

# Search for Neutrino Transients Using IceCube and DeepCore

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# **Search for Neutrino Transients Using IceCube and DeepCore**

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## PREFACE

This dissertation is based on data acquired with the IceCube Neutrino Observatory whose maintenance and operation is the result of an immense international collaborative effort. The bulk of the work pertaining to experimental hardware, data acquisition, reconstruction algorithms, and simulation presented in this document can be attributed to many IceCube collaborators. However, the refinement of the event selection and subsequent analysis of the data are the original work of the author.

## **ACKNOWLEDGEMENTS**

I want to thank my fellow graduate student office mates whose constant distractions helped me retain my sanity.

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## SUMMARY

Observations indicate that there is a correlation between long duration gamma-ray bursts (GRBs) and core-collapse supernovae (SNe). The leading model for GRB production assumes that relativistic jets are generated by the core-collapse within the progenitor star. Charged particles undergo Fermi-acceleration within internal shocks of these jets and subsequently give rise to gamma ray emission once the jets breach the surrounding stellar envelope. Very few SNe result in the occurrence of GRBs, however, it has been suggested that a significant fraction of core-collapse SNe manage to produce mildly relativistic jets. These jets are insufficiently energetic to break through the envelope and are effectively 'choked' resulting in a lack of observed gamma ray emission. In both the failed and successful GRB scenario, neutrino production can occur if protons are accelerated in the internal shocks of these jets. These neutrinos may be detectable by the IceCube neutrino observatory and its low energy extension DeepCore. This thesis presents the methods and results of a dedicated search for temporal and spatial clustering of neutrino events during the IceCube 2012 data season. Examination of 22,040 neutrino event candidates acquired over a detector livetime of 330 days revealed no statistically significant transient source of neutrino emission. Limits on the rate of choked GRBs in the nearby universe for possible values of neutrino emission model parameters are presented.

# CHAPTER I

## INTRODUCTION

The expansion of traditional optical astronomy into wavelengths unobservable to the human eye revealed myriad phenomena previously unknown to science. Use of wavebands of light spanning several orders of magnitude allowed for the discovery of completely new astronomical sources. Additionally, it allowed for the study of inherently different physical processes within and around source objects. Yet, for all the vast advances in our understanding of the universe the opening up of the electromagnetic spectrum has brought us, it relies entirely upon the physical properties of its messenger particle, the photon.

Absorption of light, either by intervening matter or other background photons, limits the number and type of source objects optical astronomy can hope to either observe or characterize. In order to explore regions of high density as well as very high-energy processes, entirely different methods of observation are required. The limitations imposed by light-based astronomy have led to the dedicated investigation of other particles and phenomena as potential cosmic messengers. This rapidly developing field, often referred to as multi-messenger astronomy, attempts to explore physical regions inaccessible to standard astronomy through the use of the highest energy cosmic rays, gravitational radiation, and high-energy neutrinos. These channels provide a unique window into the universe albeit each with their own detection challenges.

The neutrino in particular provides many excellent properties for potential use as an astrophysical messenger. Due to its very low probability of interaction, it is able to provide information from some of the densest regions within the interiors of sources. Additionally, neutrinos are able to stream freely as they propagate from their origin without suffering absorption in intervening matter. Therefore any successfully extracted neutrino signal would provide unperturbed information about the physics of the source. These characteristics also make neutrinos exceptionally difficult to detect. Nonetheless, the possible insight into

high-energy astrophysics neutrinos can provide has spurred the development of large-scale detectors sensitive to expected astrophysical fluxes.

One such detector, the IceCube Neutrino Observatory [21], was constructed specifically to search for high-energy neutrinos ( $\geq 1$  TeV) of astrophysical origin. The experiment has taken data continuously since 2005 in both partial (2005-2011) and fully constructed (2011-present) configurations. As the experiment has matured, many different types of analysis methods have been developed to look for specific astrophysical signatures such as Gamma-ray Bursts (GRBs), Active Galactic Nuclei (AGN), and diffuse fluxes of neutrinos. These analyses have primarily focused on energetic ( $\geq 1$  TeV) muon tracks produced by muon neutrinos interacting within or outside of the detection volume. With the advent of DeepCore [5], the energy threshold of the combined detector has been lowered significantly allowing for the detection of neutrino events as low as 10 GeV. While the addition of DeepCore has already shown to be immensely useful for both the observation of neutrino oscillations and the sensitivity of indirect dark matter searches, there has been little incorporation of lower energy muon neutrino events into the traditional IceCube transient and steady-state point source searches.

Although the effective area of the IceCube-DeepCore detector at sub-TeV energies is significantly reduced, the use of traditional analysis methods on these lower energy events can provide an additional probe for possible neutrino sources in a lower energy regime. In the event that nearby neutrino sources are characterized by either soft-spectra or an energy cutoff, an analysis optimized to make use of low energy events can actually be more sensitive than analyses of the traditional IceCube data sample. The use of lower energy events is not without its drawbacks, however. The accuracy of directional reconstructions in IceCube depends heavily upon the number of light sensors that register photons from a given neutrino event. How many sensors are triggered is directly related to the energy deposited by the interacting neutrino resulting in lower energy events suffering from much worse resolution on average. One of the nearly irreducible backgrounds in IceCube analyses, the flux of atmospheric neutrinos, is strongly energy dependent as well. Due to the very steep spectrum of these neutrinos produced in cosmic ray air showers, any soft-spectrum

astrophysical steady source will be exceedingly difficult to parse out from background.

When these difficulties are taken into consideration, it becomes readily apparent that an analysis designed to search for transient astrophysical neutrino sources is the optimal way to use a low energy muon neutrino sample in IceCube. Some potential sources include gamma-ray bursts (GRBs) [26], core-collapse supernovae (SNe) with accompanying jets [29], and active galactic nuclei (AGN) [10]. Whether these astrophysical events are accompanied by a substantial flux of energetic neutrinos is still unknown. Theorist predictions of neutrino emission from these sources indicate that a dedicated IceCube transient search may be sensitive for some values of model parameters. The aforementioned SNe harboring energetic jets are one of the more promising possible sources of neutrino emission. In a similar fashion to GRBs, these events produce neutrinos via internal collisions within the jet. However, unlike GRBs, the jets from these progenitors are insufficiently energetic to break out of the surrounding stellar envelope and are effectively 'choked' off preventing the escape of gamma-rays. Due to the lack of strong gamma-ray emission, it is unknown what fraction of core-collapse SNe have jets, but it is likely that the rate of these events is much higher than that of fully-fledged GRBs.

The work presented in this thesis details the development and results of an IceCube-DeepCore analysis optimized to search for transient soft-spectra neutrino sources with a specific focus on neutrino emission from potential choked GRBs. This is accomplished through the modification and application of previously developed time-dependent point source search techniques to a set of neutrino events much lower in energy than the typical IceCube sample. The following sections of this document will describe the acquisition of the data for this analysis, the selection criteria imposed on that data, and the analysis methods applied on the final set of events. In addition, pertinent background information pertaining to neutrino physics and methods in neutrino astronomy will be provided. Neutrino emission models for multiple source classes will also be discussed with a focus on the predicted neutrino emission from choked GRBs. Lastly, the details of the analysis result will be interpreted in light of its implications on the possible values of choked GRB model parameters.

# CHAPTER II

## NEUTRINO PROPERTIES

The neutrino is an electrically neutral particle that interacts only via the weak nuclear force and gravity. Its cross-section for interaction with ordinary matter is exceedingly small making the experimental detection of the neutrino a difficult task. It is classified as a lepton in the Standard Model, meaning that it is an elementary particle with  $\frac{1}{2}$ -integer spin (a fermion) and no strong force interaction. Neutrinos come in three variations with one corresponding to each of the three charged leptons present in the standard model: the electron, the muon, and the tau. The neutrino is the lightest of the elementary fermions by a wide margin, and though observations indicate neutrinos are massive, currently only upper limits on the mass of individual neutrino types are known.

The first evidence for the existence of the neutrino came through the observation of the energy distribution of electrons emitted by nuclei undergoing  $\beta$ -decay. In 1930, Wolfgang Pauli postulated the existence of a light, electrically neutral particle as a solution to the problem of missing energy and momentum [28]. The idea was not unanimously well-received as he was ultimately suggesting a nigh impossible to detect particle as the solution to the conundrum. However, the idea of Pauli's hypothetical particle seemed much more palatable than the troubling alternative that energy or momentum may not be universally conserved. Confirmation of the existence of this proposed particle would not occur until 1956 through the detection of anti-electron neutrinos streaming from the nuclear fission reactors of the Savannah River Plant [14].

Despite its discovery nearly six decades ago, a complete understanding of neutrino properties still eludes the physics community. The results of additional neutrino detection experiments revealed that neutrinos held some unsuspected peculiar properties. Perhaps the most notable of these experiments was the detection of the flux of solar neutrinos via inverse beta decay in Homestake Mine by Ray Davis [15]. The experiment only measured

one third of the expected neutrino flux predicted by the solar model. The conflict would eventually be resolved when it was determined that neutrinos undergo flavor oscillations as they propagate. This specific deviation of neutrino behavior from theoretical predictions in some sense encapsulates the trend of neutrino behavior defying expectations. As of today, many important properties of the neutrino are still undetermined such as the absolute value of the mass eigenstates, the possibility of the neutrino being its own antiparticle, ordering of the mass eigenstates (hierarchy problem), number of neutrino types, and the possibility of CP violation during oscillations.

While these issues are extremely interesting in their own right, this section will only attempt describe the neutrino properties relevant to the analysis being presented. Thus, the primary topic of discussion will be the interaction modes of high energy neutrinos. In addition, the phenomenon of neutrino flavor oscillations will also be described in brief due to the important role flavor composition at Earth of a given source flux plays in the capability of detection by IceCube.

## ***2.1 Interactions in Matter***

One of the defining characteristics of the neutrino is the ability to stream through large distances of ordinary matter unperturbed. However, neutrinos do occasionally interact with normal matter through several different interactions of varying complexity. The energy of the neutrino and the composition of the target material determine the most likely mode of interaction. With that in mind, this section will only attempt to detail the neutrino-matter interaction of greatest importance in IceCube, i.e. the scattering of a neutrino with a target nucleon. While many other interaction processes can also occur (neutrino-electron scattering, inverse beta decay, coherent scattering with nuclei, etc.), the cross-section for these processes is far smaller than neutrino-nucleon scattering for neutrinos with energies of interest to IceCube and Deepcore ( $E_\nu \geq 10$  GeV).

