

# 8/29/2023

- Uncertainty (last lecture)
  - Simultaneous specification of observables
  - Heisenberg and generalized uncertainty principles
- Time evolution of expectation values
  - General derivation
  - Ehrenfest's theorem
- Implications of the Schrödinger equation
  - Constraints on wavefunctions
  - Curvature
  - Penetration
  - Quantization
- Exercise 1: Introduction to Google Colab

# Uncertainty

- This module is intended to help you achieve the following learning objectives:
  - Use the general uncertainty principle to evaluate limits on the simultaneous specification of a pair of quantities
- At the end of this module, you should be able to
  - answer the following questions:
    - What condition must be satisfied for two quantum observables to be simultaneously specified?
  - derive an uncertainty principle for an arbitrary pair of observables

# Simultaneous specification

- Recall that two operators commute if  $[\hat{\mathbf{A}}, \hat{\mathbf{B}}] = 0$ .
- If two operators commute, then their corresponding observables can be simultaneously specified.
- If two operators do not commute, then their corresponding observables cannot be simultaneously specified. They are *complementary* observables.
- This is counterintuitive.

# Uncertainty

- Heisenberg uncertainty principle:  $\Delta x \Delta p_x \geq \frac{1}{2} \hbar$
- General uncertainty principle:  $\Delta A \Delta B \geq \frac{1}{2} \left| \langle [\hat{A}, \hat{B}] \rangle \right|$
- $\Delta A = \left\{ \langle A^2 \rangle - \langle A \rangle^2 \right\}^{\frac{1}{2}}$  is the root mean square deviation of the observable.

The mean square deviation is,

$$\begin{aligned} \langle (\delta A)^2 \rangle &= \langle (A - \langle A \rangle)^2 \rangle = \langle A^2 - 2A \langle A \rangle + \langle A \rangle^2 \rangle \\ &= \langle A^2 \rangle - 2 \langle A \rangle \langle A \rangle + \langle A \rangle^2 = \langle A^2 \rangle - \langle A \rangle^2 \end{aligned}$$

- Uncertainty  $\neq$  measurement error. Improved instruments do not reduce uncertainty beyond a certain point.

# Proof

- The general uncertainty relation is based on Postulate 3
- To show this, we will
  - define spread operators
  - expand an integral that can be manipulated to the uncertainty relation

# Spread operators

Suppose that  $[\hat{\mathbf{A}}, \hat{\mathbf{B}}] = i\hat{\mathbf{C}}$  and the wavefunction is  $\psi$ . Then expectations of  $A$  and  $B$  are,  $\langle A \rangle = \langle \psi | \hat{\mathbf{A}} | \psi \rangle$  and  $\langle B \rangle = \langle \psi | \hat{\mathbf{B}} | \psi \rangle$ . Let the spread operators be,

$$\begin{aligned}\delta\hat{\mathbf{A}} &= \hat{\mathbf{A}} - \langle A \rangle \\ \delta\hat{\mathbf{B}} &= \hat{\mathbf{B}} - \langle B \rangle\end{aligned}$$

The commutation relation for the spread operators is  $[\delta\hat{\mathbf{A}}, \delta\hat{\mathbf{B}}] = i\hat{\mathbf{C}}$ .

Let  $I = \int \left| \left( \alpha \delta \hat{\mathbf{A}} - i \delta \hat{\mathbf{B}} \right) \psi \right|^2 d\tau$ , where  $\alpha$  is an arbitrary real number.  $I$  is non-negative. It can be written as,

