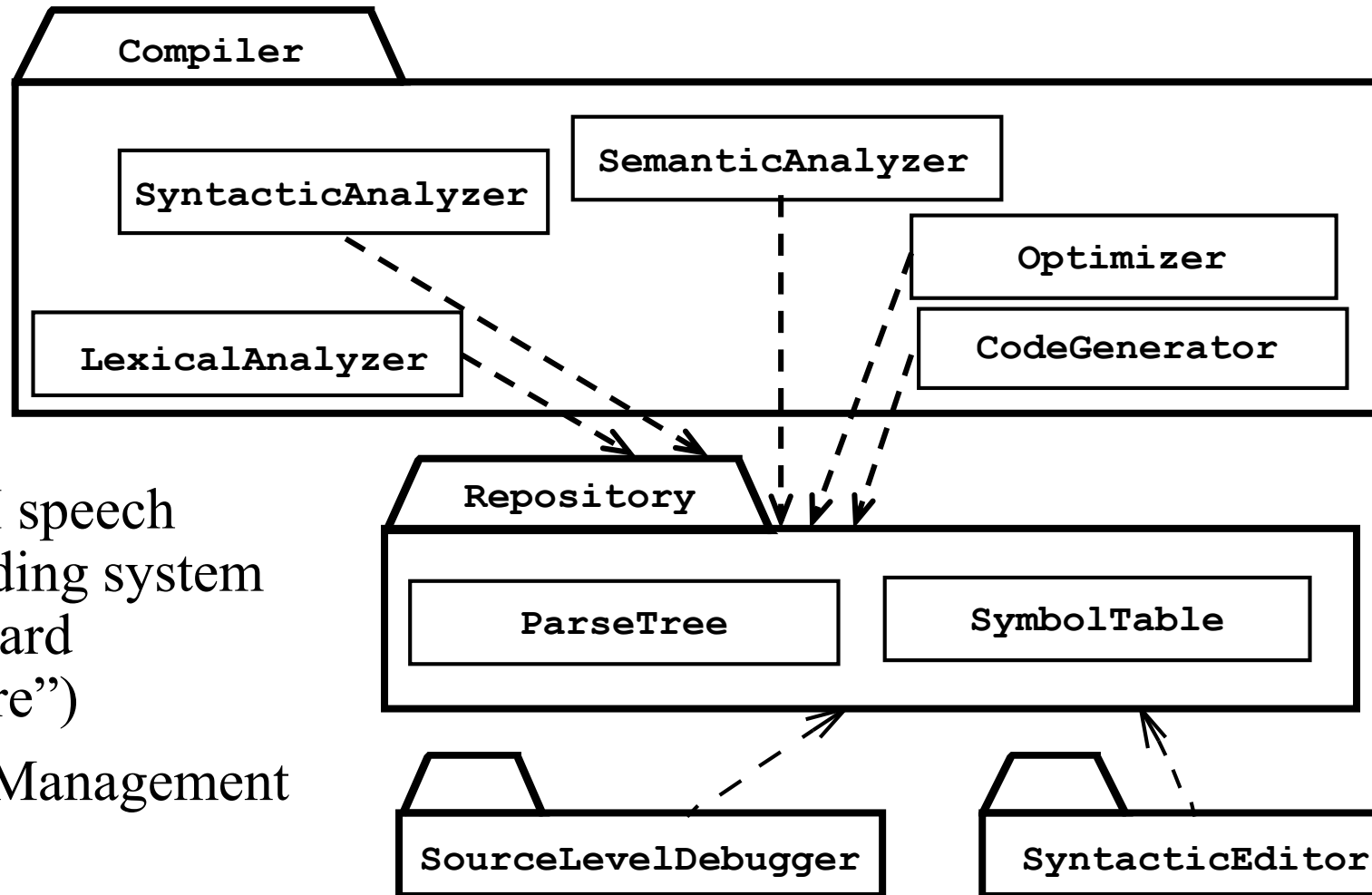
- ◆ Subsystems access and modify data from a single data structure
- ◆ Subsystems are loosely coupled (interact only through the repository)
- ◆ Control flow is dictated by central repository (triggers) or by the subsystems (locks, synchronization primitives)

