

# Search for Neutrino Transients Using IceCube and DeepCore

A Thesis  
Presented to  
The Academic Faculty

by

**Jacob D. Daughhetee**

In Partial Fulfillment  
of the Requirements for the Degree  
Doctor of Philosophy

School of Physics  
Georgia Institute of Technology  
December 2014

# Search for Neutrino Transients Using IceCube and DeepCore

Approved by:

Professor Pablo Laguna, Committee  
Chair

Professor Carol Paty  
(Earth and Atmospheric Science)

Professor Ignacio Taboada, Adviser

Professor John Wise

Professor Nepomuk Otte

Date Approved \_\_\_\_\_



# PREFACE

This dissertation is based on data acquired with the IceCube Neutrino Observatory whose maintenance and operation is the result of an immense international collaborative effort. The bulk of the work pertaining to experimental hardware, data acquisition, reconstruction algorithms, and simulation presented in this document can be attributed to many IceCube collaborators. However, the refinement of the event selection and subsequent analysis of the data are the original work of the author.

## ACKNOWLEDGEMENTS

I want to thank my fellow graduate student office mates whose constant distractions helped me retain my sanity.

# TABLE OF CONTENTS

<b>DEDICATION</b> . . . . .	<b>iii</b>
<b>PREFACE</b> . . . . .	<b>iv</b>
<b>ACKNOWLEDGEMENTS</b> . . . . .	<b>v</b>
<b>LIST OF TABLES</b> . . . . .	<b>viii</b>
<b>LIST OF FIGURES</b> . . . . .	<b>ix</b>
<b>SUMMARY</b> . . . . .	<b>x</b>
<b>I INTRODUCTION</b> . . . . .	<b>1</b>
<b>II NEUTRINO ASTRONOMY</b> . . . . .	<b>2</b>
2.1 Motivation . . . . .	3
2.2 Neutrino Properties . . . . .	3
2.2.1 Interactions in Matter . . . . .	3
2.2.2 Propagation of Interaction Products . . . . .	3
2.2.3 Flavor Oscillations . . . . .	3
2.3 Detection Methods . . . . .	3
<b>III NEUTRINO SOURCES</b> . . . . .	<b>4</b>
3.1 Gamma-ray Bursts . . . . .	4
3.2 Core-Collapse Supernovae . . . . .	4
3.3 Choked Gamma-ray Bursts . . . . .	4
<b>IV DETECTOR</b> . . . . .	<b>5</b>
4.1 IceCube and IceTop . . . . .	5
4.2 DeepCore . . . . .	7
4.3 Neutrino Events in IceCube . . . . .	7
<b>V DATA ACQUISITION</b> . . . . .	<b>9</b>
5.1 The Digital Optical Module . . . . .	9
5.2 Hit Generation . . . . .	10
5.3 Data Synchronization . . . . .	10
5.4 Triggering and Event Building . . . . .	10

<b>VI EVENT SELECTION</b>	<b>11</b>
6.1 Low-energy Channel	11
6.2 Analysis Specific Cuts	12
6.2.1 Veto Cuts	12
6.2.2 Quality Cuts	12
6.2.3 Boosted Decision Tree	12
6.3 Event Reconstruction	12
6.4 Final Level Data	12
<b>VII ANALYSIS METHOD</b>	<b>13</b>
7.1 Unbinned Likelihood Method	13
7.2 Sky Scan	13
7.3 Significance and Trials Factors	13
<b>VIII SYSTEMATIC EFFECTS</b>	<b>14</b>
8.1 Ice Properties	14
8.2 DOM Quantum Efficiency	14
<b>IX RESULTS</b>	<b>15</b>
<b>X INTERPRETATION</b>	<b>16</b>
<b>XI CONCLUSION</b>	<b>17</b>
<b>INDEX</b>	<b>20</b>
<b>VITA</b>	<b>21</b>

## LIST OF TABLES



# LIST OF FIGURES

1	Diagram of the IceCube Neutrino Observatory (Courtesy of the IceCube Collaboration). . . . .	6
2	Schematic detailing DOM structure [4]. . . . .	9
3	A fully assembled DOM supported by a cable harness. . . . .	9
4	Event display for a final level neutrino track event originating in DeepCore. The colored spheres represent DOMs that have registered a hit during the event. The size of the spheres are indicative of the total light received by the PMT on that DOM. The color denotes the timing of the hit with red corresponding to earlier times and blue corresponding to later times. . . . .	12

