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## Preface

This is a *continuously developing* database, which is a part of DAVeMOS project. It aims at gathering concepts and evidence of the systemic impact of transport digitalisation and automation. Therefore, the authors of this work welcome any feedback on changes and suggestions for additional content that the readers may have.

For further inputs please contact the corresponding author  $Martyna\ Bogacz$  on the following email address: xxx

The knowledge pool was last compiled on:

## [1] "18 January 2021"

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### Introduction

This work gathers and defines essential concepts related to automation and digitalisation of transport system together with the description of their impact, both negative and positive on individual, systemic and economy level. This knowledge pool is driven by the fact that automation and digitalisation are progressing quickly, although not uniformly across all areas within transport context. Therefore, to understand spectrum of possibilities that they bring, it is necessary to explain key concepts, demonstrate their level of maturity and current market penetration, and finally assess their impact on different levels. Given this approach, the page of each topic contains the following elements: definition of the phenomenon, key stakeholders who are the main parties responsible for and affected by the given technological development. Then, we include two subsections on current state of art in research and **practice**. The former one summarizes the most recent research in a given topic while the latter explains the current stage of implementation of given technology in the real world. Further, section named relevant initatives in Austria covers the leading initaitives within given topic and potential for Austrain actors. Moreover, we provide the summary table of the impacts of the concept on selected sustainable development goals (SDGs). Beyond, to provide an objective measure of technology maturity within each topic we include socalled technology readiness scale (Willismson & Beasley, 2011) and societal readiness scale, as described below:

Finally, we provide a list of **outstanding questions** and **links to additional sources** on the topic.

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• Williamson, R., & Beasley, J. (2011). Automotive technology and manufacturing readiness levels: a guide to recognised stages of development within the automotive industry. URN11/672.

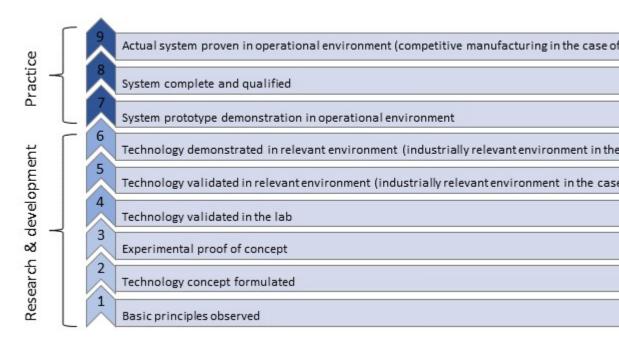


Figure 1.1: Technology readiness scale

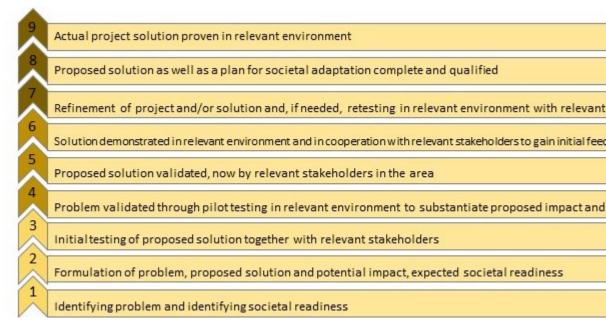


Figure 1.2: Societal readiness scale

## Physical road infrastructure

# 2.1 Dedicated lanes for connected and automated vehicles (CAV)

#### Synonyms

AV-dedicated lanes, dedicated corridors

#### **Definition**

Dedicated lane for connected and autonomous vehicles features additional infrastructure or sensors to increase the reliability of Advanced Driver Assistant Systems (ADAS). Only automated driving vehicles are allowed to drive on these lanes. The typical applications include cooperative and adaptive cruise control based on sensors with the infrastructure, lane keeping, fuel use optimization and road pricing possibilities (Brock et al., 2011). The introduction of dedicated lanes for CAV is expected to have direct consequences on the traffic flow on the highways and a nearby road network. In particular, a study conducted in Singapore showed that dedicated lanes on the highways can reduce travel time of CAVs by approximately 25% (if the saturation on the lane is not reached) at the cost of a delay for conventional cars of approximately 7%, due to the reduced capacity (Ivanchev et al., 2017). They were also demonstrated to have a positive effect on fuel consumption. Moreover, the throughput, defined as a number of vehicles passing through the road in a given time interval, increased as a result of introduction of dedicated lanes for AVs (Kumar et al., 2020). This effect, however, was associated with a decrease in throughput of smaller roads due to the preference of AVs for highways because of time savings, which in turn can result in time loss for conventional cars. What is more, the benefits from increased capacity of AV-only lanes can be further amplified through setting a higher speed limits for these lanes (Ye & Yamamoto, 2018). With respect to the demand for different road types the study found that the introduction of dedicated CAV lanes will increase the demand of conventional cars for major road (but smaller than highways) and minor roads as a substitution for more congested highways due to the dedicated AV lanes. In contrast, study by Chen et al. (2016) showed that the implementation of CAV dedicated lanes has a potential of maximizing traffic capacity on these lanes in a mix-traffic context while having effectively no impact on conventional traffic capacity. Further, in order to use efficiently CAV dedicated lanes, which may be underutilized at the early stage, it is proposed to allow conventional cars to enter the AVs-only lanes after toll payment. This solution stems from currently operational across the world High Occupancy Vehicle (HOV) lanes. This joint approach is claimed to improve the throughput of individual road as well as enhance system-wide flow distribution within the network (Liu & Song, 2019).

