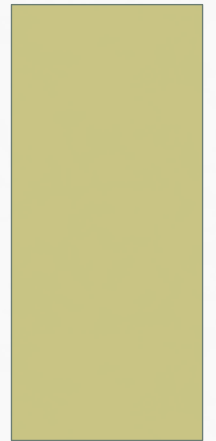


# IMPROVING SEARCH EFFICIENCY

JEFFREY L. POPYACK



# IMPROVING SEARCH EFFICIENCY

- Ordering Alternatives via Heuristics
  - Modifying search methods to include use of heuristics
- Implicit Enumeration
  - Modifying search methods for implicit enumeration
- Symmetry
  - Modifying search methods to take advantage of symmetry
- Forward-Backward Search
- Forward-Backward Search and Symmetry

# ORDERING ALTERNATIVES: HEURISTICS

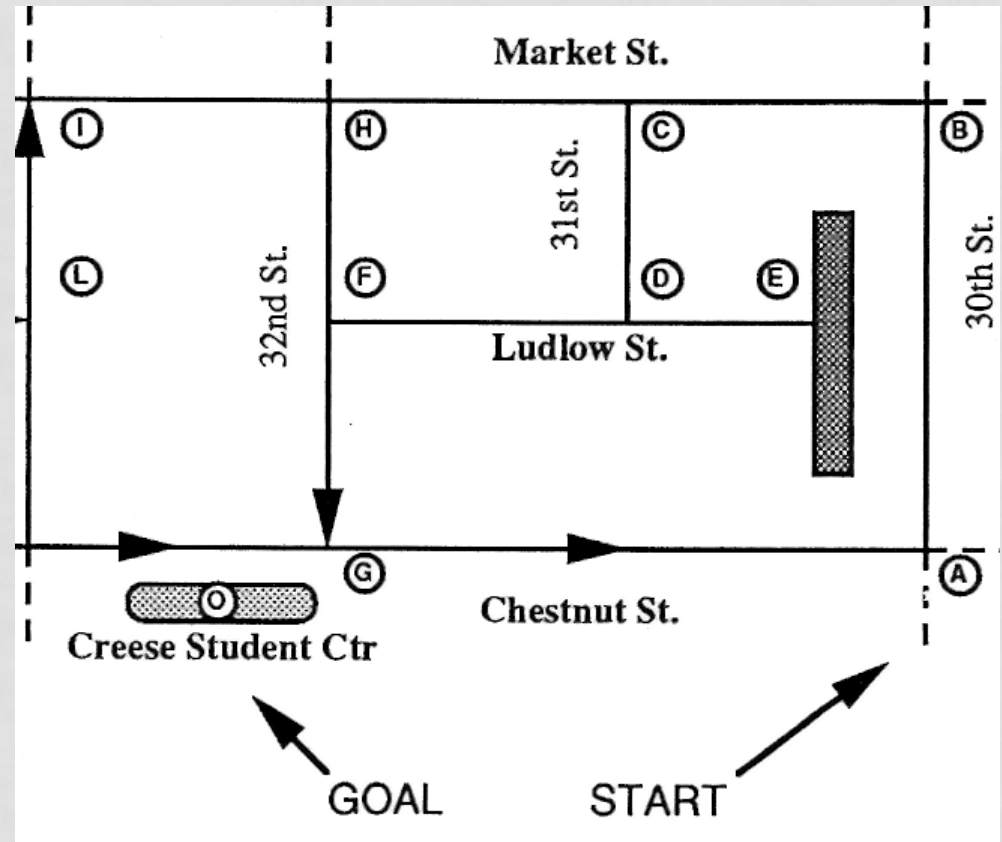
RECALL:

Backtracking Example

When at **C**, choices  
are {  $\downarrow \rightarrow \leftarrow$  }

Before: try these in some  
fixed order  
(e.g. {  $\downarrow \rightarrow \leftarrow$  } )

Now : use a *heuristic* to  
suggest a more  
intelligent order to try  
these in. ("educated  
guess")



Drexel Campus, Early 1980's

# ORDERING ALTERNATIVES: HEURISTICS

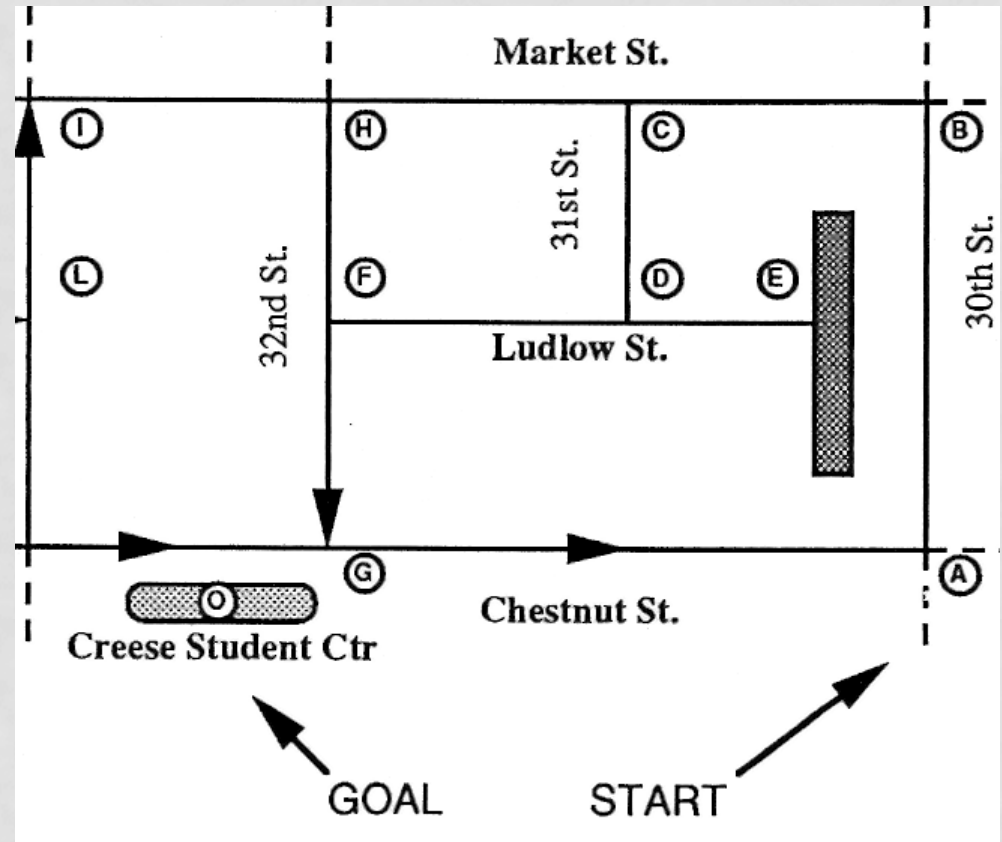
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e.g, let  $h(\text{state}, \text{rule}) \in \mathbb{R}$  . Try  $r_1$  before  $r_2$  if  $h(\text{state}, r_1) < h(\text{state}, r_2)$

# ORDERING ALTERNATIVES: HEURISTICS

For this problem:

If state =  $s$

&  $\text{Apply}(r_1, s) \rightarrow s_1$ ,

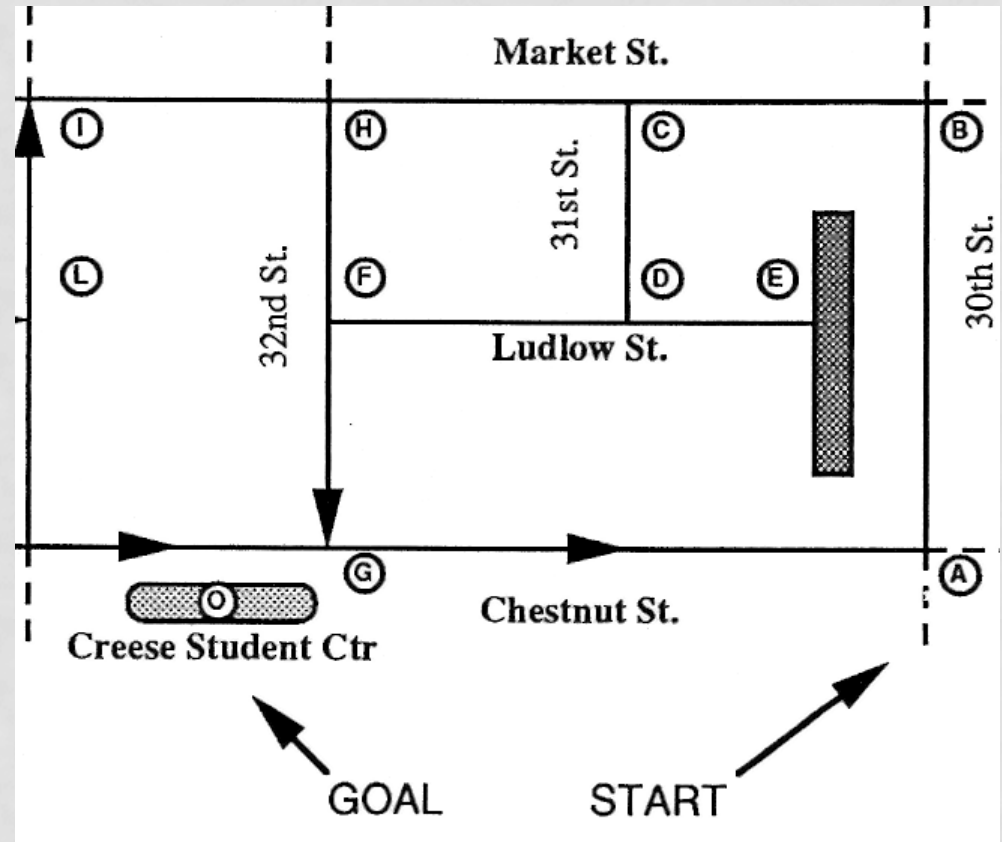
&  $\text{Apply}(r_2, s) \rightarrow s_2$ ,

let  $h(s, r_i) = \text{dist}(s_i, \text{goal})$

So, from **C**, can either choose:

$r_1 = \downarrow$ ,  $r_2 = \rightarrow$ ,  $r_3 = \leftarrow$ .

$s_1 = \text{D}$ ,  $s_2 = \text{B}$ ,  $s_3 = \text{H}$ .



