



# Hyper-K

David Hadley, University of Warwick



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# Outline

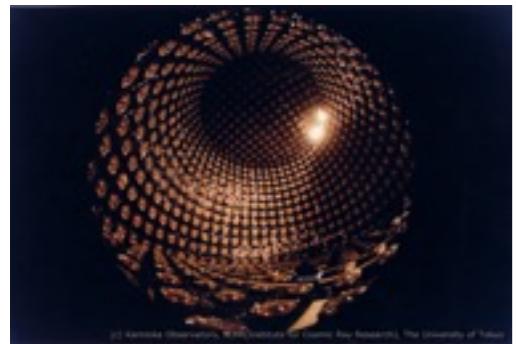


Hyper-K Detector

Long baseline neutrino oscillation status and prospects

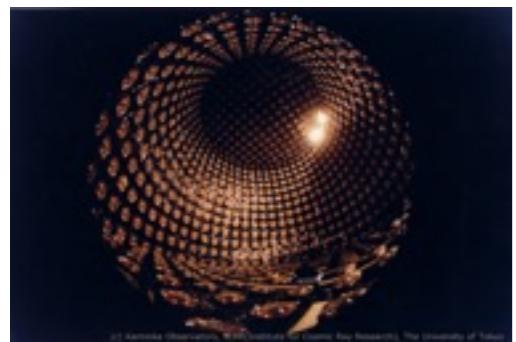
Systematic uncertainty challenges and solutions

# Kamiokande Detectors



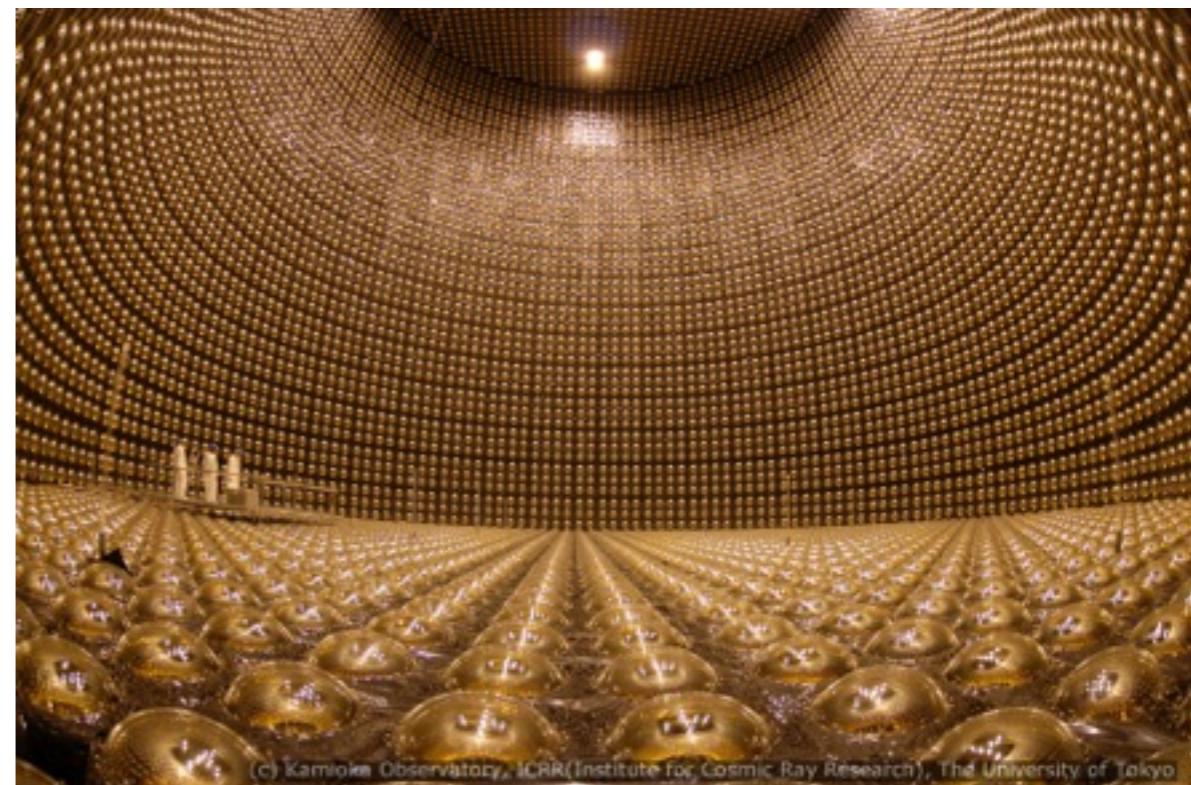
Kamiokande  
680 tonne  
fiducial mass  
(1983)

# Kamiokande Detectors

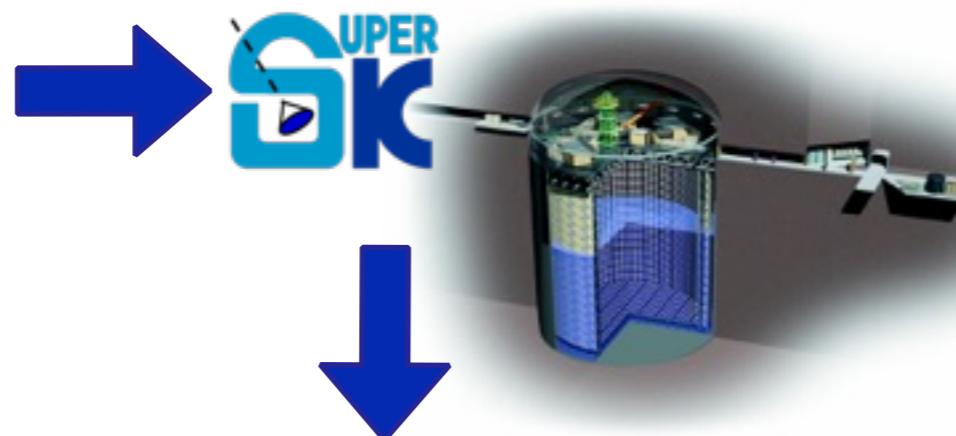
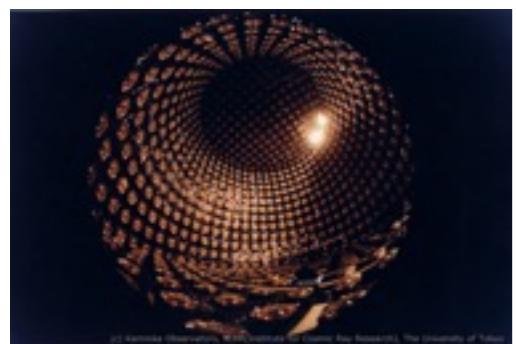


Super-Kamiokande  
22.5kt fiducial mass  
(33x Kamiokande)  
(1996)

Kamiokande  
680 tonne  
fiducial mass  
(1983)

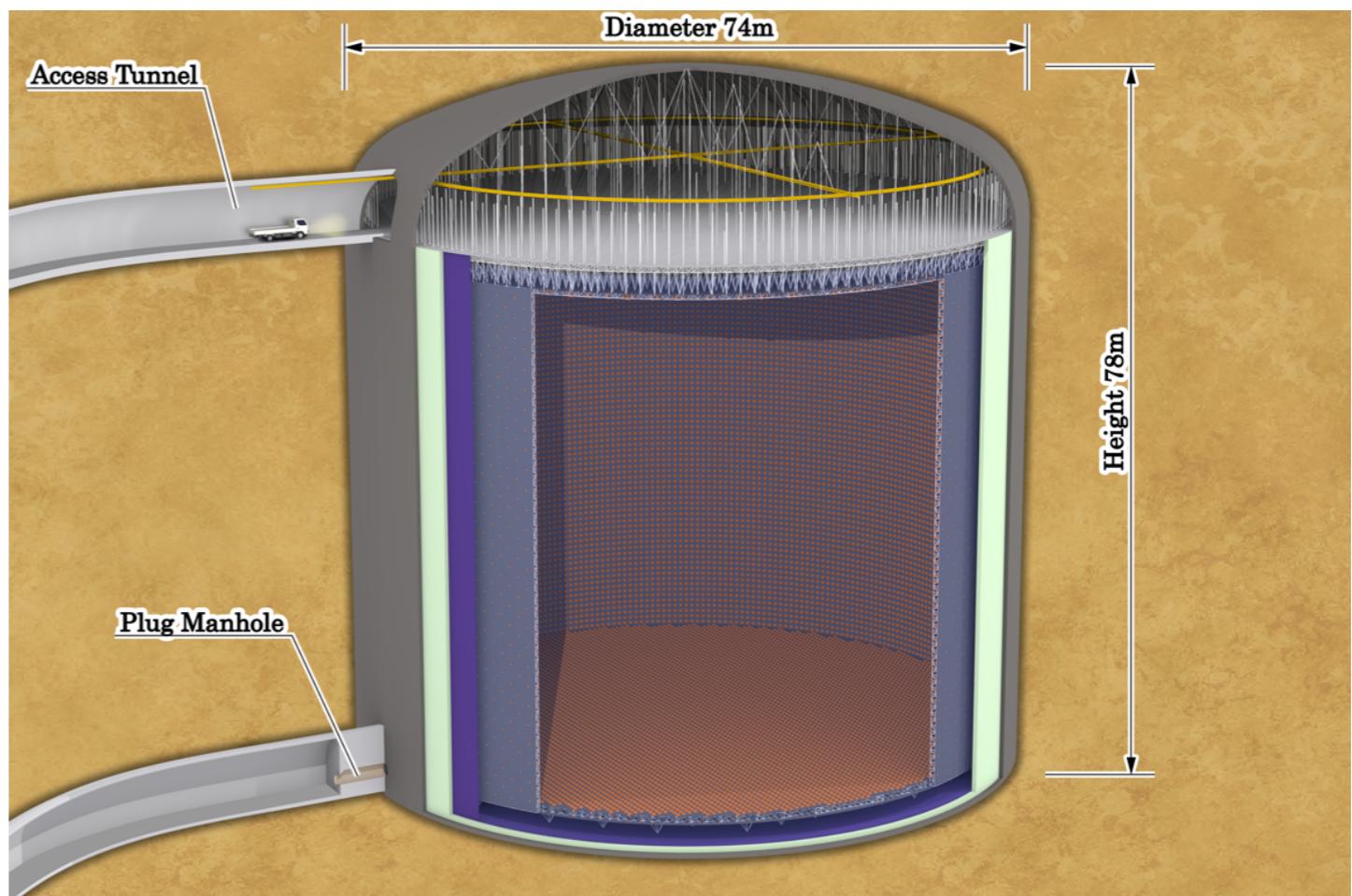


# Kamiokande Detectors



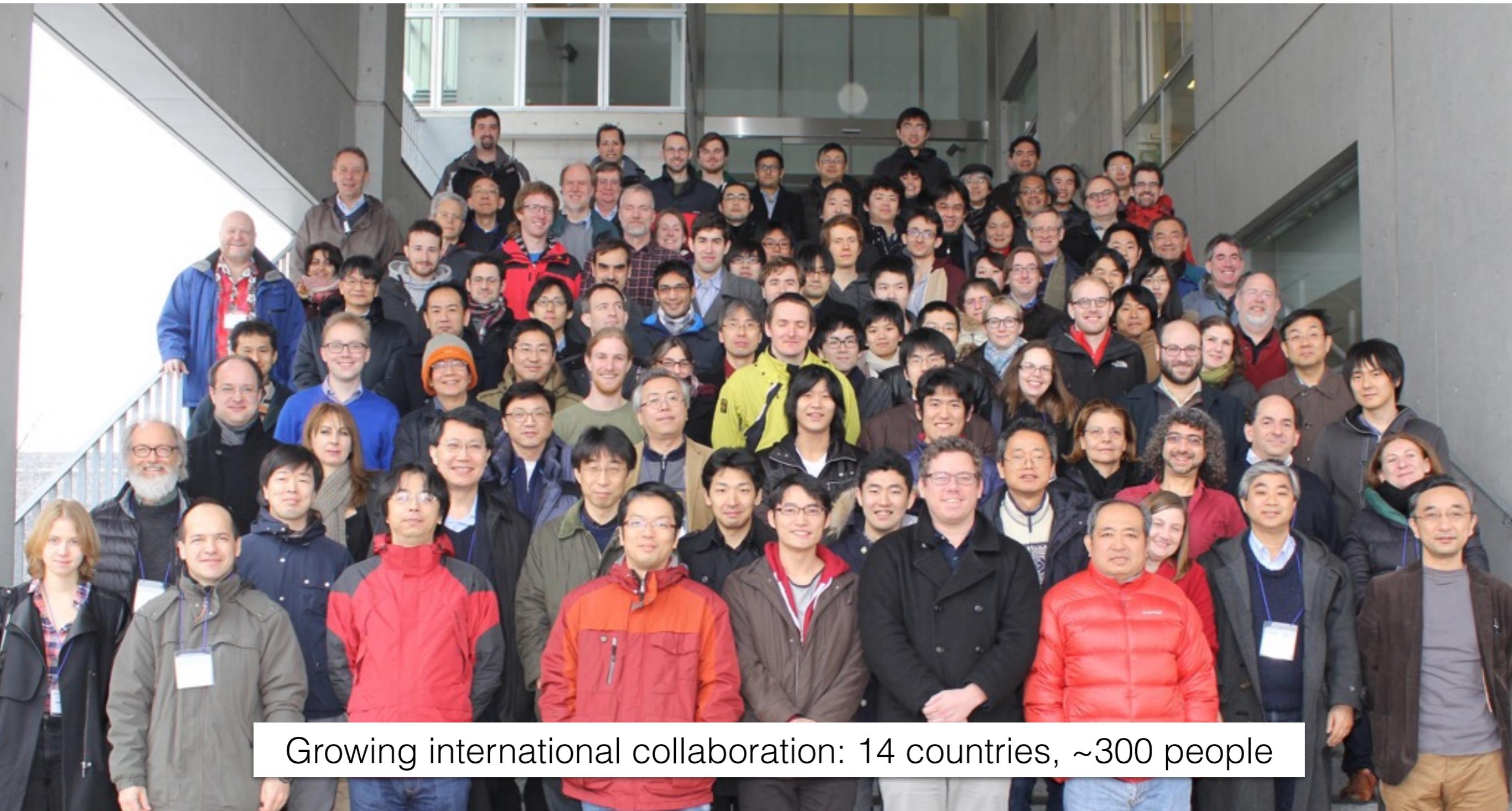
Super-Kamiokande  
22.5kt fiducial mass  
(33x Kamiokande)  
(1996)

Kamiokande  
680 tonne  
fiducial mass  
(1983)



Hyper-Kamiokande  
187 kt fiducial mass per tank  
<sup>5</sup>  
(2026?)

# Hyper-K Collaboration

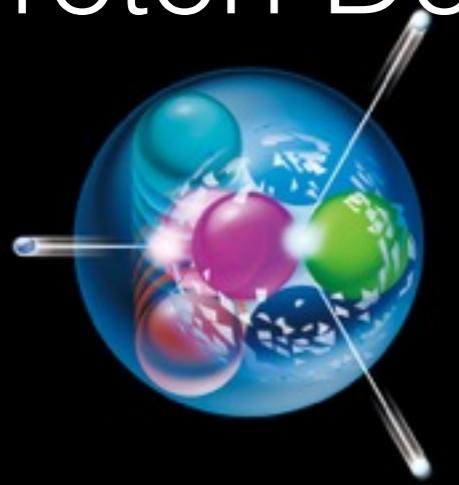


Growing international collaboration: 14 countries, ~300 people



# Physics at Hyper-K

Proton Decay

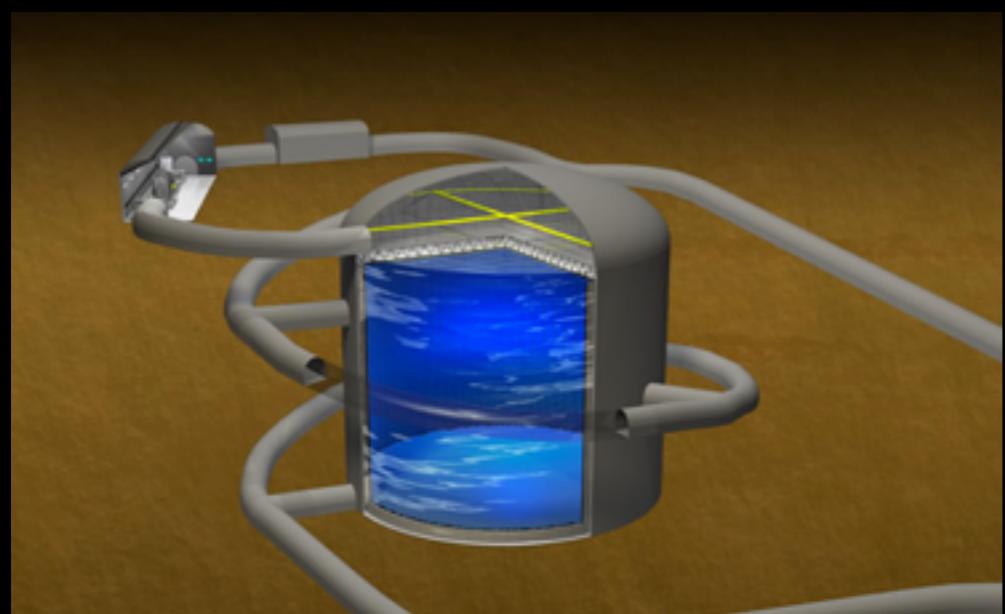
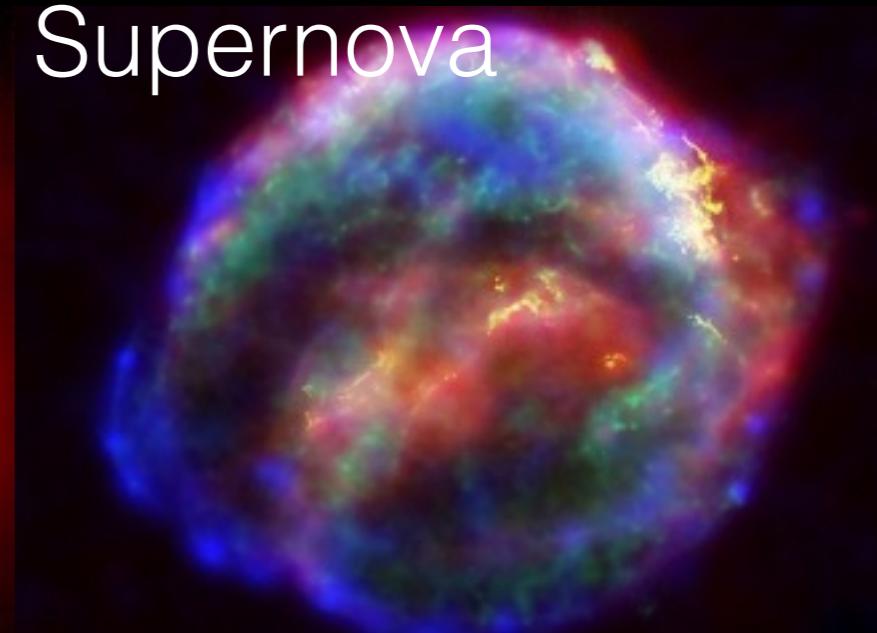


Neutrinos

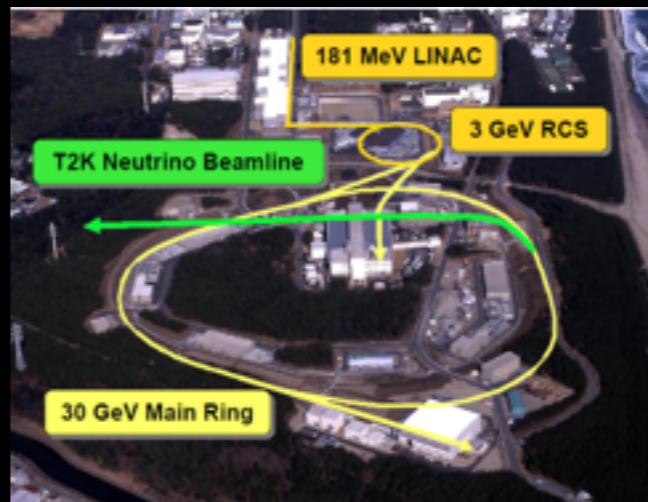
Solar



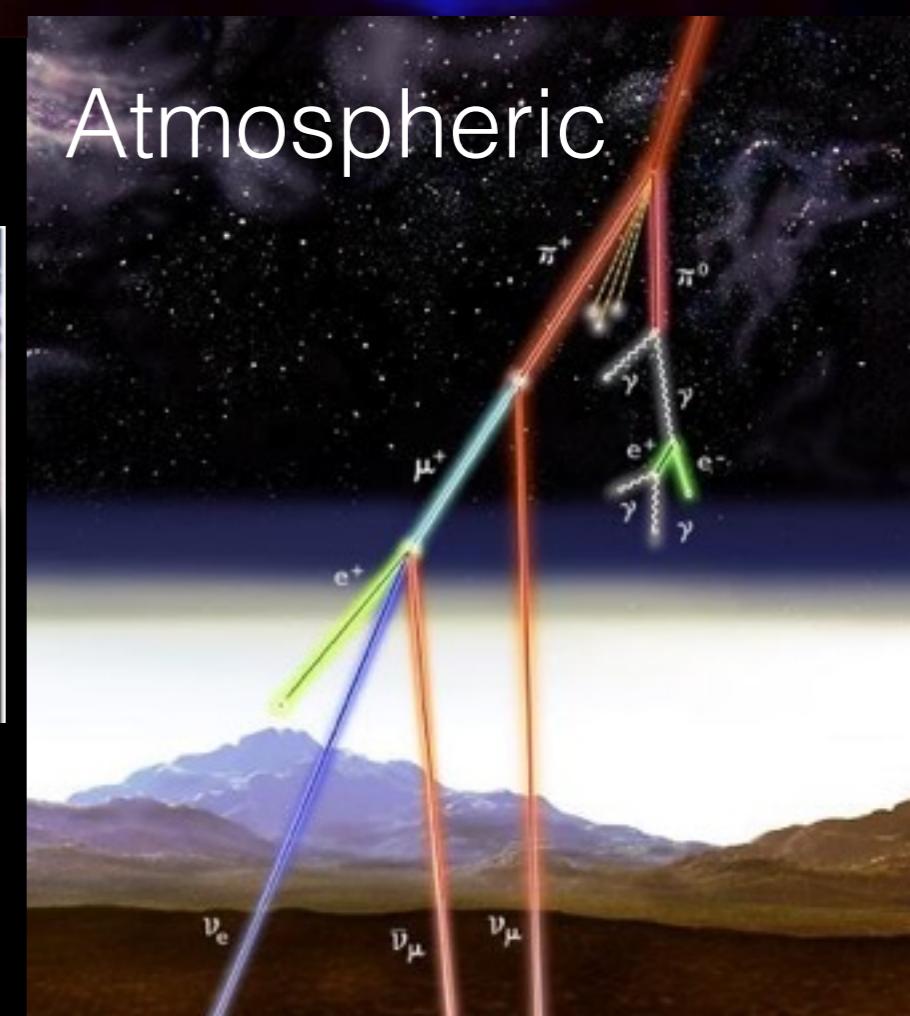
Supernova



Accelerator

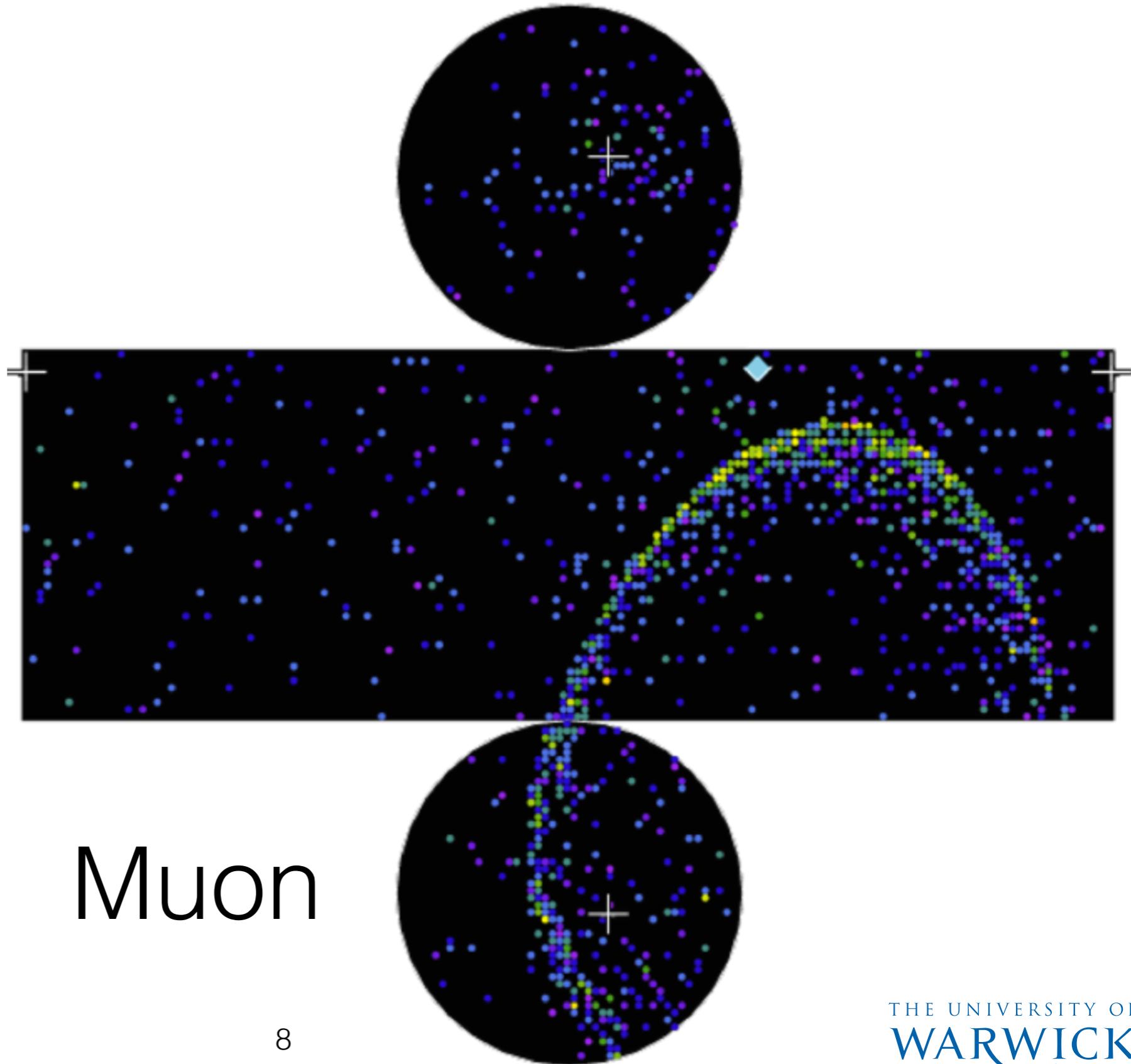


Atmospheric

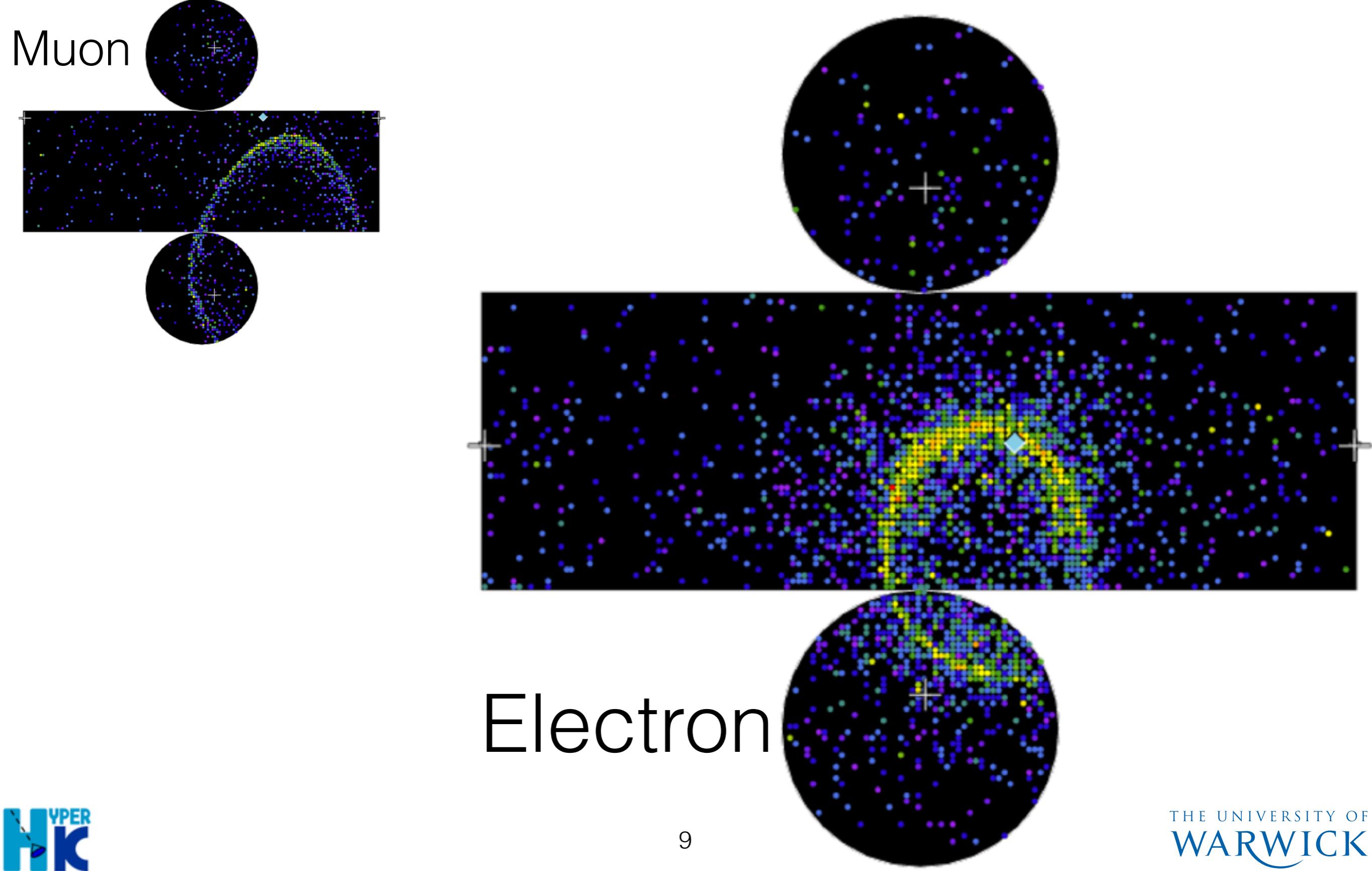


Broad physics programme.

# Water Cherenkov Technique

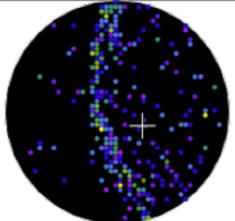
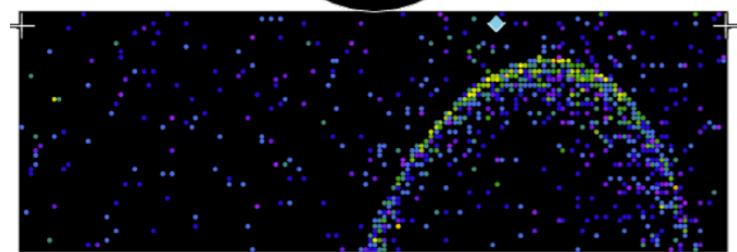
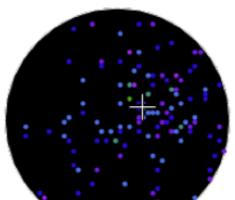


# Water Cherenkov Technique

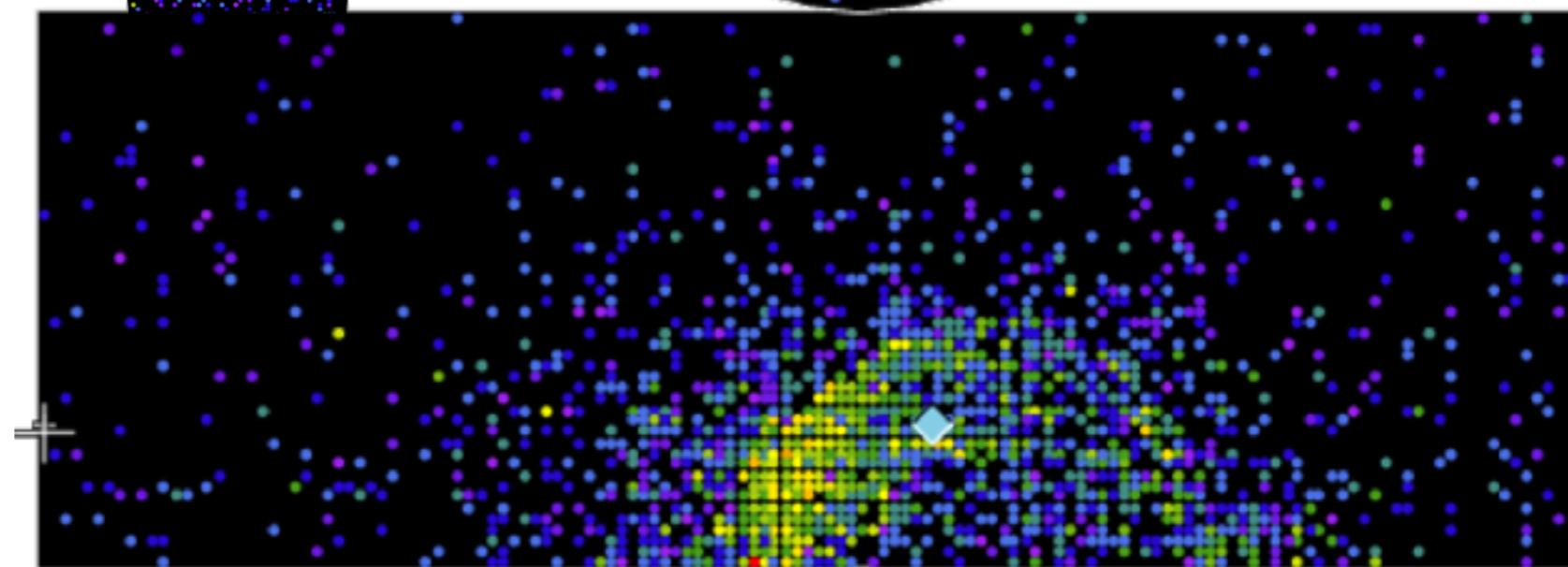
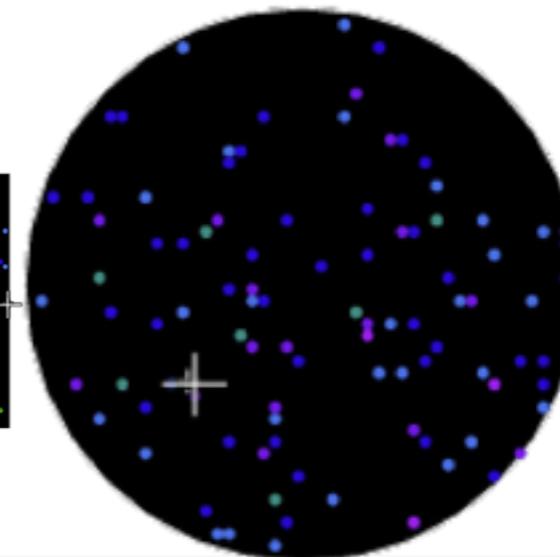
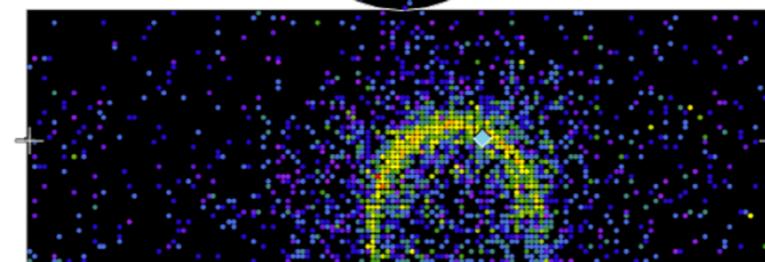
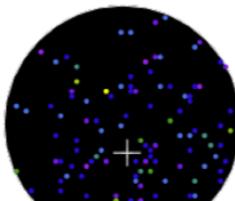


# Water Cherenkov Technique

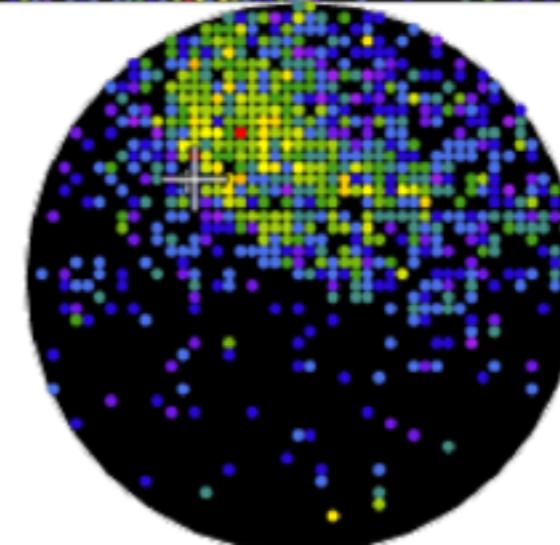
Muon



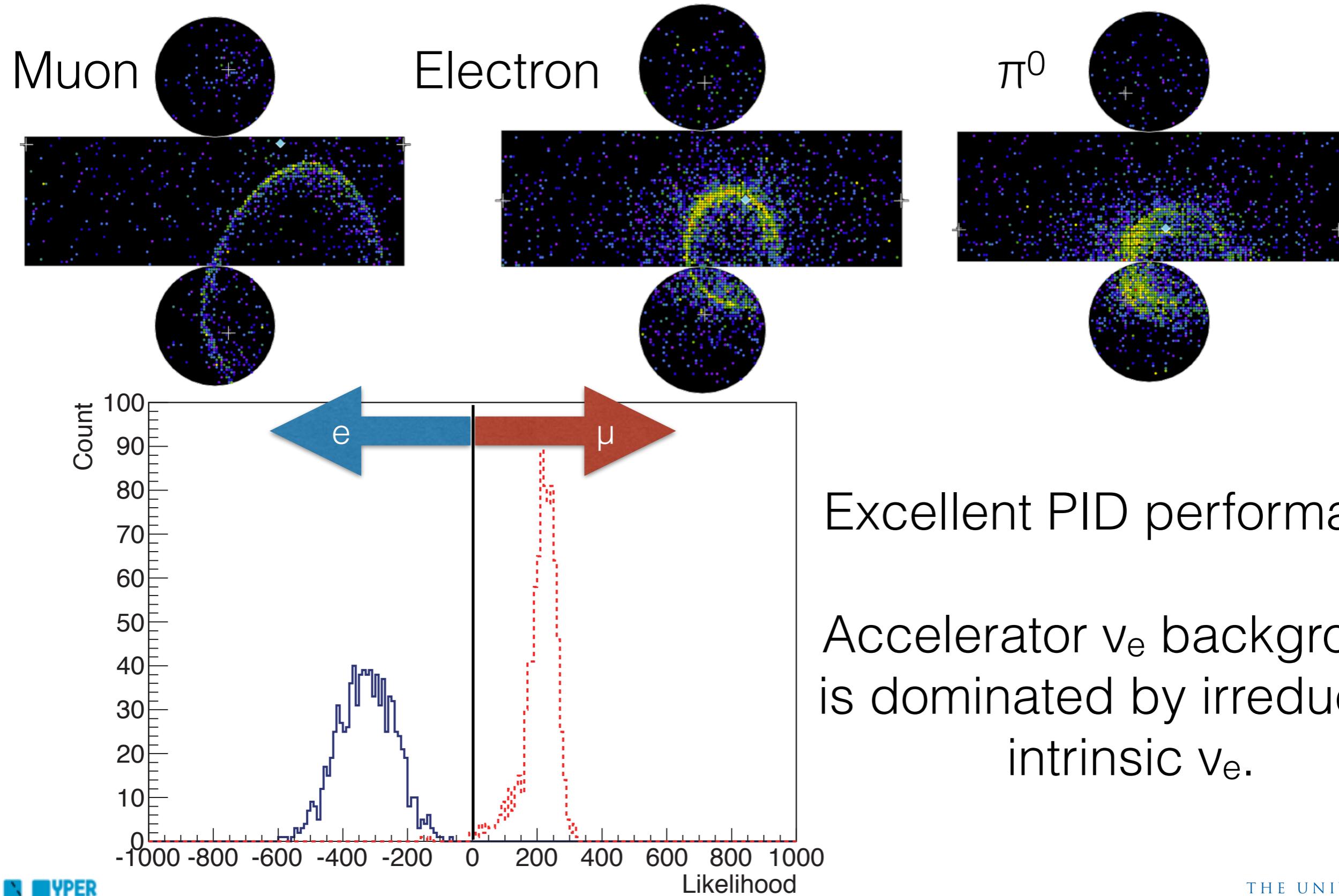
Electron



Neutral Pion



# Water Cherenkov Technique



# Why Water Cherenkov?

## Scalability

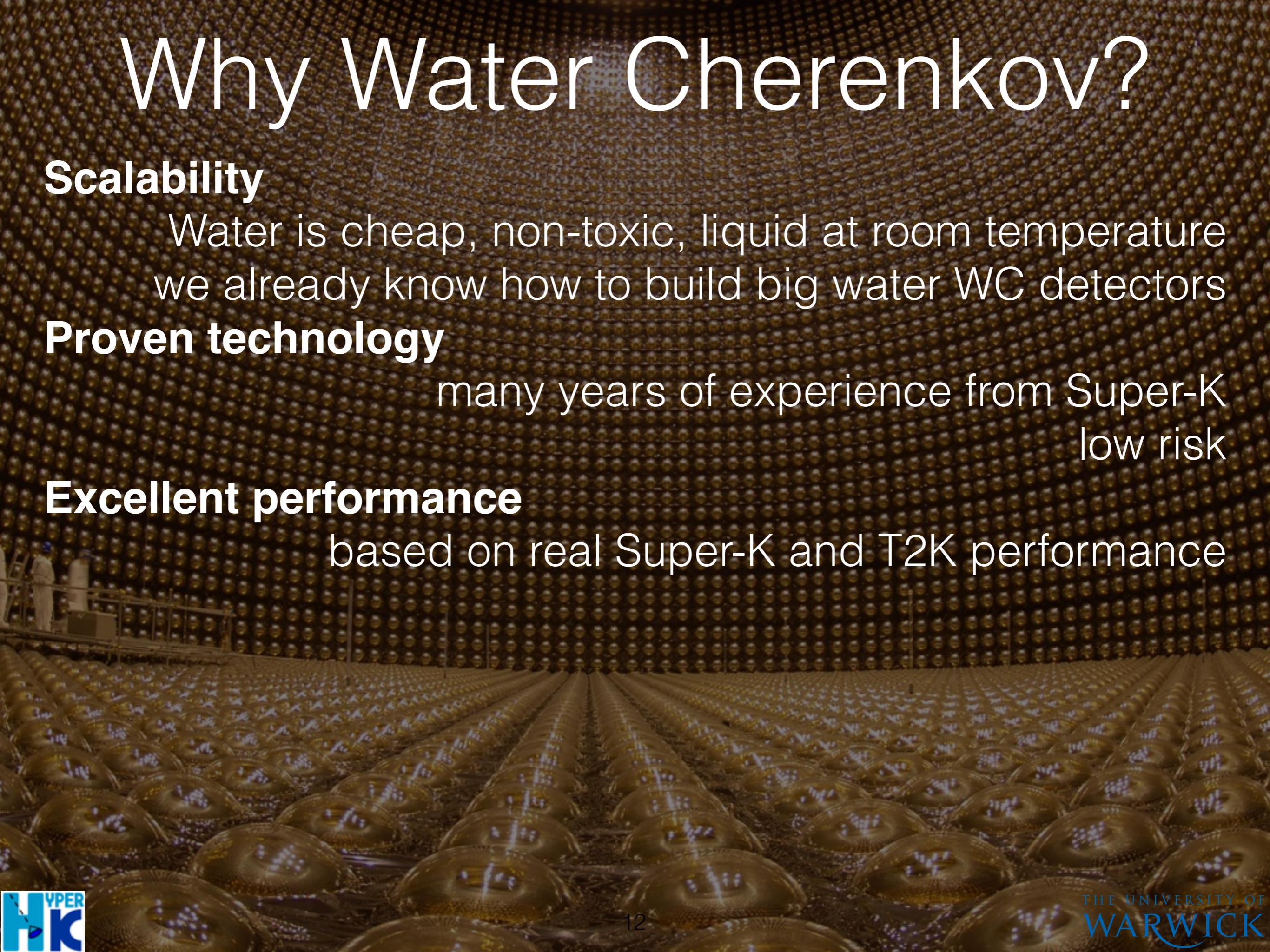
Water is cheap, non-toxic, liquid at room temperature  
we already know how to build big water WC detectors

