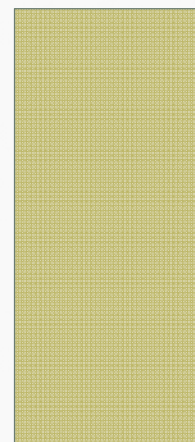


# HILL-CLIMBING

JEFFREY L. POPYACK



# IN SEARCH OF INTELLIGENCE I: HILL CLIMBING

## **Covered so far:**

- AI Overview
- Production Systems
- Agents
- AI Programming (LISP + Python)

# PRODUCTION SYSTEMS AND SEARCH

- Starting w/ an **Initial State**
- **Rules** that transform states
  - **Precondition:** determines if rule applicable
  - **Action:** apply rule to state
- Find path to a **state** that satisfies **goal (state)**
  - not necessarily a single state, but a predicate that tells us whether or not a given state satisfies the **goal condition**

Knowledge Base	Rules	Search Strategy
Defines the problem domain State Representation Initial State Goal condition Global knowledge about problem	a.k.a. "Actions", "Moves" Transform state of system.  <b>If(precondition)</b> <b>can</b> apply action: <b>state</b> $\leftarrow$ <b>action(state)</b>	An algorithm that describes how we will find a path from Initial State to state satisfying goal condition (if such a path exists)

# PRODUCTION SYSTEMS AND SEARCH

Instead of writing an algorithm that will solve the problem directly,

- use an algorithm that:
  - decides which of (potentially many) applicable rules should be applied next
  - knows how to recover if no rules are applicable

# “FLAIL WILDLY” SEARCH STRATEGY

- Not intelligent
- But may work sometimes
- ..and may not work other times

“Fox, Goose, Corn” example:

- Many states revisited

```
=====
state=[[ farmer fox corn ][ goose ]]
Choosing rule[2]=Move farmer and corn
from Left to Right
=====
state=[[ fox ][ farmer goose corn ]]
Choosing rule[0]=Move farmer and goose
from Right to Left
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state=[[ farmer fox goose ][ corn ]]
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```

# “FLAIL WILDLY” SEARCH STRATEGY

- Not intelligent
- But may work sometimes
- ..and may not work other times

“Fox, Goose, Corn” example:

- Many states revisited
- **Step I: Quest for Intelligence**
  - Reduce stupidity
  - *Don't revisit previous states !*

```
=====
state=[[ farmer fox corn ][ goose ]]
Choosing rule[2]=Move farmer and corn
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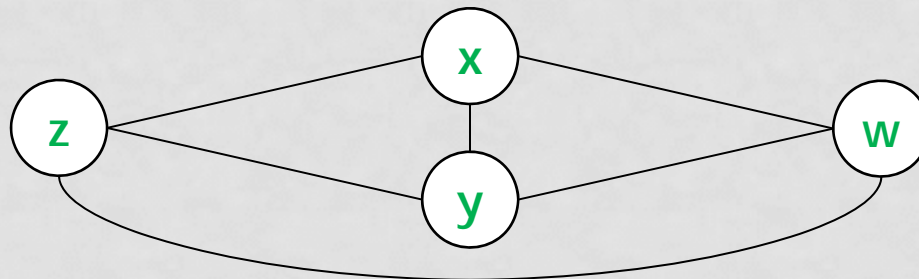
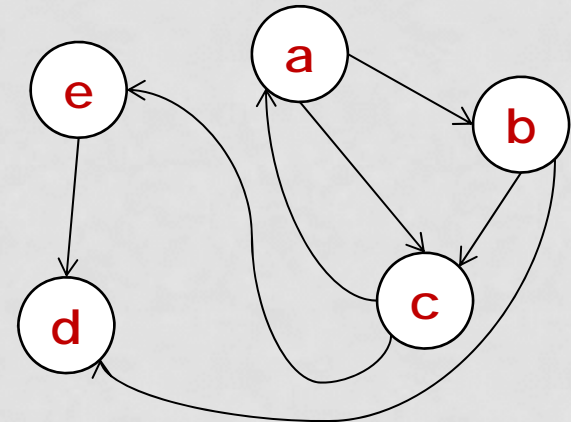
# EXPLORING THE PROBLEM GRAPH

- Building a solution tree
  - Implicit Graph
  - Explicit Graph

# EXPLICIT GRAPH

## Explicit Graph:

- a set of nodes
- a set of edges connecting nodes
- **Directed graph:**
  - edges have direction
  - $a \rightarrow b$  means you can go from **a** to **b**, but says nothing about whether you can go from **b** to **a**
- **Undirected graph:**
  - edges do not have direction
  - **x** connected to **y** means you can go from **x** to **y**, or from **y** to **x**





# EXPLICIT GRAPH

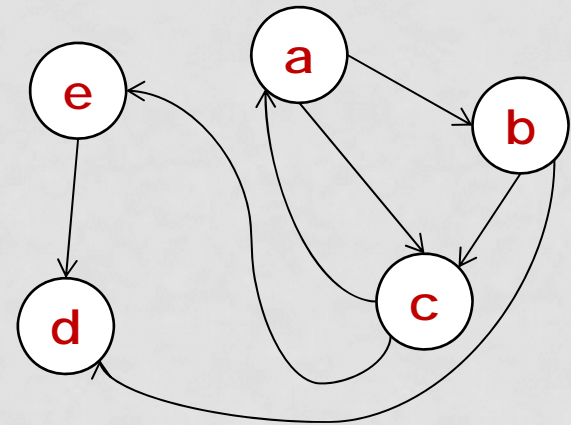
Find simplest route from **a**→**e**:

**a** → **b** → **d**      X

**a** → **b** → **c** → **e**    ✓

**a** → **c** → **e**    ✓

**a** → **c** → **a** → **c** → **a** → **c** → **e**    ✓



All nodes, edges known explicitly

# IMPLICIT GRAPH

## Implicit Graph:

- Generated from initial node(s) and rules for generating successors.
- e.g., **Fox-Goose-Corn** problem:

```
[ farmer fox goose corn ] []
```

# IMPLICIT GRAPH

## Implicit Graph:

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- e.g., **Fox-Goose-Corn** problem:

[ farmer fox goose corn ] []