**Two kinds**



**Wreck the nice beach**

# *Examples of Repository Architectural Style*

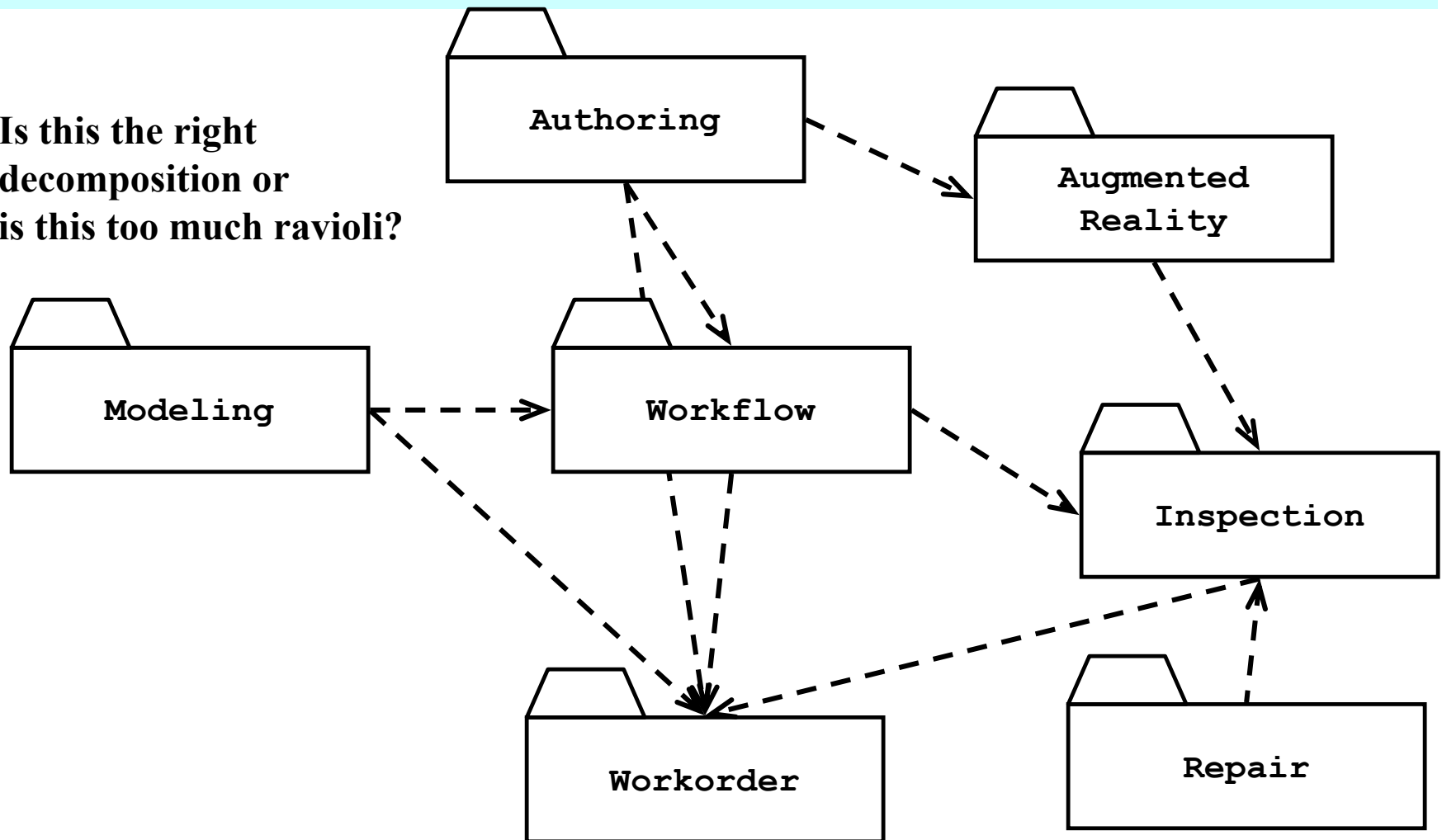


- ◆ Hearsay II speech understanding system (“Blackboard architecture”)
- ◆ Database Management Systems
- ◆ Modern Compilers