### **2.1.1 Neutrino-Nucleon Scattering**

The dominant mode of interaction for neutrinos detected by IceCube is through scattering with a nucleon of a hydrogen or oxygen atom within the ice. This interaction is carried out

via the weak nuclear force and involves the exchange between the neutrino and the nucleon of either a  $W^+$ ,  $W^-$ , or Z boson. Interactions in which a charged W boson is exchanged are referred to as charged-current (CC) and have the following form:

$$\nu_l + N \rightarrow l + X \quad (1)$$

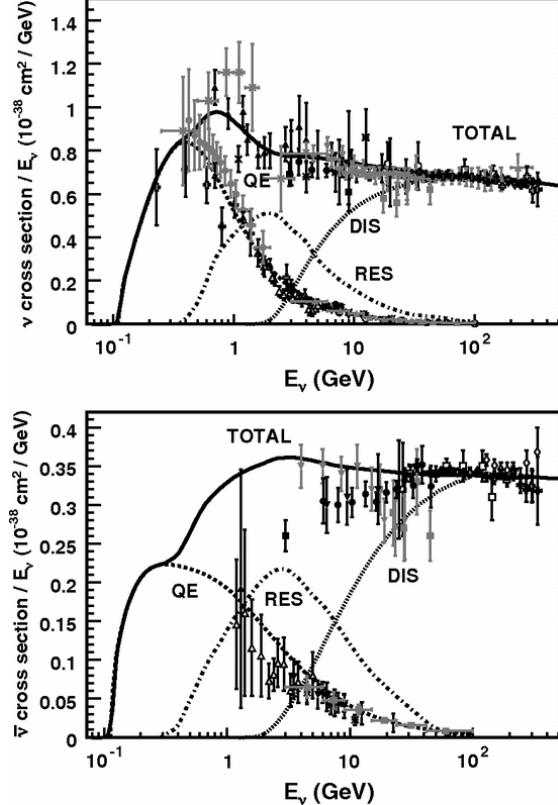
A neutrino of flavor  $l$  will yield its counterpart charged lepton while the final state  $X$  of nucleon  $N$  will be dependent on the magnitude of the momentum exchange in the interaction and can range from ejection of a nucleon from the nucleus to total breakup and a particle shower. Neutral-current (NC) interactions occur via exchange of the chargeless Z boson and as such yield a different end state:

$$\nu_l + N \rightarrow \nu'_l + X \quad (2)$$

Unlike CC interactions, only the energy imparted to the target nucleus will be observable as the outgoing neutrino  $\nu'_l$  will carry some fraction of the energy. As is the case in the CC scenario, the end state for the nucleon in NC interactions will vary according to the energy departed by the neutrino.

How deeply these interactions probe the internal structure of the target nucleon is ultimately dependent on energy of the incoming neutrino. For neutrinos below 20 GeV in energy, the exact manner in which the neutrino interacts with the target nucleon can be quite complicated as there are three categories of scattering interaction possible. These modes include quasi-elastic scattering, resonance production, and deep inelastic scattering [16]. Nearly all interaction products from neutrino events detected by IceCube are the result of deep inelastic scattering. However, other modes of interaction can be much more prevalent in a DeepCore sample as the energy threshold of the detector extends to approximately 10 GeV. Figure 1 shows the relative size of the cross-section for each of the aforementioned processes as a function of neutrino energy. The higher number of possible end states provided by quasi-elastic and resonance scattering make simulation and proper estimation of the cross-section for neutrino events of 1-20 GeV events a fairly complex ordeal. Quasi-elastic scattering and resonance production will only be briefly described as

very few of the neutrino events present in the final event sample of the presented analysis interacted through either of these means.



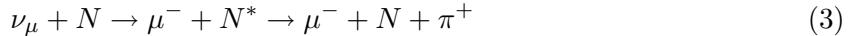
**Figure 1:** Total inclusive cross-section is plotted above as a function of energy along with individual cross-sections for different interaction channels. Above a few tens of GeV, it's clear that deep inelastic scattering begins to dominate [16].

scattering in relative contribution to the total interaction cross-section. In this form of inelastic scattering, the momentum transfer provided by the neutrino collision can excite the nucleon target. The decay of this excited state will generally involve the emission of an energetic meson (a particle consisting of a quark-anti-quark pair). At lower energies the

In the elastic (NC) or quasi-elastic (CC) scenario, an incoming neutrino will scatter off of the nucleon as a whole imparting some of its energy to the target. This is usually sufficient to eject the target nucleon and in some cases additional nucleons from the nucleus. The CC scattering is referred to as quasi-elastic due to the fact that it requires the conversion of the target proton(neutron) into a neutron(proton) to ensure charge conservation. This type of scattering does not probe the internal constituents of the nucleus (quarks and gluons collectively referred to as partons). The absolute cross-section, however, will depend heavily on nuclear properties of the target atom. Examination of Figure 1 shows that even in a DeepCore dominated event sample this process will very rare given the threshold for neutrino detection is approximately 10 GeV.

Above 1 GeV in neutrino energy, resonance production overtakes quasi-elastic

emitted meson will usually be a single pion ( $\pi^+, \pi^-$ ), however at higher energies multiple pions can be produced as well as strange mesons such as the kaon. An example muon neutrino resonance interaction takes the following form:



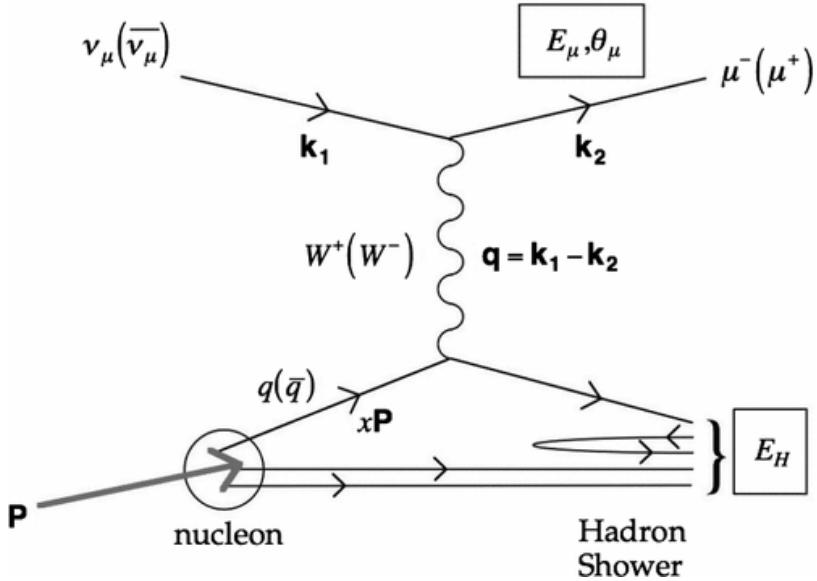
In the scenario described above, the excited nucleon  $N$  decays and emits a charged pion. Other end states yielding either charged or neutral pions are possible as well for either CC or NC interactions. An additional possibility is coherent scattering with the entirety of the nucleus. The momentum transfer in this case is rather low and results in little nuclear recoil and a heavily forward-scattered pion.

As the momentum transfer in the interaction increases, more exotic resonances can arise which in turn can result in the production of multiple pions or heavier mesons. The large number of possible end states for these interactions makes proper simulation of the process complicated. This can be significant for specialized analyses focusing on the lowest energy events in DeepCore as neutrinos interacting via resonance production actually make a sizable contribution to the event sample. What is ultimately important, however, is that the total light yield from these interactions is estimated correctly by the simulation used for analysis purposes. While elastic scattering and resonance production are significant for the lowest energy analyses or possible future low energy extensions for IceCube, these processes only add a minor contribution to the event sample used in the analysis presented in this thesis.

### 2.1.2 Deep Inelastic Scattering

The most important neutrino-matter interaction for IceCube is that of deep inelastic scattering. As Figure 1 shows, it is far and away the dominant mode of interaction for neutrinos above the threshold energy for detection in IceCube ( $\sim 100$  GeV). At these energies, the wavelength of the incoming neutrino becomes sufficiently small enough to begin probing the internal parton structure of the target proton or neutron. The energy imparted to these internal components will break the nucleon apart. This breakup will result in the formation

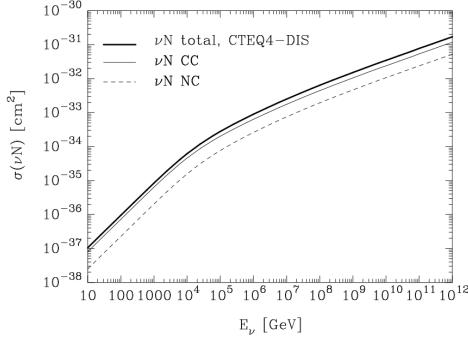
of a shower of short-lived hadrons (a particle composed of quarks) which will decay into more stable particles. The generation of these hadronic cascades during nucleon breakup is often referred to as hadronization of the nucleon's constituent quarks, and the formation of these particles is necessitated by quark confinement, a property of the strong nuclear interaction. The particles within this shower will suffer energy losses through either collisions or ionization of surrounding material until the energy is fully dissipated. A diagram detailing the deep inelastic scattering process for a muon neutrino is shown in Figure 2.



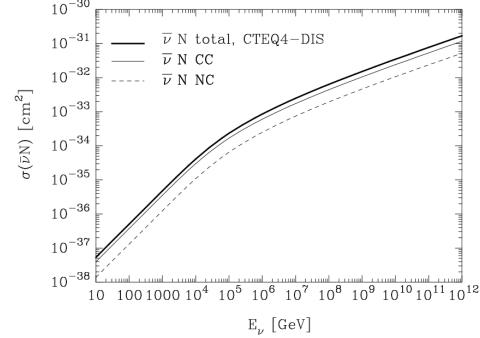
**Figure 2:** Feynman diagram of a  $\nu_\mu$  undergoing deep inelastic scattering with a nucleon via charged-current interaction. A large momentum exchange through a charged  $W$  boson leads to breakup of the nucleon [16].

Like the scattering modes mentioned earlier, deep inelastic scattering can occur through either a CC or NC interaction. The hadronic shower will occur regardless of the interaction mode, while an end-state lepton will only be present in the CC scenario. Neutrino experiments can infer the properties of the interacting neutrino through examination of the end state products. The three possible leptons ( $e^-$ ,  $\mu$ ,  $\tau$ ) produced in CC interactions each exhibit different behavior as they interact in the detection medium allowing for flavor identification of the original neutrino. In the case of NC scattering, however, only the hadronic cascade is observable by the experiment. This not only prevents flavor identification of the

neutrino, but it also makes estimation of the neutrino energy very difficult as some fraction of the total energy is carried away by an outgoing neutrino.



**Figure 3:** Charged current (thin), neutral current (dashed), and total inclusive (solid) deep inelastic scattering cross-section by energy for neutrinos [17].



**Figure 4:** Charged current (thin), neutral current (dashed), and total inclusive (solid) deep inelastic scattering cross-section by energy for anti-neutrinos [17].

The cross-section for deep inelastic scattering increases with increasing neutrino energy as Figures 3 and 4 show. While this increasing cross-section is generally favorable for detection purposes due to higher probability to interact in neutrino telescopes, a larger interaction cross-section at higher energies also leads to absorption of neutrinos within the Earth. Absorption is fairly infrequent for neutrinos below 10 TeV, but it becomes increasingly relevant for astrophysical neutrinos at the PeV or EeV scale [25].