# SUMMARY

\*Observations indicate that there is a correlation between long duration gamma-ray bursts (GRBs) and core-collapse supernovae (SNe). The leading model for GRB production assumes that relativistic jets are generated by the core-collapse within the progenitor star. Charged particles undergo Fermi-acceleration within internal shocks of these jets and subsequently give rise to gamma ray emission once the jets breach the surrounding stellar envelope. Very few SNe result in the occurrence of GRBs, however, but it has been suggested that a significant fraction of core-collapse SNe manage to produce mildly relativistic jets. These jets are insufficiently energetic to break through the envelope and are effectively 'choked' resulting in a lack of observed gamma ray emission. In both the failed and successful GRB scenario, neutrino production can occur if protons are accelerated in the internal shocks of these jets. These neutrinos may be detectable by the IceCube neutrino observatory and its low energy extension DeepCore. This thesis presents the methods and results of a dedicated search for temporal and spatial clustering of neutrino events during the IceCube 2012 data season.

\*Needs tweaking, actual result\*

# CHAPTER I

## INTRODUCTION

The primary focus of the analysis presented in this thesis is to apply previously developed time-dependent point source search techniques to a set of neutrino events much lower in energy than has been attempted before.

## CHAPTER II

### NEUTRINO ASTRONOMY

The expansion of traditional optical astronomy into wavelengths unobservable to the human eye revealed myriad phenomena previously unknown to science. Use of wavebands of light spanning several orders of magnitude allowed for the discovery of completely new astronomical sources. Additionally, it allowed for the study of inherently different physical processes within and around source objects. Yet, for all the vast advances in our understanding of the universe the opening up of the electromagnetic spectrum has brought us, it relies entirely upon the physical properties of its messenger particle, the photon.

Absorption of light, either by intervening matter or other background photons, limits the number and type of source objects optical astronomy can hope to either observe or characterize. In order to explore regions of high density as well as very high-energy processes, entirely different methods of observation are required. The limitations imposed by light-based astronomy have led to the dedicated investigation of other particles and phenomena as potential cosmic messengers. This rapidly developing field, often referred to as multi-messenger astronomy, attempts to explore physical regions inaccessible to standard astronomy through the use of the highest energy cosmic rays, gravitational radiation, and high-energy neutrinos. These channels provide a unique window into the universe albeit each with their own detection challenges.

The neutrino in particular provides many excellent properties for

## ***2.1 Motivation***

## ***2.2 Neutrino Properties***

### **2.2.1 Interactions in Matter**

### **2.2.2 Propagation of Interaction Products**

### **2.2.3 Flavor Oscillations**

## ***2.3 Detection Methods***

The primary method for the detection of astrophysical neutrinos is through observation of Cerenkov light produced by interaction secondaries.

## CHAPTER III

### NEUTRINO SOURCES

#### *3.1 Gamma-ray Bursts*

#### *3.2 Core-Collapse Supernovae*

The detection of excess neutrino emission in temporal coincidence with supernova 1987A marked the first detection of an extra-solar neutrino source. Neutrino events were observed in three separate detectors a few hours prior to the optical observation.

due to the close proximity of the progenitor in the Large Magellanic Cloud (approximately 50 kpc from Earth).