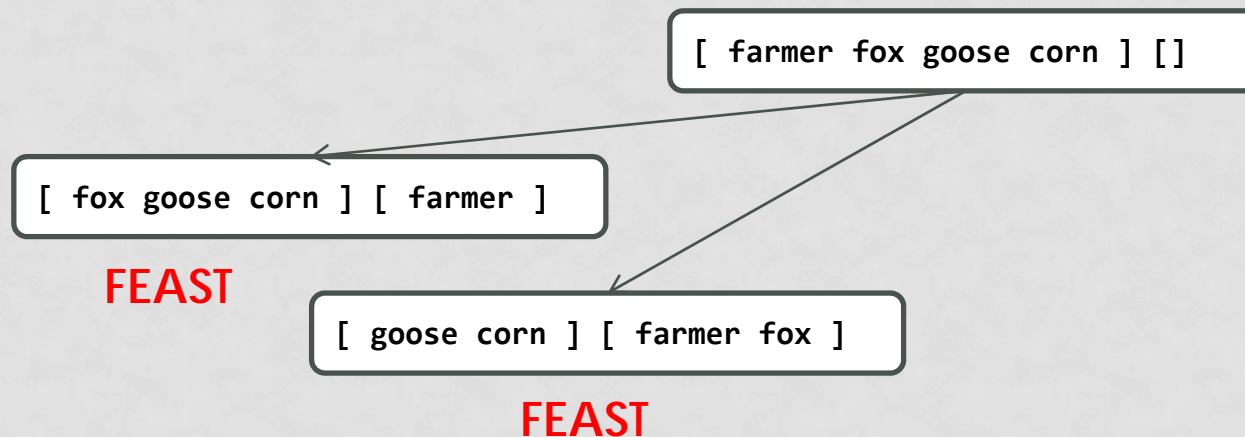
[ fox goose corn ] [ farmer ]

**FEAST**

# IMPLICIT GRAPH

## Implicit Graph:

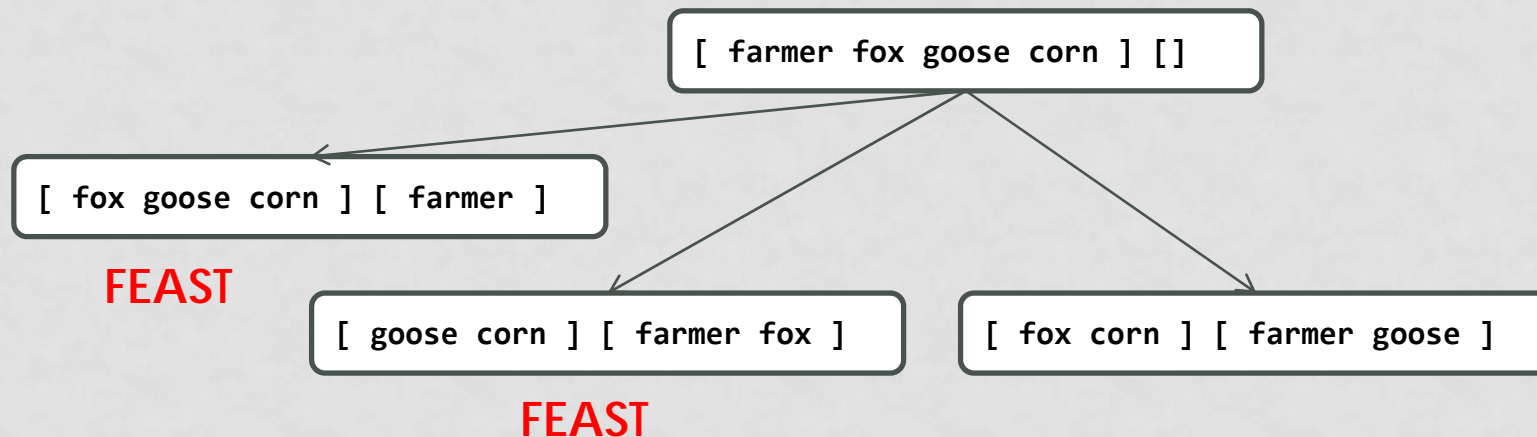
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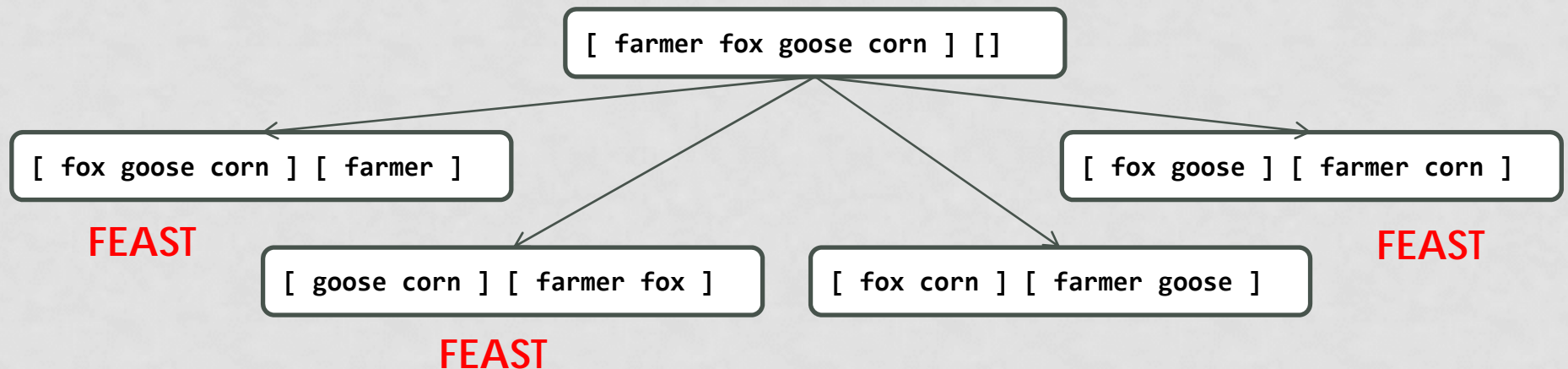




# IMPLICIT GRAPH

## Implicit Graph:

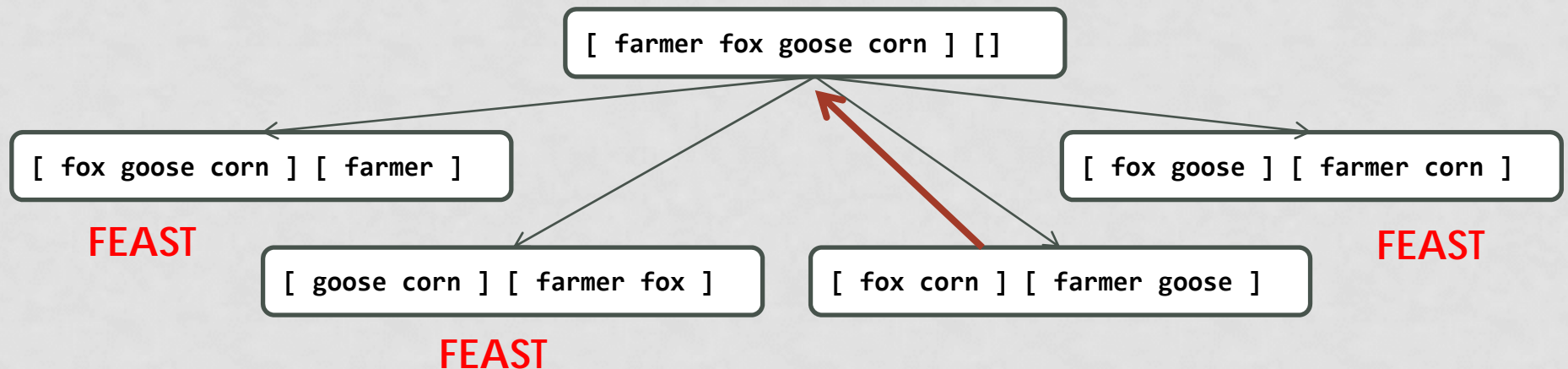
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# IMPLICIT GRAPH

