# Combinatory Logic Blocks

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# 1 Multi-Dimensional Combinators

We introduce several convenient definitions for families of (arity-extended variants of) combinators. In the spirit of Schönfinkel [3], our aim is to provide building blocks for "point-free" representations of mathematical knowledge (rather than reducing our vocabulary to its base minimum).

theory combinators imports Main begin

We aggregate theory-related definitions to be unfolded on demand. Here for combinators.

named\_theorems comb\_defs

#### 1.1 Traditional Combinators

# 1.1.1 Identity and Appliers

The convenient all-purpose identity combinator.

```
definition I\_comb :: "'a \Rightarrow 'a" ("I")
where "I \equiv \lambda x. x"
```

The family of combinators  $\mathbf{A}_m$  are called "appliers". They take m+1 arguments, and return the application of the first argument (an m-ary function) to the remaining ones.

```
abbreviation (input) A0\_comb: "'a \Rightarrow 'a" ("A0") where "A0 \equiv I" — degenerate case (m = 0) corresponds to identity I definition A1\_comb: "('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b" ("A1") where "A1 \equiv \lambda f x. f x" — (unary) function application (@); cf. reverse-pipe (<|) definition A2\_comb: "('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow 'a \Rightarrow 'b \Rightarrow 'c" ("A2") where "A2 \equiv \lambda f x1 x2. f x1 x2" — function application (binary case) definition A3\_comb: "('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd) \Rightarrow 'a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd" ("A3") where "A3 \equiv \lambda f x1 x2 x3. f x1 x2 x3" — function application (ternary case) — ... and so on
```

notation A1\_comb ("A")

The identity combinator I (suitably typed) generalizes all  $\mathbf{A}_m$  combinators (via polymorphism and  $\eta$ -conversion).

```
lemma "A_1 = I" lemma "A_2 = I" lemma "A_3 = I"
```

It is convenient to introduce a family  $T_m$  of "reversed appliers" as abbreviations I.

```
abbreviation T1_comb::"'b \Rightarrow ('b \Rightarrow 'a) \Rightarrow 'a" ("T1") where "T1 x f \equiv A1 f x" — unary case abbreviation T2_comb::"'b \Rightarrow 'c \Rightarrow ('b \Rightarrow 'c \Rightarrow 'a) \Rightarrow 'a" ("T2") where "T2 x y f \equiv A2 f x y" — binary case abbreviation T3_comb ("T3") where "T3 x y z f \equiv A3 f x y z" — ternary case — ... and so on
```

Special notation for unary and binary cases.

```
notation 71_comb ("T") — cf. "Let"; Smullyan's "thrush" [4] notation 72_comb ("V") — cf. "pairing" in \lambda-calculus; Smullyan's "vireo" [4]
```

Convenient "pipe" notation for  $A_1$  and its reverse  $T_1$  in their role as function application.

```
notation(input) A1_comb (infixr "<|" 54)
notation(input) T1_comb (infixl "|>" 54)
```

declare I\_comb\_def[comb\_defs] A1\_comb\_def[comb\_defs] A2\_comb\_def[comb\_defs] A3\_comb\_def[comb\_defs]

Do some notation checks.

```
lemma "a |> f = f a"
lemma "f <| a = f a"
lemma "a |> f |> g = g (f a)"
lemma "g <| f <| a = g (f a)"
lemma "(a |> f) <| b = f a b"
```

# 1.1.2 Compositors

The family of combinators  $\mathbf{B}_N$  are called "compositors" (with N an m-sized sequence of arities). They compose their first argument f (an m-ary function) with m functions  $g_{i \leq m}$  (each of arity  $N_i$ ). Thus, the returned function has arity:  $\Sigma_{i \leq m} N_i$ .

```
abbreviation(input) B0_comb :: "('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b" ("B0")
       where "B_0 \equiv A_1" — composing with a nullary function corresponds to (unary) function application
definition B1_comb :: "('a \Rightarrow 'b) \Rightarrow ('c \Rightarrow 'a) \Rightarrow 'c \Rightarrow 'b" ("B1")
       where "B<sub>1</sub> \equiv \lambda f g x. f (g x)" — the traditional B combinator
definition B2_comb :: "('a \Rightarrow 'b) \Rightarrow ('c \Rightarrow 'd \Rightarrow 'a) \Rightarrow 'c \Rightarrow 'd \Rightarrow 'b" ("B2")
       where "B<sub>2</sub> \equiv \lambda f g x y. f (g x y)" — cf. Smullyan's "blackbird" combinator [4]
definition B3 comb :: "('a \Rightarrow 'b) \Rightarrow ('c \Rightarrow 'd \Rightarrow 'e \Rightarrow 'a) \Rightarrow 'c \Rightarrow 'd \Rightarrow 'e \Rightarrow 'b" ("B<sub>3</sub>")
       where "B<sub>3</sub> \equiv \lambda f g x y z. f (g x y z)"
definition B4_comb :: "('a \Rightarrow 'b) \Rightarrow ('c \Rightarrow 'd \Rightarrow 'e \Rightarrow 'f \Rightarrow 'a) \Rightarrow 'c \Rightarrow 'd \Rightarrow 'e \Rightarrow 'f \Rightarrow
 'b" ("B<sub>4</sub>")
      where "B<sub>4</sub> \equiv \lambda f g x y z w. f (g x y z w)"
 -\dots and so on
abbreviation(input) B00_comb :: "('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow 'a \Rightarrow 'b \Rightarrow 'c" ("B<sub>00</sub>")
       where "B_{00} \equiv A_2" — composing with two nullary functions corresponds to binary function application
definition B01_comb :: "('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow 'a \Rightarrow ('d \Rightarrow 'b) \Rightarrow 'd \Rightarrow 'c" ("B<sub>01</sub>")
       where "B<sub>01</sub> \equiv \lambda f g<sub>1</sub> g<sub>2</sub> x<sub>2</sub>. f g<sub>1</sub> (g<sub>2</sub> x<sub>2</sub>)" — D combinator (cf. Smullyan's "dove"[4])
\mathbf{definition} \ \textit{BO2\_comb} \ :: \ \textit{"('a} \ \Rightarrow \ \textit{'b} \ \Rightarrow \ \textit{'c)} \ \Rightarrow \ \textit{'a} \ \Rightarrow \ \textit{('d} \ \Rightarrow \ \textit{'e} \ \Rightarrow \ \textit{'b)} \ \Rightarrow \ \textit{'d} \ \Rightarrow \ \textit{'e} \ \Rightarrow \ \textit{'c"} \ \textit{("B}_{02}")
       where "B<sub>02</sub> \equiv \lambda f g_1 g_2 x_2 y_2. f g_1 (g_2 x_2 y_2)" — E combinator (cf. Smullyan's "eagle"[4])
\text{definition B03\_comb} :: \text{"('a} \Rightarrow \text{'b} \Rightarrow \text{'c)} \Rightarrow \text{'a} \Rightarrow \text{('d} \Rightarrow \text{'e} \Rightarrow \text{'f} \Rightarrow \text{'b)} \Rightarrow \text{'d} \Rightarrow \text{'e} \Rightarrow \text{'f}
\Rightarrow 'c" ("B<sub>03</sub>")
      where "B_{03} \equiv \lambda f \ g_1 \ g_2 \ x_2 \ y_2 \ z_2. f \ g_1 \ (g_2 \ x_2 \ y_2 \ z_2)"
     -\dots and so on
definition B10_comb :: "('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow ('d \Rightarrow 'a) \Rightarrow 'b \Rightarrow 'd \Rightarrow 'c" ("B<sub>10</sub>")
       where "B<sub>10</sub> \equiv \lambda f g<sub>1</sub> g<sub>2</sub> x<sub>1</sub>. f (g<sub>1</sub> x<sub>1</sub>) g<sub>2</sub>"
\mathbf{definition} \ \textit{B11\_comb} \ :: \ \textit{"('a} \ \Rightarrow \ \textit{'b} \ \Rightarrow \ \textit{'c)} \ \Rightarrow \ \textit{('d} \ \Rightarrow \ \textit{'a)} \ \Rightarrow \ \textit{('e} \ \Rightarrow \ \textit{'b)} \ \Rightarrow \ \textit{'d} \ \Rightarrow \ \textit{'e} \ \Rightarrow \ \textit{'c"} \ \textit{("B$_{11}")}
       where "B<sub>11</sub> \equiv \lambda f g<sub>1</sub> g<sub>2</sub> x<sub>1</sub> x<sub>2</sub>. f (g<sub>1</sub> x<sub>1</sub>) (g<sub>2</sub> x<sub>2</sub>)"
definition B12 comb :: "('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow ('d \Rightarrow 'a) \Rightarrow ('e \Rightarrow 'f \Rightarrow 'b) \Rightarrow 'd \Rightarrow 'e \Rightarrow 'f
\Rightarrow 'c" ("B_{12}")
      where "B_{12} \equiv \lambda f \ g_1 \ g_2 \ x_1 \ x_2 \ y_2. f \ (g_1 \ x_1) \ (g_2 \ x_2 \ y_2)"
definition B13_comb :: "('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow ('d \Rightarrow 'a) \Rightarrow ('e \Rightarrow 'f \Rightarrow 'g \Rightarrow 'b)
                                                                                                                                                                                           \Rightarrow 'd \Rightarrow 'e \Rightarrow 'f \Rightarrow 'g \Rightarrow 'c" ("B<sub>13</sub>")
      where "B<sub>13</sub> \equiv \lambda f g<sub>1</sub> g<sub>2</sub> x<sub>1</sub> x<sub>2</sub> y<sub>2</sub> z<sub>2</sub>. f (g<sub>1</sub> x<sub>1</sub>) (g<sub>2</sub> x<sub>2</sub> y<sub>2</sub> z<sub>2</sub>)"
-\dots and so on
\text{definition B20\_comb} :: \text{"('a} \Rightarrow \text{'b} \Rightarrow \text{'c)} \Rightarrow \text{('d} \Rightarrow \text{'e} \Rightarrow \text{'a)} \Rightarrow \text{'b} \Rightarrow \text{'d} \Rightarrow \text{'e} \Rightarrow \text{'c" ("B}_{20}\text{")}
       where "B_{20} \equiv \lambda f g_1 g_2 x_1 y_1. f (g_1 x_1 y_1) g_2"
\mathbf{definition} \ \textit{B21\_comb} \ :: \ \texttt{"('a} \ \Rightarrow \ \textit{'b} \ \Rightarrow \ \textit{'c)} \ \Rightarrow \ (\textit{'d} \ \Rightarrow \ \textit{'e} \ \Rightarrow \ \textit{'a)} \ \Rightarrow \ (\textit{'f} \ \Rightarrow \ \textit{'b)} \ \Rightarrow \ \textit{'d} \ \Rightarrow \ \textit{'f} \ \Rightarrow \ \textit{'e}
\Rightarrow 'c" ("B_{21}")
       where "B_{21} \equiv \lambda f \ g_1 \ g_2 \ x_1 \ x_2 \ y_1. f \ (g_1 \ x_1 \ y_1) \ (g_2 \ x_2)"
definition B22 comb :: "('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow ('d \Rightarrow 'e \Rightarrow 'a) \Rightarrow ('f \Rightarrow 'g \Rightarrow 'b)
                                                                                                                                                                                                                 \Rightarrow 'd \Rightarrow 'f \Rightarrow 'e \Rightarrow 'g \Rightarrow 'c"
 ("B_{22}")
      where "B_{22} \equiv \lambda f \ g_1 \ g_2 \ x_1 \ x_2 \ y_1 \ y_2. f \ (g_1 \ x_1 \ y_1) \ (g_2 \ x_2 \ y_2)"
     -\dots and so on
\text{definition } \textit{B30\_comb} :: \text{"('a} \Rightarrow \text{'b} \Rightarrow \text{'c)} \Rightarrow \text{('d} \Rightarrow \text{'e} \Rightarrow \text{'f} \Rightarrow \text{'a)} \Rightarrow \text{'b} \Rightarrow \text{'d} \Rightarrow \text{'e} \Rightarrow \text{'f}
\Rightarrow 'c" ("B<sub>30</sub>")
      where "B<sub>30</sub> \equiv \lambda f \ g_1 \ g_2 \ x_1 \ y_1 \ z_1. f \ (g_1 \ x_1 \ y_1 \ z_1) \ g_2"
     -\dots and so on
abbreviation(input) B000_comb :: "('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd) \Rightarrow 'a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd"("B<sub>000</sub>")
       where "B_{000} \equiv A_3" — composing with three nullary functions corresponds to ternary function appli-
   -\dots and so on
definition B111_comb :: "('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd) \Rightarrow ('e \Rightarrow 'a) \Rightarrow ('f \Rightarrow 'b) \Rightarrow ('g \Rightarrow 'c)
                                                                                                                                                                                                                                            \Rightarrow 'e \Rightarrow 'f \Rightarrow 'g \Rightarrow 'd"
       where "B<sub>111</sub> \equiv \lambda f g_1 g_2 g_3 x_1 x_2 x_3. f (g_1 x_1) (g_2 x_2) (g_3 x_3)"
\text{definition B112\_comb} \ :: \ \texttt{"('a} \ \Rightarrow \ \texttt{'b} \ \Rightarrow \ \texttt{'c} \ \Rightarrow \ \texttt{'d}) \ \Rightarrow \ \texttt{('e} \ \Rightarrow \ \texttt{'a}) \ \Rightarrow \ \texttt{('f} \ \Rightarrow \ \texttt{'b}) \ \Rightarrow \ \texttt{('g} \ \Rightarrow \ \texttt{'h} \ \Rightarrow \ \texttt{'h} \ \Rightarrow \ \texttt{(h)} \ \Rightarrow \ \texttt
                                                                                                                                                                                                                        \Rightarrow 'e \Rightarrow 'f \Rightarrow 'g \Rightarrow 'h \Rightarrow 'd"
 ("B<sub>112</sub>")
```

```
where "B<sub>112</sub> \equiv \lambda f g_1 g_2 g_3 x_1 x_2 x_3 y_3. f (g_1 x_1) (g_2 x_2) (g_3 x_3 y_3)"
-- ... and so on
definition B222_comb :: "('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd) \Rightarrow ('e \Rightarrow 'f \Rightarrow 'a) \Rightarrow ('g \Rightarrow 'h \Rightarrow 'b)
                                              \Rightarrow ('i \Rightarrow 'j \Rightarrow 'c) \Rightarrow 'e \Rightarrow 'g \Rightarrow 'i \Rightarrow 'f \Rightarrow 'h \Rightarrow 'j \Rightarrow
'd" ("B<sub>222</sub>")
   where "B_{222} \equiv \lambda f \ g_1 \ g_2 \ g_3 \ x_1 \ x_2 \ x_3 \ y_1 \ y_2 \ y_3. f \ (g_1 \ x_1 \ y_1) \ (g_2 \ x_2 \ y_2) \ (g_3 \ x_3 \ y_3)"
definition B223_comb :: "('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd) \Rightarrow ('e \Rightarrow 'f \Rightarrow 'a) \Rightarrow ('g \Rightarrow 'h \Rightarrow 'b)
                             \Rightarrow ('i \Rightarrow 'j \Rightarrow 'k \Rightarrow 'c) \Rightarrow 'e \Rightarrow 'g \Rightarrow 'i \Rightarrow 'f \Rightarrow 'h \Rightarrow 'j \Rightarrow 'k \Rightarrow
'd" ("B<sub>223</sub>")
  where "B<sub>223</sub> \equiv \lambda f g_1 g_2 g_3 x_1 x_2 x_3 y_1 y_2 y_3 z_3. f (g_1 x_1 y_1) (g_2 x_2 y_2) (g_3 x_3 y_3 z_3)"
-\dots and so on
definition B333_comb :: "('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd) \Rightarrow ('e \Rightarrow 'f \Rightarrow 'g \Rightarrow 'a) \Rightarrow ('h \Rightarrow 'i \Rightarrow 'j
\Rightarrow 'b)
             \Rightarrow \text{ ('k} \Rightarrow \text{'l} \Rightarrow \text{'m} \Rightarrow \text{'c)} \Rightarrow \text{'e} \Rightarrow \text{'h} \Rightarrow \text{'k} \Rightarrow \text{'f} \Rightarrow \text{'i} \Rightarrow \text{'l} \Rightarrow \text{'g} \Rightarrow \text{'j} \Rightarrow \text{'m} \Rightarrow
'd" ("B<sub>333</sub>")
  where "B<sub>333</sub> \equiv \lambda f g_1 g_2 g_3 x_1 x_2 x_3 y_1 y_2 y_3 z_1 z_2 z_3. f (g_1 x_1 y_1 z_1) (g_2 x_2 y_2 z_2) (g_3
x_3 \ y_3 \ z_3)"
-\dots and so on
\Rightarrow ('i \Rightarrow 'd) \Rightarrow 'f \Rightarrow 'g \Rightarrow 'h \Rightarrow 'i \Rightarrow 'e"
("B<sub>1111</sub>")
   where "B_{1111} \equiv \lambda f \ g_1 \ g_2 \ g_3 \ g_4 \ x_1 \ x_2 \ x_3 \ x_4. f \ (g_1 \ x_1) \ (g_2 \ x_2) \ (g_3 \ x_3) \ (g_4 \ x_4)"
-\dots and so on
     We introduce a convenient infix notation for the \mathbf{B}_n family of combinators (and their transposes)
in their role as arity-extended versions of composition, and write B_n f g as f \circ_n g.
notation B1_comb (infixl "o1" 55)
notation B2_comb (infixl "o2" 55)
notation B3_comb (infixl "o3" 55)
notation B4_comb (infixl "o4" 55)
abbreviation(input) B1_comb_t (infixr ";1" 55)
   where "f ; g \equiv g \circ_1 f"
abbreviation(input) B2_comb_t (infixr ";2" 55)
   where "f; g \equiv g \circ_2 f"
abbreviation(input) B3_comb_t (infixr ";3" 55)
   where "f; g \equiv g \circ_3 f"
abbreviation(input) B4_comb_t (infixr ";4" 55)
   where "f ; _4 g \equiv g \circ_4 f"
    Convenient default notation.
notation B1_comb ("B")
notation B1_comb (infixl "o" 55)
abbreviation(input) B1 comb t' (infixr "; " 55)
   where "f; g \equiv g \circ f"
    Alternative notations for some known compositors in the literature.
notation B01_comb ("D") — aliasing B<sub>01</sub> as D (cf. Smullyan's "dove" combinator)
notation B02_comb ("E") — aliasing B<sub>02</sub> as E (cf. Smullyan's "eagle" combinator)
declare B1 comb def[comb defs] B2 comb def[comb defs] B3 comb def[comb defs] B4 comb def[comb defs]
            B01_comb_def[comb_defs] B02_comb_def[comb_defs] B03_comb_def[comb_defs]
            B10_comb_def[comb_defs] B11_comb_def[comb_defs] B12_comb_def[comb_defs]
            B13_comb_def[comb_defs] B20_comb_def[comb_defs] B21_comb_def[comb_defs]
```

B22\_comb\_def[comb\_defs] B30\_comb\_def[comb\_defs] B111\_comb\_def[comb\_defs] B112\_comb\_def[comb\_defs] B222\_comb\_def[comb\_defs] B223\_comb\_def[comb\_defs]

B333\_comb\_def[comb\_defs] B1111\_comb\_def[comb\_defs]

Notation checks.

```
lemma "f \circ g \circ h = h ; g ; f"
lemma "f \circ_2 g = g ;_2 f"
lemma "a |> f |> g = a |> f ; g"
lemma "a |> f|> g = g \circ f < |a|"
lemma "a |> f |> g = f ; g <| a"
     Composing compositors. In the following cases, we have that B_{ab} \circ B_{cd} = B_{(a+b)(c+d)}.
lemma "B_{11} \circ B_{00} = B_{11}"
lemma "B_{10} \circ B_{01} = B_{11}"
lemma {}^{"}B_{12} \circ B_{00} = B_{12}{}^{"}
lemma "B_{11} \circ B_{01} = B_{12}"
lemma "B_{10} \circ B_{10} = B_{20}"
lemma "B_{11} \circ B_{10} = B_{21}"
lemma "B_{11} \circ B_{11} = B_{22}"
     Similarly, below we have that B_{abc} \circ B_{def} = B_{(a+d)(b+e)(c+f)}.
lemma "B_{000} \circ B_{111} = B_{111}"
lemma "B_{111} \circ B_{111} = B_{222}"
lemma "B_{111} \circ B_{112} = B_{223}"
lemma "B_{111} \circ B_{222} = B_{333}"
lemma "B_{222} \circ B_{111} = B_{333}"
     Note, however, that:
proposition "B_{01} \circ B_{11} = B_{12}" nitpick — countermodel found
proposition "B_{112} \circ B_{111} = B_{223}" nitpick — countermodel found
1.1.3 Permutators
The family of combinators \mathbf{c}_N are called "permutators", where N an m-sized sequence of (different)
numbers indicating a permutation on the arguments of the first argument (an m-ary function).
abbreviation(input) C12_comb :: "('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow 'a \Rightarrow 'b \Rightarrow 'c" ("C<sub>12</sub>")
                                       — trivial case (no permutation): binary function application
   where "C_{12} \equiv A_2"
definition C21_comb :: "('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow 'b \Rightarrow 'a \Rightarrow 'c" ("C<sub>21</sub>")
   where "C_{21} \equiv \lambda f x_1 x_2. f x_2 x_1"

    Ternary permutators (6 in total).

abbreviation \textit{(input) C123\_comb} \ :: \ \texttt{"('a} \ \Rightarrow \ \texttt{'b} \ \Rightarrow \ \texttt{'c} \ \Rightarrow \ \texttt{'d)} \ \Rightarrow \ \texttt{'a} \ \Rightarrow \ \texttt{'b} \ \Rightarrow \ \texttt{'c} \ \Rightarrow \ \texttt{'d"} \ (\texttt{"C}_{123}\texttt{"})
   where "C_{123} \equiv A_3" — trivial case (no permutation): ternary function application
abbreviation(input) C213 comb :: "('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd) \Rightarrow 'b \Rightarrow 'a \Rightarrow 'c \Rightarrow 'd" ("C<sub>213</sub>")
   where "C_{213} \equiv C_{21}" — permutation C_{213} corresponds to C_{21} (flipping the first two arguments)
\text{definition C132\_comb} :: \text{"('a} \Rightarrow \text{'b} \Rightarrow \text{'c} \Rightarrow \text{'d}) \Rightarrow \text{'a} \Rightarrow \text{'c} \Rightarrow \text{'b} \Rightarrow \text{'d" ("C$_{132}$")}
   where "C_{132} \equiv \lambda f x_1 x_2 x_3. f x_1 x_3 x_2"
\mathbf{definition} \ \textit{C231\_comb} \ :: \ \texttt{"('a} \ \Rightarrow \ \textbf{'b} \ \Rightarrow \ \textbf{'c} \ \Rightarrow \ \textbf{'d)} \ \Rightarrow \ \textbf{'c} \ \Rightarrow \ \textbf{'a} \ \Rightarrow \ \textbf{'b} \ \Rightarrow \ \textbf{'d"} \ (\texttt{"C}_{231}\texttt{"})
   where "C_{231} \equiv \lambda f x_1 x_2 x_3. f x_2 x_3 x_1"
\text{definition } \textit{C312\_comb} :: \textit{"('a} \Rightarrow \textit{'b} \Rightarrow \textit{'c} \Rightarrow \textit{'d}) \Rightarrow \textit{'b} \Rightarrow \textit{'c} \Rightarrow \textit{'a} \Rightarrow \textit{'d"} \textit{("C}_{312}\textit{")}
   where "C_{312} \equiv \lambda f x_1 x_2 x_3. f x_3 x_1 x_2"
\mathbf{definition} \ \ \textit{C321\_comb} \ :: \ \textit{"('a} \ \Rightarrow \ \textit{'b} \ \Rightarrow \ \textit{'c} \ \Rightarrow \ \textit{'d)} \ \Rightarrow \ \textit{'c} \ \Rightarrow \ \textit{'b} \ \Rightarrow \ \textit{'a} \ \Rightarrow \ \textit{'d"} \ (\textit{"C}_{321}\textit{"})
   where "C_{321} \equiv \lambda f x_1 x_2 x_3. f x_3 x_2 x_1"
  - Quaternary permutators (24 in total) we define some below (the rest are added on demand).
abbreviation(input) C2134_comb :: "('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd \Rightarrow 'e) \Rightarrow 'b \Rightarrow 'a \Rightarrow 'c \Rightarrow 'd \Rightarrow
'e" ("C_{2134}")
```

where " $C_{2134} \equiv C_{21}$ " — permutation  $C_{2134}$  corresponds to  $C_{21}$  (flipping the first two arguments)

where " $C_{1243} \equiv \lambda f x_1 x_2 x_3 x_4$ .  $f x_1 x_2 x_4 x_3$ "

 $\text{definition C1243\_comb} \ :: \ \texttt{"('a} \ \Rightarrow \ \texttt{'b} \ \Rightarrow \ \texttt{'c} \ \Rightarrow \ \texttt{'d} \ \Rightarrow \ \texttt{'e} \ \texttt{'b} \ \Rightarrow \ \texttt{'d} \ \Rightarrow \ \texttt{'e} \ \texttt{"("C}_{1243} \texttt{")}$ 

 $\text{definition C1324\_comb} \ :: \ \texttt{"('a} \ \Rightarrow \ \texttt{'b} \ \Rightarrow \ \texttt{'c} \ \Rightarrow \ \texttt{'a} \ \Rightarrow \ \texttt{'c} \ \Rightarrow \ \texttt{'a} \ \Rightarrow \ \texttt{'d} \ \Rightarrow \ \texttt{'e"} \ (\texttt{"C}_{1324}\texttt{"})$ 

```
where "C<sub>1324</sub> \equiv \lambda f x<sub>1</sub> x<sub>2</sub> x<sub>3</sub> x<sub>4</sub>. f x<sub>1</sub> x<sub>3</sub> x<sub>2</sub> x<sub>4</sub>" definition C1423_comb :: "('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd \Rightarrow 'e) \Rightarrow 'a \Rightarrow 'c \Rightarrow 'd \Rightarrow 'b \Rightarrow 'e" ("C<sub>1423</sub>") where "C<sub>1423</sub> \equiv \lambda f x<sub>1</sub> x<sub>2</sub> x<sub>3</sub> x<sub>4</sub>. f x<sub>1</sub> x<sub>4</sub> x<sub>2</sub> x<sub>3</sub>" definition C2143_comb :: "('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd \Rightarrow 'e) \Rightarrow 'b \Rightarrow 'a \Rightarrow 'd \Rightarrow 'c \Rightarrow 'e" ("C<sub>2143</sub>") where "C<sub>2143</sub> \equiv \lambda f x<sub>1</sub> x<sub>2</sub> x<sub>3</sub> x<sub>4</sub>. f x<sub>2</sub> x<sub>1</sub> x<sub>4</sub> x<sub>3</sub>" definition C2314_comb :: "('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd \Rightarrow 'e) \Rightarrow 'c \Rightarrow 'a \Rightarrow 'b \Rightarrow 'd \Rightarrow 'e" ("C<sub>2314</sub>") where "C<sub>2314</sub> \equiv \lambda f x<sub>1</sub> x<sub>2</sub> x<sub>3</sub> x<sub>4</sub>. f x<sub>2</sub> x<sub>3</sub> x<sub>1</sub> x<sub>4</sub>" definition C3142_comb :: "('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd \Rightarrow 'e) \Rightarrow 'b \Rightarrow 'd \Rightarrow 'a \Rightarrow 'c \Rightarrow 'e" ("C<sub>3142</sub>") where "C<sub>3142</sub> \equiv \lambda f x<sub>1</sub> x<sub>2</sub> x<sub>3</sub> x<sub>4</sub>. f x<sub>3</sub> x<sub>1</sub> x<sub>4</sub> x<sub>2</sub>" definition C3412_comb :: "('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd \Rightarrow 'e) \Rightarrow 'c \Rightarrow 'd \Rightarrow 'a \Rightarrow 'b \Rightarrow 'e" ("C<sub>3412</sub>") where "C<sub>3412</sub> \equiv \lambda f x<sub>1</sub> x<sub>2</sub> x<sub>3</sub> x<sub>4</sub>. f x<sub>3</sub> x<sub>4</sub> x<sub>1</sub> x<sub>2</sub>" — note that arguments are flipped pairwise — ... and so on
```

Introduce some convenient combinator notations.

```
notation C21_comb ("C") — the traditional flip/transposition (C) combinator is C21
notation C3412_comb ("C2") — pairwise flip/transposition of the arguments of a quaternary function
notation C231_comb ("R") — right (counterclockwise) rotation of a ternary function
notation C312_comb ("L") — left (counterclockwise) rotation of a ternary function
notation C321_comb ("C''") — Full reversal of the arguments of a ternary function

declare C21_comb_def[comb_defs]

C132_comb_def[comb_defs] C231_comb_def[comb_defs] C312_comb_def[comb_defs]

C321_comb_def[comb_defs] C1243_comb_def[comb_defs] C1324_comb_def[comb_defs]

C1423_comb_def[comb_defs] C2143_comb_def[comb_defs] C2314_comb_def[comb_defs]

C3142_comb_def[comb_defs] C3412_comb_def[comb_defs]
```

Composing rotation combinators (identity, left and right) works as expected.

