





Preserving Data Secrecy in Decentralized Inter-organizational Process Mining

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Abstract. Inter-organizational business processes involve multiple independent organizations collaborating to achieve mutual interests. Process mining techniques have the potential to allow these organizations to enhance operational efficiency, improve performance, and deepen the understanding of their business based on the recorded process event data. However, inter-organizational process mining faces substantial challenges, including topical secrecy concerns: The involved organizations may not be willing to expose their own data to run mining algorithms jointly with their counterparts or third parties. In this paper, we introduce CONFINE, a novel approach that unlocks process mining on multiple actors' process event data while safeguarding the secrecy and integrity of the original records in an inter-organizational business setting. To ensure that the phases of the presented interaction protocol are secure and that the processed information is hidden from involved and external actors alike, our approach resorts to a decentralized architecture comprised of trusted applications running in Trusted Execution Environments (TEEs). We show the feasibility of our solution by showcasing its application to a healthcare scenario and evaluating our implementation in terms of memory usage and scalability on real-world event logs.

Keywords: Collaborative information systems architectures · Trusted execution environments · Confidential computing

1 Introduction

In today's business landscape, organizations constantly seek ways to enhance operational efficiency, increase performance, and gain valuable insights to improve their processes. Process mining offers techniques to discover, monitor, and improve business processes by extracting knowledge from chronological records known as *event logs* [4]. Process-aware information systems record events referring to activities and interactions within a business process. The vast majority of process mining contributions consider *intra-organizational* settings, in which business processes are executed inside individual organizations. However, organizations increasingly recognize the value of collaboration and synergy in achieving operational excellence. *Inter-organizational* business processes involve several independent organizations cooperating to achieve a shared objective [2]. Despite the advantages of transparency, performance optimization, and benchmarking that companies can gain, inter-organizational process mining raises challenges that hinder its application. The major issue concerns confidentiality. Companies are reluctant to share private information required to execute process mining algorithms with their partners [23]. Indeed, letting sensitive

operational data traverse organizational boundaries introduces concerns about data privacy, security, and compliance with internal regulations [25]. *Trusted Execution Environments* (TEEs) [28] can serve as fundamental enablers to balance the need for insights with the need to protect sensitive information in inter-organizational settings. TEEs offer secure contexts that guarantee code integrity and data confidentiality before, during, and after its utilization.

In this paper, we propose CONFINE, a novel approach and tool aimed at enhancing collaborative information system architectures with secrecy-preserving process mining capabilities in a decentralized fashion. It resorts to *trusted applications* running in TEEs to preserve the secrecy and integrity of shared data. To pursue this aim, we design a decentralized architecture for a four-staged protocol: (i) The initial exchange of preliminary metadata, (ii) the attestation of the miner entity, (iii) the secure transmission and privacy-preserving merge of encrypted information segments amid multiple parties, (iv) the isolated and verifiable computation of process discovery algorithms on joined data. We evaluate our proof-of-concept implementation against synthetic and real-world-based data with a convergence test followed by experiments to assess the scalability of our approach.

The remainder of this paper is as follows. Sect. 2 provides an overview of related work. In Sect. 3, we introduce a motivating use-case scenario in healthcare. We present the CONFINE approach in Sect. 4. We describe the implementation of our approach in Sect. 5. In Sect. 6, we report on the efficacy and efficiency tests for our solution. Finally, we conclude our work and outline future research directions in Sect. 7.

2 Related Work

Despite the relative recency of this research branch across process mining and collaborative information systems, scientific literature already includes noticeable contributions to inter-organizational process mining. The work of Müller et al. [26] focuses on data privacy and security within third-party systems that mine data generated from external providers on demand. To safeguard the integrity of data earmarked for mining purposes, their research introduces a conceptual architecture that entails the execution of process mining algorithms within a cloud service environment, fortified with Trusted Execution Environments. Drawing inspiration from this foundational contribution, our research work seeks to design a decentralized approach characterized by organizational autonomy in the execution of process mining algorithms, devoid of synchronization mechanisms involvement taking place between the involved parties. A notable departure from the framework of Müller et al. lies in the fact that here each participating organization retains the discretion to choose when and how mining operations are conducted. Moreover, we bypass the idea of fixed roles, engineering a peer-to-peer scenario in which organizations can simultaneously be data provisioners or miners. Elkoumy et al. [17,16] present Shareprom. Like our work, their solution offers a means for independent entities to execute process mining algorithms in inter-organizational settings while safeguarding their proprietary input data from exposure to external parties operating within the same context. Shareprom’s functionality, though, is confined to the execution of operations involving event log abstractions [3] represented as directed acyclic graphs, which the parties employ as intermediate pre-elaboration to be fed into secure multiparty computation (SMPC) [13]. As the authors remark, relying on this specific graph representation imposes constraints that may prove limiting in various process

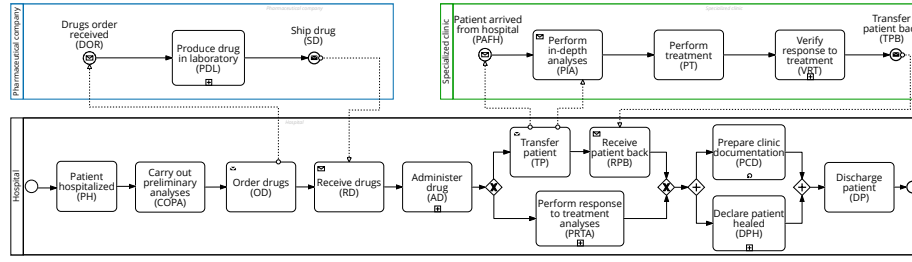


Fig. 1: A BPMN collaboration diagram of a simplified healthcare scenario

mining scenarios. In contrast, our approach allows for the secure, ciphered transmission of event logs to process mining nodes as a whole. Moreover, SMPC-based solutions require computationally intensive operations and synchronous cooperation among multiple parties, which make these protocols challenging to manage as the number of participants scales up [32]. In our research work, individual computing nodes run the calculations, thus not requiring synchronization with other machines once the input data is loaded.