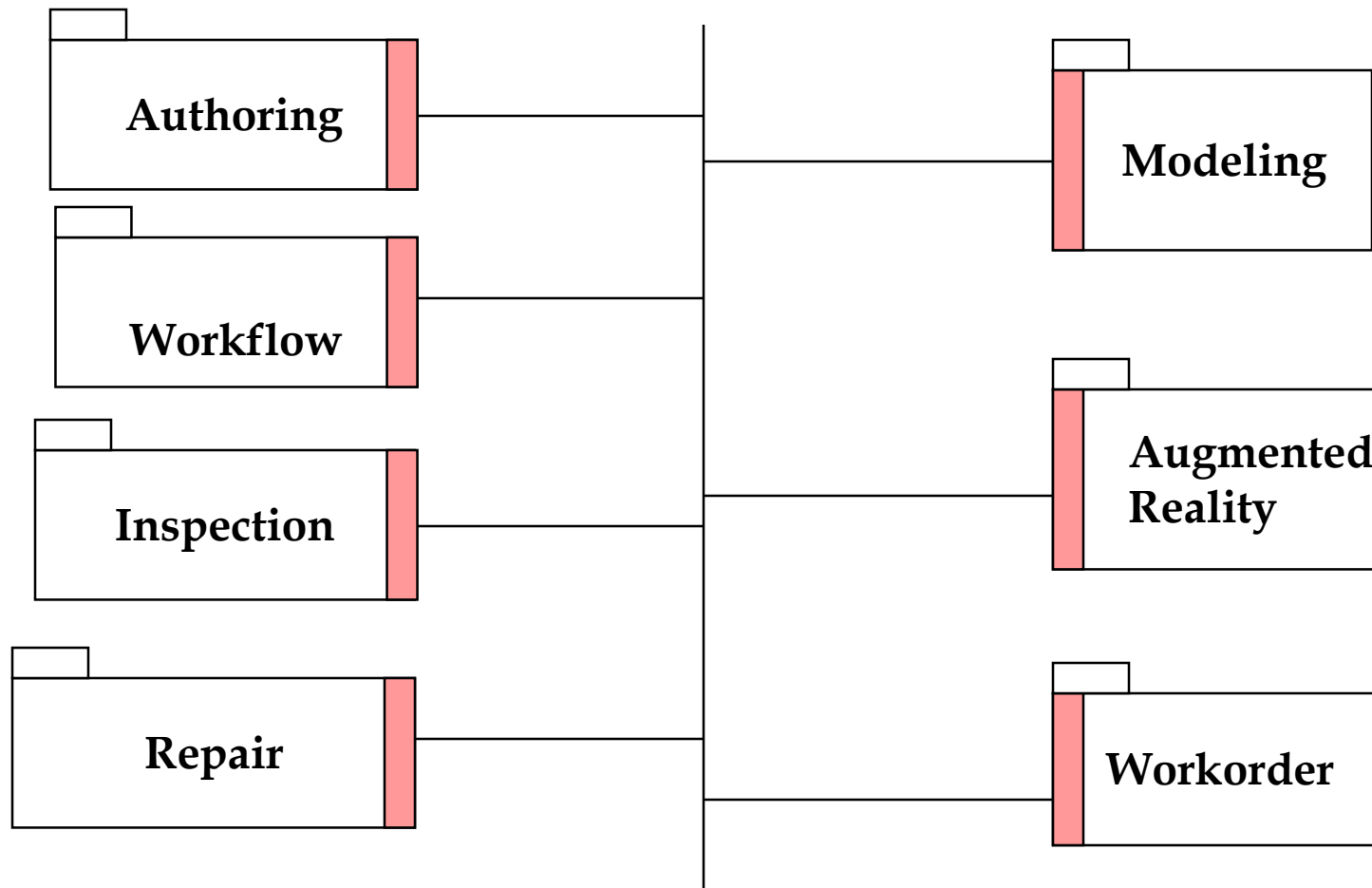


# *Subsystem Decomposition Example*

Is this the right  
decomposition or  
is this too much ravioli?