#### Key stakeholders

- Affected: Conventional Cars' Drivers, Car Manufacturers, Insurers
- Responsible: Road Infrastructure Agencies, Local and National Governments

#### Current state of art in research

Current research focuses on gathering the evidence of the impact of the introduction of dedicated lanes on traffic flow, driver behavior adoption, safety and efficiency. Furthermore, it analyses the factors which influence them, by testing different design and operation configurations, road types and utilization policies (Rad et al., 2020). Both, field operational testing and driving simulator studies have been conducted to investigate the influence of different designs of dedicated lanes on drivers in conventional cars and those featuring some degree of automation (Guin et al., 2008, Zhong, 2018). In particular, a number of studies compared distinct access types of dedicated lanes (Zhong, 2018, Yang et al., 2019). They showed that dedicated lanes with limited access performed better in terms of travel time and throughput compared to dedicated lanes with continuous access. Moreover, the probability of vehicles platooning was significantly higher on dedicated lanes with limited access. On the other hand, it was showed that collision rates near the entry or exit of these limited access lanes are higher (Rad et al. 2020).

#### Current state of art in practice

Currently state of Michigan together with several private partners including Ford and Alphabet Inc. are planning to dedicate 65 km of a highway between

#### 2.1. DEDICATED LANES FOR CONNECTED AND AUTOMATED VEHICLES (CAV)15

Detroit and Ann Arbor for the sole movement of autonomous vehicles including buses and shuttles (Krisher & Eggert, 2020). Similar initiatives are taking place in other countries, for instance, China set out to build nearly 100 km of 8-lane highway linking Beijing and the Xiongan New Area, from which 2 lanes will be allocated for the automated traffic. The completion of the construction phase is predicted by the end of 2020, while its opening is for traffic is expected in June 2021 (Syncedreview.com, 2020). In Europe, there is on-going SHOW (SHared automation Operating models for Worldwide adoption) project which aims to deploy about seventy automated vehicles in 21 European cities. To assess how they can best be integrated vehicles will be used in different settings in mixed traffic and dedicated lanes. However, for safety reasons the driver will be on-board (CORDIS, 2020).

#### Relevant initiatives in Austria

- tugraz.at
- · ait.ac.at

# Impacts with respect to Sustainable Development Goals (SDGs)

Impact level	Indicator	Impact direction	Goal description and number	Source
Individual	Fuel consumption reduced	+	Environmental sustainability (7,12-13,15)	Ivanchev et al., 2017
Individual	Travel time reduced	+	Sustainable economic development (8,11)	Zhong, 2018;Yang et al., 2019
Systemic	Collision rate reduced	+	Health & Wellbeing (3)	Zhang et al., 2020
Systemic	Emissions rate reduced	+	Environmental sustainability (7,12-13,15)	Al Alam at al., 2010
Systemic	Congestion	~	Sustainable economic development (8,11)	Ivanchev et al., 2017;Kumar et al., 2020

Impact level	Indicator	Impact direction	Goal description and number	Source
Systemic	Novel designs tested	+	Innovation & Infrastructure (9)	Guin et al., 2008;Zhong, 2018;Krisher & Eggert, 2020
Systemic	SHOW EU initiative	+	Partnership & collaborations (17)	CORDIS, 2020