## Proven technology

many years of experience from Super-K  
low risk

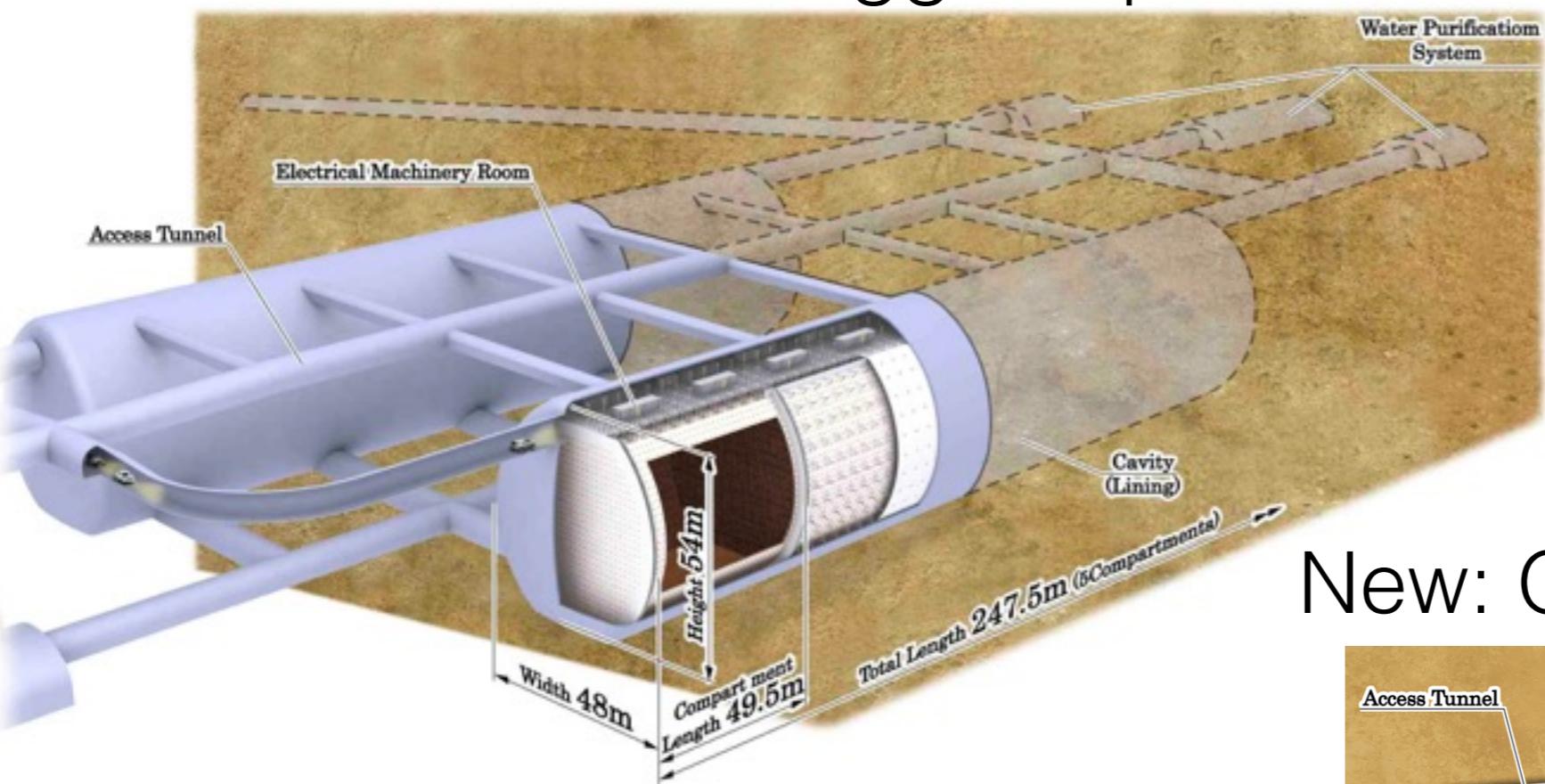
## Excellent performance

based on real Super-K and T2K performance

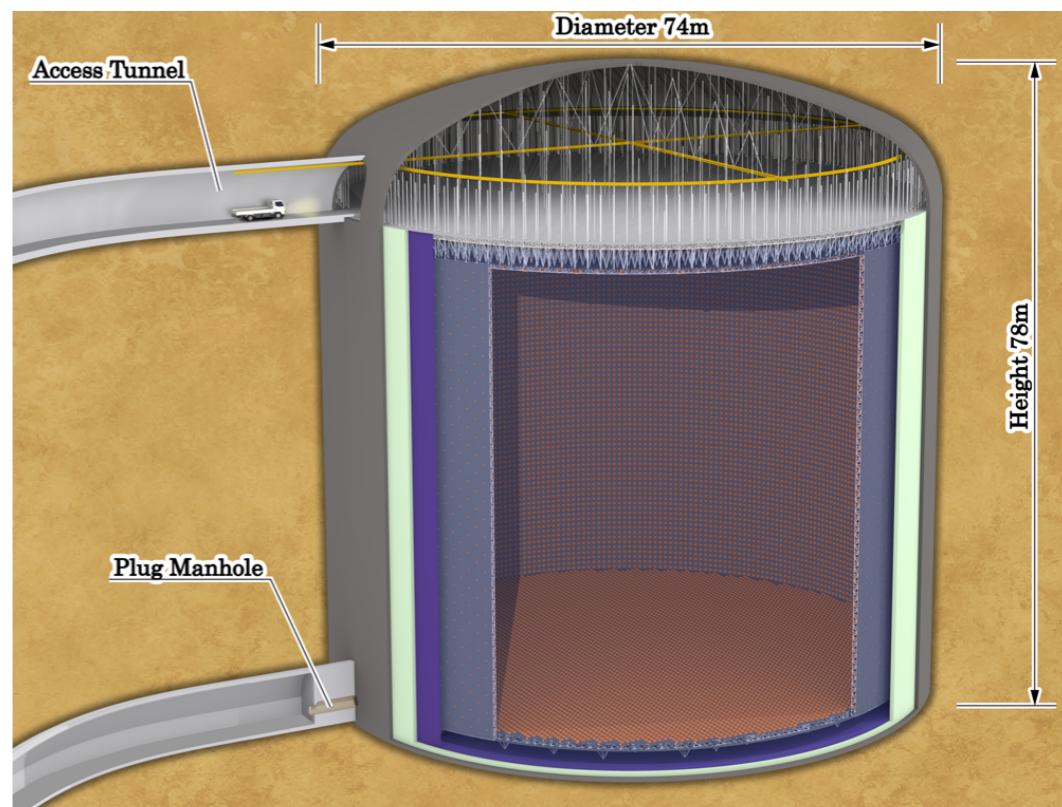


# Tank Design

Old: Horizontal Egg-shaped Tank

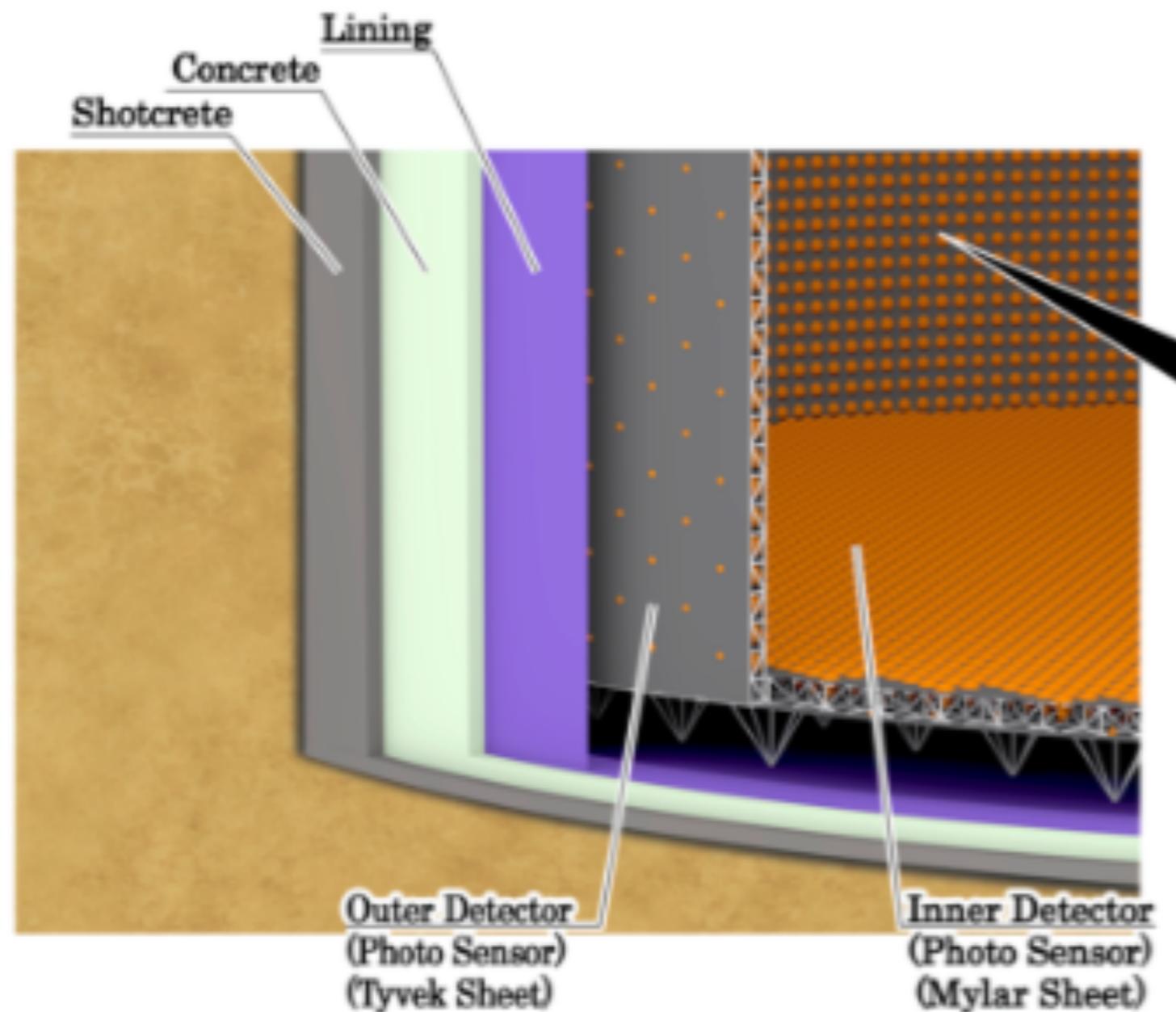


New: Optimised Vertical Tank



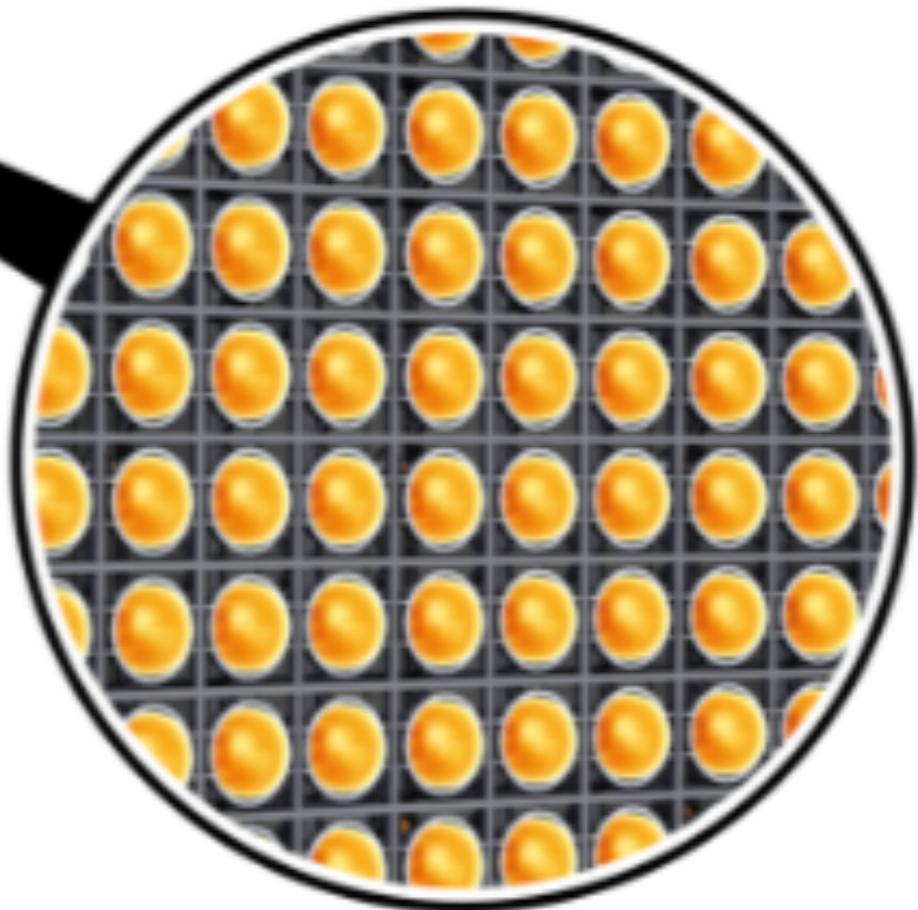
# Tank Design

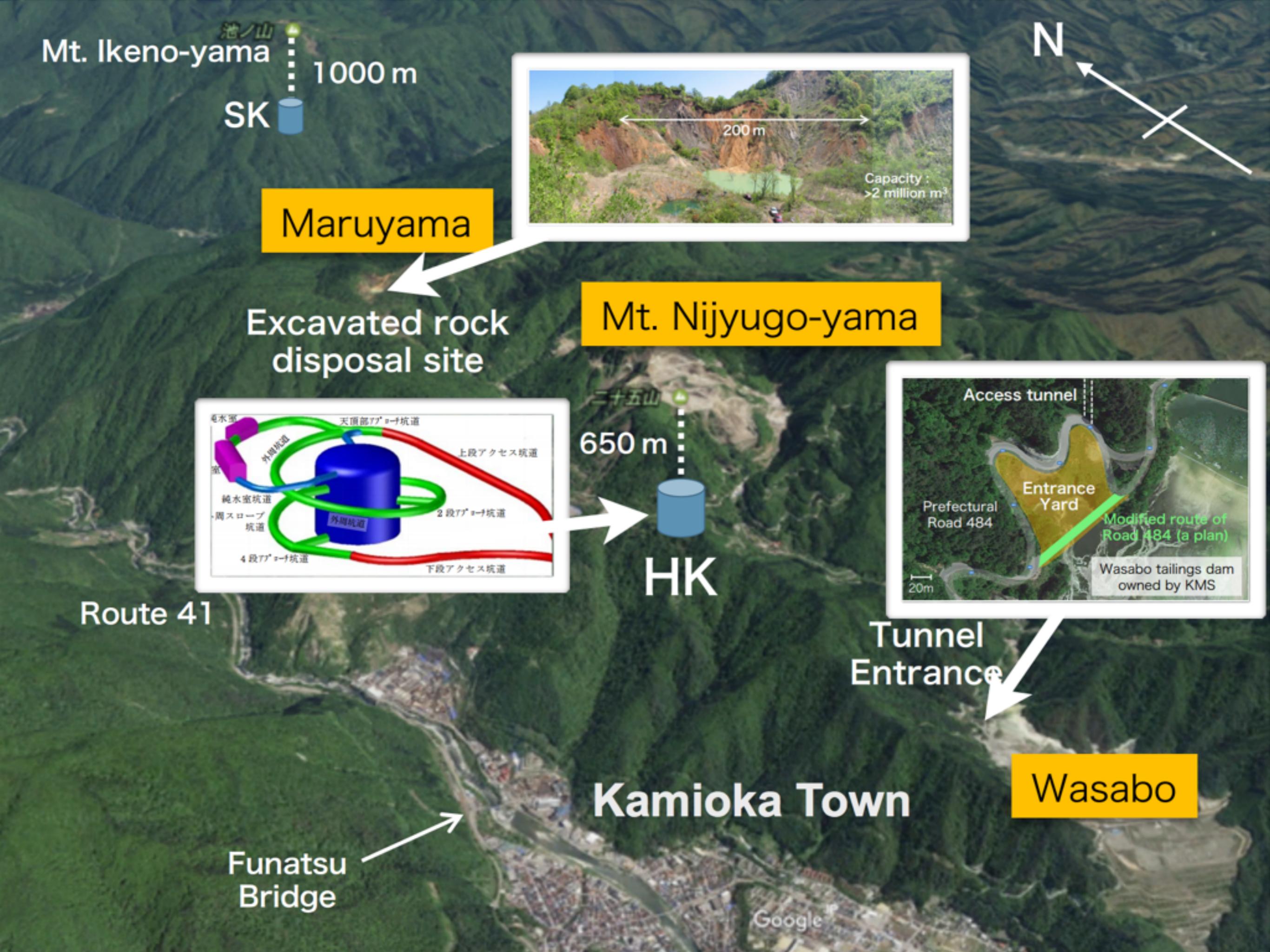
## Structure of bottom part



ID: 40% photo-coverage  
40,000 photo sensors per tank  
OD:

## Photo-Sensors





# Photo Sensors



Super-K PMT

QE 22%  
CE 80%



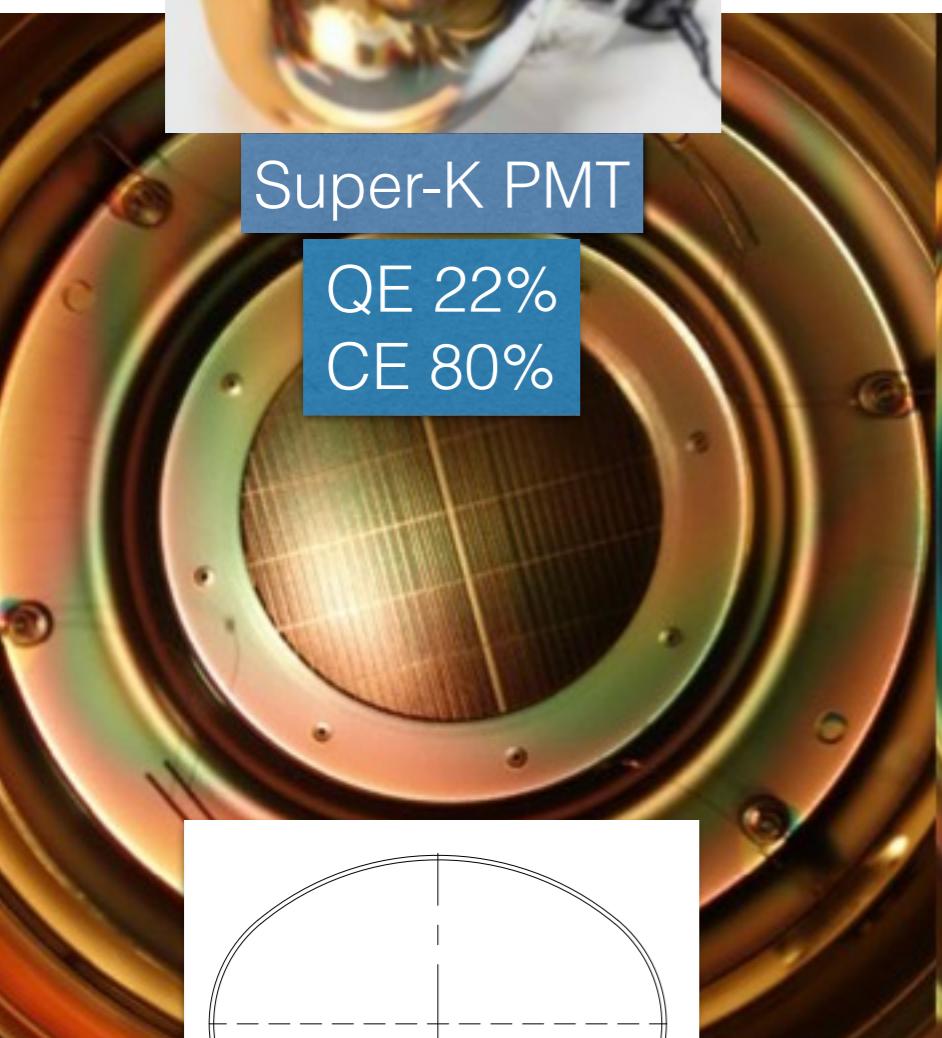
High QE/CE PMT

QE 30%  
CE 93%

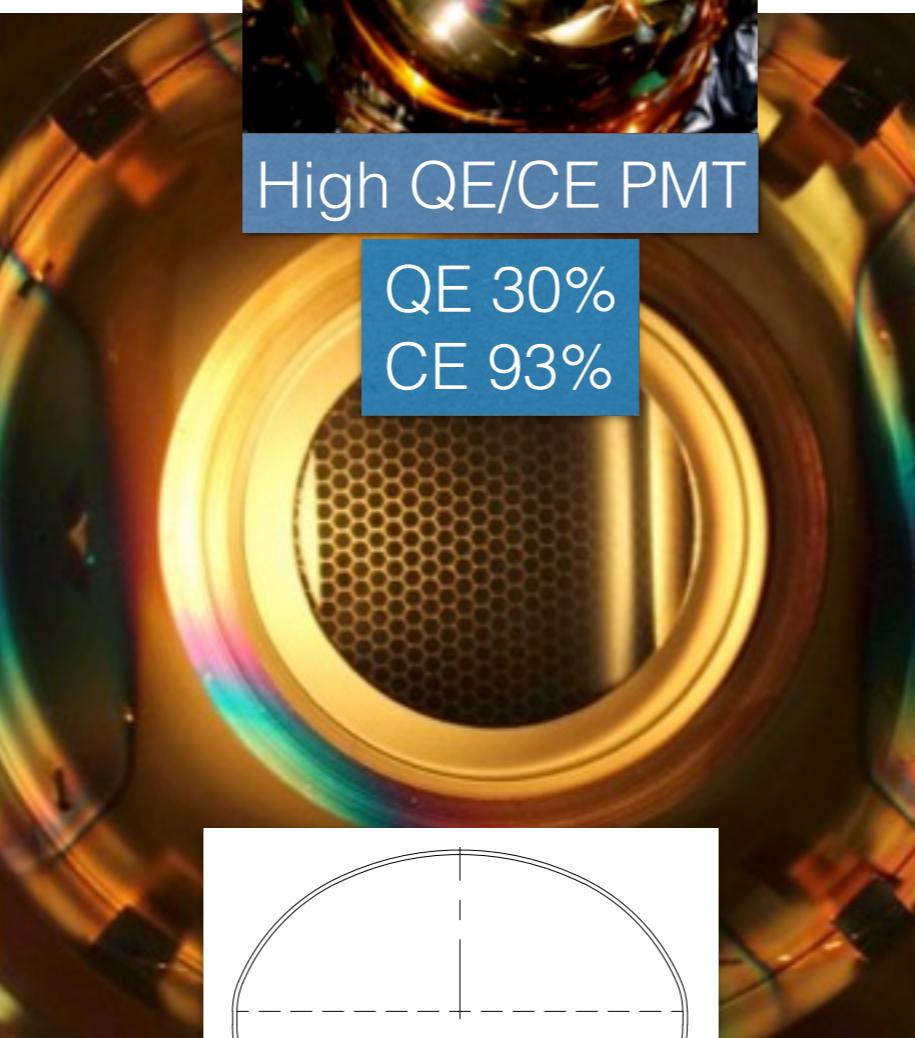


High QE/CE Hybrid PD

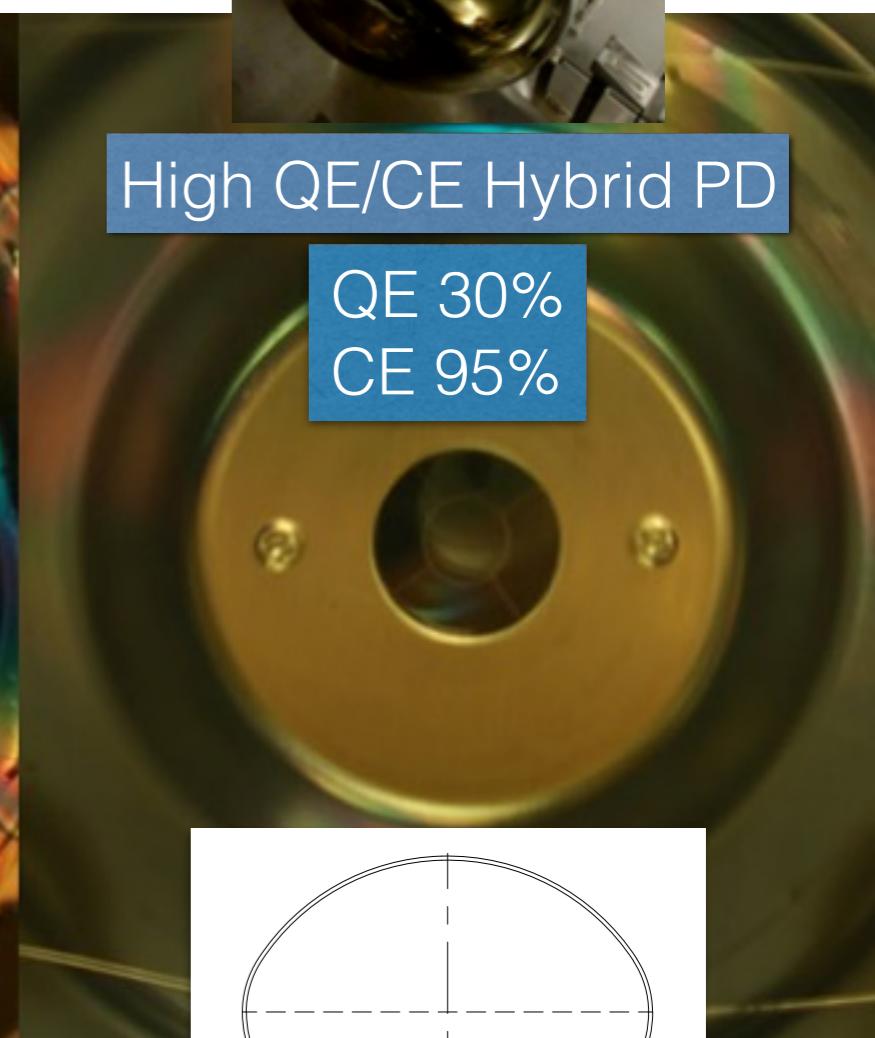
QE 30%  
CE 95%



Venetian blind  
dynode



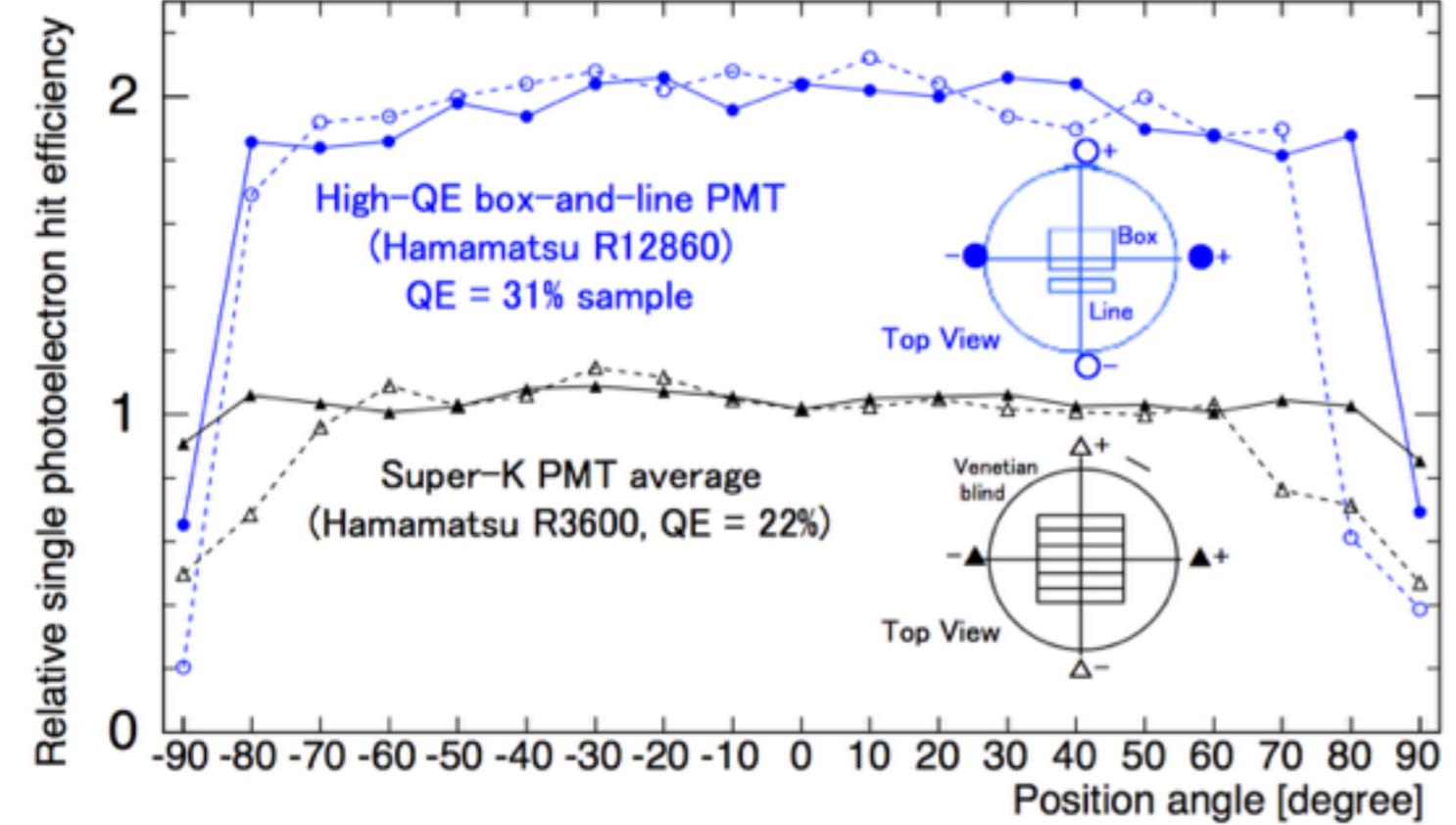
Box and Line  
dynode



Avalanche diode  
UNIVERSITY OF  
HYPER  
ICK

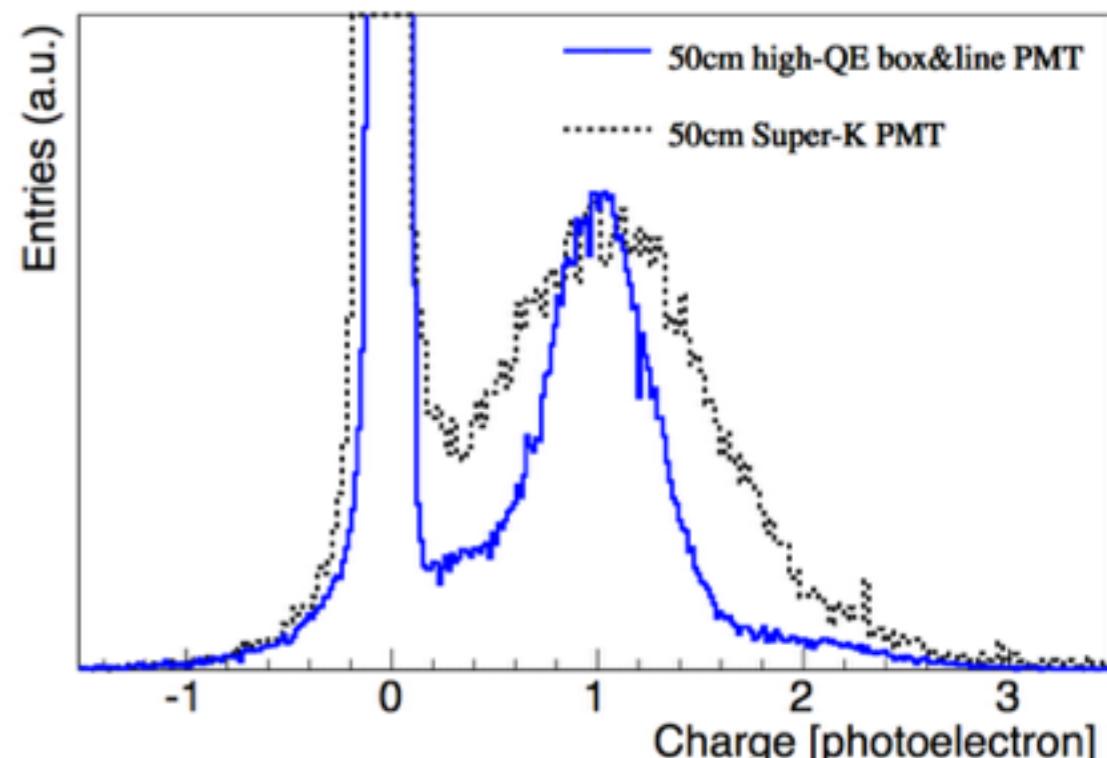
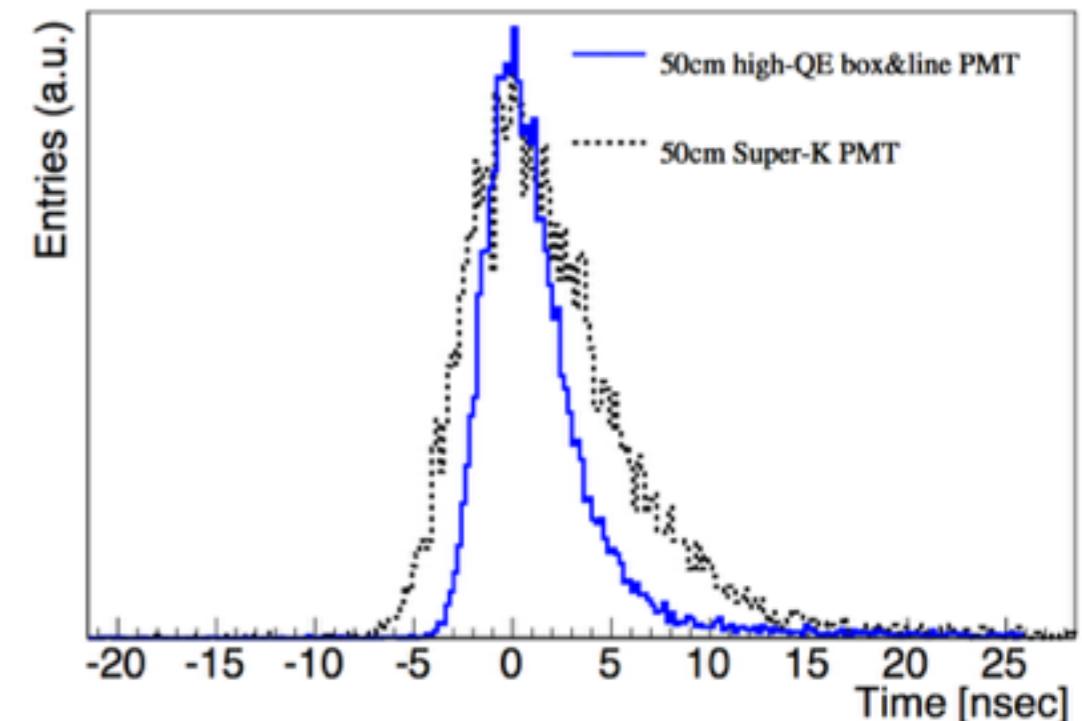
# Photo Sensors

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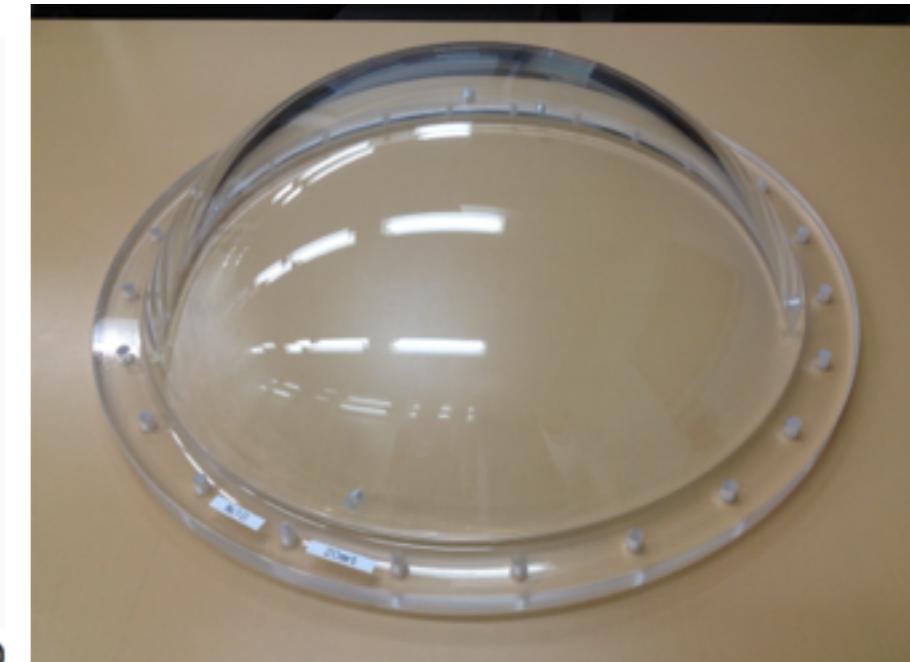
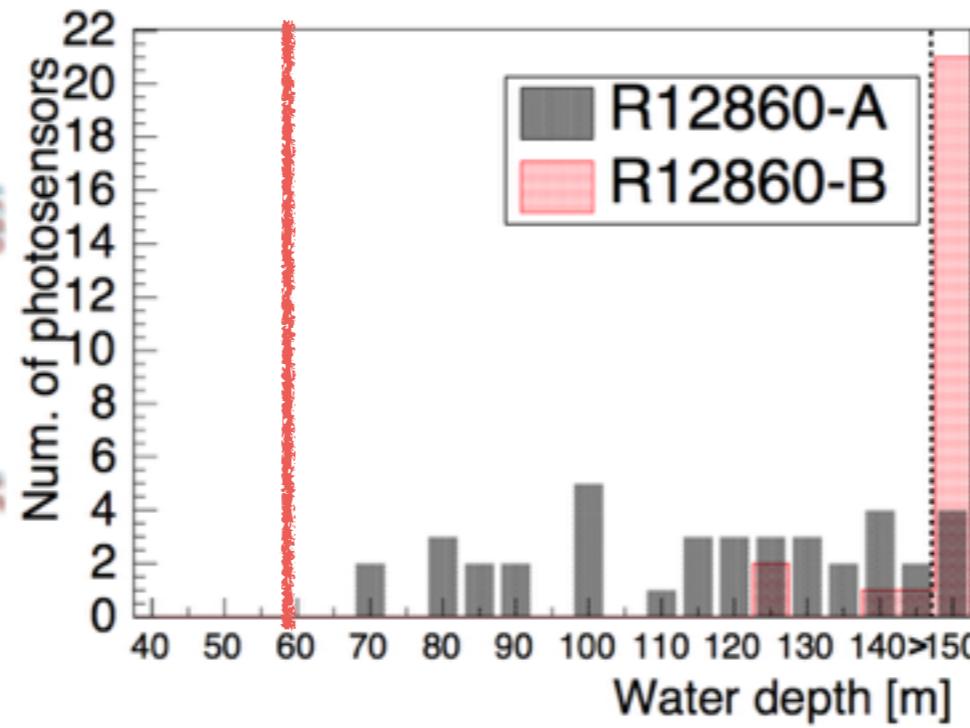
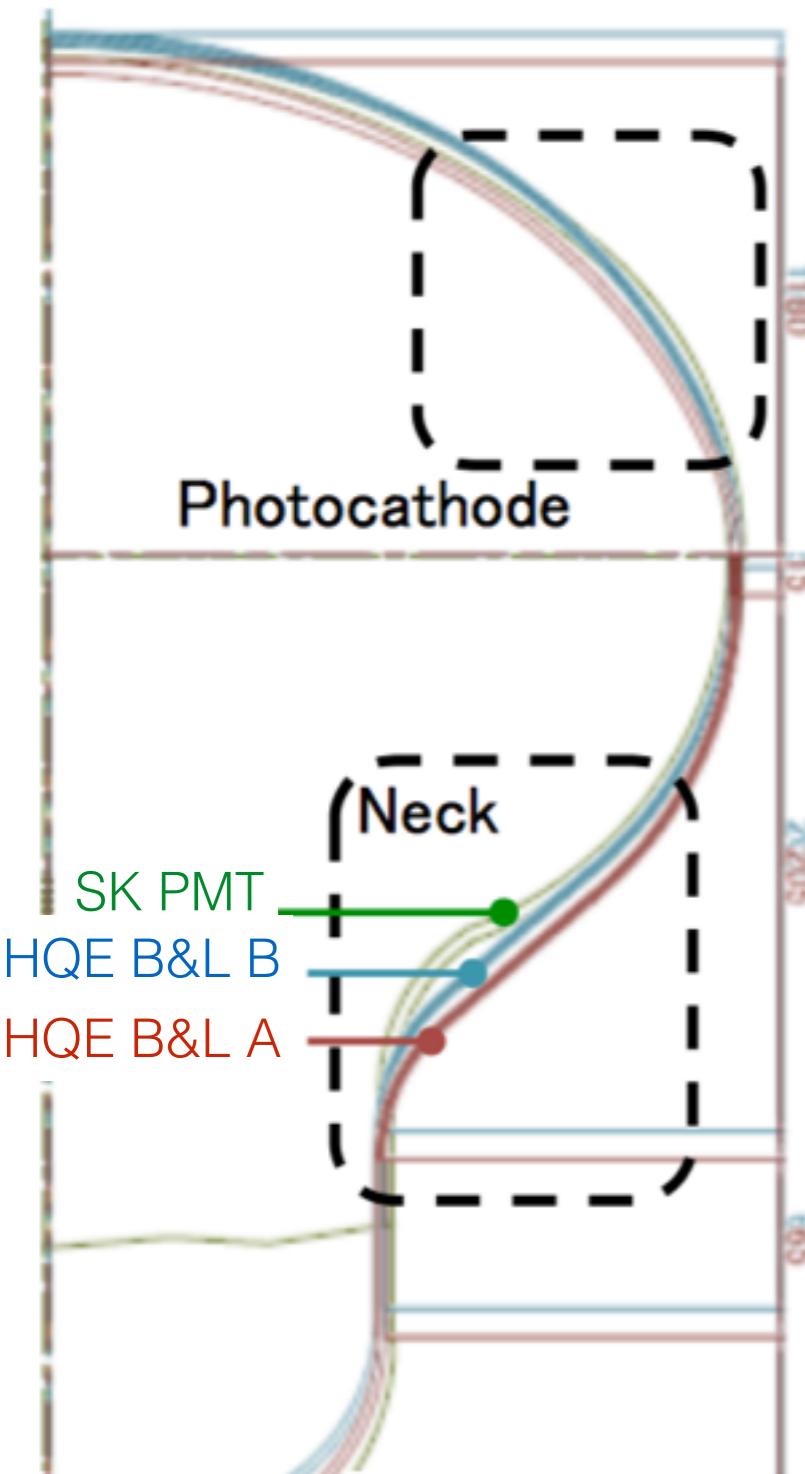


2x improvement in photon detection efficiency

Better timing and charge resolution



# Photo Sensors



Optimised bulb design  
High pressure and implosion tests show  
new PMTs safe for use in HK tank

