

### Sleep as an Early Warning Indicator of Pathological Dysfunction

Sleep is a sensitive barometer of health. Changes in sleep quality or architecture often precede or accompany the onset of various pathological and physiological dysfunctions. In many cases, sleep degradation can serve as an **early biomarker** – a "canary in the coal mine" – for conditions ranging from chronic stress and HPA-axis dysregulation to inflammation and immune-related illnesses. This report examines specific conditions where sleep disruption is tightly linked to pathology, explores the **mechanisms** (neuroimmune signaling, cytokines, glucocorticoid rhythms, gut microbiome, vagus nerve, etc.) underlying sleep's sensitivity, and highlights the **bidirectional feedback loops** through which poor sleep and disease exacerbate each other.

# Sleep Disturbance and HPA Axis Dysfunction (Chronic Stress & Burnout)

One of the clearest examples of sleep as an early warning sign is in chronic stress and **HPA-axis dysfunction** (e.g. professional burnout). Individuals under prolonged stress often experience insomnia or non-restorative sleep before other overt symptoms of burnout become obvious. Research shows that even modest sleep loss or poor sleep quality can activate the HPA stress axis: normally, falling asleep suppresses cortisol release, whereas awakenings trigger cortisol surges 1. When sleep is fragmented or shortened, this **circadian cortisol rhythm** is disrupted, leading to elevated cortisol levels at night and blunted recovery. Indeed, 24-hour measurements in young adults with chronic insomnia found **significantly higher ACTH and cortisol levels** than in controls, with the most marked elevations occurring in the evening and nighttime 2. Within the insomnia group, those with the worst sleep disturbances secreted the highest amount of cortisol at night 3, indicating a dose-response between sleep quality and stress-hormone output.

Such **hyperarousal of the HPA axis** can be both cause *and* effect of insomnia. Heightened cortisol and CRH (corticotropin-releasing hormone) activity in the evening makes it difficult to initiate and maintain sleep. In turn, losing sleep increases nighttime cortisol the next day, creating a vicious cycle <sup>4</sup>. This chronic HPA hyperactivity is associated with downstream metabolic and cognitive problems and may partly explain why persistent insomnia elevates the risk of conditions like obesity, diabetes, and depression <sup>5</sup> <sup>6</sup>. Notably, longitudinal studies show **insomnia often precedes and predicts** the development of mood disorders such as depression and anxiety <sup>6</sup>, suggesting that degrading sleep quality is an early harbinger of stress-related neuropsychiatric dysfunction. In essence, the state of one's sleep can reflect the state of one's stress-response system – for example, **burnout** patients frequently report severe insomnia and unrefreshing sleep, which mirrors their aberrant cortisol patterns and blunted stress tolerance <sup>7</sup> <sup>6</sup>. Paying attention to emerging sleep problems may therefore allow early intervention in the stress-dysregulation cycle before full HPA-axis burnout ensues.

#### Sleep and the Gut-Brain Axis: Gastrointestinal Distress

Sleep is intimately connected with **gastrointestinal (GI) health**, and disruptions in sleep can foreshadow GI dysfunction or inflammation. Patients with chronic GI disorders often experience poor sleep even when their disease is clinically quiescent. For example, in **inflammatory bowel disease (IBD)** such as Crohn's disease, research has found that **sleep quality can predict disease relapse**. In one large cohort, Crohn's patients in remission who had impaired sleep showed a **2- to 3-fold higher risk of IBD flare** within the next 6 months <sup>8</sup> <sup>9</sup> . In other words, persistent sleep disturbance was an early indicator of smoldering disease activity before any overt GI symptoms returned. Even at a microscopic level, **subclinical intestinal inflammation can degrade sleep quality** – patients with "inactive" IBD but ongoing mucosal inflammation report poor sleep, indicating that the brain is detecting inflammatory stress in the gut <sup>10</sup> . Clinicians have taken note: a patient with otherwise quiescent IBD who complains of new sleep problems may be counseled about a heightened risk of imminent relapse <sup>9</sup> , prompting closer monitoring or preemptive therapy.