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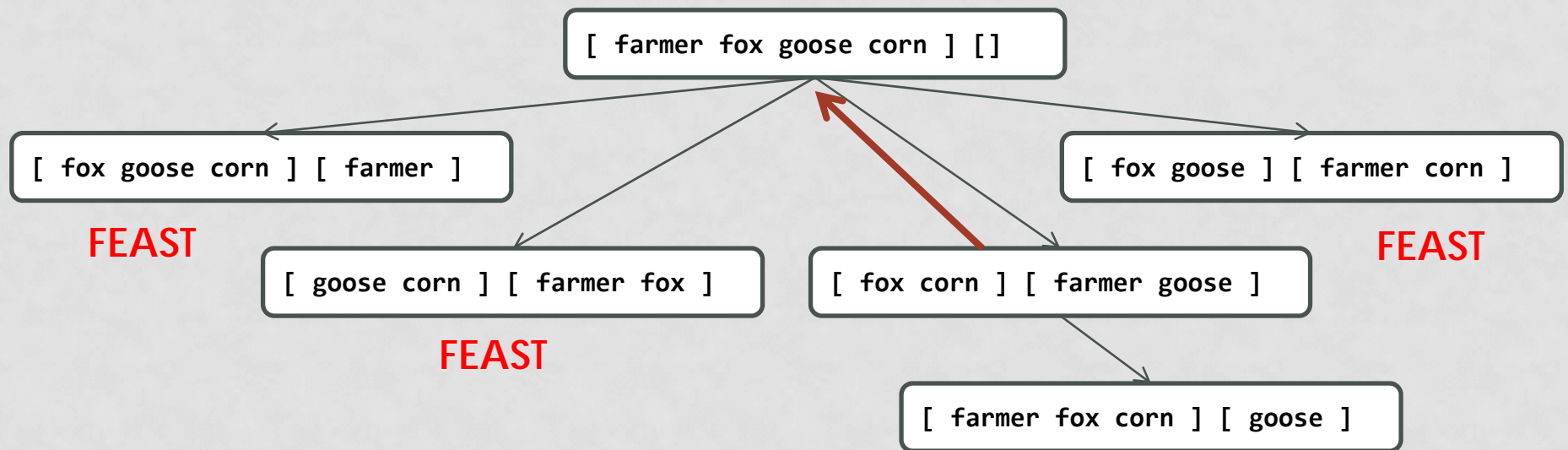
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# IMPLICIT GRAPH

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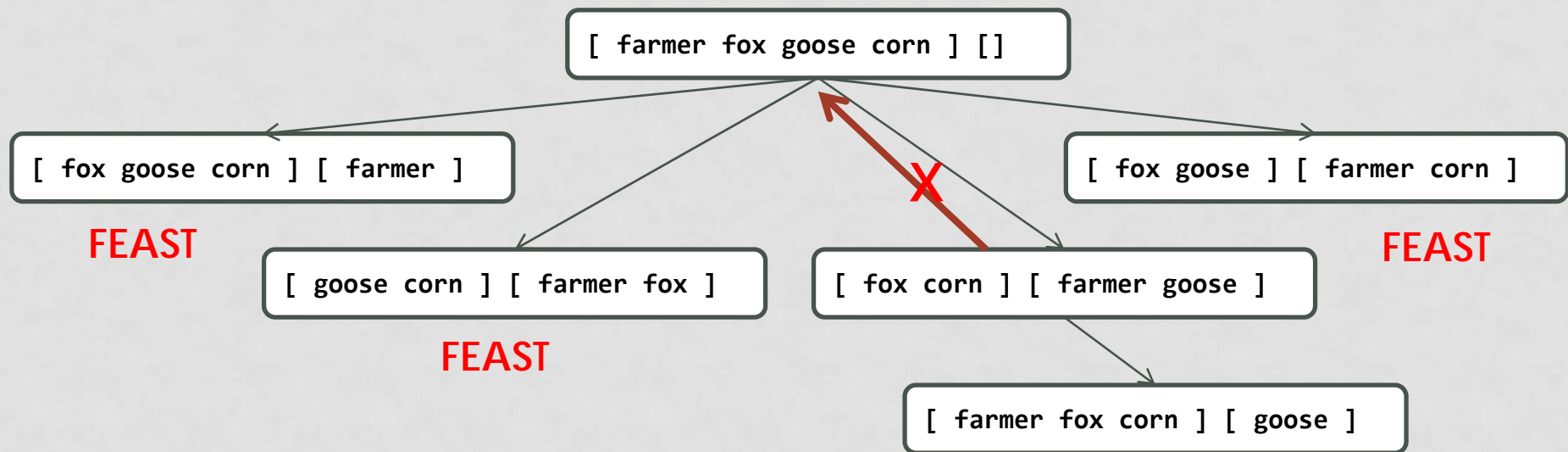
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# IMPLICIT GRAPH

## Implicit Graph:

- Generated from initial node(s) and rules for generating successors.
- e.g., **Fox-Goose-Corn** problem:



- *Note:* solution space is a **subset** of a **graph**
- That subset will be a **tree** (no node is its own ancestor)

# SEARCH STRATEGIES

## Tentative strategy

- Keep track of all moves from start.
- Don't "actually" apply a move - just "tentatively" apply it - and if it doesn't work, try something else.

When applying a rule in a tentative strategy, the "state" includes your partially constructed solution tree. (Knowledge Base includes this)

## Irrevocable strategy

- Only keep track of current state - past states are irrelevant
- e.g. solving crossword puzzle in ink: can't "undo" a move:
  - it remains part of solution path, once tried



# IDEA: “TENTATIVE” STRATEGY

## Tentative Strategy:

- Continue building sub-graph until finding a node satisfying goal condition
- Note: when expanding a node, can tell whether a new node ***n*** has
  - already been visited; or
  - already generated but not yet visited
- Note: can be costly in terms of space & time
  - can “go back” to earlier states and try new directions
  - need storage space for nodes and links
  - may take too long to examine all previous nodes

# IDEA: “IRREVOCABLE STRATEGY”

## Irrevocable Strategy:

- Choose a move & make the move.
- Keep current state only.
- Not costly in space and time like tentative strategies
- Note: Irrevocable strategies are generally less space-intensive and time-intensive at each step.
  - if a problem can be solved with an irrevocable strategy, it's probably good to do so

# PRODUCTION SYSTEMS, REVISITED

## Production Systems :

- Initial State
- Goal condition
- Rules:
  - precondition
  - action
- Knowledge Base
- ***Find a sequence of rules which can be applied to the Initial State, to find a state that satisfies the goal condition.***