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# ORDERING ALTERNATIVES: HEURISTICS

For this problem:

If state =  $s$

&  $\text{Apply}(r_1, s) \rightarrow s_1$ ,

&  $\text{Apply}(r_2, s) \rightarrow s_2$ ,

let  $h(s, r_i) = \text{dist}(s_i, \text{goal})$

So, from **C**, can either choose:

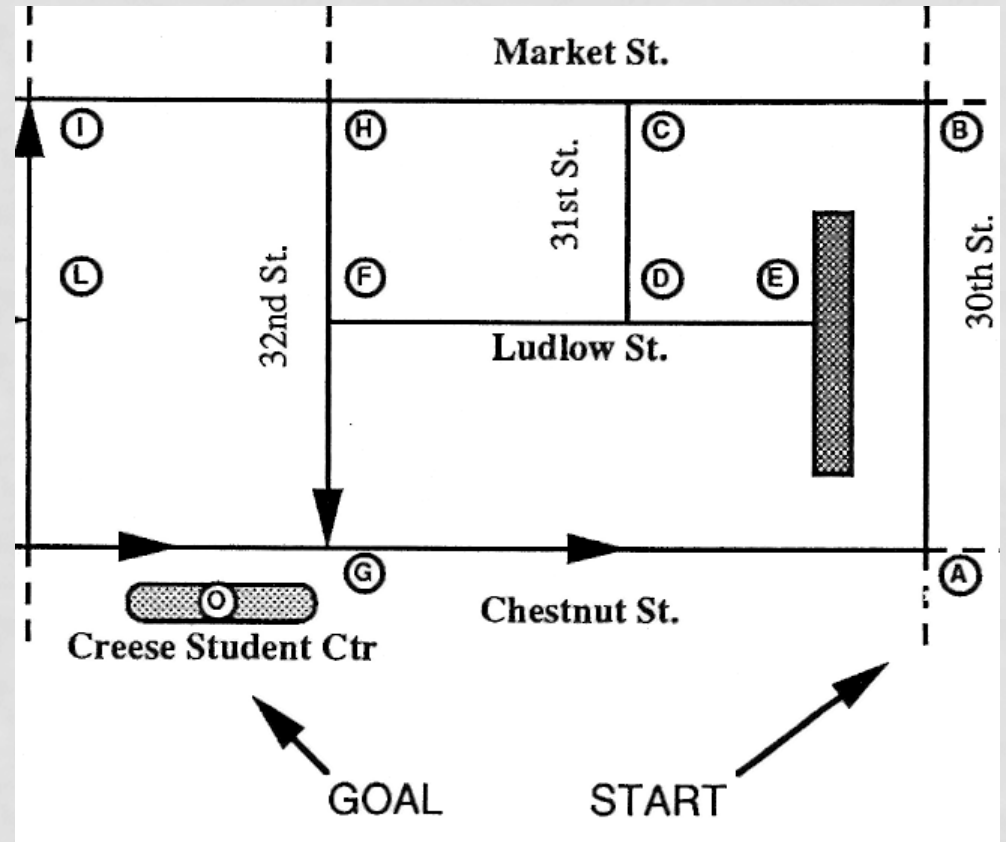
$r_1 = \downarrow$ ,  $r_2 = \rightarrow$ ,  $r_3 = \leftarrow$ .

$s_1 = \text{D}$ ,  $s_2 = \text{B}$ ,  $s_3 = \text{H}$ .

$h(s, r_1) = \text{dist}(\text{D}, \text{goal})$

$h(s, r_2) = \text{dist}(\text{B}, \text{goal})$

$h(s, r_3) = \text{dist}(\text{H}, \text{goal})$



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# ORDERING ALTERNATIVES: HEURISTICS

For this problem:

If state =  $s$

&  $\text{Apply}(r_1, s) \rightarrow s_1$ ,

&  $\text{Apply}(r_2, s) \rightarrow s_2$ ,

let  $h(s, r_i) = \text{dist}(s_i, \text{goal})$

So, from **C**, can either choose:

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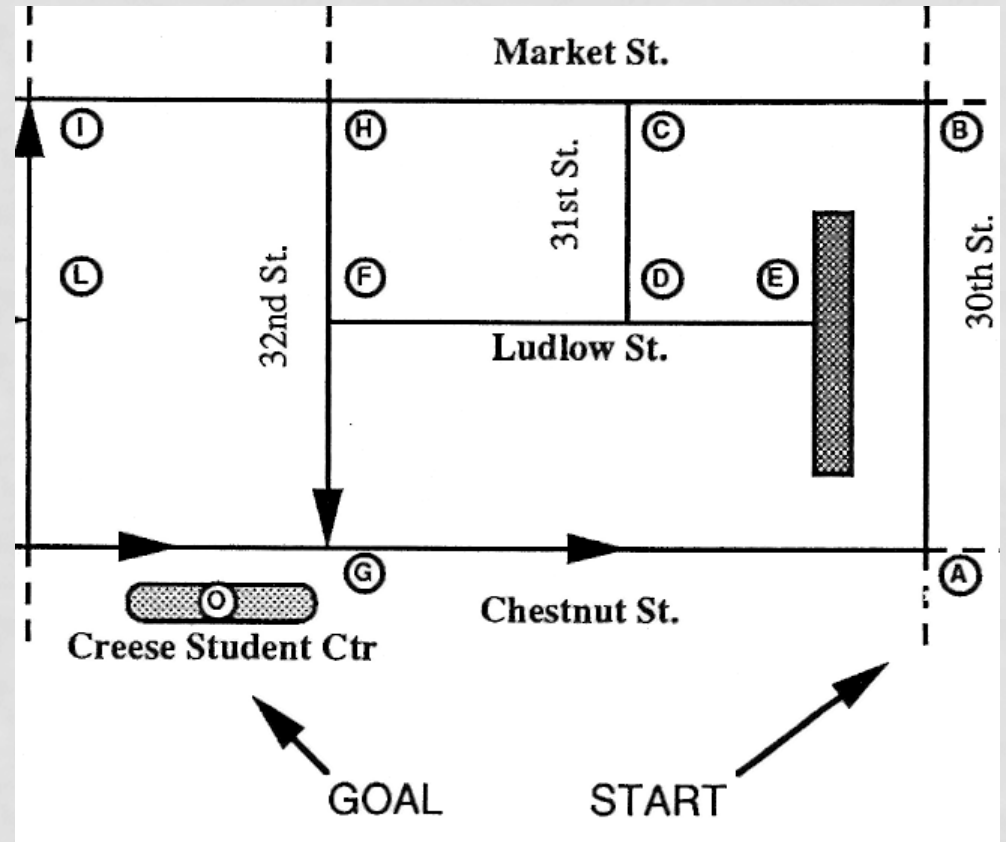
$s_1 = \text{D}$ ,  $s_2 = \text{B}$ ,  $s_3 = \text{H}$ .

$h(s, r_1) = \text{dist}(\text{D}, \text{goal})$

$h(s, r_2) = \text{dist}(\text{B}, \text{goal})$

$h(s, r_3) = \text{dist}(\text{H}, \text{goal})$

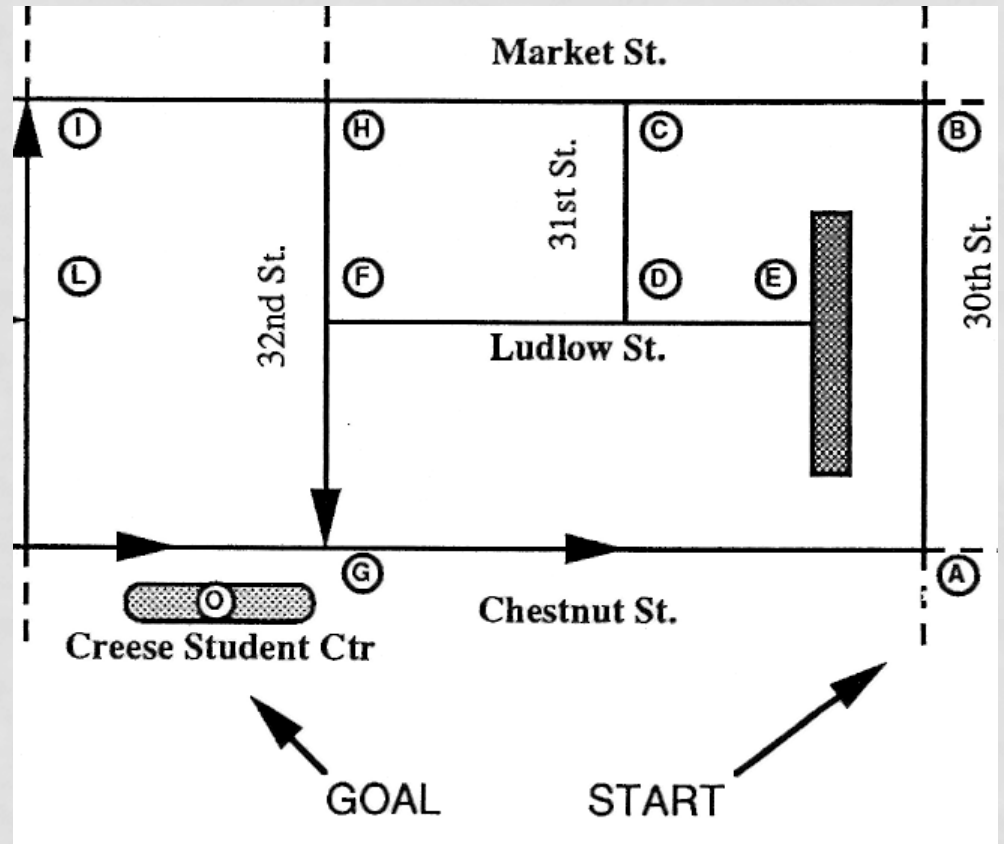
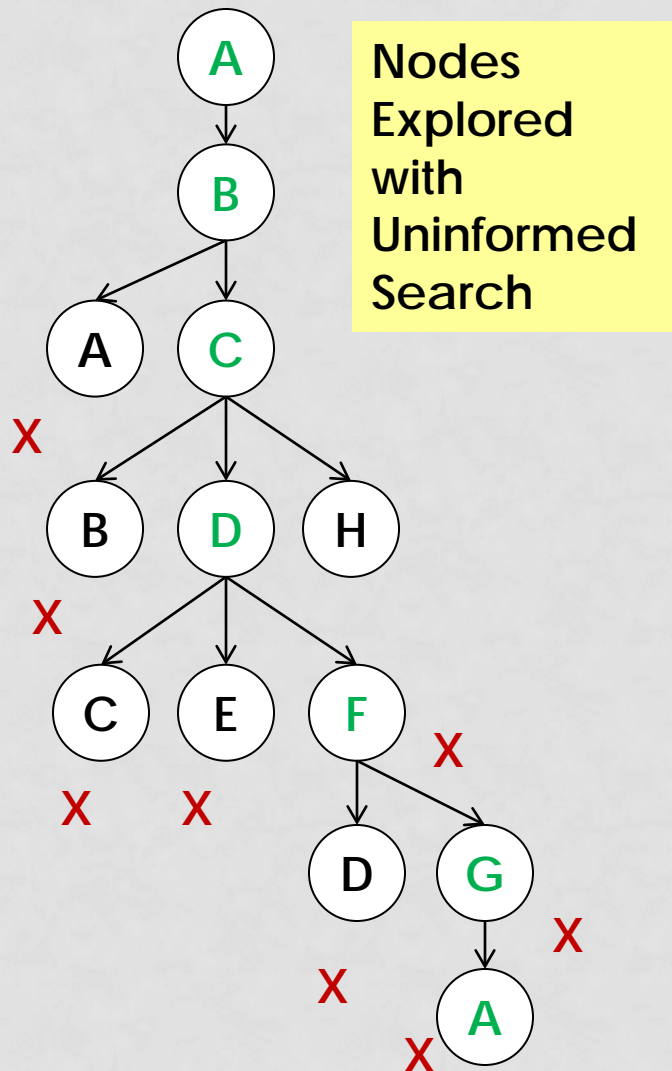
Here,  $h(s, r_3) < h(s, r_1) < h(s, r_2)$  (probably)