### 2.1.3 Propagation of Interaction Products

The products leftover from high-energy neutrino-nucleon interactions are generally very energetic as well. These particles will subsequently undergo many forms of energy loss and possible decay as they travel through a detection medium. In a given interaction, the total light yield and its spatial extent are contingent on the products of the interaction. The products themselves are ultimately determined by both the flavor of the primary neutrino and whether the neutrino interaction was of the charged- or neutral-current variety. This section will detail the important energy loss mechanisms for interaction products and how these losses produce an observable signal for the IceCube detector. There are many modes of interaction for charged particles in materials some of which are highly energy dependent and stochastic in nature. Because IceCube was designed specifically to detect and track

high energy muons, the description of energy loss mechanisms will primarily focus on how these mechanisms pertain to muons. The same interactions will occur for other charged particles produced in neutrino interactions, however, these particles fail to produce tracks long enough to be resolved by IceCube.

The primary energy loss mechanisms for charged leptons are ionization, bremsstrahlung, and pair-production, and photo-nuclear interactions [23]. These losses can be summarized as follows:

**Ionization** - Ionization energy losses occur as the charged particle transfers energy to the electrons of intervening atoms and molecules. This energy loss is fairly continuous and is the dominant loss mode for sub-TeV muons in the ice.

**Bremsstrahlung** - Energy losses via bremsstrahlung involve the deflection of the particle by another charged particle (typically a positively charged nucleus). This deflection results in the emission of a photon with energy equivalent to the kinetic energy lost in the interaction.

**Pair-production** - As the name might suggest, pair-production is the process in which an electron-positron pair is produced in the Coulomb field of an atomic nucleus [18].

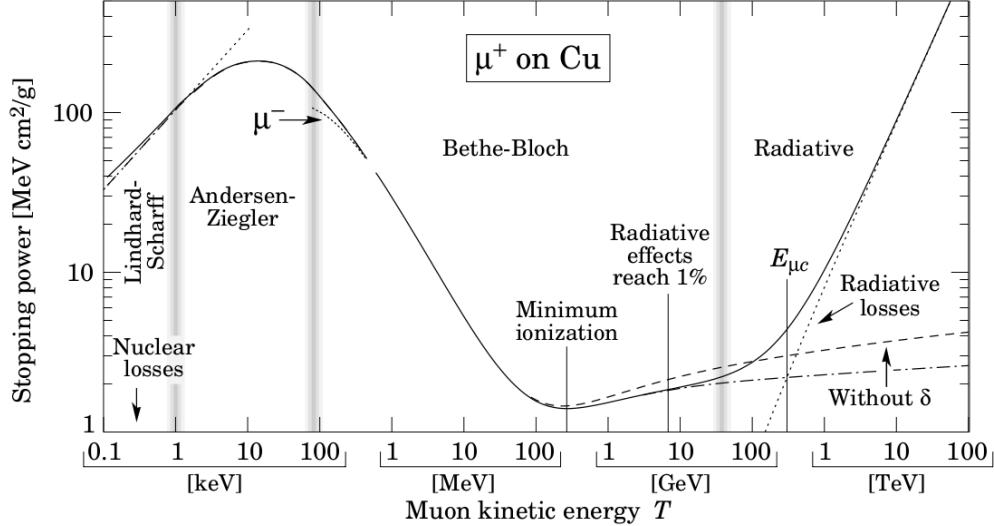
**Photo-nuclear** - At high energies, charged leptons can undergo inelastic scattering with nuclei and in the process create hadron showers [13]. The process is similar to the neutrino-nucleon scattering processes described earlier but with photon-exchange taking place rather than  $W$  or  $Z$  boson exchange.

The relative contribution to total energy loss these interactions provide will vary with particle energy. In practice, this is commonly parameterized so the average range of the particle in a given medium can be more easily computed [18]:

$$-\frac{dE}{dX} = a(E) + b(E)E \quad (4)$$

Here  $a(E)$  represents the more or less continuous energy losses from ionization (electron stopping power) while the  $b(E)$  term includes the contributions from the various radiative energy loss processes (bremsstrahlung, pair-production, and photo-nuclear). The relative

strength of these processes with respect to stopping power can be seen in Figure 5. These losses determine both the brightness and length of muon tracks within the IceCube detector and ultimately how well their direction can be resolved.



**Figure 5:** Total stopping power (solid line) of muons in copper as a function of kinetic energy. Contributions from individual processes are shown as well as the energy ranges in which these processes are most dominant. [18].

While the previously described processes are most relevant for particle energy loss, the most important energy loss mechanism for particle detection in IceCube is the production of Cherenkov light. Cherenkov emission makes only a very small contribution to total energy loss by charged particles in materials. It does however produce light in the visible spectrum yielding an easily detectable signal. This emission occurs when a charged particle travels through a dielectric medium at a greater speed than the phase velocity of light in that medium. The particle will polarize the surrounding material as it travels, however the particle will have moved on before enough time has elapsed for the material to relax resulting in a build up of wavefronts. A shock front is produced that takes the shape of a cone about the particle track. This light cone has an opening angle given by

$$\cos \theta = \frac{1}{n\beta} \quad (5)$$

where  $n$  is the index of refraction for the material and  $\beta$  is the ratio of the particle velocity

and the speed of light  $c$ . If one takes a typical value for the refractive index in ice ( $n = 1.31$ ), an angle of roughly  $40^\circ$  is obtained. Resolution of the Cherenkov cone of a single particle is a powerful technique for many particle detectors. However, the Cherenkov light fronts of only the most energetic and long-lived particle tracks are able to be resolved due to the large separation distances between individual light sensors in IceCube.

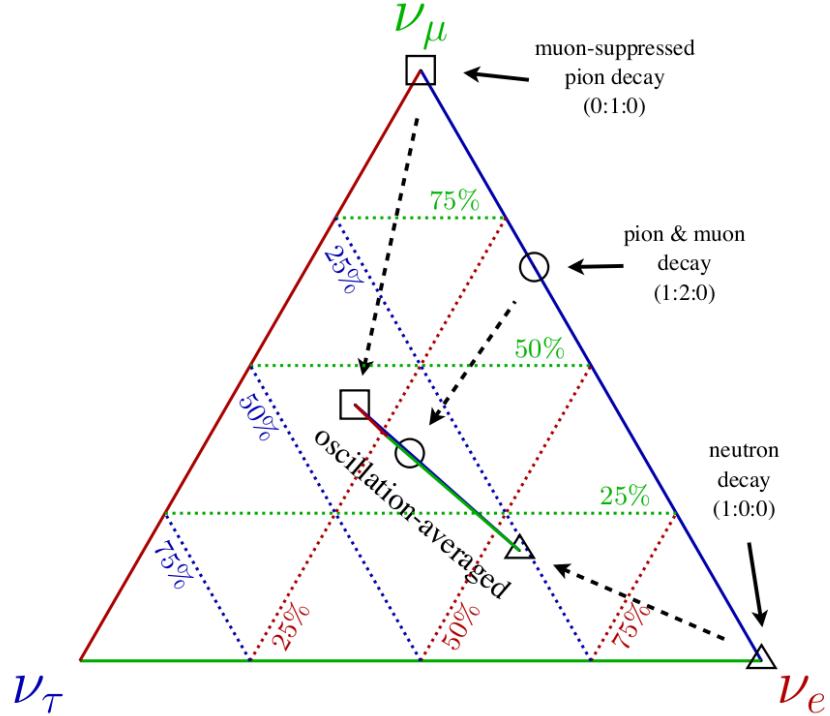
For most neutrino events in IceCube, deep inelastic scattering with nucleons in the ice via either neutral or charged boson exchange deposits enough energy to cause the breakup of the nucleon target. This breakup will result in the formation of a shower of short-lived hadrons (a particle composed of quarks) which will suffer energy losses and decay into more stable particles. These longer lived particles will dissipate the remaining energy through ionization, bremsstrahlung, pair production, or nuclear interactions until the energy imparted by the neutrino is dissipated. The physical extent of these showers is typically on the order of a few meters, much smaller than the spacing between light sensors in IceCube. All of the energetic particles composing the shower will emit Cherenkov radiation as they propagate in the ice giving a pool of light centered at the interaction vertex whose photon count is proportional to the energy of the interaction.

## ***2.2 Flavor Oscillations***

The process in which the lepton flavor of a given neutrino can change during its propagation is one of the particles more intriguing properties. The unexpected observation of neutrino oscillations indicated that the neutrino, which was initially thought to be massless under the Standard Model, must actually have some non-zero mass after all. Recently, many experiments have studied this phenomenon with high precision in hopes of accurately determining the mass-splitting of the neutrino eigenstates as well as if neutrinos undergo CP violation. While the analysis being presented is in no way suited to studying the oscillation phenomenon, how the results are interpreted is very much dependent on how the modeled neutrino flavor ratio for a source evolves from its origin to its arrival at Earth. Thus, this section will describe in short detail the oscillation process over astronomical baselines and through the Earth, the scenarios most applicable to IceCube.

[Two-flavor Scenario]

[Vacuum Oscillations]



**Figure 6:** Neutrino flavor ratio space. Oscillation over astronomical baselines will result in a flavor ratio at Earth different than at source. If the flavor ratio for a given source can be measured it can help identify the process through which that source's neutrinos were produced [22].

[MSW]