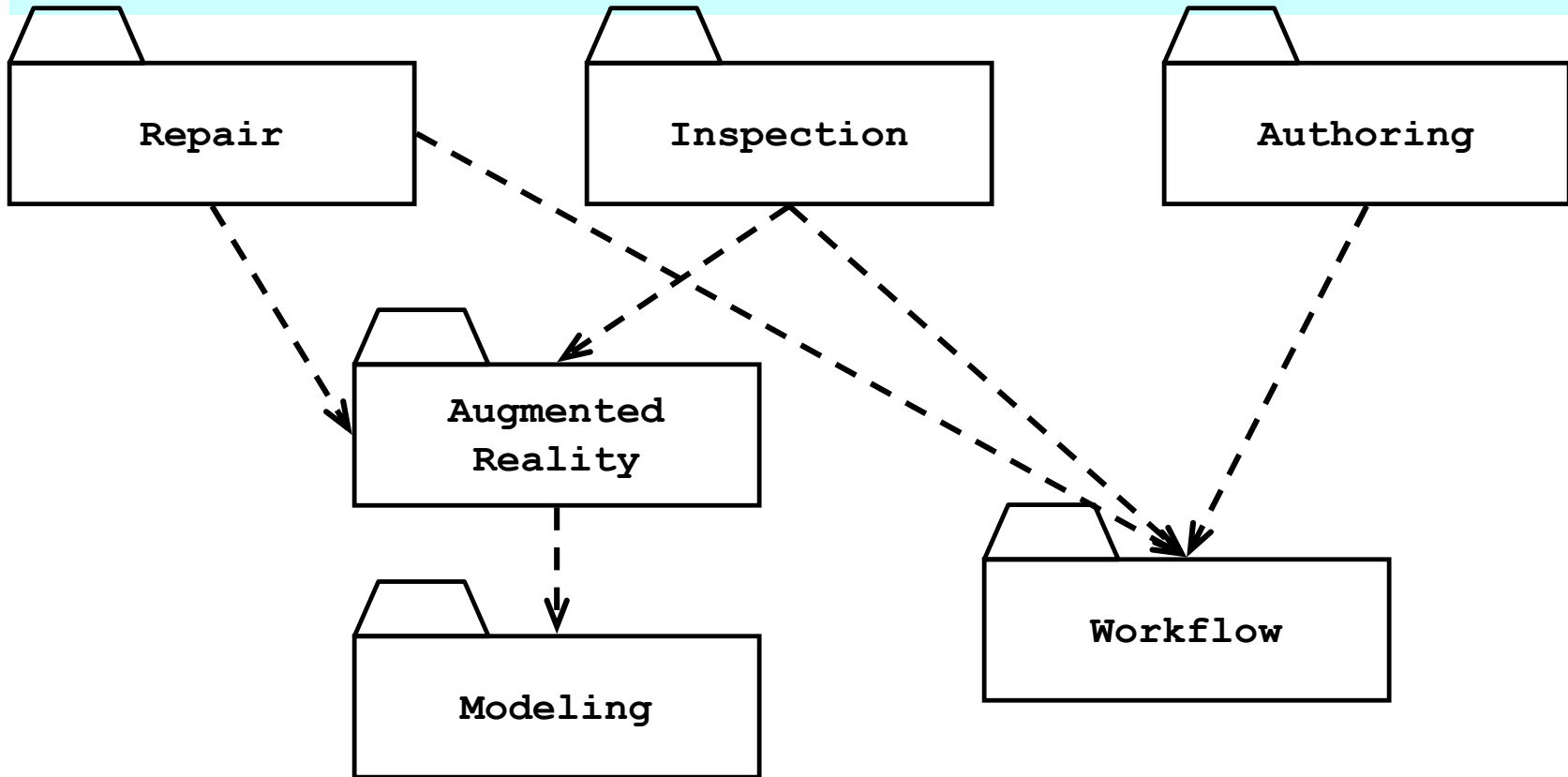
# *System as a set of subsystems communicating via a software bus*



 A Subsystem Interface Object publishes the service (= Set of public methods) provided by the subsystem

***What is this architectural style called?***

# *A 3-layered Architecture*



What is the relationship between Modeling and Authoring?  
Are other subsystems needed?