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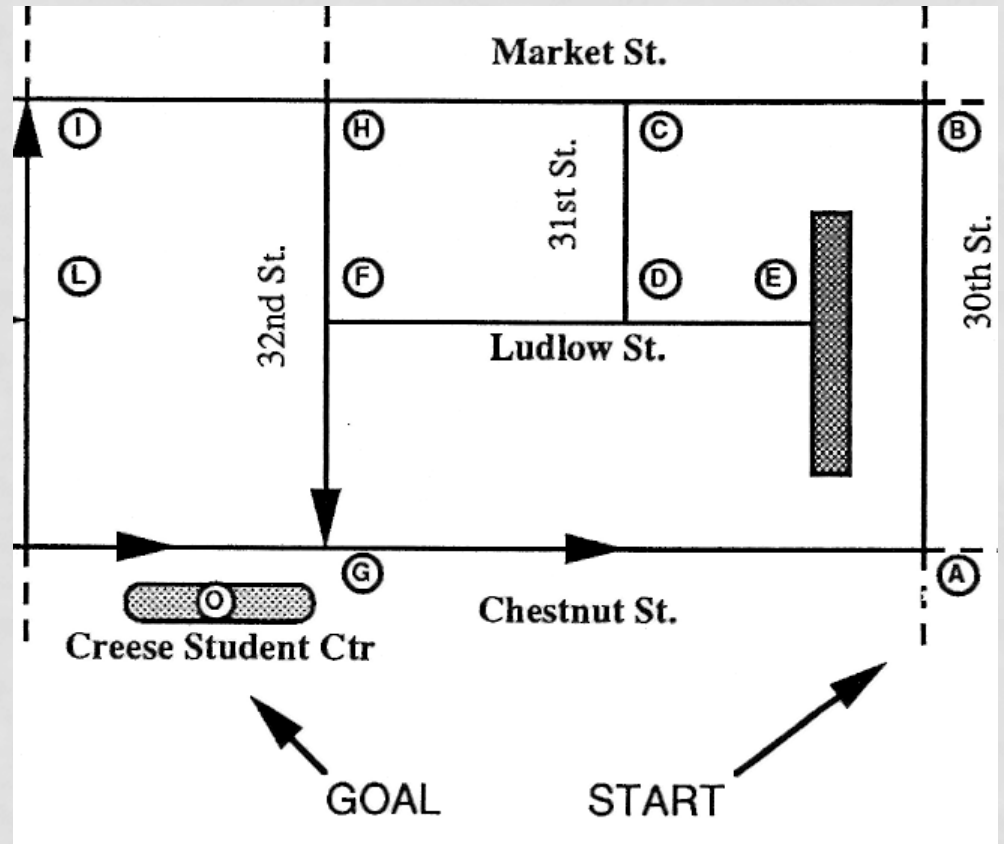
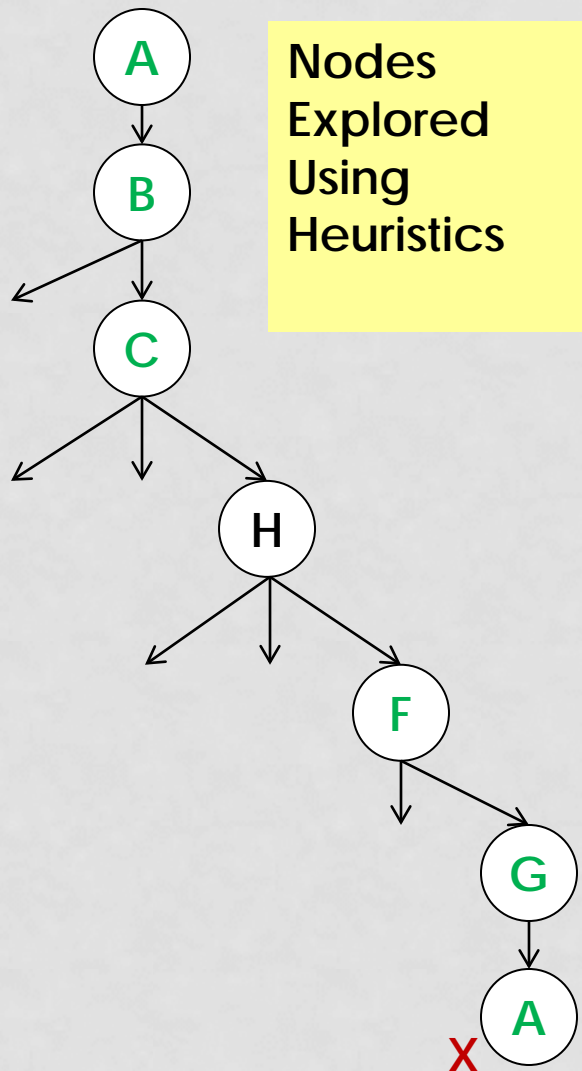


# SEARCH COMPARISON

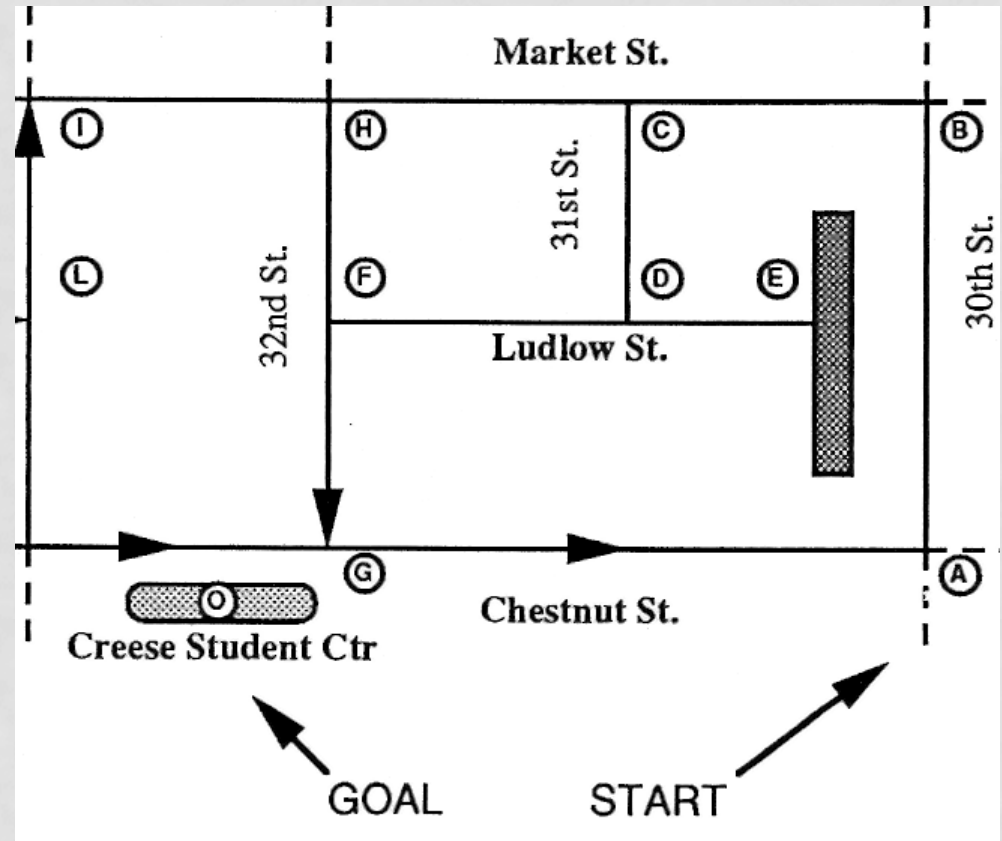
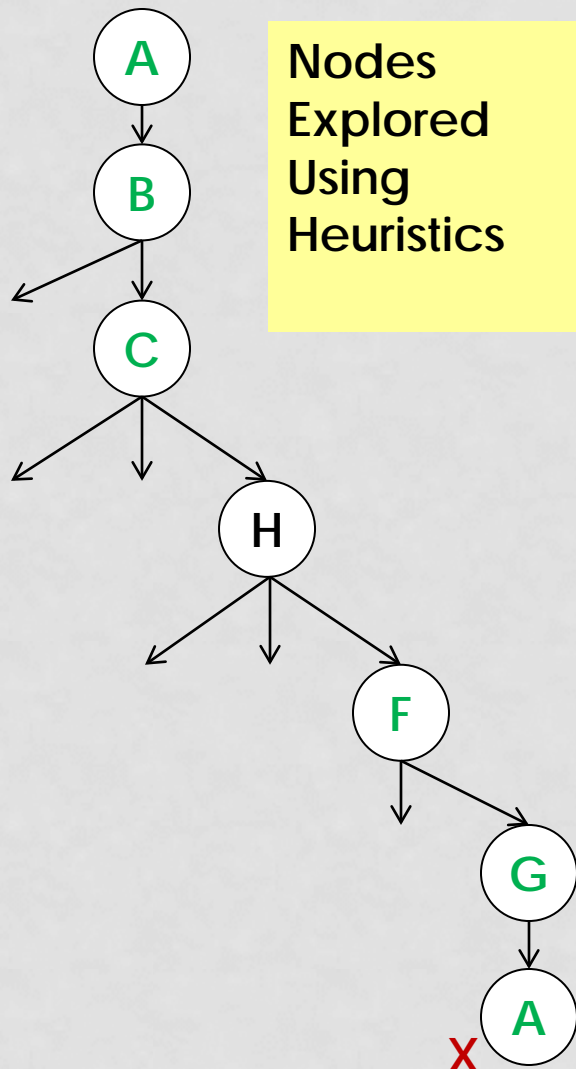




# SEARCH COMPARISON



# SEARCH COMPARISON



Note : More often than not, heuristic gives good choices. From H, you would try F first, even though we eventually discover it was a bad choice.