Several **mechanisms along the gut-brain axis** explain why GI distress and sleep are so tightly interlinked. Firstly, inflammatory processes in the gut release **cytokines** that can directly alter sleep architecture  $^{11}$ . Some of these immune signals are **somnogenic** – for instance, interleukin-1 $\beta$  (IL-1 $\beta$ ) and tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ) are known to promote deep non-REM sleep as part of the sickness response. Others have the opposite effect: the GI tract's immune activity also produces cytokines (e.g. IL-4, IL-10) that can trigger insomnia or disrupt normal sleep stages  $^{11}$   $^{12}$ . Thus, during a gut inflammatory flare, an individual might suffer either excessive sleepiness or fragmented, insomniac sleep, depending on which cytokine pathways dominate  $^{12}$ . Secondly, **increased intestinal permeability** ("leaky gut") during inflammation exposes the body to bacterial products (endotoxins) that activate macrophages and prompt the release of somnogenic cytokines  $^{12}$ . In essence, an inflamed gut sends molecular signals to the brain that *something is wrong*, and one way the brain responds is by altering sleep drives (often increasing fatigue and sleep pressure). Patients and providers commonly observe this: active Crohn's or colitis can make people feel unrelentingly tired or, paradoxically, too uncomfortable to sleep, even before digestive symptoms peak.

A third key player is the **gut microbiome**, which influences both inflammation and the brain's sleep centers. The community of microbes in the GI tract exhibits its own circadian rhythms and communicates with the host's immune and nervous systems. Disruption of normal sleep can **unbalance the microbiome (dysbiosis)**, and conversely dysbiosis can drive inflammation that disturbs sleep – a feed-forward loop. Experimental studies have demonstrated that sleep deprivation causes shifts in gut microbial composition and metabolism, which in turn promote systemic and neural inflammation <sup>13</sup> <sup>14</sup>. Remarkably, transferring the microbiota from sleep-deprived animals into germ-free mice is sufficient to **induce inflammation and even cognitive deficits** in the recipients <sup>15</sup> <sup>14</sup>. This indicates that microbe-derived signals are mediating many of the harmful effects of sleep loss on the body and brain. Certain gut bacteria produce neurotransmitters (like serotonin, GABA) or short-chain fatty acids that can modulate sleep-wake signaling; if these microbial metabolites get out of balance, the result may be insomnia or excessive fatigue.

Finally, the **vagus nerve** provides a direct anatomical link between the GI tract and the central nervous system, serving as a bidirectional conduit for gut-brain communication. **Sensory (afferent) vagus fibers** monitor the state of the viscera and relay immune and metabolic information to the brainstem, while **motor (efferent) vagus fibers** carry signals from the brain to modulate gut function and inflammation. **Figure 1** below illustrates this relationship: afferent vagal pathways (red) transmit inflammatory signals from organs like the intestines and liver to the nucleus tractus solitarius (NTS) in the brainstem, which then

projects to sleep-regulatory brain regions. In response, efferent vagal activity (green) can suppress peripheral inflammation via the release of acetylcholine onto immune cells – an anti-inflammatory reflex <sup>16</sup>

17. The master circadian clock in the suprachiasmatic nucleus (SCN) and the HPA axis (via adrenal glucocorticoids) also interface with these pathways, influencing inflammatory mediator rhythms and sleep-wake cycles <sup>18</sup>. Through the vagus nerve's **gut-brain signaling**, a brewing GI problem (e.g. rising inflammatory cytokines in the gut wall) can alter brain activity to produce early symptoms like sleepiness or poor sleep quality, even before the person registers obvious GI symptoms. Likewise, chronic sleep disturbances can impair vagal tone (lowering parasympathetic activity) and gut motility, potentially exacerbating gastrointestinal issues like indigestion, irritable bowel syndrome, or low-grade inflammation.