# PRODUCTION SYSTEMS, REVISITED

## Production Systems :

- Initial State
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- Rules:
  - precondition
  - action
- Knowledge Base
- *Find a sequence of rules which can be applied to the Initial State, to find a state that satisfies the goal condition.*

## *“Flailing Wildly”*

```
state ← Initial State  
while not goal (state):
```

```
    M ← applicable Rules (state)  
    choose some m ∈ M  
    state ← applyRule(m, state)
```

# PRODUCTION SYSTEMS, REVISITED

## Production Systems :

- Initial State
- Goal condition
- Rules:
  - precondition
  - action
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- *Find a sequence of rules which can be applied to the Initial State, to find a state that satisfies the goal condition.*

## *“Flailing Wildly”*

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```
    choose some  $m \in M$ 
```

```
    state ← applyRule(m, state)
```

*How do we choose?*



# PRODUCTION SYSTEMS, REVISITED

- **“Flailing Wildly”** can find a solution if you’re lucky/persistent (and if “undo” rules exists).
- **Commutative Production System:**
- Every state is reachable from every other state
  - Sufficient condition: every rule has an “undo”, and the system cannot be decomposed into separate subsystems
  - “Undo” is not a *necessary* condition, though – if it is possible to cycle back to another state, for instance, it is not necessary to have an “undo” for each rule.

# HILL-CLIMBING

Is there a way of preventing re-visiting a state ?

## Hill-Climbing:

- Create a function  $f()$  that “measures” a state and a returns a single value in  $R$ .
- High value of  $f()$ : good state
- Low value of  $f()$ : bad state
- Only move in direction that improves value of  $f()$
- can't revisit earlier state!
- may not always work ☹️

# HILL-CLIMBING

## Hill-Climbing Strategy:

- Use a function  $f(\mathbf{x})$  that increases as solution is approached.
- Choose between moves by increasing  $f(\mathbf{state})$

# HILL-CLIMBING

## *Hill-Climb:*

state  $\leftarrow$  Initial State

value  $\leftarrow$  f(state)

while not goal (state):

    M  $\leftarrow$  applicable Rules (state)

    for each m  $\in$  M

        nextState  $\leftarrow$  applyRule (m, state)

        if f(nextState) > value

            value  $\leftarrow$  f(nextState )

            r  $\leftarrow$  m

state  $\leftarrow$  applyRule(r, state)

# HILL-CLIMBING

## Hill-Climb:

state  $\leftarrow$  Initial State

value  $\leftarrow f(\text{state})$

while not goal (state):

$M \leftarrow$  applicable Rules (state)

for each  $m \in M$

nextState  $\leftarrow$  applyRule (m, state)

if  $f(\text{nextState}) > \text{value}$

value  $\leftarrow f(\text{nextState})$

$r \leftarrow m$

state  $\leftarrow$  applyRule(r, state)

$$r = \arg \max_{m \in M} \{ f(\text{applyRule}(m, \text{state})) \}$$



# HILL-CLIMBING

## Hill-Climb:

```
state  $\leftarrow$  Initial State  
value  $\leftarrow$  f(state)  
while not goal (state):
```

```
    M  $\leftarrow$  applicable Rules (state)
```

```
    for each m  $\in$  M
```

```
        nextState  $\leftarrow$  applyRule (m, state)
```

```
        if f(nextState) > value
```

```
            value  $\leftarrow$  f(nextState)
```

```
            r  $\leftarrow$  m
```

```
state  $\leftarrow$  applyRule(r, state)
```

Can't go backwards,  
revisit earlier state

Pick a rule that  
improves state  
(according to f(state) )  
and gives best  
improvement

**Note:** if no rule satisfies  
this, we're stuck.

$$r = \arg \max_{m \in M} \{ f(\text{applyRule}(m, \text{state})) \}$$

# HILL-CLIMBING

## Hill-Climb:

```
state ← Initial State  
value ← f(state)  
while not goal(state) stuck :
```

```
  M ← applicable Rules (state)
```

```
  for each m ∈ M
```

```
    nextState ← applyRule (m, state)
```

```
    if f(nextState) > value
```

```
      value ← f(nextState )
```

```
      r ← m
```

```
  state ← applyRule(r, state)
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# HILL-CLIMBING

## Hill-Climb:

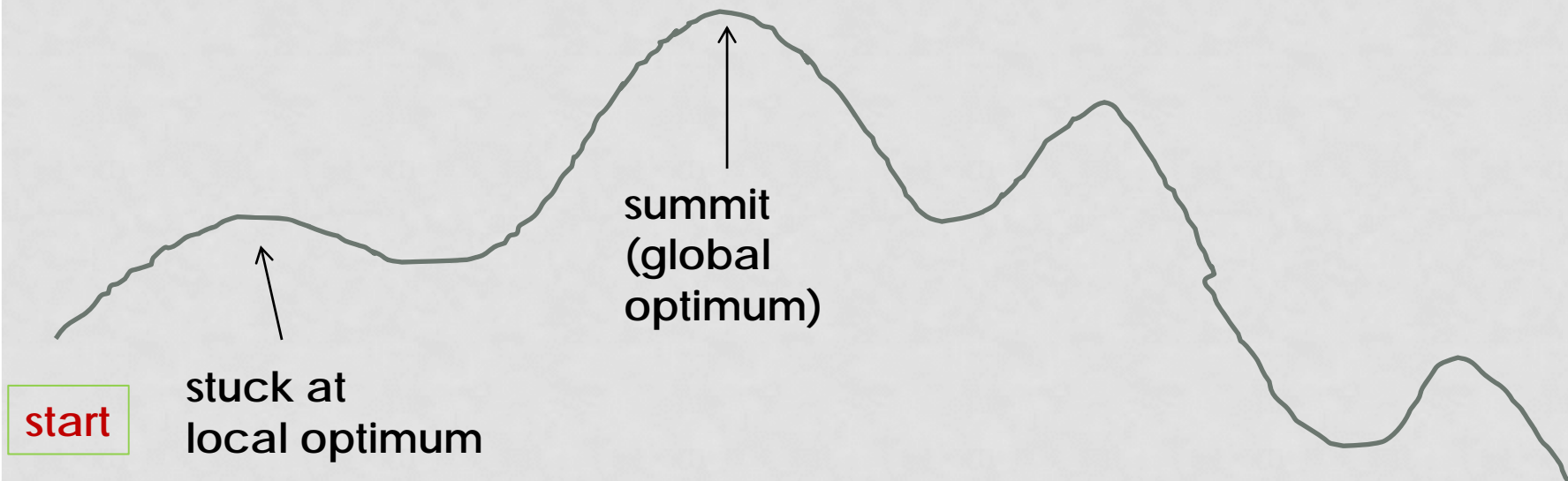
```
state ← Initial State
value ← f(state)
while not goal (state):
    M ← applicable Rules (state)
    for each m ∈ M
        nextState ← applyRule (m, state)
        if f(nextState) > value
            value ← f(nextState)
            r ← m
    state ← applyRule(r, state)
```

Note: could modify so that we always choose the alternative providing highest value of **f** - even if it's lower than current point.