$$\begin{aligned}
 I &= \int \left\{ \left( \alpha \delta \hat{\mathbf{A}} - i \delta \hat{\mathbf{B}} \right) \psi \right\}^* \left\{ \left( \alpha \delta \hat{\mathbf{A}} - i \delta \hat{\mathbf{B}} \right) \psi \right\} d\tau \\
 &= \int \left\{ \left( [(\alpha \delta \hat{\mathbf{A}}) \psi]^* + i [(\delta \hat{\mathbf{B}}) \psi]^* \right) \right\} \left\{ \left( \alpha \delta \hat{\mathbf{A}} - i \delta \hat{\mathbf{B}} \right) \psi \right\} d\tau \\
 &= \int \psi^* \left( \alpha \delta \hat{\mathbf{A}} + i \delta \hat{\mathbf{B}} \right) \left( \alpha \delta \hat{\mathbf{A}} - i \delta \hat{\mathbf{B}} \right) \psi d\tau \text{ *Hermitian*} \\
 &= \left\langle \left( \alpha \delta \hat{\mathbf{A}} + i \delta \hat{\mathbf{B}} \right) \left( \alpha \delta \hat{\mathbf{A}} - i \delta \hat{\mathbf{B}} \right) \right\rangle \\
 &= \alpha^2 \left\langle (\delta \hat{\mathbf{A}})^2 \right\rangle + \left\langle (\delta \hat{\mathbf{B}})^2 \right\rangle - i\alpha \left( \left\langle \delta \hat{\mathbf{A}} \delta \hat{\mathbf{B}} - \delta \hat{\mathbf{B}} \delta \hat{\mathbf{A}} \right\rangle \right) \\
 &= \alpha^2 \left\langle (\delta \hat{\mathbf{A}})^2 \right\rangle + \left\langle (\delta \hat{\mathbf{B}})^2 \right\rangle + \alpha \left\langle \hat{\mathbf{C}} \right\rangle \text{ *Commutator*}
 \end{aligned}$$

$$\begin{aligned}
I &= \alpha^2 \langle (\delta \hat{\mathbf{A}})^2 \rangle + \langle (\delta \hat{\mathbf{B}})^2 \rangle + \alpha \langle \hat{\mathbf{C}} \rangle \\
&= \langle (\delta \hat{\mathbf{A}})^2 \rangle \left( \alpha + \frac{\langle \hat{\mathbf{C}} \rangle}{2 \langle (\delta \hat{\mathbf{A}})^2 \rangle} \right)^2 + \langle (\delta \hat{\mathbf{B}})^2 \rangle - \frac{\langle \hat{\mathbf{C}} \rangle^2}{4 \langle (\delta \hat{\mathbf{A}})^2 \rangle} \quad \text{Completing Squares} \\
&= \langle (\delta \hat{\mathbf{B}})^2 \rangle - \frac{\langle \hat{\mathbf{C}} \rangle^2}{4 \langle (\delta \hat{\mathbf{A}})^2 \rangle} \geq 0 \quad \text{Special choice of } \alpha
\end{aligned}$$

This can be rearranged into,

$$\langle (\delta \hat{\mathbf{B}})^2 \rangle \langle (\delta \hat{\mathbf{A}})^2 \rangle \geq \frac{1}{4} \langle \hat{\mathbf{C}} \rangle^2$$

Taking the square root yields the general uncertainty relation.



# Review

- Check that you can
  - answer the following questions:
    - What condition must be satisfied for two quantum observables to be simultaneously specified?
  - derive an uncertainty principle for an arbitrary pair of observables

# Time Evolution of Expectation Values

- This module is intended to help you achieve the following learning objectives:
  - Express time derivatives of expectation values
- At the end of this module, you should be able to
  - answer the following questions:
    - What condition must be satisfied for an observable to change with time?
    - How do classical and quantum observables correspond to one another?
  - determine how the expectation value of an observable changes with time

# Time Evolution of Arbitrary Expectations

- $\frac{d\langle\Omega\rangle}{dt} = \frac{d\langle\Psi|\hat{\Omega}|\Psi\rangle}{dt}$ , by a time derivative of the third postulate
- $\frac{d\langle\Omega\rangle}{dt} = \int \Psi^* \hat{\Omega} \left( \frac{\partial \Psi}{\partial t} \right) d\tau + \int \left( \frac{\partial \Psi^*}{\partial t} \right) \hat{\Omega} \Psi d\tau$  using differentiation by parts.