# 1.1.4 Cancellators

The next family of combinators  $K_{mn}$  are called "cancellators". They take m arguments and return the n-th one (thus ignoring or "cancelling" all others).

```
abbreviation(input) K11\_comb::"'a \Rightarrow 'a" ("K_{11}")
                             — trivial/degenerate case m = 1: identity combinator I
   where "K_{11} \equiv I"
definition \texttt{K21\_comb}:: "'a \Rightarrow 'b \Rightarrow 'a" ("\texttt{K}_{21}")
   where "K_{21} \equiv \lambda x y. x" — the traditional K combinator
definition \texttt{K22\_comb}::\texttt{"'a} \Rightarrow \texttt{'b} \Rightarrow \texttt{'b"} (\texttt{"K}_{22}\texttt{"})
   where "K_{22} \equiv \lambda x \ y. y"
definition K31 comb::"'a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'a" ("K<sub>31</sub>")
   where "K_{31} \equiv \lambda x \ y \ z. \ x"
definition K32_comb::"'a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'b" ("K_{32}")
   where "K_{32} \equiv \lambda x y z. y"
definition K33_comb::"'a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'c" ("K_{33}")
  where "K_{33} \equiv \lambda x y z. z"
-\dots and so on
notation K21_comb ("K") — aliasing K_{21} as K
declare K21_comb_def[comb_defs] K22_comb_def[comb_defs]
            K31_comb_def[comb_defs] K32_comb_def[comb_defs] K33_comb_def[comb_defs]
```

#### 1.1.5 Contractors

```
abbreviation(input) W11_comb :: "('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b" ("W<sub>11</sub>")
```

```
where "W_{11} \equiv A_1"
                                       — for the degenerate case m = 1: W_{1n} = A_n
abbreviation(input) W12_comb :: "('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow 'a \Rightarrow 'b \Rightarrow 'c" ("W<sub>12</sub>")
   where "W_{12} \equiv A_2"
abbreviation(input) W13_comb :: "('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd) \Rightarrow 'a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd" ("W<sub>13</sub>")
   where "W_{13} \equiv A_3"
-- ... and so on
definition W21_comb :: "('a \Rightarrow 'a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b" ("W<sub>21</sub>")
   where "W_{21} \equiv \lambda f x. f x x"
definition W22_comb :: "('a \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b \Rightarrow 'c) \Rightarrow 'a \Rightarrow 'b \Rightarrow 'c" ("W<sub>22</sub>")
   where "W_{22} \equiv \lambda f \times y. f \times x y y"
 \text{definition W23\_comb} \ :: \ \texttt{"('a} \ \Rightarrow \ \texttt{'a} \ \Rightarrow \ \texttt{'b} \ \Rightarrow \ \texttt{'c} \ \Rightarrow \ \texttt{'c} \ \Rightarrow \ \texttt{'d}) \ \Rightarrow \ \texttt{'a} \ \Rightarrow \ \texttt{'b} \ \Rightarrow \ \texttt{'c} \ \Rightarrow \ \texttt{'d"} \ (\texttt{"W}_{23}") 
   where "W_{23} \equiv \lambda f \times y z. f \times x y y z z"
  -\dots and so on
definition W31_comb :: "('a \Rightarrow 'a \Rightarrow 'a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b" ("W_{31}")
   where "W_{31} \equiv \lambda f x. f x x x"
definition orall 32_comb :: "('a \Rightarrow 'a \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b \Rightarrow 'c) \Rightarrow 'a \Rightarrow 'b \Rightarrow 'c" ("orall _{32}")
   where "W<sub>32</sub> \equiv \lambda f x y. f x x x y y y"
definition W33\_comb :: "('a \Rightarrow 'a \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b \Rightarrow 'b \Rightarrow 'c \Rightarrow 'c \Rightarrow 'd)
                                                                                                                       \Rightarrow 'a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd"
("W_{33}")
   where "W_{33} \equiv \lambda f \times y z. f \times x \times y y y z z z"
-\dots and so on
notation W21_comb ("W") — the traditional W combinator corresponds to W_{21}
declare W21_comb_def[comb_defs] W31_comb_def[comb_defs]
              W22 comb def[comb defs] W23 comb def[comb defs]
              W32_comb_def[comb_defs] W33_comb_def[comb_defs]
```

#### **1.1.6** Fusers

The families  $S_{mn}$  (resp.  $\Sigma_{mn}$ ) generalize the combinator S (resp. its evil twin  $\Sigma$ ) towards higher arities

```
\mathbf{definition} \ \textit{S11\_comb} \ :: \ \textit{"('a} \ \Rightarrow \ \textit{'b} \ \Rightarrow \ \textit{'c)} \ \Rightarrow \ \textit{('a} \ \Rightarrow \ \textit{'b)} \ \Rightarrow \ \textit{'a} \ \Rightarrow \ \textit{'c"} \ \textit{("S$_{11}")}
    where "S<sub>11</sub> \equiv \lambda f g x. f x (g x)" — aka. S (same as B\SigmaC)
definition S12_comb :: "('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd) \Rightarrow ('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow 'a \Rightarrow 'b \Rightarrow 'd" ("S<sub>12</sub>")
    where "S_{12} \equiv \lambda f g x y. f x y (g x y)"
\mathbf{definition} \ \textit{S13\_comb} \ :: \ \texttt{"('a} \ \Rightarrow \ \texttt{'b} \ \Rightarrow \ \texttt{'c} \ \Rightarrow \ \texttt{'d} \ \Rightarrow \ \texttt{'e)} \ \Rightarrow \ \texttt{('a} \ \Rightarrow \ \texttt{'b} \ \Rightarrow \ \texttt{'c} \ \Rightarrow \ \texttt{'d)} \ \Rightarrow \ \texttt{'a} \ \Rightarrow \ \texttt{'b}
\Rightarrow 'c \Rightarrow 'e" ("S<sub>13</sub>")
   where "S_{13} \equiv \lambda f g x y z. f x y z (g x y z)"
  -\dots and so on
definition S21_comb :: "('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd) \Rightarrow ('a \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'c) \Rightarrow 'a \Rightarrow 'd" ("S<sub>21</sub>")
    where "S_{21} \equiv \lambda f g_1 g_2 x. f x (g_1 x) (g_2 x)"
definition S22_comb :: "('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd \Rightarrow 'e) \Rightarrow ('a \Rightarrow 'b \Rightarrow 'c)
                                                                                                           \Rightarrow ('a \Rightarrow 'b \Rightarrow 'd) \Rightarrow 'a \Rightarrow 'b \Rightarrow 'e"
("S<sub>22</sub>")
    where "S_{22} \equiv \lambda f g_1 g_2 x y. f x y (g_1 x y) (g_2 x y)"
definition S23_comb :: "('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd \Rightarrow 'e \Rightarrow 'f \Rightarrow 'g) \Rightarrow ('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd)
                                                \Rightarrow ('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'e) \Rightarrow ('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'f) \Rightarrow 'a \Rightarrow 'b \Rightarrow
c \Rightarrow c' g'' ("S_{23}")
   where "S_{23} \equiv \lambda f g_1 g_2 g_3 x y z. f x y z (g_1 x y z) (g_2 x y z) (g_3 x y z)"
   - \dots and so on
definition \Sigma11_comb :: "('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow ('b \Rightarrow 'a) \Rightarrow 'b \Rightarrow 'c" ("\Sigma<sub>11</sub>")
    where "\Sigma_{11} \equiv \lambda f g x. f (g x) x " — aka. \Sigma (same as BSC)
\mathbf{definition} \ \ \Sigma 12\_\mathsf{comb} \ :: \ "('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd) \Rightarrow ('b \Rightarrow 'c \Rightarrow 'a) \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd" \ ("\Sigma_{12}")
    where "\Sigma_{12} \equiv \lambda f g x y. f (g x y) x y" — same as BS_{12}L
definition \Sigma13_comb :: "('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd \Rightarrow 'e) \Rightarrow ('b \Rightarrow 'c \Rightarrow 'd \Rightarrow 'a) \Rightarrow 'b \Rightarrow 'c
\Rightarrow 'd \Rightarrow 'e" ("\Sigma_{13}")
    where "\Sigma_{13} \equiv \lambda f g x y z. f (g x y z) x y z"
```

```
-\dots and so on
definition \Sigma 21_comb :: "('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd) \Rightarrow ('c \Rightarrow 'a) \Rightarrow ('c \Rightarrow 'b) \Rightarrow 'c \Rightarrow 'd" ("\Sigma_{21}")
   where "\Sigma_{21} \equiv \lambda f \ g_1 \ g_2 \ x. f \ (g_1 \ x) \ (g_2 \ x) \ x"
definition \Sigma 22_comb :: "('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd \Rightarrow 'e) \Rightarrow ('c \Rightarrow 'd \Rightarrow 'a)
                                                                                 \Rightarrow ('c \Rightarrow 'd \Rightarrow 'b) \Rightarrow 'c \Rightarrow 'd \Rightarrow 'e"
("\Sigma_{22}")
   where "\Sigma_{22} \equiv \lambda f g_1 g_2 x y. f (g_1 x y) (g_2 x y) x y"
definition \Sigma 23_comb :: "('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd \Rightarrow 'e \Rightarrow 'f \Rightarrow 'g) \Rightarrow ('d \Rightarrow 'e \Rightarrow 'f \Rightarrow 'a)
                                        \Rightarrow ('d \Rightarrow 'e \Rightarrow 'f \Rightarrow 'b) \Rightarrow ('d \Rightarrow 'e \Rightarrow 'f \Rightarrow 'c) \Rightarrow 'd \Rightarrow 'e
\Rightarrow 'f \Rightarrow 'g" ("\Sigma_{23}")
   where "\Sigma_{23} \equiv \lambda f g_1 g_2 g_3 x y z. f (g_1 x y z) (g_2 x y z) (g_3 x y z) x y z"
notation S11_comb ("S")
notation \Sigma11_comb ("\Sigma")
declare S11_comb_def[comb_defs] S12_comb_def[comb_defs] S13_comb_def[comb_defs]
            S21_comb_def[comb_defs] S22_comb_def[comb_defs] S23_comb_def[comb_defs]
            \Sigma11_comb_def[comb_defs] \Sigma12_comb_def[comb_defs] \Sigma13_comb_def[comb_defs]
     S/\Sigma can be defined in terms of other combinators.
lemma "S = B (B (B W) C) (B B)"
lemma "S = B (B W)(B B C)"
lemma "\Sigma = B (B W) B"
lemma "S = B \Sigma C"
lemma "\Sigma = B S C"
lemma "S = B (T C) B \Sigma"
lemma "\Sigma = B (T C) B S"
lemma "\Sigma_{12} = B S_{12} L"
```

# 1.2 Further Combinators

#### 1.2.1 Preprocessors

The family of  $\Psi_m$  combinators below are special cases of compositors. They take an m-ary function f and prepend to each of its m inputs a given unary function g (acting as a "preprocessor").

```
abbreviation (input) \Psi1\_comb :: "('a \Rightarrow 'b) \Rightarrow ('c \Rightarrow 'a) \Rightarrow 'c \Rightarrow 'b" ("\Psi_1") where "\Psi_1 \equiv B" — trivial case m = 1 corresponds to the B combinator definition \Psi2\_comb :: "('a \Rightarrow 'a \Rightarrow 'b) \Rightarrow ('c \Rightarrow 'a) \Rightarrow 'c \Rightarrow 'c \Rightarrow 'b" ("\Psi_2") where "\Psi_2 \equiv \lambda f g x y. f (g x) (g y)" — cf. "\Psi" in [1]; "on" in Haskell Data. Function definition \Psi3\_comb :: "('a \Rightarrow 'a \Rightarrow 'a \Rightarrow 'b) \Rightarrow ('c \Rightarrow 'a) \Rightarrow 'c \Rightarrow 'c \Rightarrow 'c \Rightarrow 'b" ("\Psi_3") where "\Psi_3 \equiv \lambda f g x y z. f (g x) (g y) (g z)" definition \Psi4\_comb :: "('a \Rightarrow 'a \Rightarrow 'a \Rightarrow 'a \Rightarrow 'b) \Rightarrow ('c \Rightarrow 'a) \Rightarrow 'c \Rightarrow 'c \Rightarrow 'c \Rightarrow 'c \Rightarrow 'b" ("\Psi_4") where "\Psi_4 \equiv \lambda f g x y z u. f (g x) (g y) (g z) (g u)" — ... and so on
```

 $declare \ \Psi2\_comb\_def[comb\_defs] \ \Psi3\_comb\_def[comb\_defs] \ \Psi4\_comb\_def[comb\_defs]$ 

# 1.2.2 Imitators

The combinators  $\Phi_{mn}$  are called "imitators". They compose a m-ary function f with m functions  $g_{i \leq m}$  (having arity n each) by sharing their input arguments, so as to return an n-ary function. They can be seen as a kind of "input-merging compositors". These combinators are quite convenient and appear often in the literature, e.g., as "trains" in array languages like APL and BQN, and in "imitation bindings" in higher-order (pre-)unification algorithms (from where they get their name).

Conveniently introduce a (degenerate) case  $\mathbf{m}=0$  as abbreviation, where  $\Phi_{0n}$  corresponds to  $\mathbf{K}_{(n+1)1}$ .

```
abbreviation(input) \Phi01_comb :: "'a \Rightarrow 'b \Rightarrow 'a" ("\Phi01")
        where "\Phi_{01} \equiv K_{21}"
abbreviation(input) \Phi02_comb :: "'a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'a" ("\Phi02")
        where "\Phi_{02} \equiv K_{31}"
— ...and so on
            Each combinator \Phi_{1n} corresponds in fact to B_n.
abbreviation(input) \Phi11_comb :: "('a \Rightarrow 'b) \Rightarrow ('c \Rightarrow 'a) \Rightarrow 'c \Rightarrow 'b" ("\Phi<sub>11</sub>")
        where "\Phi_{11} \equiv B_1"
abbreviation(input) \Phi12_comb :: "('a \Rightarrow 'b) \Rightarrow ('c \Rightarrow 'd \Rightarrow 'a) \Rightarrow 'c \Rightarrow 'd \Rightarrow 'b" ("\Phi<sub>12</sub>")
       where "\Phi_{12} \equiv B_2"
abbreviation(\textit{input}) \ \Phi \textit{13\_comb} \ :: \ \textit{"('a} \ \Rightarrow \ \textit{'b}) \ \Rightarrow \ \textit{('c} \ \Rightarrow \ \textit{'d} \ \Rightarrow \ \textit{'e} \ \Rightarrow \ \textit{'a}) \ \Rightarrow \ \textit{'c} \ \Rightarrow \ \textit{'d} \ \Rightarrow \ \textit{'e} \ \Rightarrow \ \textit{'e}
 'b" ("\Phi_{13}")
        where "\Phi_{13} \equiv B_3"
abbreviation(\textit{input}) \ \Phi \textit{14\_comb} \ :: \ \textit{"('a} \ \Rightarrow \ \textit{'b}) \ \Rightarrow \ \textit{('c} \ \Rightarrow \ \textit{'d} \ \Rightarrow \ \textit{'e} \ \Rightarrow \ \textit{'a}) \ \Rightarrow \ \textit{'c} \ \Rightarrow \ \textit{'d} \ \Rightarrow \ \textit{'d} \ \Rightarrow \ \textit{'e} \ \Rightarrow \ \textit{'a}) \ \Rightarrow \ \textit{'c} \ \Rightarrow \ \textit{'d} \ \Rightarrow \ \textit{'d} \ \Rightarrow \ \textit{'e} \ \Rightarrow \ \text{`e} \ \Rightarrow \ \text
 'e \Rightarrow 'f \Rightarrow 'b" ("\Phi_{14}")
        where "\Phi_{14} \equiv B_4"
— ...and so on
            Combinators \Phi_{mn} with m > 1 have their idiosyncratic definition.
definition \Phi21_comb :: "('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow ('d \Rightarrow 'a) \Rightarrow ('d \Rightarrow 'b) \Rightarrow 'd \Rightarrow 'c" ("\Phi21")
        where "\Phi_{21} \equiv \lambda f g_1 g_2 x. f (g_1 x) (g_2 x)" — cf. "\Phi_1" in [1]; "liftA2" in Haskell; "monadic fork"
in APL)
definition \Phi22 comb :: "('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow ('d \Rightarrow 'e \Rightarrow 'a) \Rightarrow ('d \Rightarrow 'e \Rightarrow 'b) \Rightarrow 'd \Rightarrow 'e
\Rightarrow 'c" ("\Phi_{22}")
      where "\Phi_{22} \equiv \lambda f g_1 g_2 x y. f(g_1 x y) (g_2 x y)" — cf. "\Phi_2" in [1]; "dyadic fork" in APL
 - ...and so on
definition \Phi31_comb :: "('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd) \Rightarrow ('e \Rightarrow 'a) \Rightarrow ('e \Rightarrow 'b) \Rightarrow ('e \Rightarrow 'c) \Rightarrow
 'e \Rightarrow 'd" ("\Phi_{31}")
        where "\Phi_{31} \equiv \lambda f \ g_1 \ g_2 \ g_3 \ x. f \ (g_1 \ x) \ (g_2 \ x) \ (g_3 \ x)"
\mathbf{definition} \ \Phi 32 \_ \mathit{comb} \ :: \ \texttt{"('a} \ \Rightarrow \ \texttt{'b} \ \Rightarrow \ \texttt{'c} \ \Rightarrow \ \texttt{'d)} \ \Rightarrow \ \texttt{('e} \ \Rightarrow \ \texttt{'a)} \ \Rightarrow \ \texttt{('e} \ \Rightarrow \ \texttt{'f} \ \Rightarrow \ \texttt{'b)}
                                                                                                                                                                                                                 \Rightarrow ('e \Rightarrow 'f \Rightarrow 'c) \Rightarrow 'e \Rightarrow 'f \Rightarrow 'd"
 ("\Phi_{32}")
        where "\Phi_{32} \equiv \lambda f g_1 g_2 g_3 x y. f (g_1 x y) (g_2 x y) (g_3 x y)"
definition \Phi33_comb :: "('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd) \Rightarrow ('e \Rightarrow 'f \Rightarrow 'g \Rightarrow 'a) \Rightarrow ('e \Rightarrow 'f \Rightarrow 'g
\Rightarrow 'b)
                                                                                                                                                              \Rightarrow ('e \Rightarrow 'f \Rightarrow 'g \Rightarrow 'c) \Rightarrow 'e \Rightarrow 'f \Rightarrow 'g \Rightarrow 'd"
 ("\Phi_{33}")
       where "\Phi_{33} \equiv \lambda f \ g_1 \ g_2 \ g_3 \ x \ y \ z. f \ (g_1 \ x \ y \ z) \ (g_2 \ x \ y \ z) \ (g_3 \ x \ y \ z)"
       -\dotsand so on
\mathbf{declare} \ \Phi 21 \_ \mathsf{comb\_def[comb\_defs]} \ \Phi 22 \_ \mathsf{comb\_def[comb\_defs]}
                               \Phi 31\_comb\_def[comb\_defs] \ \Phi 32\_comb\_def[comb\_defs] \ \Phi 33\_comb\_def[comb\_defs]
— \Phi_{m(i+j)} can be defined as: \Phi_{mi} \circ \Phi_{mi}.
lemma "\Phi_{12} = \Phi_{11} \circ \Phi_{11}"
lemma "\Phi_{13} = \Phi_{11} \circ \Phi_{12}"
lemma "\Phi_{13} = \Phi_{12} \circ \Phi_{11}"
lemma "\Phi_{22} = \Phi_{21} \circ \Phi_{21}"
lemma "\Phi_{32} = \Phi_{31} \circ \Phi_{31}"
lemma "\Phi_{33} = \Phi_{31} \circ \Phi_{32}"
lemma "\Phi_{33} = \Phi_{32} \circ \Phi_{31}"
            Moreover, \Phi_{mn} is definable by composing W_{mn} and B_N, via the following schema: \Phi_{mn} = W_{mn}
\circ_{m+1} \mathsf{B}_N (where N is an m-sized array of ns).
lemma "\Phi_{11} = W_{11} \circ_2 B_1"
lemma "\Phi_{12} = W_{12} \circ_2 B_2"
```

lemma " $\Phi_{13}$  =  $W_{13} \circ_2 B_3$ "

### 1.2.3 Projectors

The family of projectors  $\Pi_{lmn}$  features three parameters: 1 = total number of arguments;  $m \leq 1$  = the index of the projection; n = the arity of the (projected) m-th argument. They are used to construct "projection bindings" in higher-order (pre-)unification algorithms.

```
abbreviation(input) \Pi110_comb :: "'a \Rightarrow 'a" ("\Pi<sub>110</sub>")
        where "\Pi_{110} \equiv I"
                                                                                  — trivial case corresponds to the identity combinator I
definition \Pi111_comb :: "(('a \Rightarrow 'b) \Rightarrow 'a) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'b" ("\Pi<sub>111</sub>") — Smullyan's "owl"
        where "\Pi_{111} \equiv \lambda h \ x. \ x \ (h \ x)"
\mathbf{definition} \ \Pi \textit{112\_comb} \ :: \ "((\textit{`a} \ \Rightarrow \ \textit{`b} \ \Rightarrow \ \textit{`c}) \ \Rightarrow \ \textit{`a}) \ \Rightarrow \ ((\textit{`a} \ \Rightarrow \ \textit{`b} \ \Rightarrow \ \textit{`c}) \ \Rightarrow \ \textit{`b}) \ \Rightarrow \ (\textit{`a} \ \Rightarrow \ \textit{`b}) \ \Rightarrow \ (\textit{`b}) \ \Rightarrow 
\Rightarrow 'c) \Rightarrow 'c" ("\Pi_{112}")
       where "\Pi_{112} \equiv \lambda h_1 h_2 x. x (h_1 x) (h_2 x)"
definition \Pi113_comb :: "(('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd) \Rightarrow 'a) \Rightarrow (('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd) \Rightarrow 'b)
                   \Rightarrow (('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd) \Rightarrow 'c) \Rightarrow ('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd) \Rightarrow 'd" ("\Pi_{113}")
       where "\Pi_{113} \equiv \lambda h_1 h_2 h_3 x. x (h_1 x) (h_2 x) (h_3 x)"
 -- ...and so on
abbreviation(input) \Pi210_comb :: "'a \Rightarrow 'b \Rightarrow 'a" ("\Pi210")
        where "\Pi_{210} \equiv K_{21}" — trivial case corresponds to the combinator K_{21} (i.e. K)
definition \Pi211_comb :: "(('a \Rightarrow 'b) \Rightarrow 'c \Rightarrow 'a) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'c \Rightarrow 'b" ("\Pi<sub>211</sub>")
        where "\Pi_{211} \equiv \lambda h \times y. x (h x y)"
definition \Pi 212\_comb :: "(('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow 'd \Rightarrow 'a)
                       \Rightarrow (('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow 'd \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow 'd \Rightarrow 'c" ("\Pi_{212}")
        where "\Pi_{212} \equiv \lambda h_1 h_2 x y. x (h_1 x y) (h_2 x y)"
      - \dotsand so on
abbreviation(input) \Pi220_comb :: "'a \Rightarrow 'b \Rightarrow 'b"("\Pi220")
        where "\Pi_{220} \equiv K_{22}" — trivial case corresponds to the combinator K_{22}
definition \Pi221_comb :: "('a \Rightarrow ('b \Rightarrow 'c) \Rightarrow 'b) \Rightarrow 'a \Rightarrow ('b \Rightarrow 'c) \Rightarrow 'c" ("\Pi221")
        where "\Pi_{221} \equiv \lambda h \times y. y (h x y)"
definition \Pi 222\_comb :: "('a \Rightarrow ('b \Rightarrow 'c \Rightarrow 'd) \Rightarrow 'b)
                       \Rightarrow \text{ ('a} \Rightarrow \text{ ('b} \Rightarrow \text{ 'c} \Rightarrow \text{ 'd)} \Rightarrow \text{ 'c)} \Rightarrow \text{ 'a} \Rightarrow \text{ ('b} \Rightarrow \text{ 'c} \Rightarrow \text{ 'd)} \Rightarrow \text{ 'd" ("$\Pi$}_{222}\text{"})
       where "\Pi_{222} \equiv \lambda h_1 h_2 x y. y (h_1 x y) (h_2 x y)"
— ...and so on
declare \ \Pi 111\_comb\_def[comb\_defs] \ \Pi 112\_comb\_def[comb\_defs] \ \Pi 113\_comb\_def[comb\_defs]
                               \Pi 211 \_ comb\_ def[comb\_ defs] \ \Pi 212 \_ comb\_ def[comb\_ defs]
                              \Pi 221\_comb\_def[comb\_defs] \Pi 222\_comb\_def[comb\_defs]
notation \Pi 111\_comb ("0") — aliasing \Pi_{111} as 0 (cf. Smullyan's "owl" combinator)
            Projectors \Pi_{lmn} can be defined as: S_{nl} K_{lm}
lemma "\Pi_{111} = S_{11} K_{11}"
lemma "\Pi_{112} = S_{21} K_{11}"
lemma "\Pi_{211} = S_{12} K_{21}"
lemma "\Pi_{212} = S_{22} K_{21}"
lemma "\Pi_{221} = S_{12} K_{22}"
lemma "\Pi_{222} = S_{22} K_{22}"
```

## 1.3 Combinator Interrelations

```
lemma "B = S (K S) K"
lemma "C = S (S (K (S (K S) K)) S) (K K)"
lemma "C = S (B B S) (K K)"
```

```
lemma "I = S K K"
lemma "W = S S (S K)"
lemma "W = C S I"
lemma "I = W K"
lemma "T = S (K (S (S K K))) K"
lemma "0 = S I"
lemma "S = \Phi_{21} I"
lemma "\Phi_{21} = B (B S) B"
lemma "\Sigma = B_2 \ W \ B"
lemma "W = \Sigma I"
lemma "W_{31} = W \circ W"
lemma "B A = I"
lemma "C B_2 A = B"
\mathbf{lemma} \ "\mathsf{B} \ \mathsf{C} \ \mathsf{K} \ = \mathsf{B} \ \mathsf{K} \ "
lemma "C (C x) = x"
\mathbf{lemma} \ "W \ f = S \ f \ I"
lemma "W f = \Sigma f I"
lemma "T = C I"
lemma "T = C A"
lemma "V = L A_2"
lemma "V = L I"
lemma "I = R V"
lemma "R V = I"
lemma "V = L I"
lemma "L V = R I"
lemma "A_2 = L(R I)"
lemma "L (C I) = C (R I)"
lemma "C (L I) = R (C I)"
end
```

# 2 Bridge with Isabelle/HOL Logic

This theory provides a bridge or "wrapper" for logic-based developments in Isabelle/HOL.

```
theory logic_bridge
  imports combinators
begin
```

#### 2.1 Custom Type Notation

# 2.1.1 Basic Types

Classical HOL systems come with a built-in boolean type, for which we introduce convenient notation alias.

```
type_notation bool ("o")
```

The creation of a functional type (starting with a type 'a) can be seen from two complementary perspectives: Environmentalization (aka. indexation or contextualization) and valuation (e.g. classification, coloring, etc.).

```
type_synonym ('e,'a)Env = "'e \Rightarrow 'a" ("_-Env'(_')" [1000])
type_synonym ('v,'a)Val = "'a \Rightarrow 'v" ("_-Val'(_')" [1000])
```

#### 2.1.2 Pairs and Sets

Starting with the boolean type, we immediately obtain endopairs resp. sets via indexation resp. valuation

type\_synonym ('a)EPair = "o-Env('a)" ("EPair'(\_')") — an endopair is encoded as a boolean-index

type\_synonym ('a)Set = "o-Val('a)" ("Set'(\_')") — a set is encoded as a boolean-valuation (boolean classifier)

```
term "((P :: EPair('a)):: 'a-Val(o)) :: o \Rightarrow 'a" term "((S :: Set('a)):: 'a-Env(o)) :: 'a \Rightarrow o"
```

Sets of endopairs correspond to (directed) graphs (which are isomorphic to relations via currying).

```
type_synonym ('a)Graph = "Set(EPair('a))" ("Graph'(_')")
term "(G :: Graph('a)) :: (o \Rightarrow 'a) \Rightarrow o"
```

Spaces (sets of sets) are the playground of mathematicians, so they deserve a special type notation.

```
type_synonym ('a)Space = "Set(Set('a))" ("Space'(_')") term "(S :: Space('a)) :: ('a \Rightarrow o) \Rightarrow o"
```

#### 2.1.3 Relations

Valuations can be made binary (useful e.g. for classifying pairs of objects or encoding their "distance").

```
type_synonym ('v,'a,'b)Val2 = "'a \Rightarrow 'b \Rightarrow 'v" ("_-Val_2'(_,_')" [1000])
```

Binary valuations can also be seen as indexed (unary) valuations.

```
term "((F :: 'v-Val_2('a, 'b)) :: 'a-Env('v-Val('b))) :: 'a \Rightarrow 'b \Rightarrow 'v"
```

In fact (heterogeneous) relations correspond to o-valued binary functions/valuations.

```
type_synonym ('a,'b)Rel = "o-Val2('a,'b)" ("Rel'(_,_')")
```

 $\operatorname{term}$  "(R :: ERel<sub>4</sub>('a)) :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a  $\Rightarrow$  'a  $\Rightarrow$  o"

They can also be seen as set-valued functions/valuations or as indexed (families of) sets.

```
term \ "(((R :: Rel('a, 'b)) :: Set('b)-Val('a)) :: 'a-Env(Set('b))) :: 'a \Rightarrow 'b \Rightarrow o"
```

Ternary relations are seen as set-valued binary valuations (partial and non-deterministic binary functions).

```
type_synonym ('a,'b,'c)Rel3 = "Set('c)-Val2('a,'b)" ("Rel3'(_,_,')")
```

They can also be seen as indexed binary relations (e.g. an indexed family of programs or (a group of) agents).

```
term "((R::Rel<sub>3</sub>('a,'b,'c)) :: 'a-Env(Rel('b,'c))) :: 'a \Rightarrow 'b \Rightarrow 'c \Rightarrow o"
```

In general, we can encode n+1-ary relations as indexed n-ary relations.

```
type_synonym ('a,'b,'c,'d)Rel4 = "'a-Env(Rel3('b,'c,'d))" ("Rel4'(_,_,_,')")
```

Convenient notation for the particular case where the relata have all the same type.

```
type_synonym ('a)ERel = "Rel('a, 'a)" ("ERel'(_')") — (binary) endorelations type_synonym ('a)ERel_3 = "Rel_3('a, 'a, 'a)" ("ERel_3'(_')") — ternary endorelations type_synonym ('a)ERel_4 = "Rel_4('a, 'a, 'a, 'a)" ("ERel_4'(_')") — quaternary endorelations term "(R :: ERel('a)) :: 'a \Rightarrow 'a \Rightarrow o" term "(R :: ERel_3('a)) :: 'a \Rightarrow 'a \Rightarrow 'a \Rightarrow o"
```

### 2.1.4 Operations

As a convenient mathematical abstraction, we introduce the notion of "operation". In mathematical phraseology, operations are said to "operate" on (one or more) "operands". Operations can be seen as (curried) functions whose arguments have all the same type.

Unary case: (endo)operations just correspond to (endo)functions.

```
type_synonym ('a,'b)0p1 = "'a \Rightarrow 'b" ("0p'(_,_')") type_synonym ('a)E0p1 = "0p('a,'a)" ("E0p'(_')") — same as: 'a \Rightarrow 'a
```

Binary case: (endo)bi-operations correspond to curried (endo)bi-functions.

```
type_synonym ('a,'b)0p2 = "'a \Rightarrow 'a \Rightarrow 'b" ("0p<sub>2</sub>'(_,_')") type_synonym ('a)E0p2 = "0p<sub>2</sub>('a,'a)" ("E0p<sub>2</sub>'(_')") — same as: 'a \Rightarrow ('a \Rightarrow 'a)
```

Arbitrary case: generalized (endo)operations correspond to (endo)functions on sets.

```
type_synonym ('a,'b)0pG = "0p(Set('a),'b)" ("0p_G'(_,_')") type_synonym ('a)E0pG = "0p_G('a,'a)" ("E0p_G'(_')") — same as: Set('a) \Rightarrow 'a
```

Convenient type aliases for (endo)operations on sets.

```
type_synonym ('a,'b)SetOp = "Op(Set('a),Set('b))" ("SetOp'(_,_')") type_synonym ('a)SetEOp = "SetOp('a,'a)" ("SetEOp'(_')") — same as: Set('a) \Rightarrow Set('a)
```

Binary case: (endo)bi-operations correspond to curried (endo)bi-functions

```
type_synonym ('a,'b)Set0p2 = "Set('a) \Rightarrow Set('a) \Rightarrow Set('b)" ("Set0p2'(_,_')") type_synonym ('a)SetE0p2 = "Set0p2('a,'a)" ("SetE0p2'(_')") — same as: Set('a) \Rightarrow Set('a) \Rightarrow Set('a)
```

#### 2.1.5 Products of Boolean Types

Now consider the following equivalent type notations.

```
term "((S :: Set(o)) :: EPair(o)) :: o \Rightarrow o"
term "((R :: ERel(o)) :: EOp<sub>2</sub>(o)) :: o \Rightarrow (o \Rightarrow o)"
term "(((S :: Space(o)) :: Graph(o)) :: EOp<sub>G</sub>(o)) :: (o \Rightarrow o) \Rightarrow o"
```

We can make good sense of them by considering a new type having four inhabitants.

```
type_synonym four = "o \Rightarrow o" ("oo")
term "((P :: oo) :: EPair(o)) :: Set(o)"
```

Using the new type we can seamlessly define types for (endo)quadruples and 4-valued sets.

```
type_synonym ('a)EQuad = "oo \Rightarrow 'a" ("EQuad'(_')") type_synonym ('a)Set4 = "'a \Rightarrow oo" ("Set4'(_')")
```

The following two types have each 16 elements (we show a bijection between their elements later on).

```
type_synonym sixteen = "o \Rightarrow oo" ("ooo") — 4^2 = (2^2)^2 type_synonym sixteen' = "oo \Rightarrow o" ("ooo',") — 2^4 = 2^(2^2)
```

So we can have that the following type notations are in fact identical (not just isomorphic).

```
term "(((S :: Set(o)) :: EPair(o)) :: o \Rightarrow o) :: oo"
term "((((R :: ERel(o)) :: EOp<sub>2</sub>(o)) :: EPair(oo)) :: Set4(o)) :: o \Rightarrow o \Rightarrow o) :: ooo"
term "((((((S :: Space(o)) :: Graph(o)) :: EOp<sub>G</sub>(o)) :: Set(oo)) :: EQuad(o)) :: (o \Rightarrow o) \Rightarrow o) :: ooo'"
```

We can continue producing types (we stop giving them special notation after the magic number 64).

```
type_synonym sixtyfour = "oo \Rightarrow oo" ("oooo") — 4^4 = (2^2)^(2^2) = 64 type_synonym n256 = "o \Rightarrow ooo" — 16^2 = 256 type_synonym n65536 = "oo \Rightarrow ooo" — 16^4 = 65536
```

```
type synonym n65536' = "000 \Rightarrow 0" - 2^16 = 65536
type\_synonym \ n4294967296 = "ooo \Rightarrow oo" - 4^16 = 4294967296
— and so on...
    Continuations (with result type 'r) take inputs of type 'a
    Unary case:
type synonym ('a, 'r)Cont1 = "'r-Val(Op('a, 'r))" ("Cont'( , ')") — same as: ('a \Rightarrow 'r) \Rightarrow
    Binary case:
type_synonym ('a, 'r)Cont2 = "'r-Val(Op_2('a, 'r))" ("Cont_2'(_,_')") — same as: ('a \Rightarrow 'a \Rightarrow
r) \Rightarrow r
2.2
      Custom Term Notation
Convenient combinator-like symbols Q resp. \mathcal{D} to be used instead of (=) resp. (\neq).
notation HOL.eq ("Q") and HOL.not_equal ("\mathcal{D}")
    Alternative (more concise) notation for boolean constants.
notation HOL. True ("\mathcal{T}") and HOL. False ("\mathcal{F}")
    Add (binder) notation for indefinite descriptions (aka. Hilbert's epsilon or choice operator).
notation Hilbert_Choice.Eps ("\varepsilon") and Hilbert_Choice.Eps (binder "\varepsilon" 10)
    Introduce a convenient "dual" to Hilbert's epsilon operator (adds variable-binding notation).
definition Delta ("\delta")
  where "\delta \equiv \lambda A. \varepsilon (\lambda x. \neg A x)"
notation Delta (binder "\delta" 10)
    Add (binder) notation for definite descriptions (incl. binder notation).
notation HOL. The ("\iota") and HOL. The (binder "\iota" 10)
    We introduce (pedagogically convenient) notation for HOL logical constants.
notation HOL.All ("∀")
notation HOL.Ex ("∃")
abbreviation Empty ("∄")
  where "\nexists A \equiv \neg \exists A"
notation HOL.implies (infixr "\rightarrow" 25) — convenient alternative notation
notation HOL.iff (infixr "↔" 25) — convenient alternative notation
    Add convenient logical connectives.
abbreviation(input) seilpmi (infixl "←" 25) — reversed implication
  where "A \leftarrow B \equiv B \rightarrow A"
abbreviation(input) excludes (infixl "←" 25) — aka. co-implication
  where "A \leftarrow B \equiv A \land \neg B"
abbreviation(input) sedulcxe (infixr "\rightharpoonup" 25) — aka. dual-implication
  where "A \rightarrow B \equiv B \leftarrow A"
abbreviation(input) xor (infix "=" 25) — aka. symmetric difference
  where "A \rightleftharpoons B \equiv A \neq B"
abbreviation(input) nand (infix "↑" 35) — aka. Sheffer stroke
  where "A \uparrow B \equiv \neg (A \land B)"
abbreviation(input) nor (infix "↓" 30) — aka. Peirce arrow or Quine dagger
  where "A \downarrow B \equiv \neg (A \vee B)"
```