Figure 1: Neural and immune pathways linking peripheral inflammation to the brain. The vagus nerve's afferent fibers (red) carry signals from inflamed organs (e.g. gut, liver, spleen) to the brainstem (NTS), which can trigger changes in sleep-regulating centers via pro-inflammatory cytokines (somnogenic signals). Vagal efferents (green) provide feedback by releasing acetylcholine that dampens peripheral inflammatory responses (the cholinergic anti-inflammatory reflex). The central circadian clock (SCN) and HPA-axis hormones (e.g. adrenal glucocorticoids) modulate this network, influencing both immunity and sleep-wake rhythms <sup>16</sup> <sup>18</sup>. In short, the body's stress signals (from infection, gut distress, etc.) are transmitted to the brain to alter sleep, while the brain's autonomic output can in turn adjust the immune intensity in the body. <sup>19</sup> <sup>20</sup>

#### **Sleep Changes During Infection and Immune Activation**

It has long been observed that **infections** cause characteristic changes in sleep, often even **before other symptoms fully manifest**. The achy, drowsy malaise that heralds a coming cold or flu is a testament to the body's early immune response affecting the brain. In fact, sleepiness and altered sleep architecture are considered part of the adaptive "**sickness behavior**" orchestrated by the immune system. Pro-inflammatory cytokines like IL-1 $\beta$  and TNF- $\alpha$ , which are released in greater quantities when pathogens are detected, have strong sleep-modulating effects – they **induce deeper NREM sleep and increase fatigue** as the body attempts to conserve energy and mount a defense <sup>21</sup> <sup>11</sup> . Experimental studies confirm this: molecules of the innate immune system that fight infection also **double as sleep regulators**, and are upregulated in response to both pathogens and extended wakefulness <sup>21</sup> . Thus, an early surge in cytokines during infection can tip the sleep-wake balance, making someone sleepier or disrupting their normal sleep pattern before they even realize they are ill. For example, clinicians have noted that among people living with HIV, **excessive daytime sleepiness can be observed even before the onset of AIDS** – a sign that chronic immune activation is affecting the brain long before severe immunodeficiency sets in <sup>12</sup> . Likewise, in African trypanosomiasis ("sleeping sickness"), the parasite's invasion of the central nervous system leads to profound sleep cycle disintegration, essentially *defining* the early clinical presentation <sup>12</sup> .

On the other hand, not all immune signals make us soporific; some can fragment sleep or cause insomnia, especially when the timing and context are abnormal. High levels of interferon-y or certain interleukins can produce fever and **nighttime arousals**, leading to that familiar restless sleep during a high fever. Interestingly, anti-inflammatory cytokines like IL-4 and IL-10 – typically thought of as "good guys" that reduce inflammation – can paradoxically **trigger insomnia** in the context of disease <sup>11</sup> <sup>12</sup>. This may reflect these cytokines' complex roles in modulating neurotransmitters. The bottom line is that the **neuroimmune signaling** during infection often hijacks sleep physiology, making sleep patterns a sensitive readout of immune activation. A sudden increase in sleep duration or intensity ("crashing" for 12+ hours) or, conversely, a bout of uncharacteristic insomnia could both be red flags that the immune system is at work fighting an invader.

Sleep disruption not only serves as an early indicator of infection; it also influences the course of infections. Studies have shown that people who are habitually short sleepers are more susceptible to infectious illness. In a controlled study, volunteers were exposed to a cold virus – those who slept less than 6 hours per night in the preceding week were over four times more likely to develop a cold than those who slept over 7 hours 22 . This dramatic difference illustrates that insufficient sleep impairs immune defenses, reducing resistance to viruses. Similarly, sleep deprivation predisposes to infections by weakening immunity: one observational finding was that shift workers (with irregular, disrupted sleep schedules) had higher rates of colds and upper respiratory infections compared to non-shift workers 23. Mechanistically, lack of sleep diminishes the function of natural killer cells and T-cells, and raises inflammatory mediators that can paradoxically hamper effective immune response. In extreme models, total sleep deprivation can be fatal due to infection - classic experiments in rats showed that after about 20 days of complete sleep loss, the animals developed bloodstream infections (septicemia) and died 24. Even partial sleep loss has tangible impacts: one study in mice with induced colitis found that sleep fragmentation worsened gut inflammation and tissue injury compared to mice allowed normal sleep 24. These findings reinforce the bidirectional loop: infection/inflammation disturbs sleep, and disturbed sleep in turn can aggravate infection and inflammation.