Using the Schrödinger equation and the properties of Hermitian operators,

- $\int \Psi^* \hat{\Omega} \left( \frac{\partial \Psi}{\partial t} \right) d\tau = \frac{1}{i\hbar} \int \Psi^* \hat{\Omega} \hat{H} \Psi d\tau$
- $\int \left( \frac{\partial \Psi^*}{\partial t} \right) \hat{\Omega} \Psi d\tau = -\frac{1}{i\hbar} \int \left( \hat{H} \Psi \right)^* \hat{\Omega} \Psi d\tau = -\frac{1}{i\hbar} \int \Psi^* \hat{H} \hat{\Omega} \Psi d\tau$
- $\frac{d\langle\Omega\rangle}{dt} = -\frac{1}{i\hbar} \left[ \langle\Psi|\hat{H}\hat{\Omega}|\Psi\rangle - \langle\Psi|\hat{\Omega}\hat{H}|\Psi\rangle \right] = \frac{i}{\hbar} \langle[\hat{H}, \hat{\Omega}]\rangle$

# Time Evolution of Position

- Given that  $\frac{d\langle\Omega\rangle}{dt} = \frac{i}{\hbar} \left\langle \left[ \hat{\mathbf{H}}, \hat{\mathbf{\Omega}} \right] \right\rangle$ , then
  - If an operator commutes with the Hamiltonian, then its expectation value does not change with time
  - This can be used to prove Ehrenfest's theorem, an example of the correspondence principle - for large numbers and large energies, quantum calculations agree with classical calculations

- $$\frac{d}{dt} \langle p_x \rangle = - \left\langle \frac{dV}{dx} \right\rangle = \langle F \rangle$$

- $$\frac{d}{dt} \langle x \rangle = \frac{\langle p_x \rangle}{m}$$

# Review

- Check that you can
  - answer the following questions:
    - What condition must be satisfied for an observable to change with time?
    - How do classical and quantum observables correspond to one another?
  - determine how the expectation value of an observable changes with time

# Implications of the Schrödinger Equation

- This module is intended to help you achieve the following learning objectives:
  - Explain quantum penetration and tunneling
- At the end of this module, you should be able to
  - answer the following questions:
    - What are the requirements on the derivatives of a wavefunction for  $|\Psi|^2$  to be a probability density and for  $\Psi$  satisfy the Schrödinger equation?
    - How does the potential and kinetic energy relate to the curvature of the wavefunction?
    - What is penetration? Is it permitted in classical mechanics? Is it permitted in quantum mechanics?
    - Under what conditions must energy be quantized?

# Constraints on wavefunctions

- $\int \Psi^* \Psi d\tau = 1$
- As  $|\Psi|^2$  is a probability density,  $\Psi$ 
  - Cannot be infinite over a finite region
  - Must be single-valued
  - In order for  $\Psi$  to be a solution to a second-order differential equation, it must have a second derivative. This implies that, except in ill-behaved regions of the potential, the function
    - is continuous and
    - has a continuous first derivative,

# Curvature

- Colloquially, the second derivative can be thought of as the curvature
- The time-independent Schrödinger equation is

