

REPRESENTATION

- How do we represent mathematical theory so we can prove theorems abt. it?
- └ Which logic do we use? — Propositional, FOL, HOL, Hoare Logic?
 - └ Do we axiomatize our theory, or define it in terms of more primitive concepts?
 - └ What style do we use? — e.g. functions vs. relations

AXIOMS vs. DEFINITIONS

→ ~~2.9~~ let's say we want to reason using natural numbers $\{0, 1, 2, 3, \dots\}$

└ AXIOMATIZE? Assume a collection of fn symbols and unproven axioms.
i.e. the Peano axioms:

$$\forall x. \neg (0 = S(x))$$

$$\forall x. x + 0 = x$$

$$\forall x. x + S(y) = S(x + y)$$

⋮

(+) Sometimes less work

(-) How do we know our axiomatization is complete and consistent?

└ DEFINE? If our logic has sets as a primitive, then we can define \mathbb{N} via the von Neumann ordinals:

$$0 = \emptyset, \quad 1 = \{\emptyset\}, \quad 2 = \{\emptyset, \{\emptyset\}\}, \dots$$

Then we can prove the Peano axioms for this definition

→ (++) If the underlying logic is consistent, then we are guaranteed to be consistent → **Relative consistency**

(-) Can be a lot of work.

PAST EXAM QN

Explain how a non-functional binary relation r between 2 objects x and y can be represented w/ a fn in FOL?

→ Can be achieved using sets:

- A fn f_r can be defined from x to the set of all y for which $r(x, y)$ holds, i.e. $f_r(x) = \{y \mid r(x, y)\}$.

- Then if there is no such y such that $r(x, y)$ for some x , then $f_r(x) = \{\}$

Axiomatisation, an example: Set Theory

Let's take FOL, a binary atomic predicate \in and the following axiom for every formula P with one free variable x :

$$\exists y. \forall x. x \in y \leftrightarrow P(x)$$

"For every predicate P there is a set y such that its members are exactly those that satisfy P "

We can now define empty set, pairing, union, intersection...

But it is **too powerful**! Let $P(x) \equiv \neg(x \in x)$. Then by the axiom there is a y such that:

$$y \in y \leftrightarrow y \notin y$$

This is **Russell's paradox**.

Background: the axiom is called "unrestricted comprehension", it was replaced by:

$$\forall z. \exists y. \forall x. (x \in y \leftrightarrow (x \in z \wedge P(x)))$$

+ some other axioms to give ZF set theory.

Other Representation Examples

- The rational numbers \mathbb{Q} can be defined as pairs of integers. Reasoning about the rationals therefore reduces to reasoning about the integers.
- The real numbers \mathbb{R} can be defined as sets of rationals. Reasoning about the reals therefore reduces to reasoning about the rationals.
- The complex numbers \mathbb{C} can be defined as pairs of reals. Reasoning about the complex numbers therefore reduces to reasoning about the reals.
- In this way, we have relative consistency.
 ► If the theory of natural numbers is consistent, so is the theory of complex numbers.

Building up Definitions: Integers

Starting from the natural numbers $\mathbb{N} = \{0, 1, 2, \dots\}$, we can define:

- each integer $\mathbb{Z} = \{\dots, -2, -1, 0, 1, 2, \dots\}$ as an equivalence class of pairs of natural numbers under the relation $(a, b) \sim (c, d) \iff a + d = b + c$;
- For example, -2 is represented by the equivalence class $[(0, 2)] = [(1, 3)] = [(100, 102)] = \dots$
- we define the sum and product of two integers as

$$[(a, b)] + [(c, d)] = [(a + c, b + d)]$$

$$[(a, b)] \times [(c, d)] = [(ac + bd, ad + bc)];$$

- we define the set of **negative integers** as the set $\{[(a, b)] \mid b > a\}$.
- Exercise: show that the product of negative integers is non-negative.

Functions or Predicates?

We can represent some property r holding between two objects x and y as:

a <u>function</u> with equality	$r(x) = y$
a <u>predicate</u>	$r(x, y)$

Is it better to use functions or predicates to represent properties?