Check relationships

```
lemma disj\_impl: "(A \lor B) = ((A \to B) \to B)" lemma conj\_excl: "(A \land B) = ((A \to B) \to B)" lemma xor\_excl: "(A \rightleftharpoons B) = (A \leftarrow B) \lor (A \to B)" end
```

# 3 Logical Connectives using Primitive Equality

Via positiva: equality (notation: Q, infix =) is all you can tell.

```
theory connectives_equality
  imports logic_bridge
begin
```

#### 3.1 Basic Connectives

#### 3.1.1 Verum

Since any function is self-identical, the following serves as definition of verum/true.

```
lemma true_defQ: "\mathcal{T} = \mathcal{Q} \mathcal{Q} \mathcal{Q}" lemma "\mathcal{T} = (\mathcal{Q} = \mathcal{Q})"
```

## 3.1.2 Identity (for booleans)

In fact, the identity function (for booleans) is also definable from equality alone.

```
lemma id\_defQ: "I = Q T" lemma "I = Q (Q Q)"
```

#### **3.1.3** Falsum

Asserting that two different functions are equal is a good way to encode falsum.

```
lemma false_defQ: "\mathcal{F} = \mathcal{Q} I (K \mathcal{T})" lemma "\mathcal{F} = (I = K \mathcal{T})" lemma "\mathcal{F} = \mathcal{Q}(\mathcal{Q}(\mathcal{Q} \mathcal{Q}))(K(\mathcal{Q} \mathcal{Q} \mathcal{Q}))"
```

#### 3.1.4 Negation

We can negate a proposition P by asserting that 'P is absurd' (i.e. P is equal to falsum).

```
lemma not\_defQ: "(\neg) = Q \mathcal{F}"
lemma "(\neg) = (\lambda P. P = \mathcal{F})"
lemma "(\neg) = Q(Q(Q(Q Q Q)))(K(Q Q Q)))"
```

#### 3.1.5 Disequality

Using negation we can define disequality for any type (not only boolean).

```
lemma diseq_defQ: "\mathcal{D} = (\neg) \circ_2 \mathcal{Q}"
lemma "\mathcal{D} = (\lambda A B. \neg (A = B))"

named_theorems eq_defs
declare true_defQ [eq_defs] id_defQ [eq_defs]
false_defQ [eq_defs] not_defQ [eq_defs] diseq_defQ [eq_defs]
```

 $\mathbf{end}$ 

# 4 Logical Connectives using Primitive Disequality

Via negativa: disequality (notation:  $\mathcal{D}$ , infix  $\neq$ ) is all you can tell.

```
theory connectives_disequality
  imports logic_bridge
begin
```

#### 4.1 Basic Connectives

#### 4.1.1 Falsum

Since no function is non-self-identical, the following serves as definition of falsum/false.

```
lemma false_defD: "\mathcal{F} = \mathcal{D} \mathcal{D}" lemma "\mathcal{F} = (\mathcal{D} \neq \mathcal{D})"
```

### 4.1.2 Identity (for booleans)

In fact, the identity function (for booleans) is also definable from disequality alone.

```
lemma id_defD: "I = \mathcal{D} \mathcal{F}" lemma "I = \mathcal{D} (\mathcal{D} \mathcal{D})"
```

#### 4.1.3 Verum

Asserting that two different functions are different is a good way to encode verum.

```
lemma true_defD: "\mathcal{T} = \mathcal{D} I (K \mathcal{F})"
lemma "\mathcal{T} = (I \neq K \mathcal{F})"
lemma "\mathcal{T} = \mathcal{D}(\mathcal{D}(\mathcal{D} \mathcal{D} \mathcal{D}))(K(\mathcal{D} \mathcal{D} \mathcal{D}))"
```

# 4.1.4 Negation

We can negate a proposition P by asserting that "P is not true" (i.e. P is not equal to verum).

```
lemma not_defD: "(¬) = \mathcal{D} \mathcal{T}"
lemma "(¬) = (\lambda P. P \neq \mathcal{T})"
lemma "(¬) = \mathcal{D}(\mathcal{D}(\mathcal{D}(\mathcal{D}\mathcal{D}\mathcal{D})))(K(\mathcal{D}\mathcal{D}\mathcal{D})))"
```

#### 4.1.5 Equality

Using negation we can define equality for any type (not only boolean).

end

#### 4.2 Defined connectives

We illustrate how the logical connectives could have been defined in terms of equality resp. disequality. (We actually work with them as they are provided by Isabelle/HOL (with the notational changes).

```
theory connectives
imports connectives_equality — via positiva
connectives_disequality — via negativa
begin
```

### 4.2.1 Biconditional (aka. iff, double-implication)

Biconditional is just equality (for booleans).

```
lemma iff_def: "(\leftrightarrow) = Q" lemma "(\leftrightarrow) = (\lambda A B. A = B)"
```

# 4.2.2 XOR (aka. symmetric difference)

```
XOR is just disequality (for booleans).
```

```
lemma xor\_def: "(\rightleftharpoons) = \mathcal{D}"
lemma "(\rightleftharpoons) = (\lambda A B. A \neq B)"
```

### 4.2.3 Conjunction, disjunction, and (co)implication

We can encode them by their truth tables.

```
lemma and_def: "(\wedge) = B<sub>20</sub> (Q::ERel(Set(ERel(o)))) V (V \mathcal{T} \mathcal{T})" lemma or_def: "(\vee) = B<sub>20</sub> (\mathcal{D}::ERel(Set(ERel(o)))) V (V \mathcal{F} \mathcal{F})" lemma impl_def: "(\rightarrow) = B<sub>20</sub> (\mathcal{D}::ERel(Set(ERel(o)))) V (V \mathcal{T} \mathcal{F})" lemma excl_def: "(\leftarrow) = B<sub>20</sub> (Q::ERel(Set(ERel(o)))) V (V \mathcal{T} \mathcal{F})" lemma "(\wedge) = (\lambdaA B. (\lambdar::ERel(o). r A B) = (\lambdar. r \mathcal{T} \mathcal{T}))" lemma "(\vee) = (\lambdaA B. (\lambdar::ERel(o). r A B) \neq (\lambdar. r \mathcal{F} \mathcal{F}))" lemma "(\rightarrow) = (\lambdaA B. (\lambdar::ERel(o). r A B) = (\lambdar. r \mathcal{T} \mathcal{F}))" lemma "(\leftarrow) = (\lambdaA B. (\lambdar::ERel(o). r A B) = (\lambdar. r \mathcal{T} \mathcal{F}))"
```

We add to both the equality and disequality definition bags:

## 4.3 Quantifiers and co.

Quantifiers can also be defined using equality/disequality.

```
lemma ex_defQ: "\exists = \mathcal{D} (K \mathcal{F})" lemma all_defQ: "\forall = \mathcal{Q} (K \mathcal{T})" declare ex_defQ [eq_defs] all_defQ [eq_defs] lemma "\exists \varphi = (\varphi \neq (\lambda x. \mathcal{F}))" lemma "\forall \varphi = (\varphi = (\lambda x. \mathcal{T}))"
```

Moreover, they are also definable using indefinite descriptions  $\varepsilon$  resp.  $\delta$  and the  $\Pi_{111}/0$  combinator.

```
lemma ex_defEps: "\exists = 0 \varepsilon" lemma all_defEps: "\forall = 0 \delta" lemma "\exists \varphi = \varphi(\varepsilon \ x. \ \varphi \ x)" lemma "\forall \varphi = \varphi(\varepsilon \ x. \ \neg \varphi \ x)"
```

We introduce convenient arity-extended versions of the quantifiers.

```
abbreviation (input) A112 ("\forall2") where "\forall2R \equiv \foralla b. R a b" abbreviation (input) A113 ("\forall3") where "\forall3R \equiv \foralla b c. R a b c" — ... and so on abbreviation (input) Ex2 ("\exists2") where "\exists2R \equiv \existsa b. R a b"
```

```
abbreviation (input) Ex3 ("\exists3")
where "\exists3R \equiv \existsa b c. R a b c"
— ... and so on
abbreviation NotEx2 ("\sharp2")
where "\sharp2R \equiv \neg \exists2R"
abbreviation NotEx3 ("\sharp3")
where "\sharp3R \equiv \neg \exists3R"
— ... and so on
```

# 4.4 Definite description (for booleans)

Henkin (1963) also defines  $\iota::(o\Rightarrow o)\Rightarrow o$  via equality, namely as:  $\mathcal{Q}$  I. Note, however, that in Isabelle/HOL the term  $\iota::(o\Rightarrow o)\Rightarrow o$  is not introduced as a definition. Instead,  $\iota::(o\Rightarrow o)\Rightarrow o$  is an instance of  $\iota::('a\Rightarrow o)\Rightarrow 'a$ , which is an axiomatized (polymorphic) constant.

```
proposition "\iota = \mathcal{Q} I" nitpick — countermodel found
```

end

# 5 Endopairs

```
theory endopairs
  imports logic_bridge
begin
```

 $named\_theorems$  endopair\_defs and endopair\_simps

#### 5.1 Definitions

Term constructor: making an endopair out of two given objects.

```
definition mkEndopair::"'a \Rightarrow 'a \Rightarrow EPair('a)" ("<_,_>") where "mkEndopair \equiv L If"
```

declare mkEndopair\_def[endopair\_defs]

With syntactic sugar the above definition looks like:

```
lemma "\langle x, y \rangle = (\lambda b. \text{ if } b \text{ then } x \text{ else } y)"
```

Under the hood, the term constructor mkEndopair is built in terms of definite descriptions.

```
lemma mkEndopair_def2: "\langle x,y \rangle = (\lambda b. \ \iota \ z. \ (b \rightarrow z = x) \ \land \ (\neg b \rightarrow z = y))"
```

Incidentally, (endo) pairs of booleans have an alternative, simpler representation.

```
lemma mkEndopair_bool_simp: "<x,y> = (\lambda b. (b \land x) \lor (\neg b \land y))"
```

Componentwise equality comparison between endopairs (added as convenient simplification rule).

```
lemma mkEndopair_equ_simp: "(\langle x_1, x_2 \rangle = \langle y_1, y_2 \rangle) = (x_1 = y_1 \land x_2 = y_2)"
```

We conveniently add the previous lemmata as a simplification rules.

declare mkEndopair\_bool\_simp[endopair\_simps] and mkEndopair\_equ\_simp[endopair\_simps]

Now, observe that:

```
lemma "\langle x, y \rangle T = x"
lemma "\langle x, y \rangle F = y"
```

This motivates the introduction of the following projection/extraction functions.

```
definition proj1::"EPair('a) \Rightarrow 'a" ("\pi_1") where "\pi_1 \equiv T \mathcal{T}" definition proj2::"EPair('a) \Rightarrow 'a" ("\pi_2") where "\pi_2 \equiv T \mathcal{F}" declare proj1\_def[endopair\_defs] proj2\_def[endopair\_defs] lemma "\pi_1 = (\lambda P. P \mathcal{T})" lemma "\pi_2 = (\lambda P. P \mathcal{F})"
```

The following lemmata (aka. "product laws") verify that the previous definitions work as intended.

```
lemma proj1_simp: "\pi_1 <x,y> = x" lemma proj2_simp: "\pi_2 <x,y> = y" lemma mkEndopair_simp: "<\pi_1 P, \pi_2 P> = P"
```

We conveniently add them as simplification rules.

declare proj1\_simp[endopair\_simps] proj2\_simp[endopair\_simps] mkEndopair\_simp[endopair\_simps]

Let's now add a useful "swap" (endo)operation on endopairs.

```
definition swap::"EOp(EPair('a))"
  where "swap = C B (¬)"

declare swap_def[endopair_defs]

lemma "swap p = p o (¬)"
```

lemma "swap  $p = (\lambda b. p (\neg b))$ "

We conveniently prove and add some useful simplification rules.

```
lemma swap_simp1: "swap <a,b> = <b,a>" lemma swap_simp2: "<\pi_2 p, \pi_1 p> = swap p"
```

declare swap\_simp1[endopair\_simps] swap\_simp2[endopair\_simps]

#### 5.2 Currying

The morphisms that convert between unary operations on endopairs and (curried) binary operations.

```
definition curry::"Op(EPair('a),'b) \Rightarrow Op_2('a,'b)" ("\_\") where "curry \equiv C B<sub>2</sub> mkEndopair" definition uncurry::"Op_2('a,'b) \Rightarrow Op(EPair('a),'b)" ("\[\_\]") where "uncurry \equiv L \Phi_{21} \pi_1 \pi_2"
```

declare curry\_def[endopair\_defs] uncurry\_def[endopair\_defs]

Some sanity checks:

```
lemma "curry f = B_2 f mkEndopair" lemma "curry f = (\lambda x\ y.\ f < x,y>)" lemma "uncurry f = \Phi_{21} f \pi_1 \pi_2" lemma "uncurry f = (\lambda P.\ f (\pi_1\ P) (\pi_2\ P))"
```

Both morphisms constitute an isomorphism (we add them as simplification rules too)

```
lemma curry_simp1: "\lfloor \lceil f \rceil \rfloor = f"
lemma curry_simp2: "\lceil \lfloor f \rfloor \rceil = f"
declare curry_simp1[endopair_simps] curry_simp2[endopair_simps]
end
```

# 6 Functions and Sets

We introduce several convenient definitions and lemmata for working with functions and sets.

```
theory func_sets imports connectives begin
```

named\_theorems func\_defs

## 6.1 Basic Functional Notions

#### 6.1.1 Monoid Structure

Functions feature a monoidal structure. The identity function is a nullary operation (i.e. a "constant"). It corresponds to the I combinator. Function composition is the main binary operation between functions and corresponds to the B combinator.

```
lemma "f \circ g \circ h = (\lambda x. \ f \ (g \ (h \ x)))" lemma "f ; g ; h = (\lambda x. \ h( \ g \ (f \ x)))"
```

Composition and identity satisfy the monoid conditions.

```
lemma "(f \circ g) \circ h = f \circ (g \circ h)"
lemma "I \circ f = f"
lemma "f \circ I = f"
```

lemma "R-postFP  $f = (\lambda A. R A (f A))$ "

#### 6.1.2 Fixed-Points

The set of pre- resp. post-fixed-points of an endofunction f wrt an endorelation R, are those points sent by f backwards resp. forward wrt R. Note that if R is symmetric then both notions coincide.

```
definition preFixedPoint::"ERel('a) \Rightarrow EOp('a) \Rightarrow Set('a)" ("_-preFP") where "preFixedPoint \equiv \Sigma" definition postFixedPoint::"ERel('a) \Rightarrow EOp('a) \Rightarrow Set('a)" ("_-postFP") where "postFixedPoint \equiv S" declare preFixedPoint_def[func_defs] postFixedPoint_def[func_defs] lemma "R-preFP f = (\lambdaA. R (f A) A)"
```

```
The set of weak pre-/post-fixed-points of endooperation wrt. an endorelation.
```

```
definition weakPreFixedPoint::"ERel('a) \Rightarrow EOp('a) \Rightarrow Set('a)" ("_-wPreFP") where "weakPreFixedPoint \equiv L \Phi_{22} (W B) A" definition weakPostFixedPoint::"ERel('a) \Rightarrow EOp('a) \Rightarrow Set('a)" ("_-wPostFP") where "weakPostFixedPoint \equiv L \Phi_{22} A (W B)"
```

declare weakPreFixedPoint\_def[func\_defs] weakPostFixedPoint\_def[func\_defs]

```
lemma "R-wPreFP \varphi = (\lambdaA. R (\varphi (\varphi A)) (\varphi A))" lemma "R-wPostFP \varphi = (\lambdaA. R (\varphi A) (\varphi (\varphi A)))"
```

The (non-)fixed-points of an endofunction are just the pre/post-fixed points wrt (dis)equality.

```
definition fixedPoint::"('a \Rightarrow 'a) \Rightarrow Set('a)" ("FP")
  where "FP \equiv Q-postFP"
definition nonFixedPoint::"('a \Rightarrow 'a) \Rightarrow Set('a)" ("nFP")
  where "nFP \equiv \mathcal{D}-postFP"
{\tt declare\ fixedPoint\_def[func\_defs]\ nonFixedPoint\_def[func\_defs]}
lemma "FP f x = (x = f x)"
lemma "nFP f x = (x \neq f x)"
lemma fixedPoint_defT: "FP = Q-preFP"
lemma nonFixedPoint_defT: "nFP = D-preFP"
   An endooperation can be said to be (weakly) expansive resp. contractive wrt an endorelation
when all of its points are (weak) pre-fixed-points resp. (weak) post-fixed-points.
definition expansive::"ERel('a) ⇒ Set(EOp('a))" (" -EXPN")
  where "R-EXPN \equiv \forall \circ R-postFP"
definition contractive::"ERel('a) ⇒ Set(EOp('a))" ("_-CNTR")
  where "R-CNTR \equiv \forall \circ R-preFP"
definition weaklyExpansive::"ERel('a) ⇒ Set(EOp('a))" ("_-wEXPN")
  where "R-wEXPN \equiv \forall \circ R-wPostFP"
definition weaklyContractive::"ERel('a) ⇒ Set(EOp('a))" ("_-wCNTR")
  where "R-wCNTR \equiv \forall \circ R-wPreFP"
declare expansive_def[func_defs] contractive_def[func_defs]
         weaklyExpansive_def[func_defs] weaklyContractive_def[func_defs]
lemma "R-EXPN f = (\forall A. R A (f A))"
lemma "R-CNTR f = (\forall A. R (f A) A)"
lemma "R-wEXPN f = (\forall A. R (f A) (f (f A)))"
lemma "R-wCNTR f = (\forall A. R (f (f A)) (f A))"
```

#### 6.1.3 Type-lifting - General Case: Environment (aka. Reader) Monad

We can conceive of functional types of the form 'a  $\Rightarrow$  'b as arising via an "environmentalization", or "indexation" of the type 'b by the type 'a, i.e. as 'a-Env('b) using our type notation. This type constructor comes with a monad structure (and is thus an applicative and a functor too).

```
abbreviation(input) unit env::"'a ⇒ 'e-Env('a)"
   where "unit env \equiv K"
abbreviation(input) fmap_env::"('a \Rightarrow 'b) \Rightarrow 'e-Env('a) \Rightarrow 'e-Env('b)"
   where "fmap env \equiv B"
abbreviation(input) join_env::"'e-Env('e-Env('a)) \Rightarrow 'e-Env('a)"
   where "join_env ≡ W"
abbreviation \textit{(input)} \ ap\_env:: "'e-Env('a \Rightarrow 'b) \Rightarrow 'e-Env('a) \Rightarrow 'e-Env('b)"
   where "ap_env \equiv S"
abbreviation(\textit{input}) \ \textit{rbind\_env}:: \texttt{"('a} \ \Rightarrow \ \texttt{'e-Env('b))} \ \Rightarrow \ \texttt{'e-Env('a)} \ \Rightarrow \ \texttt{'e-Env('b)"}
   where "rbind_env \equiv \Sigma" — reversed-bind
    We define the customary bind operation as "flipped" rbind (which seems more intuitive).
abbreviation(\textit{input}) \ \textit{bind\_env}: \texttt{"'e-Env('a)} \ \Rightarrow \ ('a \ \Rightarrow \ 'e-Env('b)) \ \Rightarrow \ 'e-Env('b)"
   where "bind_env \equiv C rbind_env"
    But we could have also given it a direct alternative definition.
lemma "bind_env = W \circ_2 (C B)"
    Some properties of monads in general
lemma "rbind_env = join_env ○2 fmap_env"
lemma "join_env = rbind_env I"
```

Some properties of this particular monad

```
lemma "ap_env = rbind_env o C"
```

The so-called "monad laws". They guarantee that monad-related term operations compose reliably.

```
abbreviation(input) "monadLaw1 unit bind \equiv \forall f a. (bind (unit a) f) = (f a)" — left identity abbreviation(input) "monadLaw2 unit bind \equiv \forall A. (bind A unit) = A" — right identity abbreviation(input) "monadLaw3 bind \equiv \forall A f g. (bind A (\lambdaa. bind (f a) g)) = bind (bind A f) g" — associativity
```

Verifies compliance with the monad laws.

```
lemma "monadLaw1 unit_env bind_env"
lemma "monadLaw2 unit_env bind_env"
lemma "monadLaw3 bind_env"
```

#### 6.1.4 Type-lifting - Digression: On Higher Arities

Note that  $\Phi_{mn}$  combinators can be used to index (or "environmentalize") a given m-ary function n-times.

```
term "(\Phi_{01} \ (f::'a)) :: 'e-Env('a)"
term "(\Phi_{11} \ (f::'a \Rightarrow 'b)) :: 'e-Env('a) \Rightarrow 'e-Env('b)"
term "(\Phi_{12} \ (f::'a \Rightarrow 'b)) :: 'e_2-Env('e_1-Env('a)) \Rightarrow 'e_2-Env('e_1-Env('b))"
— ...and so on
term "(\Phi_{21} \ (g::'a \Rightarrow 'b \Rightarrow 'c)) :: 'e-Env('a) \Rightarrow 'e-Env('b) \Rightarrow 'e-Env('c)"
term "(\Phi_{22} \ (g::'a \Rightarrow 'b \Rightarrow 'c)) :: 'e_2-Env('e_1-Env('a)) \Rightarrow 'e_2-Env('e_1-Env('b)) \Rightarrow 'e_2-Env('e_1-Env('b))
```

Hence the  $\Phi_{mn}$  combinators can play the role of (n-times iterated) functorial "lifters".

```
lemma "(unit_env::'a \Rightarrow 'e-Env('a)) = \Phi_{01}" lemma "(fmap_env::('a \Rightarrow 'b) \Rightarrow ('e-Env('a) \Rightarrow 'e-Env('b))) = \Phi_{11}" abbreviation(input) fmap2_env::"('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow ('e-Env('a) \Rightarrow 'e-Env('b)) \Rightarrow 'e-Env('c))" where "fmap2_env \equiv \Phi_{21}" — cf. Haskell's liftA2 — ...and so on
```

In the same spirit, we can employ the combinator families  $S_{mn}$  resp.  $\Sigma_{mn}$  as (n-times iterated) m-ary applicative resp. monadic "lifters".

```
abbreviation(input) ap2_env::"'e-Env('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow ('e-Env('a) \Rightarrow 'e-Env('b) \Rightarrow 'e-Env('c))" where "ap2_env \equiv S<sub>21</sub>" abbreviation(input) rbind2_env::"('a \Rightarrow 'b \Rightarrow 'e-Env('c)) \Rightarrow ('e-Env('a) \Rightarrow 'e-Env('b) \Rightarrow 'e-Env('c) where "rbind2_env \equiv \Sigma_{21}" — ...and so on
```

# 6.1.5 Type-lifting - Base Case: Identity Monad

Finally, we consider the (degenerate) base case arising from an identity type constructor

```
abbreviation(input) unit_id::"'a \Rightarrow 'a" where "unit_id \equiv I" abbreviation(input) fmap_id::"('a \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'b)" where "fmap_id \equiv A" abbreviation(input) fmap2_id::"('a \Rightarrow 'a \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'a \Rightarrow 'b)" where "fmap2_id \equiv A2" abbreviation(input) join_id::"'a \Rightarrow 'a" where "join_id \equiv I" abbreviation(input) ap_id::"('a \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'b)" where "ap_id \equiv A" abbreviation(input) rbind_id::"('a \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'b)" where "rbind_id \equiv A"
```

```
abbreviation(input) bind_id::"'a ⇒ ('a ⇒ 'b) ⇒ 'b"
where "bind_id ≡ T"

lemma "monadLaw1 unit_id bind_id"
lemma "monadLaw2 unit_id bind_id"
lemma "monadLaw3 bind id"
```

# 6.1.6 Type-lifting - Relations

Relations can be seen (and thus type-lifted) from two equivalent perspectives:

- 1. As unary functions (with set codomain), or equivalently, as indexed families of sets.
- 2. As binary functions (with a boolean codomain).

```
term "(R :: Rel('a,'b)) :: 'a-Env(Set('b))" term "(R :: Rel('a,'b)) :: 'a \Rightarrow 'b \Rightarrow o"
```

Note that when "lifting" relations as binary functions (via  $\Phi_{21}$ ) what we obtain is not quite a relation.

```
	ext{term } "\Phi_{21} 	ext{ (R :: Rel('a,'b)) :: 'e-Env('a)} \Rightarrow 	ext{'e-Env('b)} \Rightarrow 	ext{Set('e)}"
```

We introduce two convenient ways to lift a given relation to obtain its "indexed" counterpart.

```
definition relLiftEx :: "Rel('a,'b) \Rightarrow Rel('c-Env('a),'c-Env('b))" ("\Phi_{\exists}") — existential lifting
```

```
where "\Phi_{\exists} \equiv \exists \circ_3 \Phi_{21}" definition relLiftAll :: "Rel('a,'b) \Rightarrow Rel('c-Env('a),'c-Env('b))" ("\Phi_{\forall}") — universal lifting where "\Phi_{\forall} \equiv \forall \circ_3 \Phi_{21}"
```

declare relLiftEx\_def[func\_defs] relLiftAll\_def[func\_defs]

#### 6.2 Basic Set Notions

#### 6.2.1 Set-Operations

Note that sets of As can be faithfully encoded as A-indexed booleans (aka. "characteristic functions").

```
term "(S :: Set('a)) :: 'a-Env(o)"
```

where "( $\Rightarrow$ )  $\equiv \Phi_{21}(\rightarrow)$ " — set implication

Thus the usual set operations arise via "indexation" of HOL's boolean connectives (via  $\Phi_{m1}$  combinators). This explains, among others, why sets come with a Boolean algebra structure (cf. Stone representation).

```
definition universe::"Set('a)" ("\mathfrak{U}") where "\mathfrak{U} \equiv \Phi_{01} \tau" — the universal set: the nullary connective/constant \mathcal{T} lifted once definition emptyset::"Set('a)" ("\empsilon") where "\empsilon \equiv \Phi_{01} \mathcal{F}" — the empty set: the nullary connective/constant \mathcal{F} lifted once definition comp1::"EOp(Set('a))" ("-") where \langle - \equiv \Phi_{11}(\neg) \rangle set complement: the unary \neg connective lifted once definition inter::"EOp_2(Set('a))" (infixr "\cap" 54) where "(\cap) \equiv \Phi_{21}(\lambda)" — set intersection: the binary \lambda connective lifted once definition union::"EOp_2(Set('a))" (infixr "\cup" 53) where "(\cup) \equiv \Phi_{21}(\lambda)" — set union definition diff::"EOp_2(Set('a))" (infixl "\" 51) where "(\cup) \equiv \Phi_{21}(\cup)" — set difference definition imp1::"EOp_2(Set('a))" (infixr "\Rightarrow" 51)
```

```
definition dimpl::"EOp_2(Set('a))" (infix "\Leftrightarrow" 51)

where "(\Leftrightarrow) \equiv \Phi_{21}(\leftrightarrow)" — set double-implication
definition sdiff::"EOp_2(Set('a))" (infix "\triangle" 51)

where "(\triangle) \equiv \Phi_{21}(\rightleftharpoons)" — set symmetric-difference (aka. xor)

Reversed implication as convenient syntactic sugar.
abbreviation(input) 1pmi::"EOp_2(Set('a))" (infixl "\Leftarrow" 51)

where "A \Leftarrow B \equiv B \Rightarrow A"

declare universe_def[func_defs] emptyset_def[func_defs]

compl_def[func_defs] inter_def[func_defs] union_def[func_defs]

impl_def[func_defs] dimpl_def[func_defs] diff_def[func_defs] sdiff_def[func_defs]

Double-check point-based definitions.
```

```
lemma "\mathfrak{U} = (\lambda x. \ \mathcal{T})"
lemma "\emptyset = (\lambda x. \ \mathcal{F})"
lemma "-A = (\lambda x. \ \neg A \ x)"
lemma "A \cap B = (\lambda x. \ A \ x \wedge B \ x)"
lemma "A \cup B = (\lambda x. \ A \ x \vee B \ x)"
lemma "A \setminus B = (\lambda x. \ A \ x \leftarrow B \ x)"
lemma "A \Rightarrow B = (\lambda x. \ A \ x \leftarrow B \ x)"
lemma "A \Leftrightarrow B = (\lambda x. \ A \ x \leftarrow B \ x)"
lemma "A \Leftrightarrow B = (\lambda x. \ A \ x \leftrightarrow B \ x)"
lemma "A \Leftrightarrow B = (\lambda x. \ A \ x \leftrightarrow B \ x)"
lemma "A \Leftrightarrow B = (\lambda x. \ A \ x \leftrightarrow B \ x)"
```

Double-check some well known properties.

```
lemma compl_involutive: "-(-S) = S" lemma compl_deMorgan1: "-(-A \cup -B) = (A \cap B)" lemma compl_deMorgan2: "-(-A \cap -B) = (A \cup B)" lemma compl_fixedpoint: "nFP = -\circ FP" lemma "nFP f = -(FP f)"
```

# 6.2.2 Dual-composition of Unary Set-Operations

lemma compDuality3: " $(f \circ g) = (f \cdot (- \circ g))$ "

Clearly, functional composition can be seamlessly applied to set-operations too.

```
lemma fixes F::"Set('b) \Rightarrow Set('c)" and G::"Set('a) \Rightarrow Set('b)" shows "F \circ G = (\lambda x. \ F \ (G \ x))"
```

Moreover, we can conveniently introduce a dual for the (functional) composition of set-operations.

```
definition compDual::"SetOp('a, 'b) \Rightarrow SetOp('c, 'a) \Rightarrow SetOp('c, 'b)" (infixl "·" 55) where "(·) \equiv \lambda f g. \lambda x. f (-(g x))" abbreviation(input) compDual_t (infixr ":" 55) where "f : g \equiv g \cdot f" declare compDual_def[func_defs] lemma compDuality1: "(f · g) = - \circ ((- \circ f) \circ (- \circ g))" lemma compDuality2: "(f · g) = (f \circ (- \circ g))"
```

#### 6.2.3 Set Orderings

In the previous section we applied a kind of "functional lifting" to the boolean HOL operations in order to encode the corresponding operations on sets. Here we encode sets' (lattice) order structure via a "relational lifting" of the ordering of HOL's truth-values.