We are confronted with the imperative task of integrating event logs originating from different data sources and reconstructing consistent traces that describe collaborative process executions. Consequently, we engage in an examination of methodologies delineated within the literature, each of which offers insights into the merging of event logs within inter-organizational settings. The work of Claes et al. [11] holds particular significance for our research efforts. Their seminal study introduces a two-step mechanism operating at the structured data level, contingent upon the configuration and subsequent application of merging rules. Each such rule indicates the relations between attributes of the traces and/or the activities that must hold across distinct traces to be combined. In accordance with their principles, our research incorporates a structured data-level merge based on case references and timestamps as merging attributes. The research by Hernandez et al. [18] posits a methodology functioning at the raw data level. Their approach represents traces and activities as *bag-of-words* vectors, subject to cosine similarity measurements to discern links and relationships between the traces earmarked for combination. An appealing aspect of this approach lies in its capacity to generalize the challenge of merging without necessitating a-priori knowledge of the underlying semantics inherent to the logs under consideration. However, it entails computational overhead in the treatment of data that can interfere with the overall effectiveness of our approach.

3 Motivating Scenario

For our motivating scenario, we focus on a simplified hospitalization process for the treatment of rare diseases. The process model is depicted as a BPMN diagram in Fig. 1 and involves the cooperation of three parties: a hospital, a pharmaceutical company, and a specialized clinic. For the sake of simplicity, we describe the process through two cases, recorded by the information systems as in Table 2. Alice’s journey (**case 312**) begins when she enters the hospital for the preliminary examinations (patient hospitalized, PH). The hospital then places an order for the drugs (OD) to the pharmaceutical company for treating

Table 1: Events from cases 312 (Alice) and 711 (Bob) recorded by the hospital, the specialized clinic, and the pharmaceutical company

Hospital						Pharmaceutical company			Specialized clinic		
Case	Timestamp	Activity	Case	Timestamp	Activity	Case	Timestamp	Activity	Case	Timestamp	Activity
312	2022-07-14T10:36	PH	312	2022-07-15T22:06	TP	312	2022-07-15T09:06	DOR	312	2022-07-16T00:06	PAFH
312	2022-07-14T16:36	COPA	711	2022-07-16T00:55	PRTA	711	2022-07-15T09:30	DOR	312	2022-07-16T01:06	PIA
711	2022-07-14T17:21	PH	711	2022-07-16T00:55	PCD	312	2022-07-15T11:06	PDL	312	2022-07-16T03:06	PT
312	2022-07-14T17:36	OD	711	2022-07-16T02:55	DPH	711	2022-07-15T11:30	PDL	312	2022-07-16T04:06	VRT
711	2022-07-14T23:21	COPA	711	2022-07-16T04:55	DP	312	2022-07-15T13:06	SD	312	2022-07-16T05:06	TPB
711	2022-07-15T00:21	OD	312	2022-07-16T07:06	RPB	711	2022-07-15T13:30	SD			
711	2022-07-15T18:55	RD	312	2022-07-16T09:06	DPH	$T_{312} = \langle \text{PH, COPA, OD, DOR, PDL, SD, RD, AD, TP, PAFH, PIA, PT, VRT, TPB, RPB, DPH, PCD, DP} \rangle$ $T_{711} = \langle \text{PH, COPA, OD, DOR, PDL, SD, RD, AD, TP, DPH, PCD, DP} \rangle$					
312	2022-07-15T19:06	RD	312	2022-07-16T09:06	PCD						
711	2022-07-15T20:55	AD	312	2022-07-16T11:06	DP						
312	2022-07-15T21:06	AD									

Alice’s specific condition. Afterwards, the pharmaceutical company acknowledges that the drugs order is received (DOR), proceeds to produce the drugs in the laboratory (PDL), and ships the drugs (SD) back to the hospital. Upon receiving the medications, the hospital administers the drug (AD), and conducts an assessment to determine if Alice can be treated internally. If specialized care is required, the hospital transfers the patient (TP) to the specialized clinic. When the patient arrives from the hospital (PAFH), the specialized clinic performs in-depth analyses (PIA) and proceeds with the treatment (PT). Once the specialized clinic had completed the evaluations and verified the response to the treatment (VRT), it transfers the patient back (TPB). The hospital receives the patient back (RPB) and prepares the clinical documentation (PCD). If Alice has successfully recovered, the hospital declares the patient as healed (DPH). When Alice’s treatment is complete, the hospital discharges the patient (DP). Bob (**case 711**) enters the hospital a few hours later than Alice. His hospitalization process is similar to Alice’s. However, he does not need specialized care, and his case is only treated by the hospital. Therefore, the hospital performs the response to treatment analyses (PRTA) instead of transferring him to the specialized clinic.