# MORE EXAMPLES

- “Losing Your Marbles” problem:

Each of three baskets contains a certain number of marbles. You may move from one basket into another basket as many marbles as are already there, thus doubling the quantity in the basket that received the marbles.

You must find a sequence of moves that will yield the same number of marbles in the three baskets (or decide that no such sequence exists).

- What would be a good heuristic here?
- Given a state  $s=(a,b,c)$ , and two applicable rules, e.g,  $r_1=(-c,0,c)$ ,  $r_2=(0,b,-b)$ , which is better?

# MORE EXAMPLES

- Possible heuristics:
- IDEA: show preference to rules where the resulting numbers of marbles are close to each other.
- Heuristic:  
Let  $a+b+c = 3n$ , and  $s' = \text{ApplyRule}(r,s) = (a',b',c')$ .  
$$h(r,s) = |a'-n| + |b'-n| + |c'-n|$$
- Rationale:  
 $h(r,s) = 0$  at goal,  $h(r,s) > 0$  elsewhere.
- Exercise: Starting at (5,6,7), determine moves and the order they will be evaluated. Do this for two moves
- Discussion: which is better, (5,6,7) or (3,6,9) ?

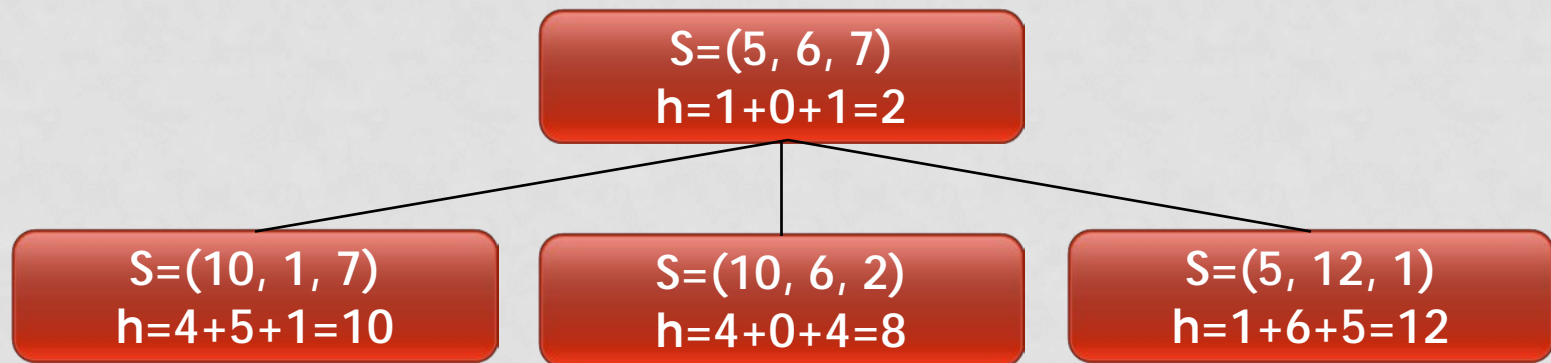
# MORE EXAMPLES

Exercise: Starting at (5,6,7), determine moves and the order they will be evaluated. Do this for two moves

$$S=(5, 6, 7)$$
$$h=1+0+1=2$$

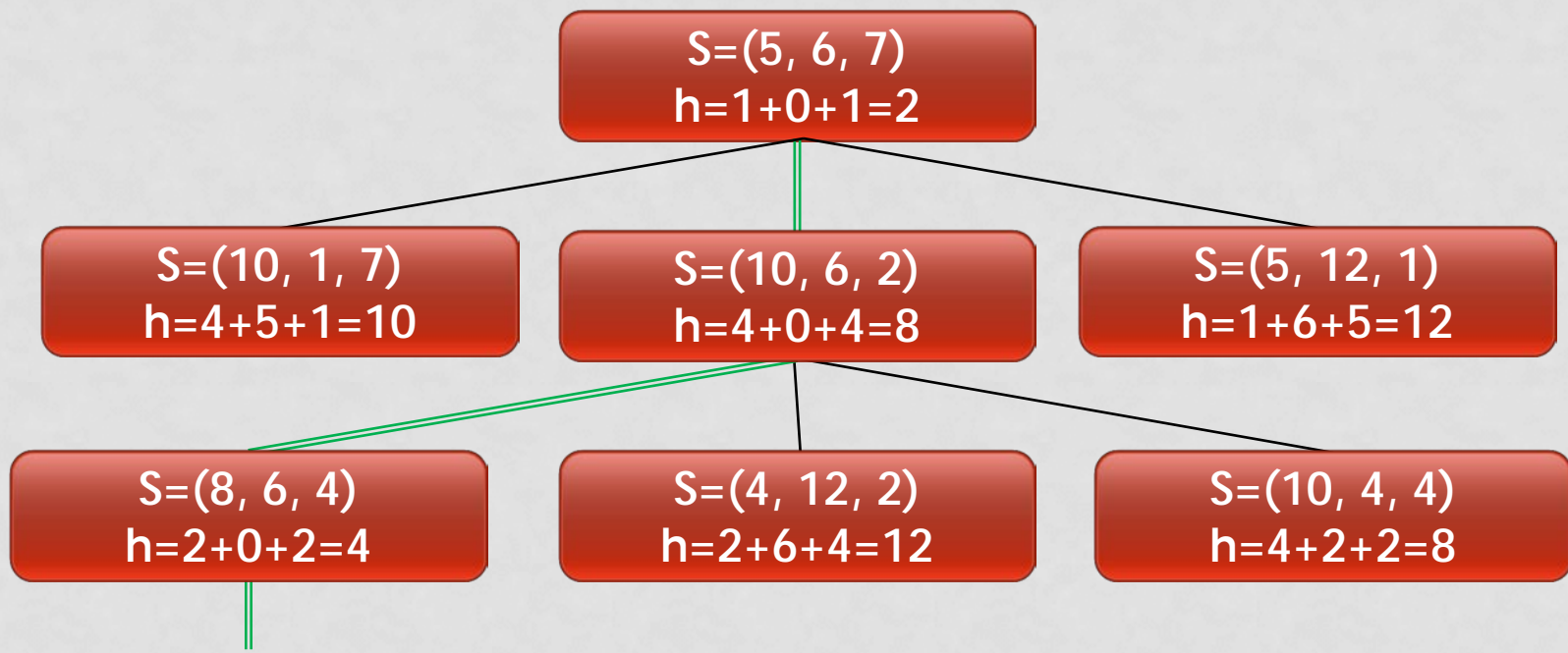
# MORE EXAMPLES

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# MORE EXAMPLES

Exercise: Starting at (5,6,7), determine moves and the order they will be evaluated. Do this for two moves



**NOW WHAT?**

Is this heuristic any good?



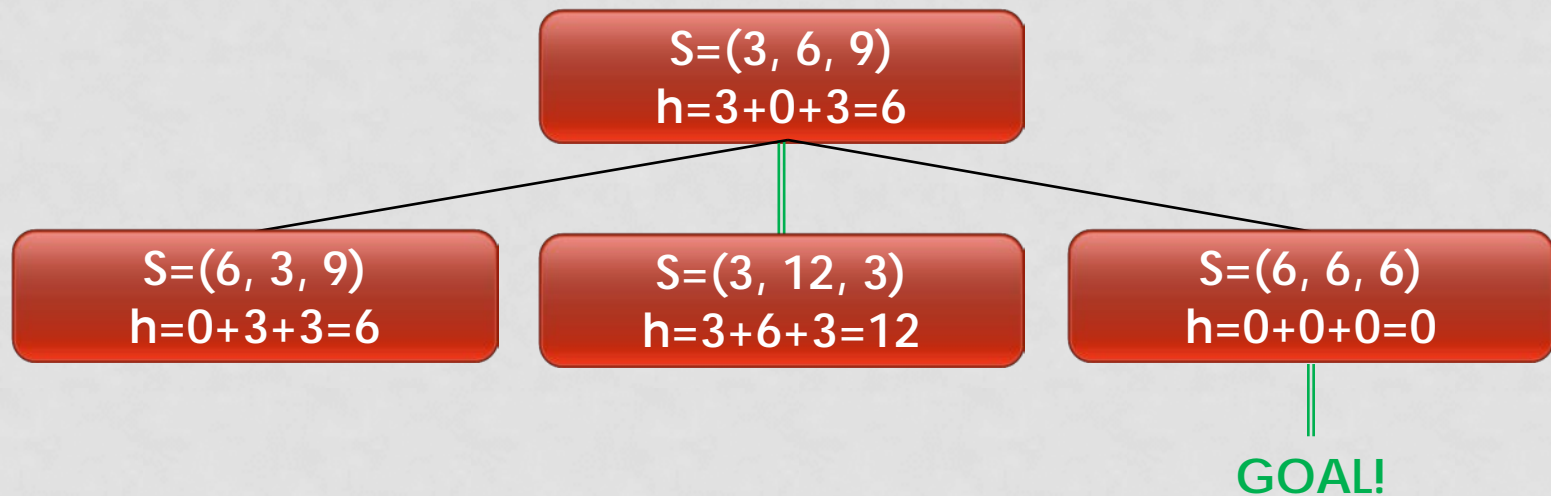
# MORE EXAMPLES

Discussion: which is better, (5,6,7) or (3,6,9) ?

$$S=(3, 6, 9)$$
$$h=3+0+3=6$$

# MORE EXAMPLES

Discussion: which is better, (5,6,7) or (3,6,9) ?



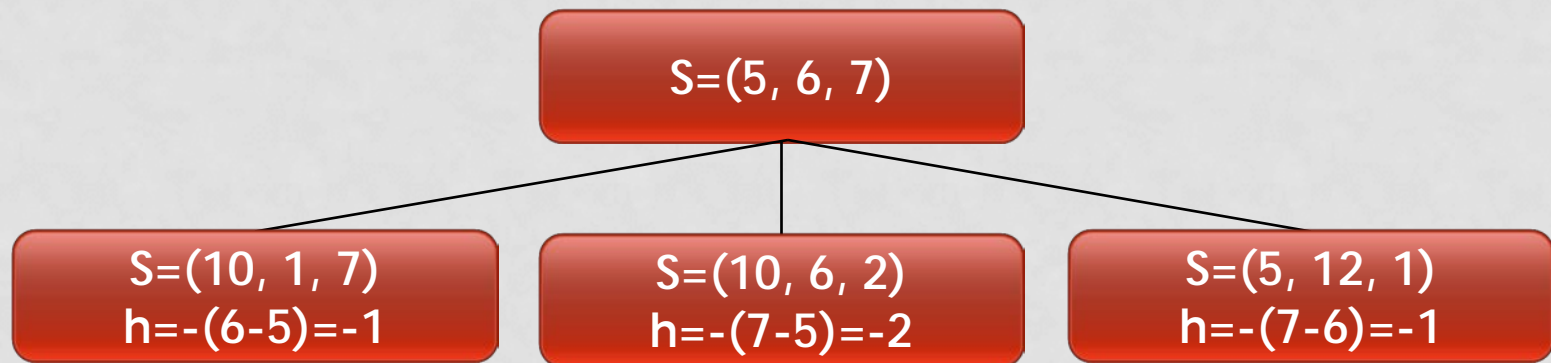
Yet this heuristic preferred (5,6,7)/ $h=2$  to (3,6,9)/ $h=6$ .  
And all offspring of (5,6,7) were less preferable than (5,6,7).