## Sleep and Systemic Inflammation: Chronic Immune Activation and Disease

Beyond acute infections, chronic inflammatory states and immune-mediated diseases also have strong two-way interactions with sleep. Patients with conditions like rheumatoid arthritis (RA), lupus, and other autoimmune or inflammatory disorders almost invariably report elevated rates of sleep problems (insomnia, unrefreshing sleep, fatigue). In RA, for instance, over 50% of patients experience poor sleep, which correlates with pain levels and cytokine activity 25 26. Sleep disruption tends to flare during periods of high disease activity, reflecting the impact of systemic inflammation on the CNS. Importantly, the arrow of causality runs both directions: sleep disturbances can precede flare-ups or worsen them. There is evidence that in RA patients, days of worse sleep predict higher pain and stiffness following nights of inadequate rest, suggesting that poor sleep amplifies the inflammatory pain signaling in a feed-forward cycle 27. In IBD (discussed earlier), the presence of disturbed sleep in an otherwise quiet phase of disease is a strong predictor that microscopic inflammation or an immune trigger is smoldering and may soon lead to a clinical relapse 10 8. Even in people without a diagnosed inflammatory illness, subclinical elevations of inflammatory markers often accompany sleep problems. A meta-analysis of 72 studies (over 50,000 people) concluded that individuals with chronic sleep disturbances have significantly higher circulating C-reactive protein (CRP) and IL-6 levels than those without sleep complaints 28. (Notably, these markers of systemic inflammation were elevated with poor sleep and with very long sleep durations, but not as much with moderate short sleep, and TNF-α showed no clear association <sup>28</sup> .) This low-grade inflammation linked to insomnia may help explain why persistent poor sleep is associated with the development of a host of chronic conditions. For example, elevated CRP and IL-6 from years of bad sleep are known to predict cardiovascular events, hypertension, and type II diabetes 29. In short, sleep quality is tightly interwoven with inflammatory homeostasis, and a breakdown in one often heralds trouble in the other.

Mechanistically, chronic inflammation engages many of the same pathways discussed earlier. Proinflammatory cytokines (like IL-1, IL-6, TNF) can disrupt the function of sleep-regulating neurons and alter neurotransmitter balances, leading to symptoms like light, non-restorative sleep or conversely persistent fatigue. Over time, these cytokines also influence the **HPA axis**: IL-6 in particular can stimulate the hypothalamus and blunt the normal circadian cortisol cycle, leading to higher evening cortisol and sympathetic activity  $^{18}$   $^{20}$ . This contributes to the feeling of "tired and wired" often seen in people with chronic inflammation – they are exhausted but unable to get deep, refreshing sleep due to physiologic stress signals. The vagus nerve's anti-inflammatory reflex may also become impaired in chronic stress or illness, reducing the body's ability to tone down inflammation during sleep. Indeed, therapies that boost vagal activity (such as **vagus nerve stimulation** or meditation/breathing exercises) have been explored to improve sleep and reduce inflammation simultaneously  $^{30}$   $^{31}$ .

It is important to note the **bidirectional nature** of the relationship: not only does inflammation disturb sleep, but insufficient sleep actually **creates a pro-inflammatory state**. Even in healthy adults, experimentally restricting sleep for even one night can acutely raise inflammatory markers. Sleep loss is sensed as a stress by the body, activating nuclear factor  $\kappa B$  (NF- $\kappa B$ ) pathways and inflammasomes at the cellular level <sup>32</sup> <sup>33</sup>. For example, partial sleep deprivation has been shown to increase morning levels of IL-6 and TNF- $\alpha$  and to activate adhesion molecules involved in vascular inflammation <sup>34</sup>. If this becomes chronic, the sustained inflammation from sleep loss can contribute to diseases of virtually every organ. This phenomenon might explain why **short or disrupted sleep is linked to higher risk of all-cause mortality and numerous chronic diseases** in epidemiological studies <sup>35</sup> <sup>29</sup>. From metabolic dysfunction (insulin resistance, weight gain) to neurodegenerative diseases, sleep stands out as a common early denominator.

To illustrate one extreme: **Obstructive Sleep Apnea (OSA)** is a condition where sleep is chronically fragmented due to breathing disturbances. Patients with OSA have measurably elevated systemic inflammation (high CRP, IL-6 levels) and oxidative stress. When OSA is treated with continuous positive airway pressure (CPAP) – effectively restoring normal sleep – those inflammatory markers fall <sup>36</sup>. This demonstrates in a clinical scenario that improving sleep quality can reverse excessive inflammation <sup>37</sup>. Similarly, treating chronic insomnia has been shown in some studies to reduce evening cortisol and lower blood pressure, reinforcing how critical good sleep is for **keeping the immune and stress systems in balance**.