# CHAPTER III

## NEUTRINO ASTRONOMY

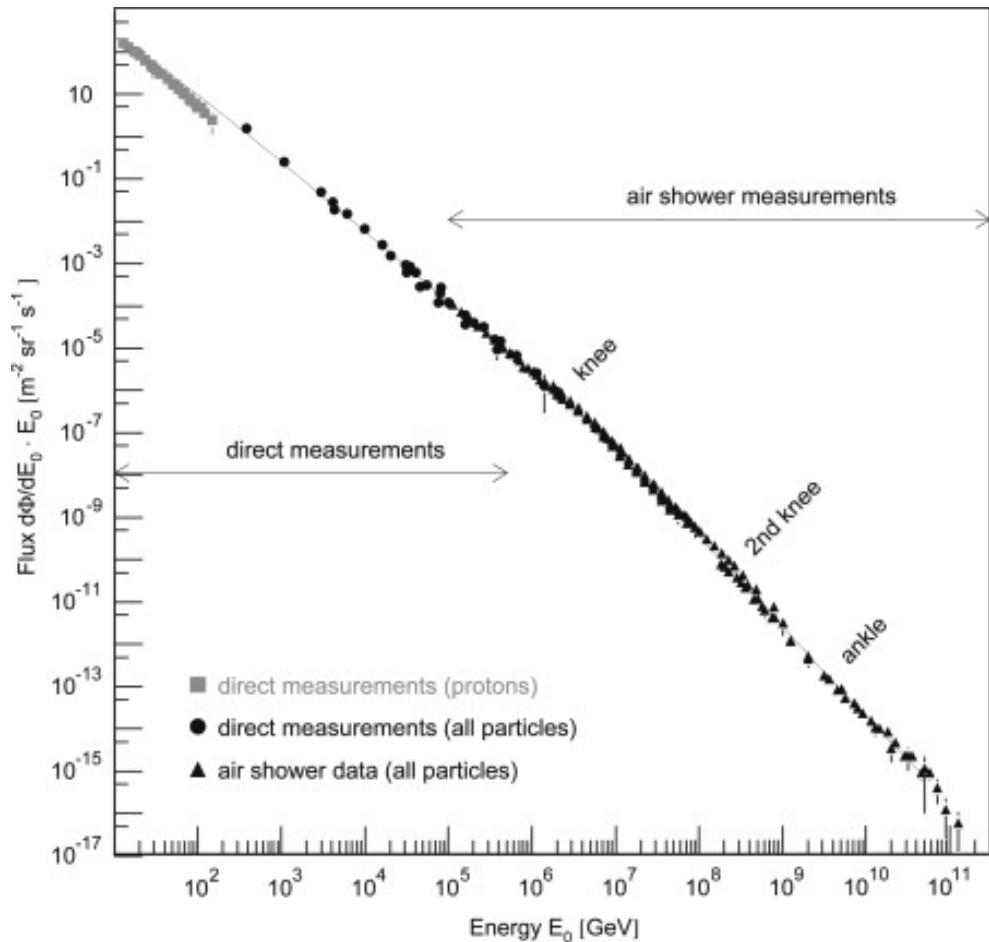
As the name suggests, the field of neutrino astronomy is concerned with the detection of neutrinos of extraterrestrial origin for the use of identifying and classifying astrophysical sources. In a similar fashion to the advances made through expansion of traditional optical astronomy to the high-energy regime of x-rays and gamma-rays, it is thought that the unique window provided by neutrino astronomy can facilitate tremendous increases in our understanding of the universe.

### ***3.1 Motivation***

The detection of a neutrino flux for a source and measurement of its spectrum would provide critical insight into the processes governing the densest and most energetic regions of the source.

### ***3.2 Detection Methods***

The primary method for the detection of high-energy neutrinos is through observation of Cherenkov light produced by interaction secondaries in a transparent medium (typically water or ice). Events can be detected either by surrounding a detection volume with light detectors (as is the case for Super-Kamiokande [?]) or by placing the detectors within the detection medium itself (e.g. IceCube, ANTARES).



**Figure 7:** Cosmic ray energy spectrum at Earth as measured by several experiments [11].

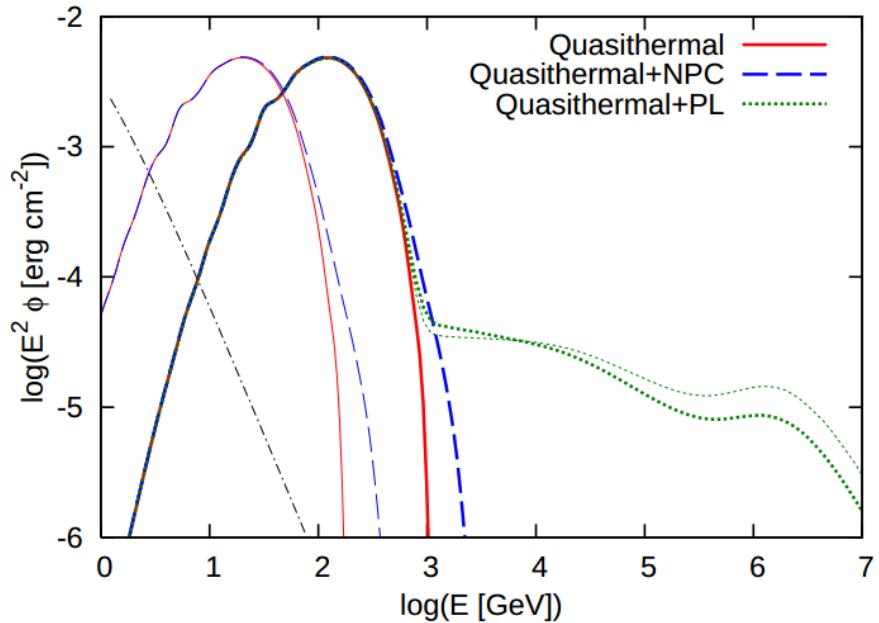
## CHAPTER IV

### NEUTRINO SOURCES

While no individual extra-solar high-energy neutrino sources have yet to be discovered (with the exception of SN 1987A), there is strong theoretical support and indirect observational evidence for their existence. The measurement of extremely high-energy cosmic rays implies that a flux of energetic neutrinos must also be present due to the intimate link between hadronic acceleration and neutrino production in high-energy astrophysical environments. Most importantly, the recent discovery of a flux of high-energy neutrinos of astrophysical origin by IceCube provides confirmation that

#### 4.1 *Active Galactic Nuclei*

#### 4.2 *Gamma-ray Bursts*

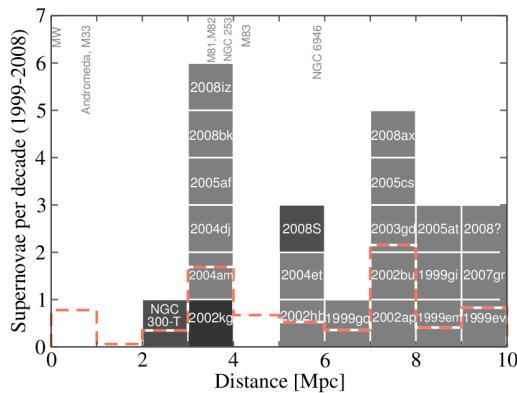


**Figure 8:** Energy fluence of  $\nu_\mu$  and  $\bar{\nu}_\mu$  from high-luminosity GRB at a redshift of  $z=0.1$  in the sub-photospheric emission model [27].

### 4.3 Core-Collapse Supernovae

The detection of several neutrino events in temporal coincidence with supernova 1987A marked the first detection of an extra-solar neutrino source. Neutrino events were observed in three separate detectors a few hours prior to the optical observation. Such an observation was made possible by the close proximity of the progenitor which was located within the Large Magellanic Cloud( $\sim 50$  kpc from Earth). Detection of these events confirmed the theoretical prediction of an enormous liberation of energy in the form of neutrinos during the collapse of the core of a massive star.

### 4.4 Choked Gamma-ray Bursts



**Figure 9:** Observed SNe within 10 Mpc in the years 1999-2008 [24]

## CHAPTER V

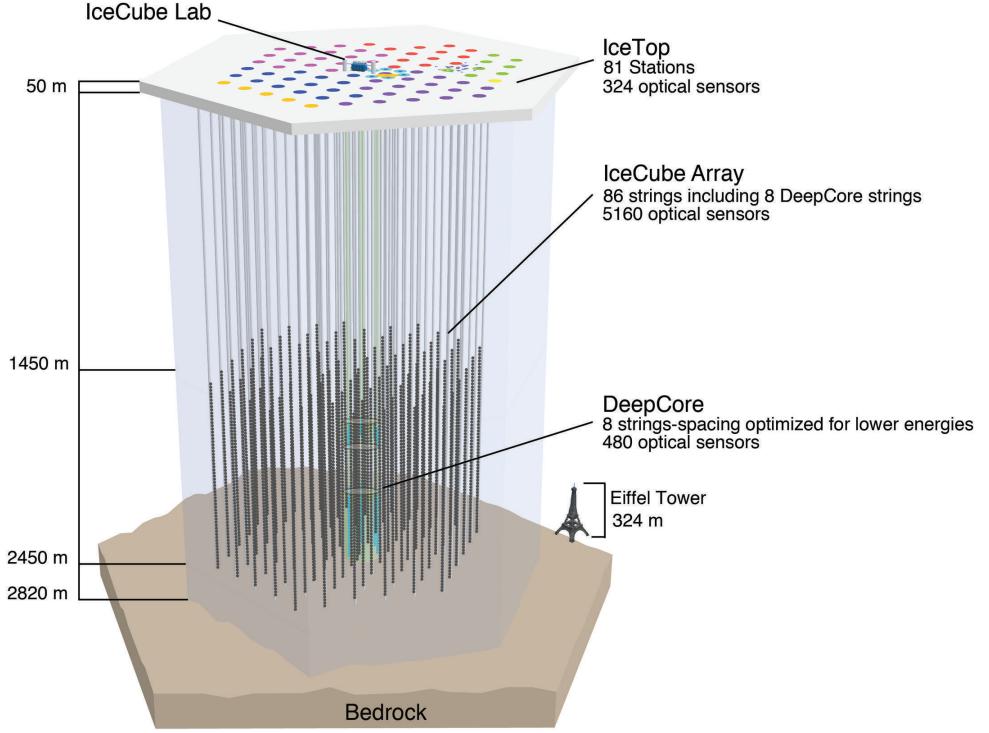
### DETECTOR

#### *5.1 IceCube and IceTop*

The IceCube Neutrino Observatory is a km<sup>3</sup>-scale neutrino detector located deep within the glacial ice of the Antarctic ice sheet at the geographical South Pole. This location provides IceCube with a pristine detection medium in addition to mechanical support for the entirety of the array. The detector consists of 5,160 light sensors known as digital optical modules (DOMs) which are distributed along 86 cables (referred to as strings) that supply power and provide communication to the surface. Each cable is instrumented with 60 DOMs spaced 17 meters apart starting at 1450 meters below the surface and terminating at 2450 meters below. An inter-string spacing of 125 meters on average results in a total instrumented volume of approximately 1 km<sup>3</sup>. Figure 10 provides a schematic illustrating the detector geometry.

Installation of the IceCube strings took place over several years and required the use of a specialized hot-water drill. In the deployment process, the hot-water drill is used to bore through the ice leaving a water-filled column in which the string and its attached DOMs are lowered. The water column subsequently freezes the cable and all DOMs in place rendering them completely inaccessible from the surface. The deployment of the first IceCube string occurred on January 29th, 2005. The remaining strings were deployed over the next five summer seasons resulting in data seasons of different detector shapes and size. The final string was deployed on December 18, 2010 giving IceCube its ultimate 86-string configuration.

In addition to the detectors installed deep in the ice, there are also 81 surface detector stations (each station consisting of two tanks) at the surface. These tanks, which utilize two of the same light-sensing DOMs as IceCube, comprise the IceTop surface array. The DOMs in these tanks, which are also frozen in place, look for Cherenkov radiation produced



**Figure 10:** Diagram of the IceCube Neutrino Observatory (Courtesy of the IceCube Collaboration).

by cosmic ray air shower secondaries in the tank ice. By examining the arrival time of charged particles from the shower front, the direction of cosmic rays incident at Earth can be determined. The spatial extent of the shower as well as the total charge deposition in tank PMTs allows for accurate estimation of the energy of the primary cosmic ray. Data produced from IceTop is used to study cosmic ray composition, spectra, and anisotropy.