Both the National Institute of Statistics of the country in which the three organizations reside and the University that hosts the hospital wish to uncover information on this inter-organizational process for reporting and auditing purposes [19] via process analytics. The involved organizations share the urge for such an analysis and wish to be able to repeat the mining task also in-house. The hospital, the specialized clinic, and the pharmaceutical company have a partial view of the overall unfolding of the inter-organizational process as they record the events stemming from the parts of their pertinence. In Table 1, we show the cases 312 and 711 and the corresponding traces recorded by the hospital (i.e., T_{312}^H and T_{711}^H), the specialized clinic (i.e., T_{312}^S and T_{711}^S), and the pharmaceutical company (i.e., T_{312}^C and T_{711}^C). Those traces are projections of the two combined ones for the whole inter-organizational process: $T_{312} = \langle \text{PH, COPA, OD, DOR, PDL, SD, RD, AD, TP, PAFH, PIA, PT, VRT, TPB, RPB, DPH, PCD, DP} \rangle$ and $T_{711} = \langle \text{PH, COPA, OD, DOR, PDL, SD, RD, AD, TP, DPH, PCD, DP} \rangle$. Results stemming from the analysis of the local cases would not provide a full picture. Data should be merged. However, to preserve the privacy of the people involved and safeguard the confidentiality of the information, the involved parties cannot give open access to their traces to other organizations. The diverging interests (being able to conduct process mining on data from multiple sources without giving away the local event logs in-clear) motivate our research. In the following, we describe the design of our solution.

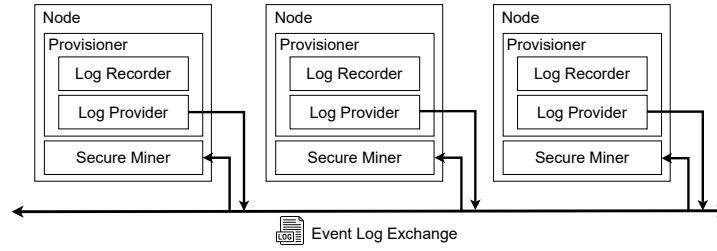


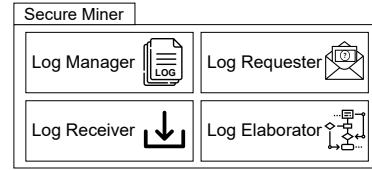
Fig. 2: The CONFINE high-level architecture

4 Design

In this section, we present the high-level architecture of the CONFINE framework. We consider the main functionalities of each component, avoiding details on the employed technologies discussed in the next sections.

The CONFINE architecture at large. Our architecture involves different information systems running on multiple machines. An organization can take at least one of the following roles: **provisioning** if it delivers local event logs to be collaboratively mined; **mining** if it applies process mining algorithms using event logs retrieved from provisioners. In Fig. 2, we propose the high-level schematization of the CONFINE framework. In our solution, every organization hosts one or more nodes hosting components (the names of which will henceforth be formatted with a teletype font). Depending on the played role, nodes come endowed with a `Provisioner` or a `Secure Miner` component, or both. The `Provisioner` component consists of the following two main sub-components. The `Log Recorder` registers the events taking place in the organizations' systems. The `Log Provider` delivers on-demand data to mining players. The hospital and all other parties in our example record Alice and Bob's cases using the `Log Recorder`. The `Log Recorder` is queried by the `Log Provider` for event logs to be made available for mining. The latter controls access to local event logs by authenticating data requests by miners and rejecting those that come from unauthorized parties. In our motivating scenario, the specialized clinic, the pharmaceutical company, and the hospital leverage `Log Providers` to authenticate the miner party before sending their logs. The `Secure Miner` component shelters external event logs inside a protected environment to preserve data confidentiality and integrity. Notice that `Log Providers` accept requests issued solely by `Secure Miners`. Next, we provide an in-depth focus on the latter.

The Secure Miner. The primary objective of the `Secure Miner` is to allow miners to securely execute process mining algorithms using event logs retrieved from provisioners such as the specialized clinic, pharmaceutical company, and the hospital in our example. `Secure Miners` are isolated components that guarantee data inalterability and confidentiality. In Fig. 3, we show a schematization of the `Secure Miner`, which consists of four sub-components: (i) `Log Requester`; (ii) `Log Receiver`; (iii) `Log Manager`; (iv) `Log`