We won't get stuck at a local optimum, but we also won't stop at a global optimum, either.

# EXAMPLES

## Mountain Climbing in Fog



# EXAMPLES

## Mountain Climbing in Fog, II





# EXAMPLES

## Mountain Climbing in Fog, II

IDEA ... run algorithm several times with different starting points



# EXAMPLES

- Adjusting multiple audio controls for sound quality
- Finding optimal set of weights for links in a neural net
- Evolving a population of candidate solutions in an evolutionary computing setting
- Swarm intelligence

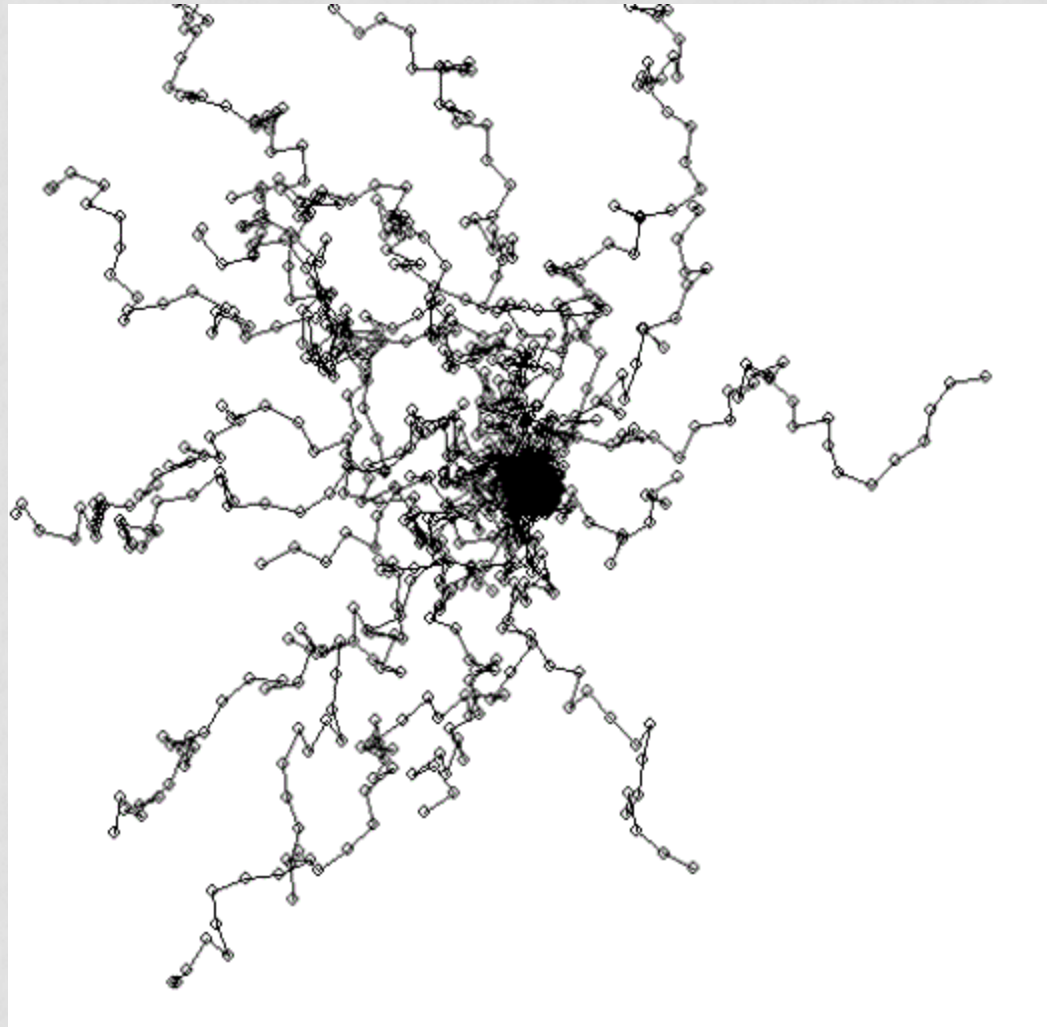
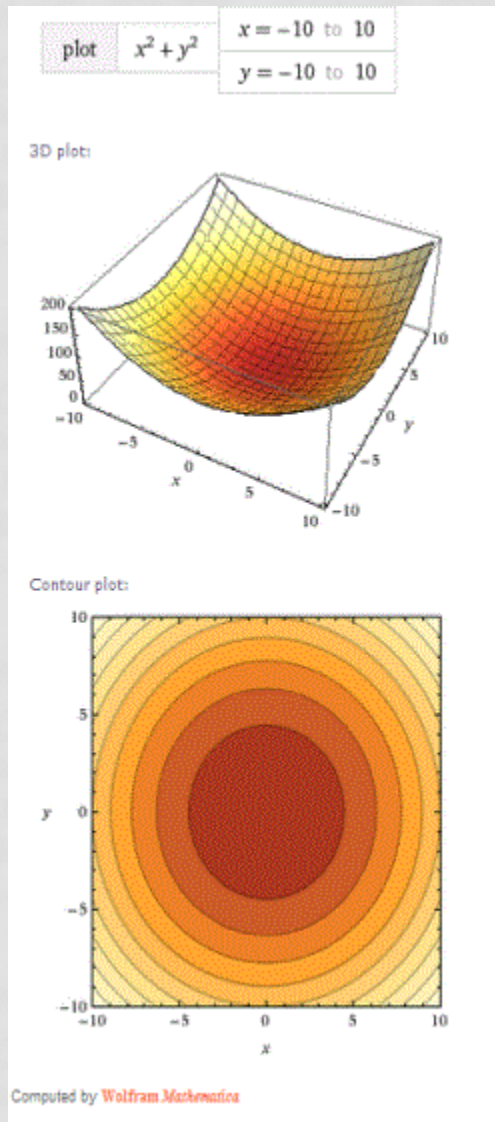
# EXAMPLES

- Adjusting multiple audio controls for sound quality
- Finding optimal set of weights for links in a neural net
- Evolving a population of candidate solutions in an evolutionary computing setting
- Swarm intelligence
- Some other alternatives :
  - Simulated Annealing
  - Tabu search

Idea - It's ok to step backwards occasionally, as long as overall value of **f** improves over the long run.