# Worldwide R&D

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**CERN Neutrino platform**

**Elec. + HV modules in water**

**Trial for communication (RapidIO in FPGA boards)**

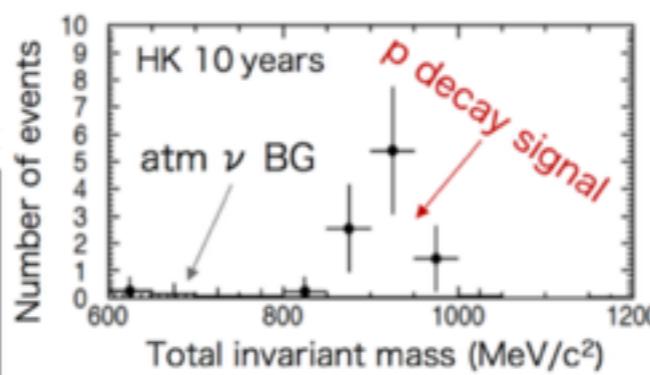
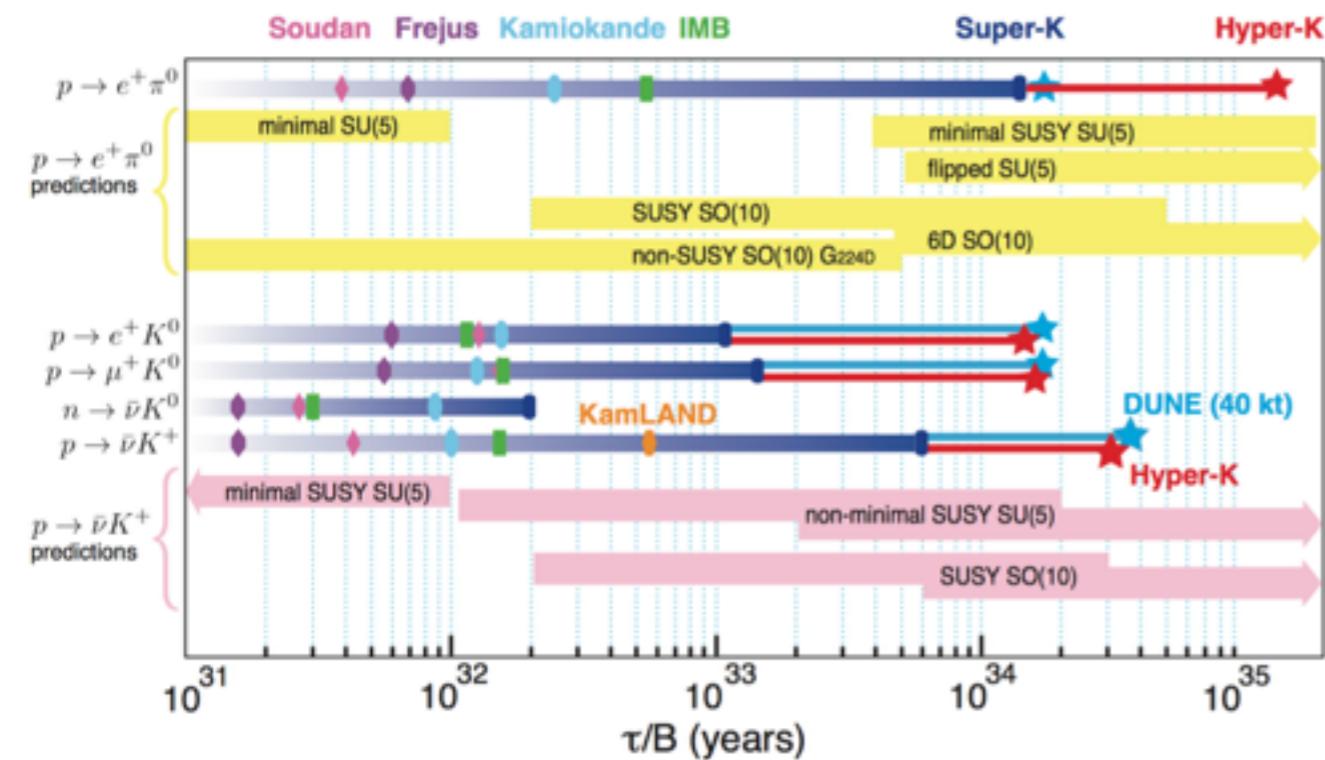
**Compact neutron generator**

IEEE TRANSACTIONS ON PLASMA SCIENCE, VOL. 40, NO. 9, SEPTEMBER 2012

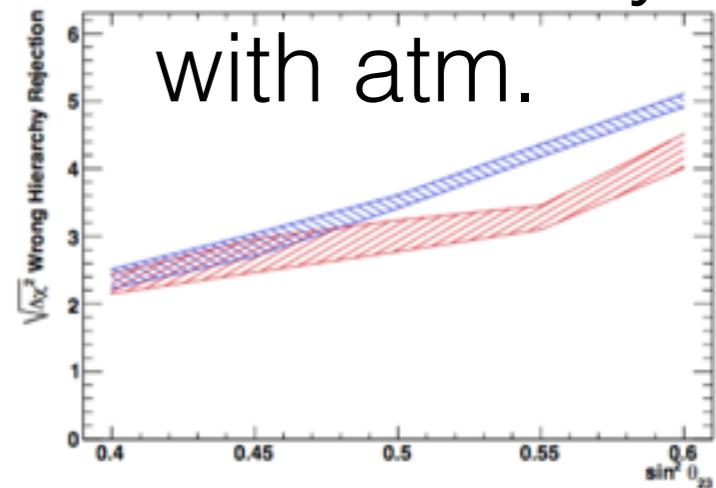
# Lots of Physics with Hyper-K

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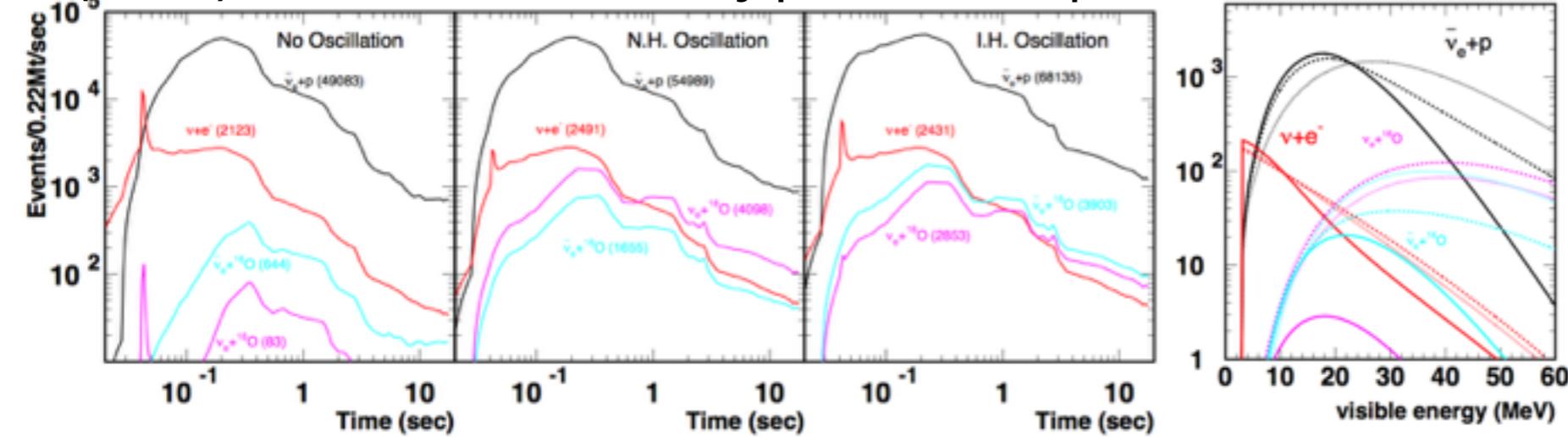
## Proton Decay



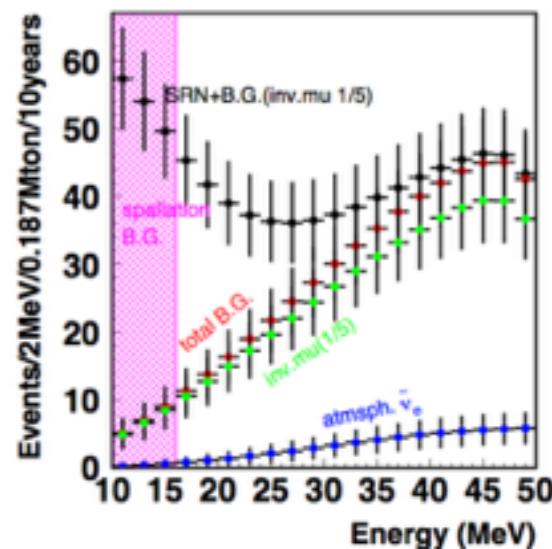
Mass hierarchy with atm.



$O(10^5)$  events from typical Supernova @ 10 kpc



SRN



# Neutrino Oscillations



Weak flavour eigenstates  $\neq$  Mass eigenstates

Neutrinos produced and detected in their weak flavour states

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = U_{\text{MNS}} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

Unitary PMNS mixing matrix  
parameterised with 3 angles  
and **CP violating phase**  
 $\theta_{ij}$ ,  $\delta_{\text{CP}}$

Relative phase difference between due to mass difference,  $\Delta m^2$

Appearance probability:

$$P_{\mu \rightarrow e} \approx \sin^2 \theta_{23} \cdot \sin^2 2\theta_{13} \cdot \sin^2 \left( \frac{\Delta m^2 L}{4E_\nu} \right)$$

+ higher order terms involving  $\delta_{\text{CP}}$

# Neutrino Oscillations

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T2K



**J-PARC-chan**  
lives in Tokai-mura, Naka-gun, Ibaraki, Japan.

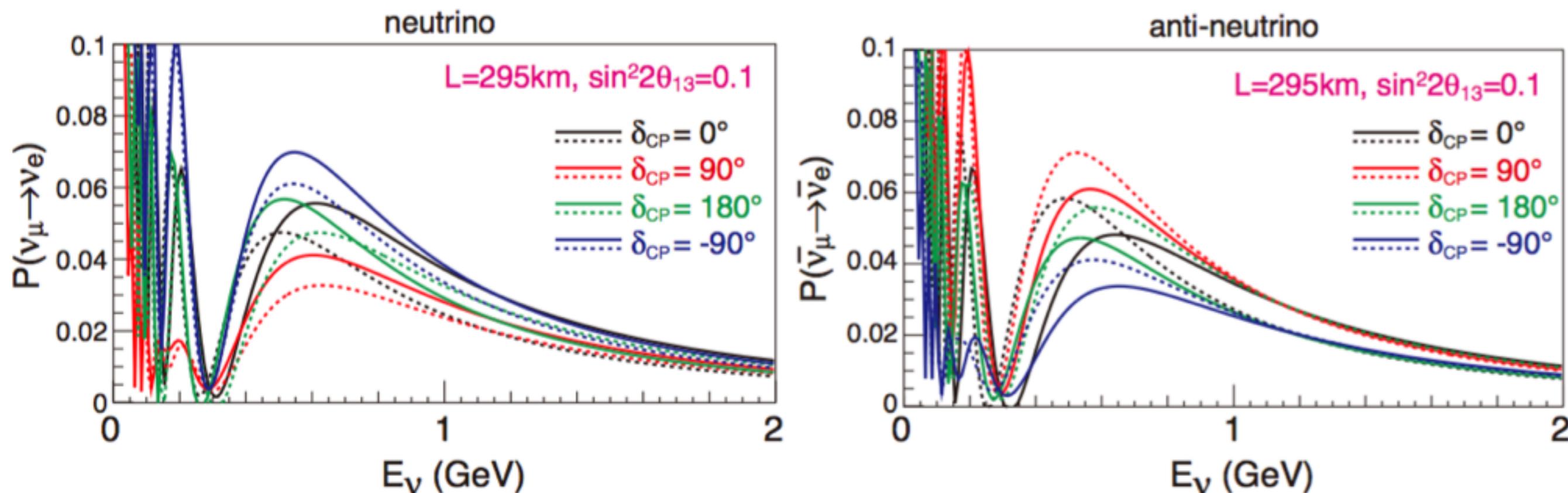


**Super-Kamiokande-chan**  
lives in Kamioka-cho, Hida-city, Gifu, Japan.



# Neutrino Oscillations

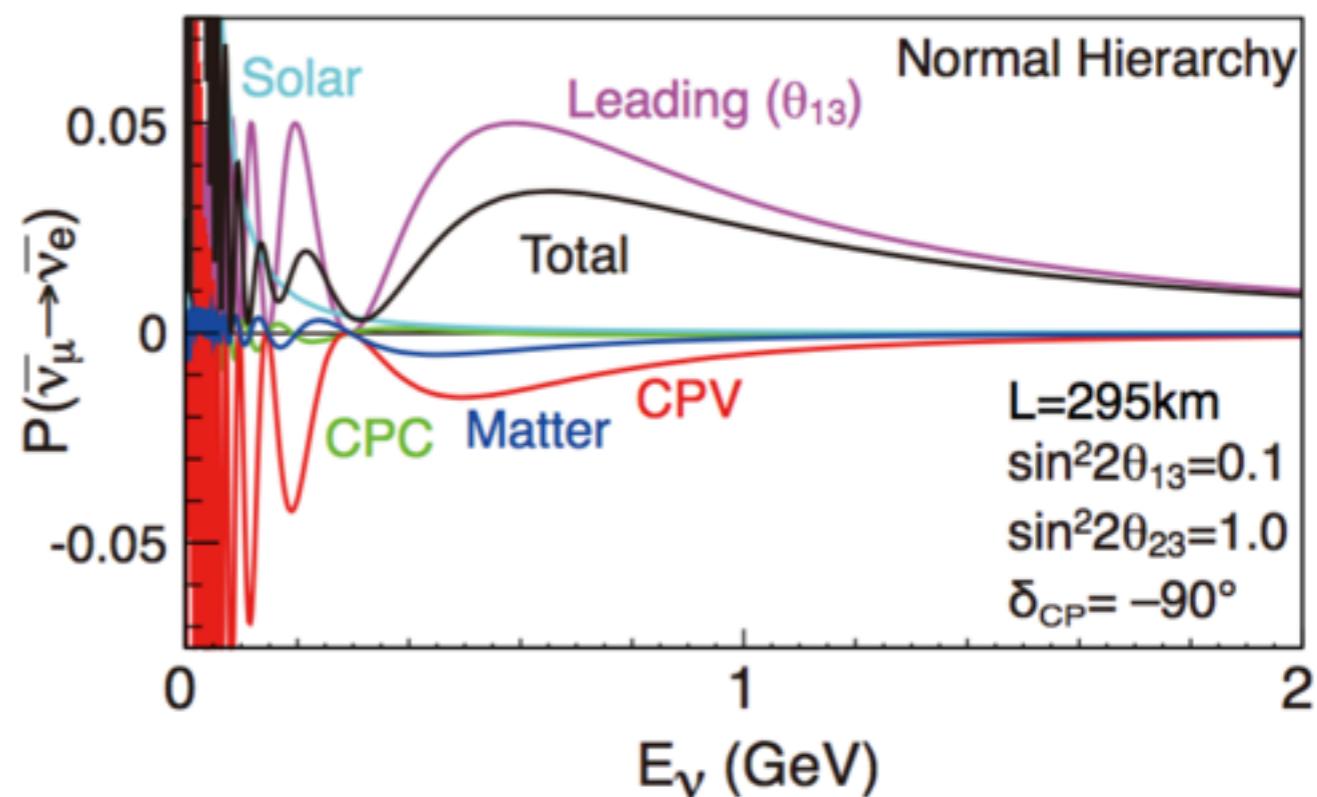
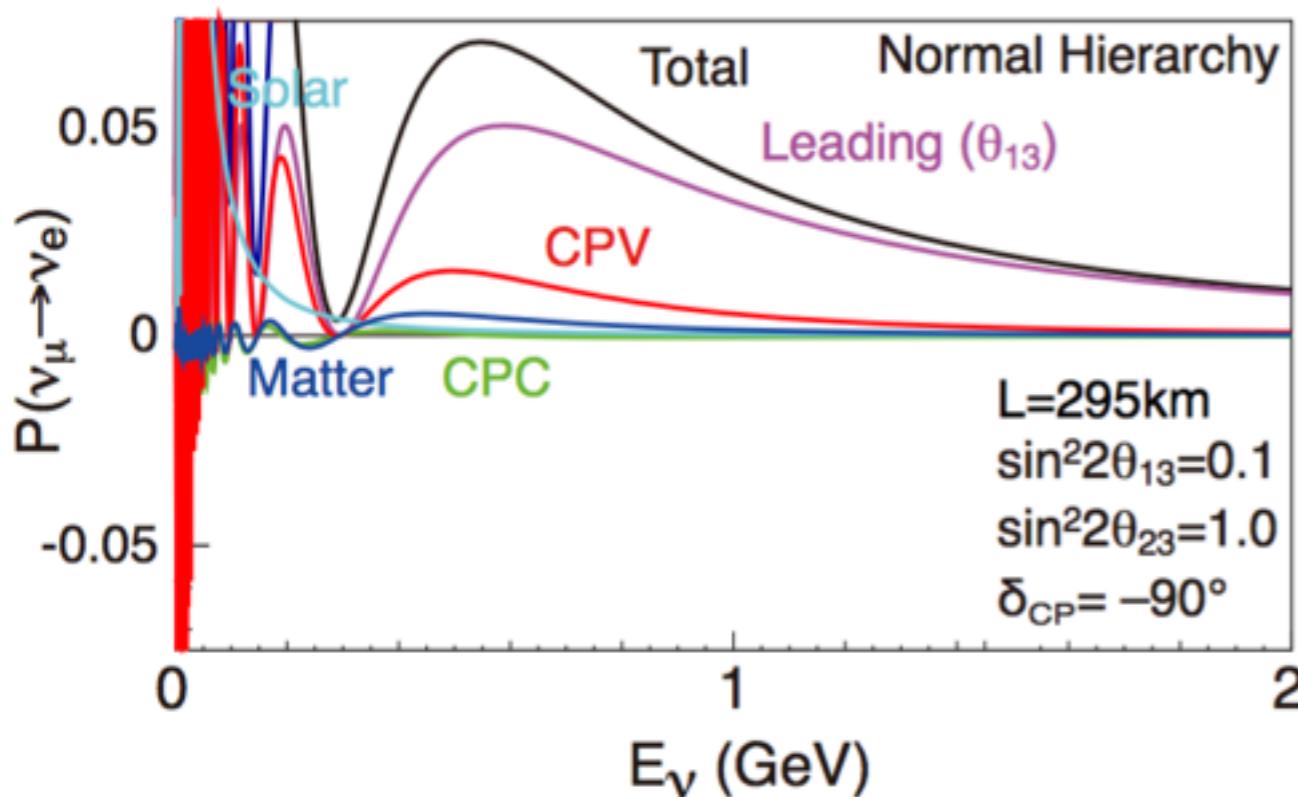
Typically perform experiment at fixed  $L$  with wide range of  $E$



CP violation  $\sim 20\%$  effect at 1st oscillation maximum  
Much larger effect at 2nd oscillation maximum

# Neutrino Oscillations

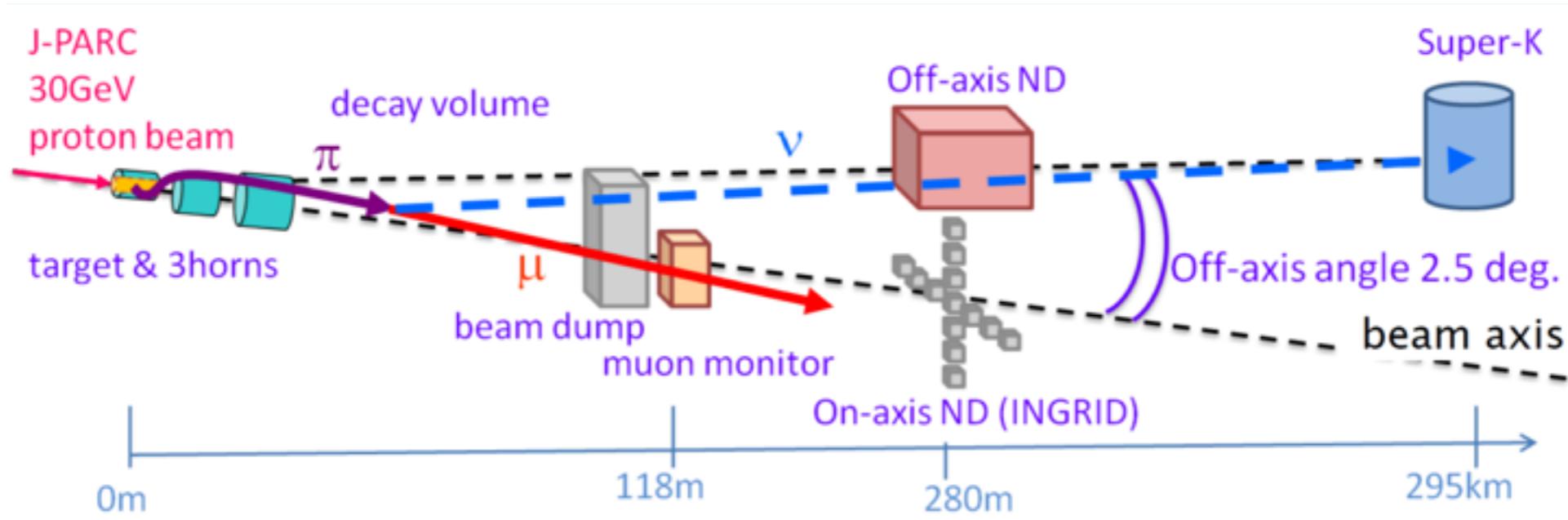
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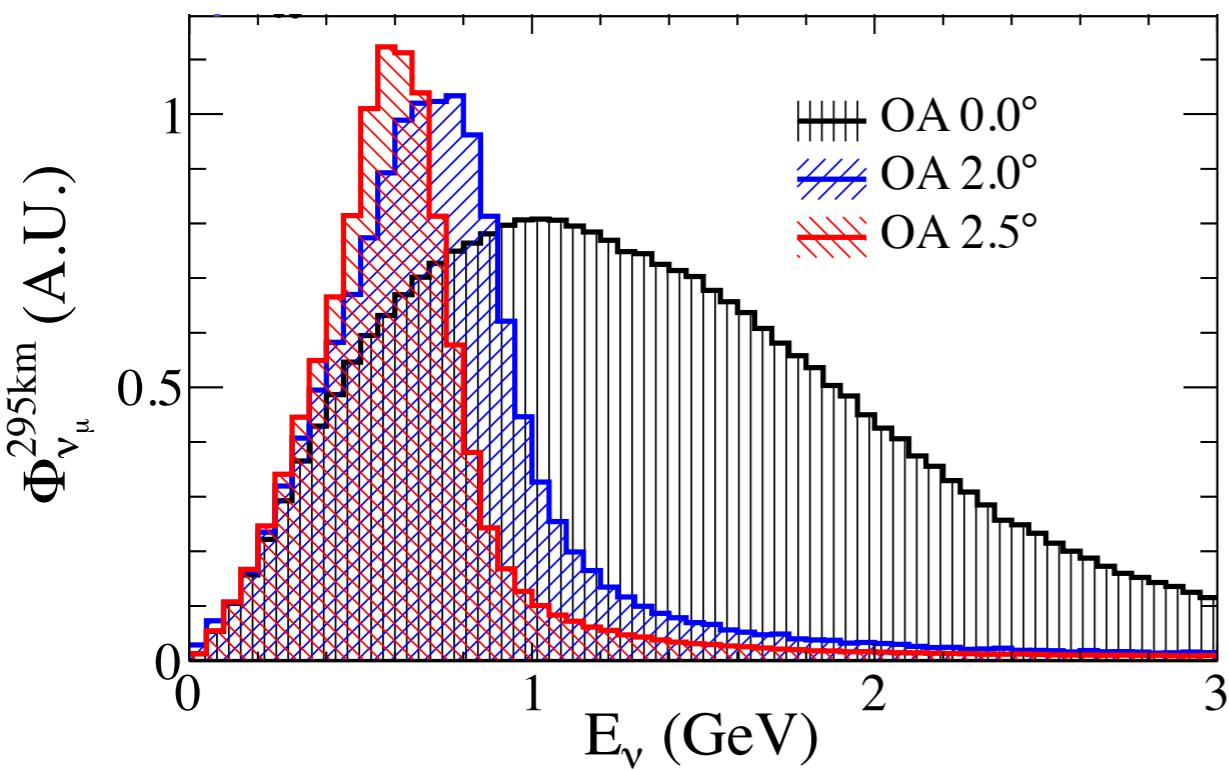
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Much larger effect at 2nd oscillation maximum

# T2K / Hyper-K Flux

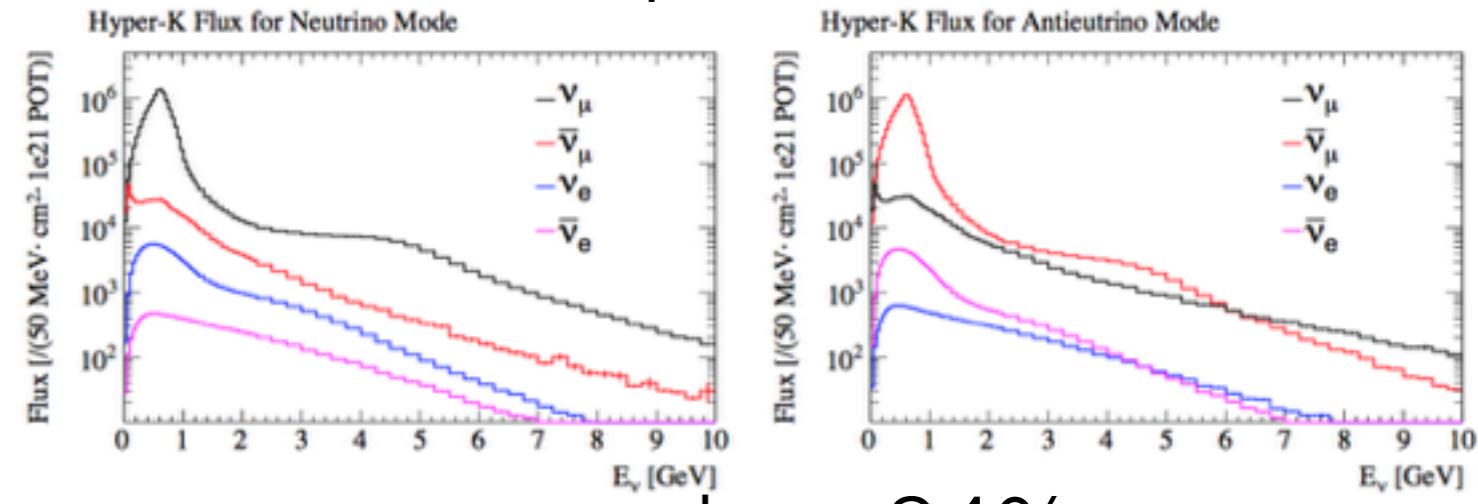
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Narrow band beam off-axis



Flavour composition



nu-mode: ~94%  $\nu_\mu$   
 anti-nu mode: ~92%  $\bar{\nu}_\mu$   
 (for  $E < 1.25 \text{ GeV}$ )

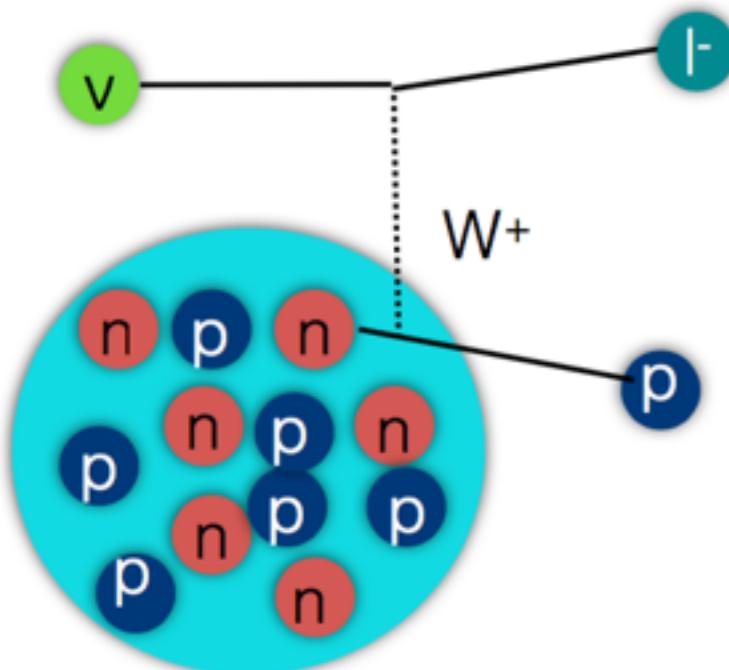
# Neutrino Energy Measurement

Protons usually below Cherenkov threshold

Neutrons can be counted but no energy measurement

For quasi-elastic interactions neutrino energy can be reconstructed from lepton kinematics

$$E_\nu^{\text{rec}} = \frac{m_p^2 - (m_n - E_b)^2 - m_e^2 + 2(m_n - E_b)E_e}{2(m_n - E_b - E_e + p_e \cos \theta_e)}$$

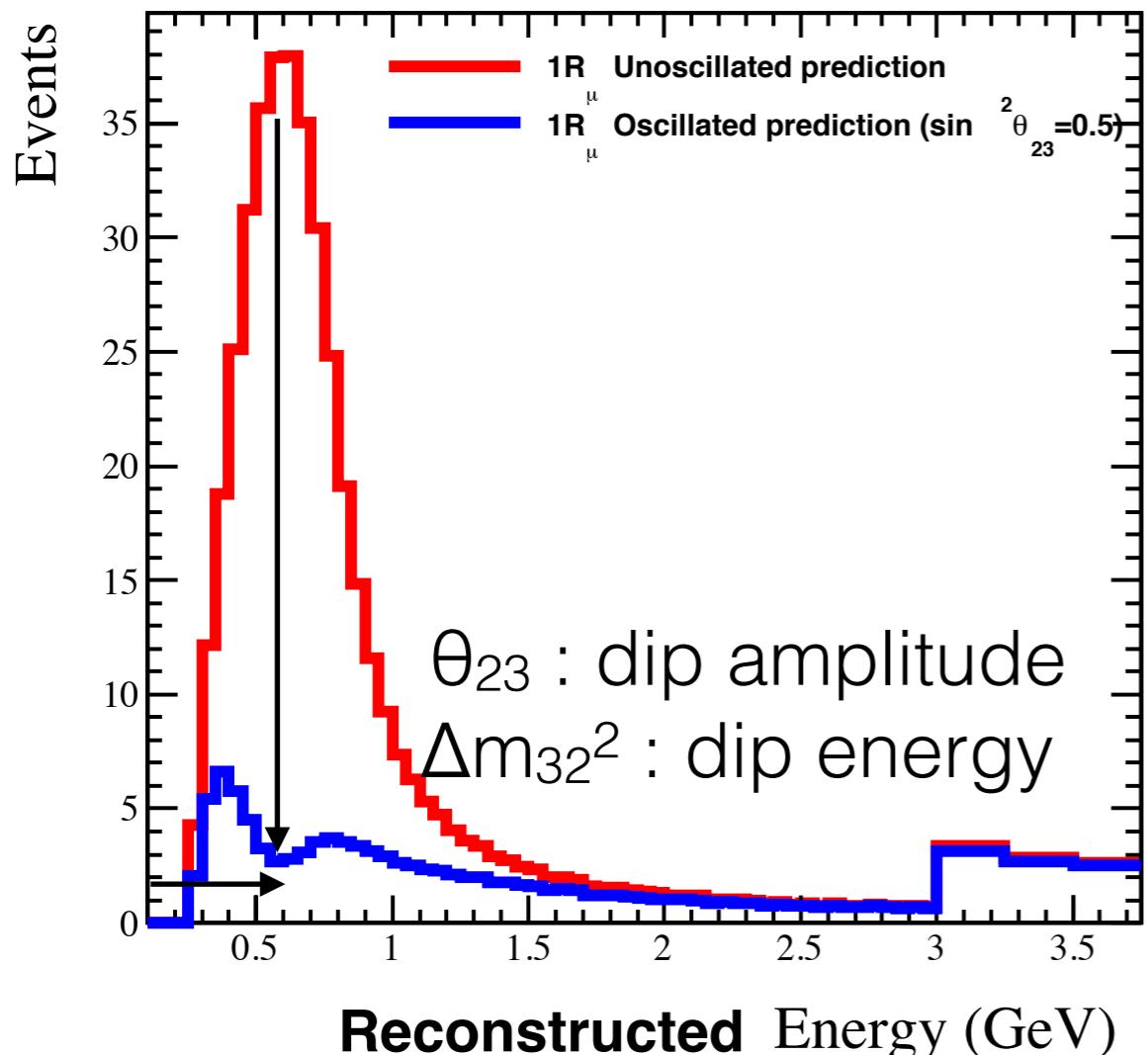


Background from inelastic scattering where energy is mis-measured

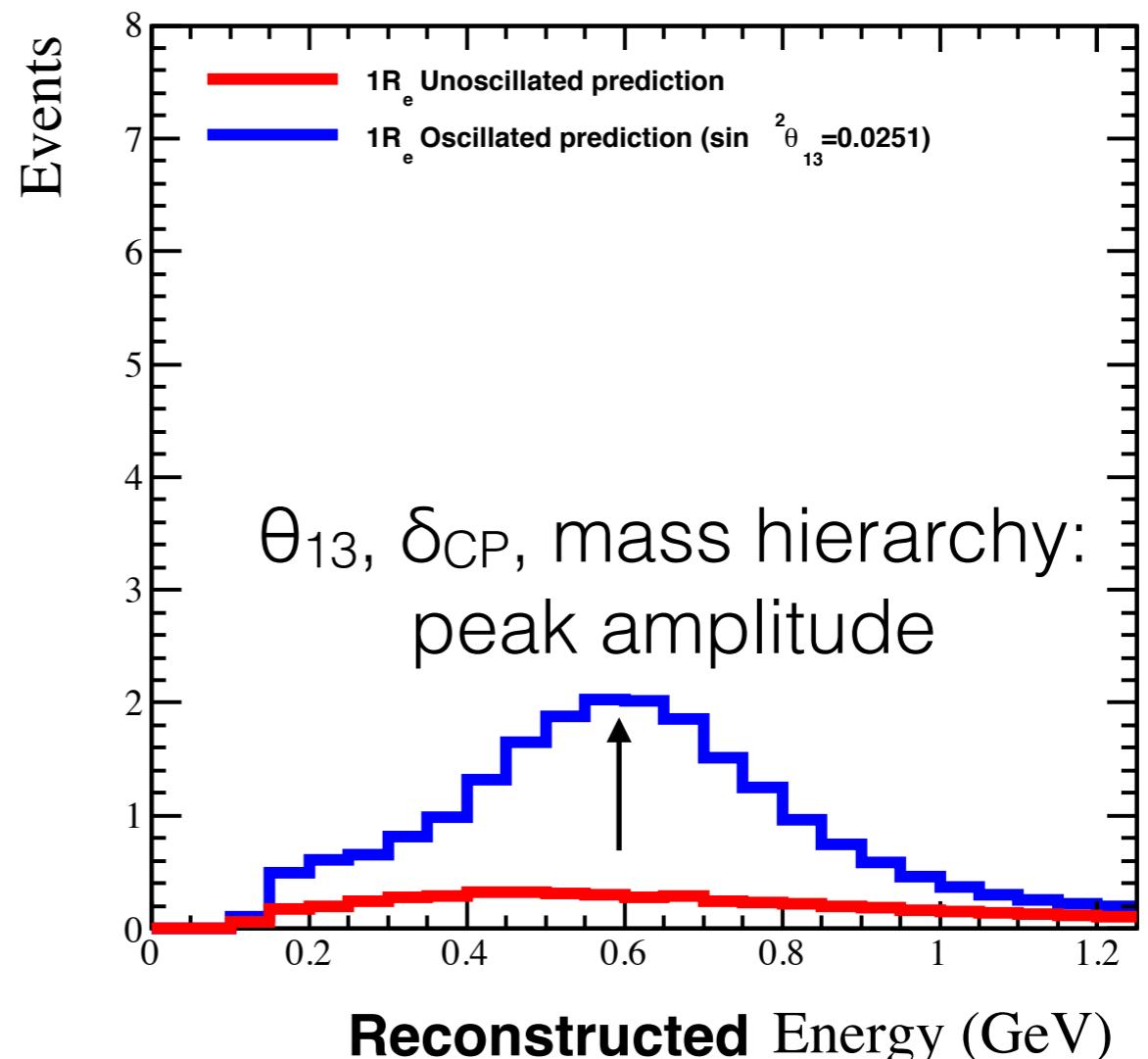
Interaction is on bound state  
Nuclear effects are important

# What we actually measure:

$\nu_\mu$  disappearance



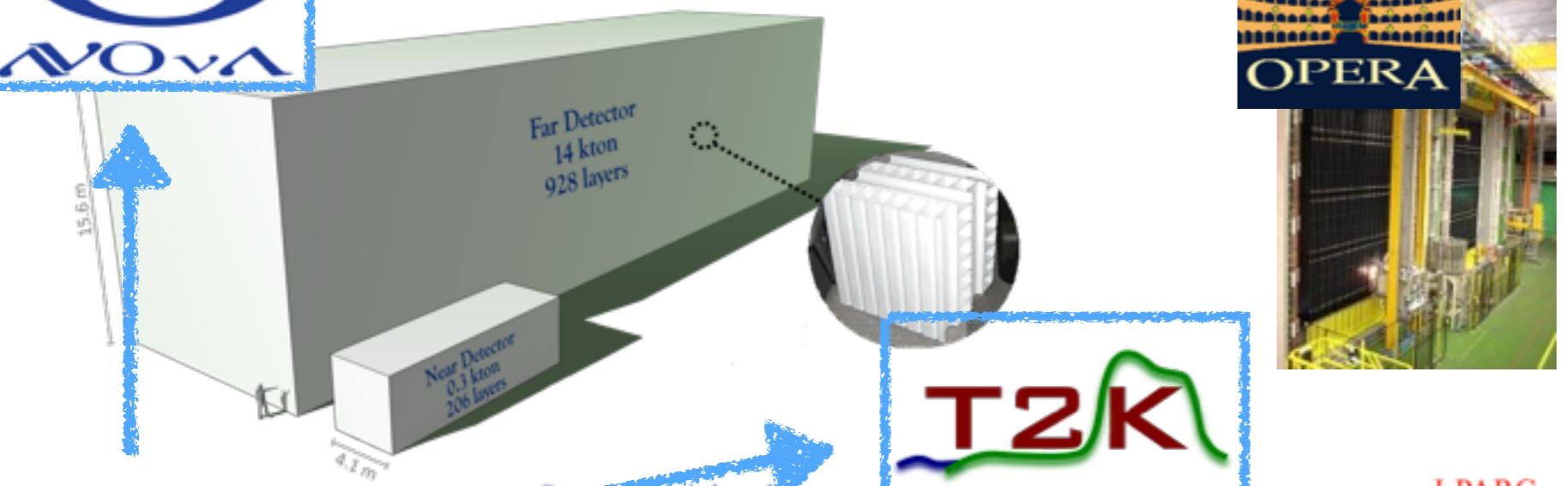
$\nu_e$  appearance



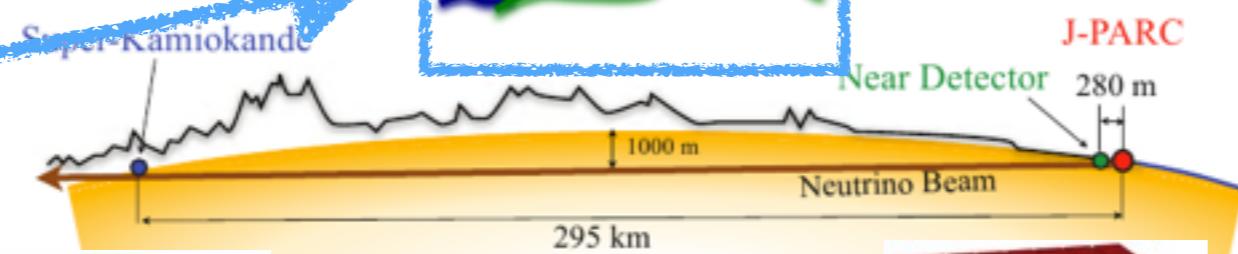
Measurement precision limited by:

- Statistics
- Neutrino energy reconstruction
- Knowledge of unoscillated spectrum and background contamination

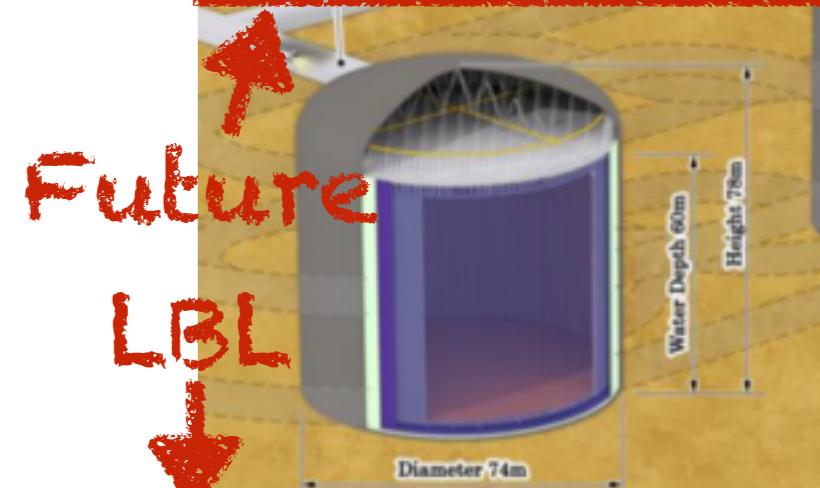
# Accelerator based Neutrino Oscillation Experiments



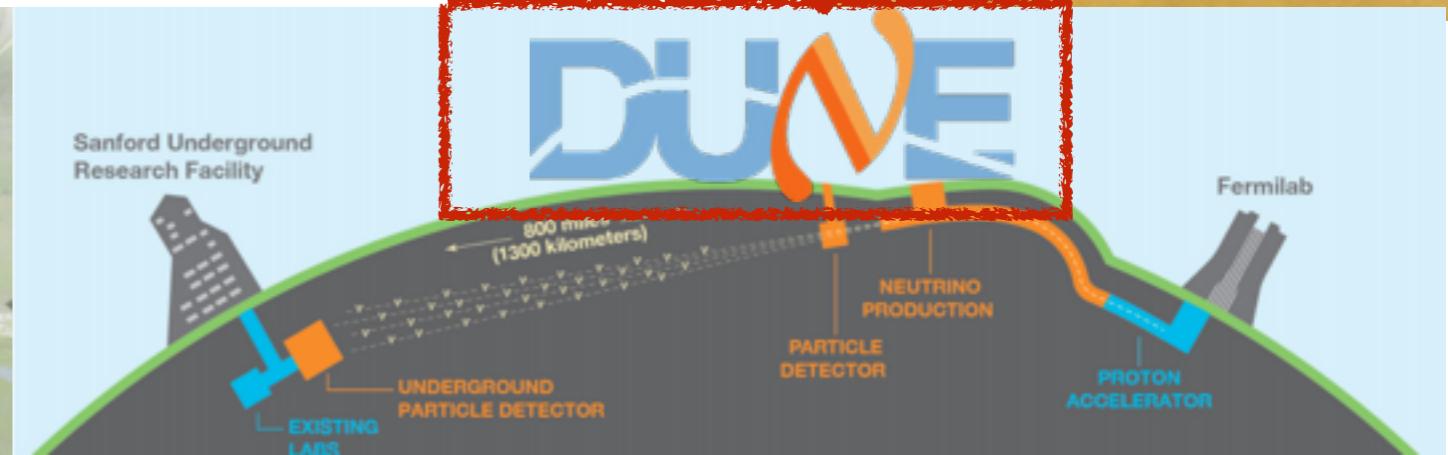
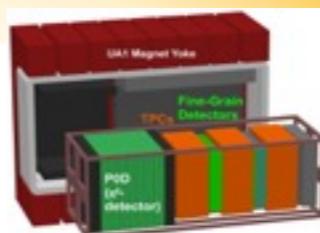
Current  
LBL