Fig. 3: Sub-components of the `Secure Miner`

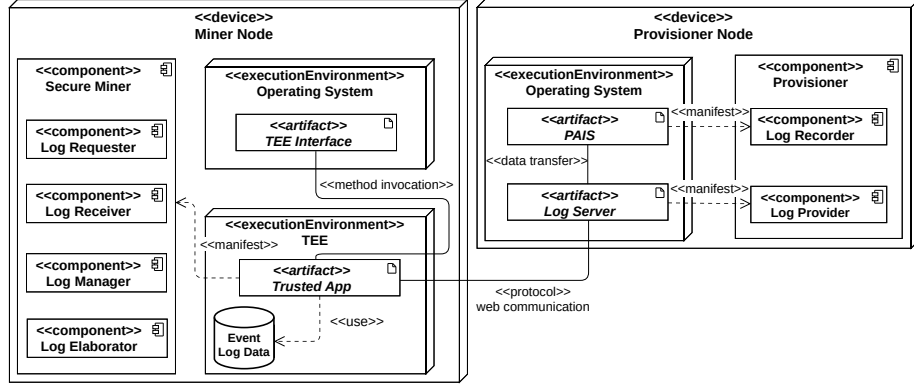


Fig. 4: UML deployment diagram of the CONFINE architecture

Elaborator. The Log Requester and the Log Receiver are the sub-components that we employ during the event log retrieval. Log Requesters send authenticable data requests to the Log Providers. The Log Receiver collects event logs sent by Log Providers and entrusts them to the Log Manager, securing them from accesses that are external to the Secure Miner. Miners of our motivating scenario, such as the university and the national institute of statistics, employ these three components to retrieve and store Alice and Bob's data. The Log Elaborator merges the event data locked in the Secure Miner to have a global view of the inter-organizational process comprehensive of activities executed by each involved party. Thereupon, it executes process mining algorithms in a protected environment, inaccessible from the outside computation environment. In our motivating scenario, the Log Elaborator combines the traces associated with the cases of Alice (i.e., T_{312}^H , T_{312}^S , and T_{312}^C) and Bob (i.e., T_{711}^H , T_{711}^S , and T_{711}^C), generates the chronologically sorted traces T_{312} and T_{711} , and feeds them into the mining algorithms (see the bottom-right quadrant of Table 1).

5 Realization

In this section, we outline the technical aspects concerning the realization of our solution. Therefore, we first present the enabler technologies through which we instantiate the design principles presented in Sect. 4. After that, we discuss the CONFINE interaction protocol. Finally, we show the implementation details.

5.1 Deployment

Figure 4 depicts a UML deployment diagram [22] to illustrate the employed technologies and computation environments. We recall that the Miner and Provisioner nodes are drawn as separated, although organizations can host both. In our motivating scenario, e.g., the hospital can be equipped with machines aimed for both mining and provisioning.

Provisioner Nodes host the Provisioner’s components, encompassing the Log Recorder and the Log Provider. The Process-Aware Information System (PAIS) manifests the Log Recorder [15]. The PAIS grants access to the Log Server, enabling it to retrieve event log data. The Log Server, on the other hand, embodies the functionalities of the Log Provider, implementing web services aimed at handling remote data requests and providing event log data to miners.

The Miner Node is characterized by two distinct *execution environments*: the Operating System (OS) and the Trusted Execution Environment (TEE) [28]. TEEs establish isolated contexts separate from the OS, safeguarding code and data through hardware-based encryption mechanisms. This technology relies on dedicated sections of a CPU capable of handling encrypted data within a reserved section of the main memory [12]. By enforcing memory access restrictions, TEEs aim to prevent one application from reading or altering the memory space of another, thus enhancing the overall security of the system. This dedicated areas in memory are, however, limited. Once the limits are exceeded, TEEs have to scout around in outer memory areas, thus conceding the opportunity to malicious reader to understand the saved data based on the memory reads and writes. To avoid this risk, TEE implementations often raise errors that halt the program execution when the memory demand goes beyond the available space. Therefore, the design of secure systems that resort to TEEs must take into account that memory consumption must be kept under control. We leverage the security guarantees provided by TEEs [20] to protect a Trusted App responsible for fulfilling the functions of the Secure Miner and its associated sub-components. The TEE ensures the integrity of the Trusted App code, protecting it against potential malicious manipulations and unauthorized access by programs running within the Operating System. Additionally, we utilize the isolated environment of TEEs to securely store event log data (e.g., Alice and Bob’s cases). The TEE retains a private key in the externally inaccessible section of memory, paired with a public key in a Rivest-Shamir-Adleman (RSA) [27] scheme for attestation (only the owner of the private key can sign messages that are verifiable via the public key) and secure message encryption (only the owner of the private key can decode messages that are encrypted with the corresponding public key). In our solution, access to data located in the TEE is restricted solely to the Trusted App. Users interact with the Trusted App through the Trusted App Interface, which serves as the exclusive communication channel. The Trusted App offers secure methods, invoked by the Trusted App Interface, for safely receiving information from the Operating System and outsourcing the results of computations.

5.2 The CONFINE protocol

We orchestrate the interaction of the components in CONFINE via a protocol. We separate it in four subsequent stages, namely (i) *initialization*, (ii) *remote attestation*, (iii) *data transmission*, and (iv) *computation*. These stages are depicted in Figs. 5(a) to 5(c) and 6, respectively. They are mainly enacted by a Miner Node and n Provisioner Nodes. We assume their communication channel is reliable [10] and secure [21]. In the following, we describe each phase in detail.