# MORE EXAMPLES

- Another possible heuristic:
- **IDEA**: show preference to rule where marbles are removed from the bucket with the largest amount, and added to the bucket with the smallest amount.
- **Heuristic**:  
If  $s=(a_1, a_2, a_3)$ , and  $r_{ij}$  means "move the amount  $a_j$  from bucket  $i$  and place it in bucket  $j$ ", use  $h(r, s) = -(a_j - a_i)$ .  
e.g.,  $s=(6, 9, 3)$ :  $h(r_{13}, s) = -3$ ,  $h(r_{21}, s) = -3$ ,  $h(r_{23}, s) = -6$ .
- **Rationale**:  
Largest and smallest amounts need to move toward the middle.  $h$  is the difference in the amounts in the buckets, and will be lowest when the difference is highest.

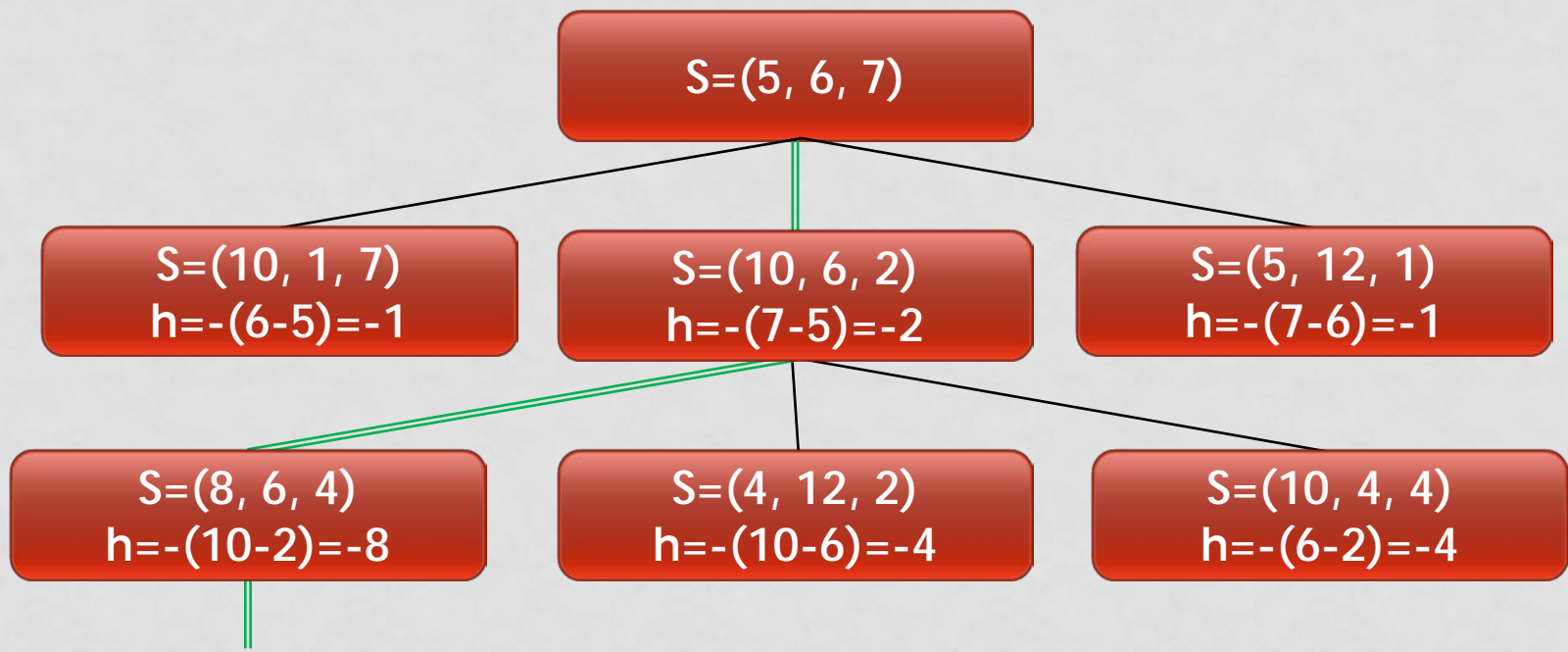
# MORE EXAMPLES

Exercise: Starting at  $(5,6,7)$ , determine moves and the order they will be evaluated. Do this for two moves



# MORE EXAMPLES

Exercise: Starting at (5,6,7), determine moves and the order they will be evaluated. Do this for two moves



**NOW WHAT?**

Is this heuristic any better?

```
backTrack ( stateList )
=====
state = first element of stateList
if state is a member of the rest of stateList, return 'FAILED-1
if deadEnd?(state) return 'FAILED-2
if goal(state), return NULL
if length(stateList) > depthBound, return 'FAILED-3

ruleSet = applicableRules(state)
if ruleSet == NULL, return 'FAILED-4

for each rule r in ruleSet,
    newState = applyRule(r,state)
    newStateList = addToFront(newState,stateList)
    path = backTrack(newStateList)
    if path ≠ 'FAILED return append(path,r)

return 'FAILED-5
```

```
backTrack ( stateList )
```

```
=====
```

```
state = first element of stateList
```

```
if state is a member of the rest of stateList, return 'FAILED-1
```

```
if deadEnd?(state) return 'FAILED-2
```

```
if goal(state), return NULL
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```
if length(stateList) > depthBound, return 'FAILED-3
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ruleSet = applicableRules(state)
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for each rule r in ruleSet,
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    newState = applyRule(r,state)
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    newStateList = addToFront(newState,stateList)
```

```
    path = backTrack(newStateList)
```

```
    if path ≠ 'FAILED return append(path,r)
```

```
return 'FAILED-5
```

*Here is where the heuristic is applied. Put these rules in a presumed “best to worst” order.*



# OTHER EFFICIENCIES

## Implicit Enumeration

- We can save effort if we can eliminate rules from consideration without checking them explicitly.

A farmer is taking a fox, goose, and bag of corn to market. He must cross a river and the boat is only large enough to transport himself and one item at a time. If left unattended, the fox will eat the goose and/or goose will eat the corn

*Plan a way for the farmer to get them across*

- There are 8 possible rules:  
(←), (← Fox), (← Goose), (← Corn),  
(→), (→ Fox), (→ Goose), (→ Corn)  
*where → means "Farmer & Boat go left to right, etc."*

# OTHER EFFICIENCIES

## Implicit Enumeration

- In this implementation of `applicableRules(state)`, we check each rule in `allRules` explicitly:

```
applicableRules(state)
  result = [ ]
  for r in allRules:
    if preCondition(state,r)
      result ← result + r
  return result
```

- How can we do this more efficiently?  
In this case, *implicit enumeration* means getting the same result without checking each rule explicitly.

# OTHER EFFICIENCIES

- Note that although there are 8 possible rules, at most 4 of these can be applicable at any one time, namely the rules that begin with the farmer and boat moving in the correct direction:  
(←), (← Fox), (← Goose), (← Corn)  
or  
(→), (→ Fox), (→ Goose), (→ Corn)
- By simply checking the direction, half the rules can be discarded, without ever considering them (that is, the loop can iterate over a list of 4, not 8).
- Can you think of other ways to encode this?

# OTHER IDEAS: SYMMETRY

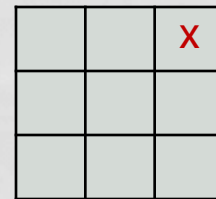
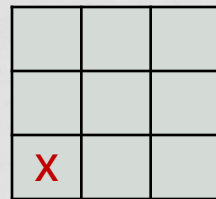
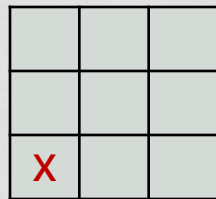
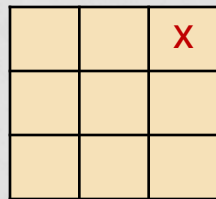
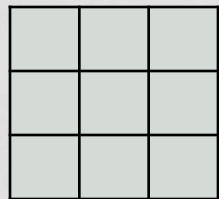
Sometimes a problem has *inherent symmetry* – so that some states are similar enough to another state that there is no need trying both:

# OTHER IDEAS: SYMMETRY

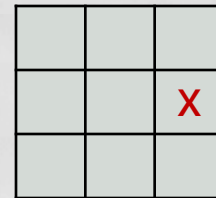
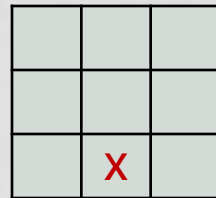
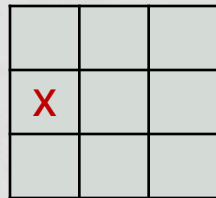
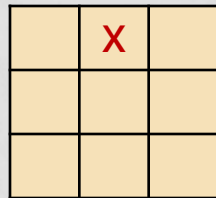
Sometimes a problem has *inherent symmetry* – so that some states are similar enough to another state that there is no need trying both:

Tic-Tac-Toe

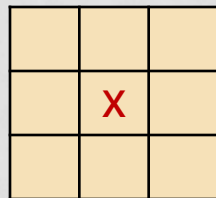
1<sup>ST</sup> move: 9 possibilities (can put an **X** in any of the 9 squares)