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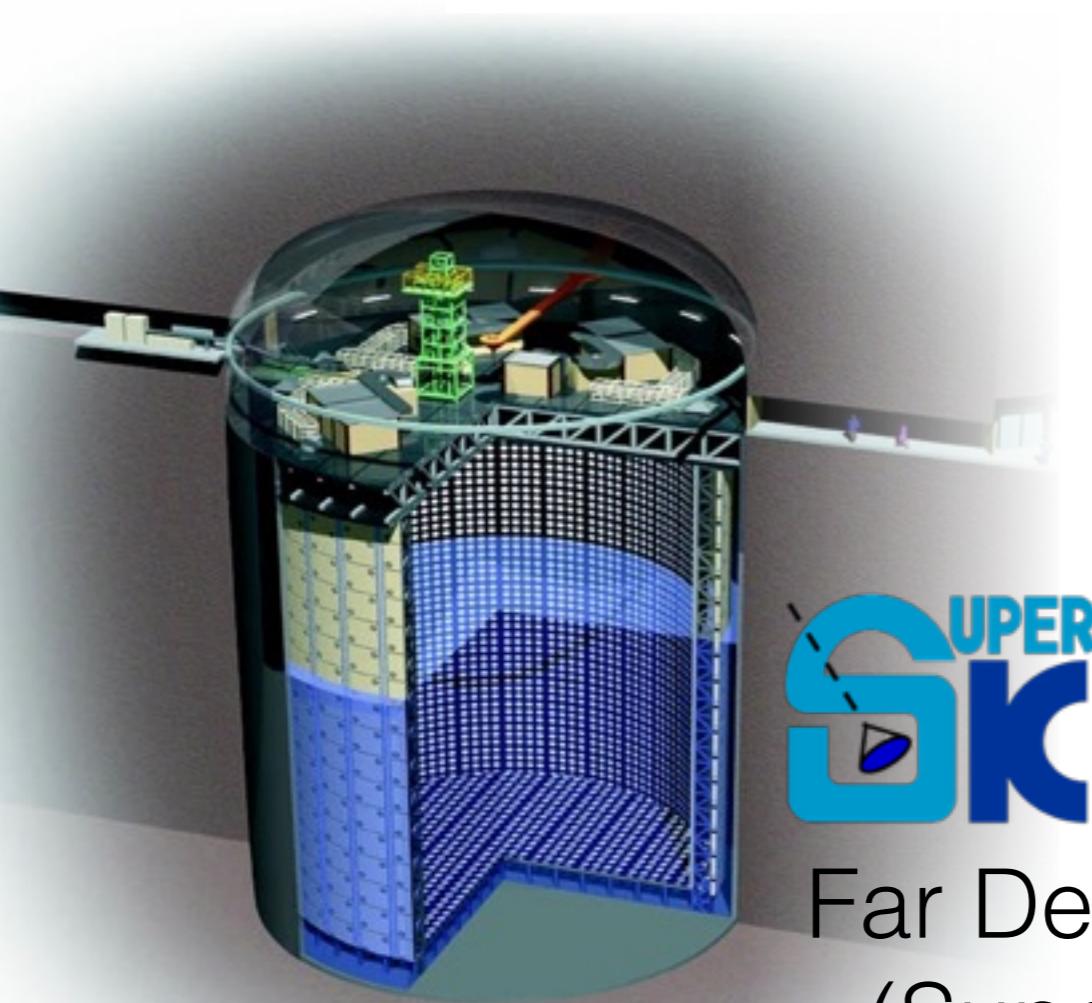
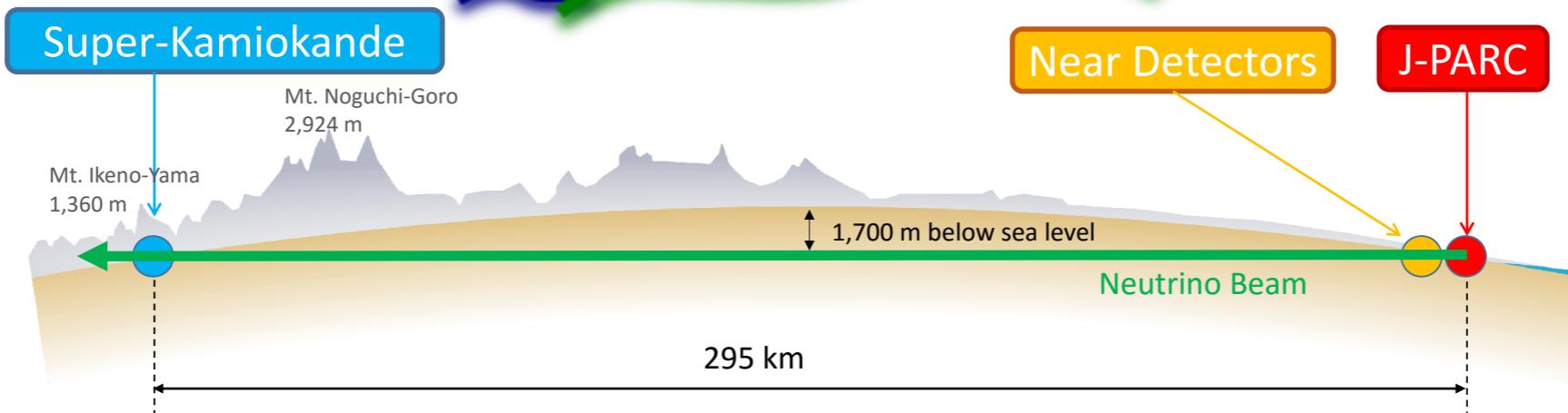


Future  
LBL

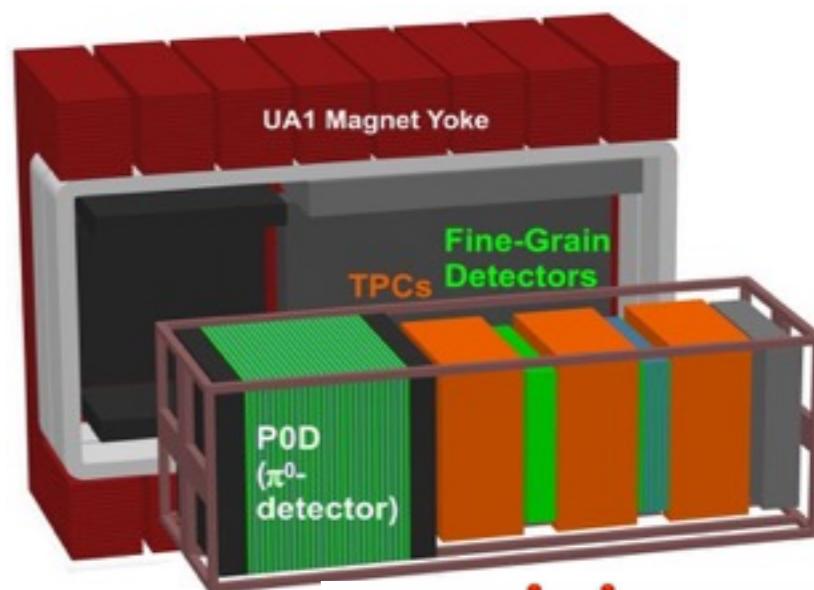




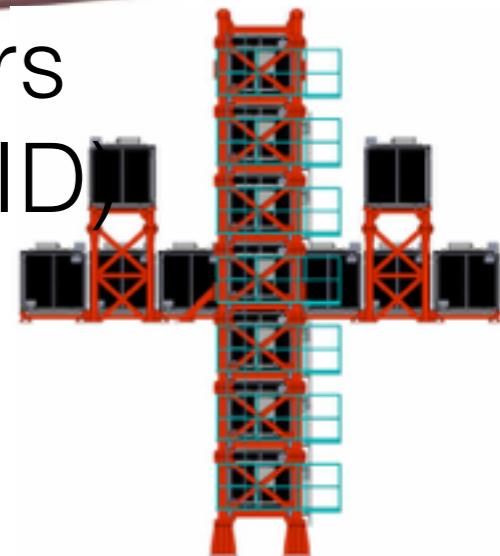
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Far Detector  
(Super-K)



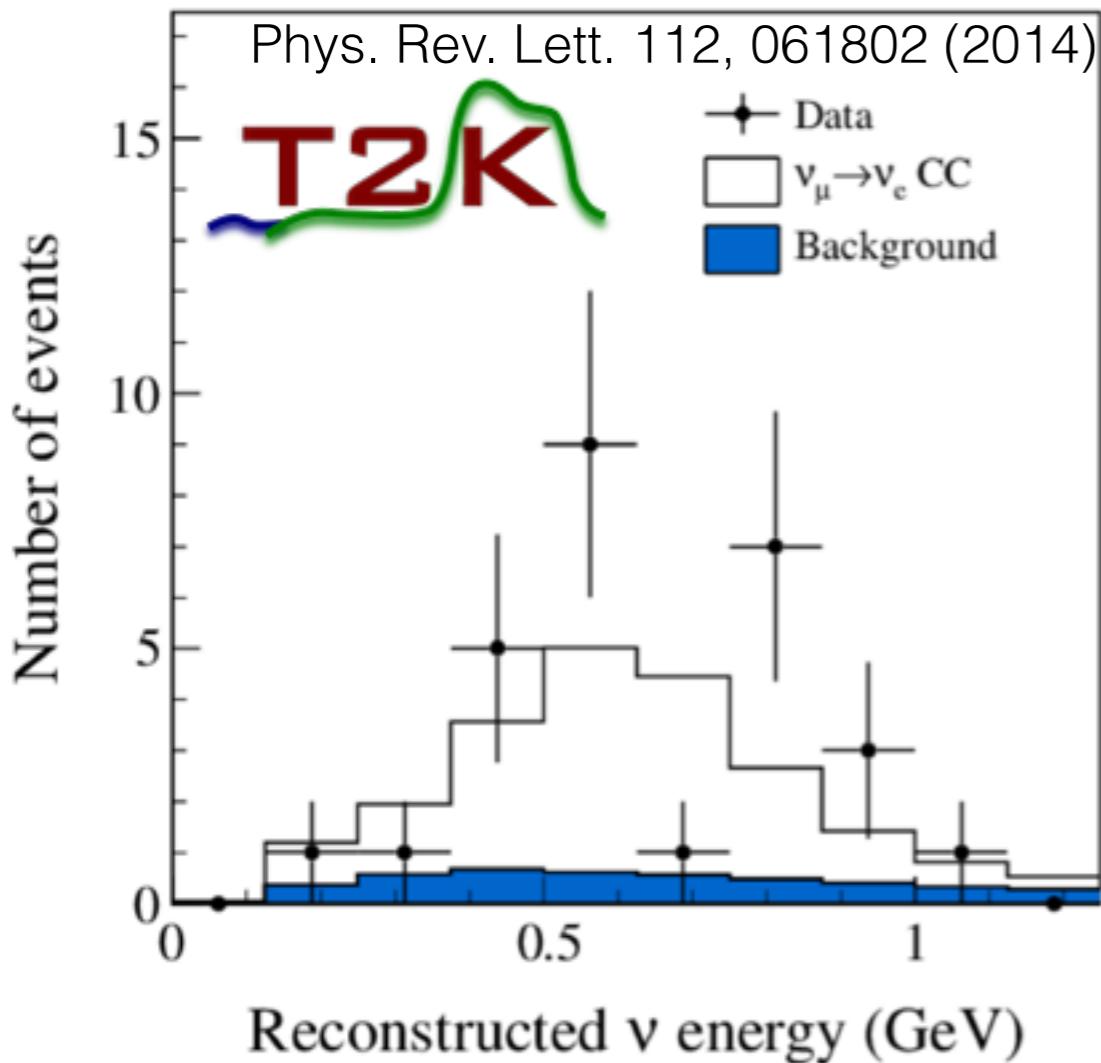
Near Detectors  
(ND280+INGRID)



# T2K $\nu_e$ appearance

2013:  $\nu_e$  appearance established → 2017: “indications” of CP violation

28 events observed (4.3 expected background)



effect is large, opens the way to leptonic CP violation

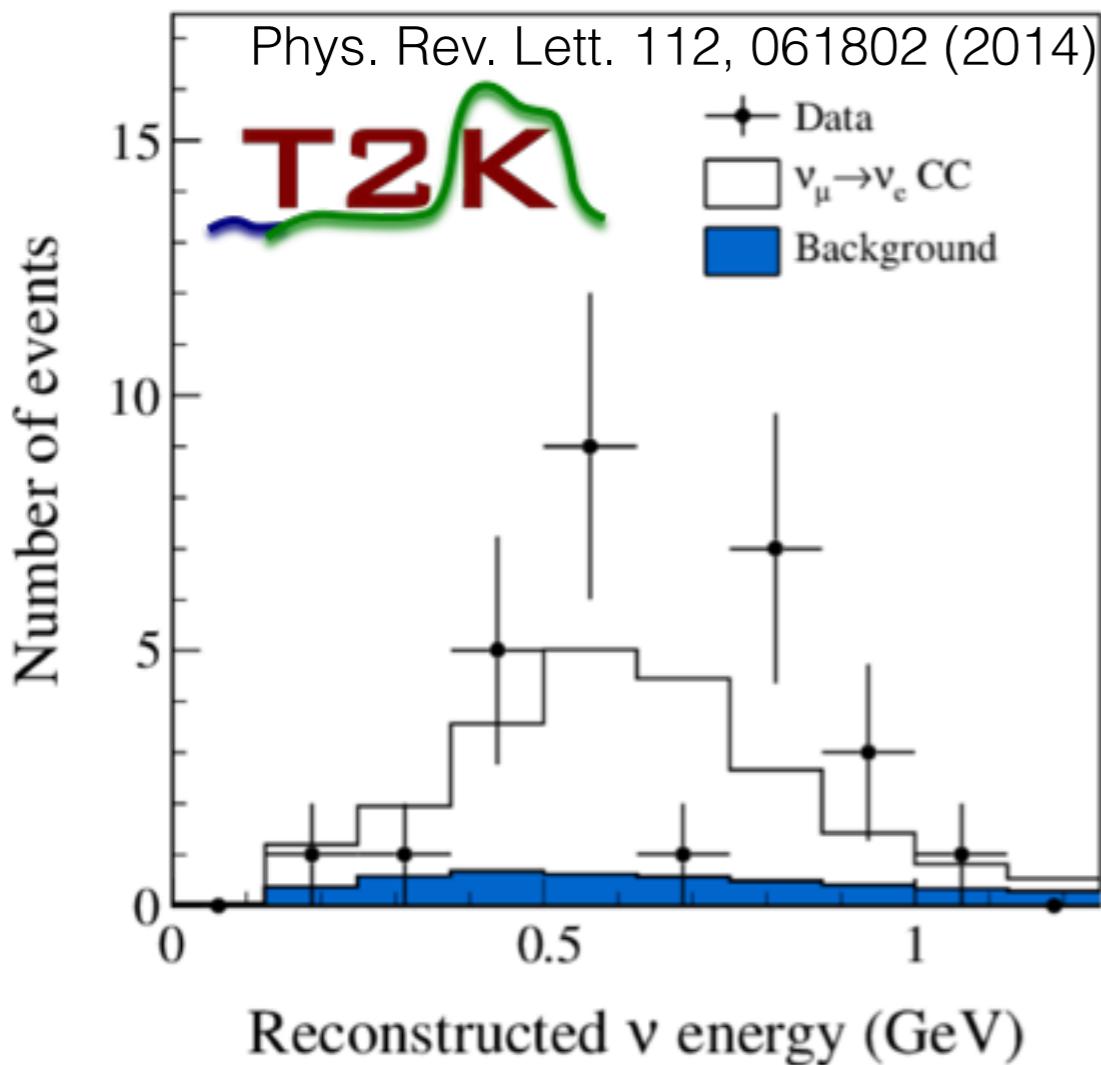
$$\delta_{CP}.$$

# T2K $\nu_e$ appearance



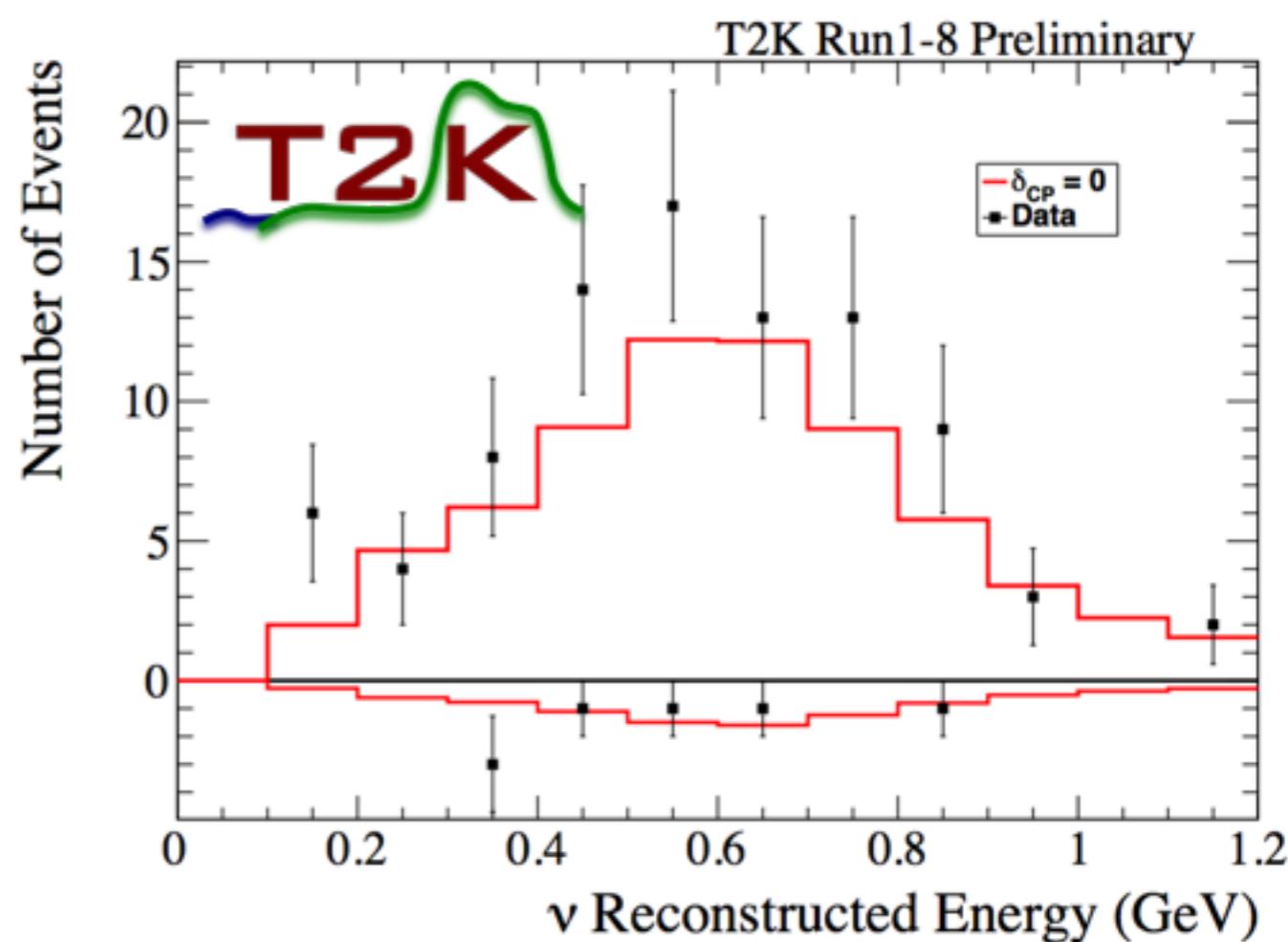
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$$\delta_{CP}$$

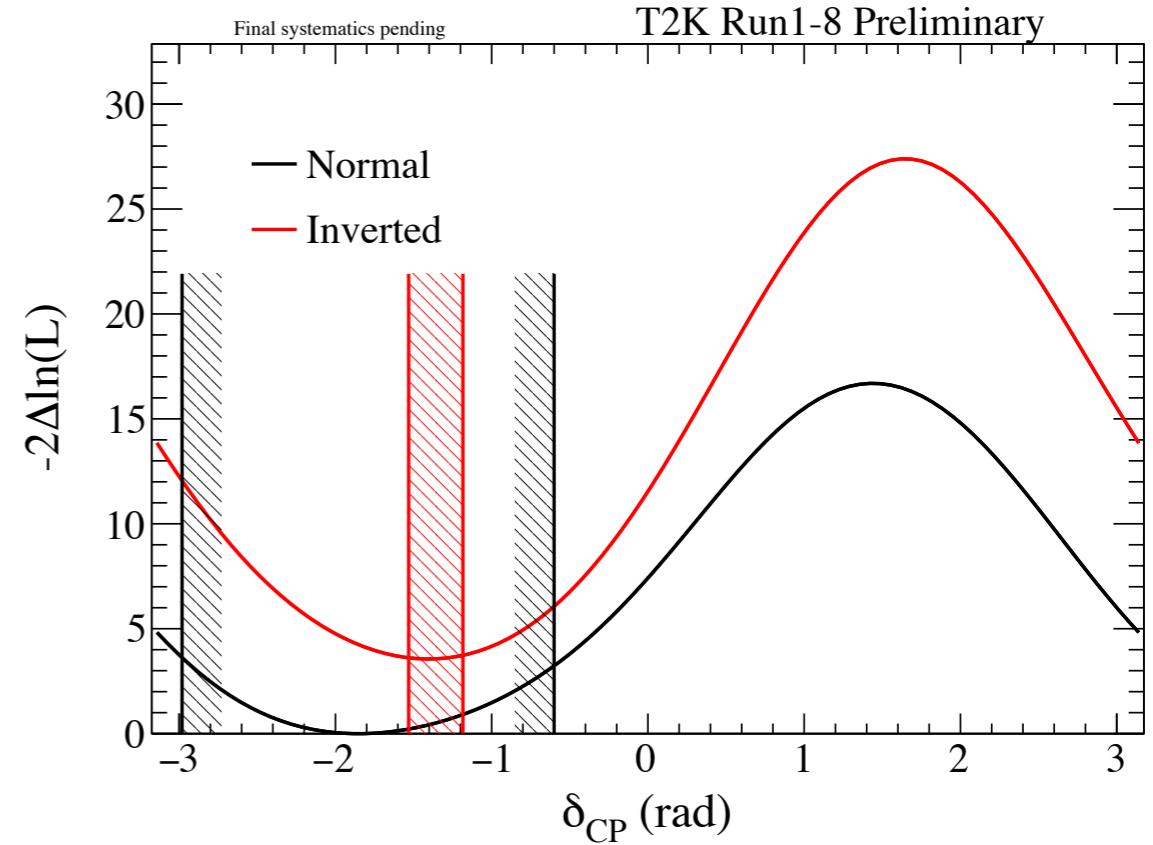
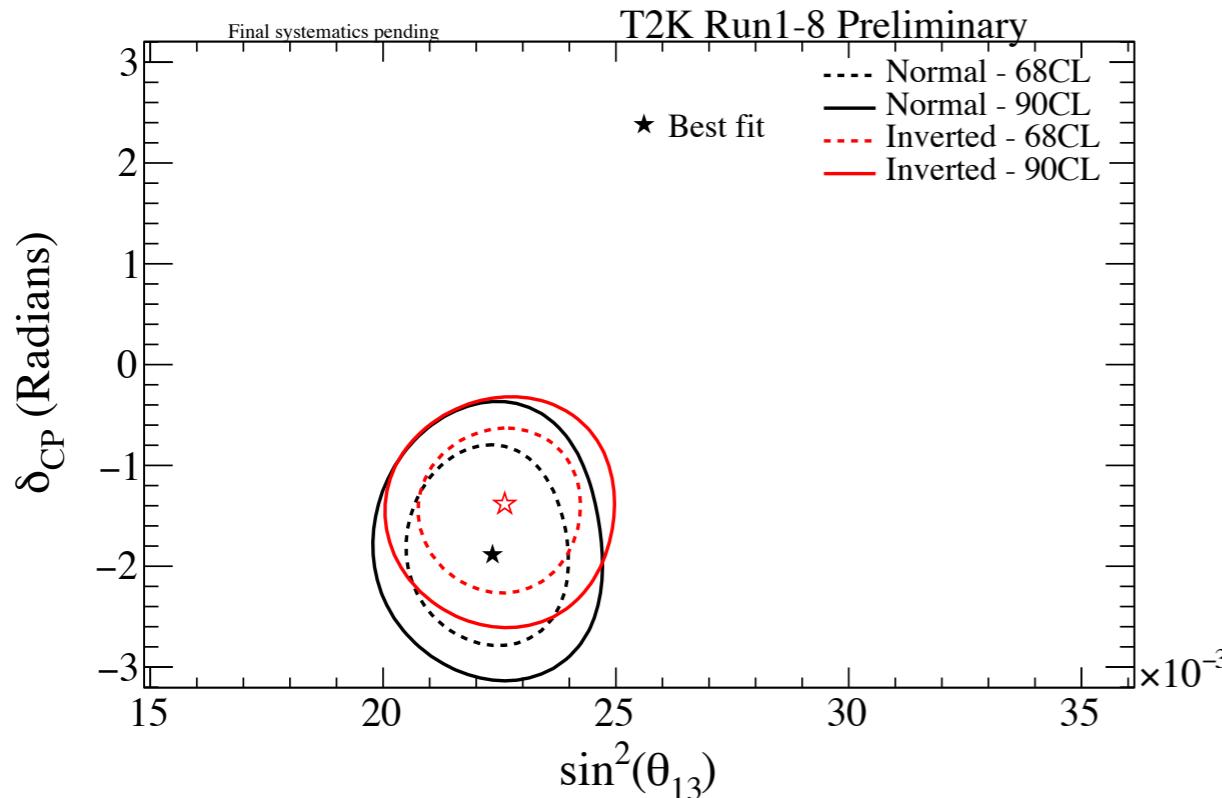
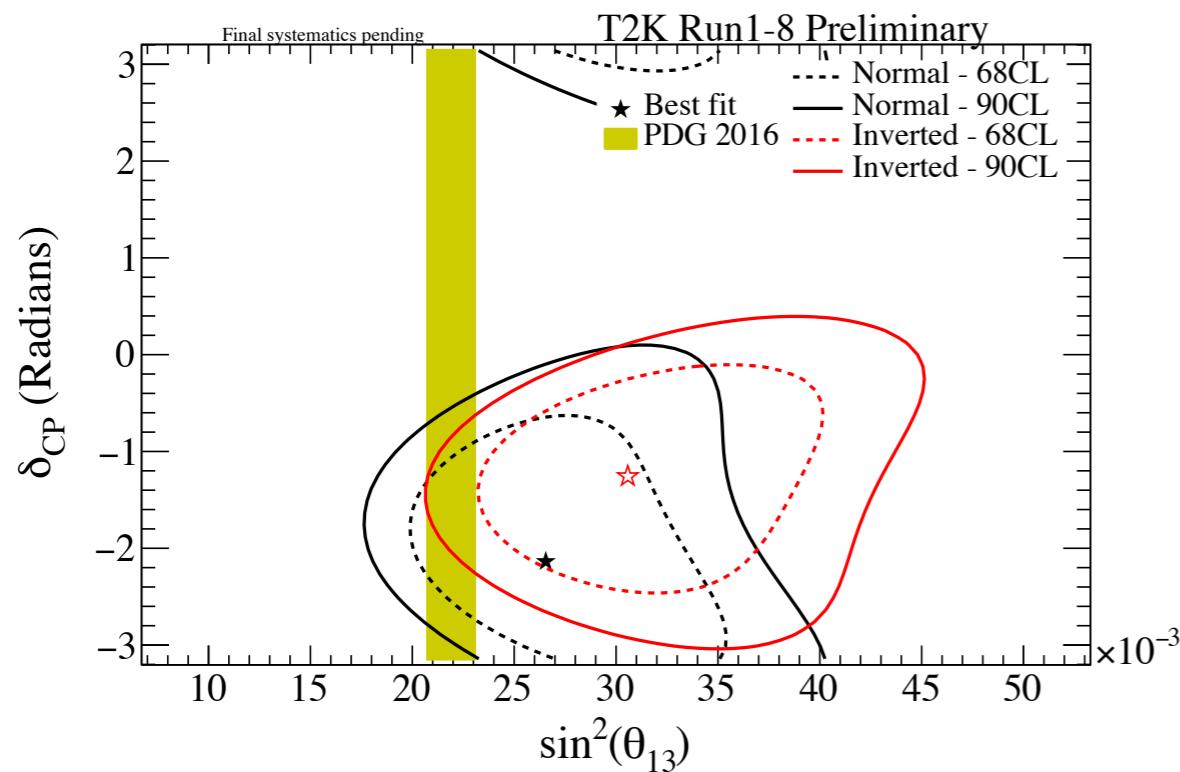


Small  $\nu_e$  excess and  $\bar{\nu}_e$  deficit  
Current measurement based on  
74+7 events in single ring sample

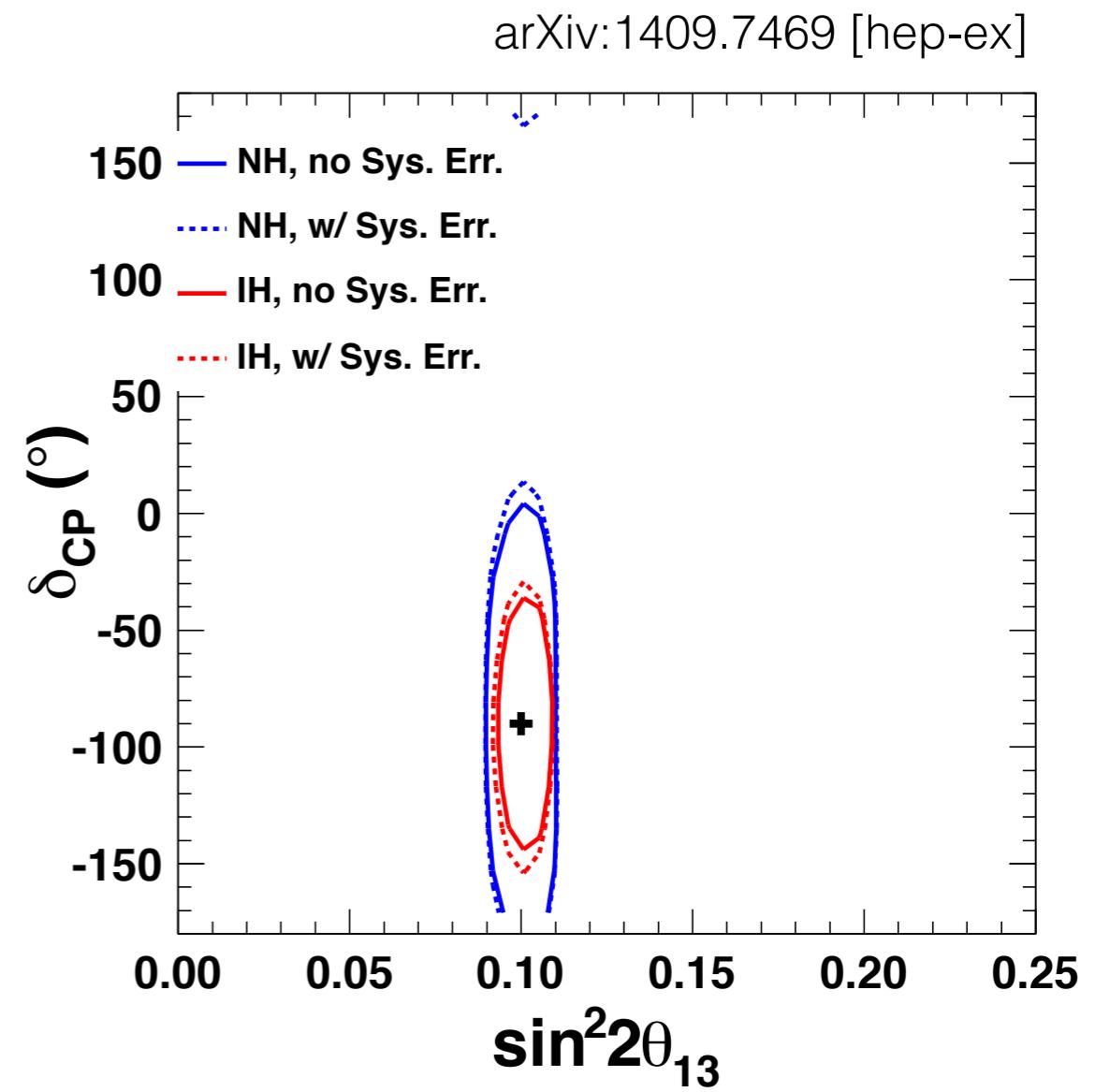
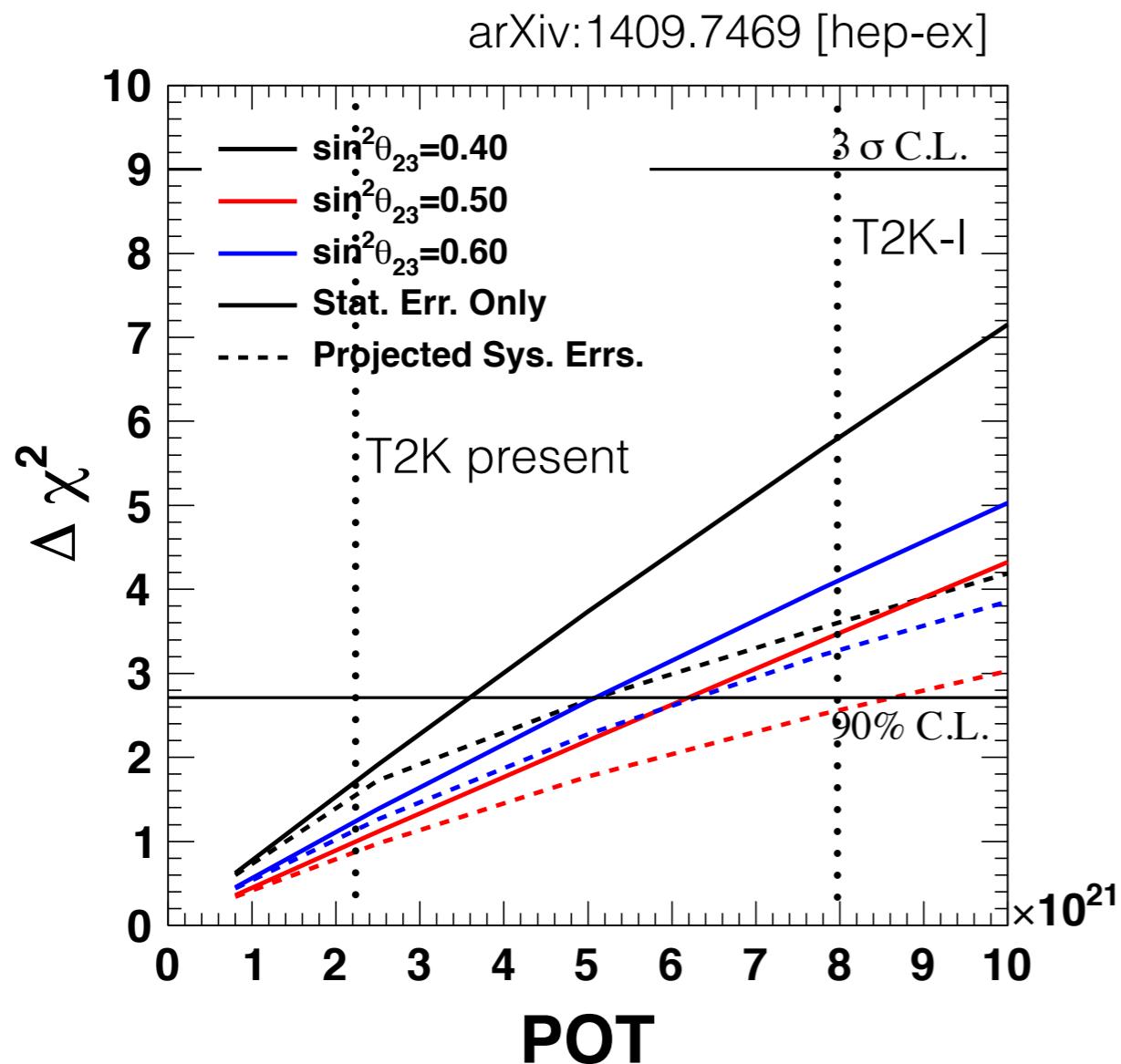
# First Indications of CP violation

CP conserving values  
excluded at  $2\sigma$

Statistically limited  
Dependent on reactor  $\bar{\nu}_e$   
disappearance  
measurement



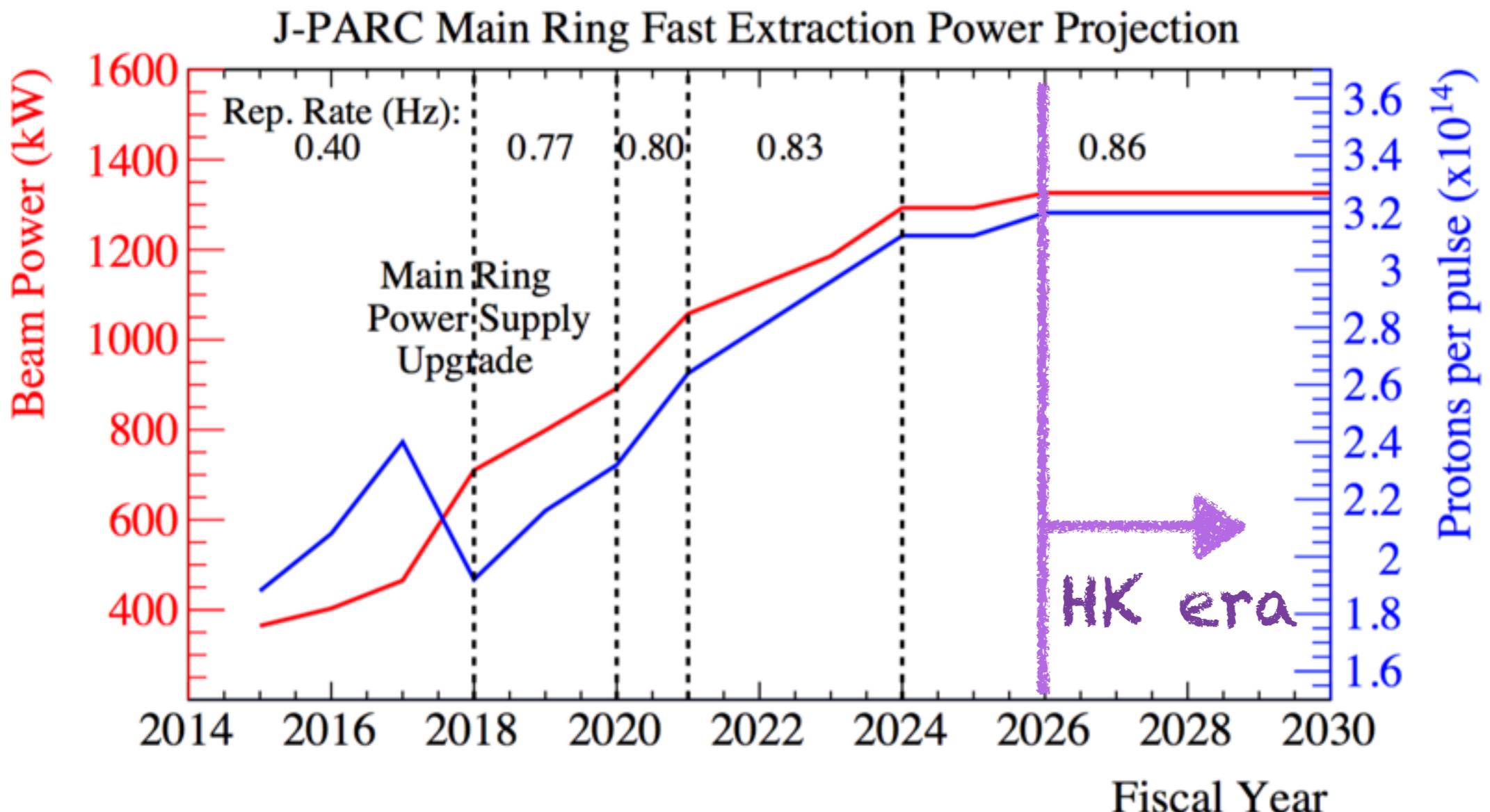
# T2K Projected Sensitivity



$\sim 2.5\sigma$  projected significance if *maximal CP violation*.

to firmly establish CP violation we will need **Hyper-K!**

# J-PARC Beam Upgrades



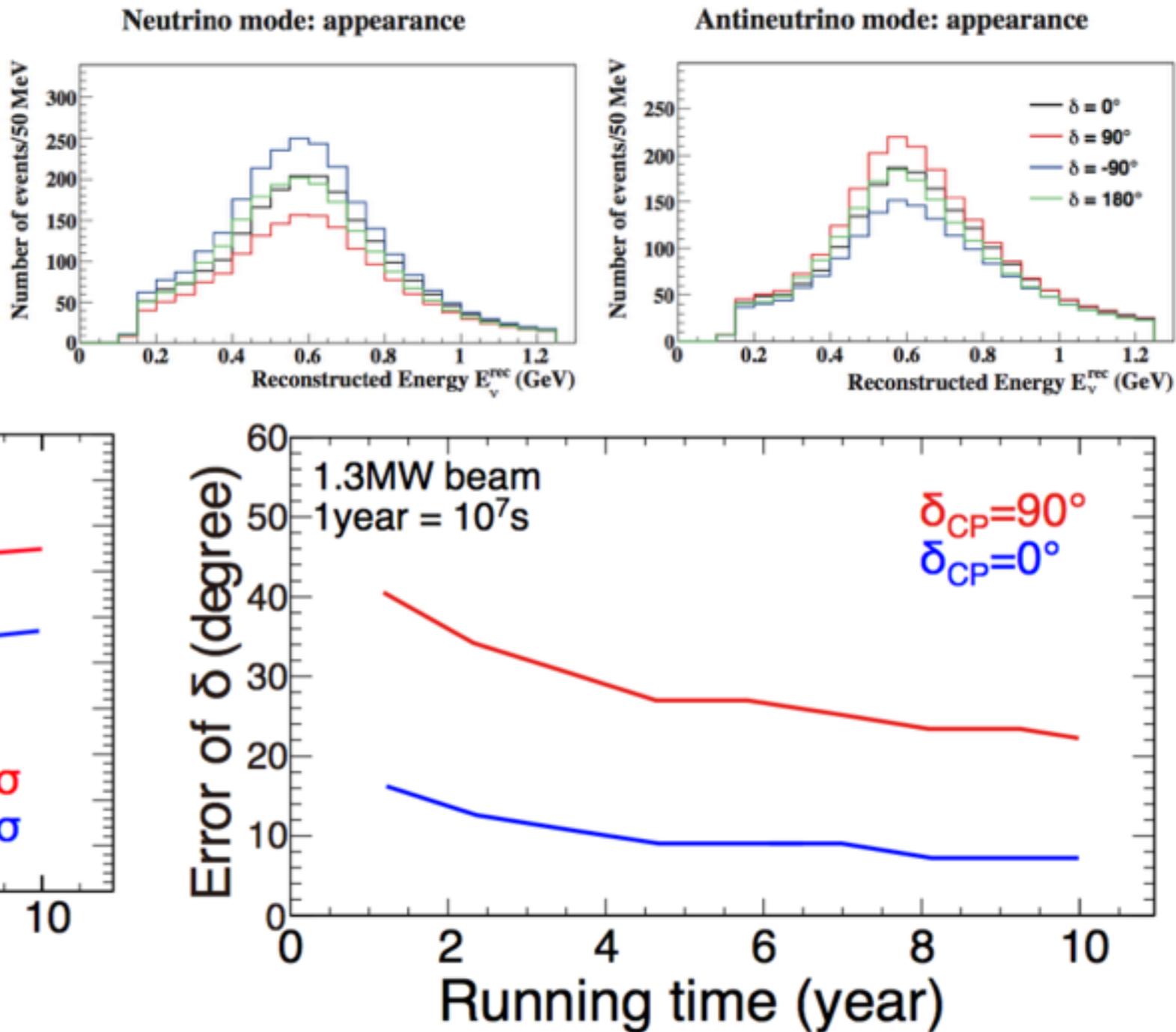
Current: ~470 kW

Short-term: 750 kW after 2018 long shutdown

Goal: 1.3 MW operation at HK operation

# Hyper-K Projected Sensitivity

10 years x 1 tank x 1.3 MW  
 $\nu_e \sim 2058, \bar{\nu}_e \sim 1906$  events



Assuming 3-4% systematic uncertainty (cf T2K present ~6%)

# Statistics



Experiment	$\nu_e + \bar{\nu}_e$	$1/\sqrt{N}$	Ref.
T2K (current)	74 + 7	12% + 40%	$2.2 \times 10^{21}$ POT
NOvA (current)	33	17%	FERMILAB-PUB-17-065-ND
NOvA (projected)	110 + 50	10% + 14%	arXiv:1409.7469 [hep-ex]
T2K-I (projected)	150 + 50	8% + 14%	$7.8 \times 10^{21}$ POT, arXiv:1409.7469 [hep-ex]
T2K-II	470 + 130	5% + 9%	$20 \times 10^{21}$ POT, arXiv:1607.08004 [hep-ex]
Hyper-K	2058 + 1906	2% + 2%	10 yrs 1-tank 2017 Design Report TBR
DUNE	1200 + 350	3% + 5%	3.5+3.5 yrs x 40kt @ 1.07 MW arXiv:1512.06148 [physics.ins-det]

Current appearance measurements stats dominate  
 $O(10^3) \nu_e$  at future experiments  $\rightarrow$  demands  $\sim 2\%$  systematics  
 $O(10^4) \nu_\mu \rightarrow$  need systematics as good as we can get!