#### *3.3 Choked Gamma-ray Bursts*

## CHAPTER IV

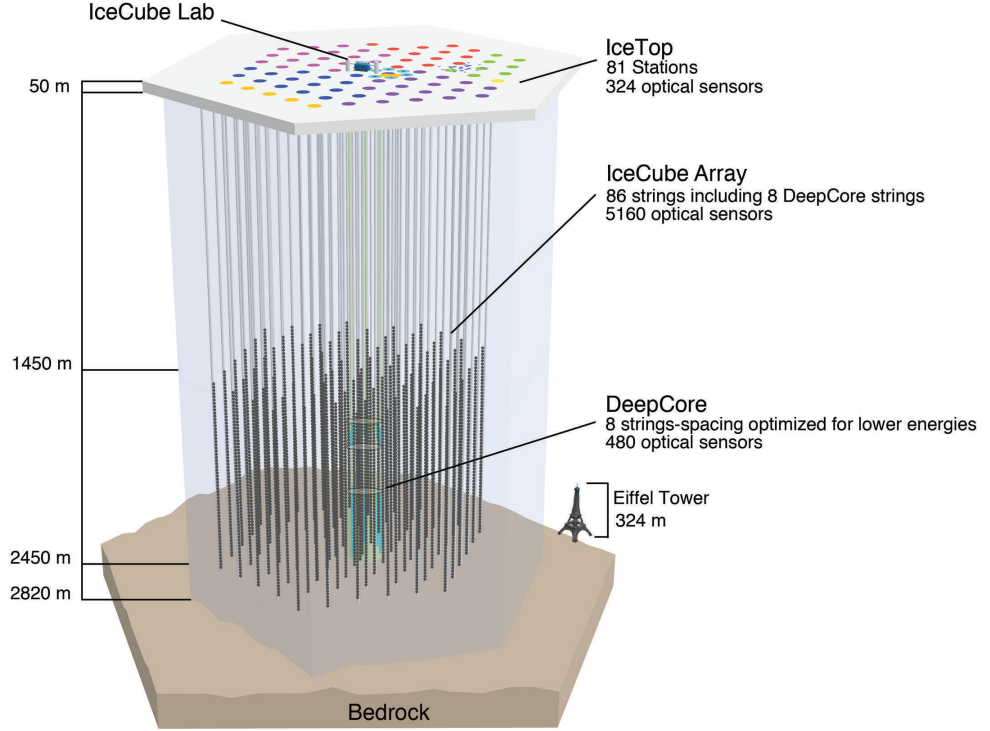
### DETECTOR

#### *4.1 IceCube and IceTop*

The IceCube Neutrino Observatory [7] is  $\text{km}^3$ -scale neutrino detector located deep within the glacial ice of the Antarctic ice sheet at the geographical South Pole. This location provides IceCube with a pristine detection medium in addition to mechanical support for the entirety of the array. The detector consists of 5,160 light sensors known as digital optical modules (DOMs) which are distributed along 86 cables (referred to as strings) that supply power and provide communication to the surface. Each cable is instrumented with 60 DOMs spaced 17 meters apart starting at 1450 meters below the surface and terminating at 2450 meters below. A inter-string spacing of 125 meters on average results in a total instrumented volume of approximately  $1 \text{ km}^3$ . Figure 1 provides a schematic illustrating the detector geometry.

Installation of the IceCube strings took place over several years and required the use of a specialized hot-water drill. In the deployment process, the hot-water drill is used to bore through the ice leaving a water-filled column in which the string and its attached DOMs are lowered. The water column subsequently freezes the cable and all DOMs in place rendering them completely inaccessible from the surface. The deployment of the first IceCube string occurred on January 29th, 2005. The remaining strings were deployed over the next five summer seasons resulting in data seasons of different detector shapes and size. The final string was deployed on December 18, 2010 giving IceCube its ultimate 86-string configuration.

In addition to the detectors installed deep in the ice, there are also 81 surface detector stations (each station consisting of two tanks) at the surface. These tanks, which utilize two of the same light-sensing DOMs as IceCube, comprise the IceTop surface array. The DOMs in these tanks, which are also frozen in place, look for Cerenkov radiation produced



**Figure 1:** Diagram of the IceCube Neutrino Observatory (Courtesy of the IceCube Collaboration).

by cosmic ray air shower secondaries in the tank ice. By examining the arrival time of charged particles from the shower front, the direction of cosmic rays incident at Earth can be determined. The spatial extent of the shower as well as the total charge deposition in tank PMTs allows for accurate estimation of the energy of the primary cosmic ray. Data produced from IceTop is used to study cosmic ray composition, spectra, and anisotropy.

Due to the spatial relation of both IceTop and IceCube, they are able to complement the capabilities of each other quite nicely. IceTop's primary purpose is to study air shower physics, but it also serves as a veto for downgoing atmospheric muons and neutrinos in IceCube. This is particularly useful in the search for highly energetic neutrinos of astrophysical origin such as the events reported in [?] and [?]. Any downgoing events found by these searches that is accompanied by a causally connected air shower signal in IceTop is immediately identified as atmospheric in origin. Alternatively, the background muons



detected in IceCube can be used for more detailed study of air shower composition and energy in IceTop analyses. For more detailed information on the physics goals and detection capabilities of IceTop, see [3].