We start by noting that HOL's binary boolean operations can also be seen as (endo)relations.

```
\operatorname{term} "(\wedge) :: ERel(o)"
```

```
term "(V) :: ERel(o)"
term "(\rightarrow) :: ERe1(o)" — the customary ordering on truth-values (where \mathcal{F} \rightarrow \mathcal{T})
    The algebra of sets is thus naturally ordered via the subset endorelation (via 'relational lifting').
definition subset::"ERel(Set('a))" (infixr "⊂" 51)
   where "(\subseteq) \equiv \Phi_{\forall} (\rightarrow)"
declare subset_def[func_defs]
lemma "A \subseteq B = (\forall x. A x \rightarrow B x)"
lemma "A \subseteq B = \forall (A \Rightarrow B)"
lemma subset_setdef: "(\subseteq) = \forall \circ_2 (\Rightarrow)"
abbreviation(input) superset::"ERel(Set('a))" (infixr "⊇" 51)
   where "B \supseteq A \equiv A \subseteq B"
    The powerset operation corresponds in fact to (partial application of) superset relation.
abbreviation(\textit{input}) \ \textit{powerset}:: \texttt{"Set('a)} \ \Rightarrow \ \textit{Set(Set('a))"} \ (\texttt{"} \wp \texttt{"})
   where "\wp \equiv (\supseteq)"
lemma "\wp A = (\lambda B. B \subseteq A)"
    Alternative characterizations of the sub/super-set orderings in terms of fixed-points.
                                "(\subseteq) = FP \circ (\cup)"
lemma subset defFP:
lemma superset_defFP: "(\supseteq) = FP \circ (\cap)"
lemma "(A \subseteq B) = (B = A \cup B)"
lemma "(B \supseteq A) = (A = B \cap A)"
    Subset is antisymmetric.
\mathbf{lemma} \ \mathbf{subset\_antisym} \colon \ "\mathtt{R} \ \subseteq \ \mathtt{T} \ \Longrightarrow \ \mathtt{R} \ \supseteq \ \mathtt{T} \ \Longrightarrow \ \mathtt{R} \ = \ \mathtt{T}"
    In the same spirit, we conveniently provide the following related endorelations:
    Two sets are said to "overlap" (or "intersect") if their intersection is non-empty.
definition overlap::"ERel(Set('a))" (infix "□" 52)
   where "(\sqcap) \equiv \Phi_{\exists} (\land)"
    dually, two sets form a "cover" if every element belongs to one or the other.
definition cover::"ERel(Set('a))" (infix "□" 53)
   where "(\sqcup) \equiv \Phi_{\forall} (\vee)"
declare overlap def[func defs] cover def[func defs]
    Convenient notation: Two sets are said to be "incompatible" if they don't overlap.
abbreviation(input) incompat::"ERel(Set('a))" (infix "\_" 52)
   where "(\perp) \equiv (\neg) \circ_2 (\sqcap)"
lemma cover_setdef: "(\sqcup) = \forall \circ_2 (\cup)"
lemma overlap_setdef: "(\sqcap) = \exists \circ_2 (\cap)"
lemma "A \sqcup B = \forall (A \cup B)"
lemma "A \sqcap B = \exists (A \cap B)"
lemma "A \perp B = \nexists (A \cap B)"
    Subset, overlap and cover are interrelated as expected.
lemma "A \subseteq B = -A \sqcup B"
lemma "A \subseteq B = A \perp -B"
lemma "\neg (A \subseteq B) = A \sqcap -B"
```

lemma " $\neg (A \subseteq B) = A \sqcap -B$ "

```
lemma "A \sqcup B = -A \subseteq B"
lemma "A \sqcap B = (\neg(A \subseteq -B))"
lemma "A \perp B = A \subseteq -B"
6.2.4 Constructing Sets
abbreviation(input) insert :: "'a ⇒ Set('a) ⇒ Set('a)"
  where "insert a S \equiv \mathcal{Q} a \cup S"
abbreviation(input) remove :: "'a \Rightarrow Set('a) \Rightarrow Set('a)"
  where "remove a S \equiv \mathcal{D} a \cap S"
    The previous functions in terms of combinators.
lemma "insert = C (B_{10} (\cup) Q)"
lemma "remove = C (B_{10} (\cap) \mathcal{D})"
syntax
  "_finiteSet" :: "args ⇒ Set('a)"
                                              ("{( )}")
  "_finiteCoset" :: "args \Rightarrow Set('a)" ("\{()\}")
translations
  "\{x, xs\}" \rightleftharpoons "CONST insert x (_finiteSet xs)"
  "\{x, xs\}" \rightleftharpoons "CONST remove x (_finiteCoset xs)"
  "\{x\}" \rightharpoonup "\mathcal{Q} x" — aka. "singleton"
  "\{x\}" \rightarrow "\mathcal{D} x" — aka. "cosingleton")
    Some syntax checks.
lemma "\{a\} = Q a"
lemma "\{a,b\} = \{a\} \cup \{b\}"
lemma "\{a,b,c\} = \{a\} \cup \{b,c\}"
lemma "\{a,b,c\} = \{a\} \cup \{b\} \cup \{c\}"
lemma "\{a\} = \mathcal{D} a"
lemma "\{a,b\} = \{a\} \cap \{b\}"
lemma "\{a,b,c\} = \{a\} \cap \{b,c\}"
lemma "\{a,b,c\} = \{a\} \cap \{b\} \cap \{c\}"
lemma "\{\{a,b,c\}, \{d,e\}\}\ = \{\{a\} \cup \{b\} \cup \{c\}\}\ \cap \{\{d\} \cup \{e\}\}\}"
    Sets and cosets are related via set-complement as expected.
lemma "{a} = -{a}"
lemma "\{a,b\} = -\{a,b\}"
lemma "\{a,b,c\} = -\{a,b,c\}"
    HOL quantifiers can be seen as sets of sets (or equivalently as "properties" of sets).
term "\forall::Set(Set('a))" — \forall A means that the set A contains all elements
term "\exists::Set(Set('a))" — \exists A means that A contains at least one element, i.e. A is nonempty
term "∄::Set(Set('a))" — ∃A means that A is empty
    We conveniently add a couple more.
definition unique::"Set(Set('a))"
  where \langle unique\ A \equiv \forall x\ y.\ A\ x \land A\ y \rightarrow x = y \rangle — A contains at most one element (it may be
empty)
definition singleton::"Set(Set('a))" ("∃!")
```

# 6.2.5 Infinitary Set-Operations

declare unique\_def[func\_defs] singleton\_def[func\_defs]

Union and intersection can be generalized to operate on arbitrary sets of sets (aka. "infinitary" operations).

where  $\langle \exists ! A \equiv \exists x. \ A \ x \land (\forall y. \ A \ y \rightarrow x = y) \rangle$  — A contains exactly one element

```
definition biginter::"EOp_G(Set(`a))" ("\cap ")
where "\cap \equiv \cap (B_{11} (\Rightarrow) \text{ I T})"
definition bigunion::"EOp}_G(Set(`a))" ("\cap ")
where "\cup \equiv \equiv \equiv (B_{11} (\cap ) \text{ I T})"

lemma "\cap S x = (\forall A. S A \to A x)"
lemma "\cup S x = (\forall A. S A \to A x)"
declare biginter_def[func_defs] bigunion_def[func_defs]
```

We say of a set of sets that it "overlaps" (or "intersects") if there exists a shared element.

```
abbreviation(input) bigoverlap::"Set(Set(Set('a)))" ("\square") where "\square \equiv \exists \circ \cap \square"
```

Dually, a set of sets forms a "cover" if every element is contained in at least one of the sets.

#### 6.3 Function Transformations

## 6.3.1 Inverse and Range

The inverse of a function f is the relation that assigns to each object b in its codomain the set of elements in its domain mapped to b (i.e. the preimage of b under f).

```
definition inverse::"('a \Rightarrow 'b) \Rightarrow Rel('b, 'a)"
where "inverse \equiv B<sub>10</sub> Q"
lemma "inverse f b = (\lambdaa. f a = b)"
declare inverse_def[func_defs]
```

An alternative combinator-based definition (by commutativity of Q).

```
lemma inverse_def2: "inverse = C (D Q)"
```

We introduce some convenient superscript notation.

```
notation(input) inverse ("_-1") notation(output) inverse ("',(_',)-1")
```

The related notion of inverse-function of a (bijective) function can be written as:

```
term "(\iota \circ f^{-1}) :: (\dot{a} \Rightarrow \dot{b}) \Rightarrow (\dot{b} \Rightarrow \dot{a})" — Beware: well-behaved for bijective functions only!
```

Given a function f we can obtain its range as the set of those objects b in the codomain that are the image of some object a (i.e. have a non-empty preimage) under the function f.

```
definition range::"('a \Rightarrow 'b) \Rightarrow Set('b)"
where "range \equiv \exists \circ_2 inverse"
declare range_def[func_defs]
lemma "range f = \exists \circ f^{-1}"
lemma "range f b = (\exists a. f a = b)"
```

More generally, the inverse of an n-ary function f is the n+1-ary relation that relates to each object c in f's codomain those ("curried" tuples of) elements in the domain are become mapped to c under f (i.e. the "preimage" of c under f). We use this to define the range of an n-ary function too.

```
definition inverse2 :: "('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow Rel<sub>3</sub>('c,'a,'b)" ("inverse<sub>2</sub>")
```

```
where "inverse_2 \equiv B_{20} \ \mathcal{Q}" definition inverse3:: "('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd) \Rightarrow Rel_4('d,'a,'b,'c)" ("inverse_3") where "inverse_3 \equiv B_{30} \ \mathcal{Q}"

— ... inverse_n \equiv B_{n0} \ \mathcal{Q}

definition range2:: "('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow Set('c)" ("range_2") where "range_2 \equiv \exists^2 \circ_2 inverse_2" definition range3:: "('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd) \Rightarrow Set('d)" ("range_3") where "range_3 \equiv \exists^3 \circ_2 inverse_3"

— ... range_n \equiv \exists^n \circ_2 inverse_n

declare inverse2_def[func_defs] inverse3_def[func_defs] range2_def[func_defs] range3_def[func_defs]

lemma "inverse_2 f c = (\(\lambda\) a b c f a b = c)"

lemma "range_2 f c = (\(\frac{\lambda}{a}\) b c f a b c = d)"

lemma "range_3 f d = (\(\frac{\lambda}{a}\) b c f a b c = d)"
```

#### 6.3.2 Kernel of a Function

The "kernel" of a function relates those elements in its domain that get assigned the same value.

```
definition kernel::"('a \Rightarrow 'b) \Rightarrow ERel('a)"
where "kernel \equiv \Psi_2 \ Q"
lemma "kernel f = (\lambda x \ y. \ f \ x = f \ y)"
declare \ kernel\_def[func\_defs]
We \ add \ convenient \ superscript \ notation.
notation(input) \ kernel \ ("\_=") \ notation(output) \ kernel \ ("'(\_')=")
```

# 6.3.3 Pullback and Equalizer of a Pair of Functions

The pullback (aka. fiber product) of two functions f and g (sharing the same codomain), relates those pairs of elements that get assigned the same value by f and g respectively.

```
definition pullback :: "('a \Rightarrow 'c) \Rightarrow ('b \Rightarrow 'c) \Rightarrow Rel('a,'b)" where "pullback \equiv B<sub>11</sub> Q" lemma "pullback f g = (\lambda x y. f x = g y)" declare pullback_def[func_defs]

Pullback can be said to be "symmetric" in the following sense. lemma pullback_symm: "pullback = C<sub>2143</sub> pullback" lemma pullback_symm': "pullback f g x y = pullback g f y x" lemma "pullback = C \circ_2 (C pullback)"
```

Inverse and kernel of a function can be easily stated in terms of pullback.

```
lemma "inverse = pullback I"
lemma "kernel = W pullback"
```

The equalizer of two functions f and g (sharing the same domain and codomain) is the set of elements in their (common) domain that get assigned the same value by both f and g.

```
definition equalizer :: "('a \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'b) \Rightarrow Set('a)" where "equalizer \equiv \Phi_{21} \mathcal{Q}"
```

lemma "equalizer f g =  $(\lambda x. f x = g x)$ "

```
declare equalizer_def[func_defs]
```

In fact, the equalizer of two functions can be stated in terms of pullback.

```
\mathbf{lemma} \ \texttt{"equalizer = W} \ \circ_2 \ \mathtt{pullback"}
```

Note that we can swap the roles of "points" and "functions" in the above definitions using permutators.

```
lemma "R equalizer x = (\lambda f \ g. \ f \ x = g \ x)" lemma "C<sub>2</sub> pullback x \ y = (\lambda f \ g. \ f \ x = g \ y)"
```

#### 6.3.4 Pushout and Coequalizer of a Pair of Functions

The pushout (aka. fiber coproduct) of two functions f and g (sharing the same domain), relates pairs of elements (in their codomains) whose preimages under f resp. g intersect.

```
definition pushout :: "('c \Rightarrow 'a) \Rightarrow ('c \Rightarrow 'b) \Rightarrow Rel('a,'b)" where "pushout \equiv B<sub>22</sub> (\sqcap) inverse inverse"
```

```
lemma "pushout f g = (\lambda x y. f^{-1} x \sqcap g^{-1} y)"
```

```
declare pushout_def[func_defs]
```

Pushout can be said to be "symmetric" in the following sense.

```
lemma pushout_symm: "pushout = C_{2143} pushout" lemma pushout_symm': "pushout f g x y = pushout g f y x" lemma "pushout = C \circ_2 (C \text{ pushout})"
```

The equations below don't work as definitions since they unduly restrict types ("inverse" appears only once).

```
lemma "pushout = W (B_{22} (\sqcap)) inverse" lemma "pushout = \Psi_2 (B_{11} (\sqcap)) inverse"
```

The coequalizer of two functions f and g (sharing the same domain and codomain) is the set of elements in their (common) codomain whose preimage under f resp. g intersect.

```
definition coequalizer :: "('a \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'b) \Rightarrow Set('b)" where "coequalizer \equiv W \circ_2 (\Psi_2 (B<sub>11</sub> (\sqcap)) inverse)"
```

```
lemma "coequalizer f g = \Phi_{21} (\square) (f<sup>-1</sup>) (g<sup>-1</sup>)" lemma "coequalizer f g = (\lambda x. (f<sup>-1</sup>) x \square (g<sup>-1</sup>) x)"
```

```
{\tt declare}\ {\tt coequalizer\_def[func\_defs]}
```

The coequalizer of two functions can be stated in terms of pushout.

```
lemma "coequalizer = W \circ_2 pushout"
```

#### 6.3.5 Image and Preimage

We can "lift" functions to act on sets via the image operator. The term image f denotes a setoperation that takes a set A and returns the set of elements whose f-preimage intersects A.

```
definition image::"('a \Rightarrow 'b) \Rightarrow SetOp('a,'b)"
where "image \equiv C (B<sub>20</sub> (\sqcap) inverse)"
lemma "image f A = (\lambdab. f<sup>-1</sup> b \sqcap A)"
lemma "image f A b = (\exists x. f<sup>-1</sup> b x \land A x)"
```

Analogously, the term  $preimage\ f$  denotes a set-operation that takes a set B and returns the set of those elements which f maps to some element in B.

```
definition preimage::"('a ⇒ 'b) ⇒ SetOp('b, 'a)"
  where "preimage \equiv C B" — i.e. (;)
lemma "preimage f B = f ; B"
lemma "preimage f B = (\lambda a. B (f a))"
declare image def[func defs] preimage def[func defs]
    Introduce convenient notation.
notation(input) image ("(_ _ )") and preimage ("(_ _ )^{-1}")
notation(output) image ("('(',')',')") and preimage ("('(',')',')")
term "(f A)" — read "the image of A under f"
term "(f B)^{-1} = (\lambda a. B (f a))" — read "the image of A under f"
    Range can be defined in terms of image as expected.
lemma range_def2: "range = C image \U"
term "preimage (f::'a \Rightarrow 'b) \circ image f"
\mathbf{term} \ \texttt{"image} \ (\texttt{f}:: \texttt{'a} \Rightarrow \texttt{'b}) \ \circ \ \mathsf{preimage} \ \texttt{f"}
lemma "preimage f \circ \text{image } f = (\lambda A. \lambda a. f^{=} a \sqcap A)"
lemma "image f \circ preimage f = (\lambda B. \lambda b. f^{-1} b \sqcap preimage f B)"
    Preservation/reversal of monoidal structure under set-operations.
lemma image_morph1: "image (f \circ g) = image f \circ image g"
lemma image_morph2: "image I = I"
lemma preimage_morph1: "preimage (f \circ g) = preimage g \circ preimage f"
lemma preimage_morph2: "preimage I = I"
    Random-looking simplification(?) rule that becomes useful later on.
lemma image_simp1: "image ((G \circ R) a) \circ image (T a) = image (T a) \circ image (S (G \circ R))"
6.4
      Miscellaneous
Function "update" or "override" at a point.
definition update :: "('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b \Rightarrow 'a \Rightarrow 'b" ("_[\mapsto]")
  where "f[a \mapsto b] \equiv \lambda x. if x = a then b else f x"
declare update_def[func_defs]
    A set S can be closed under a n-ary endooperation, a generalized endooperation, or a set
endooperation.
definition op1_closed::"EOp('a) ⇒ Set(Set('a))" ("_-closed<sub>1</sub>")
  where "f-closed<sub>1</sub> \equiv \lambda S. \forall x. S x \rightarrow S(f x)"
definition op2_closed::"EOp2('a) ⇒ Set(Set('a))" ("_-closed2")
  where "g-closed<sub>2</sub> \equiv \lambda S. \forall x y. S x \rightarrow S y \rightarrow S(g x y)"
definition opG\_closed::"EOp_G('a) \Rightarrow Set(Set('a))" ("\_-closed_G")
  where "F-closed_G \equiv \lambda S. \forall X. X \subseteq S \rightarrow S(F X)"
definition setop\_closed::"SetEOp('a) \Rightarrow Set(Set('a))" ("\_-closed_S")
  where "\varphi-closed_S \equiv \lambda S. \forall X. X \subseteq S \rightarrow \varphi X \subseteq S"
```

declare op1\_closed\_def[func\_defs] op2\_closed\_def[func\_defs]

```
Closure under n-ary endooperations can be reduced to closure under (n-1)-ary endooperations.
lemma op2_closed_def2: "g-closed2 = (\lambda S. \ (\forall x. \ S. \ x \longrightarrow (g. x) - closed1 \ S))"
\mathbf{lemma} \ "(\lambda S. \ \forall \ \mathbf{x} \ \mathbf{y} \ \mathbf{z}. \ S \ \mathbf{x} \ \rightarrow \ S \ \mathbf{y} \ \rightarrow \ S \ \mathbf{z} \ \rightarrow \ S(g \ \mathbf{x} \ \mathbf{y} \ \mathbf{z})) \ = \ (\lambda S. \ (\forall \ \mathbf{x}. \ S \ \mathbf{x} \ \longrightarrow \ (g \ \mathbf{x})\text{-}closed_2 \ S))"
     The set of elements inductively generated by G by using a sequence of constructors, as indicated.
definition inductiveSet1 :: "Set('a) \Rightarrow EOp('a) \Rightarrow Set('a)" ("indSet<sub>1</sub>")
   where "indSet<sub>1</sub> G f \equiv \bigcap (\lambda S. G \subseteq S \land f\text{-closed}_1 S)" — one unary constructor
definition inductiveSet2 :: "Set('a) \Rightarrow EOp<sub>2</sub>('a) \Rightarrow Set('a)" ("indSet<sub>2</sub>")
   where "indSet<sub>2</sub> G g \equiv \bigcap (\lambda S. G \subseteq S \land g\text{-closed}_2 S)" — one binary constructor
  - and so on ...
\textbf{definition inductiveSet11} \ :: \ "Set('a) \ \Rightarrow \ \texttt{EOp('a)} \ \Rightarrow \ \texttt{EOp('a)} \ \Rightarrow \ \texttt{Set('a)"} \ ("\texttt{indSet}_{11}")
   where "indSet<sub>11</sub> G f_1 f_2 \equiv \bigcap (\lambda S. \ G \subseteq S \land f_1-closed<sub>1</sub> S \land f_2-closed<sub>1</sub> S)" — two unary con-
definition inductiveSet12 :: "Set('a) \Rightarrow EOp('a) \Rightarrow EOp<sub>2</sub>('a) \Rightarrow Set('a)" ("indSet<sub>12</sub>")
   where "indSet<sub>12</sub> G f g \equiv \bigcap (\lambda S. G \subseteq S \land f\text{-closed}_1 S \land g\text{-closed}_2 S)" — a unary and a
binary constructor
— and so on ...
declare inductiveSet1_def[func_defs] inductiveSet2_def[func_defs]
             inductiveSet11_def[func_defs] inductiveSet12_def[func_defs]
     A convenient special case when the set of generators G is a singleton \{g\}.
lemma \ inductiveSet1\_singleton: \ "indSet_1 \ \{g\} \ f \ = \ \bigcap \ (\lambda S. \ S \ g \ \land \ f\text{-}closed_1 \ S) \ "
     The set of all powers (via iterated composition) for a given endofunction (including I).
definition funPower::"ERel(EOp('a))"
   where "funPower \equiv B (indSet<sub>1</sub> (Q I)) B"
declare funPower_def[func_defs]
lemma "funPower f = indSet_1 \{I\} (\lambda h. f \circ h)"
lemma funPower_def2: "funPower f g = (\forall S. \ (\forall h. \ S \ h \rightarrow S \ (f \circ h)) \rightarrow S \ I \rightarrow S \ g)"
     Definition works as expected:
lemma "funPower f I"
lemma "funPower f f"
lemma "funPower f (f \circ f)"
lemma "funPower f (fofofofofofofofofofof)"
lemma \ \textit{funPower\_ind:} \ \textit{"funPower} \ \textit{f} \ \textit{g} \implies \textit{funPower} \ \textit{f} \ (\textit{f} \ \circ \ \textit{g}) \, \textit{"}
lemma "(\exists g. funPower f g \land \exists ! (FP g)) \rightarrow \exists (FP f)" — proof by external provers
```

opG\_closed\_def[func\_defs] setop\_closed\_def[func\_defs]

end

# 7 Relations

A theory of (heterogeneous) relations as set-valued functions. Relations inherit the structures of both sets and functions and enrich them in manifold ways.

```
theory relations imports func_sets
```

named\_theorems rel\_defs and rel\_simps

# 7.1 Constructing Relations

#### 7.1.1 Product and Sum

```
Relations can also be constructed out of pairs of sets, via (cartesian) product and (disjoint) sum.
```

```
definition product::"Set('a) \Rightarrow Set('b) \Rightarrow Rel('a,'b)" (infixl "×" 90) where "(×) \equiv B<sub>11</sub> (\land)" definition sum::"Set('a) \Rightarrow Set('b) \Rightarrow Rel('a,'b)" (infixl "\uplus" 90) where "(\uplus) \equiv B<sub>11</sub> (\lor)"
```

declare product\_def[rel\_defs] sum\_def[rel\_defs]

```
lemma "A \times B = (\lambda x \ y. \ A \ x \wedge B \ y)"
lemma "A \uplus B = (\lambda x \ y. \ A \ x \lor B \ y)"
```

#### 7.1.2 Pairs and Copairs

A (co)atomic-like relation can be constructed out of two elements.

```
definition pair::"'a \Rightarrow 'b \Rightarrow Rel('a,'b)" ("\\\_,_\>") where \langle pair \equiv B_{22} \ (\land) \ \mathcal{Q} \ \mathcal{Q} \rangle — relational counterpart of 'singleton' definition copair::"'a \Rightarrow 'b \Rightarrow Rel('a,'b)" ("\\\_,_\>") where \langle copair \equiv B_{22} \ (\lor) \ \mathcal{D} \ \mathcal{D} \rangle — relational counterpart of 'cosingleton'
```

declare pair\_def[rel\_defs] copair\_def[rel\_defs]

```
lemma "\langle a,b \rangle = (\lambda x \ y. \ a = x \land b = y)"
lemma "\langle a,b \rangle = (\lambda x \ y. \ a \neq x \lor b \neq y)"
```

Recalling that

```
lemma "B_{22} = B_{11} \circ B_{11}"
```

We have that pair and copair can be defined in terms of  $(\times)$  and  $(\uplus)$  directly.

```
\begin{array}{lll} \textbf{lemma} & "pair & = B_{11} & (\times) & \mathcal{Q} & \mathcal{Q}" \\ \textbf{lemma} & "copair & = B_{11} & (\uplus) & \mathcal{D} & \mathcal{D}" \\ \textbf{lemma} & "\langle a,b \rangle & = \{a\} & \times \{b\}" \\ \textbf{lemma} & "\langle a,b \rangle & = \{a\} & \uplus & \{b\}" \end{array}
```

We conveniently extrapolate the definitions of unique/singleton from sets to relations.

**definition** uniqueR::"Set(Rel('a, 'b))" ("unique<sup>2</sup>") — R holds of at most one pair of elements (R may hold of none)

```
where \langle unique^2 R \equiv \forall a \ b \ x \ y. (R \ a \ b \land R \ x \ y) \rightarrow (a = x \land b = y) \rangle definition singletonR::"Set(Rel('a,'b))" ("<math>\exists !^2") — R holds of exactly one pair of elements, i.e. R is a 'singleton relation'
```

```
where \langle \exists !^2 R \equiv \exists x y. R x y \land (\forall a b. R a b \rightarrow (a = x \land b = y)) \rangle
```

declare uniqueR\_def[rel\_defs] singletonR\_def[rel\_defs]

```
lemma uniqueR_def2: "unique<sup>2</sup> = \nexists^2 \cup \exists !^2" lemma singletonR def2: "\exists !^2 = \exists^2 \cap \text{unique}^2"
```

```
lemma pair_singletonR: "\exists !^2 \langlea,b\rangle" lemma singletonR_def3: "\exists !^2 R = (\exists a b. R = \langlea,b\rangle)"
```

### 7.2 Boolean Algebraic Structure

#### 7.2.1 Boolean Operations

As we have seen, relations correspond to indexed (families of) sets. Hence it is not surprising that they inherit their boolean algebraic structure. Moreover, we saw previously how boolean set operations arise via "indexation" of HOL's boolean connectives (via  $\Phi_{m1}$  combinators). The relational boolean operations arise analogously by "double-indexation" of HOL's counterparts (via  $\Phi_{m2}$  combinators), or, equivalently, by "indexation" of the corresponding set counterparts, as shown below.

```
definition univR::"Rel('a,'b)" ("\mathfrak{U}^{r}")
  where "\mathfrak{U}^r \equiv \Phi_{01} \mathfrak{U}" — the universal relation
definition emptyR::"Rel('a,'b)" ("\emptyset"")
  where "\emptyset^r \equiv \Phi_{01} \ \emptyset" — the empty relation
definition complR::"EOp(Rel('a,'b))" ("-"")
  where \leftarrow^r \equiv \Phi_{11} \rightarrow -\text{relation complement}
definition interR::"EOp_2(Rel('a,'b))" (infixl "\cap" 54)
  where "(\cap^r) \equiv \Phi_{21} \cap \Pi" — relation intersection
definition unionR::"EOp_2(Rel('a,'b))" (infixl "\cup" 53)
  where "(\cup^r) \equiv \Phi_{21} (\cup)" — relation union
definition diffR:: "EOp<sub>2</sub>(Rel('a,'b))" (infixl "\" 51)
  where "(\ ^r) \equiv \Phi_{21} \ (\ )" — relation difference
definition implR::"EOp<sub>2</sub>(Rel('a,'b))" (infixr "\Rightarrow" 51)
  where "(\Rightarrow^r) \equiv \Phi_{21} \iff" — relation implication
definition dimplR::"EOp_2(Rel('a,'b))" (infix "\Leftrightarrow^r" 51)
  where "(\Leftrightarrow^r) \equiv \Phi_{21}(\Leftrightarrow)" — relation double-implication
definition sdiffR::"EOp_2(Rel('a,'b))" (infix "\triangle^r" 51)
  where "(\triangle^r) \equiv \Phi_{21}(\triangle)" — relation symmetric difference (aka. xor)
    Convenient notation for reversed implication.
abbreviation(input) 1pmiR::"EOp_2(Rel('a,'b))" (infixl "\Leftarrow^r" 51)
  where "A \Leftarrow^r B \equiv B \Rightarrow^r A"
declare univR_def[rel_defs] emptyR_def[rel_defs]
           complR_def[rel_defs] interR_def[rel_defs] unionR_def[rel_defs]
           implR_def[rel_defs] dimplR_def[rel_defs] diffR_def[rel_defs] sdiffR_def[rel_defs]
    We add a convenient superscript notation, as commonly found in the literature.
notation (input) complR ("(_)-") notation(output) complR ("'(_')-")
    Point-based definitions
lemma "\mathfrak{U}^r = \Phi_{02} \mathcal{T}"
lemma "\mathfrak{U}^r = (\lambda x \ y. \ \mathcal{T})"
lemma "\emptyset" = \Phi_{02} \mathcal{F}"
lemma "\emptyset" = (\lambda x \ y. \ \mathcal{F})"
lemma "-" = \Phi_{12}(\neg)"
lemma "-^rR = (\lambda x \ y. \ \neg R \ x \ y)"
lemma "(\cap^r) = \Phi_{22}(\wedge)"
lemma "R \cap^r T = (\lambda x \ y. \ R \ x \ y \wedge T \ x \ y)"
lemma "(\cup^r) = \Phi_{22}(\vee)"
lemma "R \cup^r T = (\lambda x \ y. \ R \ x \ y \lor T \ x \ y)"
    Product and sum satisfy the corresponding DeMorgan dualities.
lemma prodSum_simp1: "-" (A \times B) = -A \uplus -B"
lemma prodSum_simp2: "-" (A \uplus B) = -A \times -B"
```

lemma prodSum\_simp1': "-"((-A) × (-B)) = A  $\uplus$  B" lemma prodSum simp2': "-"((-A)  $\uplus$  (-B)) = A × B"

Pairs and copairs are related via relation-complement as expected.

```
lemma copair_simp: "-^{r}\langle a,b\rangle = \langle a,b\rangle"
```

#### 7.2.2 Ordering Structure

Similarly, relations also inherit the ordering structure of sets.

Analogously to the notion of "equalizer" of two functions, we have the "orderer" or two relations:

```
definition orderer::"Rel('a,'b) \Rightarrow Rel('a,'b) \Rightarrow Set('a)" (infixr "\sqsubseteq" 51) where "(\sqsubseteq) \equiv \Phi_{21} (\subseteq)"
```

declare orderer\_def[rel\_defs]

lemma "
$$R \sqsubseteq T = (\lambda x. R x \subseteq T x)$$
"

We encode the notion of sub-/super-relation building upon the set counterparts.

```
definition subrel::"ERel(Rel('a,'b))" (infixr "\subseteq" 51) where "(\subseteq" \equiv \Phi_{\forall} \subseteq"
```

declare subrel\_def[rel\_defs]

```
lemma subrel_setdef: "R \subseteq" T = (\forall x. R x \subseteq T x)" lemma "R \subseteq" T = (\forall x y. R x y \rightarrow T x y)" lemma "R \subseteq" T = \forall2 (R \Rightarrow" T)" lemma subrel_def2: "(\subseteq") = \forall \circ2 (\subseteq)" lemma subrel_reldef: "(\subseteq") = \forall2 \circ2 (\Rightarrow")"
```

```
abbreviation(input) superrel::"ERel(Rel('a,'b))" (infixr "\supseteq" 51) where "B \supseteq ^r A \equiv A \subseteq ^r B"
```

The "power-relation" operation corresponds to the (partial) application of superrel.

```
abbreviation(input) powerrel::"Rel('a,'b) \Rightarrow Set(Rel('a,'b))" ("\wp^r") where "\wp^r \equiv (\supseteq^r)"
```

```
lemma "\wp^r A = (\lambda B. B \subseteq^r A)"
```

Alternative characterizations of the sub/super-rel orderings in terms of fixed-points.

```
lemma subrel_defFP: "(\subseteq^r) = FP \circ (\cup^r)" lemma superrel_defFP: "(\supseteq^r) = FP \circ (\cap^r)" lemma "(R \subseteq^r T) = (T = R \cup^r T)" lemma "(T \supseteq^r R) = (R = T \cap^r R)"
```

Sub-relation is antisymmetric

```
\mathbf{lemma\ subrel\_antisym}\colon \ "\mathtt{R}\ \subseteq^{r}\ \mathtt{T}\ \Longrightarrow\ \mathtt{R}\ \supseteq^{r}\ \mathtt{T}\ \Longrightarrow\ \mathtt{R}\ =\ \mathtt{T}"
```

Two relations are said to "overlap" (or "intersect") if their intersection is non-empty

```
definition overlapR::"ERel(Rel('a,'b))" (infix "\sqcap^r" 52) where "(\sqcap^r) \equiv \Phi_\exists (\sqcap)"
```

Dually, two relations form a "cover" if every pair belongs to one or the other.

```
definition coverR::"ERel(Rel('a,'b))" (infix "\sqcup" 53) where "(\sqcup^r) \equiv \Phi_\forall (\sqcup)"
```

```
declare overlapR_def[rel_defs] coverR_def[rel_defs]
```

Convenient notation: Two relations can also be said to be "incompatible" analogously to sets.

```
abbreviation(input) incompatR::"ERel(Rel('a,'b))" (infix "\perp^r" 52) where "(\perp^r) \equiv \nexists^2 \circ_2 (\cap^r)"

lemma coverR_reldef: "(\sqcup^r) = \forall^2 \circ_2 (\cup^r)"
lemma overlapR_reldef: "(\sqcap^r) = \exists^2 \circ_2 (\cap^r)"
lemma "A \sqcup^r B = \forall^2 (A \cup^r B)"
lemma "A \sqcap^r B = \exists^2 (A \cap^r B)"
lemma "A \perp^r B = \nexists^2 (A \cap^r B)"
```

# 7.2.3 Infinitary Operations

We can also generalize union and intersection to the infinitary case.

```
definition biginterR::"EOp_G(Rel('a,'b))" ("\\ \cap "") where "\\ \cap " \equiv \cap \cap \cap \cap \cap \cap (B_{10} \text{ image T})" definition bigunionR::"EOp_G(Rel('a,'b))" ("\\ \cap "") where "\\ \cap " \equiv \cap \cap \cap \cap \cap (B_{10} \text{ image T})"
```

declare biginterR\_def[rel\_defs] bigunionR\_def[rel\_defs]

```
lemma "\bigcap_r S a = \bigcap_r (\lambda R. R a) S" | lemma "\bigcup_r S a = \bigcup_r (\lambda R. R a) S"
```

Alternative definitions in terms of quantifiers directly.

```
lemma biginterR_def2: "\bigcap^r S = (\lambda a \ b. \ \forall R. \ S \ R \rightarrow R \ a \ b)" lemma bigunionR_def2: "\bigcup^r S = (\lambda a \ b. \ \exists R. \ S \ R \land R \ a \ b)"
```

We say of a set of relations that it "overlaps" (or "intersects") if there exists a shared pair.

```
abbreviation(input) bigoverlapR::"Set(Set(Rel('a,'b)))" ("\sqcap^r") where "\sqcap^r \equiv \exists^2 \circ \cap^r"
```

Dually, a set of relations forms a "cover" if every pair is contained in at least one of the relations.

```
abbreviation(input) bigcoverR::"Set(Set(Rel('a, 'b)))" ("\sqsubseteq^r") where "\sqsubseteq^r \equiv \forall^2 \circ \bigcup^r"
lemma "\sqcap^r S = \exists^2 (\cap^r S)"
lemma "\vdash^r S = \forall^2 (\mid^r S)"
```

#### 7.3 Function-like Structure I

We have seen the shared (boolean) algebraic structure between sets and relations. We now explore their shared structure with functions.

We start by noting that, given a relation R of type Rel('a,'b), we refer to the semantic domain of type 'a as R's "source" domain, which is identical to R's domain when seen as a (set-valued) function. Analogously, we refer to the semantic domain for type 'b as R's "target" domain, which is in fact different from its codomain when seen as a (set-valued) function (corresponding to the type 'b  $\Rightarrow$  0).