# T2K Systematic Uncertainties

ND280 constraint

13% → 3%

Pion Final State  
Interactions (FSI) and  
Secondary Interactions  
(SI) modelling important

Theoretical uncertainty  
 $v_e$  to  $v_\mu$   
Difficult to constrain with  
near detector

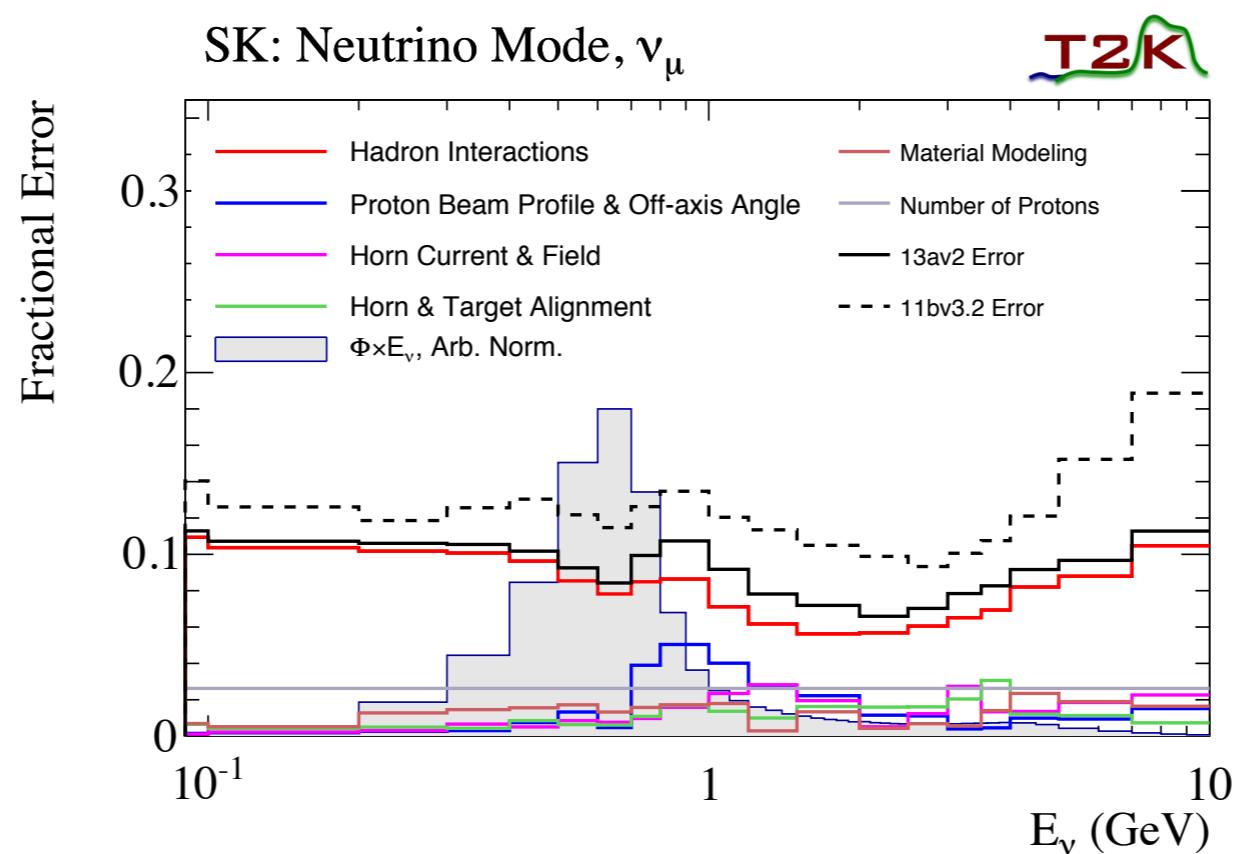
Error Source	$\mu$ sample [%]		$e$ sample [%]	
	$v$	$\bar{v}$	$v$	$\bar{v}$
SK Detector	1.9	1.6	3.0	4.2
SK FSI+SI+PN	2.2	2.0	2.9	2.5
ND280 Constraint (Flux + Cross Section)	3.3	2.7	3.2	2.9
$\sigma(v_e)/\sigma(v_\mu)$	-	-	2.6	1.5
NC 1γ	-	-	1.1	2.6
NC other	0.3	0.1	0.1	0.3
Total Systematic	4.4	3.8	6.3	6.4
Statistical	6.5	12	12	40

T2K preliminary (final systematics pending)

Total systematic uncertainty  
~4 - 6%

Smaller than stats. uncertainty  
(for now!)

# Flux Uncertainties



T2K ~ 8-12% (based on thin target tuning)

Dominated by hadron interaction modelling

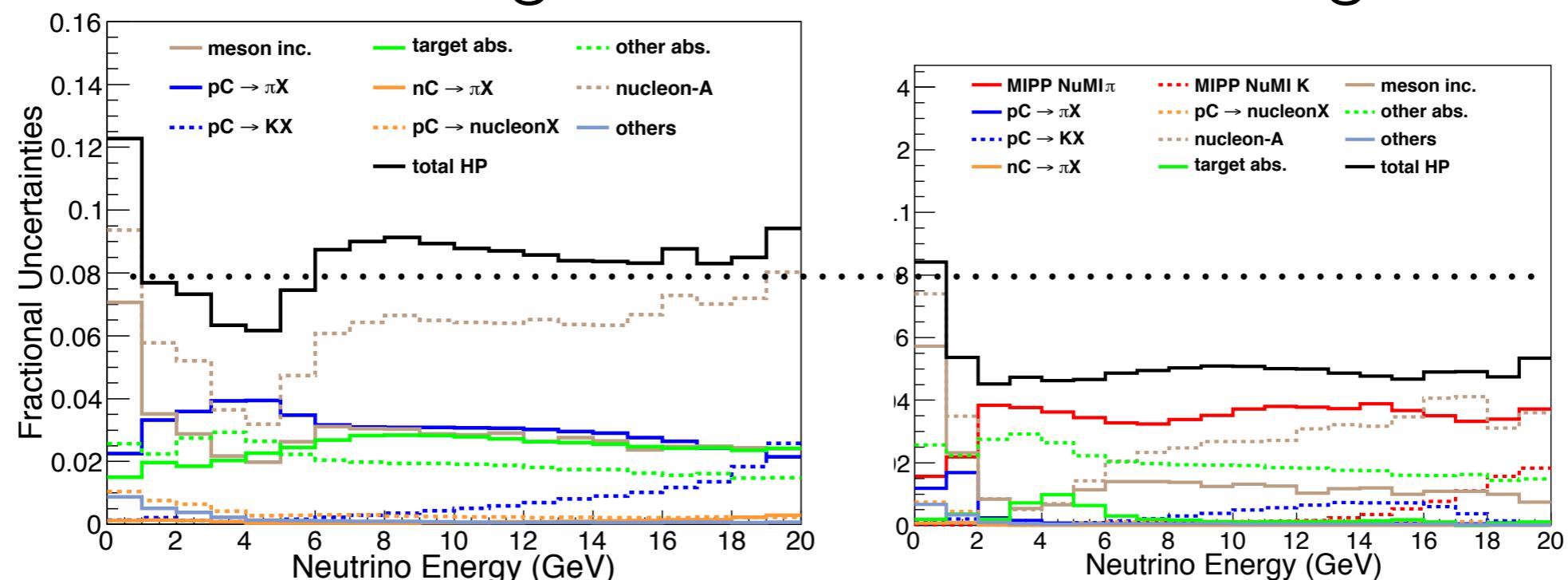
Alignment/focussing uncertainties are also important  
(especially for near to far extrapolation)

# Flux Uncertainties



Thin Target

Thick Target

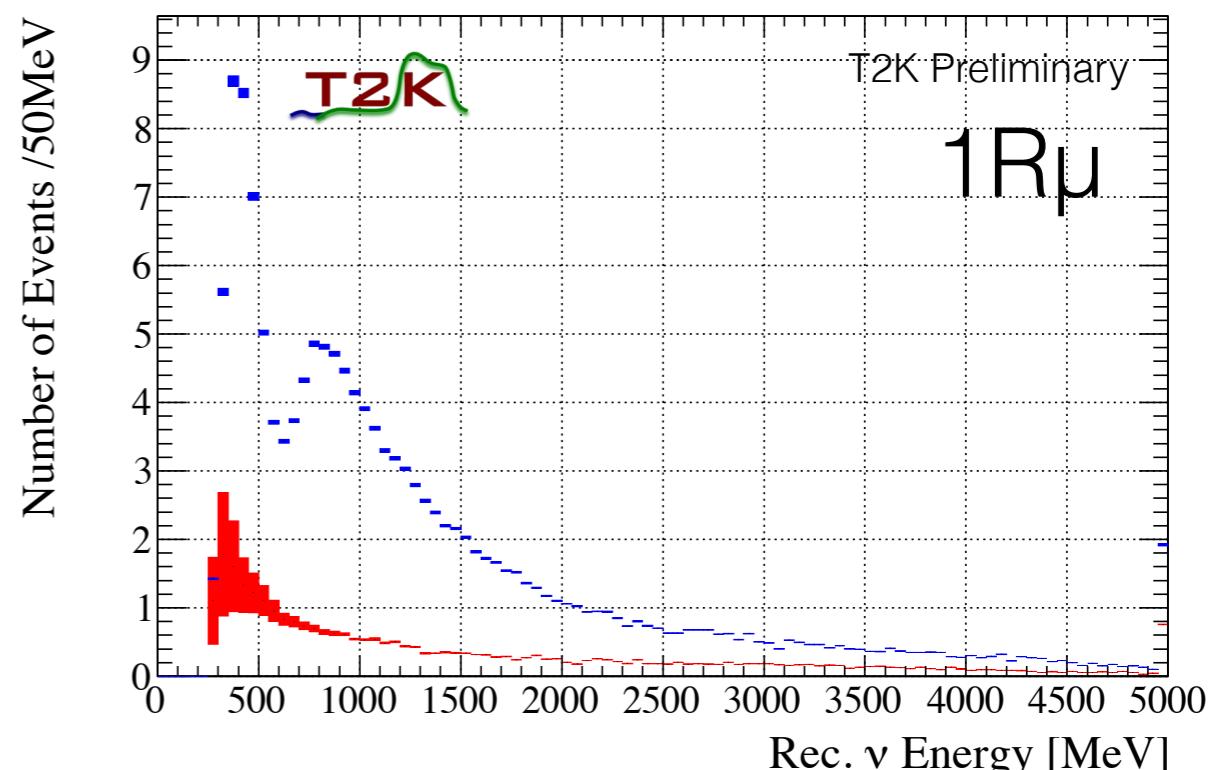
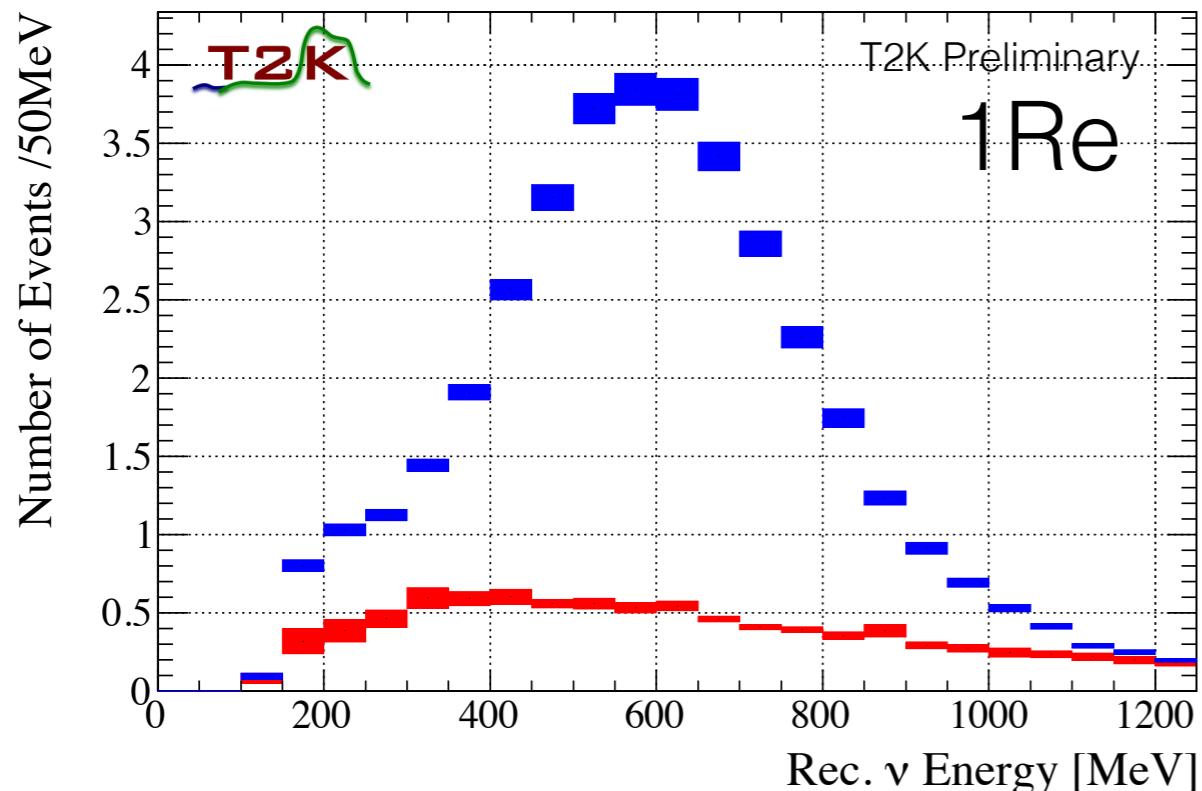


MINERvA Low E NuMI Flux Uncertainties, Phys. Rev. D 95, 039903 (2017)

Significant reductions from thick/replica target

If high power beam requires different target material/geometry  
new dedicated hadron production measurements will be  
necessary

# Detector Modelling Uncertainties



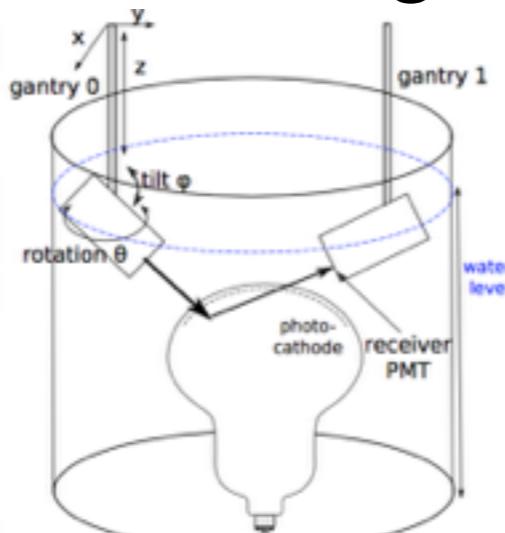
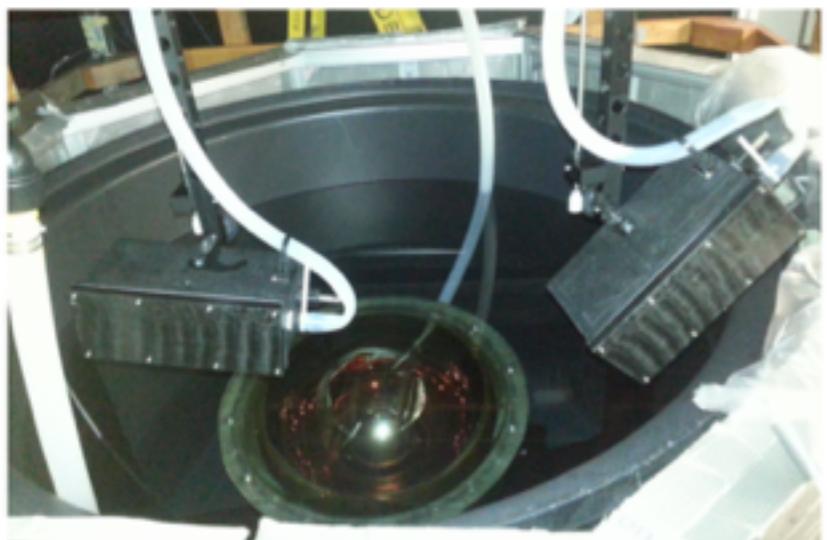
SK detector response evaluated with data-MC comparisons in atmospheric sample

May be limited by control sample statistics

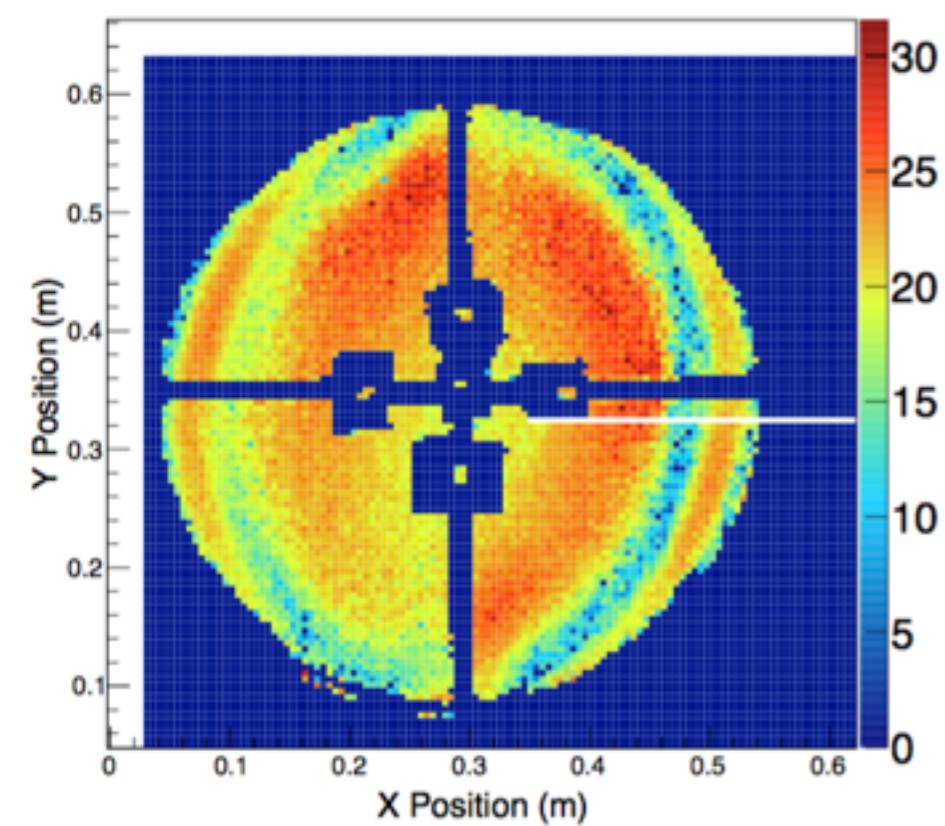
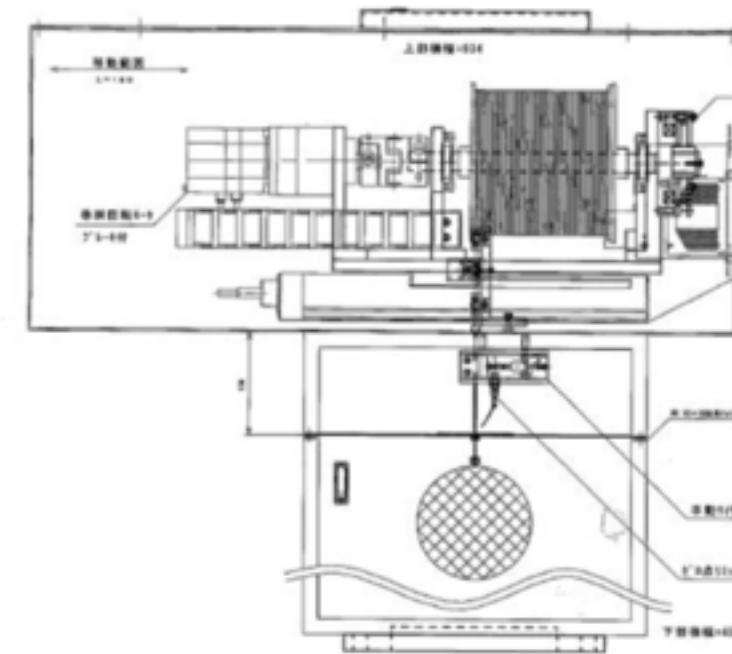
Possible to move toward bottom-up detector systematic uncertainty

# Calibration

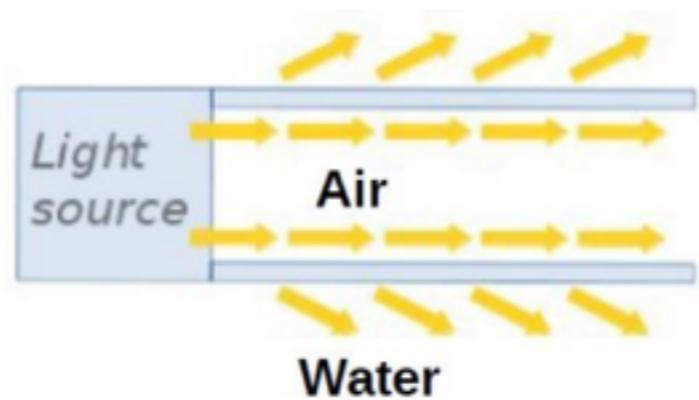
Precise PMT response testing



Automated source deployment



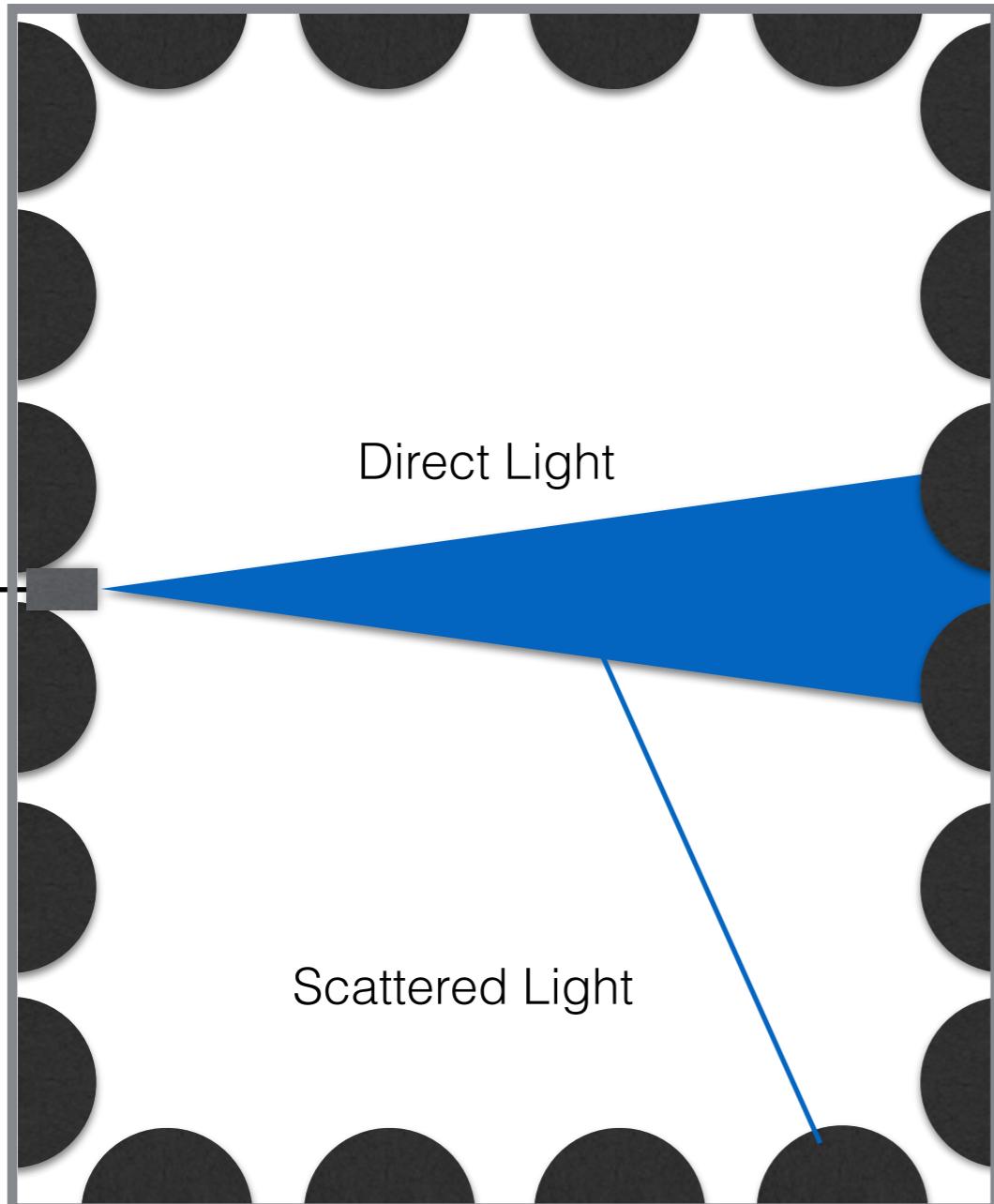
Fake muon source



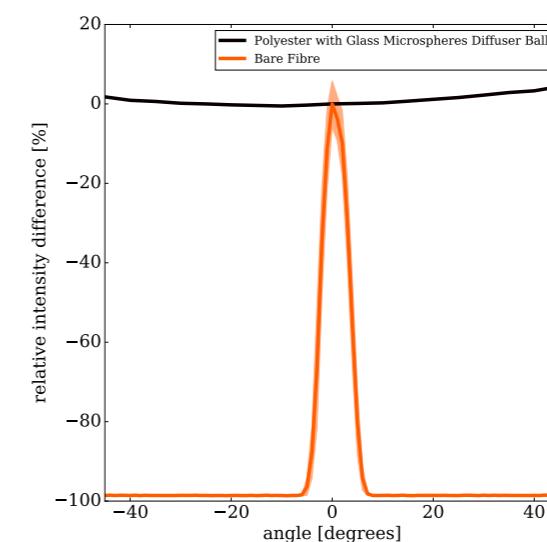
“Neutristor” Neutron Generator



# Calibration

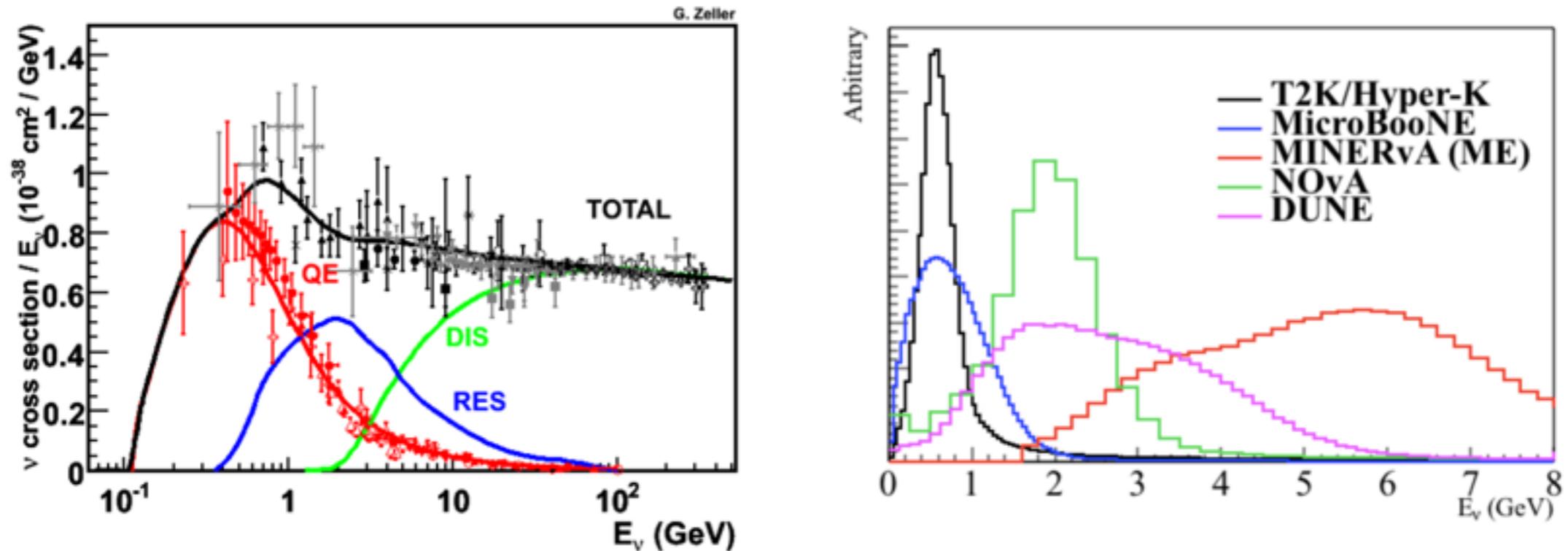


R&D for new optical calibration system in progress



Using Super-K 2018 shutdown for direct testing of newly developed calibration systems for Hyper-K

# Neutrino Interaction Model Uncertainties



Wide range of processes need to be simulated  
Require both lepton and hadronic side of the interaction  
Nuclear effects important in the relevant energy regime  
Experiments rely on MC generators  
for  $E_{\text{visible}} \rightarrow E_\nu$  extrapolation

Model parameter uncertainties from fits to external datasets

Sometimes parameter error must be inflated or ad-hoc parameters to account  
for discrepancies between model and data or known flaws in the model



# T2K Cross-Section Model

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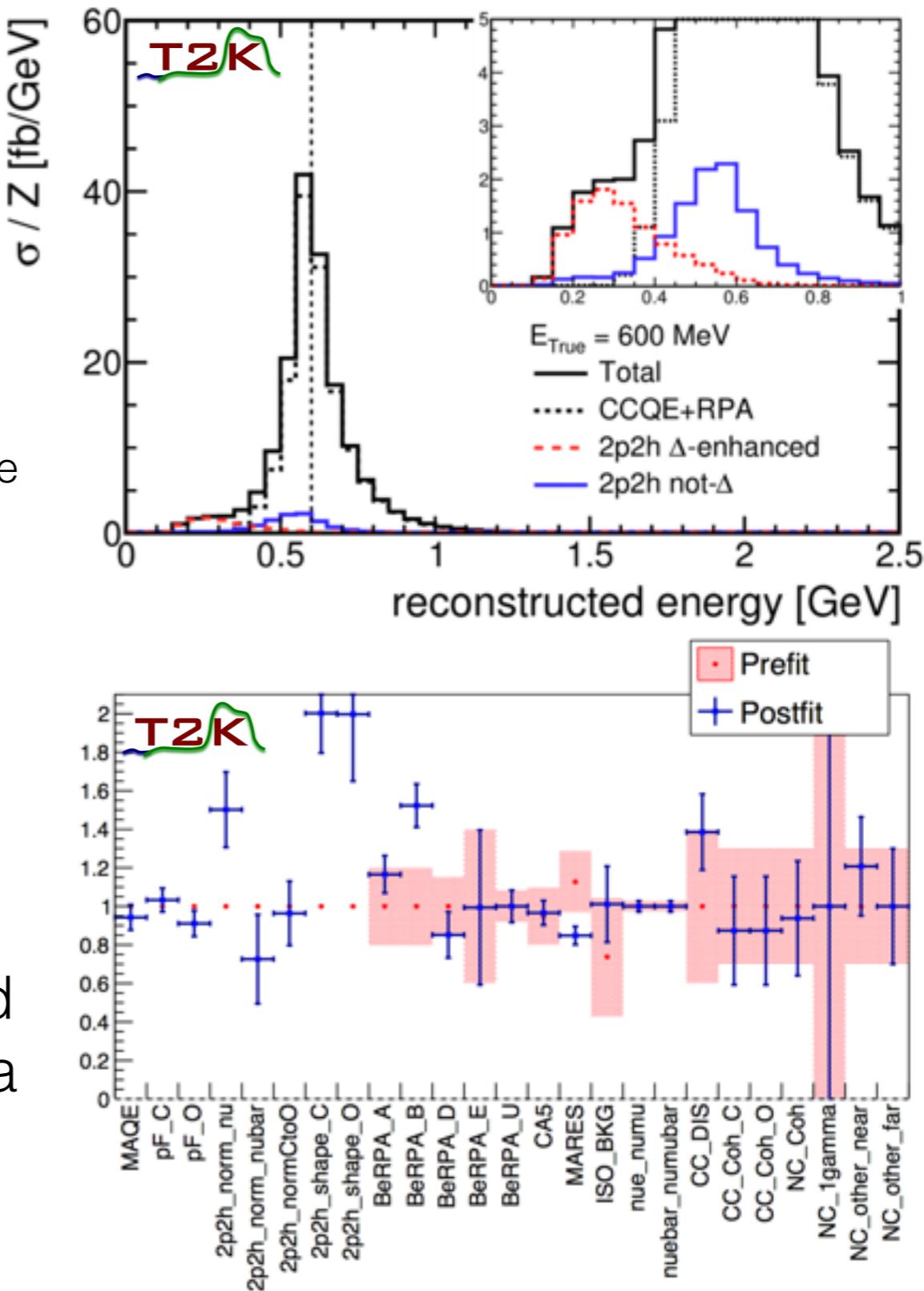
Implemented in NEUT MC generator

Quasi-elastic scattering most important process at T2K energies

- Valencia 2p-2h model Phys. Rev. C83 (2011) 045501
- Long-range effects with Random Phase Approximation
- Parameters introduced to vary normalisation and shape
- Relativistic Fermi Gas (RFG) nuclear model
- Uncertainties from RFG  $\leftrightarrow$  Local Fermi Gas
- Final state interactions with cascade model

No priors on most CCQE parameters  
Constraint from near detector

Impact of alternative models not implemented in oscillation analysis evaluated with fake data studies



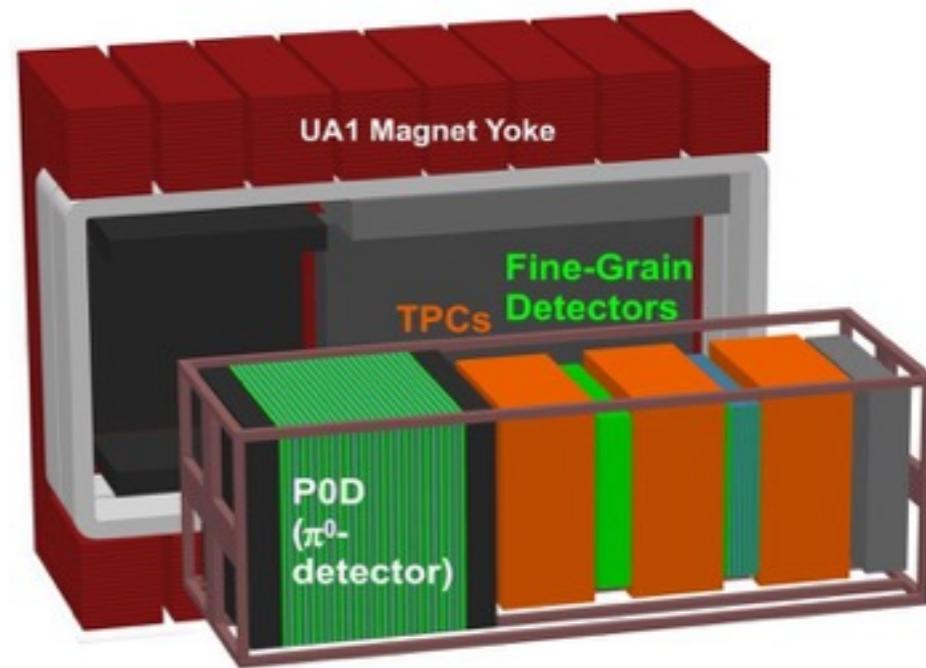
# Near Detector Development



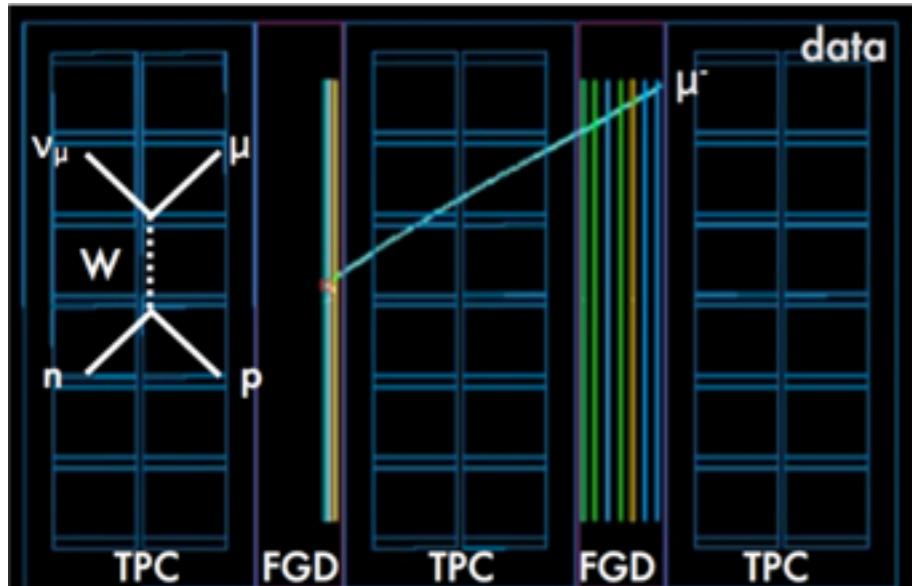
Carbon and Oxygen target materials

Acceptance differs from far detector

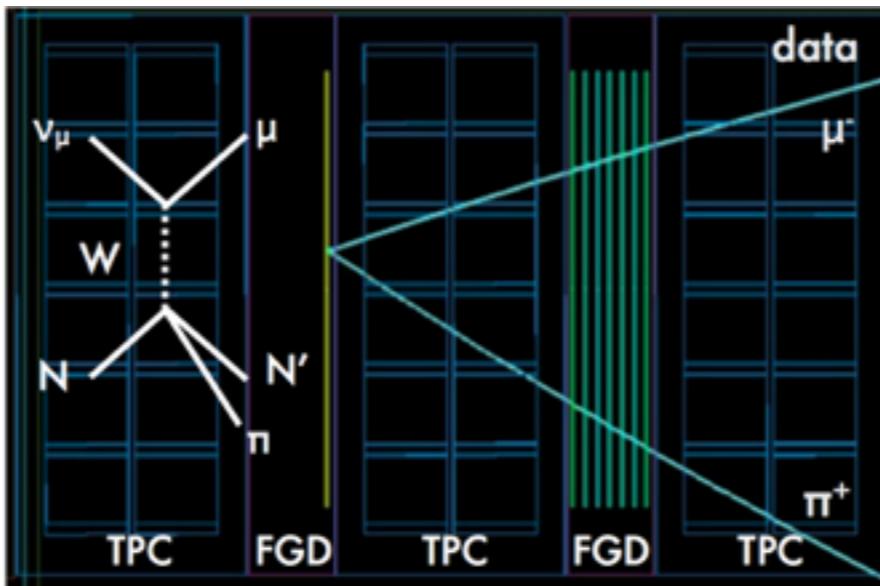
Magnetic field for sign selection



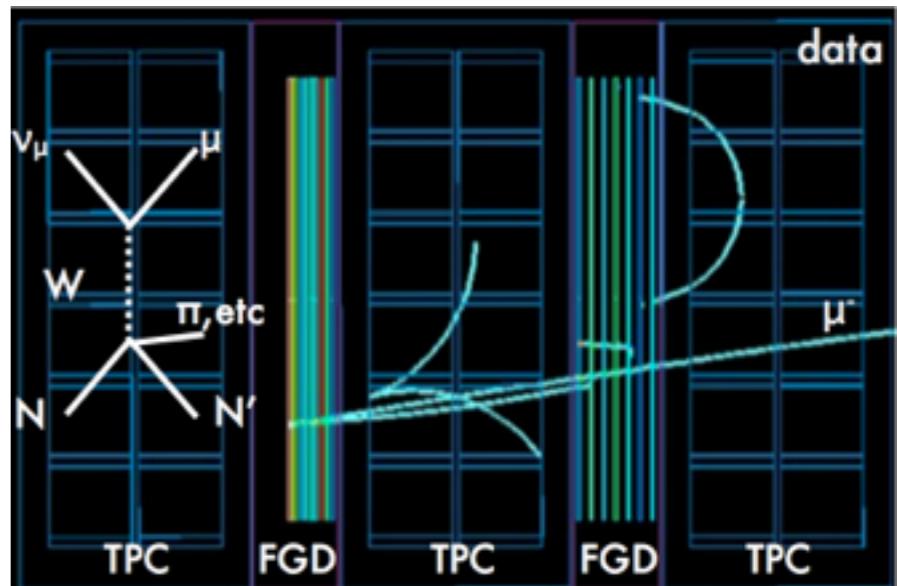
Near Detector (ND280)



CC  $1\mu + 0\pi + X$



CC  $1\mu + 1\pi^+ + X$

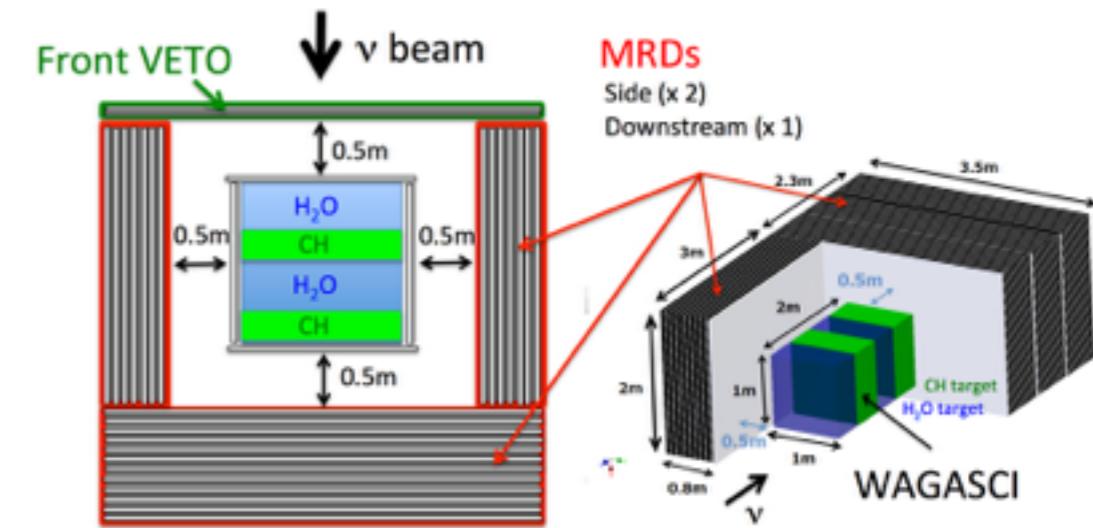
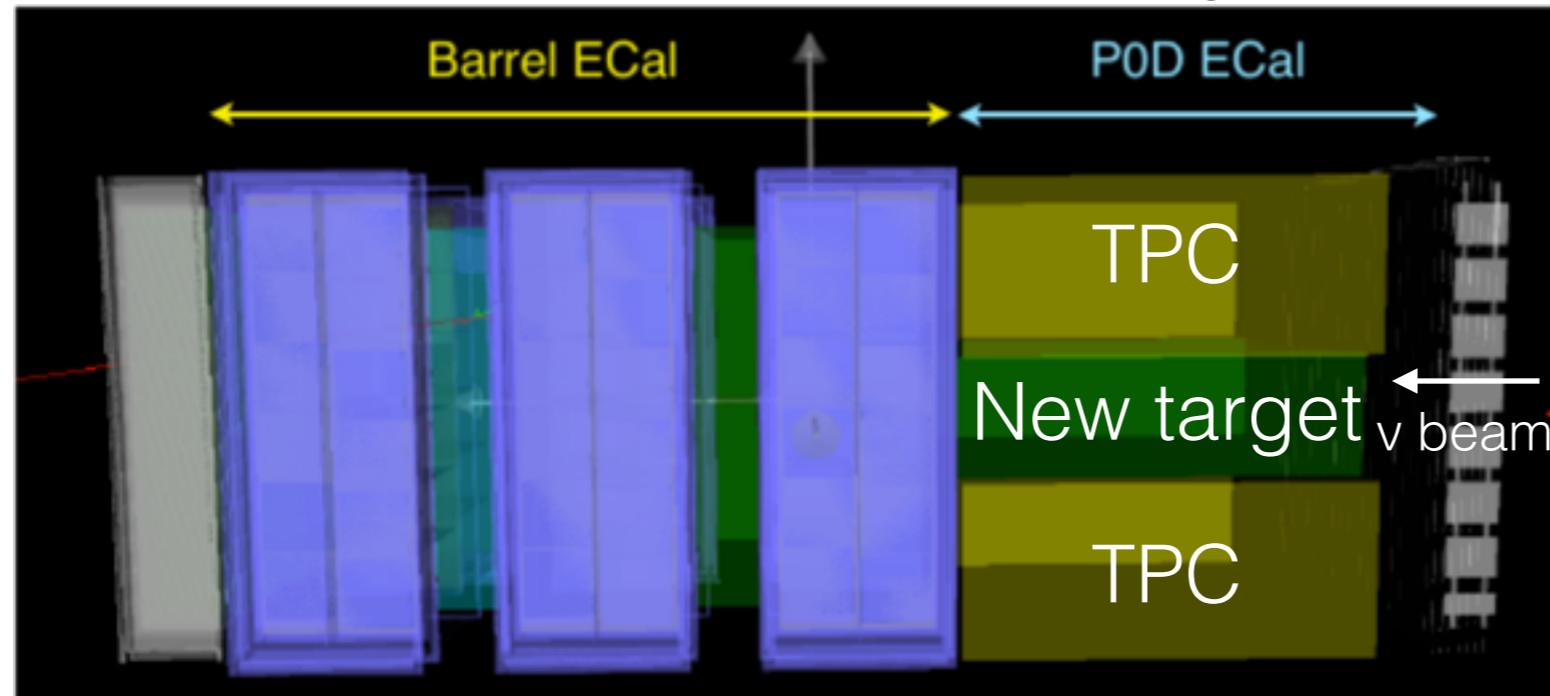