#### Technology and societal readiness level

TRL	SRI
5-6	1-3

#### Open questions

- 1. What are the potential benefits of dedicated AV lanes when coupled with smart platooning strategies?
- 2. How and to what degree will joint concepts by automotive sector, fleet and road operators will improve traffic management establishing dynamic traffic regulations even across borders?
- 3. What are the roles and responsibilities of the different stakeholders of physical infrastructure for connected and automated vehicles?
- 4. Should the vehicle cope with any road infrastructure, and if not, what demands can be set to adapt the existing infrastructure?
- 5. How to ensure continuity between those different environments?
- 6. Which tools (e.g. micro- and macroscopic transport modelling, impact assessment) can enable cities to assess the impact of automated vehicles on their physical road infrastructure and balance the needs of automated vehicles against the needs of existing modes (conventional vehicles, public transport, pedestrians and cyclists). (ERTRAC, 2019)

#### Further links

- knowledge base
- show project

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# 2.2 Cooperative lane control for connected and automated vehicles

- 2.3 Operational design domains
- 2.4 Rail crossing information system
- 2.5 Electric road system
- 2.6 High occupancy toll lanes
- 2.7 Public transport priority systems
- 2.8 Transformation of public space and digital solutions

# Highway infrastructure management

- 3.1 Unmanned aerial vehicles for infrastructure mainteinance
- 3.2 Electric charging stations

# Traffic management

- 4.1 Platooning
- 4.2 Real-time traffic information and monitoring
- 4.3 Cooperative intelligent transport system
- 4.4 Dynamic route guidance
- 4.5 Variable speed limits and dynamic signage system

#### **Synonyms**

Variable speed limits (VSL), dynamic speed limits (DSL), Verkehrsbeeinflussungsanlagen (VBA), Changeable Message Signs (CMS), Dynamic Signage System

#### Definition

Speed limits are based on safety, mobility and environmental considerations. While fixed speed limits represent the appropriate speed for average conditions, variable or dynamic speed limits (DSL) take account of the real time traffic,

or the road and weather conditions. Therefore, the latter reflect the safe speed better (Mobility and Transport, 2020). The road users are typically informed of the current speed limit by electronic signs above or beside the lanes (De Pauw et al., 2018), as shown in figure 1. These can be supplemented with warning signs (dynamic signage system). For example, if the usual speed limit is 100 km/h, the DSL could change to 80 km/h and further to 60 km/h, to limit rear-end collisions, if there is e.g., a traffic jam ahead or weather conditions are difficult.

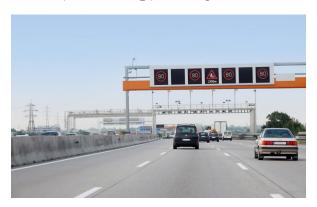


Figure 4.1: Dynamic signage system in Austria (ASFiNAG, 2019b)

With respect to the impact on the societal level, a Belgian study, by E. De Pauw et al. showed a significant decrease (-18%) in the number of injury crashes after the introduction of a DSL system (De Pauw et al., 2018). F.G. Habtemichael and L. de Picado Santos (2013) found that a DSL system has the highest safety benefit during highly congested traffic conditions. The operational benefit in turn was the highest during lightly congested traffic conditions. However, the success of DSL is highly dependent on the level of driver compliance (Habtemichael & de Picado Santos, 2013). Besides the safety aspects, the goal of DSL is to harmonize the traffic flow. Heavy traffic can cause shock waves, which result in longer travel times and large variations in the speeds of the vehicles. The latter again may lead to unsafe situations. By using DSL this phenomenon could be reduced (Hegyi et al., 2005). Traffic flow efficiency can be improved more, when DSL is combined with coordinated ramp metering (Carlson, 2010). Speed limits can also be temporary lowered, due to high emission values. If the emission values combined with the amount of traffic, reach a specific level, the DSL-System responds automatically and lowers the speed limit for a certain time. How high that level is, depends on the local policies (ASFiNAG, 2019c).

#### Key stakeholders

• Affected: Motorways users

• Responsible: Motorway Infrastructure Agencies, Technology Providers, Policymakers, State authorities

#### Current state of art in research

Studies show, that in retrospect most DSL implementations in Europe were efficient traffic safety and flow improvement. In the United States the increase in safety was significant as well, but the flow improvement was controversial (Lu & Shladover, 2014). Hassan et al. (2012) discovered that during bad weather conditions the combination of Changeable Message Signs (CMS) and DSL was the best way to improve safety. Current research shows that the benefits of DSL systems could be improved by integrating it in a fully connected vehicles (CV) environment (Wu et al., 2020). Currently, research focuses on the integration of C-ITS, to connect the infrastructure to the vehicles. European standards should be developed during the next years (Erhart, 2019).