Due to the spatial relation of both IceTop and IceCube, they are able to complement the capabilities of each other quite nicely. IceTop's primary purpose is to study air shower physics, but it also serves as a veto for downgoing atmospheric muons and neutrinos in IceCube. This is particularly useful in the search for highly energetic neutrinos of astrophysical origin such as the events reported in [20] and [4]. Any downgoing events found by these searches that is accompanied by a causally connected air shower signal in IceTop is immediately identified as atmospheric in origin. Alternatively, the background muons

detected in IceCube can be used for more detailed study of air shower composition and energy in IceTop analyses. For more detailed information on the physics goals and detection capabilities of IceTop, see [7].

## 5.2 DeepCore

DeepCore [5] is a sub-detector deployed in tandem with IceCube between 2009 and 2010 primarily designed to lower the energy threshold of IceCube. The array consists of eight infill strings located in the center of the IceCube detector in addition to the first layer of surrounding standard IceCube strings. This configuration gives DeepCore three layers of IceCube strings to use as an active veto for the primary background of atmospheric muons. In order to improve detector response to lower energy neutrinos,  $\mathcal{O}(10\text{-}100 \text{ GeV})$ , the infill strings of DeepCore have a much closer inter-string separation ( 42 m) and have 50 DOMs spaced 7 m apart deployed deep in the ice between 2100 m and 2450 m. This denser instrumentation allows for better timing and spatial resolution of charged secondaries produced in neutrino interactions. Additional sensitivity to lower energies is gained through the use of the newer Hamamatsu R7081MOD model PMT in the infill string DOMs as opposed to the standard Hamamatsu R7081-02 used in IceCube. This model boasts higher quantum-efficiency in the photocathode for photons at typical Cerenkov wavelengths ( $\lambda \sim 400 \text{ nm}$ ). In-ice measurements of the high quantum efficiency (HQE) DOMs showed a 35% increase in sensitivity to Cherenkov light with respect to the standard IceCube DOMs [5].

The depth selected for deployment of the DeepCore DOMs was determined via examination of the ice properties previously mapped by both the Antarctic Muon and Neutrino Detector Array (AMANDA) [9] and pre-existing IceCube configurations [1]. These investigations into the optical properties of the ice revealed that the deepest ice ( $\leq 2100 \text{ m}$ ) had superior optical qualities with respect to the ice closer to the surface. Additionally, it was determined that a layer of high dust concentration in which light is scattered and absorbed to a much higher degree exists at a depth of 2000-2100 m. The eight infill strings also have a section of 10 DOMs with 10 m spacing located just above the dust layer. These DOMs form a veto cap to further increase the detection probability and rejection of directly

down-going muons. Figure 11 shows the distribution of DeepCore DOMs and the spacing and orientation of the DeepCore strings with respect to IceCube as a whole.

The primary physics goal of the DeepCore installation is to provide increased sensitivity for indirect dark matter searches by improving the IceCube detectors ability to resolve sub-100 GeV neutrino events. In this regard, it has been quite successful in establishing limits on the cross-sections of many WIMP (Weakly Interacting Massive Particle) dark matter models with the Sun [3] and Milky Way [6] as possible sources. The lowering of the detector’s energy threshold has also made neutrino oscillation parameter measurements possible due to the high statistics provided by atmospheric neutrino events [2]. Most importantly for the analysis presented in this thesis, however, is the improvement in effective area and resolution DeepCore provides for 30-150 GeV muon neutrinos. As this thesis will demonstrate, including these neutrino events into previously established IceCube point source analysis methods greatly improves IceCube’s capability to discover transient events with soft spectra.

### 5.3 *Neutrino Events in IceCube*

In order to isolate the sparse neutrino events from the abundance of background cosmic ray muons, it is necessary to fully understand the nature of the detector response to neutrinos and neutrino secondaries interacting within the detector. Neutrinos that are sufficiently energetic to be detected by IceCube will undergo deep inelastic scattering with a nucleon target (see for more information on this process see section 2.1.1). This process will either be charged-current (CC) or neutral-current (NC) depending on the nature of the boson exchange and the final lepton state. The hit topology of a given neutrino event in IceCube will depend upon the flavor of the neutrino ( $\nu_e$ ,  $\nu_\mu$ ,  $\nu_\tau$ ) as well as the channel through which it interacts with a target nucleon in the ice.

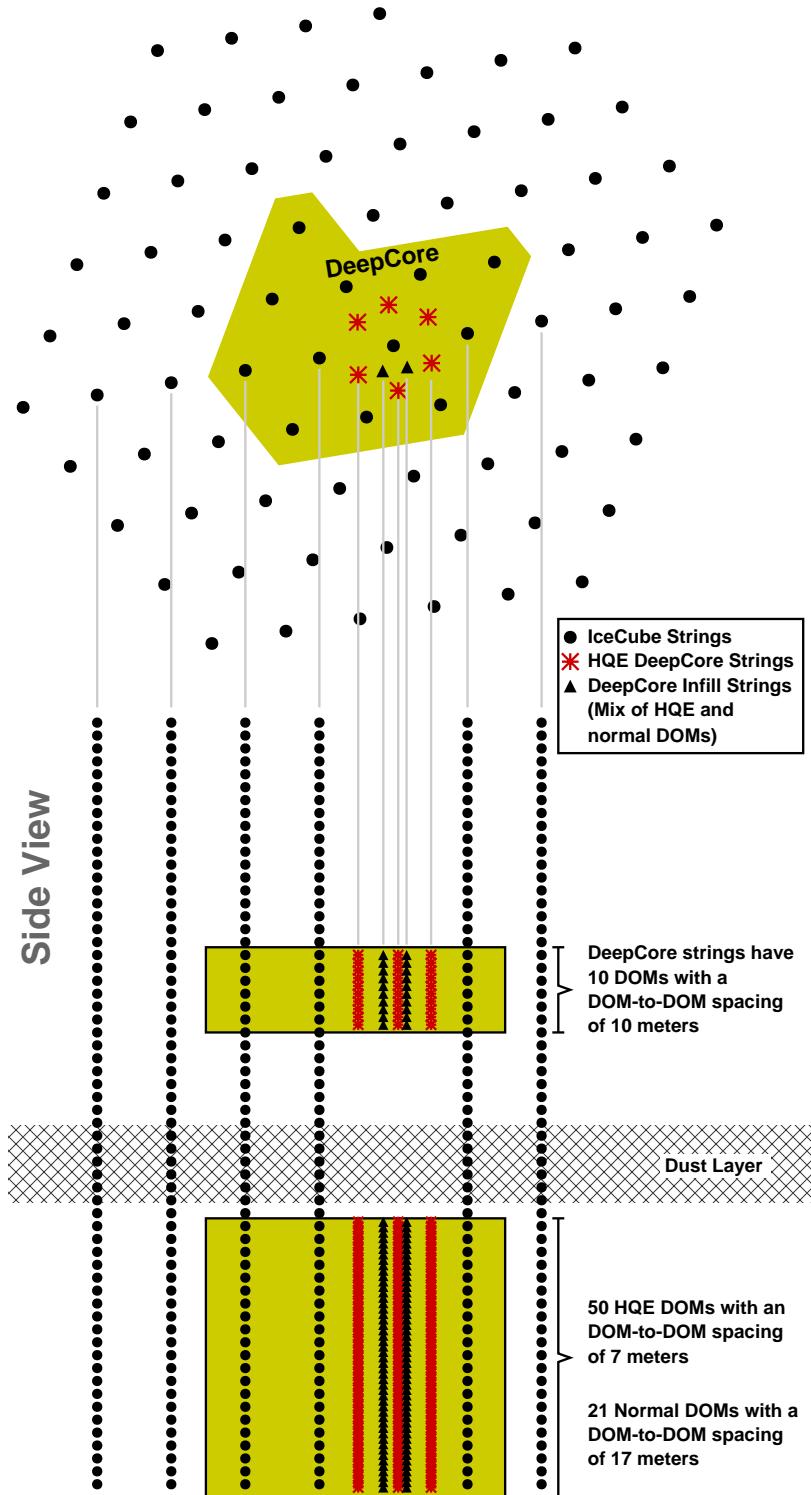
In NC interactions of all flavors, a hadronic cascade is produced which yields a roughly isotropic distribution of light. Any spatial extent in the hadronic cascade particles will be much smaller than the DOM separation distance. Thus, the Cherenkov emission from these particles will appear to be a point source of light within the detector. This results in a

spherical pattern of DOMs that register light from this type of interaction. The radius of DOMs which are able to detect light from the cascade is determined by the total energy deposited in the ice by the neutrino primary. Events with this hit pattern are referred to as cascades. An example event display for this type of interaction can be seen in Figure 12.

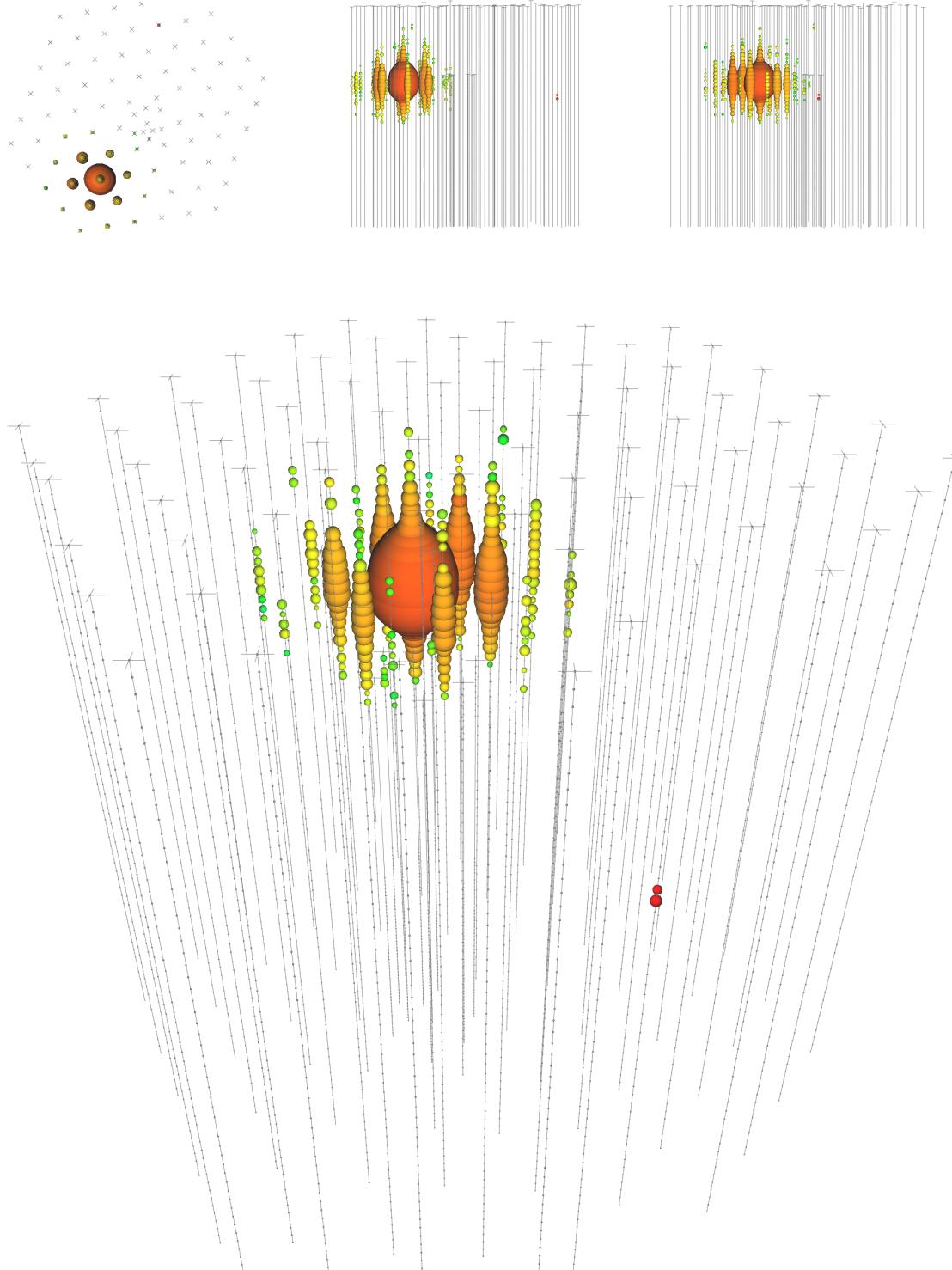
Whereas the resultant hit pattern for NC interactions is flavor independent, the event topology in CC interactions is determined primarily by the lepton flavor of the neutrino. In addition to a hadronic cascade, the CC interaction will also yield an energetic lepton corresponding to the flavor of the interacting neutrino. In the case of  $\nu_e$  and  $\nu_\tau$  CC interactions, the resulting hit pattern in IceCube will take the form of a cascade in a similar manner to the NC interactions. While the source of Cherenkov emission is no longer point-like, the length of electron and tau particle tracks is much shorter than the inter-DOM separation distance. Some marginal pointing can be achieved for these events, however, since the light produced in the hadronic and electromagnetic cascades in these events is not totally symmetric. For sufficiently energetic  $\nu_\tau$  events in IceCube, more exotic signatures are possible. These arise from the increased lifetime of the outgoing  $\tau$  lepton resulting in two separate light-producing cascades that can be resolved separately either in space or time. As of the writing of this thesis, no events of this type have been observed in IceCube.