Initialization. The objective of the initialization stage is to inform the miner about the distribution of cases related to a business process among the Provisioner Nodes. At the onset of this stage, the Log Requester within the Trusted App issues n requests, one

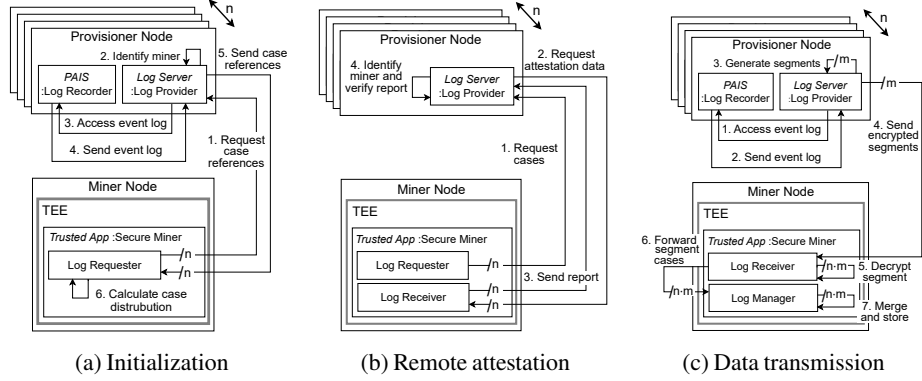


Fig. 5: Unfolding example for the initialization, remote attestation and data transmission phases of the CONFINE protocol

per Log Server component, to retrieve the list of case references they record (step 1 in Fig. 5(a)). Following sender authentication (2), each Log Server retrieves the local event log from the PAIS (3, 4) and subsequently responds to the Log Requester by providing a list of its associated case references (5). After collecting these n responses, the Log Requester delineates the distribution of cases. In the context of our motivating scenario, by the conclusion of the initialization, the miner gains knowledge that the case associated with Bob, synthesized in the traces T_{711}^H and T_{711}^C , is exclusively retained by the hospital and the specialized clinic. In contrast, the traces of Alice's case, denoted as T_{312}^H , T_{312}^C , and T_{312}^S , are scattered across all three organizations.

Remote attestation. The remote attestation serves the purpose of establishing trust between miners and provisioners in the context of fulfilling data requests. This phase adheres to the overarching principles outlined in the RATS RFC standard [9] serving as the foundation for several TEE attestation schemes (e.g., Intel EPID,³ and AMD SEV-SNP⁴). Remote attestation has a dual objective: (i) to furnish provisioners with compelling evidence that the data request for an event log originates from a Trusted App running within a TEE; (ii) to confirm the specific nature of the Trusted App as an authentic Secure Miner software entity. This phase is triggered when the Log Requester sends a new case request to the Log Server, specifying: (i) the segment size (henceforth, *seg_size*), and (ii) the set of the requested case references. Both parameters will be used in the subsequent *data transmission* phase. Each of the n Log Servers commences the verification process by requesting the necessary information from the Log Receiver to conduct the attestation (2). Subsequently, the Log Receiver generates the attestation report containing the so-called *measurement* of the Trusted App, which is defined as the hash value of the combination of its source code and data. Once this report is signed using the attestation private key associated with the TEE's hardware of the Miner Node, it is transmitted by the Log Receiver to the Log Servers alongside the attestation public key of the Miner Node (3). The Log Servers authenticate the miner using the public key and decrypt the report (4). In

³ sgx101.gitbook.io/sgx101/sgx-bootstrap/attestation. Accessed: 08/12/2023.

⁴ amd.com/en/processors/amd-secure-encrypted-virtualization. Accessed: 08/12/2023.

this last step, the Log Servers undertake a comparison procedure in which they juxtapose the measurement found within the decrypted report against a predefined reference value associated with the source code of the Secure Miner. If the decrypted measurement matches the predefined value, the Miner Node gains trust from the provisioner.

Data transmission. Once the trusted nature of the Trusted App is verified, the Log Servers proceed with the transmission of their cases. To accomplish this, each Log Server retrieves the event log from the PAIS (steps 1 and 2, in Fig. 5(c)), and filters it according to the case reference set specified by the miner. Given the constrained workload capacity of the TEE, it is imperative for Log Servers to partition the filtered event log into distinct segments. Consequently, each Log Server generates m log segments comprising a variable count of entire cases (3). The cumulative size of these segments is governed by the threshold parameter specified by the miner in the initial request (step 1 of the remote attestation phase, Fig. 5(b)). As an illustrative example from our motivating scenario, the Log Server of the hospital may structure the segmentation such that T_{312}^H and T_{711}^H reside within the same segment, whereas the specialized clinic might have T_{312}^S and T_{711}^S in separate segments. Subsequently, the n Log Servers transmit their m encrypted segments to the Log Receiver of the Trusted App (4). The Log Receiver, in turn, collects the $n \times m$ responses in a queue, processing them one at a time. After decrypting the processed segment (5), the Log Receiver forwards the cases contained in the segment to the Log Manager (6). To reconstruct the process instance, cases belonging to the same process instance must be merged by the Log Manager resulting in a single trace (e.g., T_{312} for Alice case) comprehensive of all the events in the partial traces (e.g., T_{312}^H , T_{312}^S and T_{312}^C for Alice case). During this operation, the Log Manager applies a specific *merging schema* (i.e., a rule specifying the attributes that link two cases during the merge) as stated in [11]. In our illustrative scenario, the merging schema to combine the cases of Alice is contingent upon the linkage established through their case identifier (i.e., 312). We underline that our proposed solution facilitates the incorporation of diverse merging schemas encompassing distinct trace attributes. The outcomes arising from merging the cases within the processed segment are securely stored by the Log Manager in the TEE.