# PARTICLE SWARM OPTIMIZATION

- generate  $n$  initial guesses  $\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_n$
- compute  $f(\mathbf{x}_1), f(\mathbf{x}_2), \dots, f(\mathbf{x}_n)$
- move in a random direction  
 $\mathbf{x}'_1 = \mathbf{x}_1 + \boldsymbol{\theta}_1, \dots, \mathbf{x}'_n = \mathbf{x}_n + \boldsymbol{\theta}_n$
- compute  $\{ f(\mathbf{x}'_i) \}$
- for each  $i$ , keep track of  $\mathbf{pbest}_i$  (that particle's best value)
- keep track of  $\mathbf{gbest}$  (global best)
- move each  $\mathbf{x}'_i$  towards  $\mathbf{pbest}_i$  and  $\mathbf{gbest}$
- ***particles tend to “swarm” to a best solution***

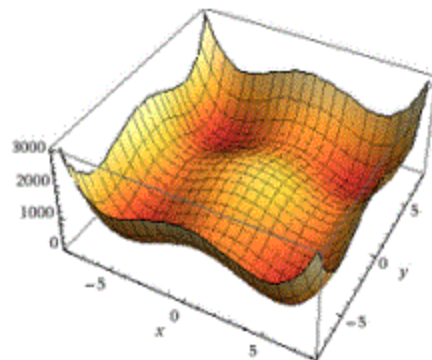


Sample demos with  
 $\alpha = 1/3$   
 $\beta = .15$

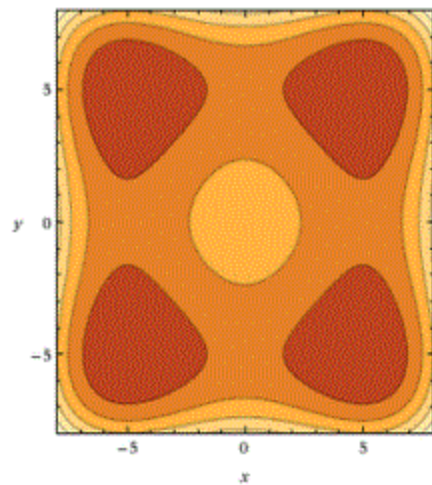


plot  $(x-5)(x-5)(x+5)(x+5) + (y-5)(y-5)(y+5)(y+5)$

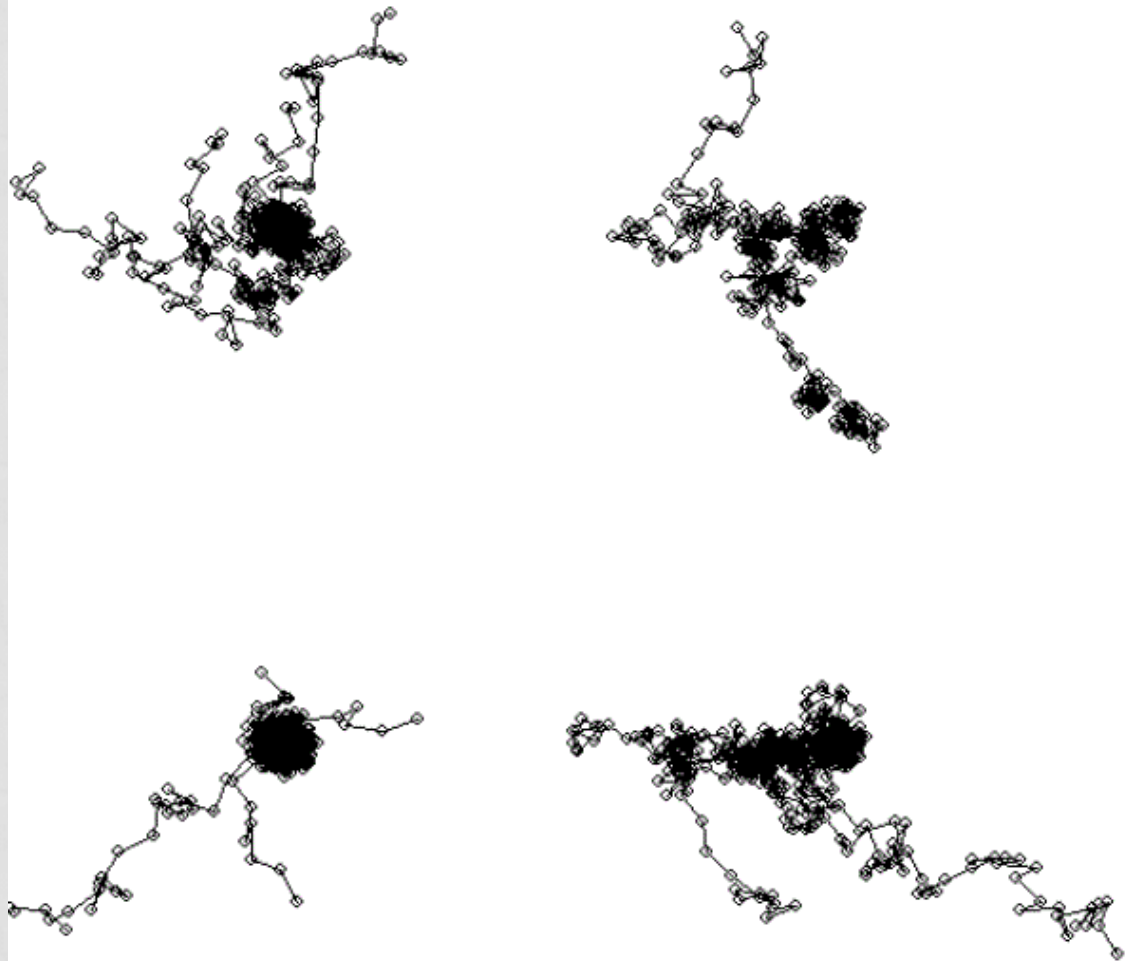
3D plot:



Contour plot:



Computed by Wolfram Mathematica

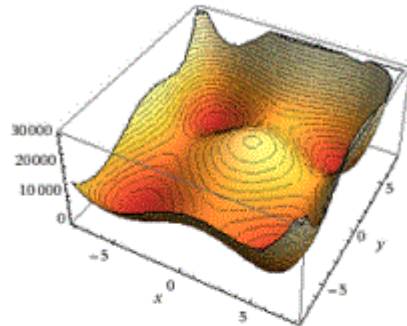


Sample demos with  
 $\alpha=1/3$   
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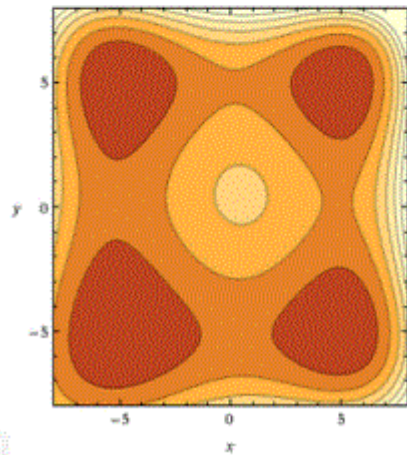
plot

$$1 + \frac{((x-5)(x-5)(x+5)(x+5) + (y-5)(y-5)(y+5)(y+5) - 1)(0.5(x+y+25))}{((x-5)(x-5)(x+5)(x+5) + (y-5)(y-5)(y+5)(y+5) - 1)(0.5(x+y+25))}$$

3D plot:

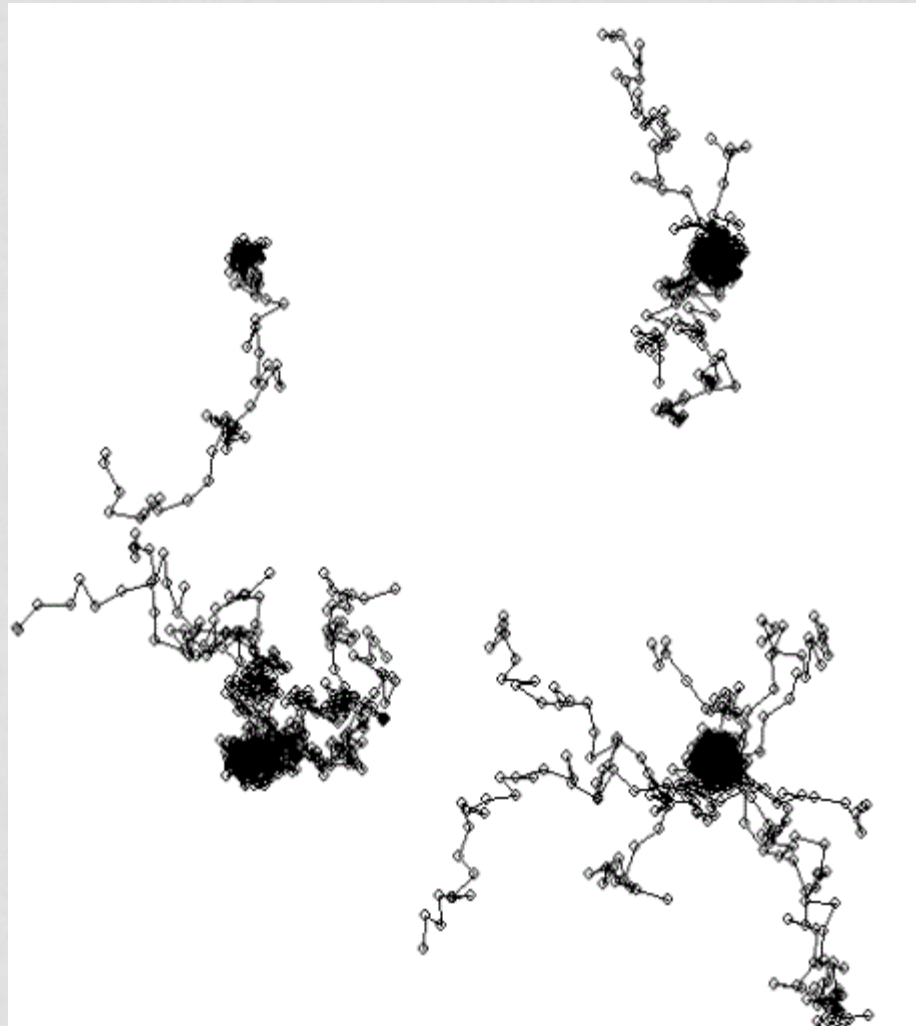


Contour plot:



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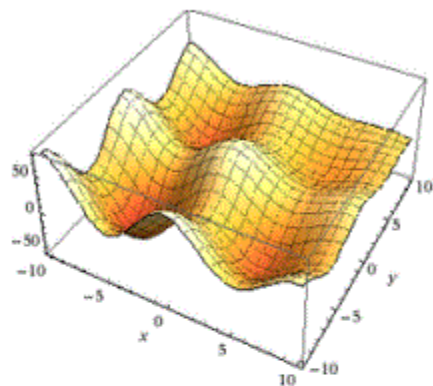
Dev



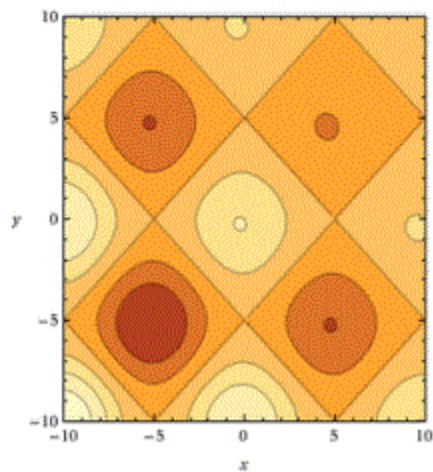
Sample demos with  
 $\alpha=1/3$   
 $\beta=.15$

plot  $\left(\cos\left(\pi \times \frac{x}{5}\right) + \cos\left(\pi \times \frac{y}{5}\right)\right)(20 - x - y)$

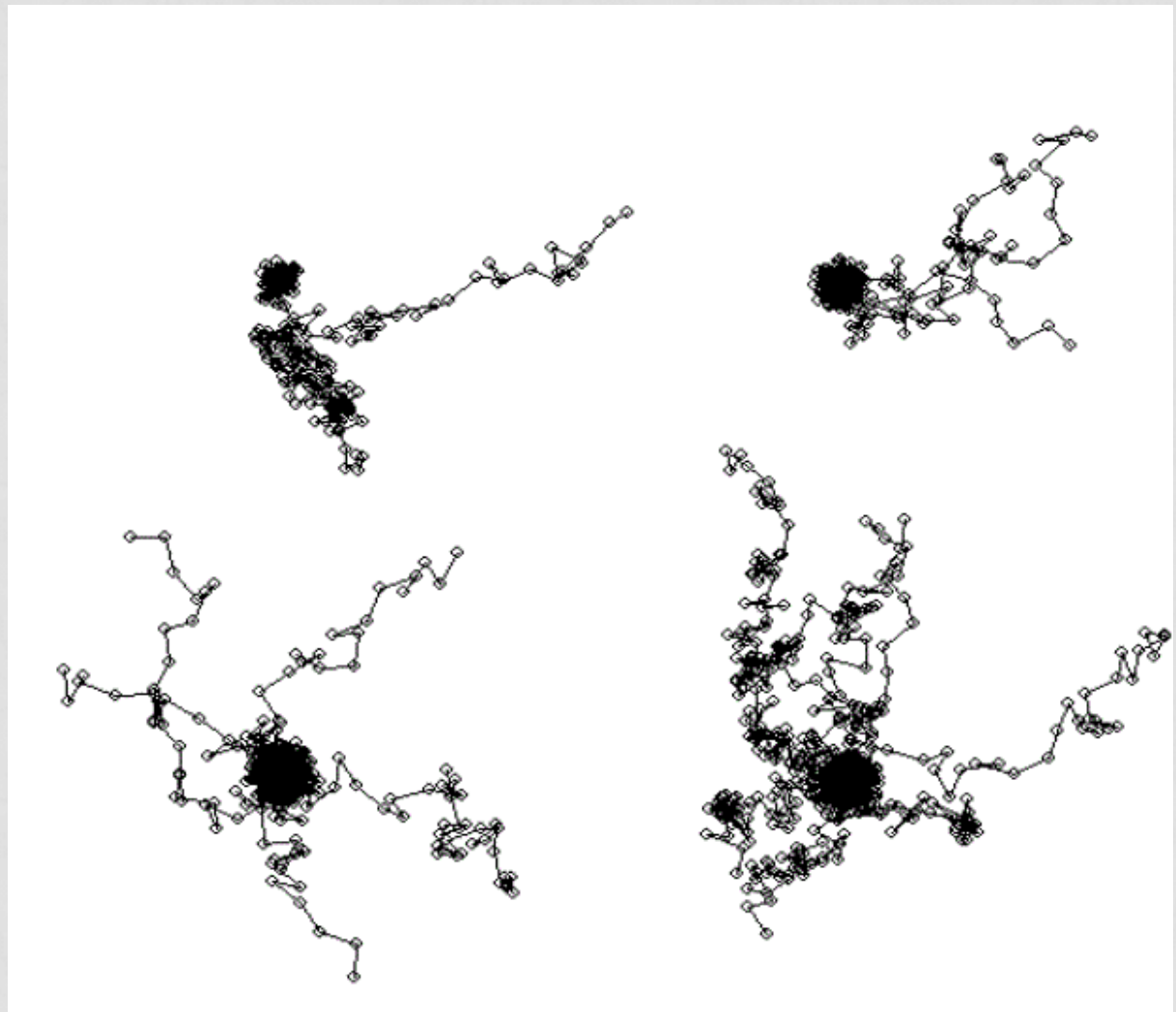
3D plot:



Contour plot:



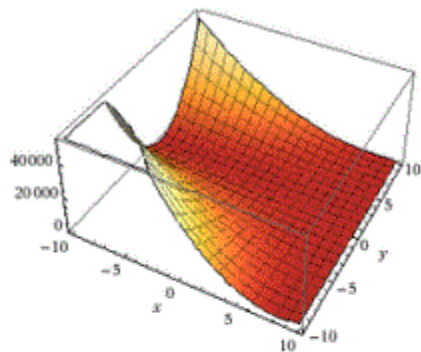
Computed by Wolfram Mathematica



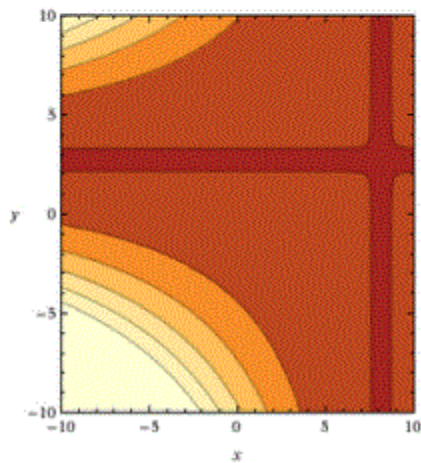
Sample demos with  
 $\alpha = 1/3$   
 $\beta = .15$

plot  $-(x-8.14)^2 + (y-2.72)^2 - 3(x-8.14)^2(y-2.72)^2$

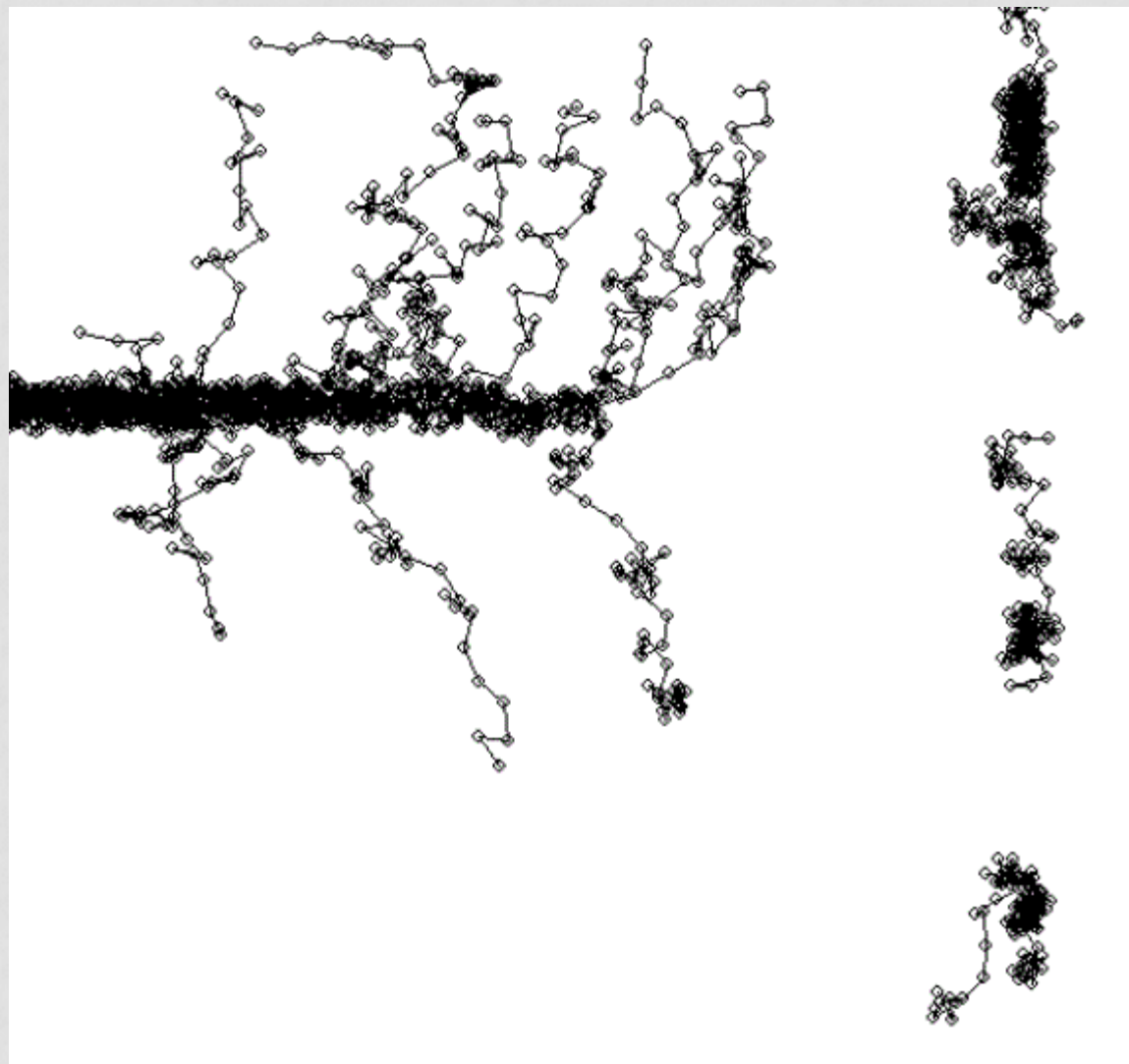
3D plot:



Contour plot:



Computed by [Wolfram Mathematica](#)



Sample demos with  
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