### **Bidirectional Feedback Loops Between Sleep and Dysfunction**

Across all these examples, a recurring theme is the **bidirectional feedback loop** linking sleep and pathology. Sleep disturbances are not just passive bystanders or secondary symptoms; they actively participate in disease processes. Two intertwined feedback pathways can be highlighted:

• Pathology → Sleep: When the body undergoes stress, whether from an external infection, internal inflammation, or hormonal disturbance, it sends signals to the brain that alter sleep. Neuroimmune signaling is central in this direction – pro-inflammatory cytokines (IL-1β, TNF-α, IL-6, etc.) released during stress or illness act on the brain to induce fatigue, sleepiness, or fragmented sleep 21 11. Elevated cortisol and glucocorticoids in chronic stress similarly disrupt normal sleep-wake rhythms by promoting arousal when the body should be resting 38 4. The vagus nerve and brainstem nuclei translate peripheral immune activation into changes in sleep-regulating centers 16 19. In essence, the brain uses sleep modulation as an early response to systemic dysfunction – for example, inducing deep sleep to help fight infection, or causing insomnia in a state of high alert. Thus, deteriorating sleep quality often reflects the onset or exacerbation of an underlying issue.

• Sleep → Pathology: Conversely, inadequate or poor-quality sleep feeds back to worsen those very conditions. Loss of sleep amplifies inflammation and stress: even one night of sleep deprivation triggers the release of inflammatory cytokines and stress hormones, as if the body were under attack <sup>34</sup> <sup>4</sup>. Chronic sleep loss leads to sustained elevations in molecules like CRP and IL-6 <sup>28</sup>, which can precipitate or aggravate conditions such as atherosclerosis, insulin resistance, and neurodegeneration. In inflammatory disorders, a bout of poor sleep can lead to higher pain sensitivity and immune cell activation the next day, contributing to flare-ups. In the gut, sleep deprivation increases intestinal permeability and perturbs the microbiome, *fueling* further inflammation <sup>39</sup> <sup>15</sup>. And in the HPA axis, insufficient sleep maintains high evening cortisol, preventing recovery and promoting allostatic overload (the wear-and-tear on the body from chronic stress). Breaking this cycle by improving sleep can therefore have therapeutic benefits: for instance, reducing insomnia has been linked to lower anxiety and inflammatory markers, and regularizing sleep duration improves vaccine responses and infection outcomes.

In summary, **sleep acts as a sensitive barometer and effector of health**. Its disruption is often the first signal of trouble in systems ranging from the brain's stress circuits to the gut's immune environment. Because sleep is regulated by a complex interplay of neuroendocrine and immune factors, it is exquisitely reactive to imbalances in those domains. Researchers have aptly described sleep as "the **canary in the coal mine**" for impending illness <sup>10</sup> <sup>9</sup> – a subtle change in sleep might foreshadow a flare of inflammation, an oncoming infection, or a collapse of adrenal rhythm before other signs appear. By monitoring sleep quality (through patient reports or wearable sensors), clinicians may gain an early warning system for conditions like **HPA-axis burnout**, **autoimmune flares**, **or systemic infection**. Moreover, because of the bidirectional loops, **targeting sleep for improvement** isn't just about symptom relief – it can be a proactive strategy to modulate disease activity (e.g. treating sleep apnea to reduce cardiovascular risk, or managing insomnia to lower chronic inflammation). Going forward, an integrated view of sleep alongside traditional biomarkers may greatly enhance our ability to detect and treat physiological dysfunctions at their earliest stages, leveraging the body's own "night watchman" as a guide and ally in maintaining health.