Computation. The Trusted App requires all the provisioners to have delivered cases referring to the same process instances. For example, when the hospital and the other organizations have all delivered their information concerning case 312 to the Trusted App, the process instance associated with Alice becomes eligible for computation. Upon meeting this condition, the Log Manager forwards the case earmarked for computation to the Log Elaborator (step 1 in Fig. 6). Subsequently, the Log Elaborator proceeds to input the merged case into the process mining algorithm (2). Ultimately, the outcome of the computation is relayed by the Log Elaborator from the TEE to the TEE Interface running atop the Operating System of the Miner Node (3). The CONFINE protocol does not impose restrictions on the post-computational handling of results. In our motivating scenario, the University and the National Institute of Statistics, serving as miners, disseminate the outcomes of computations, generating

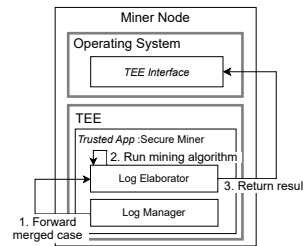
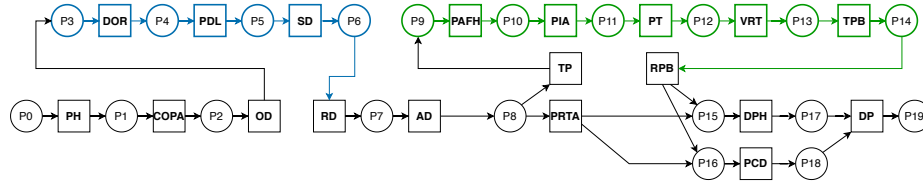


Fig. 6: Computation phase of the CONFINE protocol

Fig. 7: *HeuristicsMiner* output in CONFINE

analyses that benefit the provisioners (though the original data are never revealed in clear). Furthermore, our protocol enables the potential for provisioners to have their proprietary *Secure Miner* technology, allowing them autonomous control over managing the computed results.

5.3 Implementation

We implemented the *Secure Miner* component as an Intel SGX⁵ trusted application, encoded in Go through the EGo framework.⁶ We resort to a TLS [30] communication channel between miners and provisioners over the HTTP web protocol to secure the information exchange. To demonstrate the effectiveness of our framework, we re-implemented and integrated the *HeuristicsMiner* discovery algorithm [31] within the Trusted Application. Our implementation of CONFINE, including the *HeuristicsMiner* in Go, is openly accessible at the following URL: github.com/Process-in-Chains/CONFINE/.

6 Evaluation

In this section, we evaluate our approach through the testing of our tool implementation. We begin with a convergence analysis to demonstrate the correctness of the collaborative data exchange process. Subsequently, we gauge the memory usage with synthetic and real-life event logs, to observe the trend during the enactment of our protocol and assess scalability. We recall that we focus on memory utilization since the availability of space in the dedicated areas is limited as we discussed in Sect. 5.1. We discuss our experimental results in the following. For the sake of reproducibility, we make available all the testbeds and results in our public code repository (linked above).

Output convergence. To experimentally validate the correctness of our approach in the transmission and computation phases (see Sect. 5), we run a *convergence* test. To this end, we created a synthetic event log consisting of 1000 cases of 14 events on average (see Table 2) by simulating the inter-organizational process of our motivating scenario (see Fig. 1)⁷ and we partitioned it in three sub-logs (one per involved organization), an excerpt of which is listed in Table 1. We run the stand-alone *HeuristicsMiner* on the former, and

⁵ sgx101.gitbook.io/sgx101/. Accessed: 08/12/2023.

⁶ docs.edgeless.systems/ego. Accessed: 08/12/2023.

⁷ We generated the event log through BIMP (<https://bimp.cs.ut.ee/>). We filtered the generated log by keeping the sole events that report on the completion of activities, and removing the start and end events of the pharmaceutical company and specialized clinic's sub-processes.

Table 2: Event logs used for our experiments

Name	Type	Activities	Cases	Max events	Min events	Avg. events	Organization \mapsto Activities
Motivating scenario	Synthetic	19	1000	18	9	14	$\mathcal{O}^P \mapsto 3, \mathcal{O}^C \mapsto 5, \mathcal{O}^H \mapsto 14$
Sepsis [24]	Real	16	1050	185	3	15	$\mathcal{O}^1 \mapsto 1, \mathcal{O}^2 \mapsto 1, \mathcal{O}^3 \mapsto 14$
BPIC2013 [29]	Real	7	1487	123	1	9	$\mathcal{O}^1 \mapsto 6, \mathcal{O}^2 \mapsto 7, \mathcal{O}^3 \mapsto 6$