#### Current state of art in practice

DSL systems are implemented and used around the world. The used algorithms differ, however. DSL integrated with C-ITS has been implemented in a test environment (Erhart, 2019). Austrian motorways are managed by the ASFiNAG currently they have 17 DSL systems in use. That means that about 19 % of the Austrian Motorway-System are currently equipped by an DSL system (ASFi-NAG, 2019a). So, there is potential for expansion. One global player in traffic management is the Austrian company Kapsch TrafficCom. Worldwide they have implemented their systems on more than 3.500 km of motorway (Kapsch TrefficCom). Kapsch TrafficCom's approximately 5,000 employees generated revenues of EUR 738 million in the fiscal year 2018/19.

#### Relevant initiatives in Austria

- Asfinag
- Asifinag blog
- kapsch.net
- strabag-iss.com
- pke.at
- aigner-stahlbau.at

Impacts with respect to Sustainable Development Goals (SDGs)

		Impact	Goal description	
Image of lovel	Indicator	direction	and number	Source
Impact level	Indicator	direction	and number	Source
Individual	Fatal	+	Health &	Hegyi et al.,
	collisions		Wellbeing	2005
	reduced		(3)	
Individual	Travel time	+	Environmental	Habtemichael
	reduced		sustainability	& de Picado
			(7,12-13,15)	Santos, 2013
Systemic	Fatal	+	Health &	Hegyi et al.,
	collisions		Wellbeing	2005
	reduced		(3)	
Systemic	Annual	+	Environmental	Schimany,
	greenhouse		sustainability	2011
	gas		(7,12-13,15)	
	emissions			
	decrease			

#### Technology and societal readiness level

TRL	SRL
7-9	8-9

#### Open questions

- 1. Which algorithms for DSL are the most efficient ones?
- 2. How can DSL be further developed?
- 3. How can fail-safe operation be improved?
- 4. How can DSL be combined with C-ITS?

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#### 4.6 Passengers and goods fleet management

#### 4.7 Urban access management

# Road pricing

5.1 Congestion charging

# Digital road infrastructure and connectivity

- 6.1 Vehicle to infrastructure communication
- 6.2 Infrastructure support levels for automated driving
- 6.3 Vehicle to vehicle communication
- 6.4 Wireless communication

#### $30CHAPTER\ 6.\ DIGITAL\ ROAD\ INFRASTRUCTURE\ AND\ CONNECTIVITY$

# Passenger information system

- 7.1 Digital journey planner
- 7.2 Rail telematics for passenger services
- 7.3 Multimodal information and route planning
- 7.4 Real-time, location-based information

# Multimodal integrated system

- 8.1 First-last mile solutions
- 8.2 Distance or time-based fares
- 8.3 Mobility as a service
- 8.4 Park and ride
- 8.5 Contantless public transport cards
- 8.6 Information and assistance for people with special needs
- 8.7 Mobility/Freight hubs

# Connected and autonomous driving

- 9.1 Parking infrastructure for autonomous vehicles
- 9.2 Connected vehicles
- 9.3 Automated vehicles

# On-board technology for connected and automated vehicles

- 10.1 Advanced driver assistance system
- 10.2 Parking assistance system
- 10.3 Lane keeping
- 10.4 Distane keeping
- 10.5 Crash avoidance
- 10.6 Mainteinance assistance
- 10.7 Digital maps
- 10.8 E-Horizon
- 10.9 Emergency call

38CHAPTER 10. ON-BOARD TECHNOLOGY FOR CONNECTED AND AUTOMATED VEHICLES

# Freight and commercial transport

- 11.1 Automated road freight
- 11.2 Freight dreyage optimisation
- 11.3 Tracking and tracing of dangerous goods
- 11.4 Intermodal Freight
- 11.5 Real-time disruption management and route planning
- 11.6 Traffic signal control
- 11.7 Urban Deliveries
- 11.8 Parcel load pooling
- 11.9 Intelligent truck parking and delivery space booking
- 11.10 Freight drones
- 11.11 Commercial vehicle on-board safety systems
- 11.12 Truck Platooning

## Collective mobility vehicles

- 12.1 Demand responsive transit
- 12.2 Personal rapid transit
- 12.3 Bus rapid transit
- 12.4 Light rail transit
- 12.5 Passenger drones
- 12.6 Automatic train operations

### Big data

- 13.1 Automatic identification system fir maritime transport
- 13.2 Big data lifecycle
- 13.3 Location-based data
- 13.4 Aircraft tracking system
- 13.5 Big data tools for maping and forecasting travel behaviour

# Shared mobility

- 14.1 Car sharing
- 14.2 Bicycle and e-bicycle hire
- 14.3 E-scooter hire
- 14.4 Ride-hailing

# Alternative power sources

- 15.1 Hydrogen fuel cell
- 15.2 Battery electric
- 15.3 Plugin hybrid vehicles

References