CC other

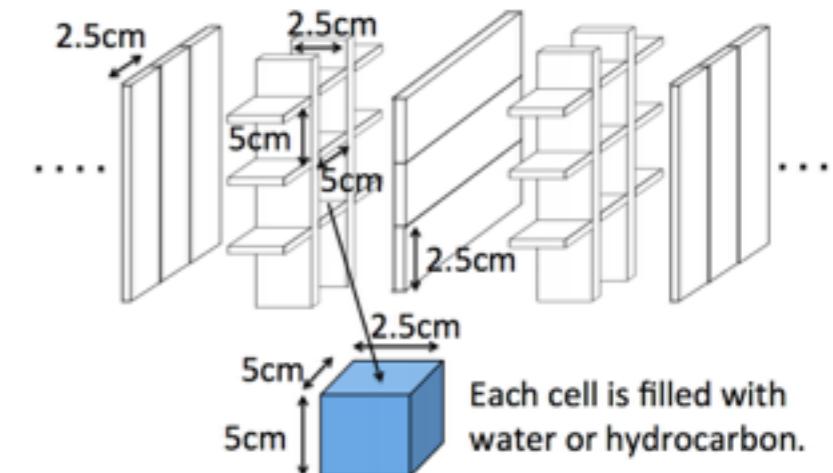
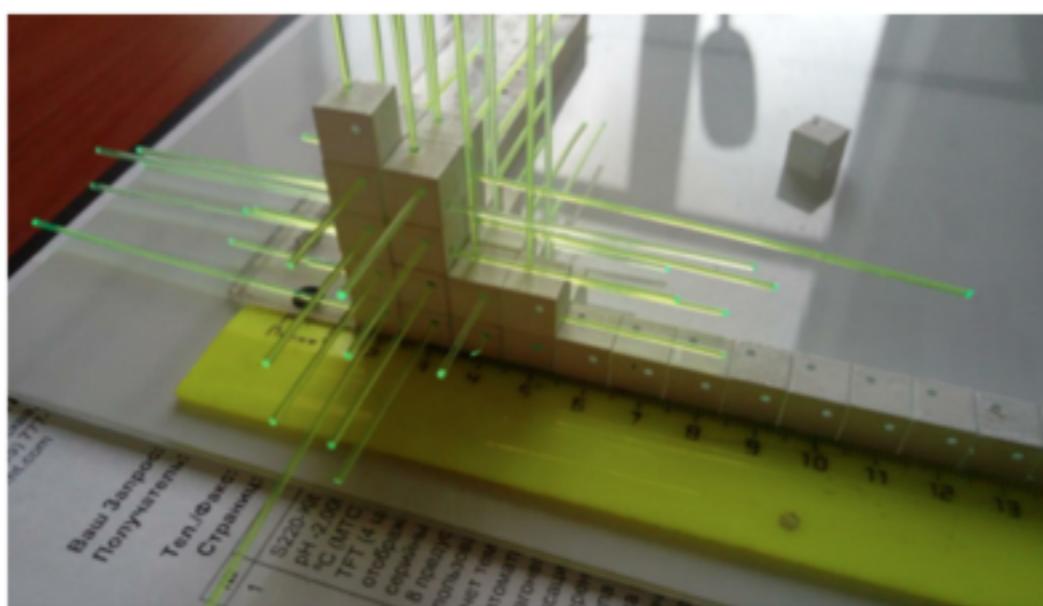
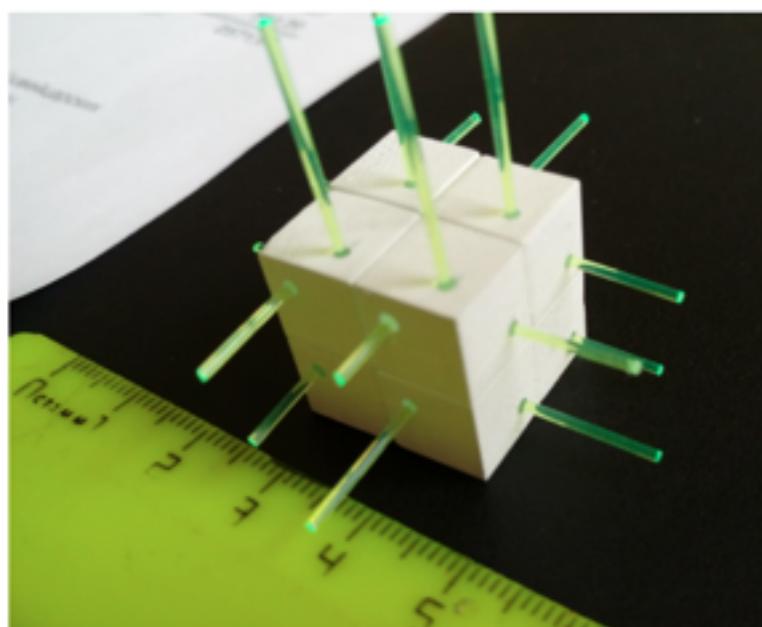
# Near Detector Development



Planned ND280 Near Detector Upgrade

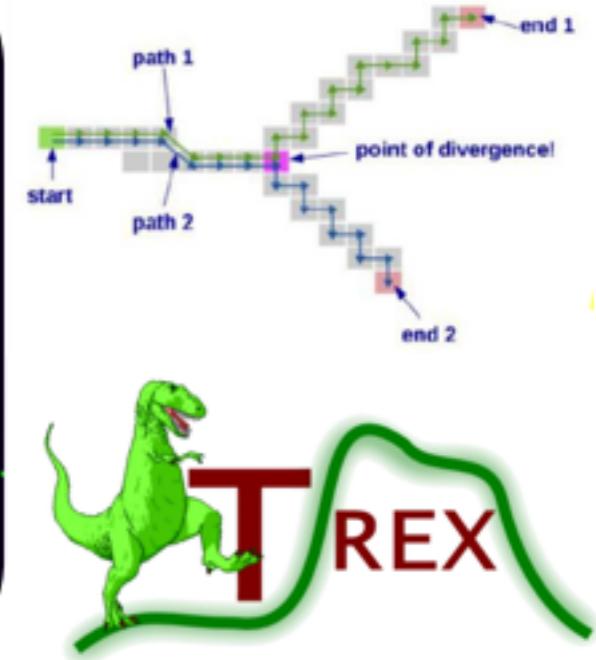
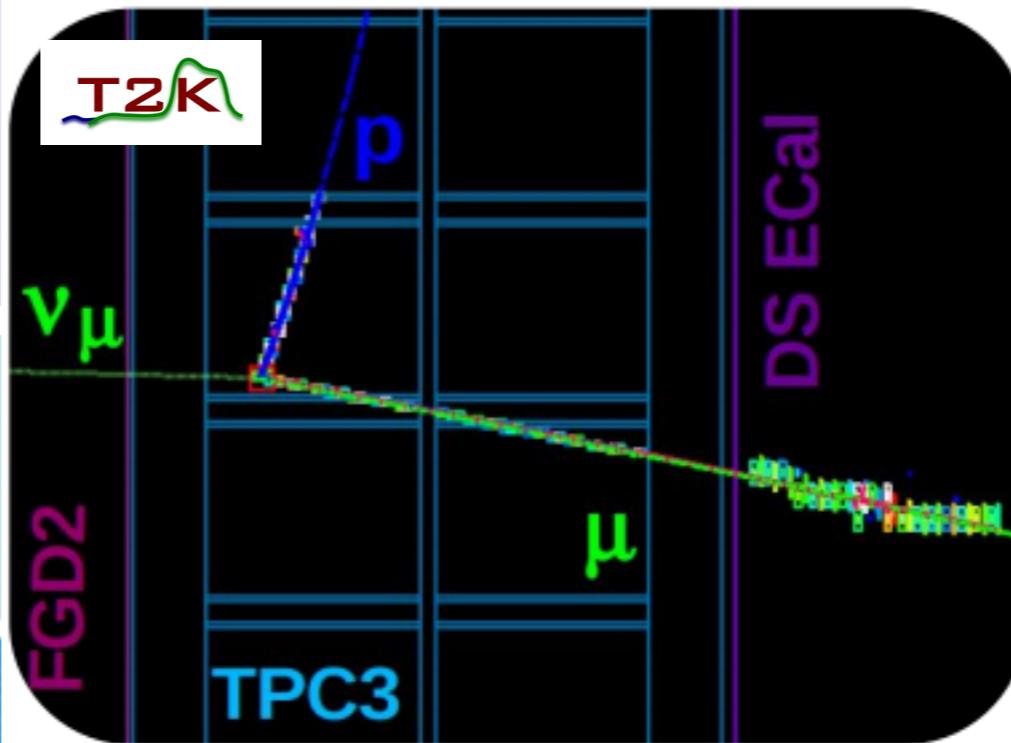
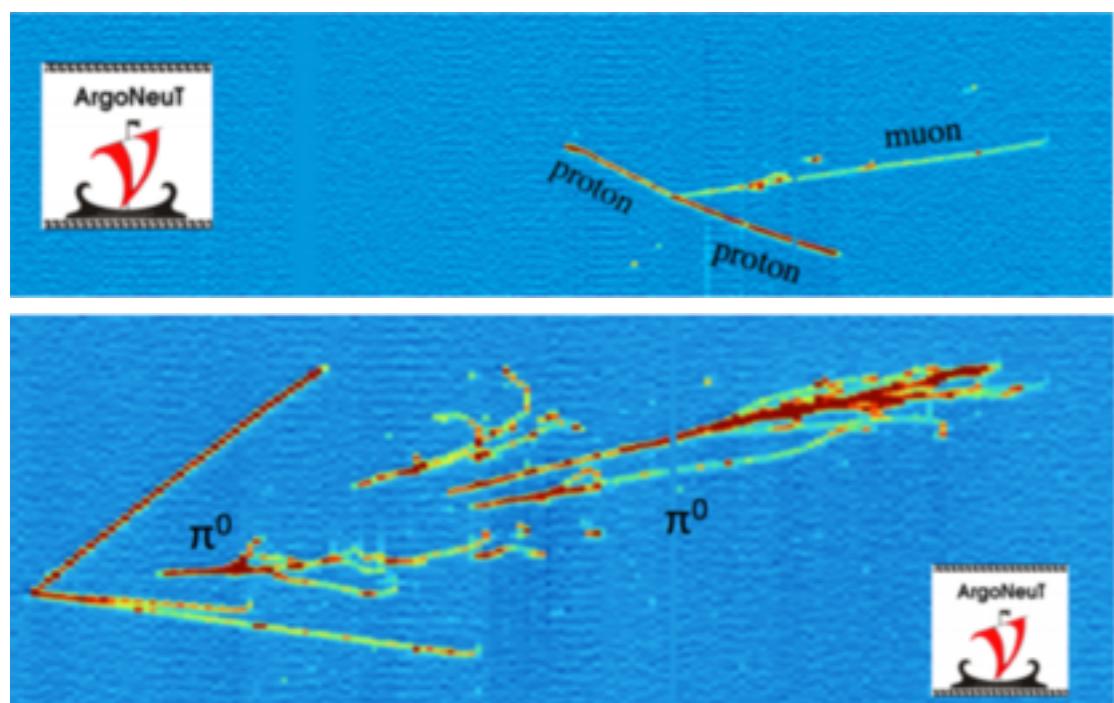
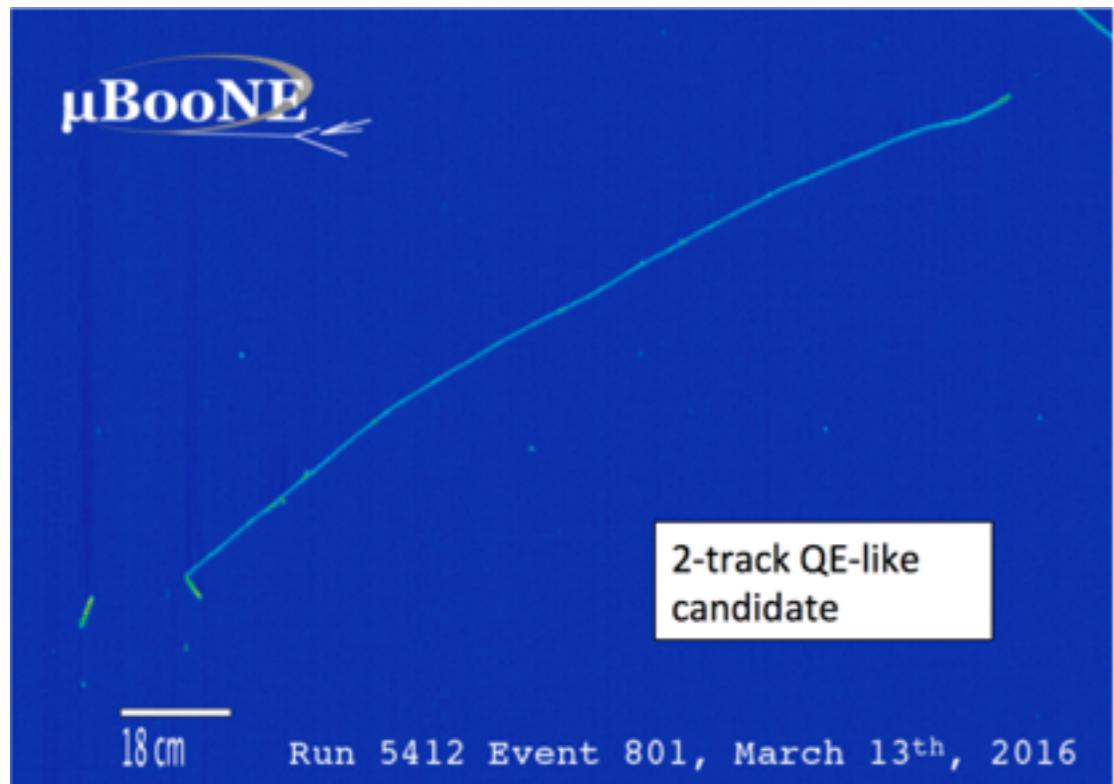


Near detector upgrades for T2K-II and T2HK era  
New target with increased angular acceptance



# Near Detector Development

TPC measurements precisely  
image  $\nu$ -nucleus interaction vertex  
→ better constraints on models

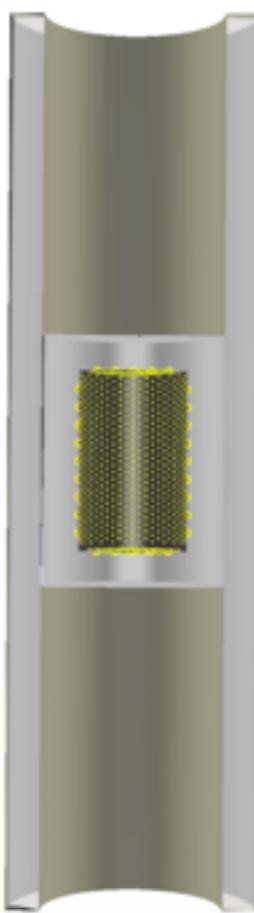


Ultra-low thresholds with gaseous TPC

# E61 Experiment



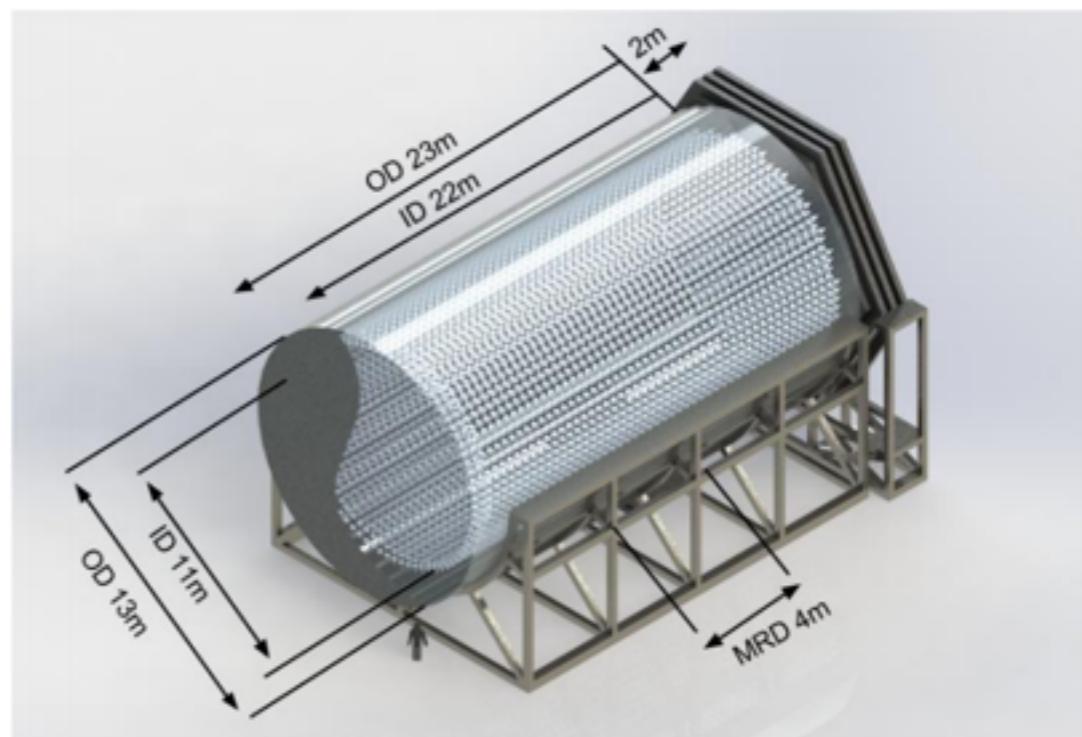
Two competing collaborations



nuPRISM

“Water elevator”

Measure  $\int \sigma(E) \phi(E) dE$   
as a function of theta  
[arXiv:1412.3086]

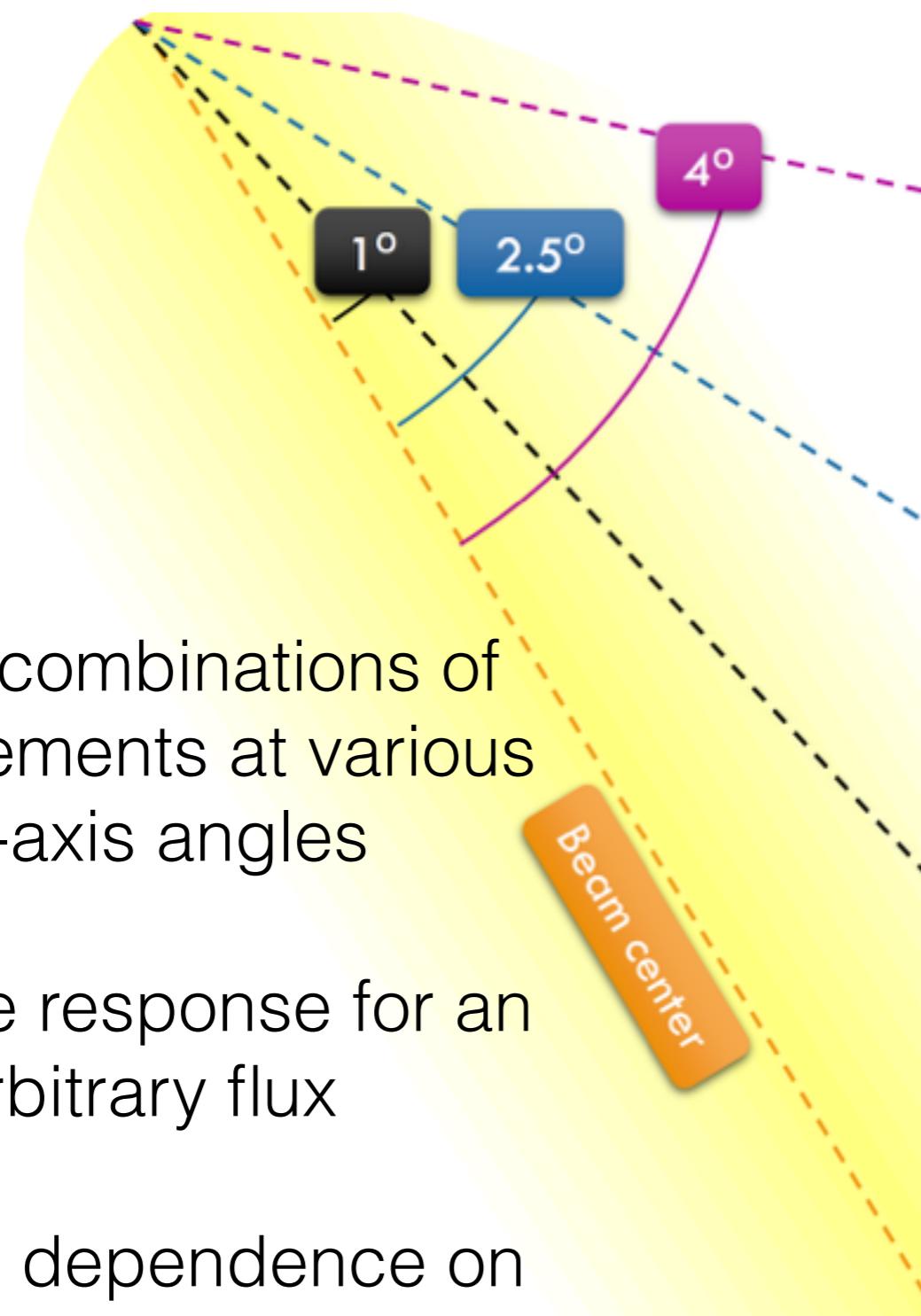


TITUS

same off-axis angle far detector  
Gd, muon range detector  
[arXiv:1606.08114]

Merged into a single collaboration:  
E61 Experiment

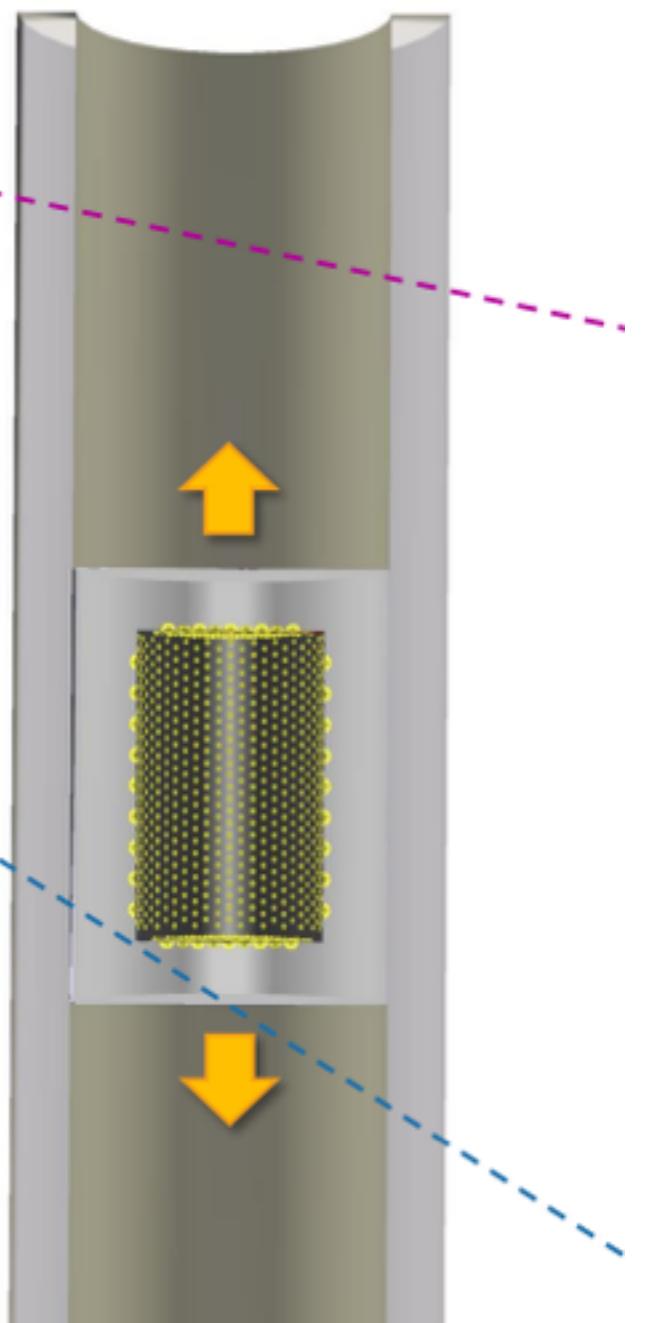
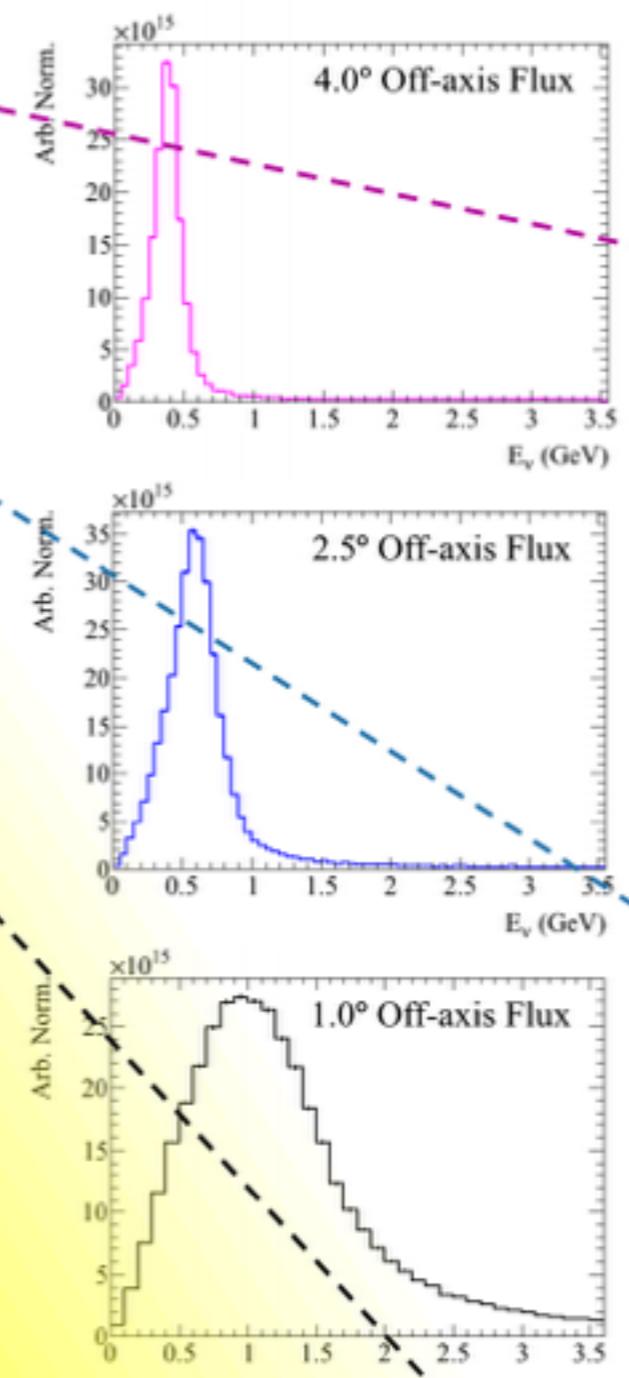
# E61 Experiment



Linear combinations of measurements at various off-axis angles

Measure response for an arbitrary flux

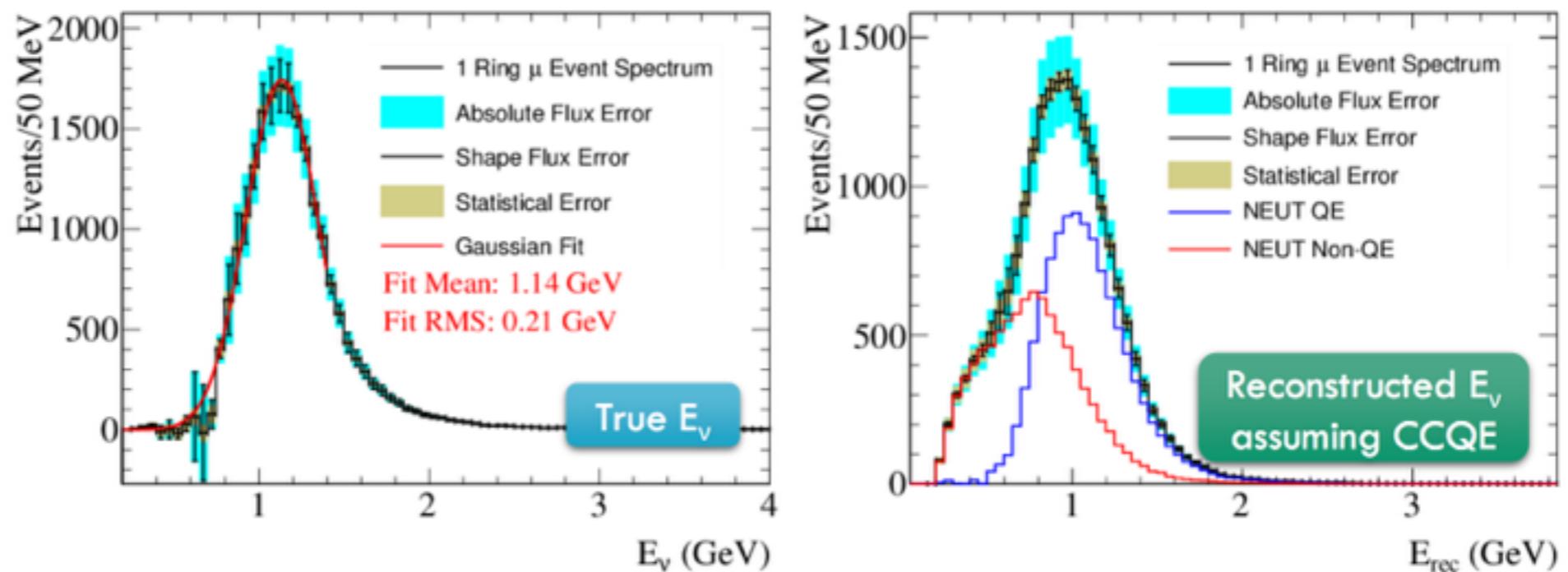
Reduce dependence on nuclear models



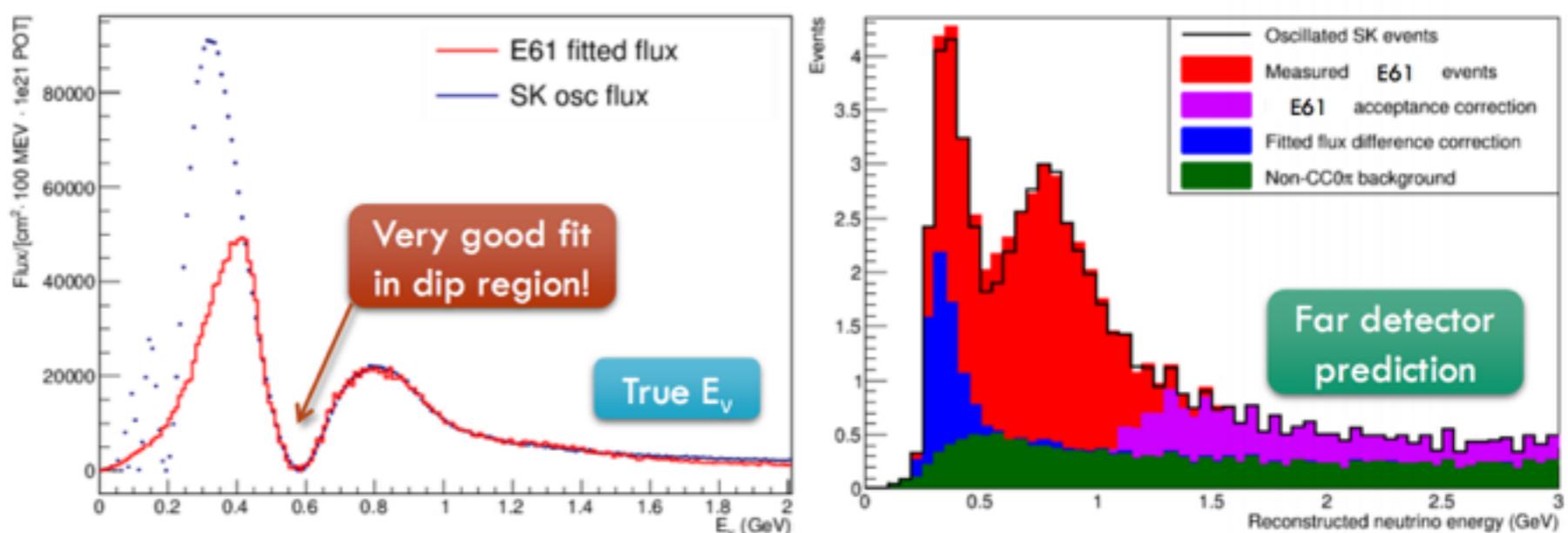
# E61 Experiment

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Pseudo-monochromatic beams



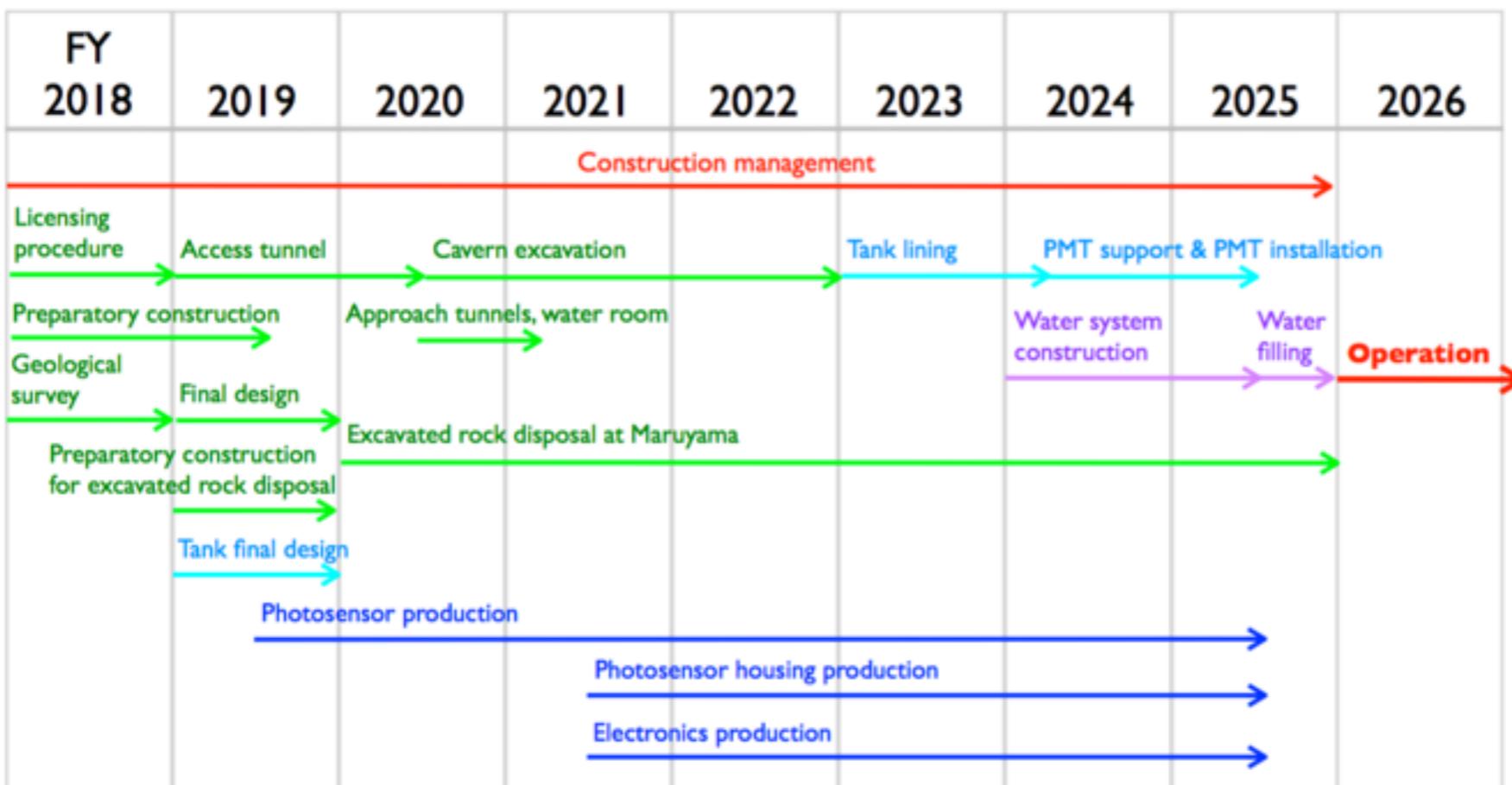
Far detector prediction for oscillated flux



# Project Timeline

HK selected in “Master Plan” of Science Council in Japan  
HK selected as highest-priority large-scale projects MEXT  
Roadmap 2017

Funding request in progress  
Construction: 2018, Operation: 2026



# Summary

Hyper-K well placed to build on the huge success  
of Super-K experiment

Capable of world leading measurements in neutrino  
oscillations, nucleon decay, neutrino astrophysics

Aim to start construction 2018 for operation in 2026

References:

- T2HKK White Paper, arXiv:1611.06118 [hep-ex]
- HK Design Report, KEK Preprint 2016-21
- HK Physics Sensitivity, PTEP (2015) 053C02

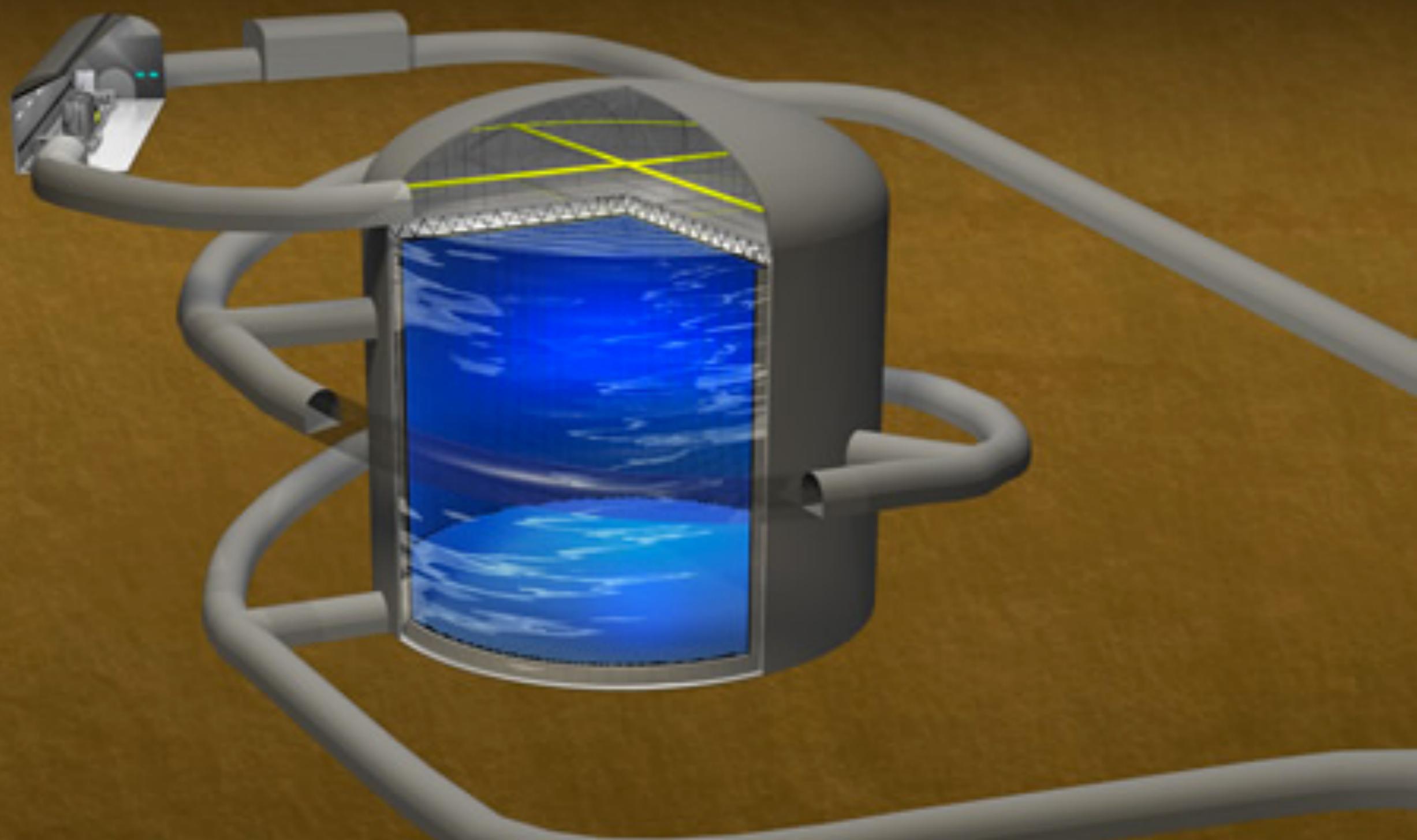


# Hyper-K

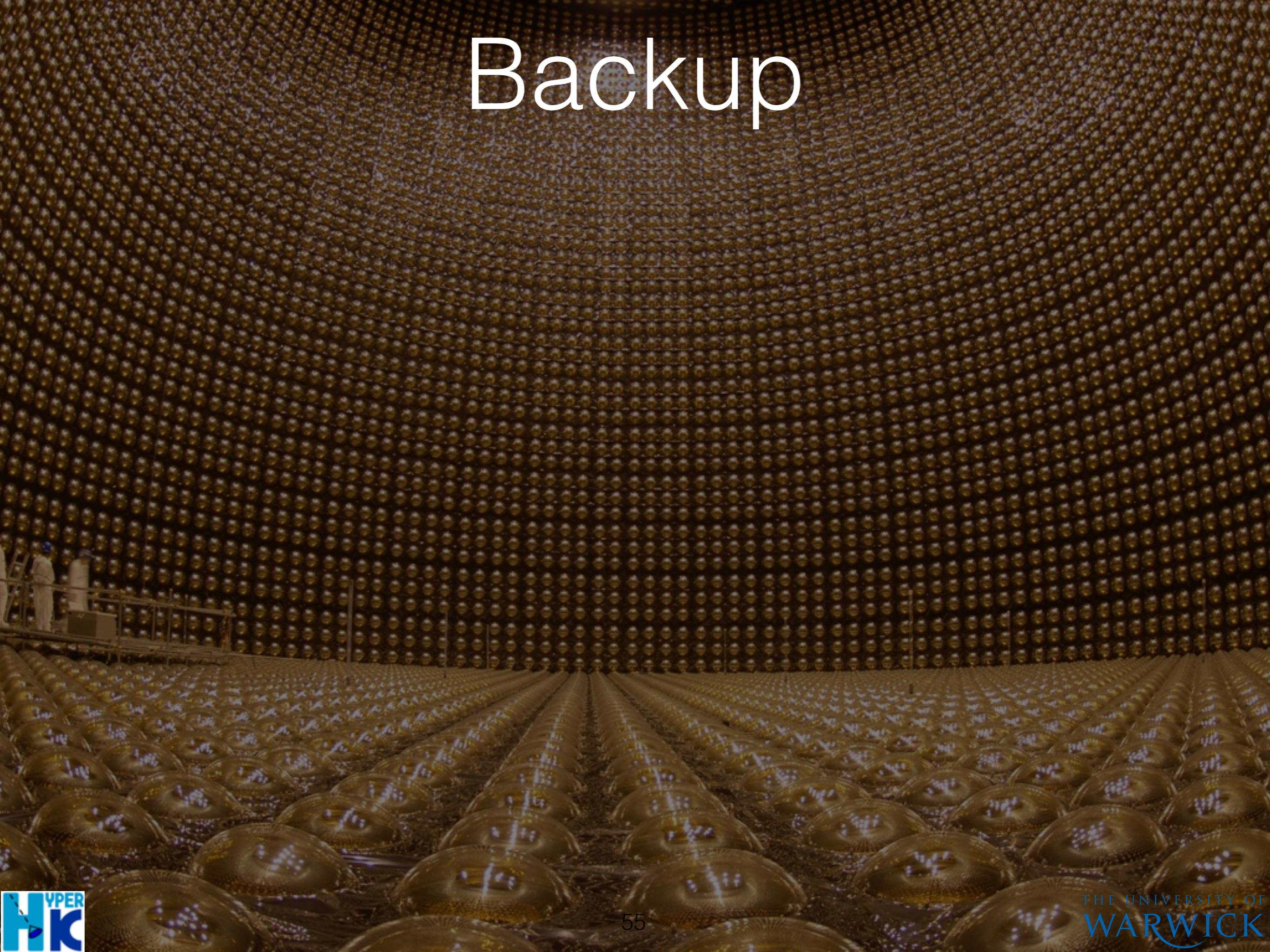
David Hadley, University of Warwick



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THE UNIVERSITY OF WARWICK



# Backup



# Physics at Hyper-K

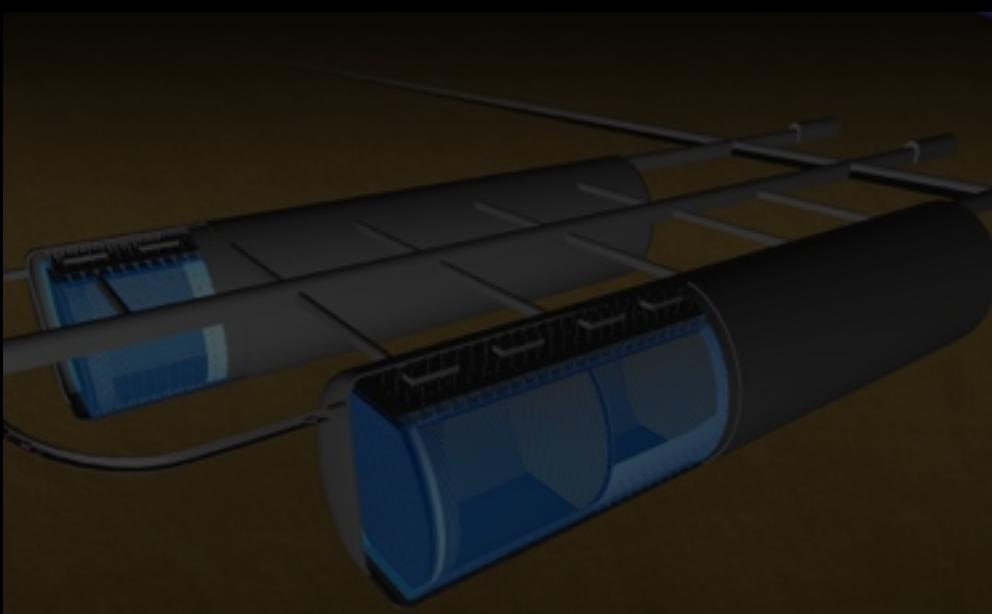
## Proton Decay

$$p \rightarrow e^+ + \pi^0$$

$>1.3 \times 10^{35}$  years 90% CL

$$p \rightarrow \bar{\nu} + K^+$$

$>3.2 \times 10^{34}$  years 90% CL



## Neutrinos

### Solar



200 solar  $\nu$  per day

Indirect dark matter search

### Supernova

SN  $\sim 200,000$  @ 10kPC

SN  $\sim 30-50$  @ M31

### Accelerator

Leptonic CP violation  
(see following slides)