IceCube is designed specifically to be sensitive  $\nu_\mu$  CC interactions due to superior pointing provided by long-lived muon tracks in the ice. Daughter muons from  $\nu_\mu$  CC interactions can travel distances ranging from 300 m ( $E_{\nu_\mu} \sim 100$  GeV) to several kilometers ( $E_{\nu_\mu} \geq 1$  TeV) [23]. As these muons travel through the ice, they produce light in electromagnetic showers through both ionization and stochastic radiation losses. Because the muon is traveling faster than the speed of light in the ice ( $n_{ice} \sim 1.3$ ), the Cherenkov light generated about the muon track will form a cone which is ultimately aligned with the original neutrino direction. This results in a linear hit pattern in IceCube DOMs, providing a clear signal with good directional information. Muon tracks with the highest contained length in the detector provide the best resolution due to their long lever arm and low kinematic angular difference with respect to the parent neutrino. An example of a track event from a high-energy contained event search is shown in Figure 13.

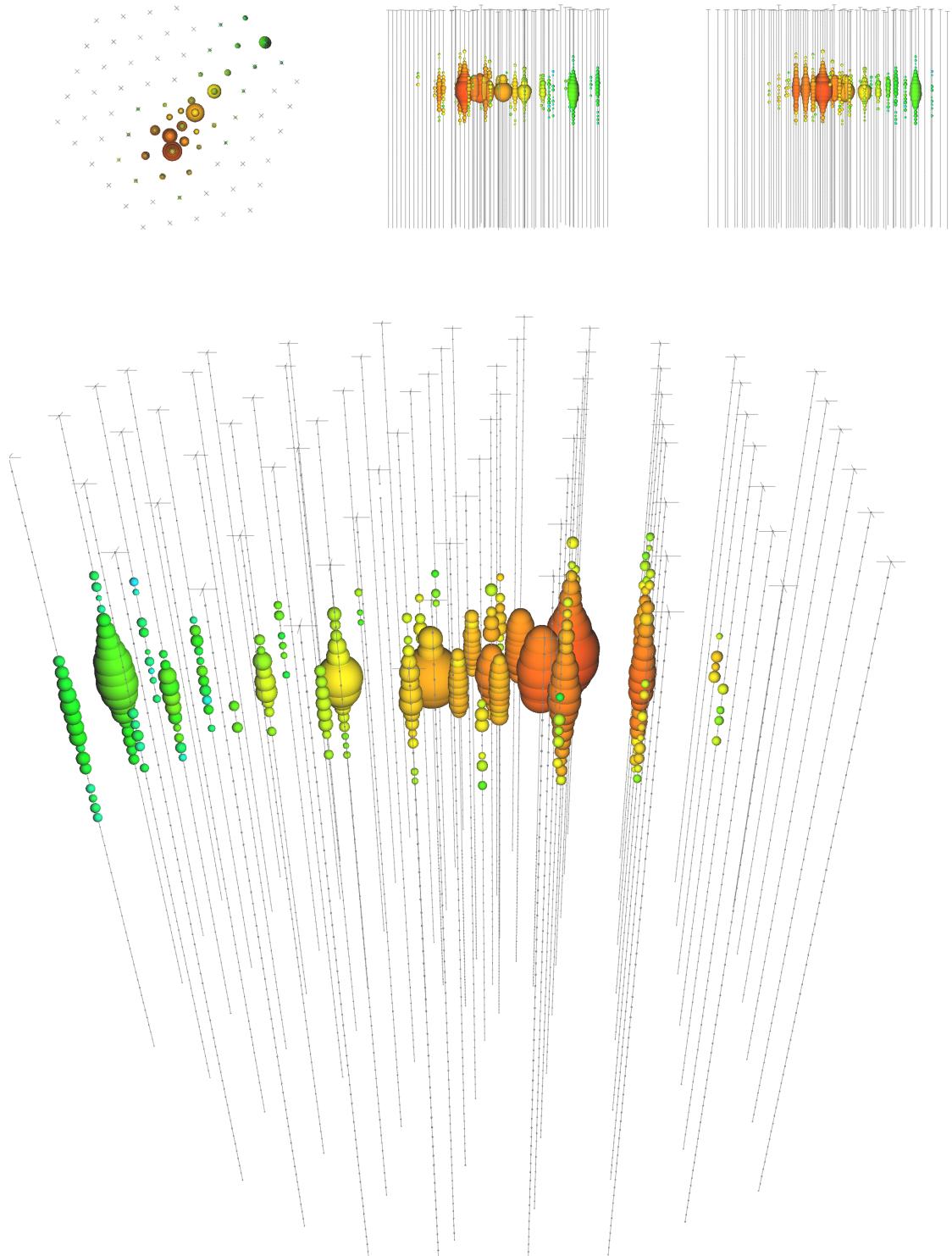
## Overhead View



**Figure 11:** Top down and side-view diagram of DeepCore. The side-view shows the difference in DOM distribution for the infill strings and their relation to the dust layer [5].



**Figure 12:** A high-energy cascade event in IceCube with deposited energy of  $210 \pm^{29.0}_{25.8}$  TeV [20]. The colored spheres represent DOMs that have registered light during the event. The size of the spheres are indicative of the total light received by the PMT on that DOM. The color denotes the timing of the hit with red corresponding to earlier times and blue corresponding to later times.



**Figure 13:** A high-energy track event in IceCube with deposited energy of  $71.4 \pm 9.0$  TeV [20]. The colored spheres represent DOMs that have registered light during the event. The size of the spheres are indicative of the total light received by the PMT on that DOM. The color denotes the timing of the hit with red corresponding to earlier times and blue corresponding to later times.

# CHAPTER VI

## DATA ACQUISITION

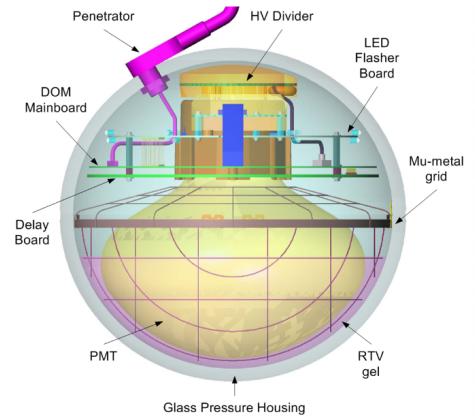
Maintaining smooth and efficient data acquisition for a detector consisting of such a large number of sensors presents a formidable challenge. Reconstructing physics events within the detector requires accurate timing of signals received by individual sensors coupled with a high degree of synchronization among all detection elements. In this section, a succinct description of the detection of the light-yield from particle interactions in the ice and the subsequent processing of that data is given. The reader interested in a much more thorough account is encouraged to consult the summary by Abbasi et al. [8].

### *6.1 The Digital Optical Module*

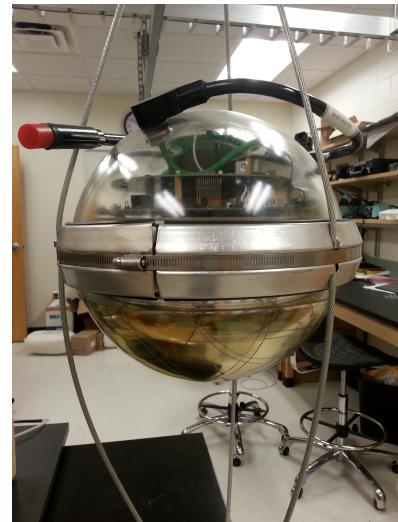
The essential component of the IceCube detector is the DOM. Each of these sensor units contains a Hamamatsu R7081-02 25 cm photo-multiplier tube (PMT), attached digitizing electronics, and LED flashers all housed within a glass pressure vessel [19]. A penetrator cable breaches the pressure vessel to connect the DOM electronics to the supporting string cable enabling DOM-to-DOM as well as DOM-to-surface communications. Absolute quantum-efficiency measurements were made for all DOMs prior to deployment in the ice. In order to estimate how the efficiency might change after freeze-in, studies on the efficiency of DOMs at typical in-ice temperatures were performed in labs at IceCube member institutions.