← are basically the same move



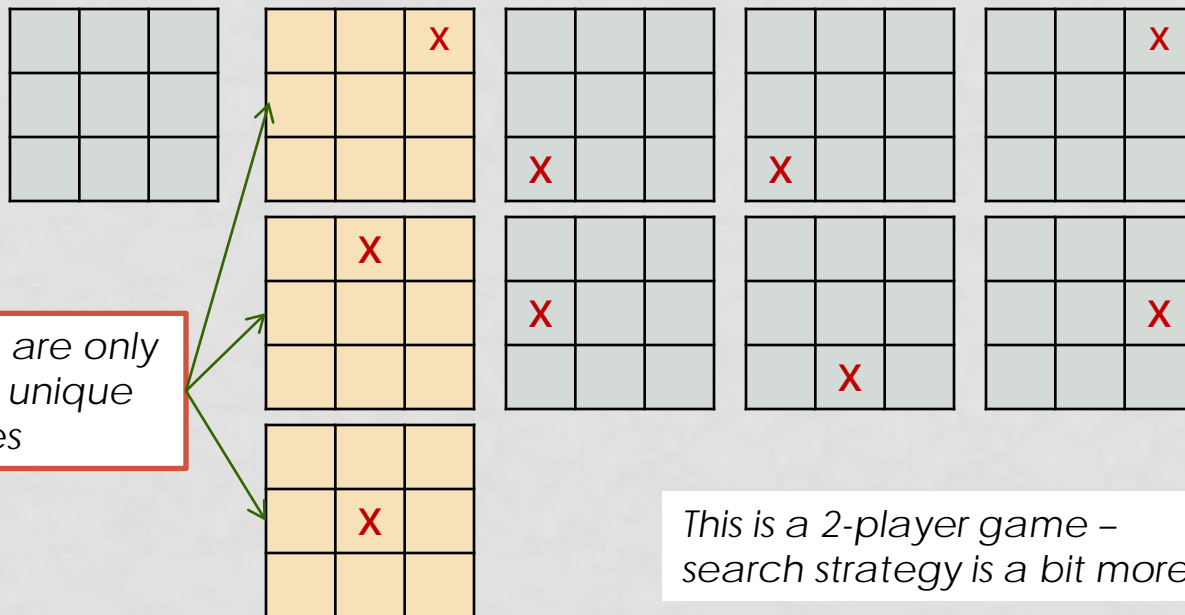
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# OTHER IDEAS: SYMMETRY

Sometimes a problem has *inherent symmetry* – so that some states are similar enough to another state that there is no need trying both:

Tic-Tac-Toe 1<sup>ST</sup> move: 9 possibilities (can put an **X** in any of the 9 squares)



There are only three unique moves

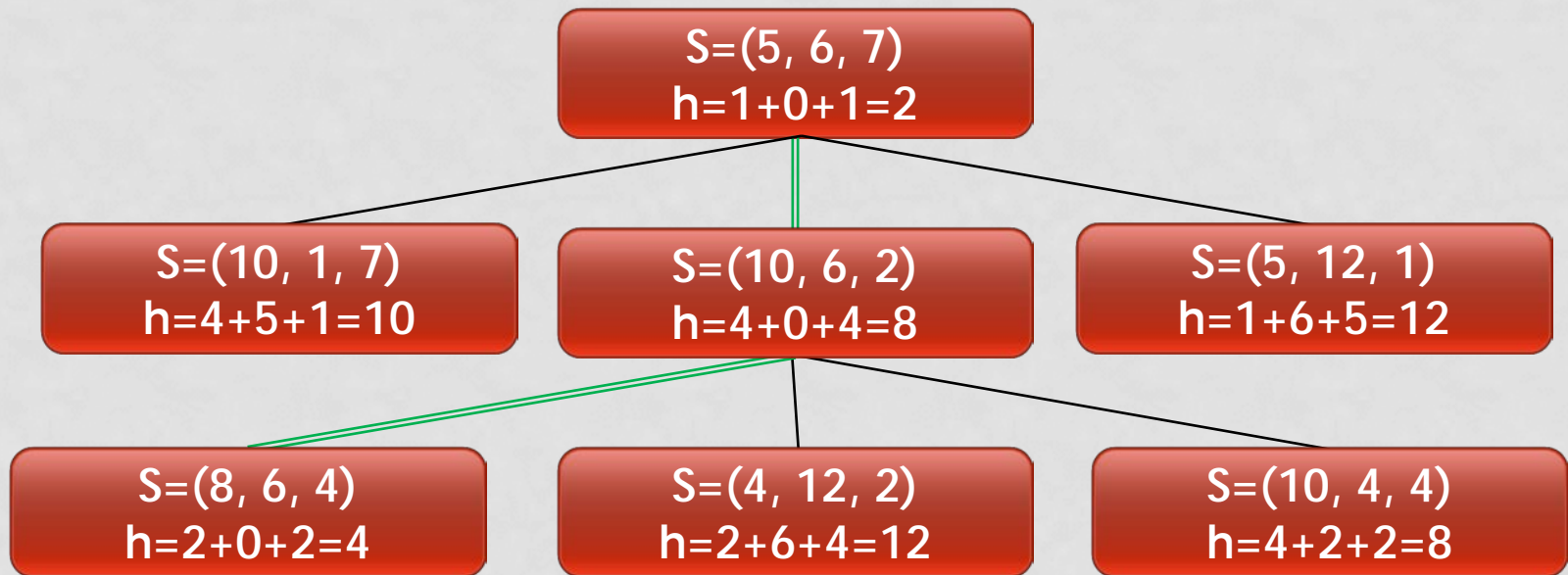
← are basically the same move

← are basically the same move

This is a 2-player game – search strategy is a bit more complicated

# MARBLES, REVISITED

Symmetry: Children of  $(8,6,4)$  are  $(4,6,8)$ ,  $(2,12,4)$  and  $(8,2,8)$ . Note that  $(4,6,8)$  is symmetric to  $(8,6,4)$  [its parent!], and  $(2,12,4)$  is symmetric to  $(4,12,2)$ .



NOW WHAT?



# PEGBOARD PROBLEM

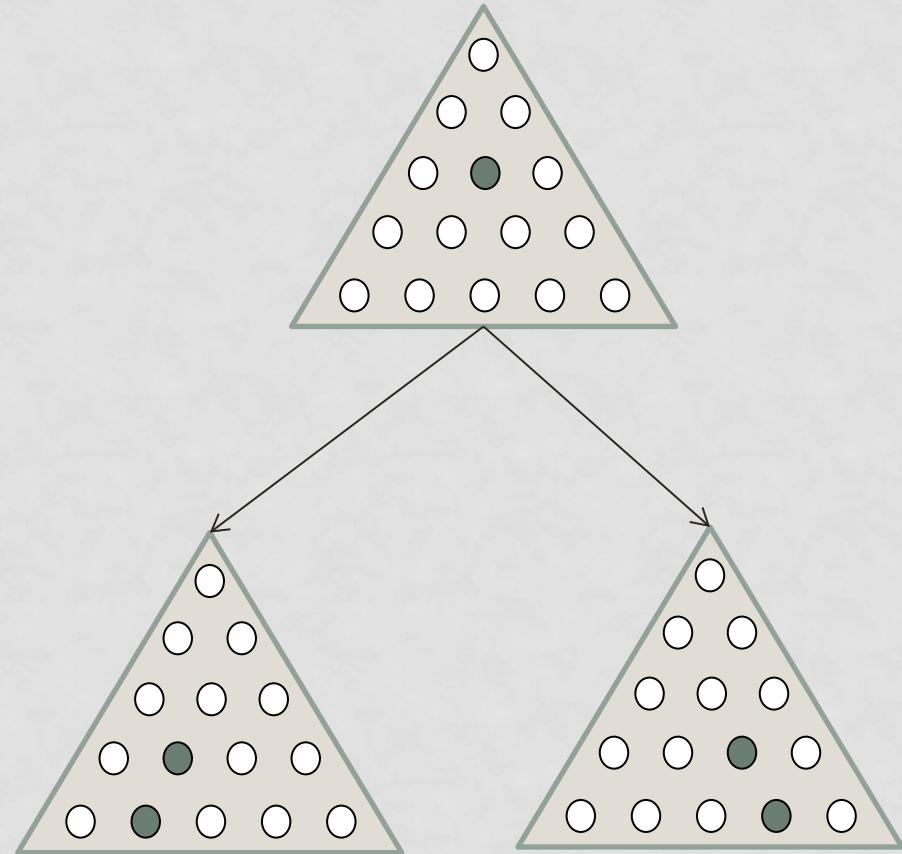


$n$  holes

$n-1$  tees (pegs)

Using checkers-style jumps,  
find a sequence of jumps  
that results in **1** peg  
remaining.

(extra credit if that peg is  
in the original empty spot)



# PEGBOARD PROBLEM



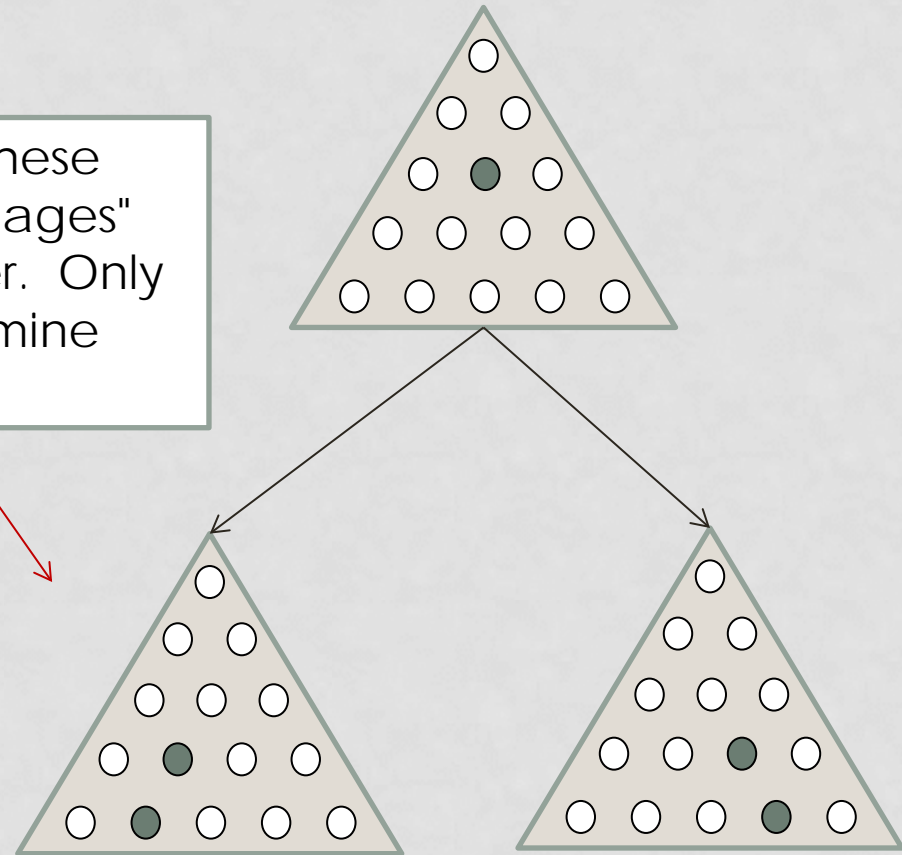
Notice that these are "mirror images" of each other. Only need to examine one of them.

$n$  holes

$n-1$  tees (pegs)


Using checkers-style jumps, find a sequence of jumps that results in **1** peg remaining.