## 4.2 *DeepCore*

DeepCore [2] is a sub-detector deployed in tandem with IceCube between 2009 and 2010 primarily designed to lower the energy threshold of IceCube. The array consists of eight infill strings located in the center of the IceCube detector in addition to the first layer of surrounding standard IceCube strings. This configuration gives DeepCore three layers of IceCube strings to use as an active veto for the primary background of atmospheric muons. In order to improve detector response to lower energy neutrinos,  $\mathcal{O}(10\text{-}100\text{ GeV})$ , the infill strings of DeepCore have a much closer inter-string separation (42 m) and have 50 DOMs spaced 7 m apart deployed deep in the ice between 2100 m and 2450 m. This denser instrumentation allows for better timing and spatial resolution of charged secondaries produced in neutrino interactions.

The depth selected for deployment of the DeepCore DOMs was determined via examination of the ice properties previously mapped by both the Anatarctic Muon and Neutrino Detector Array (AMANDA) [5] and pre-existing IceCube configurations [1]. These investigations into the optical properties of the ice revealed that the deepest ice ( $\leq 2100\text{ m}$ ) had superior optical qualities with respect to the ice closer to the surface. Additionally, it was determined that a layer of high dust concentration in which light is scattered and absorbed to a much higher degree exists at a depth of 2000-2100 m.

## 4.3 *Neutrino Events in IceCube*

In order to isolate the sparse neutrino events from the abundance of background cosmic ray muons, it is necessary to fully understand the nature of the detector response to neutrinos and neutrino secondaries interacting within the detector. Neutrinos that are sufficiently energetic to be detected by IceCube will undergo deep inelastic scattering with a nucleon target (see for more information on this process see section **2.2.2**). This process will either

be charged-current (CC) or neutral-current (NC) depending on the nature of the boson exchange and the final lepton state. The hit topology of a given neutrino event in IceCube will depend upon the flavor of the neutrino ( $\nu_e$ ,  $\nu_\mu$ ,  $\nu_\tau$ ) as well as the channel through which it interacts with a target nucleon in the ice.

In NC interactions of all flavors, a hadronic cascade is produced which yields a roughly isotropic distribution of light. Any spatial extent in the hadronic cascade particles will be much smaller than the DOM separation distance. Thus, the Cerenkov emission from these particles will appear to be a point source of light within the detector. This results in a spherical pattern of DOMs that register light from this type of interaction. The radius of DOMs which are able to detect light from the cascade is determined by the total energy deposited in the ice by the neutrino primary. Events with this hit pattern are referred to as cascades. An example event display for this type of interaction can be seen in ??.

Whereas the resultant hit pattern for NC interactions is flavor independent, the event topology in CC interactions is determined primarily by the lepton flavor of the neutrino. In addition to a hadronic cascade, the CC interaction will also yield an energetic lepton corresponding to the flavor of the interacting neutrino. In the case of  $\nu_e$  and  $\nu_\tau$  CC interactions, the resulting hit pattern in IceCube will take the form of a cascade in a similar manner to the NC interactions. While the source of Cerenkov emission is no longer point-like, the length of electron and tau particle tracks is much shorter than the inter-DOM separation distance. Some marginal pointing can be achieved for these events, however, since the light produced in the hadronic and electromagnetic cascades in these events is not totally symmetric. For sufficiently energetic  $\nu_\tau$  events in IceCube, more exotic signatures are possible. These arise from the increased lifetime of the outgoing  $\tau$  lepton resulting in two separate light-producing cascades that can be resolved separately either in space or time. As of the writing of this thesis, no events of this type have been observed in IceCube.

IceCube is designed specifically to be sensitive  $\nu_\mu$  CC interactions due to superior pointing provided by long-lived muon tracks in the ice. Daughter muons from  $\nu_\mu$  CC interactions can travel distances ranging from 300 m ( $E_{\nu_\mu} \sim 100$  GeV) to several kilometers ( $E_{\nu_\mu} \geq 1$  TeV) [1].