### *6.2 Hit Generation*

All data acquisition begins with the registering and processing of photon hits in individual DOMs. Cherenkov photons from nearby passing charged secondaries are detected when they intercept the photocathode of the PMT on the underside of the DOM. This generates a small current pulse which is subsequently amplified



**Figure 14:** Schematic detailing DOM structure [8].



**Figure 15:** A fully assembled DOM supported by a cable harness.

### 6.3 Data Synchronization

### 6.4 Triggering and Event Building

## CHAPTER VII

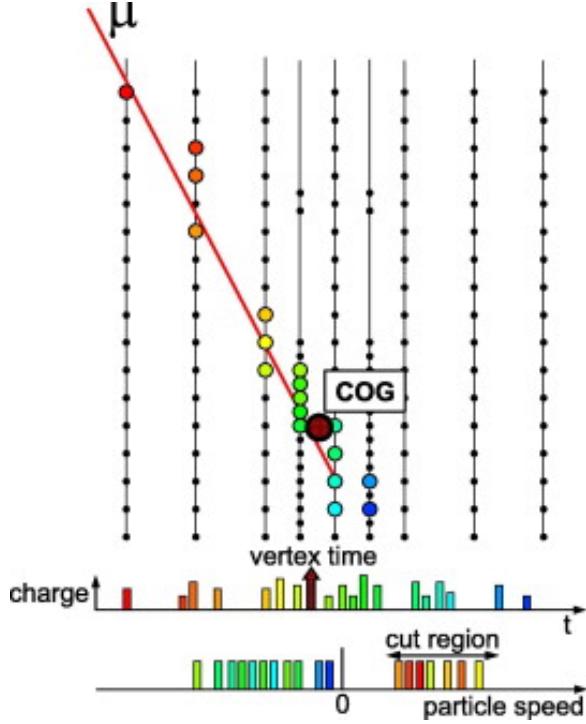
### EVENT SELECTION

A quick comparison between the rate at which atmospheric neutrinos trigger the IceCube and DeepCore detectors ( $\sim 10$  mHz) and the overall event rate ( $\sim 3$  kHz) readily shows that the data generated by IceCube is very strongly dominated by background. This background is almost entirely due to energetic muons produced in cosmic ray air showers passing through the detector from above. Due to the large range of physics capabilities of the detector, many different filters exist to reduce the data volume and select out events of interest to specific analyses. Event selection for IceCube analyses generally consists of selecting the appropriate filter(s) for the expected signal followed by application of several iterations of cuts optimized to reduce background to an acceptable level while maintaining efficiency with respect to signal events.

#### *7.1 Low-energy Channel*

Because of the primary focus of this analysis on a lower-energy event selection, the DeepCore-dominated low-energy filter stream is taken as input. Selecting only events which pass this filter reduces the trigger-level data rate of 3 kHz to a much more manageable 37 Hz. The low-energy filter attempts to select a relatively background free sample by selecting a detection volume about DeepCore that does not extend to edge of the detector. This allows optical sensors outside of the detection volume to serve as dedicated downgoing muon detectors. Events that have hits on DOMs outside the defined detection volume that are causally correlated with the hits inside the volume are able to be identified as background muons. A schematic representation of this filtering algorithm is shown in Figure 16.

This filter actually consists of two separate streams which are differentiated by the definition of which DOMs comprise the detection (or fiducial) volume and which DOMs are treated as belonging to the veto region. Inclusion of this additional branch using the



**Figure 16:** Diagram of a downgoing muon traveling through IceCube into DeepCore. The colored circles indicate DOMs triggered by the muon with red representing earlier times and blue representing later times. The times of DOM hits in a defined veto region are compared to the time and location of the center of gravity (COG) fiducial volume DOM hits in DeepCore. Events that are found to have more than one veto region DOM hit causally correlated with the COG in DeepCore are filtered out as likely downgoing cosmic ray muons [5].

relaxed veto allows for increased acceptance of higher-energy upgoing muon neutrino events that may be cut by the more stringent definition. The acceptance rate for the standard and relaxed veto filters is 17.25 Hz and 23.3 Hz respectively. The end result is a reduction in the trigger level data rate of nearly two orders of magnitude yielding a much more manageable data sample on which more advanced background reduction techniques can be applied.

## 7.2 Analysis Specific Cuts

After reducing the IceCube data stream to specific filters, the selection criteria imposed by differing will begin to diverge in order to optimize sensitivity to their respective target signal. For this analysis, the data given by the low-energy filter is put through a series of cuts optimized to preserve upgoing and contained track-like events from muon neutrino

interactions. What event traits to cut on and to what degree was decided through examination of many cut choices on a sample of simulated muon neutrino events and background simulation. The end result is a selection of event cuts that can be grouped into two categories. The first category consists of cuts derived from detector information in the veto region while the second focuses on event quality and reconstruction characteristics. Lastly, a cut developed through machine learning techniques and optimized with respect to analysis sensitivity is applied to achieve a final level data set ready for analysis.

### 7.2.1 Veto Cuts

Downgoing muons created in cosmic-ray air showers represent the most dominant background for muon neutrino analyses. As such, event selection processes go through extensive effort to identify and screen out these events.

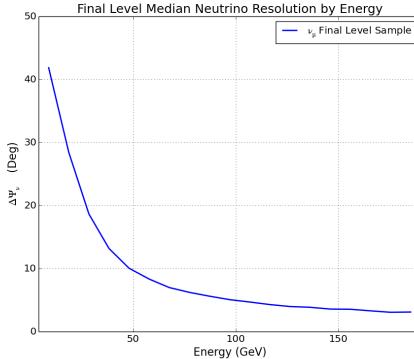
### 7.2.2 Quality Cuts

### 7.2.3 Machine Learning Cut

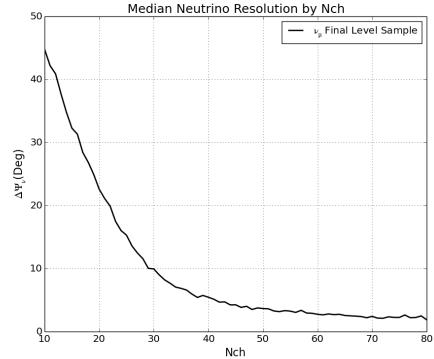
## 7.3 *Event Reconstruction*

An accurate reconstruction of neutrino events in the data sample is critical for optimal performance of any pointing analysis. During the event selection process several iterations of reconstructions are performed so that downgoing muons from cosmic rays can easily be identified. During data selection at lower levels, simpler reconstruction algorithms are often used to prevent a prohibitive amount of required CPU time for processing. These reconstructions then serve as the seed for more advanced techniques used at the analysis level. In this section the seed reconstructions for the final level will be discussed in addition to a detailed description of the final reconstruction whose results are used in the final analysis.

Reconstruction of a physics event within the IceCube detector depends on the geometry of the DOMs registering light from the event, the time of arrival for photons at those DOMs, and the total amount of light received at the individual DOMs.



**Figure 17:** Median event resolution as a function of energy for simulation events passing all cuts.



**Figure 18:** Median event resolution as a function of number of hit DOMs for simulation events passing all cuts.

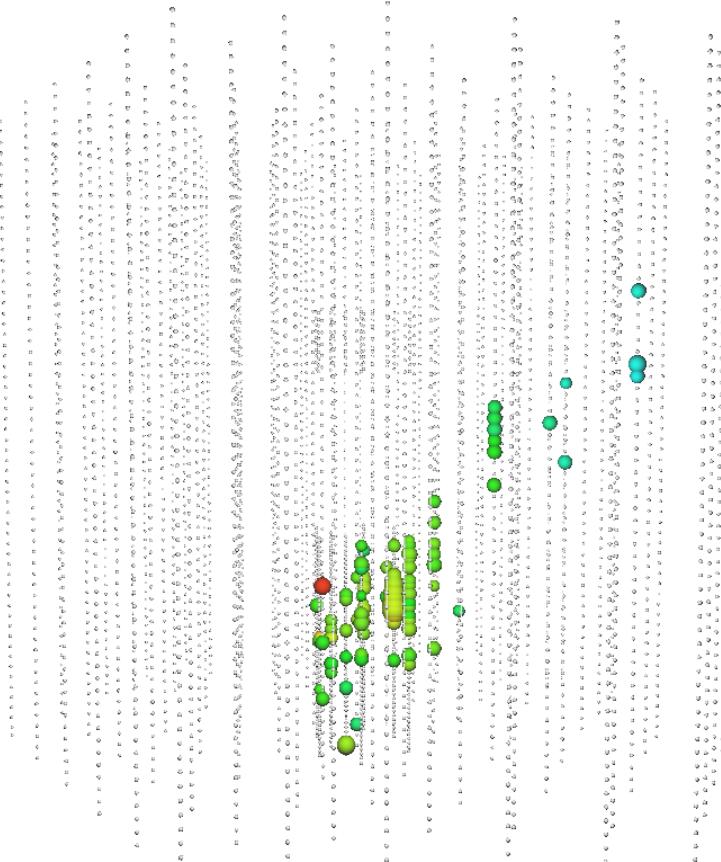
## 7.4 Final Level Data

After all cuts have been applied, we are left with a sample of 22,040 events during the observation period with an expected neutrino ‘purity’ of about 90%. The bulk of these events are neutrinos of atmospheric origin and they represent the strongest background for the analysis.

**Table 1:** Summary of final level event rates in mHz. The atmospheric muon and neutrion rates are estimated through the use of Monte Carlo simulation (MC).

Event Type	Rate (mHz)
Cosmic ray $\mu$	0.065
Atmospheric $\nu_\mu$	0.94
Total MC	1.001
Actual Data	0.774

LES Event 1



**Figure 19:** Event display for a final level neutrino track event originating in DeepCore. The colored spheres represent DOMs that have registered a hit during the event. The size of the spheres are indicative of the total light received by the PMT on that DOM. The color denotes the timing of the hit with red corresponding to earlier times and blue corresponding to later times.

# CHAPTER VIII

## ANALYSIS METHOD

The analysis presented in this thesis makes use of both directional and timing information from the final level event dataset. The techniques that are used in this analysis have been applied to other IceCube event selections in a similar fashion. As of yet, these time-dependent searches focused on IceCube events have yet to find any time-dependent neutrino sources of significance higher than background expectations []. A very thorough overview of the time-dependent likelihood analysis methods used in IceCube is given by Braun, et al. [12]. The method detailed in the following section is mostly the same, but there are a few minor modifications made to the process to improve performance on a low energy event selection.