# 7.3.1 Range and Cylindrification

We define the left- (right-) range of a relation as the set of those objects in the source (target) domain that reach to (are reached by) some element in the target (source) domain.

```
definition leftRange::"Rel('a,'b) \Rightarrow Set('a)" where "leftRange \equiv \exists \circ_2 A" definition rightRange::"Rel('a,'b) \Rightarrow Set('b)" where "rightRange \equiv \exists \circ_2 C"
```

```
lemma "leftRange R a = (\exists x. R a x)"
lemma "rightRange R b = (\exists x. R x b)"
   Dually, the left- (right-) dual-range of a relation is the set of those objects in the source (target)
domain that reach to (are reached by) all elements in the target (source) domain.
\mathbf{definition} \ \ \texttt{leftDualRange::"Rel('a,'b)} \ \Rightarrow \ \ \texttt{Set('a)"}
  where "leftDualRange \equiv \forall \circ_2 A"
definition rightDualRange::"Rel('a, 'b) ⇒ Set('b)"
  where "rightDualRange \equiv \forall \circ_2 C"
lemma "leftDualRange R a = (\forall x. R a x)"
lemma "rightDualRange R b = (\forall x. R x b)"
declare leftRange_def[rel_defs] rightRange_def[rel_defs]
         leftDualRange_def[rel_defs] rightDualRange_def[rel_defs]
   Both pairs of definitions are "dual" wrt. complement.
lemma "rightDualRange R = -(rightRange R^-)"
lemma "leftDualRange R = -(leftRange R^-)"
   For the left we have in fact that ranges are obtained directly by composition with \exists and \forall.
lemma leftRange_def2: "leftRange = B \exists "
lemma leftDualRange_def2: "leftDualRange = B ∀ "
   The operations below perform what is known as "cylindrification" in the literature on relation
algebra.
definition leftCylinder::"Set('b) ⇒ Rel('a,'b)"
  where "leftCylinder \equiv K"
definition rightCylinder::"Set('a) ⇒ Rel('a,'b)"
  where "rightCylinder \equiv B K"
declare leftCylinder_def[rel_defs] rightCylinder_def[rel_defs]
lemma "leftCylinder S = (\lambda a \ b. \ S \ b)"
lemma "rightCylinder S = (\lambda a \ b. \ S \ a)"
   Alternative formulation in terms of cartesian product.
lemma leftCylinder def2: "leftCylinder A = \mathfrak{U} \times A"
lemma rightCylinder_def2: "rightCylinder A = A \times \mathfrak{U}"
   They act inverse to (right and left) range by transforming sets into (left and right-ideal) rela-
tions.
lemma "rightRange (leftCylinder A) = A"
lemma "leftRange (rightCylinder A) = A"
   Also note that:
\operatorname{lemma} "R \subseteq" rightCylinder (leftRange R)"
lemma "R \subseteq^r leftCylinder (rightRange R)"
proposition "rightCylinder (leftRange R) \subseteq R" nitpick — countermodel found
proposition "leftCylinder (rightRange R) \subseteq R" nitpick — countermodel found
   Source and target restrictions (as relation-operations) can be encoded in terms of cylindrifica-
tion.
definition sourceRestriction::"Set('a) \Rightarrow Rel('a,'b) \Rightarrow Rel('a,'b)" ("_\_")
  where "sourceRestriction \equiv B_{11} \ (\cap^r) rightCylinder I"
```

 $\mathbf{definition} \ \ \mathsf{targetRestriction} :: "Set('b) \ \Rightarrow \ \mathsf{Rel}('a,'b) \ \Rightarrow \ \mathsf{Rel}('a,'b)" \ \ ("\_ |\_")$ 

where "targetRestriction  $\equiv$  B<sub>11</sub> ( $\cap$ <sup>r</sup>) leftCylinder I"

```
declare sourceRestriction_def[rel_defs] targetRestriction_def[rel_defs]
```

```
lemma "A \mid R = rightCylinder A \cap^r R" lemma "B \mid R = leftCylinder B \cap^r R" lemma "A \mid R = (\lambda a \ b. \ A \ a \ \wedge R \ a \ b)" lemma "B \mid R = (\lambda a \ b. \ B \ b \ \wedge R \ a \ b)"
```

# 7.3.2 Uniqueness and Determinism

By composition with unique, we obtain the set of deterministic (or "univalent") elements. They get assigned at most one value under the relation (which then behaves deterministically on them)

```
definition deterministic::"Rel('a,'b) \Rightarrow Set('a)" where "deterministic \equiv B unique"
```

Also, by composition with  $\exists !$ , we obtain the set of total(ly) deterministic elements. They get assigned precisely one value under the relation (which then behaves as a function on them)

```
definition totalDeterministic::"Rel('a,'b) \Rightarrow Set('a)" where "totalDeterministic \equiv B \exists!"
```

declare deterministic\_def[rel\_defs] totalDeterministic\_def[rel\_defs]

 ${\tt lemma}$  totalDeterministic\_def2: "totalDeterministic R = deterministic R  $\cap$  leftRange R"

Right- resp. left-unique relations; aka. univalent/(partial-)functional resp. injective relations.

```
definition rightUnique::"Set(Rel('a,'b))" where "rightUnique \equiv \forall \circ \text{deterministic}" definition leftUnique::"Set(Rel('a,'b))" where "leftUnique \equiv \forall \circ \text{deterministic} \circ C"
```

declare rightUnique\_def [rel\_defs] leftUnique\_def [rel\_defs]

Further names for special kinds of relations, also common in the literature.

```
abbreviation(input) "one_to_one R \equiv 1eftUnique R \wedge rightUnique R"— injective and functional abbreviation(input) "one_to_many R \equiv 1eftUnique R \wedge rightUnique R"— injective and not functional abbreviation(input) "many_to_one R \equiv \neg leftUnique R \wedge rightUnique R"— functional and not injective abbreviation(input) "many_to_many R \equiv \neg leftUnique R \wedge rightUnique R"— neither injective nor functional
```

Pairs are both right-unique and left-unique, i.e. one-to-one.

```
lemma "singletonR \subseteq one_to_one" proposition "one_to_one \subseteq singletonR" nitpick — counterexample: e.g. empty relation In fact, any relation can also be generated by its right- resp. left-unique subrelations. lemma rightUnique_gen: "R = \bigcup^r (\wp^r \ R \ \cap \ rightUnique)" — proof by external provers lemma leftUnique_gen: "R = \bigcup^r (\wp^r \ R \ \cap \ leftUnique)" — proof by external provers
```

#### 7.3.3 Totality

Right- resp. left-unique relations; aka. surjective resp. total/serial/multi-functional relations.

```
definition rightTotal::"Set(Rel('a, 'b))"
  where "rightTotal ≡ ∀ ∘ rightRange"
definition leftTotal::"Set(Rel('a, 'b))"
```

```
where "leftTotal \equiv \forall \circ leftRange"
declare rightTotal_def[rel_defs] leftTotal_def[rel_defs]
   A relation that relates each element in its source to precisely one element in its target corre-
sponds to a (total) function. They can also be characterized as being both total and functional
(i.e. left-total and right-unique) relations.
definition totalFunction::"Set(Rel('a, 'b))"
  where "totalFunction \equiv \forall \circ totalDeterministic"
declare totalFunction_def[rel_defs]
lemma total Function def2: "total Function R = (leftTotal R \wedge rightUnique R)"
   The inverse of a function (qua relation) is always left-unique and right-total.
lemma "leftUnique f<sup>-1</sup>"
lemma "rightTotal f^{-1}"
      Transformations between Relations and (Sets of) Functions
7.4.1 From (Sets of) Functions to Relations
A given function can be disguised as a relation.
definition asRel::"('a \Rightarrow 'b) \Rightarrow Rel('a,'b)" ("asRel")
  where "asRel \equiv B \mathcal{Q}"
declare asRel_def[rel_defs]
lemma "asRel f = Q \circ f"
lemma "asRel f = (\lambda a. Q (f a))"
lemma "asRel f = (\lambda a. (\lambda b. Q (f a) b))"
lemma "asRel f = (\lambda a \ b. \ f \ a = b)"
   Alternative characterization:
lemma asRel_def2: "asRel = C o inverse"
lemma "asRel f = C (f^{-1})"
   Relations corresponding to lifted functions are always left-total and right-unique (i.e. functions).
lemma "totalFunction (asRel f)"
   A given set of functions can be transformed (or "aggregated") into a relation.
definition intoRel::"Set('a \Rightarrow 'b) \Rightarrow Rel('a,'b)" ("intoRel")
  where "intoRel \equiv C (image \circ T)"
declare intoRel_def[rel_defs]
lemma "intoRel = (\lambda S \text{ a. } (T \text{ a}) S)"
lemma "intoRel S a = (\lambda f. f a) S"
   Alternative characterization (in terms of relational bigunion):
lemma intoRel_def2: "intoRel = \bigcup^r \circ (image asRel)"
```

#### 7.4.2 From Relations to (Sets of) Functions

A given relation can be disguised as a function (and go unnoticed under certain circumstances).

```
definition asFun::"Rel('a,'b) \Rightarrow ('a \Rightarrow 'b)" ("asFun")
```

```
where "asFun \equiv B \varepsilon"

declare asFun_def[rel_defs]

lemma "asFun R = \varepsilon \circ R"

lemma "asFun R = (\lambda a. \varepsilon (R a))"

lemma "asFun R = (\lambda a. \varepsilon b. R a b)"

lemma asFun_def2: "totalFunction R \implies asFun R = \iota \circ R" — alternative definition for total functions

Transforming (or 'decomposing') a given relation into a set of functions.

definition intoFunSet::"Rel('a, 'b) \Rightarrow Set('a \Rightarrow 'b)" ("intoFunSet")

where "intoFunSet \equiv C ((\subseteq<sup>r</sup>) \circ asRel)"

declare intoFunSet_def[rel_defs]

lemma "intoFunSet R = (\lambda f. \text{ asRel } f \subseteq r R)"

lemma "intoFunSet R = (\lambda f. \text{ asRel } f \subseteq r R)"

Another perspective:

lemma intoFunSet_def2: "intoFunSet R = R is R \in R." I asRel"
```

### 7.4.3 Back-and-Forth Translation Conditions

Disguising a function as a relation, and back as a function, gives back the original function.

```
lemma funRel_trans: "asFun (asRel f) = f"
```

However, disguising a relation as a function, and back as a relation, does not give anything recognizable.

```
proposition "asRel (asFun R) = R" nitpick — countermodel found
```

In case of left-total relations, what we get back is a strict subrelation.

```
lemma relFun_trans1: "leftTotal R \implies \text{asRel (asFun } R) \subseteq^r R"

proposition "leftTotal R \implies R \subseteq^r \text{asRel (asFun } R)" nitpick — countermodel found
```

In case of right-unique relations, what we get back is a strict superrelation.

```
lemma relFun_trans2: "rightUnique R \Longrightarrow R \subseteq" asRel (asFun R)" proposition "rightUnique R \Longrightarrow asRel (asFun R) \subseteq" R" nitpick — countermodel found
```

Indeed, we get back the original relation when it is a total-function.

```
lemma\ relFun\_trans:\ "totalFunction\ R \implies asRel\ (asFun\ R) = R"
```

Transforming a set of functions into a relation, and back to a set of functions, gives a strict superset.

```
lemma funsetRel_trans1: "S \subseteq intoFunSet (intoRel S)" proposition "intoFunSet (intoRel S) \subseteq S" nitpick — countermodel found
```

We get the original set in those cases where it corresponds already to a transformed relation.

```
lemma\ \textit{funsetRel\_trans2:"let}\ \textit{S}\ \texttt{=}\ \textit{intoFunSet}\ \textit{R}\ \textit{in}\ \textit{intoFunSet}\ (\textit{intoRel}\ \textit{S})\ \subseteq\ \textit{S"}
```

Transforming a relation into a set of functions, and back to a relation, gives a strict subrelation.

```
lemma relFunSet_trans1: "intoRel (intoFunSet R) \subseteq R" proposition "R \subseteq intoRel (intoFunSet R)" nitpick — countermodel found
```

In fact, we get the original relation in case it is left-total.

```
lemma leftTotal_auxsimp: "leftTotal R \Longrightarrow R a b = (let f = (asFun R)[a \mapsto b] in (f a = b \land (asRel f) \subseteq^r R))" lemma relFunSet_trans2: "leftTotal R \Longrightarrow R \subseteq^r intoRel (intoFunSet R)" lemma relFunSet_simp: "leftTotal R \Longrightarrow intoRel (intoFunSet R) = R"
```

# 7.5 Transpose and Cotranspose

Relations come with two further idiosyncratic unary operations. The first one is transposition (aka. "converse" or "reverse"), which naturally arises by seeing relations as binary operations (with boolean codomain), and corresponds to the C combinator. The second one, which we call "cotransposition", corresponds to the transpose/converse of the complement (which is in fact identical to the complement of the transpose).

```
definition transpose::"Rel('a,'b) \Rightarrow Rel('b,'a)" ("\smile") where "\smile \equiv C" definition cotranspose::"Rel('a,'b) \Rightarrow Rel('b,'a)" ("\sim") where "\sim \equiv -^r \circ C"
```

declare transpose\_def[rel\_defs] cotranspose\_def[rel\_defs]

Most of the time we will employ the following superscript notation (analogously to complement).

```
notation(input) transpose ("(_)^{\sim}") and cotranspose ("(_)^{\sim}") notation(output) transpose ("'(_')^{\sim}") and cotranspose ("'(_')^{\sim}")
```

```
lemma "R^{\sim} = R^{-\sim}"
lemma transpose_involutive: "R^{\sim} = R"
```

lemma transpose\_involutive: " $R^{\sim} = R$ " lemma cotranspose\_involutive: " $R^{\sim} = R$ " lemma complement\_involutive: " $R^{--} = R$ "

Clearly, (co)transposition (co)distributes over union and intersection.

```
lemma "(R \cup^r T)^{\smile} = (R^{\smile}) \cup^r (T^{\smile})"
lemma "(R \cap^r T)^{\smile} = (R^{\smile}) \cap^r (T^{\smile})"
lemma "(R \cup^r T)^{\sim} = (R^{\sim}) \cap^r (T^{\sim})"
lemma "(R \cap^r T)^{\sim} = (R^{\sim}) \cup^r (T^{\sim})"
```

The inverse of a function corresponds to its converse when seen as a relation.

```
lemma \langle f^{-1} = (asRel f)^{\smile} \rangle
```

lemma " $R^{\sim} = R^{\smile -}$ "

Relational "lifting" commutes with transpose.

```
lemma relLiftEx_trans: "\Phi_\exists (R\smile) = (\Phi_\exists R)\smile" lemma relLiftAll_trans: "\Phi_\forall (R\smile) = (\Phi_\forall R)\smile"
```

And "dually commutes" with co-transpose.

```
lemma relLiftEx_cotrans: "\Phi_\exists (R^\sim) = (\Phi_\forall R)^\sim" lemma relLiftAll_cotrans: "\Phi_\forall (R^\sim) = (\Phi_\exists R)^\sim"
```

Using transpose, we can encode a convenient notion of "interpolants" (wrt. two relations) as the set of elements that "bridge" between two given points (belonging each to one of the relations), as follows.

```
definition interpolants :: "Rel('a,'c) \Rightarrow Rel('c,'b) \Rightarrow 'a \Rightarrow 'b \Rightarrow Set('c)" where "interpolants \equiv B<sub>22</sub> (\cap) A \smile"
```

And, since we are at it, we add a convenient dual notion.

```
definition dualInterpolants :: "Rel('a,'c) \Rightarrow Rel('c,'b) \Rightarrow 'a \Rightarrow 'b \Rightarrow Set('c)" where "dualInterpolants \equiv B<sub>22</sub> (U) A \smile"
```

```
declare interpolants_def[rel_defs] dualInterpolants_def[rel_defs]
```

```
lemma "interpolants R_1 R_2 a b = R_1 a \cap R_2 \supset b" lemma "dualInterpolants R_1 R_2 a b = R_1 a \cup R_2 \supset b" lemma "interpolants R_1 R_2 a b = (\lambda c. R_1 a c \wedge R_2 c b)" lemma "dualInterpolants R_1 R_2 a b = (\lambda c. R_1 a c \vee R_2 c b)"
```

#### 7.6 Structure Preservation and Reflection

The function f preserves the relational structure of R into T.

```
abbreviation(input) preserving::"ERel('a) \Rightarrow ERel('b) \Rightarrow Set(Op('a,'b))" ("_,_-preserving") where "R,T-preserving f \equiv \forall X Y. R X Y \rightarrow T (f X) (f Y)"
```

The function f reflects the relational structure of T into R.

```
abbreviation(input) reflecting::"ERel('a) \Rightarrow ERel('b) \Rightarrow Set(Op('a,'b))" ("_,_-reflecting") where "R,T-reflecting f \equiv \forall X \ Y. R X \ Y \leftarrow T \ (f \ X) \ (f \ Y)"
```

This generalizes the notion of order-embedding to (endo)relations in general.

```
abbreviation(input) embedding::"ERel('a) \Rightarrow ERel('b) \Rightarrow Set(Op('a,'b))" ("_,_-embedding") where "R,T-embedding f \equiv \forall X Y. R X Y = T (f X) (f Y)"
```

Clearly, a function is an embedding iff it is both preserving and reflecting.

```
lemma "R,T-embedding f = (R,T-preserving f \land R,T-reflecting f)"
```

An endofunction f is said to be monotonic resp. anti(mono)tonic wrt an endorelation R when it is R-preserving resp. R-reversing

```
definition monotonic::"ERel('a) \Rightarrow Set(EOp('a))" ("_-MONO") where "R-MONO \equiv R,R-preserving" definition antitonic::"ERel('a) \Rightarrow Set(EOp('a))" ("_-ANTI") where "R-ANTI \equiv R,R^{\sim}-preserving"
```

declare monotonic\_def[rel\_defs] antitonic\_def[rel\_defs]

```
lemma "R-MONO f = (\forall A \ B. \ R \ A \ B \longrightarrow R \ (f \ A) \ (f \ B))" lemma "R-ANTI f = (\forall A \ B. \ R \ A \ B \longrightarrow R \ (f \ B) \ (f \ A))" lemma "(\subseteq^r)-MONO f = (\forall A \ B. \ A \ \subseteq^r \ B \longrightarrow f \ A \ \subseteq^r f \ B)" lemma "(\subseteq^r)-ANTI f = (\forall A \ B. \ A \ \subseteq^r \ B \longrightarrow f \ B \ \subseteq^r f \ A)"
```

Monotonic endofunctions are called "closure/interior operators" when they satisfy particular properties.

```
definition closure ("_-CLOSURE") where "R-CLOSURE \varphi \equiv R-MONO \varphi \land R-EXPN \varphi \land R-wCNTR \varphi" definition interior ("_-INTERIOR") where "R-INTERIOR \varphi \equiv R-MONO \varphi \land R-CNTR \varphi \land R-wEXPN \varphi" declare closure_def[rel_defs] interior_def[rel_defs] lemma closure setprop: "(\subset)-CLOSURE f = (\forall A B. (A \subset f B) \leftrightarrow (f A \subset f B))"
```

#### 7.7 Function-like Structure II

# 7.7.1 Monoidal Structure (composition and its dual)

In analogy to functions, relations can also be composed, as follows:

```
definition relComp::"Rel('a,'b) \Rightarrow Rel('b,'c) \Rightarrow Rel('a,'c)" (infixr ";" 55) where "(;") = B<sub>22</sub> (\sqcap) A \smile "
```

Again, we can in fact define an operator that acts as a "dual" to relation-composition:

```
definition relDualComp::"Rel('c,'a) \Rightarrow Rel('a,'b) \Rightarrow Rel('c,'b)" (infixr "†" 55) where "(†") \equiv B<sub>22</sub> (\sqcup) A \smile"
```

declare relDualComp\_def[rel\_defs] relComp\_def[rel\_defs]

We introduce convenient "flipped" notations for (dual-)composition (analogous to those for functions).

```
abbreviation(input) relComp_t::"Rel('b,'c) \Rightarrow Rel('a,'b) \Rightarrow Rel('a,'c)" (infixl "o" 55) where "R o" T \equiv T;" R" abbreviation(input) relDualComp_t::"Rel('c,'b) \Rightarrow Rel('a,'c) \Rightarrow Rel('a,'b)" (infixl "·" 55) where "R ·" T \equiv T †" R"
```

Unsurprisingly, (relational) composition and dual-composition are dual wrt. (relational) complement.

```
lemma relCompDuality1: "R \cdot" T = ((R^-) \circ^r (T^-))^-" lemma relCompDuality2: "R \circ" T = ((R^-) \cdot^r (T^-))^-"
```

Moreover, relation (dual)composition and (dis)equality satisfy the monoid conditions

Transpose acts as an "antihomomorphism" wrt. composition as well as its dual.

```
lemma relComp_antihom: "(R \circ^r T)^{\smile} = ((T^{\smile}) \circ^r (R^{\smile}))" lemma relCompDual antihom: "(R \cdot^r T)^{\smile} = ((T^{\smile}) \cdot^r (R^{\smile}))"
```

In a similar spirit, we have:

```
lemma "(R \circ^r T)^{\sim} = ((T^{\sim}) \cdot^r (R^{\sim}))" lemma "(R \cdot^r T)^{\sim} = ((T^{\sim}) \circ^r (R^{\sim}))"
```

#### 7.7.2 Residuals

Introduce residuals (on the left resp. right) wrt. composition taken as  $(;^r)$ .

```
definition residualOnRight::"Rel('c,'a) \Rightarrow Rel('c,'b) \Rightarrow Rel('a,'b)" (infix "\triangleright" 99) where "R \triangleright" S \equiv (R^{\sim}) \uparrow" S" definition residualOnLeft::"Rel('a,'c) \Rightarrow Rel('b,'c) \Rightarrow Rel('a,'b)" (infix "\preccurlyeq" 99) where "R \preccurlyeq" S \equiv R \uparrow" (S^{\sim})"
```

declare residualOnRight\_def[rel\_defs] residualOnLeft\_def[rel\_defs]

Residuals can alternatively be defined using converse and complement.

```
lemma residualOnRight_def2: "R \triangleright^r S = ((R^{\smile}); " (S^-))^-"
```

```
lemma residualOnLeft_def2: "R \triangleleft^r S = ((R^-); r (S^{\smile}))^{-}"
```

We verify that they work as residuals wrt.  $(;^r)$  in the expected way.

```
lemma residual_simp1: "(R; ^r S \subseteq T) = (S \subseteq R \triangleright T)" lemma residual simp2: "(R; ^r S \subseteq T) = (R \subseteq T \in T)"
```

Introduce some convenient reversed notation for the corresponding residuals wrt.  $(\circ^r)$ .

```
abbreviation(input) residualOnRight_t (infix "\lhd" 99) where "R \lhd^r S \equiv S \rhd^r R" abbreviation(input) residualOnLeft_t (infix "\rhd^r" 99) where "R \rhd^r S \equiv S \vartriangleleft^r R"
```

Check alternative characterization.

```
lemma "R \Rightarrow^r S = ((R^{\smile}) \circ^r (S^{-}))^{-}"
lemma "R \triangleleft^r S = ((R^{-}) \circ^r (S^{\smile}))^{-}"
```

Verify that they work as residuals wrt.  $(\circ^r)$  in the expected way.

```
lemma "(R \circ^r S \subseteq^r T) = (S \subseteq^r R \Rightarrow^r T)" lemma "(R \circ^r S \subseteq^r T) = (R \subseteq^r T \triangleleft^r S)"
```

#### 7.7.3 Ideal Elements

A related property of relations is that of (generating a) left- resp. right ideal.

```
definition leftIdeal::"Set(Rel('a,'b))" where "leftIdeal \equiv FP ((;") \mathfrak{U}^r)" definition rightIdeal::"Set(Rel('a,'b))" where "rightIdeal \equiv FP ((o") \mathfrak{U}^r)" declare leftIdeal_def[rel_defs] rightIdeal_def[rel_defs]
```

```
lemma "leftIdeal R = (R = \mathfrak{U}^r ; ^r R)" lemma "rightIdeal R = (R = R ; ^r \mathfrak{U}^r)"
```

An alternative, equivalent definition also common in the literature (e.g. on semirings).

```
lemma leftIdeal_def2: "leftIdeal R = (\forall T. R \circ^r T \subseteq^r R)" lemma rightIdeal_def2: "rightIdeal R = (\forall T. R ;^r T \subseteq^r R)"
```

In fact, the left/right-cylindrification operations discussed previously return left/right-ideal (generating) relations. Moreover, all left/right-ideal relations can be generated this way.

```
lemma "rightIdeal = range rightCylinder"
lemma "leftIdeal = range leftCylinder"
```

#### 7.7.4 Kernel of a Relation

The kernel of a relation relates those elements in its source domain that are related to some same value (i.e. whose images overlap).

```
definition relKernel::"Rel('a,'b) \Rightarrow ERel('a)" where "relKernel \equiv \Psi_2 (\sqcap)" declare relKernel_def[rel_defs] lemma "relKernel R = (\lambdax y. R x \sqcap R y)"
```

The notion of kernel for relations corresponds to (and generalizes) the functional counterpart.

```
lemma "relKernel (asRel f) = kernel f" lemma "totalFunction R \implies kernel (asFun R) = relKernel R"
```

# 7.7.5 Pullback and Equalizer of a Pair of Relations

The pullback (aka. fiber product) of two relations R and T (sharing the same target), relates those pairs of elements that get assigned some same value by R and T respectively.

```
definition relPullback :: "Rel('a,'c) \Rightarrow Rel('b,'c) \Rightarrow Rel('a,'b)" where "relPullback \equiv B<sub>11</sub> (\sqcap)"
```

declare relPullback\_def[rel\_defs]

```
lemma "relPullback R T = (\lambda x \ y. \ R \ x \ \sqcap \ T \ y)"
```

Pullback can be said to be "symmetric" in the following sense.

The notion of pullback for relations corresponds to (and generalizes) the functional counterpart.

```
\begin{array}{ll} lemma \ "relPullback \ (asRel \ f) \ (asRel \ g) \ = \ pullback \ f \ g" \\ lemma \ "totalFunction \ R \implies totalFunction \ T \implies pullback \ (asFun \ R) \ (asFun \ T) \ = \ relPullback \ R \ T" \end{array}
```

Converse and kernel of a relation can be easily stated in terms of relation-pullback.

```
lemma "C = relPullback Q"
lemma "relKernel = W relPullback"
```

The equalizer of two relations R and T (sharing the same source and target) is the set of elements x in their (common) source that are related to some same value (i.e. R x and T x intersect).

```
definition relEqualizer :: "Rel('a,'b) \Rightarrow Rel('a,'b) \Rightarrow Set('a)" where "relEqualizer \equiv \Phi_{21} (\sqcap)"
```

declare relEqualizer\_def[rel\_defs]

```
lemma "relEqualizer R T = (\lambda x. R x \sqcap T x)"
```

In fact, the equalizer of two relations can be stated in terms of their pullback.

```
lemma "relEqualizer = W \circ_2 relPullback"
```

Note that we can swap the roles of "points" and "functions" in the above definitions using permutators.

```
lemma "R relEqualizer x = (\lambda R \ T. \ R \ x \ \sqcap \ T \ x)" lemma "C<sub>2</sub> relPullback x y = (\lambda R \ T. \ R \ x \ \sqcap \ T \ y)"
```

The notion of equalizer for relations corresponds to (and generalizes) the functional counterpart.

```
\begin{array}{ll} \text{lemma "relEqualizer (asRel f) (asRel g) = equalizer f g"} \\ \text{lemma "totalFunction R} \implies \text{totalFunction T} \implies \text{equalizer (asFun R) (asFun T) = relEqualizer R T"} \end{array}
```

# 7.7.6 Pushout and Coequalizer of a Pair of Relations

The pushout (aka. fiber coproduct) of two relations R and T (sharing the same source), relates pairs of elements (in their targets) whose preimages under R resp. T intersect.

```
abbreviation relPushout :: "Rel('a,'b) \Rightarrow Rel('a,'c) \Rightarrow Rel('b,'c)" where "relPushout R T \equiv relPullback R^{\smile} T^{\smile}"
```

```
lemma "relPushout R T = (\lambda x \ y. \ R^{\smile} \ x \ \sqcap \ T^{\smile} \ y)"
```

The notion of pushout for relations corresponds to (and generalizes) the functional counterpart.

```
lemma "relPushout (asRel f) (asRel g) = pushout f g" lemma "totalFunction R \Longrightarrow totalFunction T \Longrightarrow pushout (asFun R) (asFun T) = relPushout R T"
```

The coequalizer of two relations R and T (sharing the same source and target) is the set of elements in their (common) target whose preimage under R resp. T intersect.

```
abbreviation relCoequalizer :: "Rel('a,'b) \Rightarrow Rel('a,'b) \Rightarrow Set('b)" where "relCoequalizer R T \equiv relEqualizer R \supset T \supset "
```

```
lemma "relCoequalizer R T = (\lambda x. R \lor x \sqcap T \lor x)"
```

The coequalizer of two relations can be stated in terms of pushout.

```
lemma "relCoequalizer = W \circ_2 relPushout"
```

The notion of coequalizer for relations corresponds to (and generalizes) the functional counterpart.

```
 \begin{array}{ll} \textbf{lemma "relCoequalizer (asRel f) (asRel g) = coequalizer f g"} \\ \textbf{lemma "totalFunction $R$} \implies \textbf{totalFunction $T$} \implies \textbf{coequalizer (asFun R) (asFun T) = relCoequalizer R T"} \\ \end{array}
```

#### 7.7.7 Diagonal Elements

The notion of diagonal (aka. reflexive) elements of an endorelation is the relational counterpart to the notion of fixed-points of an endofunction. It corresponds to the W combinator.

```
abbreviation(input) diagonal::"ERel('a) \Rightarrow Set('a)" ("\Delta") where "\Delta \equiv W" lemma "\Delta R x = R x x" lemma "\Delta (asRel f) = FP f" lemma "totalFunction R \implies FP (asFun R) = \Delta R"
```

Analogously, the notion of anti-diagonal (aka. irreflexive) elements of an endorelation (notation:  $\Delta^-$ ) is the relational counterpart to the notion of non-fixed-points of an endofunction.

```
lemma "\Delta^- = -^r \Delta"
lemma "\Delta^- = \Delta \circ -^r"
lemma "\Delta^- R x = (\neg R x x)"
lemma "\Delta^- R = -(\Delta R)"
lemma "\Delta^- R = -(\Delta R)"
lemma "\Delta^- R = -(\Delta R)"
lemma "totalFunction R \implies nFP (asFun R) = \Delta^- R"
```