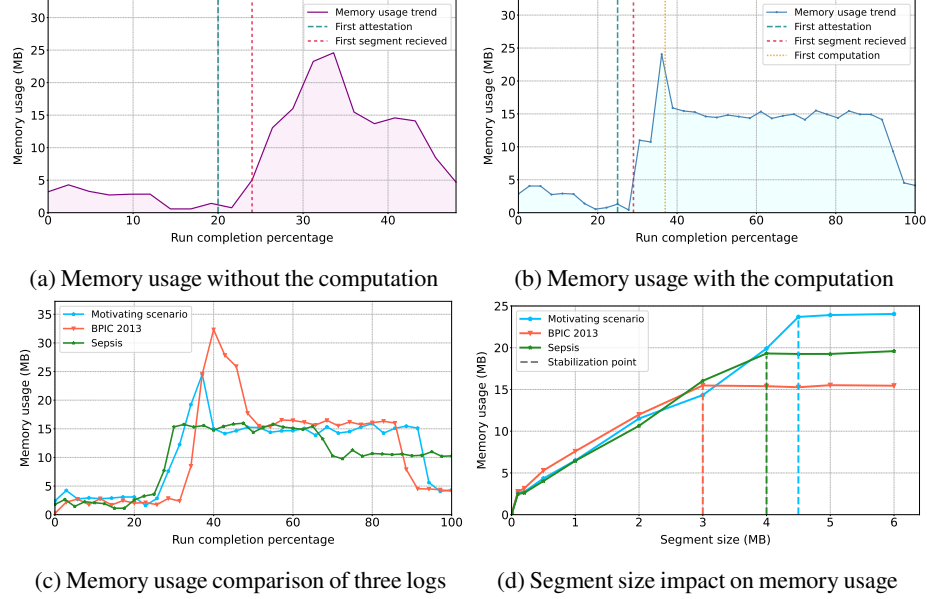


Fig. 8: Memory usage test results

processed the latter through our CONFINE toolchain. As expected, the results converge and are depicted in Fig. 7 in the form of a workflow net [1]. For clarity, we have colored activities recorded by the organizations following the scheme of Table 2 (black for the hospital, blue for the pharmaceutical company, and green for the specialized clinic).

Memory usage. Figures 8(a) and 8(b) display plots corresponding to the runtime memory utilization of our CONFINE implementation (in MegaBytes). Differently from Fig. 8(b), Fig. 8(a) excludes the computation stage by leaving the *HeuristicsMiner* inactive so as to isolate the execution from the mining-specific operations. The dashed lines mark the starting points for the remote attestation, the data transmission and the computation stages. We held the *seg_size* constant at 2000 KiloBytes. We observe that the data transmission stage reaches the highest peak of memory utilization, which is then partially freed by the subsequent computation stage, steadily occupying memory space at a lower level. To verify whether this phenomenon is due to the synthetic nature of our simulation-based event log, we also gauge the runtime memory usage of two public real-world event logs too (Sepsis [24] and BPIC 2013 [29]). The characteristics of the event logs are summarized in Table 2. Since those are *intra-organizational* event logs, we split the contents to mimic an

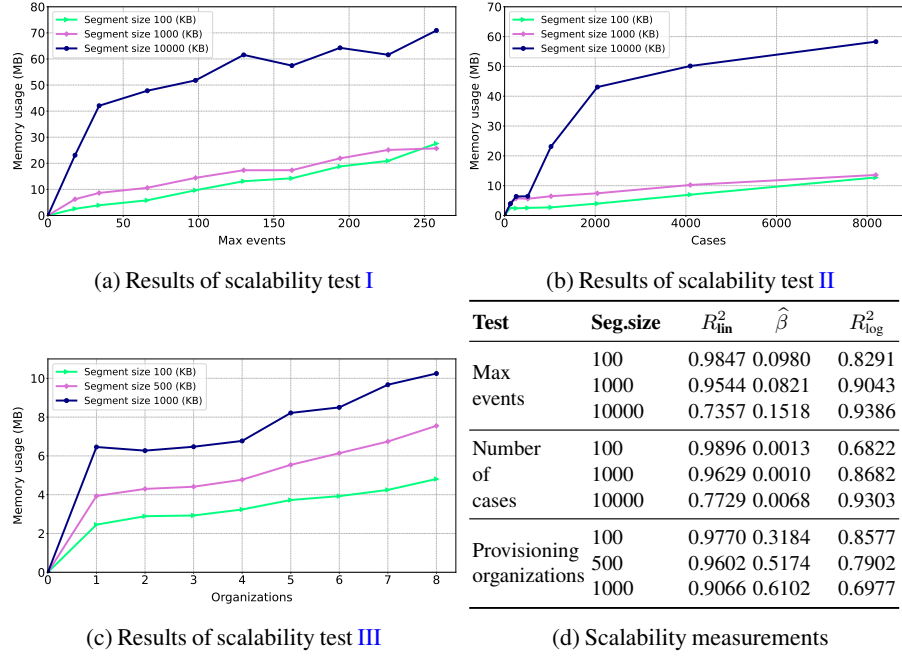


Fig. 9: Scalability test results

inter-organizational context. In particular, we separated the Sepsis event log based on the distinction between normal-care and intensive-care paths, as if they were conducted by two distinct organizations. Similarly, we processed the BPIC 2013 event log to sort it out into the three departments of the Volvo IT incident management system. Figure 8(c) depicts the results. We observe that the processing of the BPIC 2013 event log demands more memory, particularly during the initial stages, probably owing to its larger size. Conversely, the Sepsis event log turns out to entail the least expensive run. To verify whether these trends are affected by the dimension of the exchanged data segments, we conducted an additional test to examine the trend of memory usage as the *seg_size* varies with all the aforementioned event logs. Notably, the polylines displayed in Fig. 8(d) indicate a linear increment of memory occupation until a breakpoint is reached. After that, the memory in use is steady. These points, marked by vertical dashed lines, correspond to the *seg_size* value that allows the provider's segments to be contained in a single data segment.