It is not always clear which is best!

Functional Representation

For example, suppose we represent division of real numbers (/) by a function $\text{div} : \text{real} \times \text{real} \Rightarrow \text{real}$.

- ▶ We define $\text{div}(x, y)$ when $y \neq 0$ in normal way
- ▶ What about division-by-zero? What is the value of $\text{div}(x, 0)$?
- ▶ In first-order logic, functions are assumed to be total, so we have to pick a value!
- ▶ We could choose a convenient element: say 0. That way:

$$0 \leq x \rightarrow 0 \leq 1/x.$$

Functional Representation

Can we represent the concept of square roots with a function

$$\sqrt{\cdot} : \text{real} \Rightarrow \text{real?}$$

- ▶ All positive real numbers have two square roots, and yet a function maps points to *single* values.
- ▶ We can pick one of the values arbitrarily: say, the *positive (principal)* square root.
- ▶ Or we can have the function map every real to a *set*

$$\sqrt{\cdot} : \text{real} \Rightarrow \text{real set.}$$

$$\sqrt{x} \equiv \{y \mid x = y^2\}$$

- ▶ But now we have two kinds of object: reals and sets of reals, and we cannot conveniently express:

$$(\sqrt{x})^2 = x$$

- ▶ Our representation of reals is no longer self-contained.

Predicate Representation

Q) Can we represent division of real numbers (/) by a relation $\text{Div} : \text{real} \times \text{real} \times \text{real} \Rightarrow \text{bool}$ such that $\text{Div}(x, y, z)$ is

- ▶ $x/y = z$ when $y \neq 0$, and
- ▶ \perp when $y = 0$?

A) Yes: $\text{Div}(x, y, z) \equiv x = y * z \wedge \forall w. x = y * w \rightarrow z = w$

That is, z is that unique value such that $x = y * z$.

But now formulas are more complicated.

$$x, y \neq 0 \rightarrow \frac{1}{\left(\frac{(x/y)}{x}\right)} = y$$

Nested struct; better not to use predicates in the first place

becomes

$$\text{Div}(x, y, u) \wedge \text{Div}(u, x, v) \wedge \text{Div}(1, v, w) \wedge \cancel{x, y \neq 0} \rightarrow w = y$$

($x \neq 0 \wedge y \neq 0$)

Predicate Representation

Q) Can we represent the concept of square roots with a relation $\text{Sqrt} : \text{real} \times \text{real} \Rightarrow \text{bool?}$

A) Yes. E.g. $\text{Sqrt}(x, y) \equiv x = y^2$.

Again drawback of formulas being more complicated

Functions, Predicates and Sets

We can translate back and forth. But too much translation makes a formalisation hard to use!

e.g. Any function $f: \alpha \rightarrow \beta$ can be represented as a relation $R: \alpha \times \beta \rightarrow \text{bool}$ or a set $S: (\alpha \times \beta) \text{ set}$ by defining:

$$R(x, y) \equiv f(x) = y \\ S \equiv \{(x, y) \mid f(x) = y\}.$$

e.g. Any predicate P can be represented by a function f for a set S by defining:

$$f(x) \equiv \begin{cases} \text{True} & : P(x) \\ \text{False} & : \text{otherwise} \end{cases} \\ S \equiv \{x \mid P(x)\}.$$

e.g. Any set S can be represented by a function f for a predicate P by defining:

$$f(x) \equiv \begin{cases} \text{True} & : x \in S \\ \text{False} & : \text{otherwise} \end{cases} \\ P(x) \equiv x \in S$$

Set Theory, Functions, and HOL

→ In pure (without axioms) FOL, we cannot directly represent the statement:

there is a function that is larger on all arguments than the log function.

→ To formalise it, we would need to quantify over functions:

$$\exists f. \forall x. f(x) > \log x.$$

→ Likewise we cannot quantify over predicates.

→ Solutions in FOL:

- Represent all functions and predicates by sets, and quantify over these. This is the approach of first-order set theories such as ZF.
- Introduce sorts for predicates and functions. Not so elegant now having 2 kinds of each.