# Summary

- ◆ System Design
  - ◆ Reduces the gap between requirements and the (virtual) machine
  - ◆ Decomposes the overall system into manageable parts
- ◆ Design Goals Definition
  - ◆ Describes and *prioritizes* the qualities that are important for the system
  - ◆ Defines the value system against which options are evaluated
- ◆ Subsystem Decomposition
  - ◆ Results into a set of loosely dependent parts which make up the system

# *Additional Slides*

# *Nonfunctional Requirements may give a clue for the use of Design Patterns*

- ◆ Read the problem statement again
- ◆ Use textual clues (similar to Abbot's technique in Analysis) to identify design patterns
- ◆ *Text*: “manufacturer independent”, “device independent”, “must support a family of products”
  - ◆ **Abstract Factory Pattern**
- ◆ *Text*: “must interface with an existing object”
  - ◆ **Adapter Pattern**
- ◆ *Text*: “must deal with the interface to several systems, some of them to be developed in the future”, “an early prototype must be demonstrated”
  - ◆ **Bridge Pattern**

# *Textual Clues in Nonfunctional Requirements*

- ♦ *Text*: “complex structure”, “must have variable depth and width”
  - ♦ **Composite Pattern**
- ♦ *Text*: “must interface to an set of existing objects”
  - ♦ **Façade Pattern**
- ♦ *Text*: “must be location transparent”
  - ♦ **Proxy Pattern**
- ♦ *Text*: “must be extensible”, “must be scalable”
  - ♦ **Observer Pattern**
- ♦ *Text*: “must provide a policy independent from the mechanism”
  - ♦ **Strategy Pattern**

## ***Definition: Subsystem Interface Object***

- ♦ *A Subsystem Interface Object* provides a service
  - ♦ **This is the set of public methods provided by the subsystem**
  - ♦ **The Subsystem interface describes all the methods of the subsystem interface object**
- ♦ Use a Facade pattern for the subsystem interface object