### 7.8 Relation-based Set-Operations

We can extend the definitions of the (pre)image set-operator from functions to relations together with their "dual" counterparts.

```
definition rightImage::"Rel('a,'b) \Rightarrow SetOp('a,'b)" where "rightImage \equiv C (B<sub>20</sub> (\sqcap) C)" definition leftImage::"Rel('a,'b) \Rightarrow SetOp('b,'a)" where "leftImage \equiv C (B<sub>20</sub> (\sqcap) A)" definition rightDualImage::"Rel('a,'b) \Rightarrow SetOp('a,'b)"
```

```
where "rightDualImage \equiv C (B<sub>20</sub> (\subseteq) C)"
definition leftDualImage::"Rel('a,'b) ⇒ SetOp('b,'a)"
    where "leftDualImage \equiv C (B<sub>20</sub> (\subseteq) A)"
declare rightImage_def[rel_defs] leftImage_def[rel_defs] rightDualImage_def[rel_defs] leftDualImage_
notation(input) rightImage ("_-rightImage") and leftImage ("_-leftImage") and
                                  rightDualImage ("_-rightDualImage") and leftDualImage ("_-leftDualImage")
lemma "R-rightImage A = (\lambda b. R^{\smile} b \sqcap A)"
lemma "R-leftImage B = (\lambda a. R a \sqcap B)"
lemma "R-rightDualImage A = (\lambda b. R^{\smile} b \subseteq A)"
lemma "R-leftDualImage B = (\lambda a. R a \subseteq B)"
lemma "R-rightImage A b = (\exists a. R a b \land A a)"
lemma "R-leftImage B a = (\exists b. R a b \land B b)"
lemma "R-rightDualImage A b = (\forall a. R a b \rightarrow A a)"
lemma "R-leftDualImage B a = (\forall b. R a b \rightarrow B b)"
       Convenient characterizations in terms of big-union and big-intersection.
lemma rightImage_def2: "rightImage = \bigcup \circ_2 image"
lemma leftImage_def2: "leftImage = \bigcup \circ_2 (image \circ \smile)"
lemma rightDualImage_def2: "rightDualImage = \bigcap \circ_2 (B<sub>11</sub> image -^r -)"
lemma leftDualImage_def2: "leftDualImage = \bigcap \circ_2 (B<sub>11</sub> image \sim -)"
lemma "R-rightImage A = \bigcup (R \ A)"
lemma "R-leftImage B = \bigcup (R \subset B)"
\mathbf{lemma} \  \, "R-\mathit{rightDualImage} \  \, \mathit{A} \  \, = \  \, \bigcap \left( R^{-} \  \, -\mathit{A} \, \right) "
lemma "R-leftDualImage B = \bigcap (R^{\sim} -B)"
       As expected, relational right- resp. left-image correspond to functional image resp. preimage.
lemma "rightImage (asRel f) = image f"
lemma "leftImage (asRel f) = preimage f"
lemma "totalFunction R \Longrightarrow image (asFun R) = rightImage R"
\operatorname{lemma} "totalFunction R \Longrightarrow preimage (asFun R) = \operatorname{leftImage} R"
       Clearly, each direction (right/left) uniquely determines the other (its transpose).
lemma rightImage_defT: "R-rightImage = R -leftImage"
lemma leftImage_defT: "R-leftImage = R~-rightImage"
\mathbf{lemma} \ \mathit{rightDualImage\_defT:} \ "R-\mathit{rightDualImage} = R^{\smile} - \mathsf{leftDualImage}"
lemma leftDualImage_defT: "R-leftDualImage = R~-rightDualImage"
       Following operators (aka. "polarities") are inspired by (and generalize) the notion of upper/lower
bounds of a set wrt. an ordering. They are defined here for relations in general.
definition rightBound::"Rel('a,'b) ⇒ SetOp('a,'b)"
    where "rightBound \equiv C (B<sub>20</sub> (\supseteq) C)"
definition leftBound::"Rel('a,'b) ⇒ SetOp('b,'a)"
    where "leftBound \equiv C (B<sub>20</sub> (\supseteq) A)"
definition rightDualBound::"Rel('a,'b) ⇒ SetOp('a,'b)"
    where "rightDualBound \equiv C (B_{20} (\Psi_2 (\sqcap) -) C)"
definition leftDualBound::"Rel('a,'b) ⇒ SetOp('b, 'a)"
    where "leftDualBound \equiv C (B_{20} (\Psi_2 (\sqcap) -) A)"
\mathbf{declare} \ \mathit{rightBound\_def[rel\_defs]} \ \mathit{leftBound\_def[rel\_defs]} \ \mathit{rightDualBound\_def[rel\_defs]} \ \mathit{leftDualBound\_def[rel\_defs]} \ \mathit{leftDualBound\_def[rel\_
notation(input) rightBound ("_-rightBound") and leftBound ("_-leftBound") and
                                  rightDualBound ("_-rightDualBound") and leftDualBound ("_-leftDualBound")
```

```
lemma "R-rightBound A = (\lambda b. A \subseteq R^{\smile} b)"
lemma "R-leftBound B = (\lambda a. B \subseteq R a)"
lemma "R-rightDualBound A = (\lambda b. - (R^{\smile} b) \sqcap -A)"
lemma "R-leftDualBound B = (\lambda a. - (R \ a) \ \sqcap \ -B)"
lemma "R-rightBound A = (\lambda b. \ \forall a. \ A \ a \rightarrow R \ a \ b)"
lemma "R-leftBound B = (\lambda a. \forall b. B b \rightarrow R a b)"
lemma "R-rightDualBound A = (\lambda b. \exists a. \neg R \ a \ b \land \neg A \ a)"
lemma "R-leftDualBound B = (\lambda a. \exists b. \neg R \ a \ b \land \neg B \ b)"
    Alternative (more insightful?) definitions for dual-bounds.
lemma rightDualBound_def': "rightDualBound = -^r \circ (C (B_{20} (\sqcup) C))" lemma leftDualBound_def': "leftDualBound = -^r \circ (C (B_{20} (\sqcup) A))"
lemma "R-rightDualBound A = -(\lambda b. R^{\smile} b \sqcup A)"
lemma "R-leftDualBound B = -(\lambda a. R a \sqcup B)"
    Convenient characterizations in terms of big-union and big-intersection.
lemma rightBound_def2: "rightBound = \bigcap \circ_2 image"
lemma leftBound_def2: "leftBound = \bigcap \circ_2 (image \circ \smile)"
lemma rightDualBound_def2: "rightDualBound = \bigcup \circ_2 (B<sub>11</sub> image -^r -)"
lemma\ leftDualBound\_def2:\ "leftDualBound = [] \circ_2 (B_{11}\ image\ \sim\ -)"
lemma "R-rightBound A = \bigcap (R \ A)"
lemma "R-leftBound B = \bigcap (R \subset B)"
\mathbf{lemma} \ "R-rightDualBound \ A \ = \ \bigcup \ (\![R^- \ -A]\!] \ "
lemma "R-leftDualBound B = \bigcup (R^{\sim} -B)"
    Some particular properties of right and left bounds.
lemma right_dual_hom: "R-rightBound(\bigcup S) = \bigcap (R-rightBound S)"
                             "R-leftBound(\bigcup S) = \bigcap (R-leftBound S)"
lemma left_dual_hom:
    Note, however:
proposition "R-rightBound(\bigcap S) = \bigcup (R-rightBound S)" nitpick — countermodel found
proposition "R-leftBound(\bigcap S) = \bigcup (R-leftBound S)" nitpick — countermodel found
    We have, rather:
lemma "R-rightBound(\bigcap S) \supseteq \bigcup (R-rightBound S)"
lemma "R-leftBound(\bigcap S) \supseteq \bigcup (R-leftBound S)"
    Clearly, each direction (right/left) uniquely determines the other (its transpose).
lemma rightBound_defT: "R-rightBound = R~-leftBound"
lemma leftBound_defT: "R-leftBound = R -rightBound"
lemma rightBoundDual_defT: "R-rightDualBound = R~-leftDualBound"
lemma leftBoundDual_defT: "R-leftDualBound = R~-rightDualBound"
    In fact, there exists a particular "relational duality" between images and bounds, as follows:
lemma rightImage_dualR: "R-rightImage = (R--rightBound)-"
lemma leftImage_dualR: "R-leftImage = (R--leftBound)-"
lemma rightDualImage_dualR: "R-rightDualImage = (R--rightDualBound)-"
lemma leftDualImage_dualR: "R-leftDualImage = (R--leftDualBound)"
lemma \ \textit{rightBound\_dualR: "R-rightBound = (R^--rightImage)^-"}
lemma leftBound_dualR: "R-leftBound = (R--leftImage)"
\mathbf{lemma} \  \, \mathit{rightDualBound\_dualR:} \  \, "R-\mathit{rightDualBound} \, = \, (R^-\mathit{-rightDualImage})^- \, "
lemma leftDualBound_dualR: "R-leftDualBound = (R--leftDualImage)-"
```

Finally, ranges can be expressed in terms of images and bounds.

```
lemma leftRange_simp: "leftImage R \mathfrak U = leftRange R" lemma rightRange_simp: "rightImage R \mathfrak U = rightRange R" lemma leftDualRange_simp: "leftBound R \mathfrak U = leftDualRange R" lemma rightDualRange_simp: "rightBound R \mathfrak U = rightDualRange R" declare leftRange_simp[rel_simps] rightRange_simp[rel_simps] leftDualRange_simp[rel_simps] rightDualRange_simp[rel_simps]
```

# 7.9 Type-lifting and Monads

#### 7.9.1 Set Monad

We can conceive of types of form Set('a), i.e.  $'a \Rightarrow o$ , as arising via an "environmentalization" (or "indexation") of the boolean type o by the type 'a (i.e. as an instance of the environment monad discussed previously). Furthermore, we can adopt an alternative perspective and consider a constructor that returns the type of boolean "valuations" (or "classifiers") for objects of type 'a. This type constructor comes with a monad structure too (and is also an applicative and a functor).

```
abbreviation(input) unit_set::"'a ⇒ Set('a)"
  where "unit_set \equiv \mathcal{Q}"
abbreviation(input) fmap_set::"('a \Rightarrow 'b) \Rightarrow Set('a) \Rightarrow Set('b)"
  where "fmap_set ≡ image"
abbreviation(input) join_set::"Set(Set('a)) ⇒ Set('a)"
  where "join set \equiv \lfloor \rfloor"
abbreviation(input) ap_set::"Set('a \Rightarrow 'b) \Rightarrow Set('a) \Rightarrow Set('b)"
  where "ap_set ≡ rightImage ∘ intoRel"
abbreviation(input) \ rbind_set::"('a \Rightarrow Set('b)) \Rightarrow Set('a) \Rightarrow Set('b)"
  where "rbind_set ≡ rightImage" — reversed bind
   We define the customary bind operation as "flipped" rbind (which seems more intuitive).
abbreviation bind_set::"Set('a) ⇒ ('a ⇒ Set('b)) ⇒ Set('b)"
  where "bind_set \equiv C rbind_set"
   Some properties of monads in general.
lemma "rbind_set = join_set ○2 fmap_set"
lemma "join_set = rbind_set I"
   Some properties of this particular monad.
lemma "ap_set = \bigcup_{r=1}^{r} \circ (image image)"
   Verifies compliance with the monad laws.
lemma "monadLaw1 unit set bind set"
lemma "monadLaw2 unit_set bind_set"
```

# 7.9.2 Relation Monad

lemma "monadLaw3 bind\_set"

In fact, the Rel('a, 'b) type constructor also comes with a monad structure, which can be seen as a kind of "monad composition" of the environment monad with the set monad.

```
abbreviation(input) unit_rel::"'a \Rightarrow Rel('b, 'a)" where "unit_rel \equiv K \circ Q" abbreviation(input) fmap_rel::"('a \Rightarrow 'b) \Rightarrow Rel('c, 'a) \Rightarrow Rel('c, 'b)" where "fmap_rel \equiv B \circ image" abbreviation(input) join_rel::"Rel('c, Rel('c, 'a)) \Rightarrow Rel('c, 'a)" where "join_rel \equiv W \circ (B \bigcup<sup>r</sup>)" abbreviation(input) ap_rel::"Rel('c, 'a \Rightarrow 'b) \Rightarrow Rel('c, 'a) \Rightarrow Rel('c, 'b)" where "ap_rel \equiv \Phi_{21} (rightImage \circ intoRel)"
```

```
abbreviation(input) rbind_rel::"('a \Rightarrow Rel('c,'b)) \Rightarrow Rel('c,'a) \Rightarrow Rel('c,'b)"
  where "rbind_rel \equiv (\Phi_{21} rightImage) \circ C" — reversed bind
   Again, we define the bind operation as "flipped" rbind
abbreviation bind_rel::"Rel('c,'a) \Rightarrow ('a \Rightarrow Rel('c,'b)) \Rightarrow Rel('c,'b)"
  where "bind rel \equiv C rbind rel"
   Some properties of monads in general.
lemma "rbind_rel = join_rel ∘2 fmap_rel"
lemma "join_rel = rbind_rel I"
   Note that for the relation monad we have:
lemma "unit rel = B unit env unit set"
lemma "fmap_rel = B fmap_env fmap_set"
lemma "ap_rel = \Phi_{21} ap_set"
lemma "rbind_rel = B (C B C) \Phi_{21} rbind_set"
   Finally, verify compliance with the monad laws.
lemma "monadLaw1 unit_rel bind_rel"
lemma "monadLaw2 unit_rel bind_rel"
lemma "monadLaw3 bind_rel"
end
```

# 8 Endorelations

Endorelations are particular cases of relations where the relata have the same type.

```
theory endorelations
imports relations
begin
```

named\_theorems endorel\_defs

#### 8.1 Intervals and Powers

#### 8.1.1 Intervals

We now conveniently encode a notion of "interval" (wrt given relation R) as the set of elements that lie between or "interpolate" a given pair of points (seen as "boundaries").

```
definition interval::"ERel('a) \Rightarrow 'a \Rightarrow 'a \Rightarrow Set('a)" ("_-interval") where "interval \equiv W interpolants"

And also introduce a convenient dual notion.

definition dualInterval::"ERel('a) \Rightarrow 'a \Rightarrow 'a \Rightarrow Set('a)" ("_-dualInterval") where "dualInterval \equiv W dualInterpolants"

declare interval_def[endorel_defs] dualInterval_def[endorel_defs]

lemma "R-interval a b = (\lambda c. R \ a \ c \land R \ c \ b)"

lemma "R-dualInterval a b = (\lambda c. R \ a \ c \land R \ c \ b)"
```

#### **8.1.2** Powers

The set of all powers (via iterated composition) for a given endore lation can be defined in two ways, depending whether we want to include the "zero-power" (i.e.  $R^0 = Q$ ) or not.

```
definition relPower::"ERel(ERel('a))" where "relPower \equiv \Phi_{21} indSet_1 \mathcal Q (\circ^r)"
```

```
definition relPower0::"ERel(ERel('a))"
   where "relPower0 \equiv B (indSet<sub>1</sub> (Q Q)) (o<sup>r</sup>)"
declare relPower_def[endorel_defs] relPower0_def[endorel_defs]
lemma "relPower R = indSet<sub>1</sub> {R} ((\circ^r) R)"
lemma relPower def2: "relPower R T = (\forall S. (\forall H. S H \rightarrow S (R \circ^r H)) \rightarrow S R \rightarrow S T)"
lemma "relPower0 R = indSet<sub>1</sub> \{Q\} ((\circ^r) R)"
\textbf{lemma relPower0\_def2: "relPower0 R T = (} \forall S. \ (\forall \textit{H. S H} \rightarrow \textit{S (R} \ \circ^r \ \textit{H)}) \ \rightarrow \textit{S Q} \rightarrow \textit{S T)"}
    Definitions work as intended:
proposition "relPower R Q" nitpick — countermodel found
lemma "relPower R R"
lemma "relPower R (R \circ^r R)"
lemma "relPower R (R \circ^r R \circ^r R \circ^r R \circ^r R \circ^r R \circ^r R \circ^r R)"
lemma "relPower0 R Q"
lemma "relPower0 R R"
lemma "relPower0 R (R \circ^r R)"
lemma "relPowerO R (R \circ^r R \circ^r R \circ^r R \circ^r R \circ^r R \circ^r R \circ^r R)"
\operatorname{lemma} relPower_ind: "relPower R T \Longrightarrow relPower R (R \circ^r T)"
lemma relPower0_ind: "relPower0 R T \Longrightarrow relPower0 R (R \circ^r T)"
```

# 8.2 Properties and Operations

# 8.2.1 Reflexivity and Irreflexivity

lemma "reflexiveClosure  $R = (R \cup^r Q)$ "

Relations are called reflexive (aka. diagonal) resp. irreflexive (aka. antidiagonal) when they are larger than identity/equality resp. smaller than difference/disequality.

```
definition reflexive::"Set(ERel('a))"
  where \langle reflexive \equiv (\subseteq^r) Q \rangle
definition irreflexive:: "Set(ERel('a))"
  where \langle irreflexive \equiv (\supseteq^r) \mathcal{D} \rangle
declare reflexive_def[endorel_defs] irreflexive_def[endorel_defs]
lemma \langle reflexive R = Q \subseteq^r R \rangle
lemma <irreflexive R = R \subseteq^r \mathcal{D}>
    Both properties are "complementary" in the expected way.
                                  "reflexive R = irreflexive R"
lemma reflexive compl:
lemma irreflexive_compl: "irreflexive R^- = reflexive R^-
    An alternative pair of definitions.
lemma reflexive_def2:
                                 "reflexive = \forall \circ \Delta"
lemma irreflexive def2: "irreflexive = \# \circ \Delta"
lemma "reflexive R = (\forall a. R a a)"
lemma "irreflexive R = (\forall a. \neg R \ a \ a)"
    We can naturally obtain a reflexive resp. irreflexive relations via the following operators.
definition reflexiveClosure::"ERel('a) ⇒ ERel('a)"
  where "reflexiveClosure
                                  \equiv (\cup^r) \mathcal{Q}''
definition irreflexiveInterior::"ERel('a) ⇒ ERel('a)"
  where "irreflexiveInterior \equiv (\cap<sup>r</sup>) \mathcal{D}"
declare reflexiveClosure_def[endorel_defs] irreflexiveInterior_def[endorel_defs]
```

```
lemma "irreflexiveInterior R = (R \cap^r \mathcal{D})"
```

The operators reflexive closure and irreflexive interior are duals wrt. relation-complement.

```
lemma "irreflexiveInterior (R^-) = (reflexiveClosure R)-" lemma "reflexiveClosure (R^-) = (irreflexiveInterior R)-"
```

All reflexive resp. irreflexive relations arise via their corresponding closure resp. interior operator.

```
lemma reflexive_def3: "reflexive = range reflexiveClosure"
lemma irreflexive_def3: "irreflexive = range irreflexiveInterior"
```

We now check that these unary relation-operators are indeed closure resp. interior operators.

```
\begin{array}{l} \mathbf{lemma} \ \ \checkmark(\subseteq^r)\text{-}\mathit{CLOSURE} \ \mathit{reflexiveClosure} \\ \mathbf{lemma} \ \ \checkmark(\subseteq^r)\text{-}\mathit{INTERIOR} \ \mathit{irreflexiveInterior} \\ \end{array}
```

Thus, reflexive resp. irreflexive relations are the fixed points of the corresponding operators.

```
lemma reflexive_def4: <reflexive = FP reflexiveClosure>
lemma irreflexive_def4: <irreflexive = FP irreflexiveInterior>
```

The smallest reflexive super-relation resp. largest irreflexive subrelation.

```
lemma "reflexiveClosure R = \bigcap^r (\lambda T. R \subseteq^r T \land reflexive T)" — proof by external provers lemma "irreflexiveInterior R = \bigcup^r (\lambda T. T \subseteq^r R \land irreflexive T)" — proof by external provers
```

#### 8.2.2 Strong-identity, Weak-difference, and Tests

We call relations strong-identities (aka. coreflexive, "tests") resp. weak-differences when they are smaller than identity/equality resp. larger than difference/disequality.

```
definition strongIdentity::"Set(ERel('a))" where "strongIdentity \equiv (\supseteq^r) Q" definition weakDifference::"Set(ERel('a))" where "weakDifference \equiv (\subseteq^r) \mathcal{D}"
```

declare strongIdentity\_def[endorel\_defs] weakDifference\_def[endorel\_defs]

```
\begin{array}{ll} \mathbf{lemma} & \langle \mathbf{strongIdentity} & \mathbf{R} = \mathbf{R} \subseteq^r \mathbf{Q} \rangle \\ \mathbf{lemma} & \langle \mathbf{weakDifference} \ \mathbf{R} = \mathbf{D} \subseteq^r \mathbf{R} \rangle \end{array}
```

Elements in strong-identities are only related to themselves (may be related to none).

```
lemma strongIdentity_def2: "strongIdentity R = (\forall a. R a \subseteq \{a\})"
```

Elements in weak-differences are related to (at least) everyone else (may be also related to themselves).

```
lemma weakDifference_def2: "weakDifference R = (\forall a. \{a\} \subseteq R \ a)"
```

They are "weaker" than identity resp. difference since they may feature anti-diagonal resp. diagonal elements.

```
proposition "strongIdentity R \land \neg R a a" nitpick[satisfy] — satisfying model found proposition "weakDifference R \land R a a" nitpick[satisfy] — satisfying model found
```

We can naturally obtain strong-identities resp. weak-differences via the following operators.

```
definition strongIdentityInterior::"ERel('a) \Rightarrow ERel('a)" ("(_)!") where "strongIdentityInterior \equiv (\cap^r) \mathcal{Q}" definition weakDifferenceClosure::"ERel('a) \Rightarrow ERel('a)" ("(_)?") where "weakDifferenceClosure \equiv (\cup^r) \mathcal{D}"
```

 $\mathbf{declare} \ \ \mathsf{weakDifferenceClosure\_def[endorel\_defs]} \ \ \mathsf{strongIdentityInterior\_def[endorel\_defs]}$ 

```
lemma "strongIdentityInterior R = (R \cap^r Q)"
```

```
lemma "weakDifferenceClosure R = (R \cup^r \mathcal{D})"
```

The notions of strong-identity-interior and weak-difference-closure are duals wrt. relation-complement.

```
lemma "R^{-?} = R^{!-}"
lemma "R^{-!} = R^{?-}"
```

All strong-identity resp. weak-difference relations arise via their corresponding interior resp. closure operator.

```
lemma strongIdentity_def3: "strongIdentity = range strongIdentityInterior"
lemma weakDifference_def3: "weakDifference = range weakDifferenceClosure"
```

We now check that these unary relation-operators are indeed closure resp. interior operators.

```
\begin{array}{l} \mathbf{lemma} \  \, < (\subseteq^r) \text{-} \mathit{INTERIOR} \  \, \mathit{strongIdentityInterior} \\ \mathbf{lemma} \  \, < (\subseteq^r) \text{-} \mathit{CLOSURE} \  \, \mathit{weakDifferenceClosure} \\ \end{array}
```

Thus, strong-identity resp. weak-difference relations are the fixed points of the corresponding operators.

The largest strong-identity sub-relation resp. smallest weak-difference super-relation.

```
lemma "R^! = \bigcup^r (\lambda T. \ T \subseteq^r R \land strongIdentity T)" — proof by external provers lemma "R^? = \bigcap^r (\lambda T. \ R \subseteq^r T \land weakDifference T)" — proof by external provers
```

A convenient way of disguising sets as endorelations (cf. dynamic logics and program algebras).

```
definition test::"Set('a) ⇒ ERel('a)"
  where "test ≡ strongIdentityInterior ∘ K"
  definition dualtest::"Set('a) ⇒ ERel('a)"
  where "dualtest ≡ weakDifferenceClosure ∘ K"
```

declare test\_def[endorel\_defs] dualtest\_def[endorel\_defs]

```
lemma test_def2: "test = strongIdentityInterior \circ (W (\times))" lemma "test A = (A \times A)!" lemma "test A = Q \cap^r (A \times A)"
```

```
lemma dualtest_def2: "dualtest = weakDifferenceClosure \circ (W (\times))" lemma "dualtest A = (A \times A)?" lemma "dualtest A = \mathcal{D} \cup^r (A \times A)"
```

```
lemma test_def3: "test = strongIdentityInterior o leftCylinder"
lemma dualtest_def3: "dualtest = weakDifferenceClosure o leftCylinder"
```

```
lemma test_def4: "test = strongIdentityInterior o rightCylinder" lemma dualtest def4: "dualtest = weakDifferenceClosure o rightCylinder"
```

Both are duals wrt relation/set complement, as expected.

```
lemma test_dual1: "(test A)^- = dualtest (-A)" lemma test_dual2: "(dualtest A)^- = test (-A)"
```

Both test resp. dual-test act as (full) inverses of diagonal (assuming strong-identity resp. weak-difference)

```
lemma "\Delta (test A) = A" lemma "\Delta (dualtest A) = A" lemma "strongIdentity A \Longrightarrow test (\Delta A) = A" lemma "weakDifference A \Longrightarrow dualtest (\Delta A) = A"
```

In fact, all strong-identities resp. weak-differences arise via the test resp- dual-test operators (applied to some set).

```
lemma strongIdentity_def5: "strongIdentity = range test"
lemma weakDifference_def5: "weakDifference = range dualtest"
```

#### 8.2.3 Seriality and Quasireflexivity

Following usual practice, we shal call "serial" those endorelations that are left-total.

```
abbreviation(input) serial::"Set(ERel('a))" where "serial = leftTotal"
```

The following "weakening" of reflexivity does not imply seriality (i.e. left-totality).

```
definition quasireflexive::"Set(ERel('a))" where "quasireflexive \equiv leftRange \sqsubseteq \Delta"
```

declare quasireflexive\_def[endorel\_defs]

```
lemma "quasireflexive R = leftRange R \subseteq \Delta R" lemma "quasireflexive R = (\forall x. \exists (R x) \rightarrow R x x)"
```

We have in fact that:

```
lemma reflexive_def5: "reflexive R = (serial R \land quasireflexive R)"
```

The quasireflexive closure of a relation: elements related to someone else become related to themselves.

```
definition quasireflexiveClosure::"ERel('a) \Rightarrow ERel('a)"
where "quasireflexiveClosure \equiv W ((\cup^r) \circ ((\cap^r) Q) \circ ((\times) U) \circ leftRange)"
```

The serial extension of a relation: elements not related to anyone else become related to themselves.

```
definition serialExtension::"ERel('a) \Rightarrow ERel('a)"

where "serialExtension \equiv W ((\cup^r) \circ ((\cap^r) Q) \circ ((\times) \mathfrak{U}) \circ — \circ leftRange)"

declare serialExtension_def[endorel_defs] quasireflexiveClosure_def[endorel_defs]

lemma "quasireflexiveClosure R = (R \cup^r (Q \cap^r (\mathfrak{U} \times (leftRange R))))"

lemma "serialExtension R = (R \cup^r (Q \cap^r (\mathfrak{U} \times —(leftRange R))))"
```

```
lemma "serial (serialExtension R)" lemma "quasireflexive (quasireflexiveClosure R)"
```

# 8.2.4 Symmetry, Connectedness, and co.

We introduce two ways of "symmetrizing" a given relation R: The symmetric interior and closure operations. The intuition is that the symmetric interior/closure of R intersects/merges R with its converse, thus generating R's largest/smallest symmetric sub/super-relation.

```
definition symmetricInterior::"ERel('a) \Rightarrow ERel('a)"
where "symmetricInterior \equiv S (\cap^r) \smile" — aka. symmetric part of R
definition symmetricClosure::"ERel('a) \Rightarrow ERel('a)"
where "symmetricClosure \equiv S (\cup^r) \smile"

declare symmetricInterior_def[endorel_defs] symmetricClosure_def[endorel_defs]
lemma "symmetricInterior R = R \cap^r (R^\sim)"
lemma "symmetricClosure R = R \cup^r (R^\sim)"
```

```
lemma "symmetricInterior R = (\lambda x \ y. \ R \ x \ y \ \land \ R \ y \ x)"
lemma "symmetricClosure R = (\lambda x \ y. \ R \ x \ y \ \lor \ R \ y \ x)"
lemma symmetricInterior_def2: "symmetricInterior = W o interval"
lemma symmetricClosure_def2: "symmetricClosure = W ∘ dualInterval"
lemma "symmetricInterior R a = (\lambda x. R-interval \ a \ a \ x)"
lemma "symmetricClosure R a = (\lambda x. R-dualInterval a a x)"
    The notions of symmetric closure and symmetric interior are duals wrt. relation-complement.
lemma "symmetricInterior (R^-) = (symmetricClosure R)^-"
lemma "symmetricClosure (R-) = (symmetricInterior R)-"
    The properties of (ir)reflexivity and co(ir)reflexivity are preserved by symmetric interior and
closure.
lemma reflexive_si: <reflexive R = reflexive (symmetricInterior R)>
lemma weakDifference_si: <weakDifference R = weakDifference (symmetricInterior R)>
lemma strongIdentity_sc: <strongIdentity R = strongIdentity (symmetricClosure R)>
lemma irreflexive_sc: <irreflexive R = irreflexive (symmetricClosure R)>
    A relation is symmetric when it is a fixed-point of the symmetric interior or closure.
definition symmetric:: "Set(ERel('a))"
  where ⟨symmetric ≡ FP symmetricInterior⟩
lemma symmetric_defT: "symmetric = FP symmetricClosure"
declare symmetric_def[endorel_defs]
lemma symmetric_def2: \langle \text{symmetric} = S (\subseteq^r) \rangle
lemma symmetric_defT2: \langle symmetric = S (\supseteq^r) \rangle
lemma symmetric_reldef: \langle \text{symmetric } R = R \subseteq^r R \rangle
lemma symmetric_reldefT: \langle \text{symmetric } R = R \subset \subseteq^r R \rangle
lemma \langle symmetric R = (\forall a b. R a b \rightarrow R b a) \rangle
lemma "symmetricInterior R = \bigcup^r (\lambda T. T \subseteq^r R \land \text{symmetric } T)" — proof by external provers
lemma "symmetricClosure R = \bigcap^r (\lambda T. R \subseteq^r T \land symmetric T)" — proof by external provers
lemma "symmetric R^- = symmetric R^+
    All symmetric relations arise via their interior or closure operator.
lemma symmetric_def3: "symmetric = range symmetricInterior"
lemma symmetric_defT3: "symmetric = range symmetricClosure"
    The following operation takes a relation R and returns its "strict" part, which is always an
asymmetric sub-relation (though not a maximal one in general).
definition asymmetricContraction::"ERel('a) \Rightarrow ERel('a)" ("(_)#")
  where "asymmetricContraction \equiv S (\cap^r) \sim"
    Analogously, this extends a relation R towards a connected super-relation (not minimal in
general).
definition connectedExpansion::"ERel('a) \Rightarrow ERel('a)" ("(_)^{\flat}")
  where "connectedExpansion \equiv S (\cup^r) \sim"
declare asymmetricContraction_def[endorel_defs] connectedExpansion_def[endorel_defs]
lemma "R^\# = R \cap^r (R^\sim)"
lemma "R^{\#} = (\lambda a \ b. \ R \ a \ b \ \wedge \ \neg R \ b \ a)"
```

```
lemma "R^{\flat} = R \cup^r (R^{\sim})"
lemma "R^{\flat} = (\lambdaa b. R a b \vee \neg R b a)"
definition asymmetric::"Set(ERel('a))"
  where "asymmetric \equiv FP asymmetricContraction"
definition connected:: "Set(ERel('a))"
  where <connected ≡ FP connectedExpansion> — aka. "linear" or "total" in order theory
declare asymmetric_def[endorel_defs] connected_def[endorel_defs]
lemma asymmetric_def2: \langle asymmetric = S (\subseteq^r) \rangle \sim \langle asymmetric = S (\subseteq^r) \rangle
lemma asymmetric_reldef: <asymmetric R = R \subseteq^r R^{\sim}
lemma "asymmetric R = (\forall a \ b. \ R \ a \ b \ \rightarrow \ \neg R \ b \ a)"
\mathbf{lemma} \  \, \mathbf{connected\_def2} \colon \quad \langle \mathbf{connected} = \  \, \mathbf{S} \  \, (\supseteq^r) \, \sim \rangle
lemma connected_reldef: \langle connected R = R^{\sim} \subseteq^r R \rangle
lemma \langle connected R = (\forall a b. \neg R b a \rightarrow R a b) \rangle
lemma "connected R" = asymmetric R"
lemma "asymmetric R^- = connected R^-
    Connectedness resp. asymmetry entail reflexivity resp. irreflexivity.
\mathbf{lemma} \text{ "connected } \mathbf{R} \implies \mathbf{reflexive} \ \mathbf{R"}
lemma "asymmetric R \implies irreflexive R"
lemma connected_def3: "connected R = \forall^2 (symmetricClosure R)"
lemma asymmetric_def3: "asymmetric R = \frac{1}{2} (symmetricInterior R)"
    All asymmetric resp. connected relations arise via their corresponding interior resp. closure
operator.
lemma asymmetric_def4: "asymmetric = range asymmetricContraction"
lemma connected def4: "connected = range connectedExpansion"
    An alternative (more intuitive?) definition of connectedness.
\mathbf{lemma} \  \, \mathbf{connected\_def5} \colon \, \langle \mathbf{connected} = \mathbf{S} \  \, (\sqcup^r) \  \, \smile \rangle
lemma connected_reldef5: \langle connected R = R \sqcup^r R \rangle
lemma \langle connected R = (\forall a b. R b a \lor R a b) \rangle
    The asymmetric-contraction and connected-expansion operators are duals wrt. relation-complement.
lemma "R^{\flat}" = R^{-\#}"
lemma "R^{\#-} = R^{-\flat}"
8.2.5
         Antisymmetry, Semiconnectedness, and co.
definition antisymmetric::"Set(ERel('a))"
  where "antisymmetric \equiv strongIdentity \circ symmetricInterior"
definition semiconnected::"Set(ERel('a))"
  where "semiconnected ≡ weakDifference ∘ symmetricClosure"
declare antisymmetric_def[endorel_defs] semiconnected_def[endorel_defs]
lemma <antisymmetric R = strongIdentity (symmetricInterior R)>
\mathbf{lemma} \ \ \texttt{`antisymmetric R = symmetricInterior R} \ \subseteq^r \ \mathcal{Q} \gt
lemma antisymmetric_reldef: <antisymmetric R = R \cap^r (R^{\smile}) \subseteq^r Q
lemma <semiconnected R = weakDifference (symmetricClosure R)>
```

```
lemma \langle semiconnected\ R = \mathcal{D} \subseteq^r symmetricClosure\ R \rangle
lemma semiconnected\_reldef: "semiconnected\ R = \mathcal{D} \subseteq^r R \cup^r (R^{\smile})" lemma "semiconnected\ R = (\forall a\ b.\ a \neq b \rightarrow R\ a\ b \lor R\ b\ a)"
```

A relation is antisymmetric/semiconnected iff its complement is semiconnected/antisymmetric.

```
\begin{array}{lll} lemma & antisymmetric\_defN: & "antisymmetric R = semiconnected R^-" \\ lemma & semiconnected\_defN: & "semiconnected R = antisymmetric R^-" \\ \end{array}
```

lemma asymmetric\_def5: "asymmetric R = (irreflexive R ∧ antisymmetric R)"

A relation is called (co)skeletal when its symmetric interior (closure) is the (dis)equality relation, inspired by category theory where categories are skeletal when isomorphic objects are identical.

```
definition skeletal::"Set(ERel('a))"
   where \langle skeletal \equiv (Q \ Q) \circ symmetricInterior \rangle
   definition coskeletal::"Set(ERel('a))"
   where \langle coskeletal \equiv (Q \ D) \circ symmetricClosure \rangle

declare skeletal\_def[endorel\_defs] coskeletal\_def[endorel\_defs]

lemma "skeletal\_R = (Q = symmetricInterior\ R)"

lemma "coskeletal\ R = (D = symmetricClosure\ R)"

lemma "skeletal\ R = coskeletal\ R^-"

lemma "coskeletal\ R = skeletal\ R^-"

Alternative definitions in terms of other relational properties.
```

```
lemma skeletal_def2: "skeletal R = (antisymmetric R \land reflexive R)" lemma coskeletal_def2: "coskeletal R = (semiconnected R \land irreflexive R)"
```