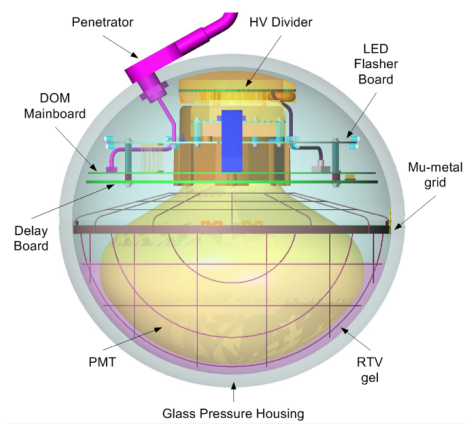
# CHAPTER V

## DATA ACQUISITION

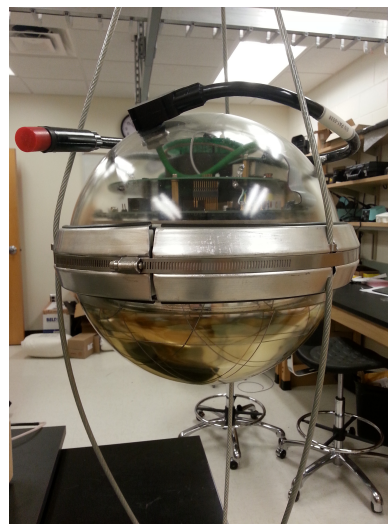
In this section, a succinct description of the detection and processing of the light-yield from particle interactions in the ice is given. The reader interested in a much more thorough account is encouraged to consult [4].

### 5.1 *The Digital Optical Module*

The essential component of the IceCube detector is the DOM. Each of these sensor units contains a Hamamatsu R7081-02 25 cm photo-multiplier tube (PMT), attached digitizing electronics, and LED flashers all housed within a glass pressure vessel [6]. A penetrator cable breaches the pressure vessel to connect the DOM electronics to the supporting string cable enabling DOM-to-DOM as well as DOM-to-surface communications.



**Figure 2:** Schematic detailing DOM structure [4].



**Figure 3:** A fully assembled DOM supported by a cable harness.

## ***5.2 Hit Generation***

All data acquisition begins with the registering and processing of photon hits in individual DOMs. Cerenkov photons from nearby passing charged secondaries are detected should they intercept the photocathode of the PMT on the underside of the DOM. This generates a small current pulse which is subsequently amplified

## ***5.3 Data Synchronization***

## ***5.4 Triggering and Event Building***

## CHAPTER VI

### EVENT SELECTION

A quick comparison between the rate at which atmospheric neutrinos trigger the IceCube detector (  $\sim 6$  mHz Check Real NUMBER) and the overall event rate (  $\sim 3$  kHz) readily shows that the data generated by IceCube is very strongly dominated by background. This background is almost entirely due to energetic muons produced in cosmic ray air showers passing through the detector from above. Due to the large range of physics capabilities of the detector, many different filters exist to reduce the data volume and select out events of interest to certain analyses.

#### ***6.1 Low-energy Channel***

Because of the primary focus of this analysis on a lower-energy event selection, the DeepCore-dominated low-energy filter stream is taken as input. Selecting only events which pass this filter reduces the trigger-level data rate of 3 kHz to a much more manageable ??? Hz. The low-energy filter attempts to select a relatively background free sample by selecting a detection volume about DeepCore that does not extend to edge of the detector. This allows optical sensors outside of the detection volume to serve as dedicated downgoing muon detectors. Events that have hits on DOMs outside the defined detection volume that are causally correlated with the hits inside the volume are able to be identified as background muons. A schematic representation of this filtering algorithm is shown in ??.

This filter actually consists of two separate streams which are differentiated by the definition of which DOMs comprise the detection (or fiducial) volume and which DOMs are treated as belonging to the veto region.