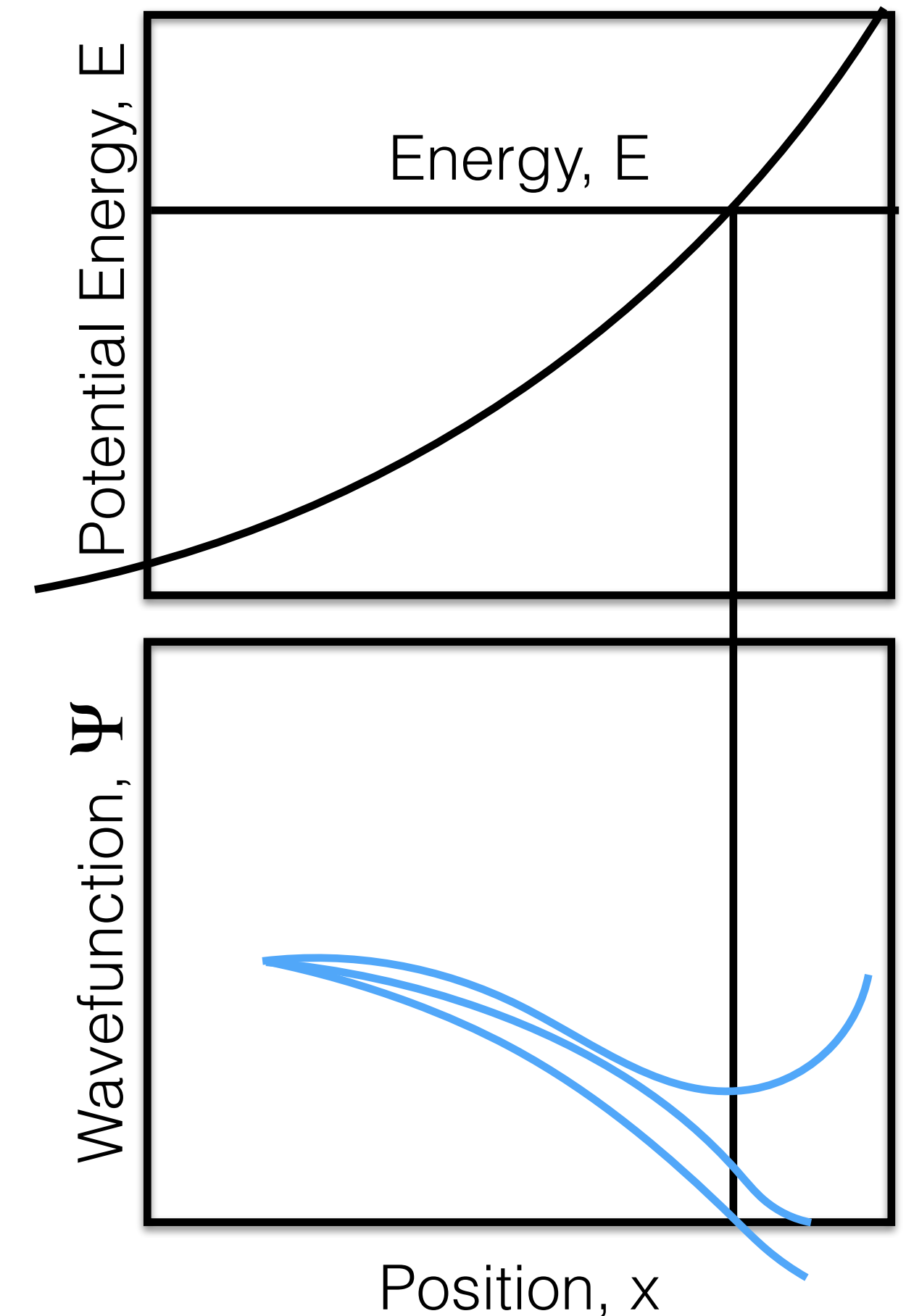
- $$-\frac{\hbar^2}{2m} \frac{\partial^2 \Psi}{\partial x^2} + V\Psi = E\Psi$$
- $$\frac{\partial^2 \Psi}{\partial x^2} = \frac{2m}{\hbar^2} (V - E)\Psi$$