Mass Hierarchy determination

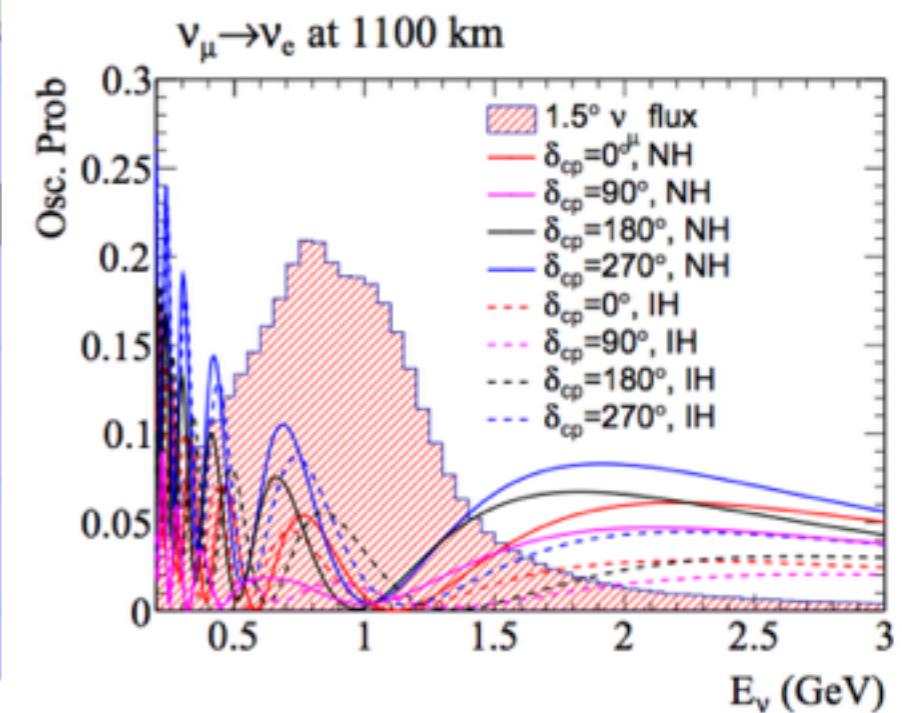
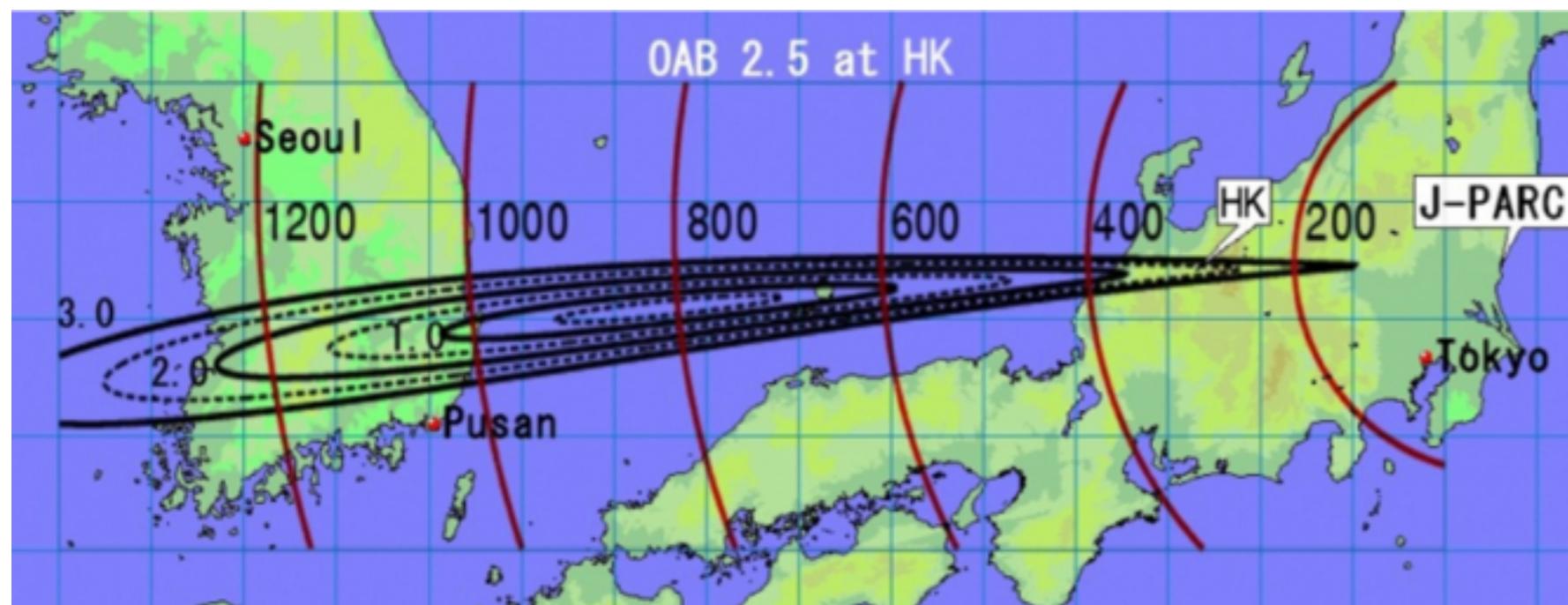
$>3\sigma$

$\Theta_{23}$  octant determination  
 $3\sigma$  for  $\sin^2 \Theta_{23} > 0.56$  or  $\sin^2 \Theta_{23} < 0.46$



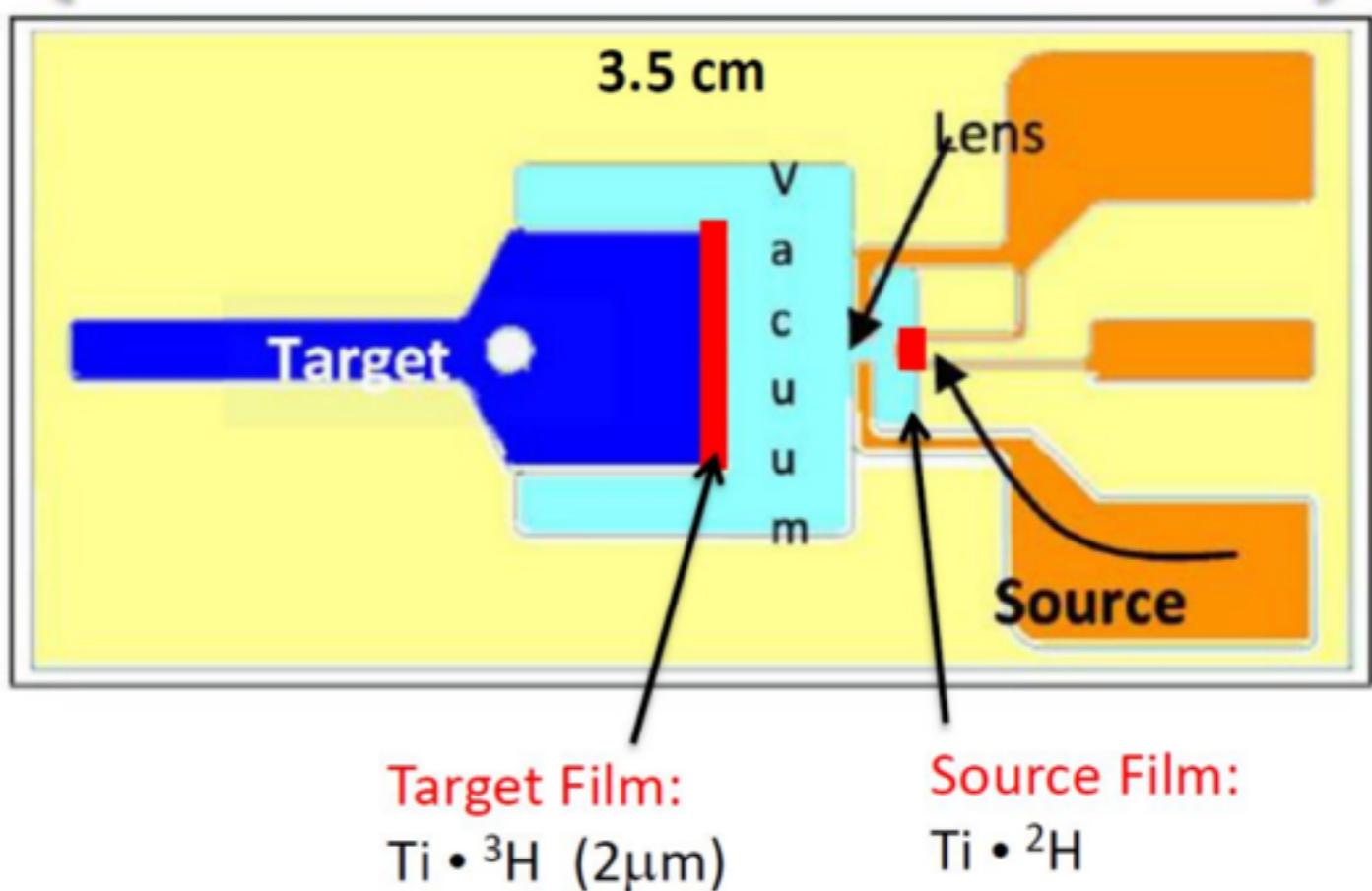
Broad physics programme.

# Korean Tank



Stronger CP effect at the second oscillation maximum

A second tank in Korea would be able to measure this effect



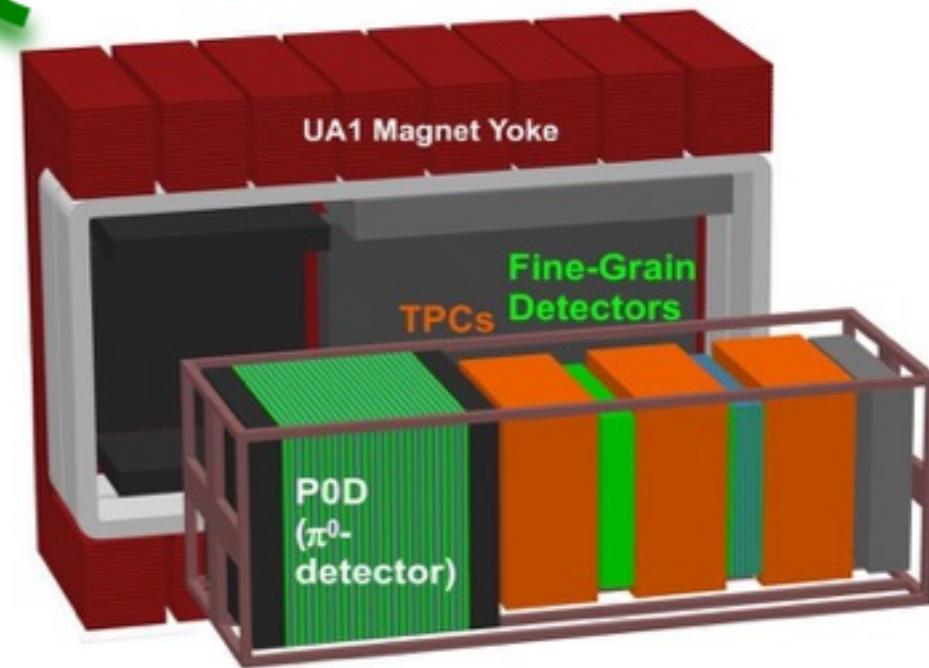


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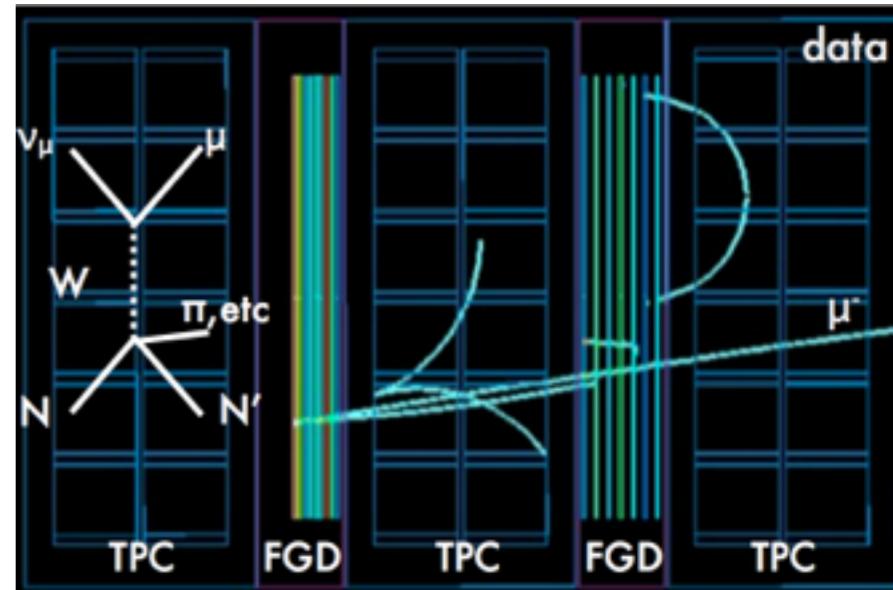
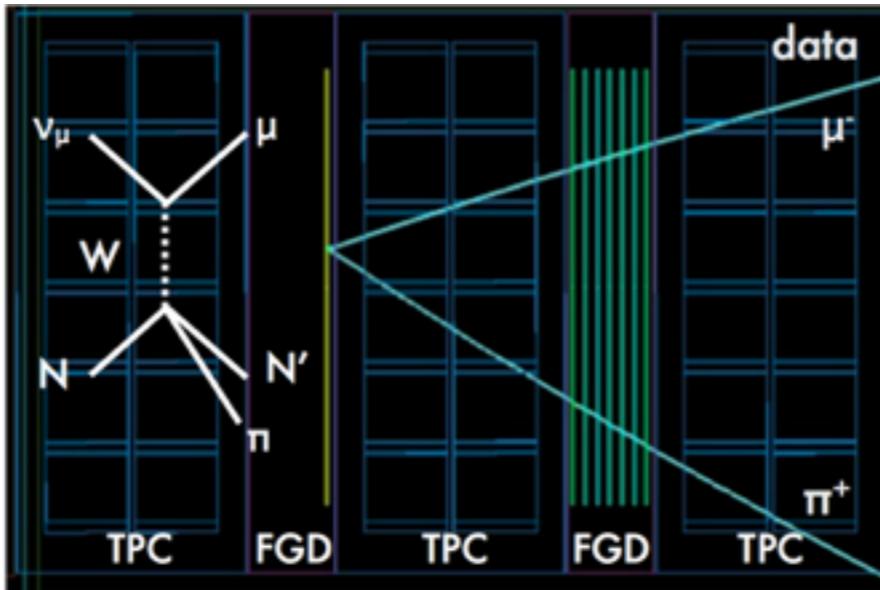
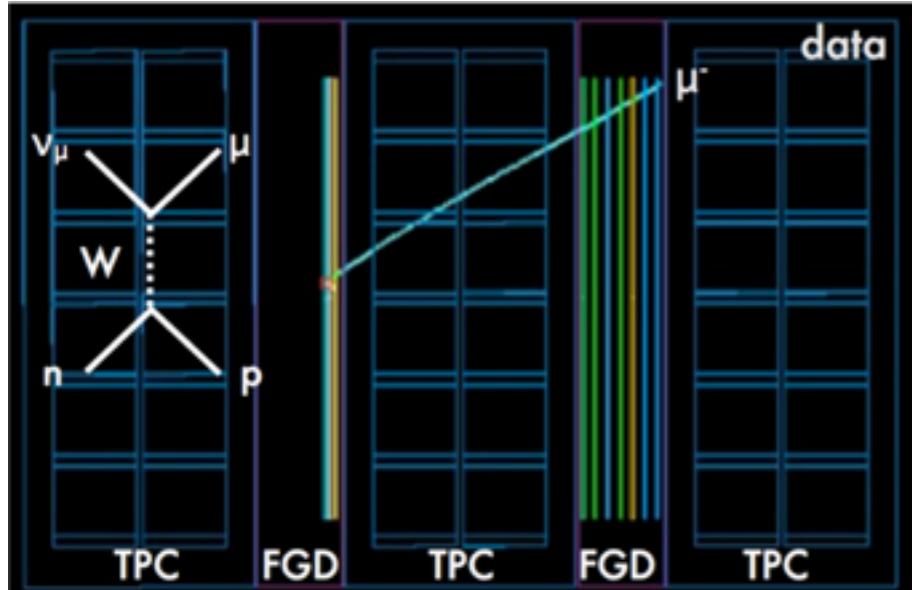
Carbon and Oxygen target materials

Acceptance differs from far detector

Magnetic field for sign selection

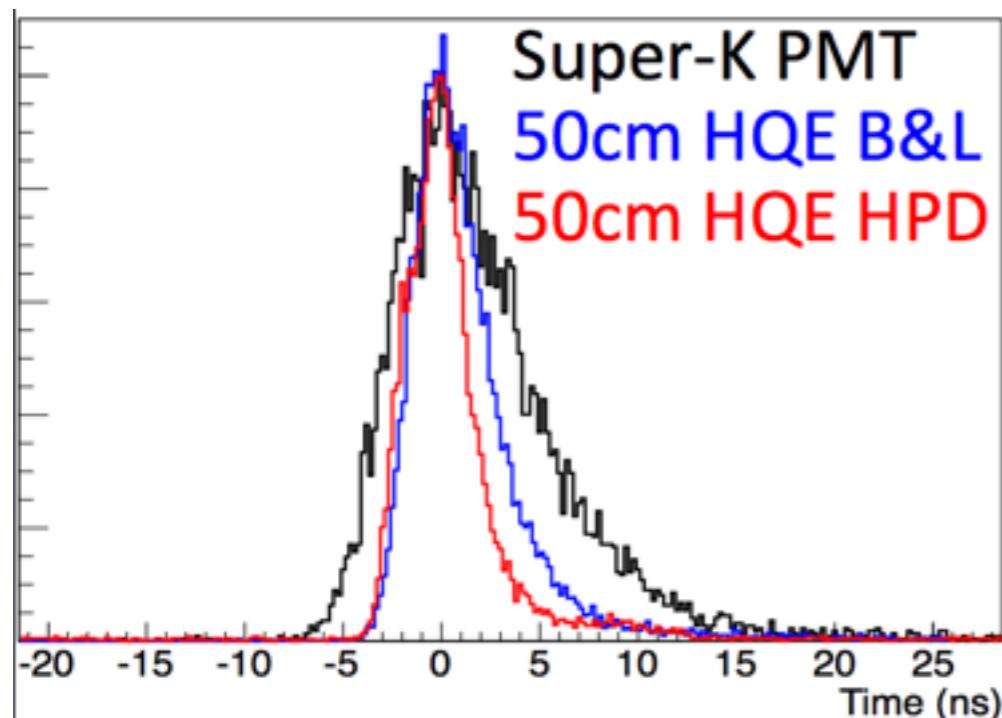


Near Detector (ND280)

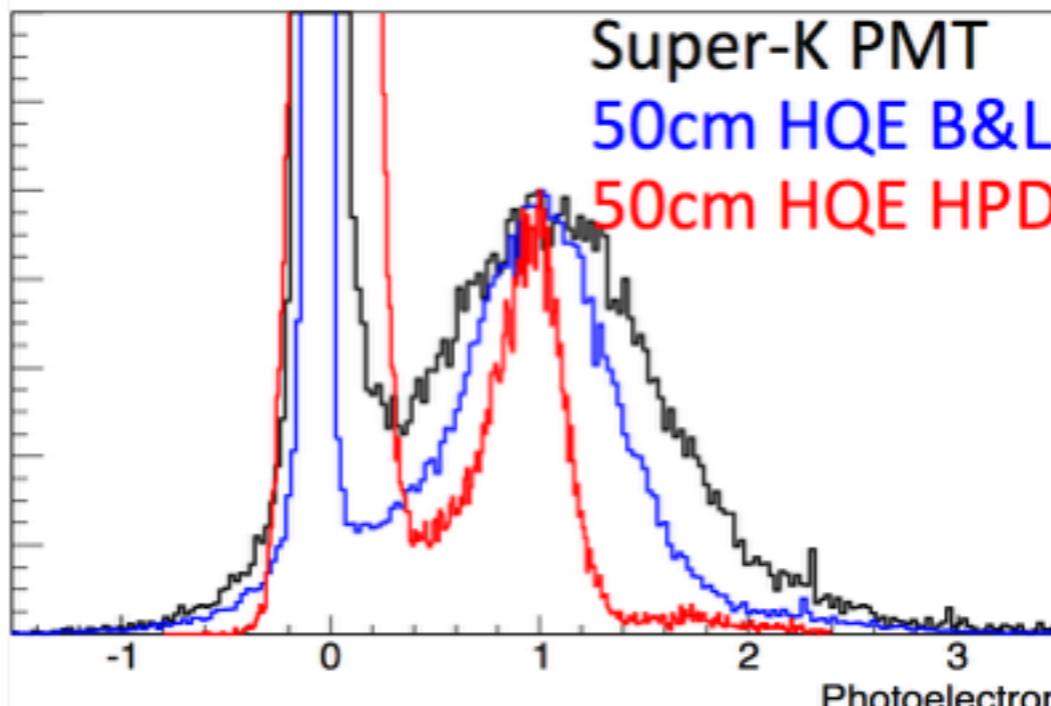


# Photo Sensors

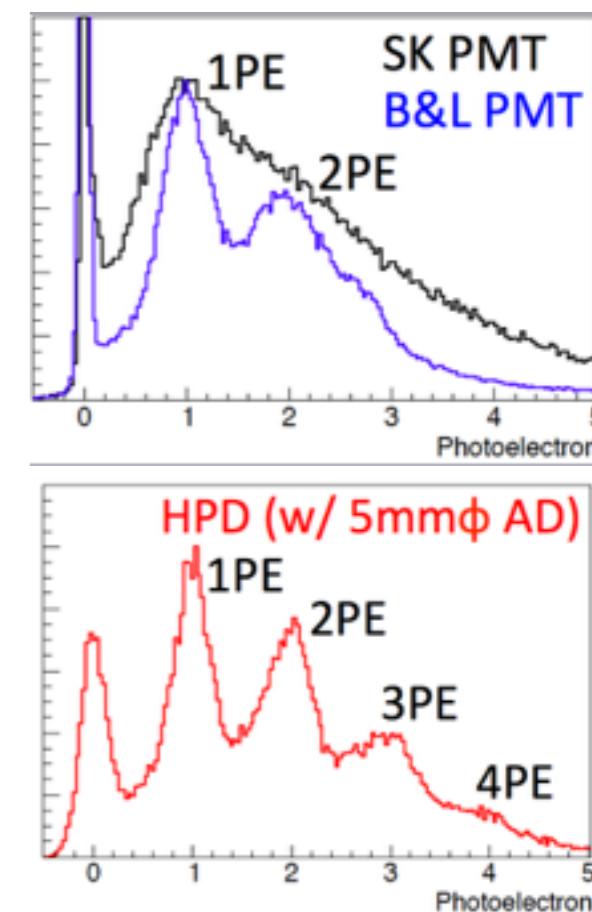
Time Resolution



1p.e. charge distribution

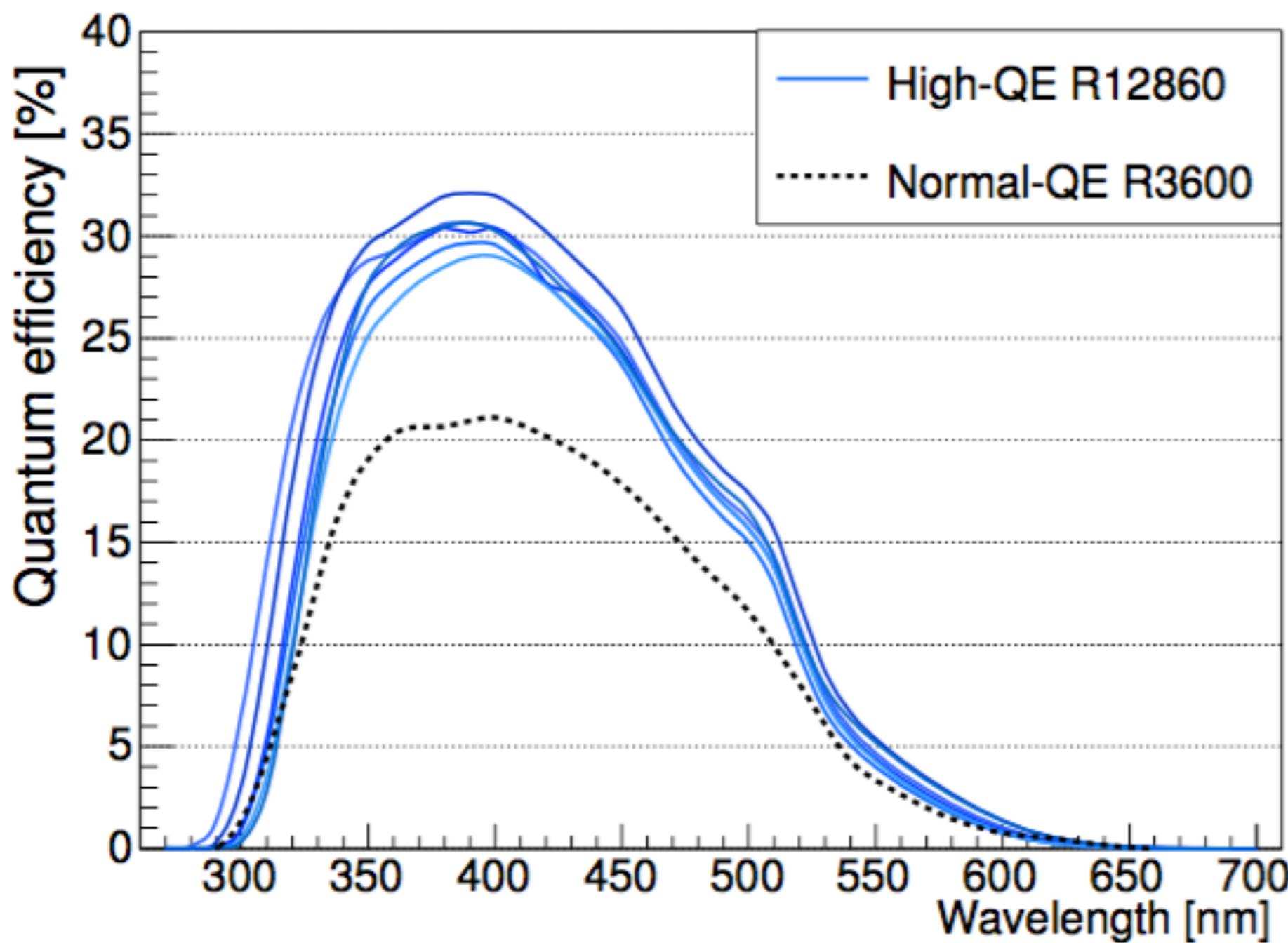


Multi-p.e. charge distribution



	SK PMT	B&L PMT	50cm HPD (20cm)
1PE T resolution $\sigma$ (ns)	2.1	1.1	1.4 (1.1)
FWHM (ns)	7.3	4.1	3.4 (3.3)
1PE Q resolution $\sigma/\text{mean}$	53%	35%	16% (12%)
Peak-to-Valley ratio	2.2	4.3	3.9 (5.2)

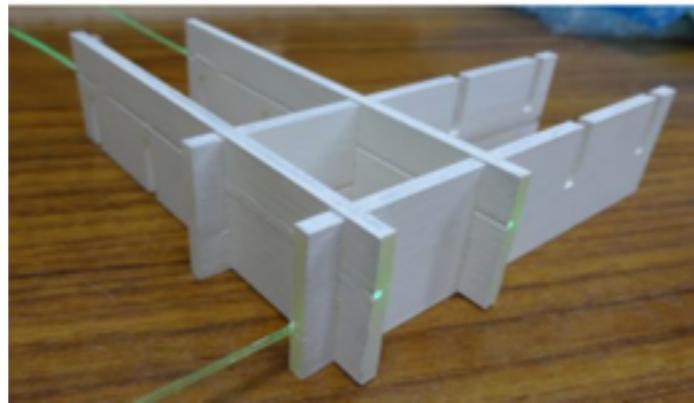
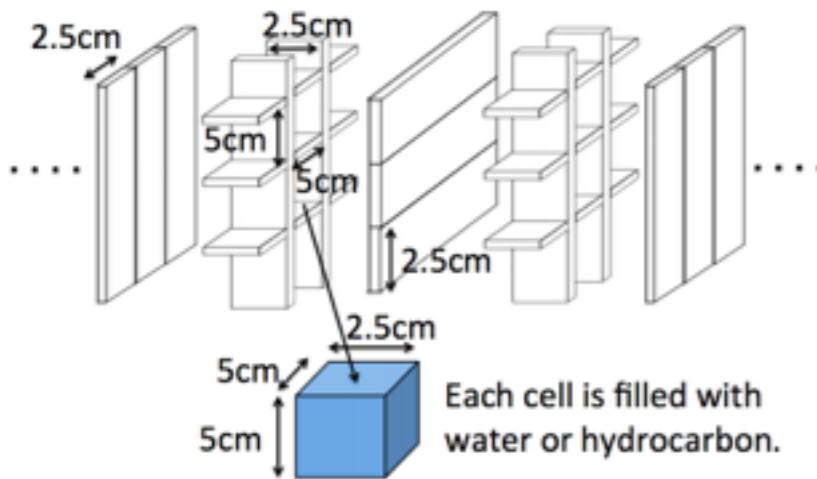
# Photo Sensors



# Near Detector Development

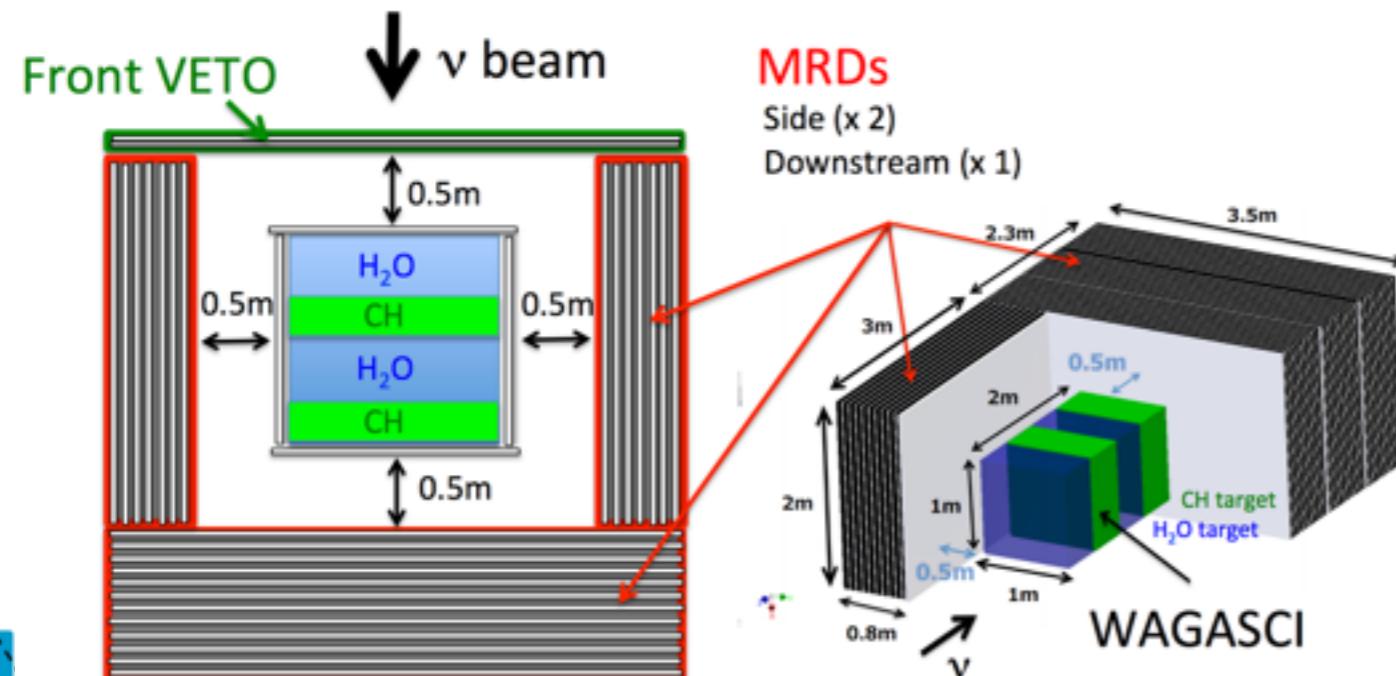
New/Upgraded Detectors in the Existing ND280 Complex

## WAGASHI

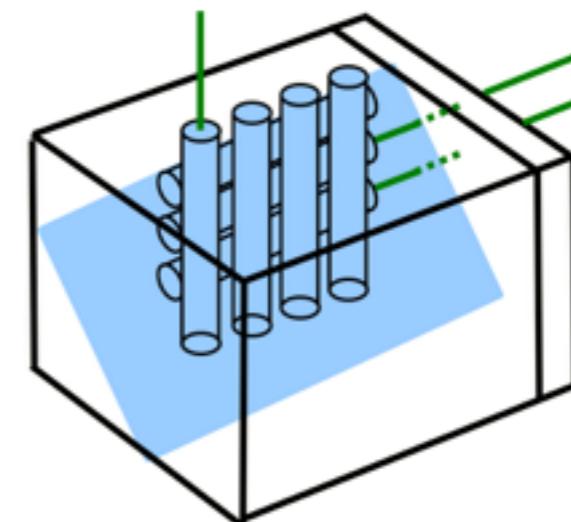


Water dominated target

$4\pi$  acceptance



Water based liquid scintillator



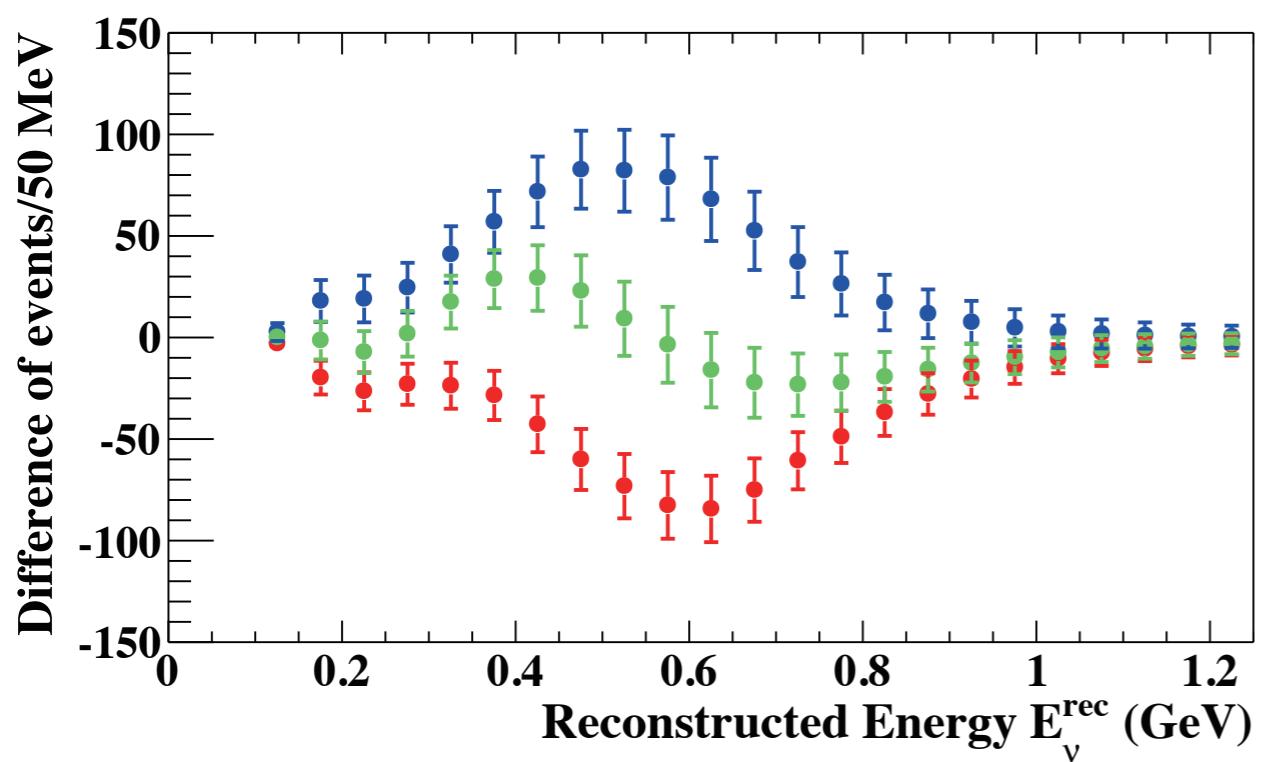
An alternative approach is to improve knowledge of neutrino-nucleus interactions



e.g. High Pressure Gas TPC

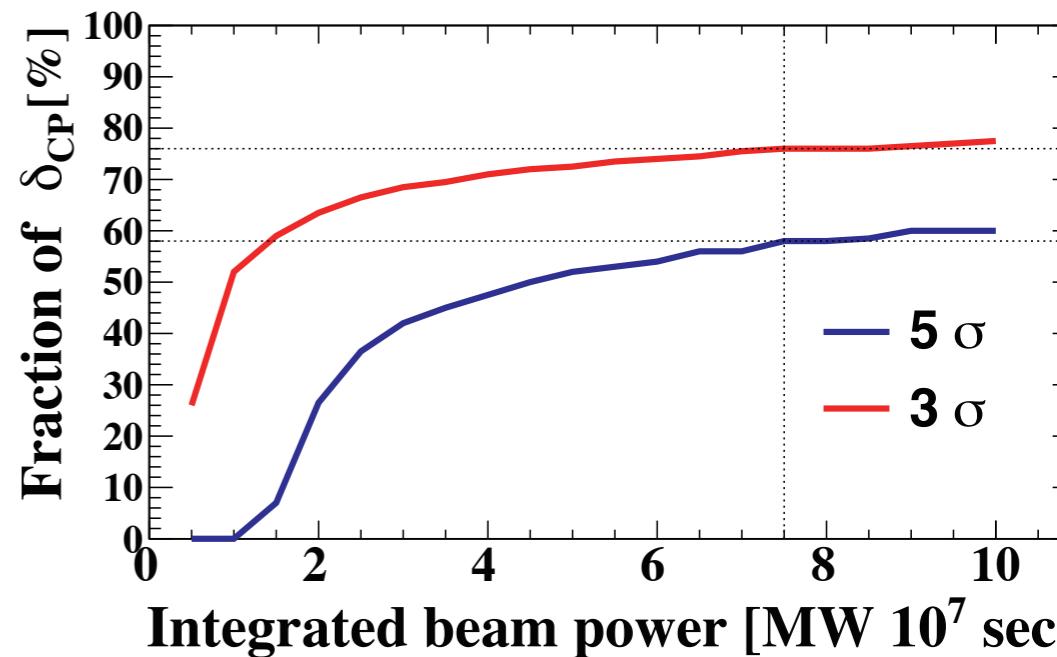
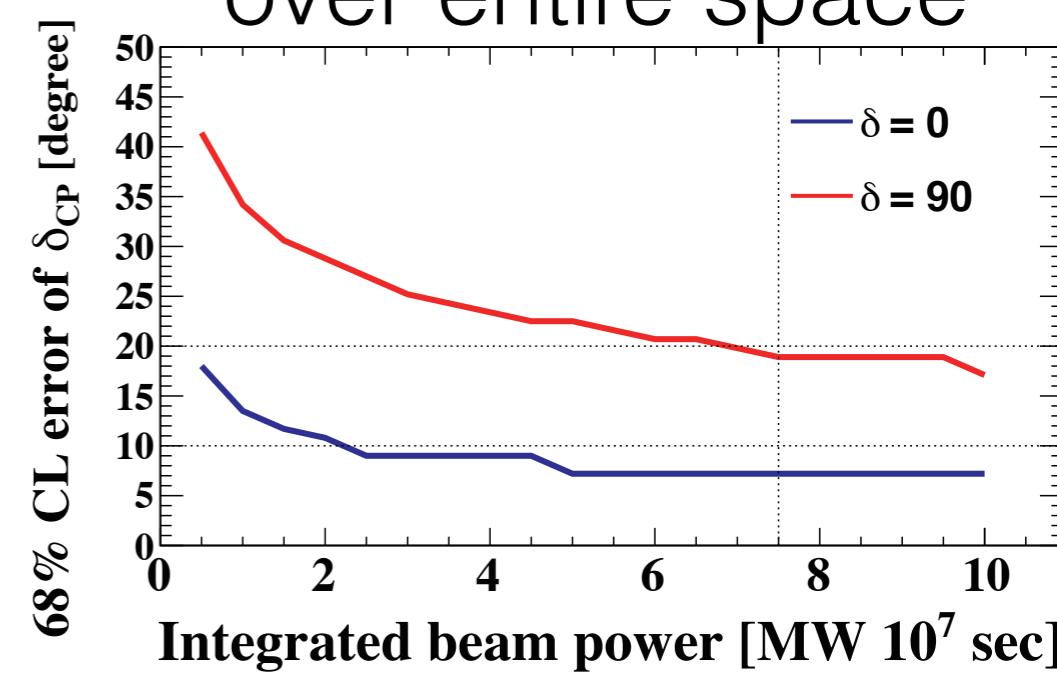
# Leptonic CP Violation

Measure  $\delta_{CP}$  by comparing data with beam in  $\nu$ -mode with anti- $\nu$  mode

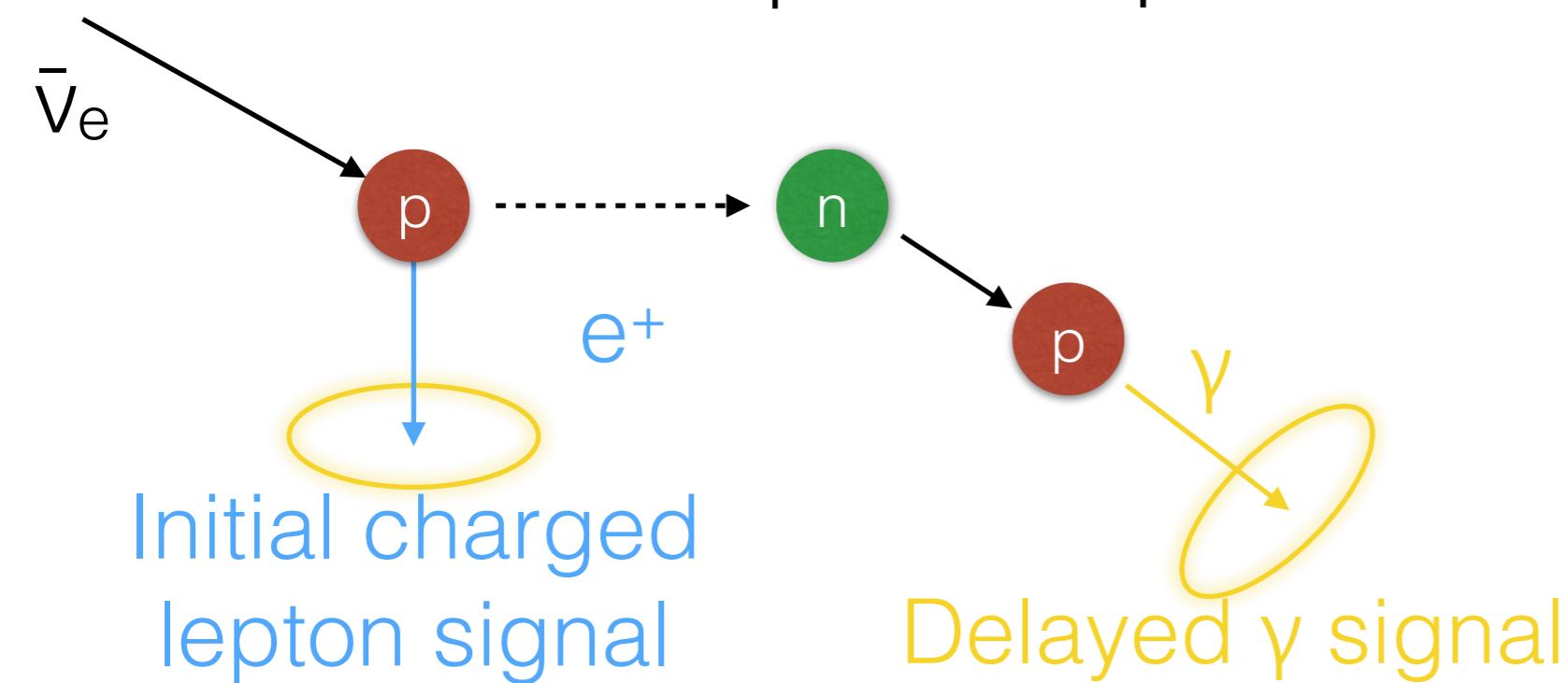
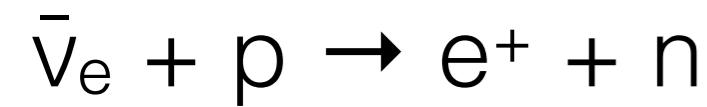


CP violation can be established at  $3\sigma$  ( $5\sigma$ ) for 76% (58%) of  $\delta_{CP}$  space.