### 8.2.6 Transitivity, Denseness, Quasitransitivity, and co.

Every pair of elements x and y that can be connected by an element z in between are (un)related.

```
definition transitive::"Set(ERel('a))" where <transitive \equiv S (\supseteq^r) (W (\circ^r))> definition antitransitive::"Set(ERel('a))" where <antitransitive \equiv \Phi_{21} (\supseteq^r) -^r (W (\circ^r))> declare transitive_def[endorel_defs] antitransitive_def[endorel_defs] lemma transitive_reldef: <transitive R = (R \circ^r R) \subseteq^r R> lemma antitransitive_reldef: <antitransitive R = (R \circ^r R) \subseteq^r R> Alternative convenient definitions. lemma transitive_def2: <transitive R = (\forall a b c. R a c \land R c b \rightarrow R a b)> lemma antitransitive def2: <antitransitive R = (\forall a b c. R a c \land R c b \rightarrow R a b)>
```

Relationship between antitransitivity and irreflexivity.

```
lemma "antitransitive R \implies irreflexive R" lemma "leftUnique R \lor rightUnique R \implies antitransitive R = irreflexive R"
```

Every pair of (un)related elements x and y can be connected by an element z in between.

```
definition dense::"Set(ERel('a))" where \langle dense \equiv S \ (\subseteq^r) \ (W \ (\circ^r)) \rangle definition pseudoClique::"Set(ERel('a))" — i.e. a graph with diameter 2 (where cliques have diameter 1)
```

```
where \langle pseudoClique \equiv \Phi_{21} \ (\subseteq^r) \ -^r \ (\mathbb{W} \ (\circ^r)) \rangle
declare dense_def[endorel_defs] pseudoClique_def[endorel_defs]
lemma dense reldef: \langle dense R = R \subset^r (R \circ^r R) \rangle
lemma pseudoClique reldef: \langle pseudoClique R = R^- \subseteq^r (R \circ^r R) \rangle
    The above properties are preserved by transposition:
lemma transitive_defT: "transitive R = transitive (R^{\smile})"
lemma antitransitive_defT: "antitransitive R = antitransitive (R^{\sim})"
lemma quasiDense defT: "dense R = dense (R ⊂ )"
lemma quasiClique_defT: "pseudoClique R = pseudoClique (R )"
    The above properties can be stated for the complemented relations in an analogous fashion.
lemma transitive_compl_reldef: <transitive R^- = R \subseteq^r (R \cdot^r R)>
lemma dense_compl_reldef: \langle dense R^- = (R \cdot^r R) \subseteq^r R \rangle
\mathbf{lemma} \ \mathbf{antitransitive\_compl\_reldef:} \ \ \ \ \ \ \ \ \ \ \mathsf{R}^- \ = \ \mathsf{R}^- \subseteq^r \ (\mathsf{R} \ \boldsymbol{\cdot}^r \ \mathsf{R}) > 
lemma pseudoClique_compl_reldef: \langle pseudoClique R^- = (R \cdot ^r R) \subseteq ^r R^- \rangle
    We can provide alternative definitions for the above relational properties in terms of intervals.
lemma <transitive R
                                = (\forall a b. \exists (R-interval a b) \rightarrow R a b)
\mathbf{lemma} <antitransitive \mathbf{R} = (\forall a b. \exists (\mathbf{R}-interval a b) \rightarrow \mathbf{R}^- a b)>
lemma \langle dense R = (\forall a b. R a b \rightarrow \exists (R-interval a b)) \rangle
lemma \langle pseudoClique R = (\forall a b. R^- a b \rightarrow \exists (R-interval a b)) \rangle
    The following notions are often discussed in the literature (applied to strict relations/orderings).
abbreviation(input) < quasiTransitive \equiv transitive \circ asymmetricContraction>
abbreviation(input) <quasiAntitransitive ≡ antitransitive ∘ asymmetricContraction>
lemma \langle quasiTransitive R = (\forall a b. \exists (R^\#-interval a b) \rightarrow R^\# a b) \rangle
lemma \forallquasiAntitransitive R = (\forall a \ b. \ \exists (R^\#\text{-interval a } b) \rightarrow R^{\#^-} \ a \ b) \Rightarrow
    The "quasi" variants are weaker than their counterparts.
lemma "transitive R \Longrightarrow quasiTransitive R"
\operatorname{lemma} "antitransitive \mathtt{R} \Longrightarrow \operatorname{quasiAntitransitive} \mathtt{R}"
    However, both variants coincide under the right conditions.
lemma "antisymmetric R \Longrightarrow quasiTransitive R = transitive R"
lemma "asymmetric R \Longrightarrow quasiAntitransitive R = antitransitive R"
lemma\ quasiTransitive\_defT: "quasiTransitive R = quasiTransitive (R^{\sim})"
lemma\ quasiAntitransitive\_defT:\ "quasiAntitransitive\ R\ =\ quasiAntitransitive\ (R^{\smile})"
lemma quasitransitive_defN: "quasiTransitive R = quasiTransitive (R-)"
lemma\ quasiintransitive\_defN: "quasiAntitransitive R = quasiAntitransitive (R<sup>-</sup>)"
    Symmetry entails both quasi-transitivity and quasi-antitransitivity.
lemma "symmetric R \implies quasiTransitive R"
\mathbf{lemma} \ \texttt{"symmetric} \ \texttt{R} \implies \mathbf{quasiAntitransitive} \ \texttt{R"}
    The property of transitivity is closed under arbitrary infima (i.e. it is a "closure system").
lemma "\bigcap^r-closed_G transitive"
    Natural ways to obtain transitive relations resp. preorders.
definition transitiveClosure::"ERel('a) ⇒ ERel('a)" ("_+")
  where "transitiveClosure \equiv \bigcup^r \circ relPower"
definition preorderClosure::"ERel('a) ⇒ ERel('a)" ("_*") — aka. reflexive-transitive closure
```

```
where "preorderClosure \equiv \bigcup^r \circ relPower0"
declare transitiveClosure_def [endorel_defs] preorderClosure_def [endorel_defs]
lemma "R^+ = \bigcup^r (relPower R)"
lemma "R^* = \bigcup_{r=1}^{r} (relPower0 R)"
lemma transitiveClosure_char: "R<sup>+</sup> = \bigcap_{i=1}^{r} (\lambda T_i) transitive T_i \wedge R_i \subseteq T_i" — proof by external provers
lemma "R^* = reflexiveClosure (R^+)" — proof by external provers
8.2.7 Euclideanness and co.
The relational properties of left-/right- euclideanness.
definition \langle rightEuclidean \equiv S (\supseteq^r) (S (\circ^r) \smile) \rangle
definition <leftEuclidean \equiv S \ (\supseteq^r) \ (\Sigma \ (\circ^r) \ \smile)
lemma rightEuclidean_reldef: "rightEuclidean R = R \circ^r (R^{\smile}) \subseteq^r R"
\mathbf{lemma} \ \ \mathbf{leftEuclidean\_reldef:} \quad \  \  \mathbf{''leftEuclidean} \quad \mathbf{R} \ = \ (\mathbf{R}^{\smile}) \ \circ^r \ \mathbf{R} \ \subseteq^r \ \mathbf{R''}
declare rightEuclidean_def[endorel_defs] leftEuclidean_def[endorel_defs]
lemma "rightEuclidean R = (\forall a \ b. \ (\exists c. \ R \ c \ a \ \land \ R \ c \ b) \rightarrow R \ a \ b)"
lemma "leftEuclidean\ R = (\forall a\ b.\ (\exists\ c.\ R\ a\ c\ \land\ R\ b\ c) \to R\ a\ b)"
lemma "leftEuclidean R = rightEuclidean R~"
\operatorname{lemma} "symmetric R \Longrightarrow rightEuclidean R = leftEuclidean R"
     Alternative convenient definitions.
\mathbf{lemma} \ \mathit{rightEuclidean\_def2:} \ \langle \mathit{rightEuclidean} \ \mathit{R} \ = \ (\forall \ \mathsf{a} \ \mathsf{b} \ c. \ \mathit{R} \ \mathsf{c} \ \mathsf{a} \ \land \ \mathit{R} \ \mathsf{c} \ \mathsf{b} \ \rightarrow \ \mathit{R} \ \mathsf{a} \ \mathsf{b}) \rangle
lemma leftEuclidean def2: <leftEuclidean R = (\forall a \ b \ c. \ R \ a \ c \land R \ b \ c \rightarrow R \ a \ b)>
     Some interrelationships.
lemma "leftEuclidean R \Longrightarrow quasiTransitive R"
\operatorname{lemma} "rightEuclidean R \Longrightarrow quasiTransitive R"
\operatorname{lemma} "connected R \Longrightarrow rightEuclidean R \Longrightarrow transitive R"
lemma \ \texttt{"connected} \ \texttt{R} \implies \texttt{leftEuclidean} \ \texttt{R} \implies \texttt{transitive} \ \texttt{R"}
\operatorname{lemma} "symmetric R \Longrightarrow leftEuclidean R = transitive R"
lemma "symmetric R \implies rightEuclidean R = transitive R"
lemma "reflexive R \Longrightarrow rightEuclidean R \Longrightarrow transitive R"
\operatorname{lemma} "reflexive R \Longrightarrow \operatorname{leftEuclidean} R \Longrightarrow \operatorname{transitive} R"
\operatorname{lemma} "leftEuclidean R \Longrightarrow leftUnique R = antisymmetric R"
lemma "rightEuclidean R \Longrightarrow rightUnique R = antisymmetric R"
         Equivalence, Equality and co.
8.2.8
Equivalence relations are their own kernels (when seen as set-valued functions).
definition "equivalence ≡ FP kernel"
lemma equivalence_reldef: "equivalence R = (R = R=)"
declare equivalence_def[endorel_defs]
lemma "equivalence R = (\forall a \ b. \ R \ a \ b = (R \ a = R \ b))"
```

Alternative, traditional characterization in terms of other relational properties.

```
lemma\ equivalence\_char:\ "equivalence\ R = (reflexive\ R\ \land\ transitive\ R\ \land\ symmetric\ R)"
```

In fact, equality Q is an equivalence relation (which means that Q is identical to its own kernel). lemma "equivalence Q"

This gives a kind of recursive definition of equality (of which we can make a simplification rule). lemma eq kernel simp: " $Q^{=} = Q$ "

Equality has other alternative definitions. We can also make simplification rules out of them: The intersection of all reflexive relations.

```
lemma eq_refl_simp: "\bigcap^r reflexive = Q^{=}"
```

Leibniz principle of identity of indiscernibles.

```
lemma eq_leibniz_simp1: "(\lambdaa b. \forall P. P a \leftrightarrow P b) = Q^{=}" — symmetric version lemma eq_leibniz_simp2: "(\lambdaa b. \forall P. P a \rightarrow P b) = Q^{=}" — simplified version
```

By extensionality, the above equation can be written as follows.

```
lemma eq_filt_simp1: "(\lambda a \ b. (\lambda k. k. a) \subseteq (\lambda c. c. b)) = \mathcal{Q}^{=}"
```

Equality also corresponds to identity of generated principal filters.

```
lemma eq_filt_simp2: "(\lambda a b. (\lambda k::Set(Set('a)). k a) = (\lambda c. c b)) = Q="
```

Or, in terms of combinators

```
lemma eq_filt_simp3: "(T::'a \Rightarrow Set(Set('a)))^{=} = Q^{=}"
```

Finally, note that:

```
lemma "(\forall y:: 'a \Rightarrow o. y a = y b) \Longrightarrow (\forall y:: 'a \Rightarrow 'b. y a = y b)" — external provers find a proof proposition "(\forall y:: 'a \Rightarrow 'b. y a = y b) \Longrightarrow (\forall y:: 'a \Rightarrow o. y a = y b)" nitpick — counterexample found
```

# 8.2.9 Orderings

```
definition "preorder R \equiv \text{reflexive } R \land \text{transitive } R'' definition "partial_order R \equiv \text{preorder } R \land \text{antisymmetric } R'' declare preorder_def [endorel_defs] partial_order_def [endorel_defs] lemma preorder_def2: "preorder R = (\forall a \ b. \ R \ a \ b = (\forall x. \ R \ b \ x \rightarrow R \ a \ x))" lemma partial_order_def2: "partial_order R = (\text{skeletal } R \land \text{transitive } R)" lemma reflexive_symm: "reflexive R'' = \text{reflexive } R'' lemma antisymmetric_symm: "antisymmetric R'' = \text{antisymmetric } R'' lemma skeletal_symm: "skeletal R'' = \text{skeletal } R'' lemma preorder_symm: "preorder R'' = \text{preorder } R'' lemma partial_order_symm: "partial_order R'' = \text{partial_order } R''
```

The subset and subrelation relations are partial orders.

```
lemma subset_partial_order: "partial_order (\subseteq)" lemma subrel_partial_order: "partial_order (\subseteq)"
```

Functional-power is a preorder.

lemma funPower preorder: "preorder funPower" — proof by external provers

Relational-power is a preorder

```
lemma relPower_preorder: "preorder relPower"
lemma relPower0_preorder: "preorder relPower0"
```

However, relational-power is not antisymmetric (and thus not partially ordered), because we have:

```
proposition "R = T \circ^r T \Longrightarrow T = R \circ^r R \Longrightarrow R = T" nitpick [card 'a=3] — countermodel found
```

# 8.3 Endorelation-based Set-Operations

When talking about endorelations (orderings in particular) it is customary to employ the expressions "up" and "down" instead of "right" and "left" respectively. Similarly, we use expressions like "maximal/greatest" and "minimal/least" to mean "rightmost" and "leftmost" respectively.

We conveniently introduce the following alternative names for left resp. right bounds/images

```
notation(input) leftBound ("lowerBound") and leftBound ("_-lowerBound")
    and rightBound ("upperBound") and rightBound ("_-upperBound")
    and leftImage ("downImage") and leftImage ("_-downImage")
    and rightImage ("upImage") and rightImage ("_-upImage")
```

# 8.3.1 Least and Greatest Elements

The set of least (leftmost) resp. greatest (rightmost) elements of a set wrt. an endorelation.

```
definition least::"ERel('a) \Rightarrow SetEOp('a)"
where <least \equiv (S (\cap)) \circ lowerBound>
definition greatest::"ERel('a) \Rightarrow SetEOp('a)"
where <greatest \equiv (S (\cap)) \circ upperBound>
notation(input) least ("_-least") and greatest ("_-greatest")
lemma "R-least A = (\lambdam. A m \wedge (\forall x. A x \rightarrow R m x))"
lemma "R-greatest A = (\lambdam. A m \wedge (\forall x. A x \rightarrow R x m))"
declare least_def[endorel_defs] greatest_def[endorel_defs]
lemma greatest_defT: <R-greatest = R^{\sim}-least>
lemma least_defT: <R-least = R^{\sim}-greatest>
```

#### 8.3.2 Maximal and Minimal Elements

The set of minimal (resp. maximal) elements of a set A wrt. a relation R.

```
definition min::"ERel('a) \Rightarrow SetEOp('a)"

where \langle min \equiv least \circ connectedExpansion \rangle

definition max::"ERel('a) \Rightarrow SetEOp('a)"

where \langle max \equiv greatest \circ connectedExpansion \rangle

notation(input) min ("\_-min") and max ("\_-max")

lemma "R-min A = (\lambda m. A m \wedge (\forall x. A x \rightarrow R^{\flat} m x))"
```

```
lemma "R-max A = (\lambda m. \ A \ m \ \land \ (\forall x. \ A \ x \rightarrow R^{\flat} \ x \ m))"
lemma \langle R\text{-min} = (\lambda A. \ \lambda m. \ A \ m \ \land \ (\forall x. \ A \ x \rightarrow R \ x \ m \rightarrow R \ m \ x)) \rangle
lemma \langle R\text{-max} = (\lambda A. \ \lambda m. \ A \ m \ \land \ (\forall x. \ A \ x \rightarrow R \ m \ x \rightarrow R \ m \ x)) \rangle
declare \min_{} \det[\text{endorel\_defs}] \ \max_{} \det[\text{endorel\_defs}]
lemma \max_{} \det[\text{endorel\_defs}] \ \max_{} \det[\text{endorel\_defs}]
lemma \min_{} \det[\text{endorel\_min} > \text{endorel}]
Minimal and \max_{} \det[\text{endorel\_min} > \text{endorel}]
lemma "R-least A \subseteq R\text{-min} \ A"
lemma "R-greatest A \subseteq R\text{-min} \ A"
```

# 8.3.3 Least Upper- and Greatest Lower-Bounds

```
The (set of) least upper-bound(s) and greatest lower-bound(s) for a given set. definition lub::"ERel('a) \Rightarrow SetEOp('a)" where "lub \equiv \Phi_{21} B least upperBound" definition glb::"ERel('a) \Rightarrow SetEOp('a)" where "glb \equiv \Phi_{21} B greatest lowerBound"
```

```
notation(input) lub ("\_-lub") and glb ("\_-glb") lemma "R-lub = (R-least) \circ (R-upperBound)"
```

lemma "R-glb = (R-greatest) o (R-lowerBound)"

declare lub\_def[endorel\_defs] glb\_def[endorel\_defs]

```
lemma lub_defT: "R-lub = R~-glb"
lemma glb_defT: "R-glb = R~-lub"
```

Moreover, when it comes to upper/lower bounds, least/greatest and glb/lub elements coincide.

```
lemma lub_def3: "R-lub S = R-glb (R-upperBound S)" lemma glb_def3: "R-glb S = R-lub (R-lowerBound S)" lemma lub_prop: "S \subseteq R-lowerBound (R-lub S)" lemma glb_prop: "S \subseteq R-upperBound (R-glb S)"
```

Big-union resp. big-intersection of sets and relations corresponds in fact to the lub resp. glb.

```
lemma bigunion_lub: "(\subseteq)-lub S (\bigcupS)" lemma biginter_glb: "(\subseteq)-glb S (\bigcapS)" lemma bigunionR_lub:"(\subseteqr)-lub S (\bigcuprS)" lemma biginterR_glb: "(\subseteqr)-glb S (\bigcaprS)"
```

### 8.4 Existence and Uniqueness under Antisymmetry

The following properties hold under the assumption that the given relation R is antisymmetric.

There can be at most one least/greatest element in a set.

```
 \begin{array}{ll} lemma \ \ antisymm\_least\_unique: \ "antisymmetric \ R \implies unique(R\text{-least }S) \, " \\ lemma \ \ antisymm\_greatest\_unique: \ "antisymmetric \ R \implies unique(R\text{-greatest }S) \, " \\ \end{array}
```

If (the) least/greatest elements exist then they are identical to (the) min/max elements. lemma antisymm\_least\_min: "antisymmetric  $R \implies \exists (R\text{-least }S) \implies (R\text{-least }S) = (R\text{-min }S)$ "

```
\mathbf{lemma} \  \, \mathbf{antisymm\_greatest\_max:} \  \, \mathbf{"antisymmetric} \  \, R \Longrightarrow \exists \  \, (\mathbf{R}\text{-greatest} \  \, S) \Longrightarrow (\mathbf{R}\text{-greatest} \  \, S) = (\mathbf{R}\text{-max} \  \, S) \, \mathbf{"}
```

If (the) least/greatest elements of a set exist then they are identical to (the) glb/lub.

```
 \begin{array}{l} \text{lemma antisymm\_least\_glb: "antisymmetric R} \implies \exists \ (\text{R-least S}) \implies (\text{R-least S}) = (\text{R-glb S}) \text{"} \\ \text{lemma antisymm\_greatest\_lub: "antisymmetric R} \implies \exists \ (\text{R-greatest S}) \implies (\text{R-greatest S}) = (\text{R-lub S}) \text{"} \\ \text{S)"} \\ \end{array}
```

# 8.5 Further Properties of Endorelations

# 8.5.1 Well-ordering and Well-foundedness

The property of being a well-founded/ordered relation.

```
definition wellOrdered::"Set(ERel('a))" ("wellOrdered") where "wellOrdered \equiv ((\subseteq) \exists) \circ (B \exists) \circ least" definition wellFounded::"Set(ERel('a))" ("wellFounded") where "wellFounded \equiv ((\subseteq) \exists) \circ (B \exists) \circ min" declare wellFounded_def[endorel_defs] wellOrdered_def[endorel_defs] lemma "wellOrdered R = (\forall D. \exists D \rightarrow \exists (R-least D))" lemma "wellFounded R = (\forall D. \exists D \rightarrow \exists (R-min D))"
```

#### 8.5.2 Limit-completeness

end

Limit-completeness is an important property of endorelations (orderings in particular). Famously, this is the property that characterizes the ordering of real numbers (in contrast to the rationals).

Note that existence of lubs for all sets entails existence of glbs for all sets (and viceversa).

```
lemma "\forall S. \exists (R\text{-lub }S) \implies \forall S. \exists (R\text{-glb }S)" lemma "\forall S. \exists (R\text{-glb }S) \implies \forall S. \exists (R\text{-lub }S)"
```

The above results motivate the following definition: An endorelation R is called limit-complete when lubs/glbs (wrt R) exist for every set S (note that they must not be necessarily contained in S).

```
definition limitComplete::"Set(ERel('a))"
where "limitComplete ≡ ∀ ∘ (∃ ∘₂ lub)"

lemma "limitComplete R = (∀S. ∃ (R-lub S))"

proposition "limitComplete R ⇒ (R-lub S) ⊆ S" nitpick — countermodel found
    Transpose/converse definitions.

lemma limitComplete_def2: "limitComplete = ∀ ∘ (∃ ∘₂ glb)"
lemma "limitComplete R = (∀S. ∃ (R-glb S))"

lemma limitComplete_defT: "limitComplete R = limitComplete R"

declare limitComplete_def[endorel_defs]
    The subset and subrelation relations are indeed limit-complete.

lemma subset_limitComplete: "limitComplete (⊆)"
lemma subrel_limitComplete: "limitComplete (⊆)"
lemma subrel_limitComplete: "limitComplete (⊆)"
```

# 9 Graphs

Graphs are sets of endopairs and end up being isomorphic to endorelations (via currying). We replicate some of the theory of endorelations for illustration (exploiting currying).

```
theory graphs
imports endopairs endorelations
begin
```

### 9.1 Intervals and Powers

#### 9.1.1 Intervals

```
An interval (wrt. given graph G) is the set of points that lie between given pair (of "boundaries"). abbreviation interval::"Gcraph('a) \Rightarrow 'a \Rightarrow 'a \Rightarrow Set('a)" ("_-interval") where "G-interval a b \equiv \lfloor G \rfloor-interval a b" lemma "G-interval a b = (\lambda c. G < a, c > \wedge G < c, b >)"
```

#### 9.1.2 Powers

We can extrapolate the notion of (relational) powers to graphs using currying.

```
abbreviation graphPower::"ERel(Graph('a))" where "graphPower G \equiv \{uncurry \ (relPower \ |G|)\}"
```

# 9.2 Properties and Operations

Properties of endorelations can be seamlessly transported to the world of graphs via currying.

# 9.2.1 Reflexivity and Irreflexivity

```
abbreviation reflexive::"Set(Graph('a))"

where <reflexive G \equiv endorelations.reflexive | G | >
abbreviation irreflexive::"Set(Graph('a))"

where <irreflexive G \equiv endorelations.irreflexive | G | >
lemma "reflexive G = (\forall x. \ G < x, x >)"

...and so on
```

### 9.2.2 Symmetry, Connectedness, and co.

```
abbreviation symmetric::"Set(Graph('a))" where <symmetric G \equiv \text{endorelations.symmetric } \lfloor G \rfloor abbreviation connected::"Set(Graph('a))" where <connected G \equiv \text{endorelations.connected } \lfloor G \rfloor lemma "symmetric G = (\forall a \ b. \ G < a,b > \rightarrow G < b,a >)" lemma "connected G = (\forall a \ b. \ G < a,b > \lor G < b,a >)" ...and so on
```

# 9.2.3 Transitivity, Denseness, Quasitransitivity, and co.

```
abbreviation transitive::"Set(Graph('a))" where <transitive G \equiv \text{endorelations.transitive } \lfloor G \rfloor abbreviation antitransitive::"Set(Graph('a))" where <antitransitive G \equiv \text{endorelations.antitransitive } \lfloor G \rfloor abbreviation dense::"Set(Graph('a))" where <dense G \equiv \text{endorelations.dense } \lfloor G \rfloor lemma <transitive G = (\forall a \ b \ c. \ G < a,c > \land G < c,b > \rightarrow G < a,b >) > lemma <antitransitive G = (\forall a \ b \ c. \ G < a,c > \land G < c,b > \rightarrow \neg G < a,b >) > lemma <dense G \equiv (\forall a \ b. \ G < a,b > \rightarrow (\exists c. \ G < a,c > \land G < c,b >)) >
```

...and so on

#### 9.2.4 Euclideanness and co.

```
abbreviation rightEuclidean::"Set(Graph('a))" where <rightEuclidean G \equiv \text{endorelations.rightEuclidean} \mid G \mid> abbreviation leftEuclidean::"Set(Graph('a))" where <leftEuclidean G \equiv \text{endorelations.leftEuclidean} \mid G \mid> lemma <rightEuclidean G = (\forall \text{ a b c. } G < \text{a,b}) \land G < \text{a,c}) \rightarrow G < \text{b,c})> lemma <leftEuclidean G = (\forall \text{ a b c. } G < \text{a,b}) \land G < \text{c,b}) \rightarrow G < \text{a,c})> ...and so on
```

end

# 10 Commutative diagrams

Commutative diagrams are convenient tools in mathematics that show how different paths of functions or maps between objects lead to the same result.

```
theory diagrams imports relations begin
```

# 10.1 Basic Diagrams

### 10.1.1 For Functions

A commutative triangle states that a function "factorizes" as a composition of two given functions.

```
definition triangle :: "('a \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'c) \Rightarrow ('c \Rightarrow 'b) \Rightarrow o" ("_-FACTOR") where "triangle \equiv B<sub>12</sub> \mathcal Q I (;)"
```

Commutative triangles are often read as "h factors through f and g", and diagrammatically represented as:

declare triangle\_def[func\_defs]

We say that an endofunction is idempotent when it is identical to the composition with itself, or, in other words, when it factors through itself.

```
definition idempotent::"Set('a ⇒ 'a)"
   where "idempotent \equiv W<sub>31</sub> triangle"
declare idempotent_def[func_defs]
lemma "idempotent f = \cdot -f \rightarrow \cdot
                                    \ \ \ \downarrow f \\ f \rightarrow \cdot \ "
lemma "idempotent f = (\forall x. f x = f (f x))"
lemma "idempotent f = (f = (f ; f))"
lemma "idempotent = W (Q \circ (W B))"
definition square :: "('a \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'c) \Rightarrow ('b \Rightarrow 'd) \Rightarrow ('c \Rightarrow 'd) \Rightarrow o"
   where "square \equiv B_{22} Q (;) (;)"
abbreviation(input) squareDiagram (" \cdot -_\rightarrow \cdot // _\downarrow \downarrow_ // \cdot -_\rightarrow \cdot")
   where "\cdot -j \rightarrow \cdot
             i\downarrow \downarrow1
              ullet -k 
ightarrow ullet \equiv square \; i \; j \; k \; l"
declare square_def[func_defs]
lemma " \bullet -j \rightarrow \bullet
          i\downarrow \downarrow 1 \cdot -k \rightarrow \cdot = (i ; k = j ; 1)"
lemma " • -j \rightarrow •
```

#### 10.1.2 For Relations

A commutative triangle states that a relation "factorizes" as a composition of two given relations.

```
definition relTriangle::"Rel('a,'b) \Rightarrow Rel('a,'c) \Rightarrow Rel('c,'b) \Rightarrow o" ("_-FACTOR"") where "relTriangle \equiv B<sub>12</sub> \mathcal{Q} I (;")"
```

Analogously to functions, we can represent this as a diagram (read as "H factors through F and G".)

declare relTriangle\_def[rel\_defs]

Functional and relational triangle diagrams correspond as expected.

```
lemma triangle_funrel: "totalFunction i \Longrightarrow totalFunction \ j \Longrightarrow totalFunction \ k \Longrightarrow triangle (asFun i) (asFun j) (asFun k) = relTriangle i j k" lemma triangle_relfun: "relTriangle (asRel i) (asRel j) (asRel k) = triangle i j k"
```

We say that an endorelation is idempotent when it is identical to the composition with itself, or, in other words, when it factors through itself.

```
definition relIdempotent::"Set(ERel('a))" where "relIdempotent \equiv W_{31} relTriangle"
```

```
declare relIdempotent_def[rel_defs]
```

lemma "relIdempotent R = 
$$\cdot -R \rightarrow \cdot$$
 \quad \quad \mathbb{R} \quad \quad \mathbb{R} \quad \quad \mathbb{R} \quad \mathbb{R} \quad \quad \mathbb{R} \quad \quad \mathbb{R} \quad \quad \quad \mathbb{R} \quad \quad \quad \quad \mathbb{R} \quad \qq \quad \qq \q

```
lemma "relIdempotent R = (\forall a \ c. \ R \ a \ c \leftrightarrow (\exists \ b. \ R \ a \ b \land R \ b \ c))" lemma "relIdempotent R = (R = (R \ ;^r \ R))" lemma "relIdempotent = \mathbb{W} (\mathcal{Q} \circ (\mathbb{W} (\circ^r)))"
```

The relational notions correspond to their functional counterparts as expected.

```
lemma idempotent_funRel: "idempotent f = relIdempotent (asRel f)" lemma idempotent_relFun: "totalFunction R \implies relIdempotent R = idempotent (asFun R)"
```

definition relSquare::"Rel('a,'b) 
$$\Rightarrow$$
 Rel('a,'c)  $\Rightarrow$  Rel('b,'d)  $\Rightarrow$  Rel('c,'d)  $\Rightarrow$  o" where "relSquare  $\equiv$  B<sub>22</sub>  $\mathcal{Q}$  (;") (;")"

abbreviation(input) relSquareDiagram (" • 
$$-\_ \rightarrow$$
 • //  $\_ \downarrow \bot$  // •  $-\_ \rightarrow$  •") where " •  $-j \rightarrow$  • i \ldot \l

declare relSquare\_def[rel\_defs]

Beware: Composition in relational squares must always be read along the principal (NWSE) diagonal!

```
lemma "relSquare i j k l = (i ; k = j ; l)" lemma "relSquare i j k l = ((k); l(i) = (l); l(j); l(j))" proposition "relSquare i j k l \Longrightarrow ((i); lemma '' j = k ; l(j))" nitpick — countermodel: wrong diagonal! proposition "relSquare i j k l \Longrightarrow ((j); lemma lem
```

An alternative definition in terms of pullbacks.

```
lemma\ \textit{relSquare\_def2:}\ \textit{"relSquare}\ \textit{=}\ \textbf{C}_{3412}\ (\textbf{B}_{22}\ \mathcal{Q}\ (\textit{relPullback}\ \circ\ \textit{transpose})\ (\textit{relPullback}\ \circ\ \textit{transpose})
```

Relational and functional squares correspond as expected.

```
lemma square_funrel: "totalFunction i \Longrightarrow totalFunction \ j \Longrightarrow totalFunction \ k \Longrightarrow totalFunction \ 1 \Longrightarrow  square (asFun i) (asFun j) (asFun k) (asFun 1) = relSquare i j k l" lemma square_relfun: "relSquare (asRel i) (asRel j) (asRel k) (asRel 1) = square i j k l" l"
```

# 10.2 Splittings

#### 10.2.1 For Functions

We say of two functions f and g that they form a splitting (of the identity I) when g "undoes the effect" of f. In some literature, g (f) is called a left (right) inverse of f (g). We adopt another common (arguably less confusing) wording by referring to f (g) as the section (retraction) of g (f).

```
definition splitting::"Rel(('a \Rightarrow 'b),('b \Rightarrow 'a))" where "splitting \equiv I-FACTOR"
```

declare splitting\_def[func\_defs]

A section f followed by the corresponding retraction g takes us back where we started.

```
lemma "splitting f g = \cdot -f\rightarrow \cdot \downarrowg I\rightarrow \cdot '
```

We say that an endofunction is involutive when it is self-inverse (i.e. it forms a splitting with itself).

```
definition involutive::"Set('a ⇒ 'a)" where "involutive ≡ W splitting"
```

declare involutive\_def[func\_defs]

```
lemma "involutive f = (\forall x. \ x = f \ (f \ x))" lemma "involutive f = (I = f \ ; \ f)" lemma "involutive = (Q \ I) \circ (W \ B)"
```

Identity is the only function which is both involutive and idempotent.

```
lemma "(involutive f \land idempotent f) = (f = I)"
```

# 10.2.2 For Relations

Analogously to functions, we can say of two relations S and R that they form a splitting (of the identity Q). Similarly, we call S (R) the section (retraction) of R (S).

```
definition relSplitting::"Rel(Rel('a, 'b),Rel('b, 'a))" where "relSplitting \equiv Q-FACTOR""
```

declare relSplitting\_def[rel\_defs]

A section S followed by the corresponding retraction R takes us back where we started.

```
lemma "relSplitting S R = \cdot -S \rightarrow \cdot \downarrow R Q \rightarrow \cdot "
```

If a relation R (S) has a section (retraction) then it is right (left) total.

```
lemma "\exists (relSplitting \subset R) \Longrightarrow rightTotal R" lemma "\exists (relSplitting S) \Longrightarrow leftTotal S"
```

If a relation is both right-total and right-unique (surjective partial function) then it always has a section, and moreover, when it has a retraction then that retraction is unique

```
lemma exist_section: "rightTotal R \implies rightUnique R \implies \exists (relSplitting R)" lemma unique_retraction: "rightTotal S \implies rightUnique S \implies unique(relSplitting S)"
```

If a relation is both left-total and left-unique (injective nondeterministic function) then it has a retraction, and moreover, when it has a section it is unique.

```
lemma\ exist\_retraction:\ "leftTotal\ S \implies leftUnique\ S \implies \exists\ (relSplitting\ S)"
```

```
lemma unique_section: "leftTotal R \implies left Unique R \implies unique(relSplitting \subset R)"
```

```
lemma\ splitting\_trans:\ "relSplitting\ R\ T \implies relSplitting\ (T^{\smile})\ (R^{\smile})"
```

We say that an endorelation is involutive when it is self-inverse (i.e. it forms a splitting with itself).

```
definition relInvolutive::"Set(ERel('a))"
  where "relInvolutive = W relSplitting"
```

declare relInvolutive\_def[rel\_defs]

```
lemma "relInvolutive R = (\forall a \ c. \ (a = c) \leftrightarrow (\exists b. \ R \ a \ b \land R \ b \ c))" lemma "relInvolutive R = (\mathcal{Q} = R \ ;^r \ R)" lemma "relInvolutive = (\mathcal{Q}, \mathcal{Q}) \circ (W \ (\circ^r))"
```

Equality is the only relation which is both involutive and idempotent.

```
lemma "(relInvolutive R \land relIdempotent R) = (R = Q)"
```

Relational and functional notions correspond as expected.

```
lemma involutive_funRel: "involutive f = relInvolutive (asRel f)" lemma involutive_relFun: "totalFunction R \implies relInvolutive R = involutive (asFun R)"
```

# 10.3 Duality

We encode (relational) duality as a relation between functions (relations). It arises by fixing two of the arguments of a (relational) square as parameters (which we refer to as  $n_1$  and  $n_2$ ).