(extra credit if that peg is in the original empty spot)



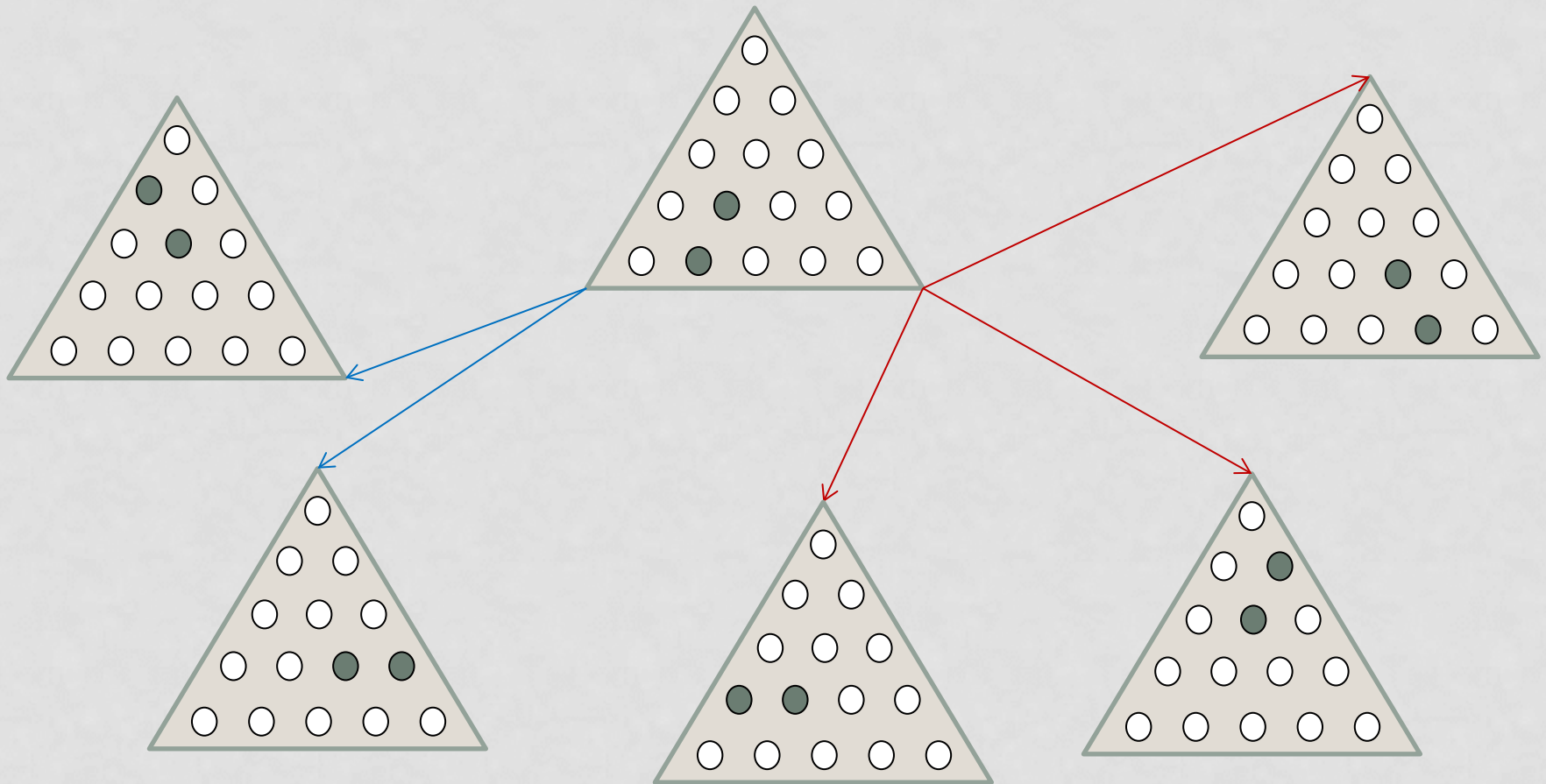
# PEGBOARD PROBLEM

```
for each rule r in ruleSet,  
    newState = applyRule(r,state)  
    newList = addToFront(newState,stateList)  
    path = backTrack(newStateList)  
    if path != 'FAILED'  
        return append(path,r)
```



If we have already seen a symmetric variant of **newState**,  
no need to add it to the list

# PEGBOARD PROBLEM REVISITED

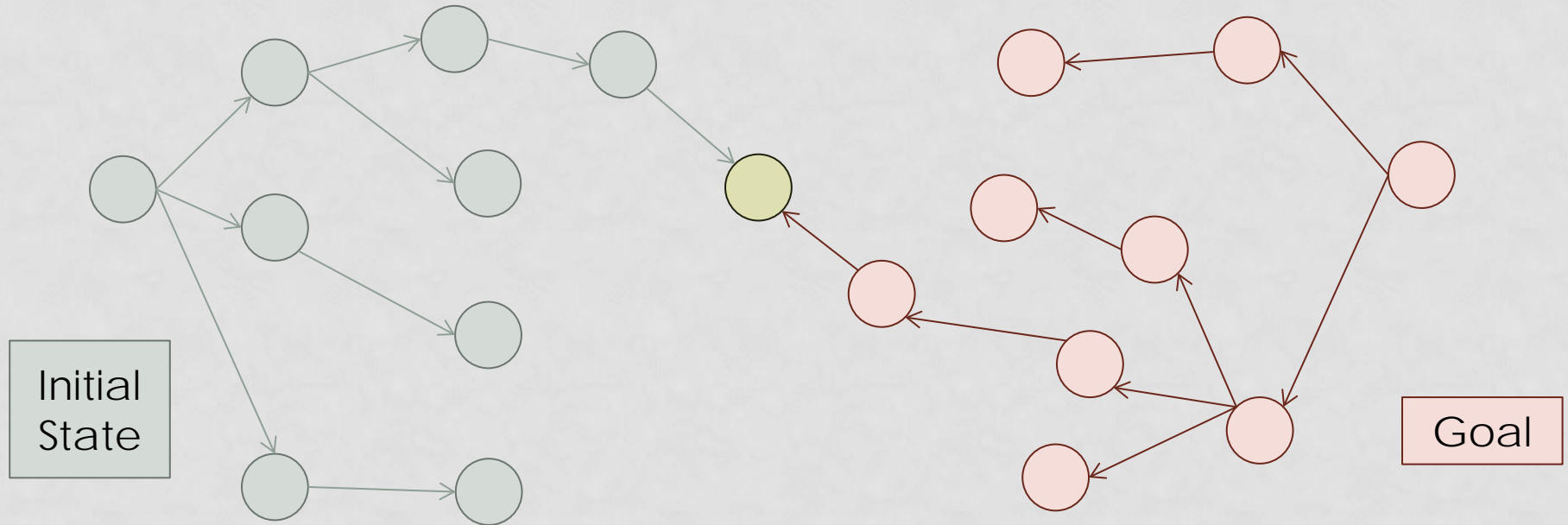


*Rotational Symmetry:  
rotating left or right*

*Reflectional Symmetry:  
flipping on an axis*

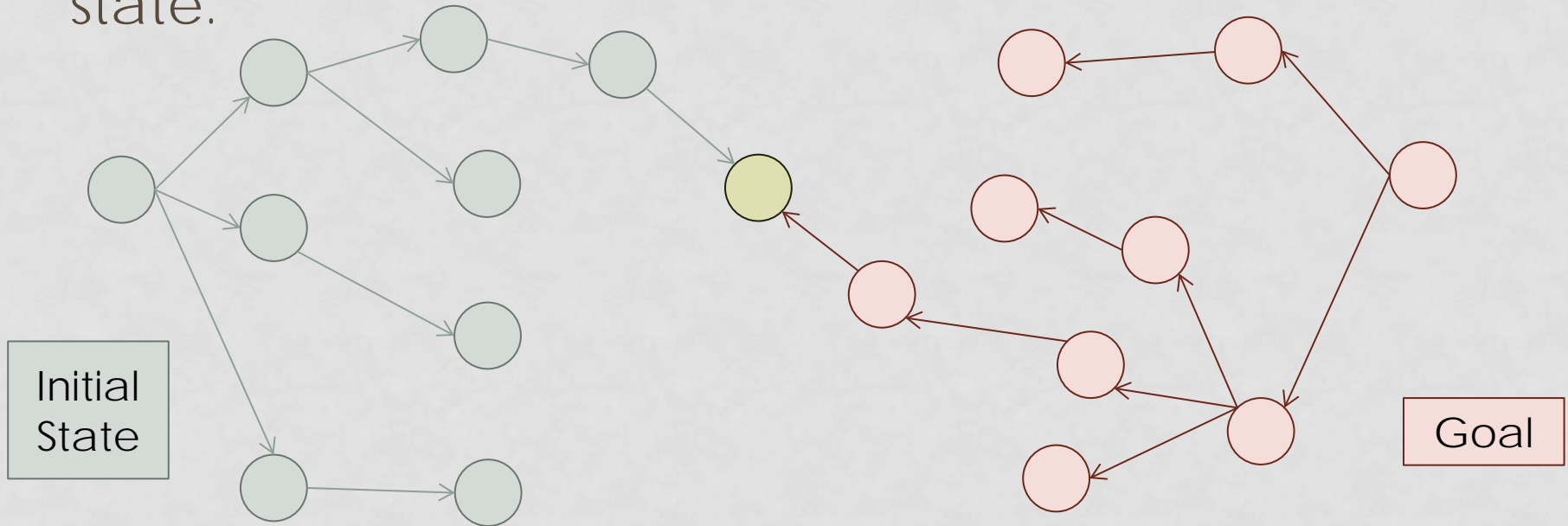
# FORWARD-BACKWARD SEARCH

Alternate between applying moves forward through the tree from the Initial State and applying moves backward through the tree from a Goal State:



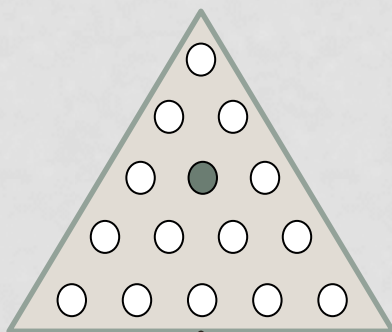
# FORWARD-BACKWARD SEARCH

For Forward-Backward Search, the “**state**” is a pair of search trees, one beginning at “Initial State” and another beginning at “Goal”. The **goal()** function returns true if both search trees produce a common state.