## 6.2 *Analysis Specific Cuts*

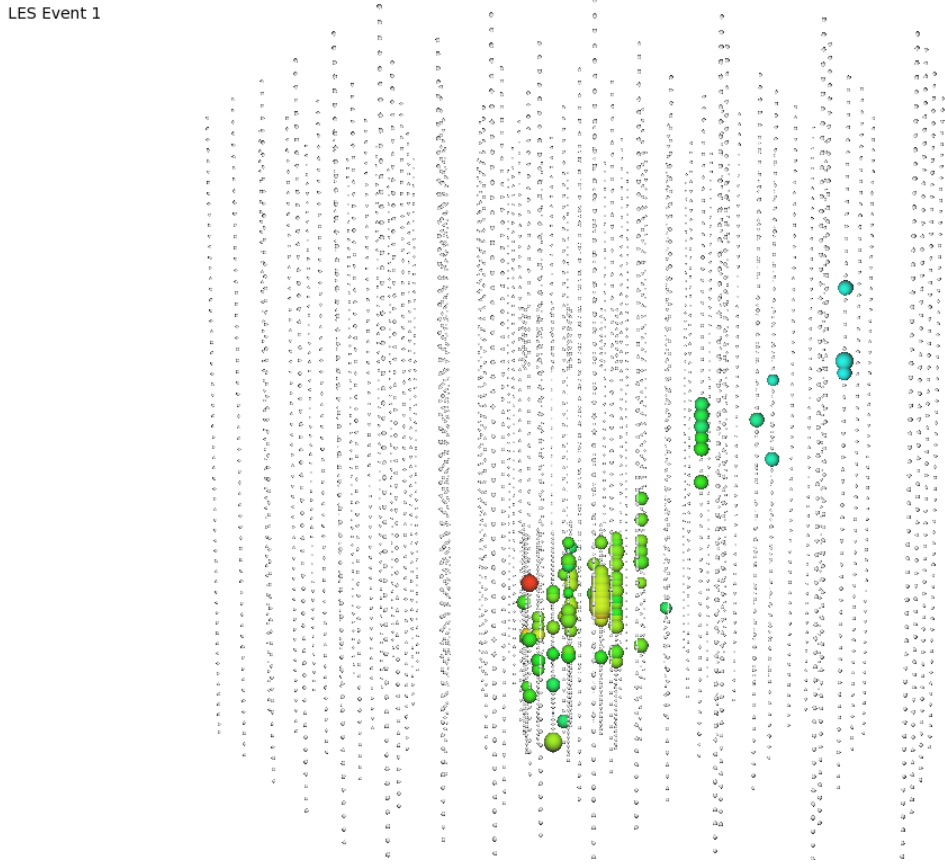
### 6.2.1 Veto Cuts

### 6.2.2 Quality Cuts

### 6.2.3 Boosted Decision Tree

## 6.3 *Event Reconstruction*

## 6.4 *Final Level Data*



**Figure 4:** Event display for a final level neutrino track event originating in DeepCore. The colored spheres represent DOMs that have registered a hit during the event. The size of the spheres are indicative of the total light received by the PMT on that DOM. The color denotes the timing of the hit with red corresponding to earlier times and blue corresponding to later times.

## CHAPTER VII

### ANALYSIS METHOD

The method used in the analysis presented in this thesis makes use of both directional and timing information from the final level event dataset.

#### ***7.1 Unbinned Likelihood Method***

#### ***7.2 Sky Scan***

The analysis performed is not a triggered search, and therefore it is necessary to examine the entire solid angle domain of the analysis for any possible transient sources. The difficulty in rejecting background muons at lower energies limits the analysis to up-going and horizontal events ( $< 5^\circ$  above the horizon). Because of IceCube's location at the South Pole, this results in a search over all right ascension in a declination band ranging from  $-5^\circ$  to  $90^\circ$ .

#### ***7.3 Significance and Trials Factors***

## CHAPTER VIII

### SYSTEMATIC EFFECTS

There are many systematic uncertainties that can affect the interpretation of the results of this analysis. The primary contributors to uncertainty being the *in situ* scattering and absorption properties of the ice medium and the absolute quantum efficiency of the PMTs within the DOMs.