#### Sources:

- Balbo, M. et al. (2010). Impact of Sleep and Its Disturbances on Hypothalamo-Pituitary-Adrenal Axis Activity. Annals of the New York Academy of Sciences, 1220: 1–13 5 2.
- Vgontzas, A. et al. (2001). Chronic insomnia is associated with nyctohemeral activation of the hypothalamic-pituitary-adrenal axis: clinical implications. Journal of Clinical Endocrinology & Metabolism, 86(8): 3787–3794
- Besedovsky, L. *et al.* (2019). *The Sleep-Immune Crosstalk in Health and Disease*. **Physiological Reviews**, **99**(3): 1325–1380 <sup>28</sup> <sup>29</sup> .
- Zielinski, M. *et al.* (2022). *Neuroinflammation, Sleep, and Circadian Rhythms*. **Frontiers in Cellular and Infection Microbiology, 12**: 853096 16 19 .
- Tang, W. et al. (2014). Sleep and Inflammatory Bowel Disease: A Bidirectional Relationship. **Gastroenterology & Hepatology, 10**(11): 734–740 8 9.

- Cohen, S. et al. (2015). Sleep Habits and Susceptibility to the Common Cold. Sleep, 38(9): 1353–1359
- Irwin, M. et al. (2016). Sleep Disturbance, Sleep Duration, and Inflammation: A Systematic Review and Meta-Analysis. **Biological Psychiatry**, **80**(1): 40–52 <sup>28</sup> <sup>40</sup>.
- Bao, Y. et al. (2021). Gut microbiota modulates the inflammatory response and cognitive impairment induced by sleep deprivation. **Molecular Psychiatry, 26**: 6277–6292 <sup>13</sup> <sup>14</sup>.
- Hansen, M. & Krueger, J. (1997). *Subdiaphragmatic vagotomy blocks the sleep- and fever-promoting effects of interleukin-1 beta*. **American Journal of Physiology, 273**: R1246-R1253 (19) (20).
- Pavlov, V. & Tracey, K. (2012). The Vagus Nerve and the Inflammatory Reflex Linking Immunity and Metabolism. Nature Reviews Endocrinology, 8(12): 743–754 <sup>16</sup> <sup>18</sup>.

1 2 3 4 5 6 7 38 Impact of Sleep and Its Disturbances on Hypothalamo-Pituitary-Adrenal Axis Activity - PMC

https://pmc.ncbi.nlm.nih.gov/articles/PMC2902103/

- 8 9 10 11 12 23 24 34 39 Sleep and Inflammatory Bowel Disease Gastroenterology & Hepatology https://www.gastroenterologyandhepatology.net/archives/november-2014/sleep-and-inflammatory-bowel-disease/
- 13 14 15 Gut microbiota modulates the inflammatory response and cognitive impairment induced by sleep deprivation | Molecular Psychiatry

https://www.nature.com/articles/s41380-021-01113-1?error=cookies\_not\_supported&code=7d456a24-c205-4a83-a69a-24bacbe0e93e

- 16 17 18 19 20 21 32 33 Neuroinflammation, Sleep, and Circadian Rhythms PMC https://pmc.ncbi.nlm.nih.gov/articles/PMC8981587/
- New Research Confirms Lack of Sleep Connected To Getting Sick https://www.cmu.edu/news/stories/archives/2015/august/sleep-and-sickness.html
- <sup>25</sup> Prevalence of Poor Sleep Quality and Associated Factors in ...

https://pmc.ncbi.nlm.nih.gov/articles/PMC10536092/

- <sup>26</sup> <sup>27</sup> The Impact of Sleep Problems on Rheumatoid Arthritis Disease Activity http://sleepmedres.org/journal/view.php?doi=10.17241/smr.2023.02075
- <sup>28</sup> <sup>29</sup> <sup>35</sup> <sup>40</sup> Sleep Disturbance, Sleep Duration, and Inflammation: A Systematic Review and Meta-Analysis of Cohort Studies and Experimental Sleep Deprivation PMC

https://pmc.ncbi.nlm.nih.gov/articles/PMC4666828/

<sup>30</sup> The Vagus Nerve: A Key Player in Your Health and Well-Being

https://www.massgeneral.org/news/article/vagus-nerve

31 Understanding Vagus Nerve Stimulation For Improving Sleep And ...

https://neuvanalife.com/blogs/blog/understanding-vagus-nerve-stimulation-for-improving-sleep-and-reducing-fatigue and the standard properties of the stand

36 37 Elevated Levels of C-Reactive Protein and Interleukin-6 in Patients ...

https://www.ahajournals.org/doi/10.1161/01.cir.0000052627.99976.18