- Thus the curvature depends on the relative potential and total energy



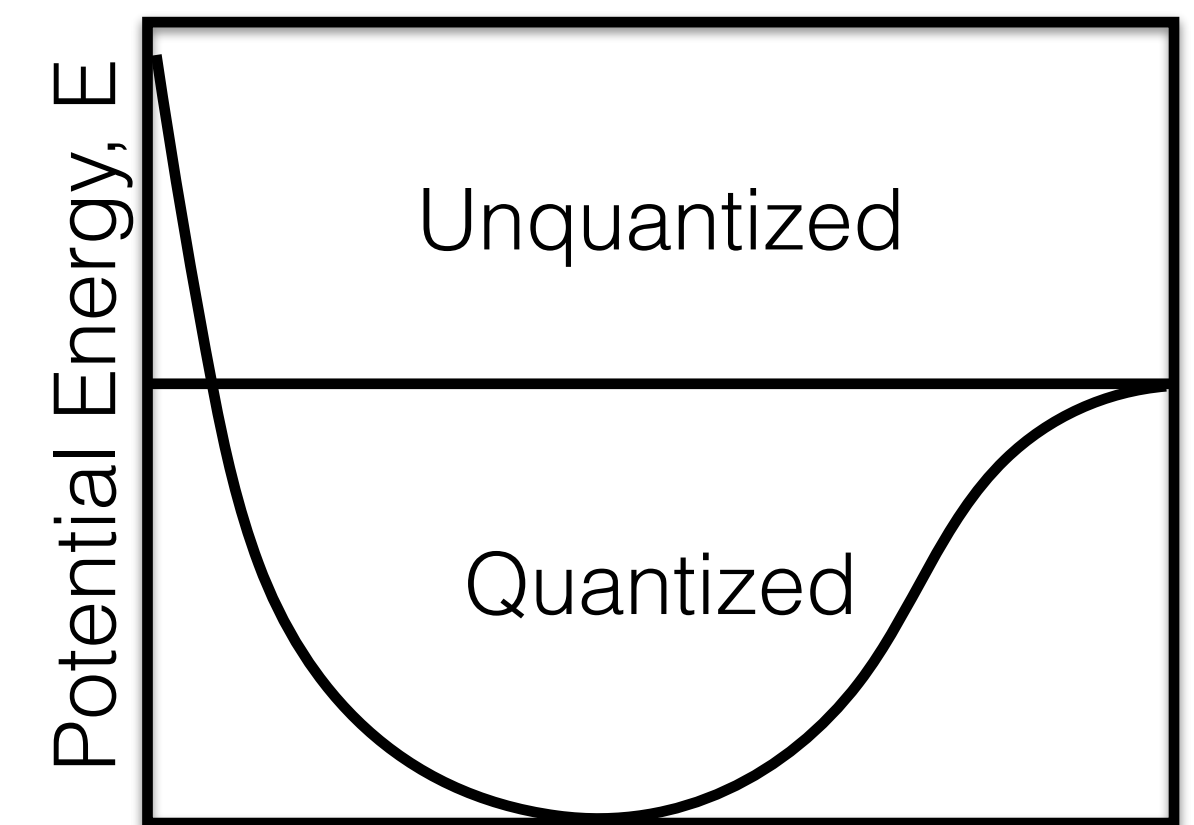
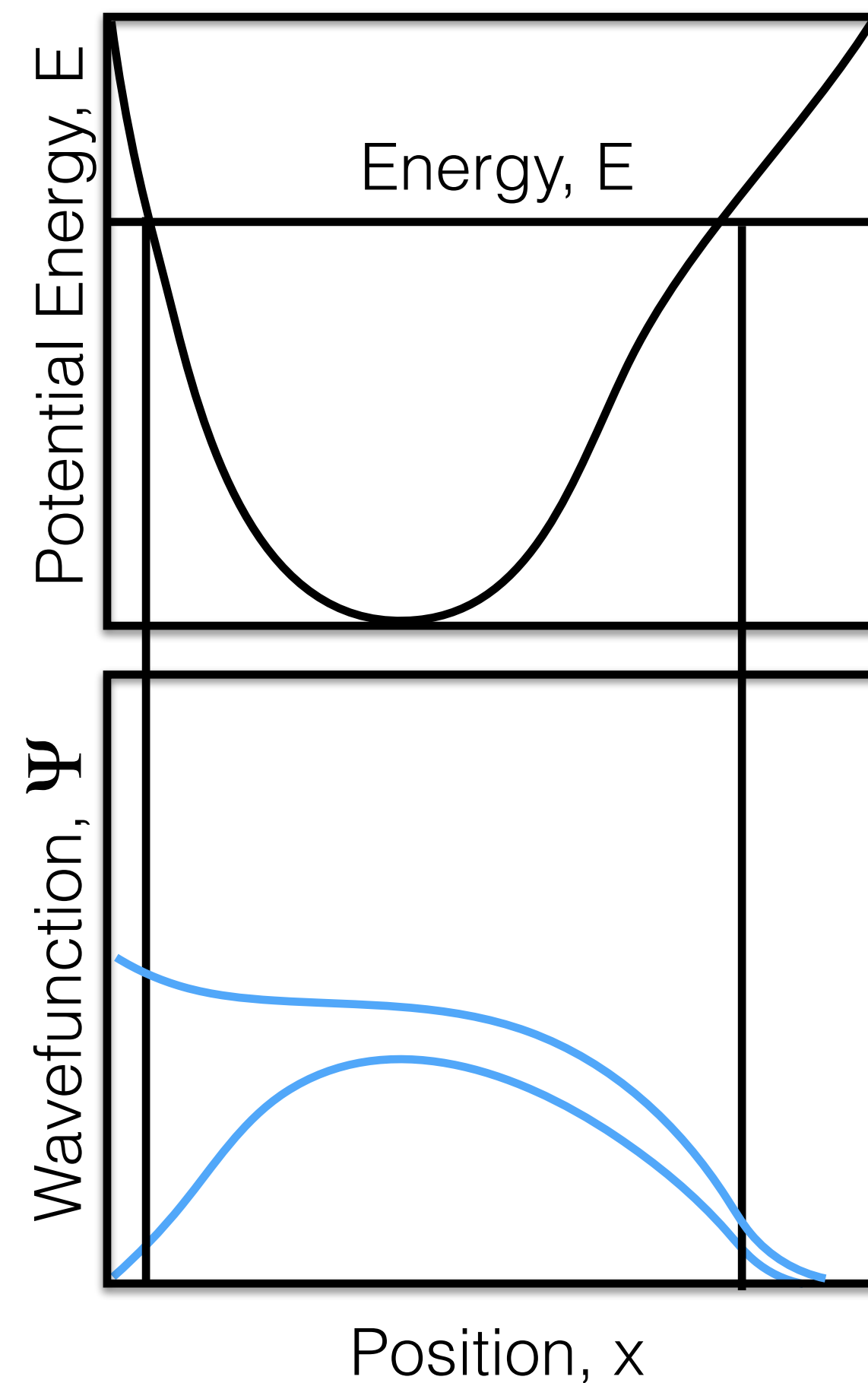
# Curvature and Penetration