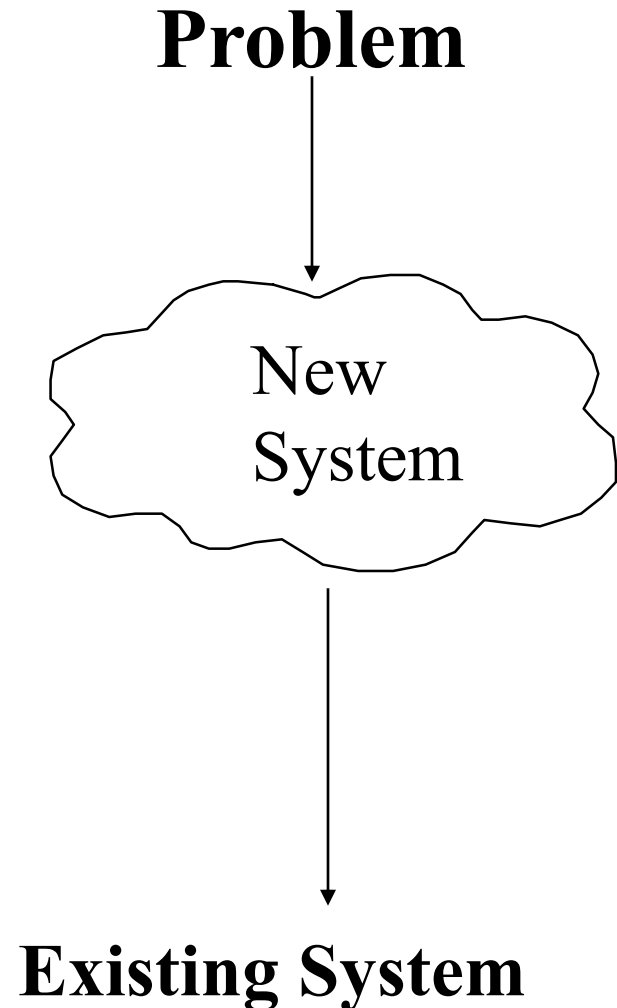


# *Choosing Subsystems*

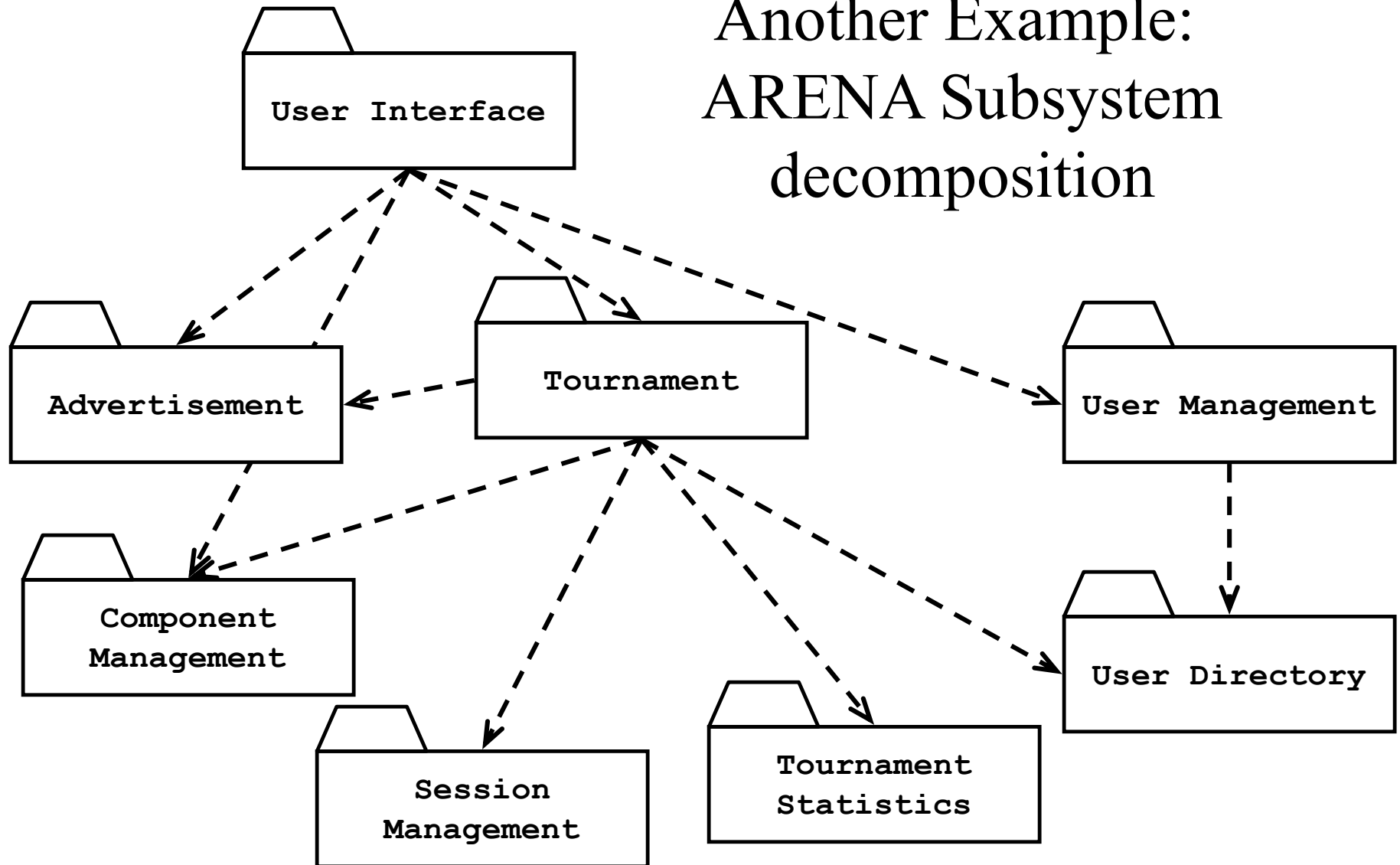
- ♦ Criteria for subsystem selection: Most of the interaction should be within subsystems, rather than across subsystem boundaries (High cohesion).
  - ♦ **Does one subsystem always call the other for the service?**
  - ♦ **Which of the subsystems call each other for service?**
- ♦ Primary Question:
  - ♦ **What kind of service is provided by the subsystems (subsystem interface)?**
- ♦ Secondary Question:
  - ♦ **Can the subsystems be hierarchically ordered (layers)?**
- ♦ What kind of model is good for describing layers and partitions?