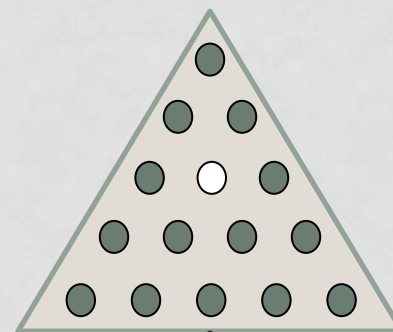


# FORWARD-BACKWARD SEARCH WITH SYMMETRY

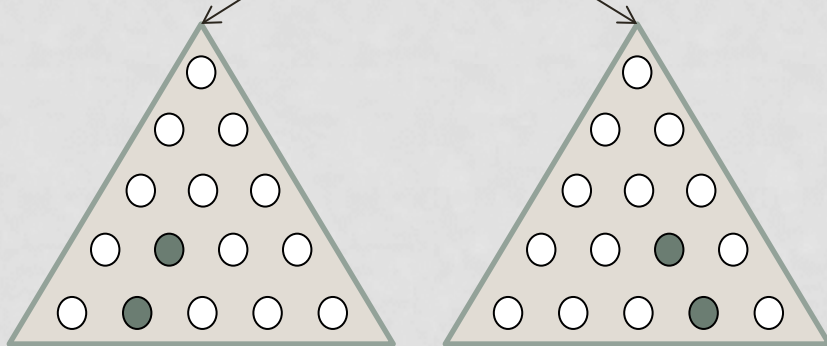
Initial State



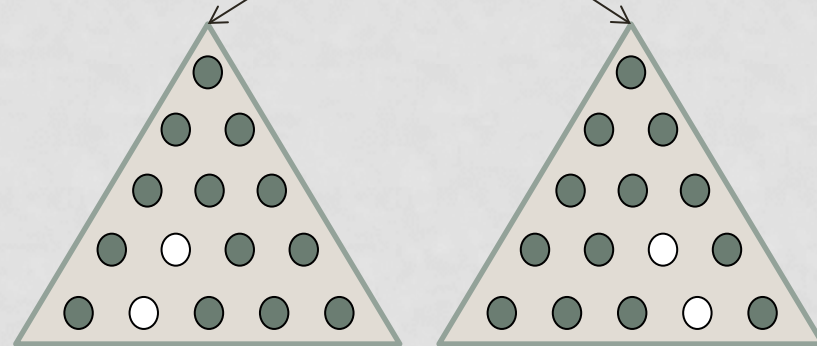
Goal



Forward Search



Backward Search



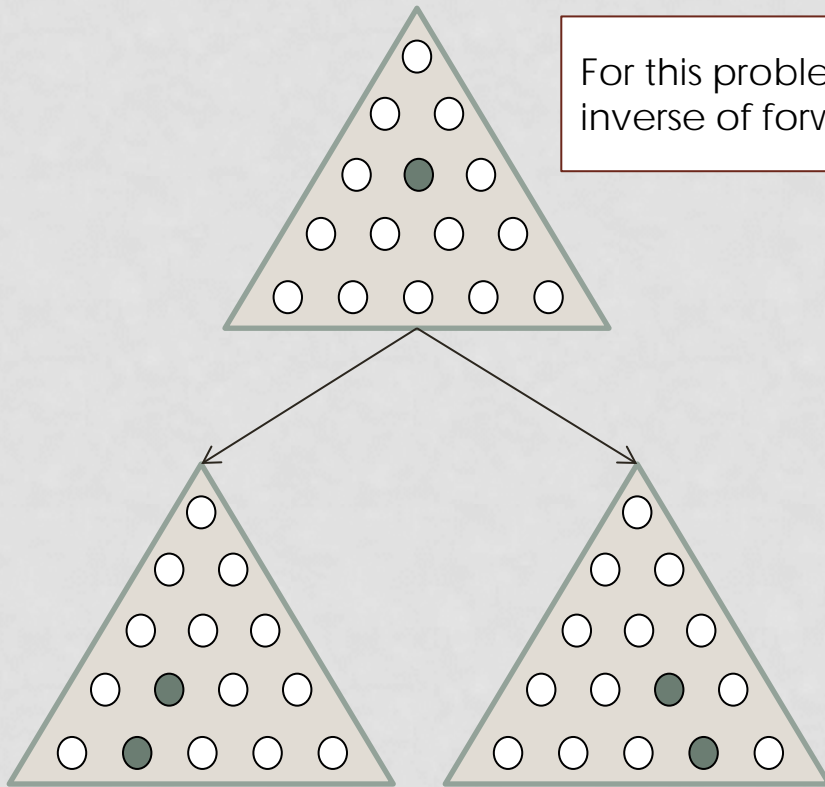


# FORWARD-BACKWARD SEARCH WITH SYMMETRY

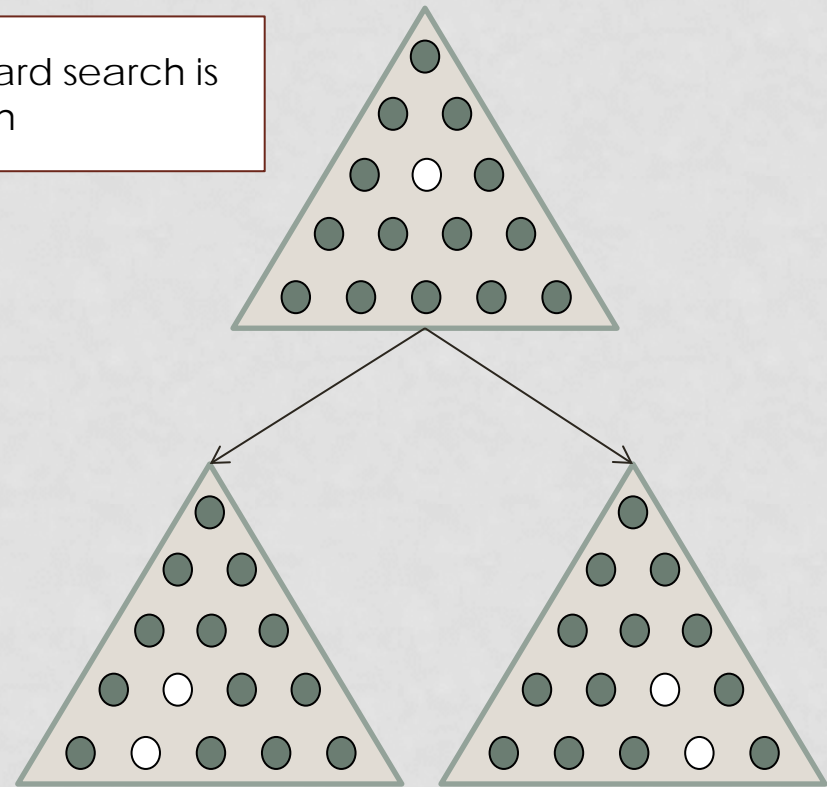
Initial State

Goal

For this problem, backward search is inverse of forward search



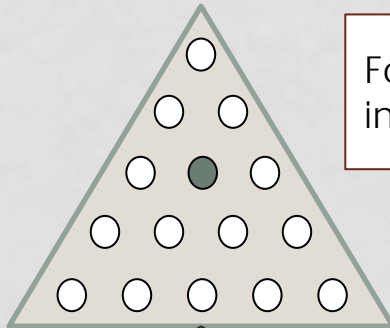
Forward Search



Backward Search

# FORWARD-BACKWARD SEARCH WITH SYMMETRY

Initial State

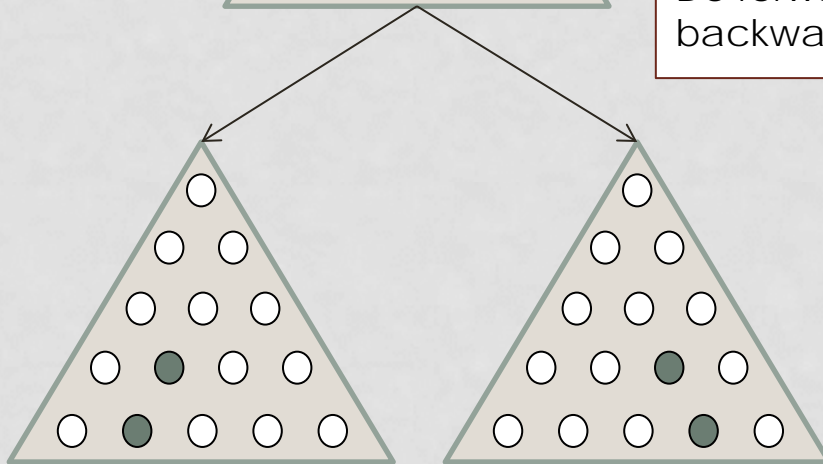
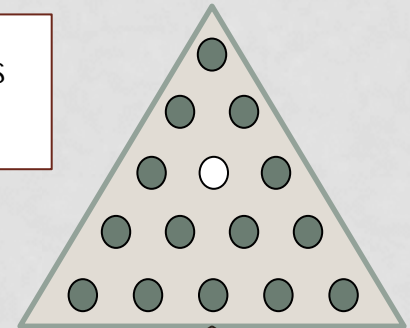


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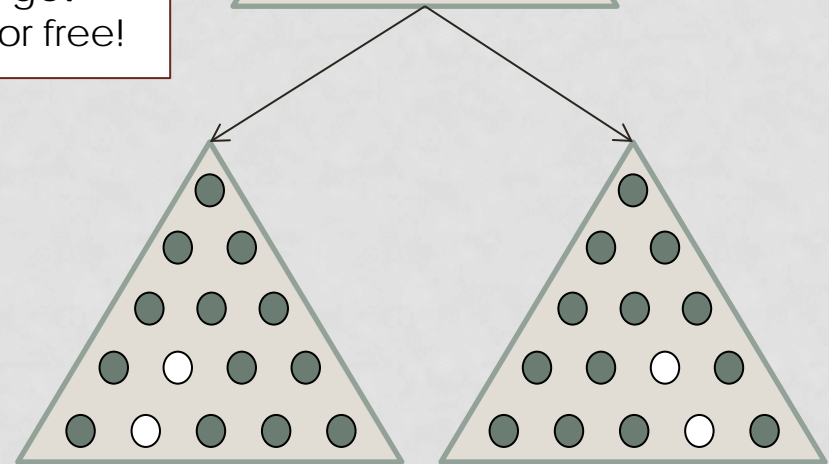
**IDEA:**

Do forward search, get backward search for free!

Goal



Forward Search



Backward Search

# FORWARD-BACKWARD SEARCH WITH SYMMETRY

For instance, using binary representation:

Initial State: **11110111111111** =  $(2^{15}-1) - 2^{10}$

Goal State: **00001000000000** =  $2^{10}$

Children of Initial State:

A = **111111101110111** =  $(2^{15}-1) - 2^7 - 2^3$

B = **111111110111101** =  $(2^{15}-1) - 2^6 - 2^1$

A' = **000000010001000** =  $2^7 + 2^3$

B' = **000000001000010** =  $2^6 + 2^1$

Initial State =  $(2^{15}-1) - \text{Goal State}$

A =  $(2^{15}-1) - A'$

B =  $(2^{15}-1) - B'$

While building the forward search tree requires some computation to find and apply applicable rules, etc., ...

Building the backward search tree requires only simple bit operations applied to the forward tree.