- Consider a particle moving right in a harmonic potential
  - In classical mechanics, what happens with the
    - potential and kinetic energy?
    - probability density? It is possible to see  $E < V$ ?
- Based on  $\frac{\partial^2 \Psi}{\partial x^2} = \frac{2m}{\hbar^2}(V - E)\Psi$ , what happens to the curvature and slope of the wavefunction if
  - $E > V$ ?
    - the curvature is negative; the slope decreases
  - $E = V$ ?
    - the curvature is zero; the slope is constant
  - $E < V$ ?
    - the curvature is positive; the slope increases
- In QM there is penetration into the classically forbidden region
- Kinetic energy is negative but the expectation value is positive



# Quantization

- When there are two boundary conditions to satisfy (a particle is bounded), then it is possible to find acceptable solutions to  $\hat{H}\Psi = E\Psi$  for only certain values of  $E$
- The need to satisfy two boundary conditions implies quantization of the energy
- Quantization is *not* required if there is only one boundary



# Review

- Check that you can
  - answer the following questions:
    - What are the requirements on the derivatives of a wavefunction for  $|\Psi|^2$  to be a probability density and for  $\Psi$  satisfy the Schrödinger equation?
    - How does the potential and kinetic energy relate to the curvature of the wavefunction?
    - What is penetration? Is it permitted in classical mechanics? Is it permitted in quantum mechanics?
    - Under what conditions must energy be quantized?

# Exercise 1: Introduction to Google Colab

colab