#### ***8.1 Ice Properties***

#### ***8.2 DOM Quantum Efficiency***



## CHAPTER IX

### RESULTS

## CHAPTER X

### INTERPRETATION

## CHAPTER XI

## CONCLUSION

## REFERENCES

- [1] “South Pole glacial climate reconstruction from multi-borehole laser particulate stratigraphy,” *Journal of Glaciology*, vol. 59, pp. 1117–1128, 2013.
- [2] ABBASI, R., ABDON, Y., ABU-ZAYYAD, T., ACKERMANN, M., ADAMS, J., AGUILAR, J. A., AHLERS, M., ALLEN, M. M., ALTMANN, D., ANDEEN, K., and ET AL., “The design and performance of IceCube DeepCore,” *Astroparticle Physics*, vol. 35, pp. 615–624, May 2012.
- [3] ABBASI, R., ABDON, Y., ACKERMANN, M., ADAMS, J., AGUILAR, J. A., AHLERS, M., ALTMANN, D., ANDEEN, K., AUFFENBERG, J., BAI, X., and ET AL., “IceTop: The surface component of IceCube. The IceCube Collaboration,” *Nuclear Instruments and Methods in Physics Research A*, vol. 700, pp. 188–220, 2013.
- [4] ABBASI, R., ACKERMANN, M., ADAMS, J., AHLERS, M., AHRENS, J., ANDEEN, K., AUFFENBERG, J., BAI, X., BAKER, M., BARWICK, S. W., and ET AL., “The IceCube data acquisition system: Signal capture, digitization, and timestamping,” *Nuclear Instruments and Methods in Physics Research A*, vol. 601, pp. 294–316, 2009.
- [5] ACKERMANN, M., AHRENS, J., BAI, X., BARTELT, M., BARWICK, S. W., BAY, R. C., BECKA, T., BECKER, J. K., BECKER, K.-H., BERGHAUS, P., BERNARDINI, E., BERTRAND, D., BOERSMA, D. J., BÖSER, S., BOTNER, O., BOUCHTA, A., BOUHALI, O., BURGESS, C., BURGESS, T., CASTERMANS, T., CHIRKIN, D., COLLIN, B., CONRAD, J., COOLEY, J., COWEN, D. F., DAVOUR, A., DE CLERCQ, C., DE LOS HEROS, C. P., DESIATI, P., DE YOUNG, T., EKSTRÖM, P., FESER, T., GAISSER, T. K., GANUGAPATI, R., GEENEN, H., GERHARDT, L., GOLDSCHMIDT, A., GROSS, A., HALLGREN, A., HALZEN, F., HANSON, K., HARDTKE, D. H., HARENBERG, T., HAUSCHILDT, T., HELBING, K., HELLWIG, M., HERQUET, P., HILL, G. C., HODGES, J., HUBERT, D., HUGHEY, B., HULTH, P. O., HULTQVIST, K., HUNDERTMARK, S.,

- JACOBSEN, J., KAMPERT, K. H., KARLE, A., KESTEL, M., KOHNEN, G., KÖPKE, L., KOWALSKI, M., KUEHN, K., LANG, R., LEICH, H., LEUTHOLD, M., LIUBARSKY, I., LUNDBERG, J., MADSEN, J., MARCINIEWSKI, P., MATIS, H. S., MCPARLAND, C. P., MESSARIUS, T., MINAEVA, Y., MIOČINOVIĆ, P., MORSE, R., MÜNICH, K., NAHNHAUER, R., NAM, J. W., NEUNHÖFFER, T., NIESSEN, P., NYGREN, D. R., OLBRECHTS, P., POHL, A. C., PORRATA, R., PRICE, P. B., PRZYBYLSKI, G. T., RAWLINS, K., RESCONI, E., RHODE, W., RIBORDY, M., RICHTER, S., RODRÍGUEZ MARTINO, J., SANDER, H.-G., SCHLENSTEDT, S., SCHNEIDER, D., SCHWARZ, R., SILVESTRI, A., SOLARZ, M., SPICZAK, G. M., SPIERING, C., STAMATIKOS, M., STEELE, D., STEFFEN, P., STOKSTAD, R. G., SULANKE, K.-H., TABOADA, I., TARASOVA, O., THOLLANDER, L., TILAV, S., WAGNER, W., WALCK, C., WALTER, M., WANG, Y.-R., WIEBUSCH, C. H., WISCHNEWSKI, R., WISSING, H., and WOSCHNAGG, K., “Optical properties of deep glacial ice at the South Pole,” *Journal of Geophysical Research (Atmospheres)*, vol. 111, p. 13203, July 2006.
- [6] HANSON, K. and TARASOVA, O., “Design and production of the IceCube digital optical module,” *Nuclear Instruments and Methods in Physics Research A*, vol. 567, pp. 214–217, Nov. 2006.
- [7] ICECUBE COLLABORATION, ACHTERBERG, A., ACKERMANN, M., ADAMS, J., AHRENS, J., ANDEEN, K., ATLEE, D. W., BACCUS, J., BAHCALL, J. N., BAI, X., and ET AL., “First year performance of the IceCube neutrino telescope,” *Astroparticle Physics*, vol. 26, pp. 155–173, Oct. 2006.

# INDEX

## VITA