Scalability. In this paragraph, we examine the scalability of the *Secure Miner*, focusing on its capacity to efficiently manage an increasing workload in the presence of limited memory resources. We implemented three distinct test configurations gauging runtime memory usage as variations of our motivating scenario log. In particular, we considered (I) the maximum number of events per case, (II) the number of cases $|\widehat{CID}|$, and (III) the number of provisioning organizations $|\widehat{O}|$ as independent integer variables. To conduct the test on the maximum number of events, we added a loop back from the final to the initial activity of the process model, progressively increasing the number of iterations $2 \leq x_G \leq 16$ at a step of 2, resulting in $18 + 16 \cdot (x_G - 1)$ events. Concerning the test on the

number of cases, we simulated additional process instances so that $|\widehat{CID}| = 2^{x_{cid}}$ having $x_{cid} \in \{7, 8, \dots, 13\}$. Finally, for the assessment of the number of organizations, the test necessitated the distribution of the process model activities' into a variable number of pools, each representing a different organization ($|\widehat{O}| \in \{1, 2, \dots, 8\}$). We parameterized the above configurations with three segment sizes (in KiloBytes): $seg_size \in \{100, 1000, 10000\}$ for tests **I** and **II**, and $seg_size \in \{100, 500, 1000\}$ for test **III** (the range is reduced without loss of generality to compensate the partitioning of activities into multiple organizations). To facilitate a more rigorous interpretation of the output trends across varying seg_size configurations, we employ two well-known statistical measures. As a primary measure of goodness-of-fit, we employ the coefficient of determination R^2 [6], which assesses the degree to which the observed data adheres to the linear (R_{lin}^2) and logarithmic (R_{log}^2) regressions derived from curve fitting approximations. To further delve into the analysis of trends with a high R_{lin}^2 , we consider the slope $\hat{\beta}$ of the approximated linear regression [5].

Table 9(d) lists the measurements we obtained. We describe them to elucidate the observed patterns. Figure 9(a) depicts the results of test **I**, focusing on the increase of memory utilization when the number of events in the event logs grows. We observe that the memory usage for seg_size 100 and 1000 (depicted by green and lilac lines, respectively) are quite similar, whereas the setting with seg_size 10,000 (blue line) exhibits significantly higher memory usage. For the settings with seg_size 100 and 1000, R_{lin}^2 approaches 1, signifying an almost perfect approximation of the linear relation, against lower R_{log}^2 values. In these test settings, $\hat{\beta}$ is very low yet higher than 0, thus indicating that memory usage is likely to continue increasing as the number of max events grows. The configuration with seg_size 10,000 yields a higher R_{log}^2 value, thus suggesting a logarithmic trend and hence a greater likelihood of stabilizing memory usage growth rate as the number of maximum events increases.

In Fig. 9(b), we present the results of test **II**, assessing the impact of the number of cases on the memory consumption. As expected, the configurations with seg_size set to 100 and 1000 exhibit a trend of lower memory usage than settings with seg_size 10,000. The R_{lin}^2 score of the trends with seg_size 100 and 1000 indicate a strong linear relationship between the dependent and independent variables compared to the trend with seg_size 10,000, which is better described by a logarithmic regression ($R_{log}^2 = 0.9303$). For the latter, the R_{log}^2 value is higher than the corresponding R_{lin}^2 thus suggesting that the logarithmic approximation is better suited to describe the trend. Differently from test **I**, the $\hat{\beta}$ score associated with the linear approximations of the trends with seg_size 100 and 1000 approaches 0, indicating that the growth rate of memory usage as the number of cases increases is negligible.

In Fig. 9(c), we present the results of test **III**, on the relation between the number of organizations and the memory usage. The chart shows that memory usage trends increase as provisioning organizations increase for all three segment sizes. The R_{lin}^2 values for the three seg_sizes are very high, indicating a strong positive linear correlation. The test with seg_size 100 exhibits the slowest growth rate, as corroborated by the lowest $\hat{\beta}$ result (0.3184). For the configuration with seg_size 500, the memory usage increases slightly faster ($\hat{\beta} = 0.5174$). With seg_size 1000, the overall memory usage increases significantly faster than the previous configurations ($\hat{\beta} = 0.6102$). We derive from these findings that the Secure Miner may encounter scalability issues when handling settings with a large

number of provisioning organizations. Further investigation is warranted to determine the precise cause of this behavior and identify potential mitigation strategies.

In the next section, we conclude our work and outline future research directions based upon our current findings and the limitations of our approach.

7 Conclusion and Future Work

Confidentiality is paramount in inter-organizational process mining due to the transmission of sensitive data across organizational boundaries. Our research investigates a decentralized secrecy-preserving approach that enables organizations to employ process mining techniques with event logs from multiple organizations while ensuring the protection of privacy and confidentiality. Our solution offers a number of directions to walk along for improvement. We operate under the assumption of fair conduct by data provisioners and do not account for the presence of injected or maliciously manipulated event logs. In addition, we assume that miners and provisioners exchange messages in reliable communication channels where no loss or bit corruption occurs. Our approach relies on certain assumptions about event log data, including the existence of a universal clock for event timestamps, which may not be realistic in situations where organizations are not perfectly synchronized. We aim at enhancing our approach to make it robust to the relaxation of these constraints. Our future work encompasses the integration of usage control policies that specify rules on event logs' utilization. We plan to design policy enforcement and monitoring mechanisms to achieve this goal following the principles already addressed in [7,8]. Our solution embraces process mining techniques in a general way. However, we believe the presented approach is compatible with declarative model representations [14]. Therefore, trusted applications could compute and store the entire set of rules representing a business process, and users may interact with them via trusted queries. Finally, in our implementation, we have focused on process discovery tasks. However, our approach has the potential to seamlessly cover a wider array of process mining functionalities such as *conformance checking*, and *performance analysis* techniques. Implementing them and showing their integrability with our approach paves the path for future research endeavors.

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