# *The Purpose of System Design*

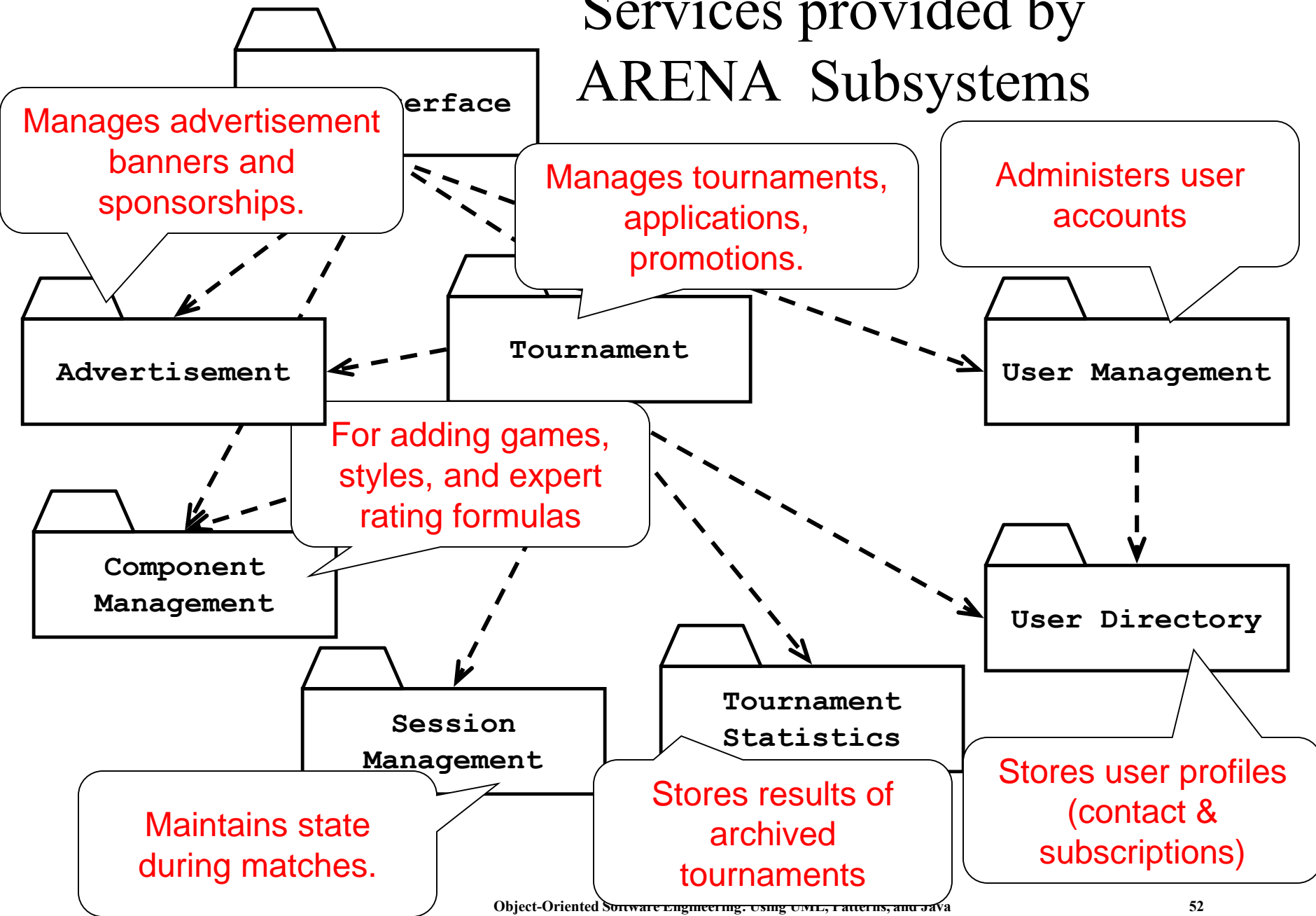
- ♦ Bridging the gap between desired and existing system in a manageable way
- ♦ Use Divide and Conquer
  - ♦ **We model the new system to be developed as a set of subsystems**



# Another Example: ARENA Subsystem decomposition



# Services provided by ARENA Subsystems



# *Services and Subsystem Interfaces*

- ♦ **Service:** A set of related operations that share a common purpose
  - ♦ **Notification subsystem service:**
    - ♦ **LookupChannel()**
    - ♦ **SubscribeToChannel()**
    - ♦ **SendNotice()**
    - ♦ **UnscubscribeFromChannel()**
  - ♦ **Services are defined in System Design**
- ♦ **Subsystem Interface:** Set of fully typed related operations.
  - ♦ **Subsystem Interfaces are defined in Object Design**
  - ♦ **Also called application programmer interface (API)**