$\delta_{CP}$  measured to  $< 20^\circ$  over entire space



# Neutron Capture on Hydrogen



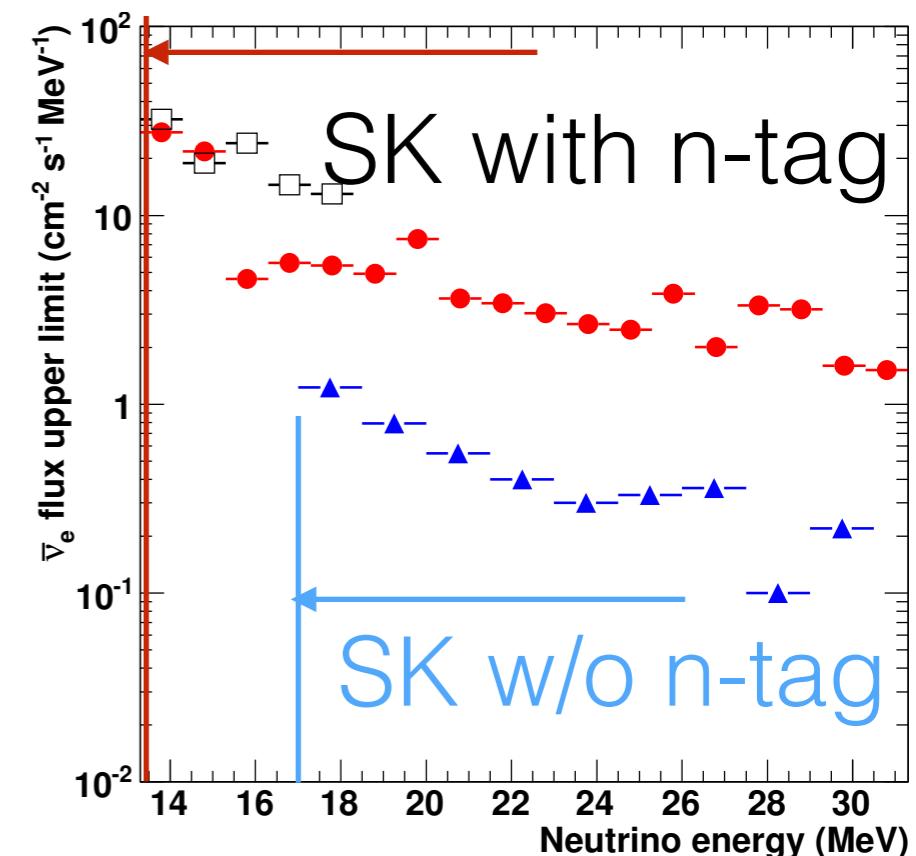
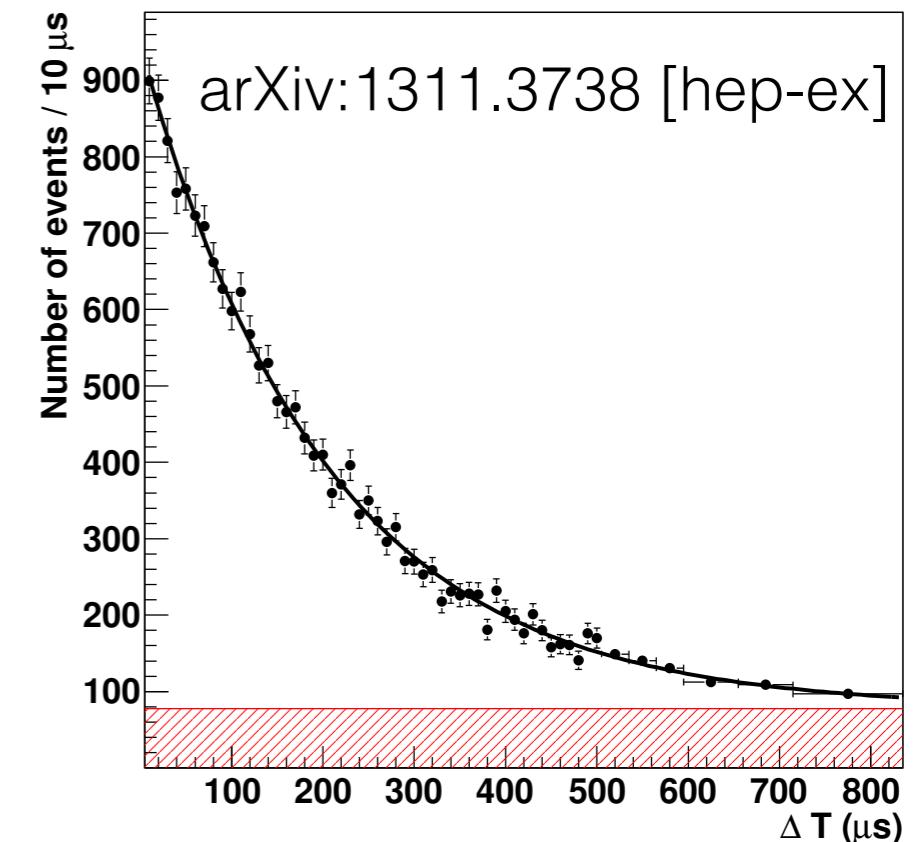
200  $\mu\text{s}$  capture time

$E_\gamma = 2.2 \text{ MeV}$

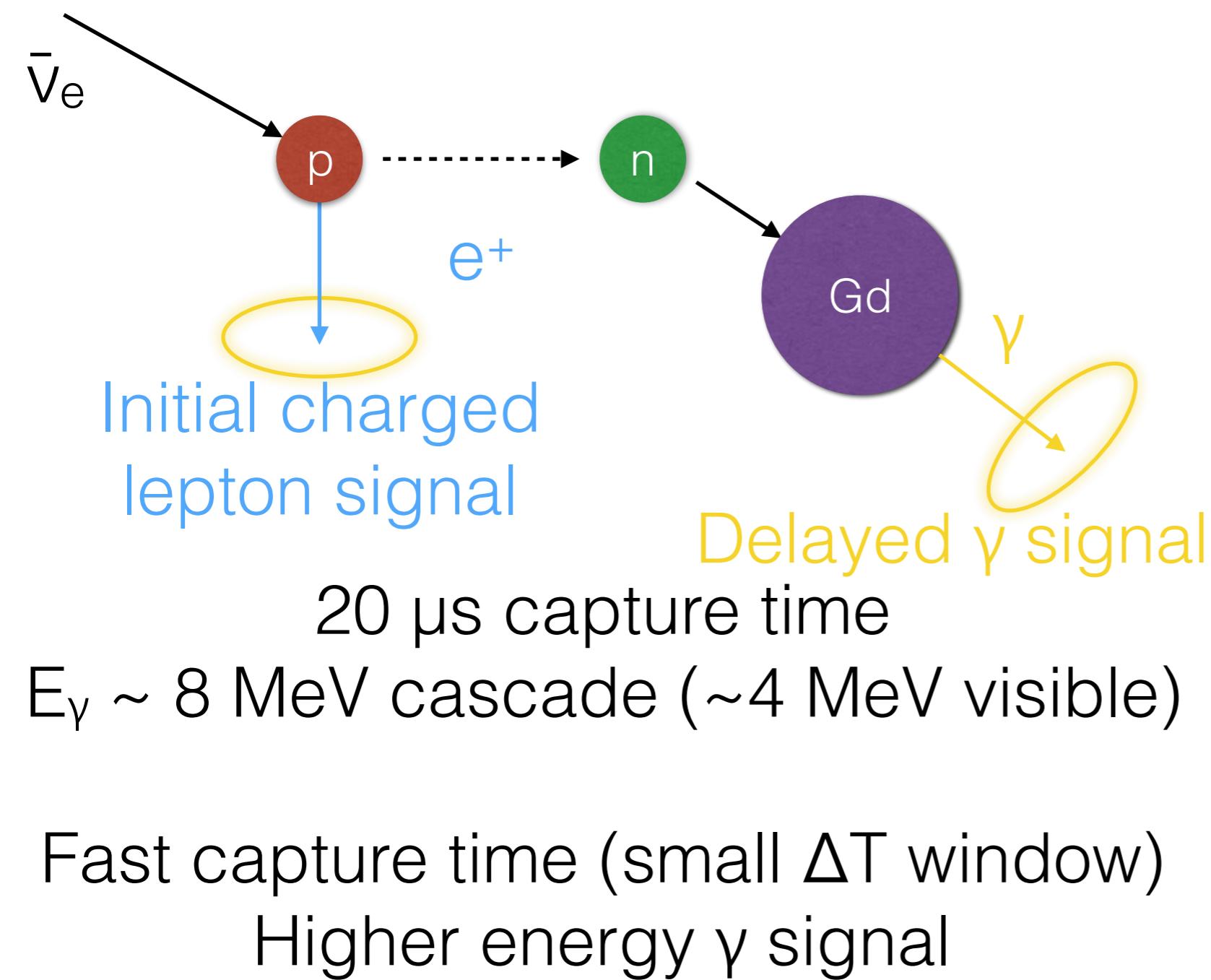
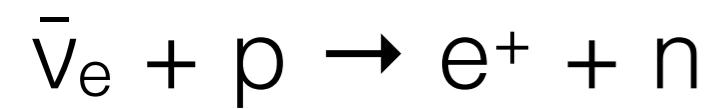
Low light yield

Close to or below trigger threshold

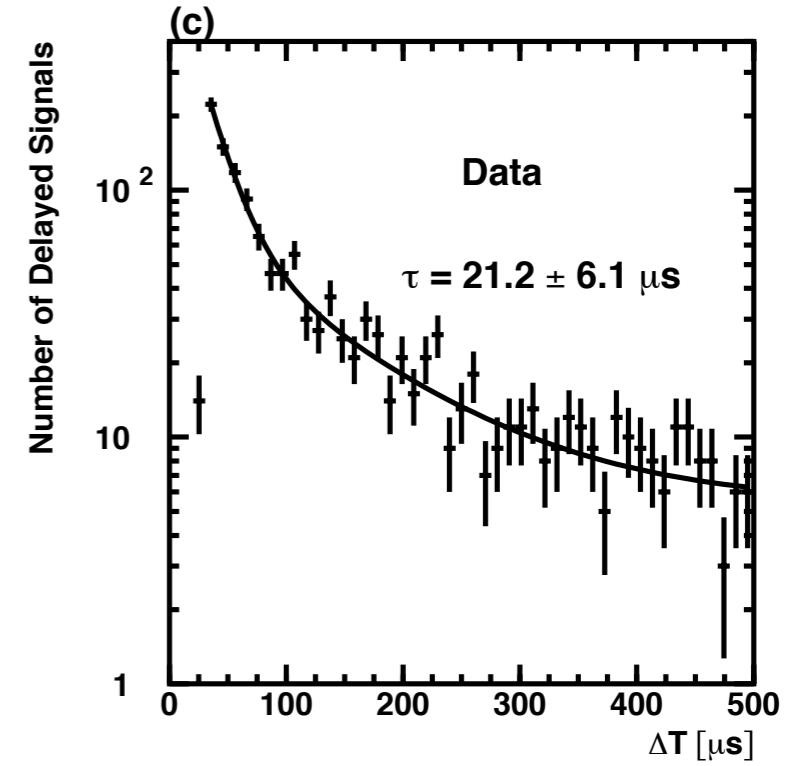
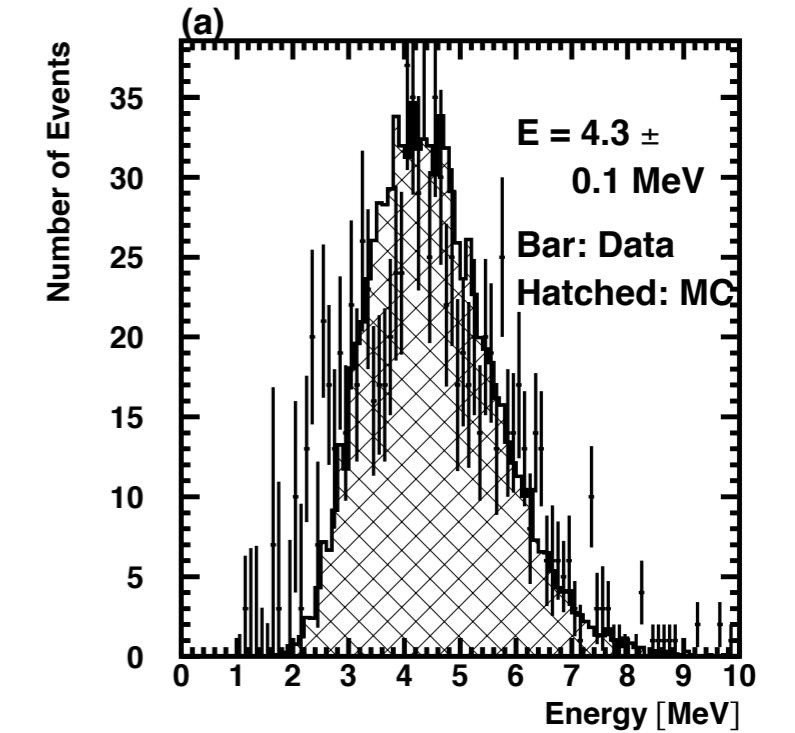
Low detection efficiency (~18%)



# Neutron Capture on Gadolinium

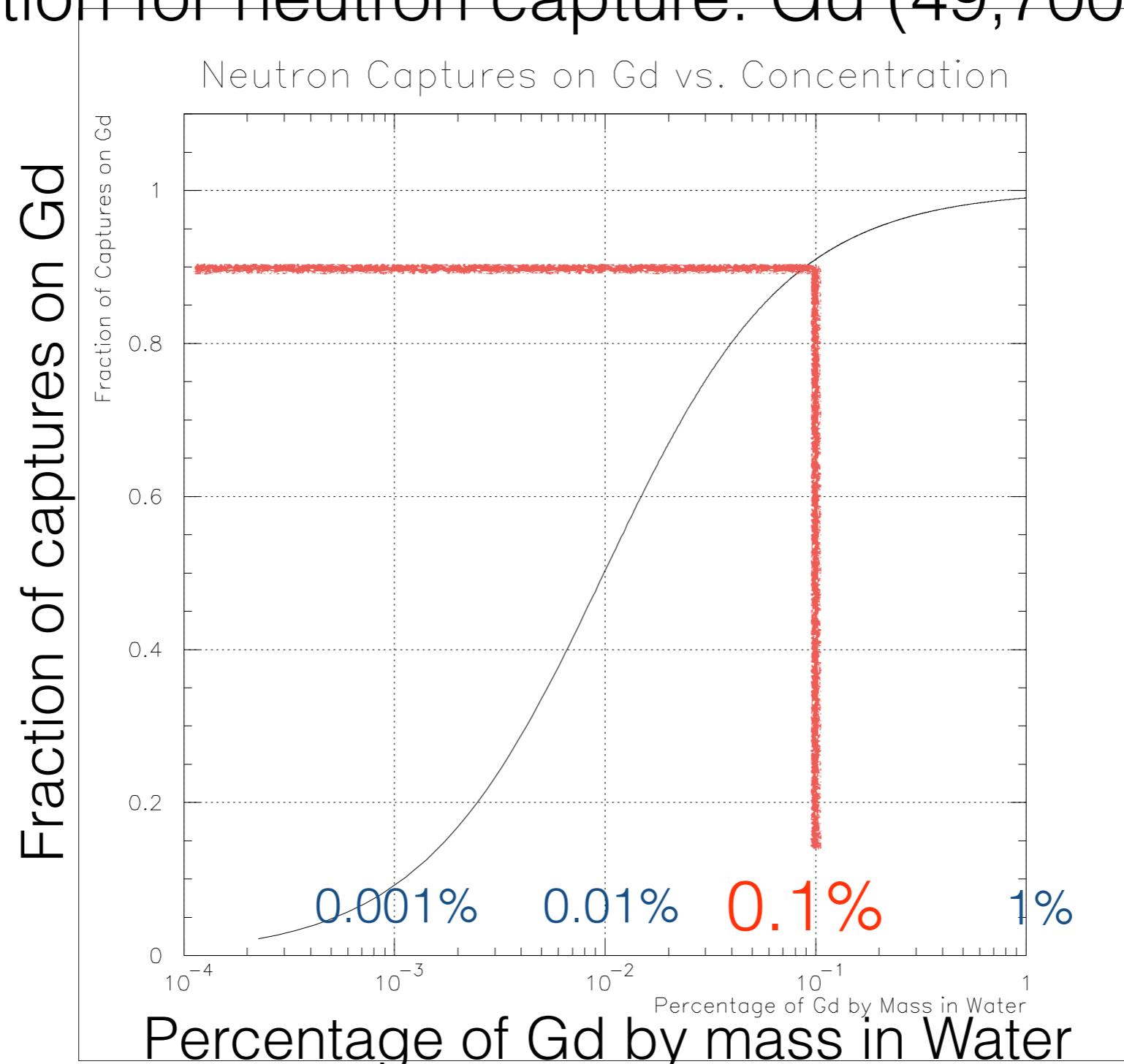


arXiv:0811.0735 [hep-ex]



# Neutron Capture on Gadolinium

Cross section for neutron capture: Gd (49,700 b), H (0.3 b)



0.1% Gd fraction gives 90% neutrons captured on Gd.

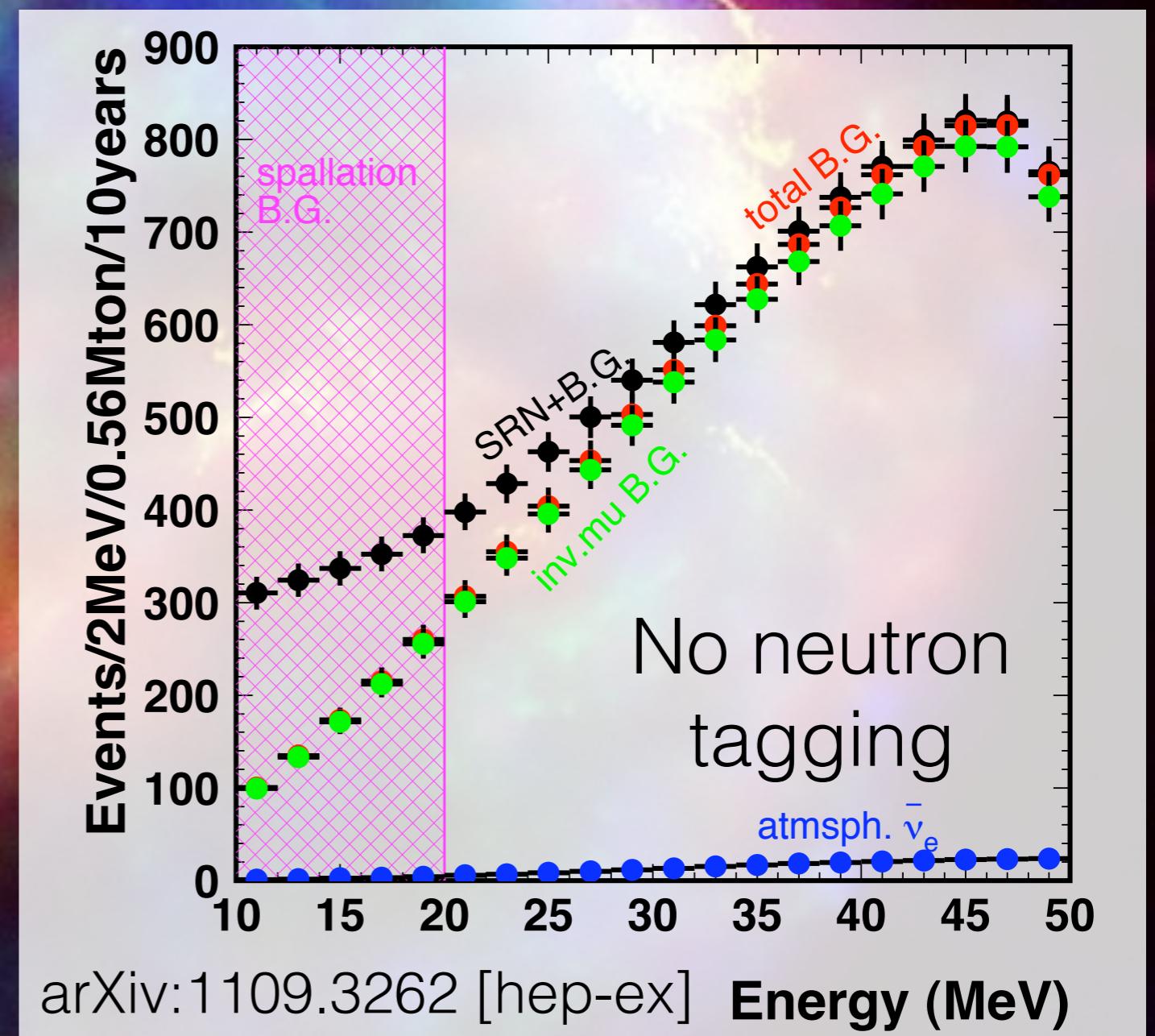
# Applications: Supernova Relic Neutrinos

A low energy example

Directly observable local supernova are all too rare

Alternative is to measure diffuse supernova background DSNB/SRN

Very low rate  
Large backgrounds



# Applications: Supernova Relic Neutrinos

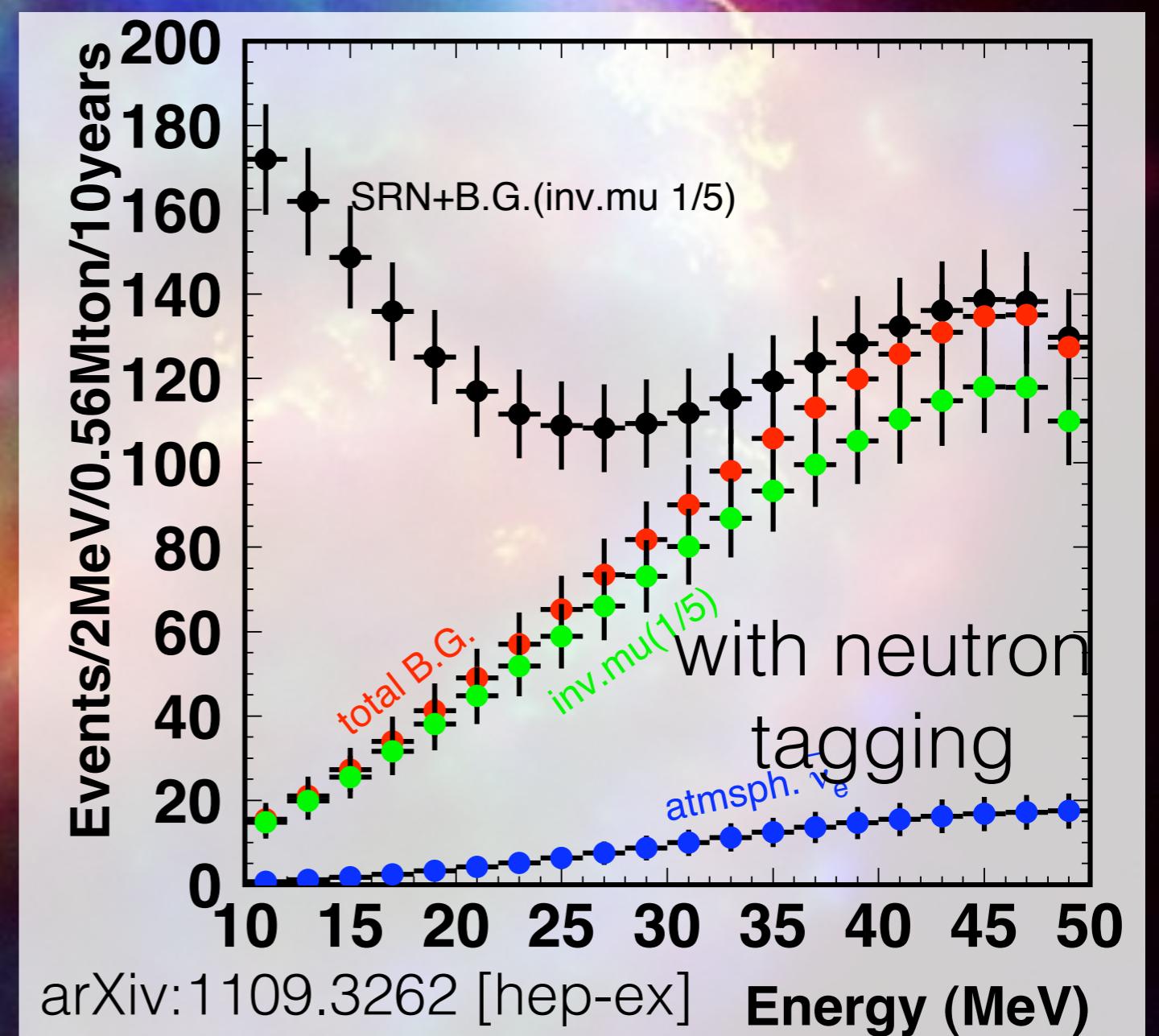
A low energy example

Directly observable local supernova are all too rare

Alternative is to measure diffuse supernova background DSNB/SRN

Very low rate  
Large backgrounds

Removed by requiring coincidence with neutron



A few clean events per year in SK  
~100s per year in HK

# Tank Parameters

	KAM	SK	HK-1 TankHD
Depth	1,000 m	1,000 m	650 m
Dimensions of water tank			
diameter	15.6 m $\phi$	39 m $\phi$	74 m $\phi$
height	16 m	42 m	60 m
Total volume	4.5 kton	50 kton	258 kton
Fiducial volume	0.68 kton	22.5 kton	187 kton
Outer detector thickness	$\sim$ 1.5 m	$\sim$ 2 m	1 $\sim$ 2 m
Number of PMTs			
inner detector (ID)	948 (50 cm $\phi$ )	11,129 (50 cm $\phi$ )	40,000 (50 cm $\phi$ )
outer detector (OD)	123 (50 cm $\phi$ )	1,885 (20 cm $\phi$ )	6,700 (20 cm $\phi$ )
Photo-sensitive coverage	20%	40%	40%
Single-photon detection efficiency of ID PMT	unknown	12%	24%
Single-photon timing resolution of ID PMT	$\sim$ 4 nsec	2-3 nsec	1 nsec

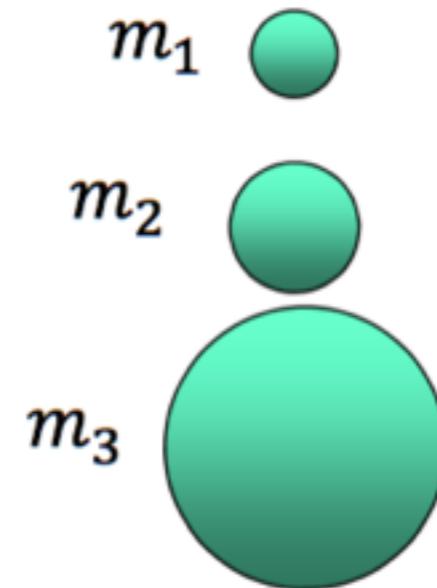
# Three Flavor Mixing in Lepton Sector

Weak eigenstates



$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = U_{\text{MNS}} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

mass eigenstates



$$U_{\text{PMNS}} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & +c_{23} & +s_{23} \\ 0 & -s_{23} & +c_{23} \end{pmatrix} \begin{pmatrix} +c_{13} & 0 & +s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & +c_{13} \end{pmatrix} \begin{pmatrix} +c_{12} & +s_{12} & 0 \\ -s_{12} & +c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$(c_{ij} = \cos \theta_{ij}, s_{ij} = \sin \theta_{ij})$$

$$\theta_{12}, \theta_{23}, \theta_{13}, \delta, \Delta m_{21}^2, \Delta m_{32}^2, \Delta m_{31}^2$$

$$*\Delta m_{ij}^2 = m_i^2 - m_j^2$$

Out of three  $\Delta m^2$ 's, number of free parameters is two. ( $\Delta m_{31}^2 = \Delta m_{21}^2 + \Delta m_{32}^2$ )

# $\nu_\mu$ disappearance probability

$\theta_{13}=0$  case

$$P_{\mu \rightarrow x} \approx 1 - \sin^2 2\theta_{23} \cdot \sin^2 \left( \frac{\Delta m_{32}^2 L}{4E_\nu} \right)$$

For non-zero  $\theta_{13}$

$$P_{\mu \rightarrow x} \approx 1 - \left( \cos^4 \theta_{13} \cdot \sin^2 2\theta_{23} + \sin^2 \theta_{13} \cdot \sin^2 2\theta_{23} \right) \sin^2 \left( \frac{\Delta m^2 L}{4E_\nu} \right)$$
$$\Delta m^2 \approx \Delta m_{32}^2 \approx \Delta m_{31}^2$$

Maximal disappearance occurs at  $\sin^2 \theta_{23} = \frac{1}{2\cos^2 \theta_{13}} = 0.513$

# more on $\nu_\mu$ disappearance

- $\nu_\mu$  disappearance probability in vacuum

$$\begin{aligned}
 P(\nu_\mu \rightarrow \nu_\mu) = & 1 - (c_{13}^4 \sin^2 2\theta_{23} + s_{23}^2 \sin^2 2\theta_{13}) \sin^2 \Delta_{atm} \\
 & + \left\{ c_{13}^2 (c_{12}^2 - s_{13}^2 s_{23}^2) \sin^2 2\theta_{23} + s_{12}^2 s_{23}^2 \sin^2 2\theta_{13} - c_{13} \sin 2\theta_{13} \sin 2\theta_{23} \sin 2\theta_{12} \cos \delta \right\} \\
 & \times \left\{ \frac{1}{2} \sin 2\Delta_{solar} \sin 2\Delta_{atm} + 2 \sin^2 \Delta_{solar} \sin^2 \Delta_{atm} \right\} \\
 & - \left\{ \sin^2 2\theta_{12} (c_{23}^2 - s_{13}^2 s_{23}^2)^2 + s_{13}^2 \sin^2 2\theta_{23} (1 - c_\delta^2 \sin^2 2\theta_{12}) \right. \\
 & + 2s_{13} \sin 2\theta_{12} \cos 2\theta_{12} \sin \theta_{23} \cos 2\theta_{23} c_\delta \\
 & - \frac{1}{2} c_{13} \sin 2\theta_{13} \sin 2\theta_{23} \sin 2\theta_{12} \cos \delta s_{23}^2 s_{12}^2 \\
 & \left. + \sin^2 2\theta_{23} c_{13}^2 (c_{12}^2 - s_{13}^2 s_{12}^2) + s_{13}^2 s_{23}^2 \sin^2 2\theta_{13} \right\} \times \sin^2 \Delta_{solar} \quad (26)
 \end{aligned}$$

$s_{ij}$	$=$	$\sin \theta_{ij}$
$c_{ij}$	$=$	$\cos \theta_{ij}$
$c_\delta$	$=$	$\cos \delta$
$\Delta_{atm}$	$=$	$\frac{\Delta m_{13}^2 L}{4 E_\nu}$
$\Delta_{solar}$	$=$	$\frac{\Delta m_{21}^2 L}{4 E_{nu}}$

T2K:  $L = 295$  km,  $E_\nu$  peaks at  $\sim 0.6$  GeV  $\rightarrow \sin^2 \Delta_{solar} \sim 0, \sin 2\Delta_{atm} \sim 0$

$$P(\nu_\mu \rightarrow \nu_\mu) \sim 1 - \underbrace{\left( \cos^4 \theta_{13} \cdot \sin^2 2\theta_{23} + \sin^2 2\theta_{13} \cdot \sin^2 \theta_{23} \right)}_{\text{Leading-term}} \cdot \underbrace{\sin^2 \frac{\Delta m_{31}^2 \cdot L}{4E}}_{\text{Next-to-leading}}$$

$\nu_\mu$  disapp. probability depends on  $\sin^2 2\theta_{13} \cdot \sin^2 \theta_{23}$  to second order  
 $\rightarrow$  Can be used in combination with known  $\sin^2 2\theta_{13}$  to resolve the  $\theta_{23}$  octant

$\nu_e$  appearance probability  
Leading term only

$$P_{\mu \rightarrow e} \approx \sin^2 \theta_{23} \cdot \sin^2 2\theta_{13} \cdot \sin^2 \left( \frac{\Delta m^2 L}{4E_\nu} \right)$$

$$\Delta m^2 \approx \Delta m_{32}^2 \approx \Delta m_{31}^2$$

# $\nu_e$ appearance probability (exact formula in vacuum)

$$\begin{aligned}
 P(\nu_\mu \rightarrow \nu_e) = & 4c_{13}^2 s_{13}^2 s_{23}^2 \sin^2 \Delta_{31} && \text{Leading term} \\
 & + 8c_{13}^2 s_{12} s_{13} s_{23} (c_{12} c_{23} \cos \delta - s_{12} s_{13} s_{23}) \cos \Delta_{32} \sin \Delta_{31} \sin \Delta_{21} && \text{CPC} \\
 & - 8c_{13}^2 c_{12} c_{23} s_{12} s_{13} s_{23} \sin \delta \sin \Delta_{32} \sin \Delta_{31} \sin \Delta_{21} && \text{CPV} \\
 & + 4s_{12}^2 c_{13}^2 (c_{12}^2 c_{23}^2 + s_{12}^2 s_{23}^2 s_{13}^2 - 2c_{12} c_{23} s_{12} s_{23} s_{13} \cos \delta) \sin^2 \Delta_{21} && \text{Solar}
 \end{aligned}$$

$$c_{ij} = \cos \theta_{ij}, s_{ij} = \sin \theta_{ij}$$

$$\Delta_{ij} = \Delta m_{ij}^2 \frac{L}{4E_\nu}$$

replace  $\delta$  by  $-\delta$  for  $P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)$

CP violating term introduced by interference among three-flavor mixing

# $\nu_e$ appearance probability with 1<sup>st</sup> order matter effect

$$\begin{aligned}
 P(\nu_\mu \rightarrow \nu_e) \approx & 4c_{13}^2 s_{13}^2 s_{23}^2 \sin^2 \Delta_{31} \left( 1 + \frac{2a}{\Delta m_{31}^2} (1 - 2s_{13}^2) \right) && \text{Leading including matter effect} \\
 & + 8c_{13}^2 s_{12} s_{13} s_{23} (c_{12} c_{23} \cos \delta - s_{12} s_{13} s_{23}) \cos \Delta_{32} \sin \Delta_{31} \sin \Delta_{21} && \text{CP conserving} \\
 & - 8c_{13}^2 c_{12} c_{23} s_{12} s_{13} s_{23} \sin \delta \sin \Delta_{32} \sin \Delta_{31} \sin \Delta_{21} && \text{CP violating} \\
 & + 4s_{12}^2 c_{13}^2 (c_{12}^2 c_{23}^2 + s_{12}^2 s_{23}^2 s_{13}^2 - 2c_{12} c_{23} s_{12} s_{23} s_{13} \cos \delta) \sin^2 \Delta_{21} && \text{Solar} \\
 & - 8c_{13}^2 s_{13}^2 s_{23}^2 (1 - 2s_{13}^2) \frac{aL}{4E} \cos \Delta_{32} \sin \Delta_{31} && \text{Matter effect (small)}
 \end{aligned}$$

$$c_{ij} = \cos \theta_{ij}, s_{ij} = \sin \theta_{ij}$$

$$\Delta_{ij} = \Delta m_{ij}^2 \frac{L}{4E_\nu}$$

$$a \equiv 2\sqrt{2}G_F n_e E = 7.56 \times 10^{-5} \text{ eV}^2 \frac{\rho}{\text{g cm}^{-3}} \frac{E}{\text{GeV}}$$

replace  $\delta$  by  $-\delta$  and  $a$  by  $-a$  for  $P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)$

# $\nu_e$ appearance probability approximation at around oscillation maximum

$$P(\nu_\mu \rightarrow \nu_e) \approx \sin^2 \theta_{23} \sin^2 2\theta_{13} \sin^2 \left( \frac{\Delta m_{32}^2 L}{4E_\nu} \right) \left( 1 + \frac{2a}{\Delta m_{31}^2} (1 - 2 \sin^2 \theta_{13}) \right)$$

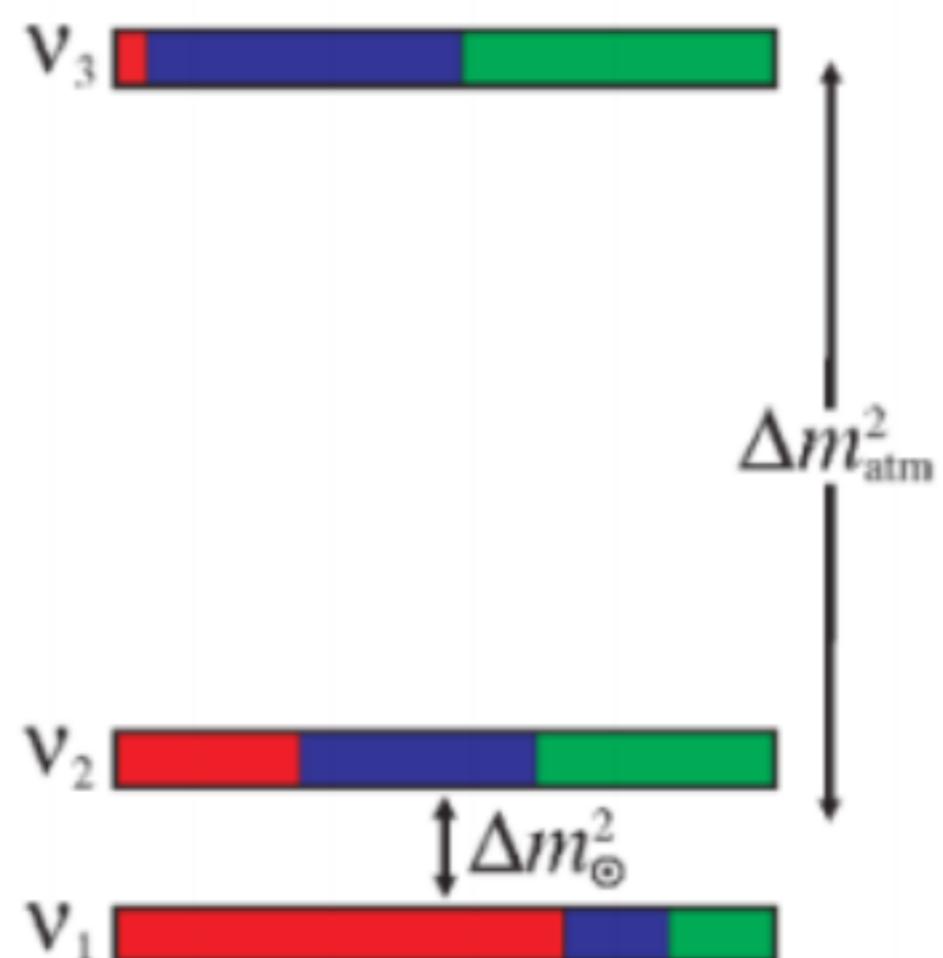
Leading including matter effect

$$- \sin 2\theta_{12} \sin 2\theta_{23} \sin 2\theta_{13} \cos \theta_{13} \sin \delta \sin^2 \left( \frac{\Delta m_{32}^2 L}{4E_\nu} \right) \sin \left( \frac{\Delta m_{21}^2 L}{4E_\nu} \right)$$

CP violating

replace  $\delta$  by  $-\delta$  and  $a$  by  $-a$  for  $P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)$

$$a \equiv 2\sqrt{2}G_F n_e E = 7.56 \times 10^{-5} \text{ eV}^2 \frac{\rho}{\text{g cm}^{-3}} \frac{E}{\text{GeV}}$$



Normal Hierarchy

$$\begin{matrix} >^\nu & >^\pm & >^\alpha \\ \text{Red} & \text{Blue} & \text{Green} \end{matrix}$$