### 8.1 *Unbinned Likelihood Method*

The identification of a statistically significant astrophysical signal amongst a high number of background events can be a difficult problem in astronomy. Searches will typically make use of timing, directional, and occasionally reconstructed energy information from events to find clustering indicative of a true astrophysical source. In order to accomplish this task, one can construct probability density functions or PDFs representative of the expected event spatial, temporal, or energy distributions for both signal and background possibilities.

$$S_i(|\mathbf{x}_i - \mathbf{x}_s|, t_i, t_o, \sigma_w) = \frac{\kappa}{4\pi \sinh \kappa} \exp(\kappa \cos |\mathbf{x}_i - \mathbf{x}_s|) * \frac{1}{\sqrt{2\pi}\sigma_w} \exp\left(-\frac{(t_i - t_o)^2}{2\sigma_w^2}\right) \quad (6)$$

$$B_i(\mathbf{x}_i, t_i) = P_{BkgDec}(\mathbf{x}_i) \frac{P_{BkgAz}(\delta_i, \alpha_i)}{T} \quad (7)$$

$$\mathcal{L}(\mathbf{x}_s, n_s, t_o, \sigma_w) = \prod P_i(|\mathbf{x}_i - \mathbf{x}_s|, n_s, t_i, t_o, \sigma_w) \quad (8)$$

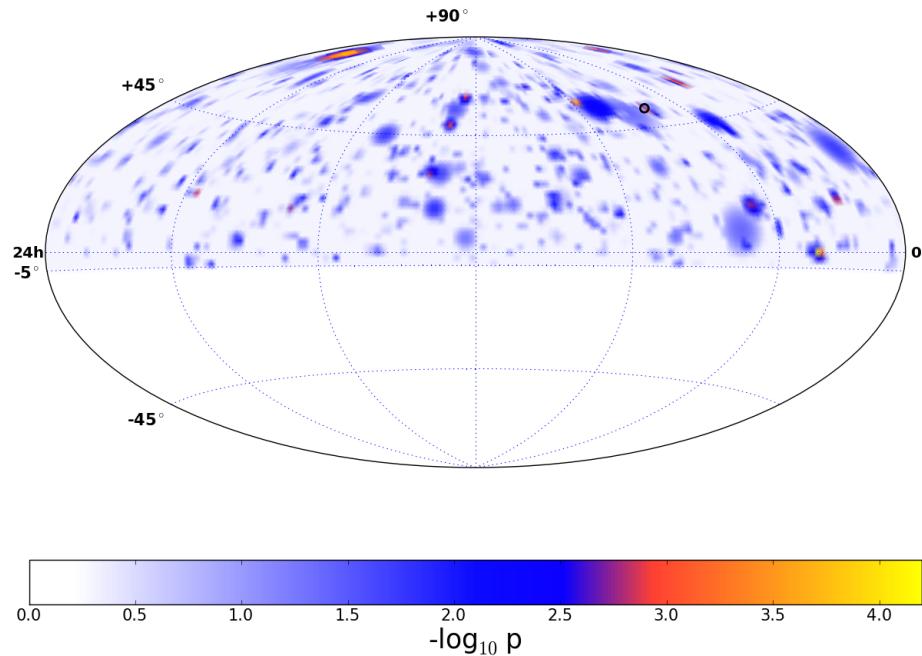
$$\log \lambda = \log \left( \frac{\sqrt{2\pi} \hat{\sigma}_w}{T} \frac{\mathcal{L}(\mathbf{x}_s, \hat{n}_s, \hat{t}_o, \hat{\sigma}_w)}{\mathcal{L}(n_s = 0)} \right) \quad (9)$$

## 8.2 Sky Scan

The analysis performed is not a triggered search, and therefore it is necessary to examine the entire solid angle domain of the analysis for any possible transient sources. The difficulty in rejecting background muons at lower energies limits the analysis to up-going and horizontal events ( $< 5^\circ$  above the horizon). Because of IceCube's location at the South Pole, this results in a search over all right ascension in a declination band ranging from  $-5^\circ$  to  $90^\circ$ . The search method discretizes the northern portion of the sky into many bins. The coordinates of these bins serve as the location of a hypothetical flaring source to be tested. The fairly large median resolution of the event sample (see Fig. 17) allows the size of the search bins to be set to a relatively coarse  $2^\circ$  by  $2^\circ$  in angular area.

## 8.3 Significance and Trials Factors

In order to determine which bin has the most significant flare, we evaluate an estimated p-value based on the maximized test statistic  $\lambda$  for that bin. The distribution of test statistic values for individual bins is not known *a priori* however.



**Figure 20:** Randomized sky map of pre-trials p-values for best flares per bin. Random map generated by scrambling arrival times of real events. The black circle shows the location of the most significant flare found by the method.

# CHAPTER IX

## SYSTEMATIC EFFECTS

There are many systematic uncertainties that can affect the interpretation of the results of this analysis. The primary contributors to uncertainty being the *in situ* scattering and absorption properties of the ice medium and the absolute quantum efficiency of the PMTs within the DOMs. While there are other errors that could be considered such as the absolute neutrino cross-section, the contribution they provide to the overall error is negligible.

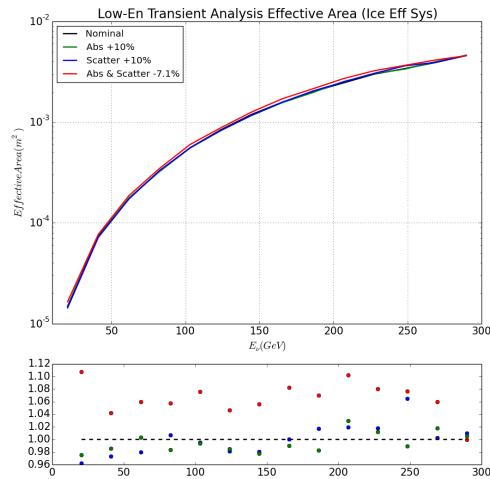
### ***9.1 Ice Properties***

The optical properties of the subsurface ice at the South Pole represent the most difficult systematic effect to adequately measure. This is largely due to the fact that this detection medium is inaccessible from the surface making direct measurement of the optical properties impossible. The scattering and absorption lengths in the ice greatly impact how light will propagate from interaction secondaries. Increased scattering can delay the arrival of photons to DOMs giving a larger spread in possible event interaction times. Assuming an incorrect absorption length can be problematic as well as it leads to inaccurate estimation of the total energy deposited by the neutrino event. If one hopes to reconstruct neutrino events with high enough accuracy for pointing, then it is necessary to develop a detailed and accurate model of the ice in which the detector is located.

Precise modeling of the polar ice has long been an ongoing task in the IceCube collaboration. Several iterations of models have reduced what was once a severe systematic problem to a relatively mild contribution to error.

To make matters more difficult, the ice within IceCube’s instrumented volume is not uniform in optical qualities and in fact varies significantly

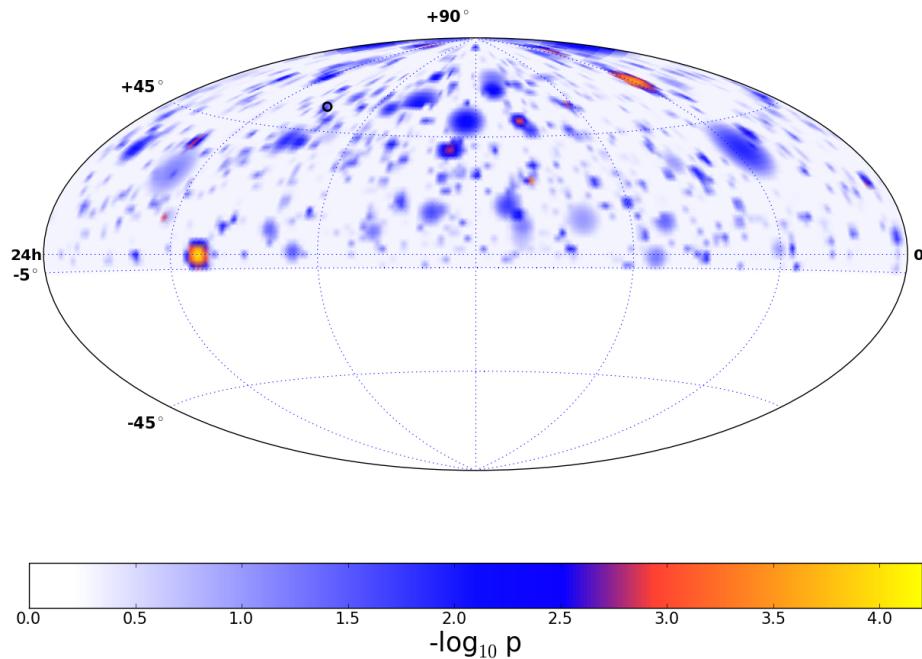
## 9.2 DOM Quantum Efficiency



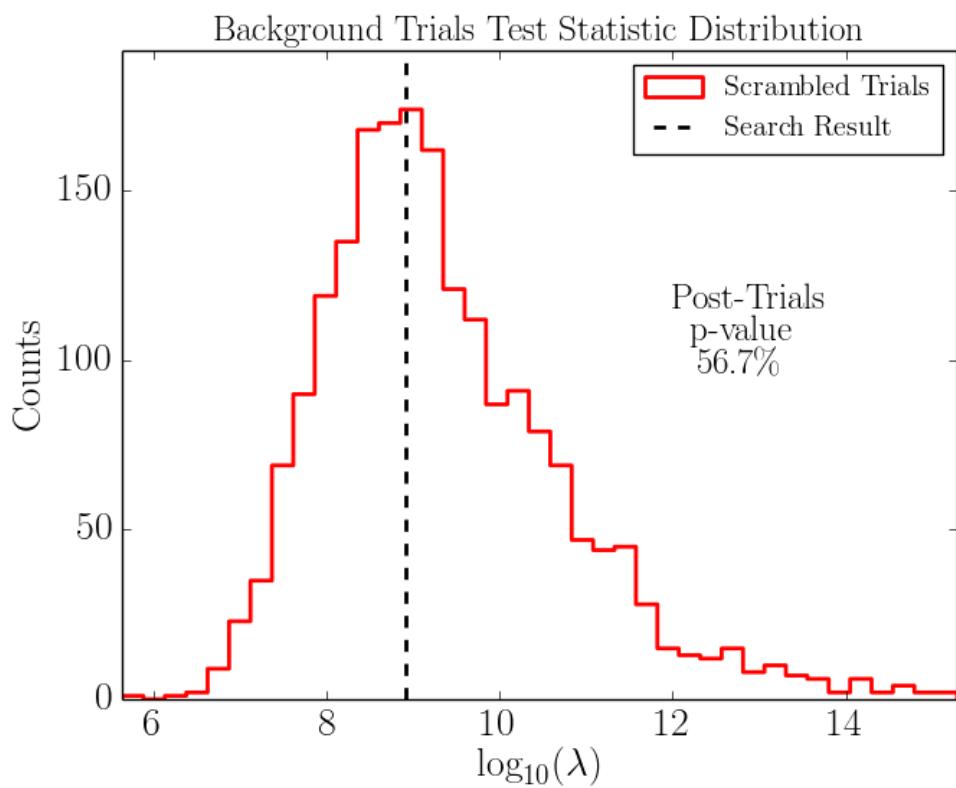
**Figure 21:** Bugaboo

# CHAPTER X

## RESULTS



**Figure 22:** Sky map of pre-trials p-values for best flares per bin. The black circle identifies the location of the most significant flare found at RA = 268.75° and Declination = 54.25°.



**Figure 23:** Distribution of test statistic  $\lambda$  of most significant flare found in 1,985 background trials. The test statistic value for the best fit flare on the unscrambled data set is also plotted.

## **CHAPTER XI**

### **INTERPRETATION**

## **CHAPTER XII**

### **CONCLUSION**

## **APPENDIX A**

### **APPENDIX A – FINAL LEVEL EVENT DISTRIBUTIONS**

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