#### 10.3.1 For Functions

Two functions f and g are said to be dual wrt. to a pair of functions  $n_1$  and  $n_2$  (as parameters).

```
\begin{array}{lll} \text{definition } \textit{dual::"('a$_1$ \Rightarrow 'a$_2)$ \Rightarrow ('b$_1$ \Rightarrow 'b$_2)$ \Rightarrow \textit{Rel('a$_1$ \Rightarrow 'b$_1, 'a$_2$ \Rightarrow 'b$_2)" ("\_,\_-DUAL")} \\ \text{where } "n$_1,n$_2-DUAL f g \equiv & \bullet -f \rightarrow \bullet \\ & n$_1 \downarrow & \downarrow n$_2 \\ & \bullet -g \rightarrow \bullet & " \end{array}
```

We can also lift the previous notion of duality to apply to n-ary functions.

```
\begin{array}{lll} \textbf{definition} \ \textit{dual2::"('a$_1$ \Rightarrow 'a$_2)} \Rightarrow ('b_1 \Rightarrow 'b_2) \Rightarrow \textit{Rel('e} \Rightarrow 'a$_1$ \Rightarrow 'b$_1, 'e \Rightarrow 'a$_2$ \Rightarrow 'b$_2)" \\ ("\_,\_-\textit{DUAL}$_2") \end{array}
```

```
where "n_1, n_2-DUAL_2 \equiv \Phi_{\forall} (n_1, n_2-DUAL)" definition dual3::"('a_1 \Rightarrow 'a_2) \Rightarrow ('b_1 \Rightarrow 'b_2) \Rightarrow Rel('e_1 \Rightarrow 'e_2 \Rightarrow 'a_1 \Rightarrow 'b_1, 'e_1 \Rightarrow 'e_2 \Rightarrow 'a_2 \Rightarrow 'b_2)" ("_,_-DUAL_3") where "n_1, n_2-DUAL_3 \equiv \Phi_{\forall} (n_1, n_2-DUAL_2)" — ... n_1, n_2-DUAL_n \equiv \Phi_{\forall} n_1, n_2-DUAL_{n-1}
```

declare dual\_def[func\_defs] dual2\_def[func\_defs] dual3\_def[func\_defs]

```
lemma "n_1,n_2-DUAL_2 f g = (\forall x y. g x (n_1 y) = n_2 (f x y))" lemma "n_1,n_2-DUAL_3 f g = (\forall x y z. g x y (n_1 z) = n_2 (f x y z))"
```

Note that if both  $n_1$  and  $n_2$  are involutive, then the dual relation is symmetric.

```
lemma dual_symm: "involutive n_1 \Longrightarrow involutive n_2 \Longrightarrow n_1, n_2-DUAL f g = n_1, n_2-DUAL f g" lemma dual2_symm: "involutive n_1 \Longrightarrow involutive n_2 \Longrightarrow n_1, n_2-DUAL2 f g = n_1, n_2-DUAL2 f g"
```

This notion does NOT correspond with the so-called "De Morgan duality" (although they are not unrelated).

```
proposition "\neg,\neg-DUAL<sub>2</sub> (\wedge) (\vee)" nitpick — countermodel found
```

We add a (convenient?) diagram for duality of binary functions (for unary functions it is just the square).

abbreviation(input) dual2Diagram (" 
$$\bullet = \_ \rightarrow \bullet$$
 //  $\_ \downarrow \_$  //  $\bullet = \_ \rightarrow \bullet$ ") where "  $\bullet = f \rightarrow \bullet$   $n_1 \downarrow \qquad \qquad \downarrow n_2$   $\bullet = g \rightarrow \bullet \qquad \equiv n_1, n_2$ -DUAL $_2$  f g"

Some examples of dual pairs of binary operations (recall that negation and complement are involutive).

lemma " • = (
$$\Rightarrow$$
)  $\rightarrow$  •   
 $-\downarrow$   $\downarrow$   $-$    
• = ( $\cap$ )  $\rightarrow$  • "

lemma " • = (\) 
$$\rightarrow$$
 •   
 $-\downarrow$   $\downarrow$   $\downarrow$   $\downarrow$   $-$  "

#### 10.3.2 For Relations

Two relations R and T are said to be dual wrt. to a pair of relations  $n_1$  and  $n_2$  (as parameters).

```
definition relDual::"Rel('a<sub>1</sub>,'a<sub>2</sub>) \Rightarrow Rel('b<sub>1</sub>,'b<sub>2</sub>) \Rightarrow Rel(Rel('a<sub>1</sub>,'b<sub>1</sub>), Rel('a<sub>2</sub>,'b<sub>2</sub>))" ("_,_-DUAL"") where "n<sub>1</sub>,n<sub>2</sub>-DUAL" R T \equiv \bullet -R\rightarrow \bullet n<sub>1</sub>\downarrow \downarrown<sub>2</sub> \bullet -T\rightarrow "
```

declare relDual\_def[rel\_defs]

```
lemma "n_1, n_2-DUAL f g = (n_1 ; g = f ; n_2)" lemma "n_1, n_2-DUAL ^T R T = (n_1 ; ^T T = R ; ^T n_2)"
```

The notion of dual for relations corresponds to the counterpart notion for functions.

```
lemma "n_1,n_2-DUAL f g = (asRel n_1),(asRel n_2)-DUAL" (asRel f) (asRel g)" lemma "totalFunction n_1 \Longrightarrow \text{totalFunction } n_2 \Longrightarrow \text{totalFunction } R \Longrightarrow \text{totalFunction } T \Longrightarrow n_1,n_2-DUAL" R T = (asFun n_1),(asFun n_2)-DUAL (asFun R) (asFun T)"
```

Existence of sections and retractions influences existence and uniqueness of duals. As a corollary, if  $n_1$  (resp.  $n_2$ ) is involutive, then the dual relation is well-defined (exists and is unique).

```
lemma "relSplitting n_1 m \Longrightarrow n_1, n_2-DUAL" R (m; R; R; n_2)" lemma "relSplitting m n_2 \Longrightarrow n_1, n_2-DUAL" (n_1; T; T; m) T" lemma "\exists m. relSplitting m n_1 \Longrightarrow \text{unique}(n_1, n_2-DUAL" R)" lemma "\exists m. relSplitting n_2 m \Longrightarrow \text{unique}((n_1, n_2-DUAL") T)"
```

Moreover, if both  $n_1$  and  $n_2$  are involutive, then the dual relation is symmetric.

```
lemma relDual_symm: "relInvolutive n_1 \Longrightarrow relInvolutive n_2 \Longrightarrow n_1,n_2-DUAL" R T = n_1,n_2-DUAL" T R"
```

end

# 11 Adjunctions

The term "adjunction" is quite overloaded in the literature. Here we consider two flavors:

- 1. Galois-connections (aka. dual-adjunctions or Galois-adjunctions), which are contravariant.
- 2. Adjunctions (aka. residuations), which are covariant. We refer to them just as "adjunctions" (simpliciter). We will focus on Galois connections, since (covariant) adjunctions can easily defined in terms of them.

theory adjunctions imports diagrams endorelations begin

#### named\_theorems adj\_defs

Galois-connections (aka. Galois- or dual-adjunctions) relate pairs of functions (having flipped domain-codomain) wrt. a pair of endorelations (usually orderings on the functions' domains). We focus in this section on the traditional notion of "contravariant" Galois-connection wrt. a pair of arbitrary relations  $R_1$  and  $R_2$ . Note that a "covariant" version, aka. adjunction (simpliciter), can always be defined by reversing  $R_2$  below.

#### 11.1 For Relations

We introduce a convenient notion of "relational Galois-connection" relating a given pair of relations F and G wrt. another pair of relations  $R_1$  and  $R_2$  (as parameters). This generalizes the traditional "functional" notion, while sidestepping the use of descriptions and their associated existence/uniqueness assumptions.

```
definition relGalois::"Rel('a,'b) \Rightarrow Rel('c,'d) \Rightarrow Rel('a,'d) \Rightarrow Rel('c,'b) \Rightarrow o" ("_,_-GAL"") where "R<sub>1</sub>,R<sub>2</sub>-GAL" F G \equiv • -R<sub>2</sub> \rightarrow • G \downarrow \downarrow F \hookrightarrow • "
```

declare relGalois\_def[adj\_defs]

We get a more intuitive representation for Galois-connections by rotating the above (square) diagram by 90° clockwise. Note that in such "Galois diagrams" composition is read along the SWNE diagonal!

```
abbreviation(input) relGaloisDiagram (" • \leftarrow_- • // _↑ \downarrow_ // • -_→ •") where " • \leftarrowG- • R_1↑ \downarrowR<sub>2</sub> \bullet -F\rightarrow • \equiv R<sub>1</sub>,R<sub>2</sub>-GAL<sup>r</sup> F G"
```

An alternative definition:

```
lemma relGalois_altdef: "relGalois = C (B<sub>22</sub> Q relPullback (C relPullback))" lemma "R<sub>1</sub>,R<sub>2</sub>-GAL" = (\lambdaF G. Q (relPullback R<sub>2</sub> F) (C relPullback R<sub>1</sub> G))" lemma "R<sub>1</sub>,R<sub>2</sub>-GAL" = (\lambdaF G. relPullback R<sub>2</sub> F = relPullback G R<sub>1</sub>)" lemma "R<sub>1</sub>,R<sub>2</sub>-GAL" = (\lambdaF G. \forall b a. relPullback R<sub>2</sub> F b a \leftrightarrow relPullback G R<sub>1</sub> b a)" lemma relGalois_setdef: "R<sub>1</sub>,R<sub>2</sub>-GAL" = (\lambdaF G. \forall a b. (R<sub>2</sub> b \sqcap F a) \leftrightarrow (G b \sqcap R<sub>1</sub> a))"
```

Galois-connections are clearly "symmetric" in the following sense:

```
lemma relGalois_symm: "R_1, R_2-GAL^r F G = R_2, R_1-GAL^r G F"
```

Galois-connections and dualities are intertranslatable in several ways.

Drawing upon the above, we can sketch solutions to the problem of finding a right resp. left adjoint to a given relation, for those particular cases where  $R_1$  resp.  $R_2$  have sections or retractions.

```
lemma "relSplitting R_1 m \Longrightarrow R_1, R_2-GAL<sup>r</sup> F (R_2; ^r (F^{\smile}); ^r (m^{\smile}))" lemma "relSplitting R_2 m \Longrightarrow R_1, R_2-GAL<sup>r</sup> (R_1; ^r (G^{\smile}); ^r (m^{\smile})) G"
```

For the (very common) particular case where  $R_1$  and  $R_2$  are endorelations (possibly on different types), we can introduce the following operation (parameterized with  $R_1$  and  $R_2$ ) that given a relation F returns another relation G, its Galois "adjoint", so that F and G form a Galois-connection (wrt.  $R_1$  and  $R_2$ ).

```
definition relAdjoint::"ERel('a) \Rightarrow ERel('b) \Rightarrow Rel('a,'b) \Rightarrow Rel('b,'a)" ("_,-adj^r") where "relAdjoint \equiv B<sub>11</sub> I (E lub) relPullback"
```

declare relAdjoint\_def[adj\_defs]

```
lemma "R_1,R_2-adj^r = (E lub R_1) (relPullback R_2)" lemma relAdjoint_setdef: "R_1,R_2-adj^r F = (\lambda b. (R_1-lub (\lambda a. R_2 b \sqcap F a)))"
```

Some useful things can be said of adjoints already in this general (relational) case

lemma "antisymmetric  $R_1 \implies \text{rightUnique } F \implies \text{rightUnique } (R_1, R_2 - \text{adj}^r F)$ " — right-uniqueness preservation

An interesting question is that of determining minimal conditions under which the previous definition behaves as expected. A partial solution is provided below for illustration, where it remains to find out under which conditions a relation F has a Galois adjoint that is a total function. A real answer for the general case is left as exercise (solving for particular cases will be enough later on).

```
lemma relGalois_prop: "skeletal R_1 \Longrightarrow \exists (R_1,R_2\text{-}GAL^r \ F \cap \text{totalFunction}) \Longrightarrow R_1,R_2\text{-}GAL^r \ F \ (R_1,R_2\text{-}adj^r \ F)"
```

The related question of uniqueness of Galois adjoints (when they exist) is simpler.

lemma relGalois\_rightUnique: "skeletal  $R_1 \Longrightarrow \text{unique}((R_1,R_2\text{-GAL}^r\ F) \cap \text{rightUnique})$ " — proof by external provers

#### 11.2 For Functions

We now move towards the notion of (functional) Galois-connections, still slightly generalized, such that it relates pairs of functions f and g wrt a pair of arbitrary relations  $R_1$  and  $R_2$ . We encode this notion as a particular case of the relational Galois-connection discussed above.

```
definition galois::"Rel('a,'b) \Rightarrow Rel('c,'d) \Rightarrow Rel(('a \Rightarrow'd),('c \Rightarrow 'b))" ("_,_-GAL") where "galois \equiv B<sub>1111</sub> relGalois I I asRel asRel"
```

declare galois\_def[adj\_defs]

```
lemma "R_1, R_2-GAL f g = R_1, R_2-GAL^r (asRel f) (asRel g)" lemma "R_1, R_2-GAL f g = (\forall b a. R_2 b \sqcap {f a} = {g b} \sqcap R_1 a)"
```

We also introduce a convenient diagram notation for functional Galois connections.

```
abbreviation(input) galoisDiagram (" \cdot \leftarrow \_- \cdot // \_\uparrow \downarrow\_ // \cdot -\_ \rightarrow \cdot") where " \cdot \leftarrow g - \cdot
R_1 \uparrow \qquad \downarrow R_2
\cdot -f \rightarrow \cdot \equiv R_1, R_2 - GAL \ f \ g"
```

lemma galois\_def2: " 
$$\cdot \leftarrow g - \cdot$$
 $R_1 \uparrow \qquad \downarrow R_2$ 
 $\cdot -f \rightarrow \cdot \qquad = (\forall a \ b. \ R_2 \ b \ (f \ a) \leftrightarrow R_1 \ a \ (g \ b))$ "

An alternative definition:

```
lemma galois_altdef: "galois = C (B<sub>22</sub> \mathcal{Q} (B<sub>11</sub> I) (C \circ_2 (B<sub>11</sub> I)))" lemma "R<sub>1</sub>,R<sub>2</sub>-GAL f g = ((B<sub>11</sub> I) R<sub>2</sub> f = (C \circ_2 (B<sub>11</sub> I)) R<sub>1</sub> g)" lemma "R<sub>1</sub>,R<sub>2</sub>-GAL f g = (\forall a b. (B<sub>11</sub> I) R<sub>2</sub> f a b \leftrightarrow (C \circ_2 (B<sub>11</sub> I)) R<sub>1</sub> g a b)" lemma "R<sub>1</sub>,R<sub>2</sub>-GAL f g = (relPullback R<sub>2</sub> (asRel f) = relPullback (asRel g) R<sub>1</sub>)"
```

Again, Galois-connections are "symmetric" in the following sense:

```
lemma galois_symm: "R_1, R_2-GAL f g = R_2, R_1-GAL g f"
```

For the (very common) particular case where  $R_1$  and  $R_2$  are endorelations (possibly on different types), we can introduce the following operation (parameterized with  $R_1$  and  $R_2$ ) that given a function f returns another relation g, its "adjoint", so that f and g form a Galois-connection (wrt.  $R_1$  and  $R_2$ ).

```
definition adjoint::"ERel('a) \Rightarrow ERel('b) \Rightarrow Op('a,'b) \Rightarrow Op('b,'a)" ("_,-adj") where "adjoint \equiv (B<sub>3</sub> \iota) \circ ((B<sub>13</sub> I lub) (B<sub>11</sub> I)) "
```

declare adjoint\_def[adj\_defs]

```
lemma "R_1,R_2-adj f = (\lambda b. \iota (R_1-lub (\lambda a. R_2 b (f a))))"
```

As mentioned previously, (covariant) adjunctions can be encoded by reversing the parameter  $R_2$ .

```
abbreviation(input) adjunction::"ERel('a) \Rightarrow ERel('b) \Rightarrow Rel(Op('a,'b),Op('b,'a))" ("_,_-ADJ") where "R<sub>1</sub>,R<sub>2</sub>-ADJ \equiv R<sub>1</sub>,R<sub>2</sub>'-GAL"
```

We also introduce a convenient diagram notation for adjunctions (with a reversed right arrow).

```
abbreviation(input) adjunctionDiagram (" \cdot \leftarrow \_- \cdot // \_\uparrow \uparrow\_ // \cdot -\_ \rightarrow \cdot") where " \cdot \leftarrow g - \cdot
R_1 \uparrow \qquad \uparrow R_2
\cdot -f \rightarrow \cdot \qquad \equiv R_1 , R_2 - ADJ \ f \ g"
```

lemma adjunction\_def2: " 
$$\cdot \leftarrow g - \cdot$$
 $R_1 \uparrow \qquad \uparrow R_2$ 
 $\cdot -f \rightarrow \cdot \quad = \; (\forall \, a \, b. \, R_2 \; (f \, a) \; b \; \leftrightarrow \; R_1 \; a \; (g \; b))$ "

Note that the (covariant) adjunction is not "symmetric" in the sense the Galois-connection is.

```
proposition "R_1, R_2-ADJ f g = R_2, R_1-ADJ g f" nitpick — countermodel found
```

A possible explanation for the adjectives "covariant" and "contravariant".

```
\begin{array}{ll} \textbf{lemma "preorder R} \implies \textbf{R,R-ADJ f g} \implies \textbf{R-MONO g"} \\ \textbf{lemma "preorder R} \implies \textbf{R,R-GAL f g} \implies \textbf{R-ANTI g"} \end{array}
```

Hence, when working with (covariant) adjunctions we need to introduce two operations (parameterized with  $R_1$  and  $R_2$ ) which when given functions f resp. g return their "right" resp. "left" adjoint.

```
abbreviation(input) \ rightAdjoint::"ERel('a) \Rightarrow ERel('b) \Rightarrow Op('a,'b) \Rightarrow Op('b,'a)" \ ("\_,\_-rightAdj")
```

```
where "R_1, R_2-rightAdj \equiv R_1, R_2 -adj" abbreviation(input) leftAdjoint::"ERel('a) \Rightarrow ERel('b) \Rightarrow Op('b, 'a) \Rightarrow Op('a, 'b)" ("_,-leftAdj") where "R_1, R_2-leftAdj \equiv R_2, R_1-adj" lemma "R_1, R_2-rightAdj f = (\lambda b. \ \iota \ (R_1-lub (\lambda a. \ R_2 \ (f \ a) \ b)))" lemma "R_1, R_2-leftAdj g = (\lambda a. \ \iota \ (R_2-glb (\lambda b. \ R_1 \ a \ (g \ b)))" lemma "R_1, R_2-leftAdj g = R_2, R_1-rightAdj"
```

Our adjoint operator behaves as expected for those functions that have indeed some adjoint (again, we still have to find out under which minimal conditions such adjoints exist for the general case).

lemma galois\_prop: "skeletal  $R_1 \Longrightarrow \exists (R_1,R_2\text{-GAL }f) \Longrightarrow R_1,R_2\text{-GAL }f \ (R_1,R_2\text{-adj }f)$ " — proof by external provers

We can conveniently extend the previous definitions towards indexed functions (e.g. binary endooperations).

```
definition galois2::"ERel('a) \Rightarrow ERel('b) \Rightarrow Rel('e-Env(Op('a,'b)), 'e-Env(Op('b,'a)))" ("_,_-GAL_2") where "R_1,R_2-GAL_2 \equiv \Phi_{\forall} (R_1,R_2-GAL)" abbreviation(input) adjunction2::"ERel('a) \Rightarrow ERel('b) \Rightarrow Rel('e-Env(Op('a,'b)), 'e-Env(Op('b,'a)))" ("_,_-ADJ_2") where "R_1,R_2-ADJ_2 \equiv R_1,R_2^{\sim}-GAL_2"
```

declare galois2\_def[adj\_defs]

```
lemma "R_1, R_2-GAL_2 f g = (\forall x. R_1, R_2-GAL (f x) (g x))" lemma "R_1, R_2-ADJ_2 f g = (\forall x. R_1, R_2-ADJ (f x) (g x))" lemma "R_1, R_2-GAL_2 f g = (\forall a b c. R_2 b (f c a) <math>\leftrightarrow R_1 a (g c b))" lemma "R_1, R_2-ADJ_2 f g = (\forall a b c. R_2 (f c a) b \leftrightarrow R_1 a (g c b))" lemma "(\subseteq), (\subseteq)-GAL_2 f g = (\forall a b c. b \subseteq (f c) a \leftrightarrow a \subseteq (g c) b)" — proof by external provers lemma "(\subseteq), (\subseteq)-ADJ_2 f g = (\forall a b c. (f c) a \subseteq b \leftrightarrow a \subseteq (g c) b)" — proof by external provers
```

A convenient "lifting" rule for (Galois) adjunctions (and for any arities).

```
lemma galois_lift1: "R_1, R_2-GAL f g \Longrightarrow (\Phi_\forall R_1), (\Phi_\forall R_2)-GAL (\Phi_{11} f) (\Phi_{11} g)" lemma adjunction_lift1: "R_1, R_2-ADJ f g \Longrightarrow (\Phi_\forall R_1), (\Phi_\forall R_2)-ADJ (\Phi_{11} f) (\Phi_{11} g)" lemma galois_lift2: "R_1, R_2-GAL_2 f g \Longrightarrow (\Phi_\forall R_1), (\Phi_\forall R_2)-GAL_2 (\Phi_{21} f) (\Phi_{21} g)" lemma adjunction_lift2: "R_1, R_2-ADJ_2 f g \Longrightarrow (\Phi_\forall R_1), (\Phi_\forall R_2)-ADJ_2 (\Phi_{21} f) (\Phi_{21} g)"
```

### 11.3 Concrete examples

Integer addition and substraction form a Galois-connection wrt equality and an adjunction wrt. inequality.

```
lemma "\mathcal{Q},\mathcal{Q}-GAL (\lambdax::int. x + a) (\lambdax. x - a)" lemma "(\leq),(\leq)-ADJ (\lambdax::int. x + a) (\lambdax. x - a)"
```

Symmetric difference is self-adjoint wrt. equality (but not wrt inequality).

```
lemma "\mathcal{Q}, \mathcal{Q}-GAL ((\triangle) a) ((\triangle) a)"
proposition "(\subseteq), (\subseteq)-GAL ((\triangle) a) ((\triangle) a)" nitpick — countermodel found
proposition "(\subseteq), (\subseteq)-ADJ ((\triangle) a) ((\triangle) a)" nitpick — countermodel found
lemma "(\subseteq)-MONO (f::int\Rightarrowint) \Longrightarrow \mathcal{Q}, \mathcal{Q}-GAL f g \Longrightarrow (\subseteq), (\subseteq)-ADJ f g"
lemma "(\subseteq), (\subseteq)-ADJ (f::int\Rightarrowint) (g::int\Rightarrowint) \Longrightarrow \mathcal{Q}, \mathcal{Q}-GAL f g" — proof by external provers
```

The relation-based right- and left-bound operators form a Galois-connection.

```
lemma "(\subseteq),(\subseteq)-GAL R-rightBound R-leftBound"
```

The relation-based right-image and left-dualimage operators form a (covariant) adjunction.

```
lemma "(\subseteq),(\subseteq)-ADJ R-rightImage R-leftDualImage"
```

The usual "residuation" properties of boolean connectives (recall that  $\rightarrow$  is an ordering on  $\{\mathcal{T}, \mathcal{F}\}$ ).

```
lemma and_impl_adj: "(\rightarrow), (\rightarrow)-ADJ_2 (\land) (\rightarrow)" lemma dimpl_or_adj: "(\rightarrow), (\rightarrow)-ADJ_2 (\frown) (\lor)"
```

Note that we can use the "adjunction lifting" rule to prove adjunctions on lifted (indexed) operations.

```
lemma "(\subseteq),(\subseteq)-ADJ<sub>2</sub> (\cap) (\Rightarrow)" lemma "(\subseteqr),(\subseteqr)-ADJ<sub>2</sub> (\capr) (\Rightarrowr)"
```

end

# 12 Entailment and Validity

```
theory entailment imports adjunctions begin
```

# 12.1 Special Case (for Modal Logicians and co.)

Modal logics model propositions as sets (of "worlds") and are primarily concerned with "validity" of propositions. We encode below the set of valid (resp. unsatisfiable, satisfiable) propositions.

```
definition valid::"Set(Set('a))" ("\models_")
where "valid \equiv \forall"
definition satisfiable::"Set(Set('a))" ("\modelssat _")
where "satisfiable \equiv \exists"
definition unsatisfiable::"Set(Set('a))" ("\modelsunsat _")
where "unsatisfiable \equiv \nexists"
lemma "\models P = (\forall w. P w)"
lemma "\modelssat P = (\exists w. P w)"
lemma "\modelsunsat P = (\neg(\exists w. P w))"
```

In modal logic, logical consequence/entailment usually comes in two flavours: "local" and "global". The local variant is the default one (i.e. the one employed in most sources). Semantically, it corresponds to the subset relation (assumptions are aggregated using conjunction/intersection).

```
abbreviation(input) localEntailment::"ERel(Set('a))" (infixr "\models_l" 99) where "a \models_l c \equiv a \subseteq c" abbreviation(input) localEntailment2::"Set('a) \Rightarrow ERel(Set('a))" ("_,_ \models_l _") where "a<sub>1</sub>, a<sub>2</sub> \models_l c \equiv (a<sub>1</sub> \cap a<sub>2</sub>) \models_l c" — syntax sugar for two (or more) premises — ...and so on
```

Clearly, validity is a special case of local entailment.

```
lemma "\models c \leftrightarrow \mathfrak{U} \models_l c"
```

In fact, local entailment can also be stated in terms of validity via the so-called "deduction (meta-)theorem", which follows as a particular case of the following fact (aka. "residuation law").

```
lemma local_residuation: "(\models_l),(\models_l)-ADJ_2 (\cap) (\Rightarrow)" lemma "a, b \models_l c \leftrightarrow b \models_l (a \Rightarrow c)"
```

Which produces the "deduction meta-theorem" as a particular case (with  $b = \mathfrak{U}$ ).

```
lemma DMT: "\models a \Rightarrow c \leftrightarrow a \models_l c "
```

Global entailment is sometimes discussed, mostly for theoretical purposes (e.g. in algebraic logic).

```
abbreviation(input) globalEntailment::"Rel(Set(Set('a)),Set('a))" (infixr "\models_g" 99) where "A \models_q c \equiv (\forall a. A a \rightarrow \models a) \rightarrow \models c"
```

Again, validity is clearly a special case of global entailment.

```
lemma "\models c \leftrightarrow \{\mathfrak{U}\} \models_q c"
```

Local entailment is stronger than global entailment.

```
\begin{array}{lll} \text{lemma} & \text{"a} \models_{l} c \implies \{\text{a}\} \models_{g} c \text{"} \\ \text{lemma} & \text{"a,b} \models_{l} c \implies \{\text{a,b}\} \models_{g} c \text{"} \\ \text{lemma} & \text{"a,b} \models_{g} c \implies \text{a} \models_{l} c \text{" nitpick} \end{array}
```

The "deduction meta-theorem" does not hold for global entailment.

```
lemma "{a} \models_g c \implies (\models a \implies c)" nitpick lemma "{a,b} \models_g c \implies \{b\} \models_g (a \implies c)" nitpick lemma "\models (a \implies c) \implies \{a\} \models_g c" lemma "{b} \models_g (a \implies c) \implies \{a,b\} \models_g c"
```

# 12.2 General Case (for Algebraic and Many-valued/Fuzzy Logicians)

We introduce an "entailment" operation (for denotations) that corresponds to the semantic counterpart of the notion of consequence (for formulas). We refer to the literature on algebraic logic for detailed explanations, in particular [2] for an overview, and references therein.

We encode below a general notion of logical entailment as discussed in the algebraic logic literature; cf. "ramified matrices" (e.g. [5]) and "generalized matrices" (e.g. [2]). Entailment becomes parameterized with a class TT of "truth-sets". We say that a set of assumptions A entails the conclusion c iff when all As are in T then c is in T too, for all truth-sets T in TT.

```
definition entailment::"Set(Set('a)) \Rightarrow SetEOp('a)" ("E") where "E TT \equiv \lambda A. \lambda c. \forall T. TT T \longrightarrow A \subseteq T \longrightarrow T c" notation(input) entailment ("[_|_ |= _]") lemma "[TT| A \models c] = E TT A c"
```

Alternative definition: c is in the intersection of all truth-sets containing A

```
lemma entailment_def2: "[TT| A \models c] = \bigcap (TT \cap (\subseteq) A) c"
```

It is worth noting that when the class of truth-sets — TT is closed under arbitrary intersections (aka. "closure system") then entailment becomes a closure (aka. hull) operator.

```
lemma\ entailment\_closure:\ "\forall \textit{X}.\ \textit{X}\ \subseteq\ \textit{TT}\ \longrightarrow\ \textit{TT}\ (\bigcap\textit{X})\ \Longrightarrow\ (\subseteq)\textit{-CLOSURE}\ (\mathcal{E}\ \textit{TT})"
```

One special case of the definition above occurs when TT is a singleton  $\{T\}$ . This corresponds to the traditional notion of logical consequence associated to "logical matrices" in algebraic logic, and which is characterized by the principle of truth(-value) preservation ("truth-preserving consequence" in [2]). We thus refer to its encoding below as "(truth-)value-preserving entailment".

```
definition valueEntailment::"Set('a) \Rightarrow SetEOp('a)" ("\mathcal{E}_v") where "\mathcal{E}_v T \equiv \mathcal{E} {T}"
```

```
notation(input) valueEntailment ("[_|_ \models_v _]") lemma "[T| A \models_v c] = \mathcal{E}_v T A c"
```

Alternative definition: if all As are in T (true) then c is also in T (true).

```
lemma valueEntailment_def2: "[T/ A \models_v c] = (A \subseteq T \longrightarrow T c)"
```

Value-preserving entailment is a closure operator too.

```
lemma ValueConsequence_closure: "(\subseteq)-CLOSURE (\mathcal{E}_v T)"
```

Back to the general notion of entailment, now observe that it satisfies the following properties:

```
lemma entailment_prop1: "transitive R \Longrightarrow R-glb A m \Longrightarrow [range R | A \models c] = R m c" lemma entailment_prop2: "preorder R \Longrightarrow [range R | {a} \models c] = R a c"
```

The properties above justify the following special case, in which the class of truth-sets is given as the (functional) range of a relation (qua set-valued function). Following [2] we speak of "(truth-)degree-preserving" entailment.

```
definition degreeEntailment::"ERel('a) \Rightarrow SetEOp('a)" ("\mathcal{E}_d") where "\mathcal{E}_d R \equiv \mathcal{E} (range R)" notation(input) degreeEntailment ("[_|_ |=_d _]") lemma "[R| A \models_d c] = \mathcal{E}_d R A c"
```

Alternative definitions for transitive relations resp. preorders.

```
lemma degreeEntailment_def2: "transitive R \Longrightarrow R-glb A h \Longrightarrow [R/ A \models_d c] = R h c" lemma degreeEntailment_def3: "preorder R \Longrightarrow [R/ {a} \models_d c] = R a c"
```

Degree-preserving entailment is a closure operator.

```
lemma degreeEntailment_closure: "(\subseteq)-CLOSURE (\mathcal{E}_d R)"
```

It is worth mentioning that for semantics based on algebras of sets (e.g. modal algebras/Kripke models) the usual notion of logical consequence ("local consequence") corresponds to the "degree-preserving" entailment presented here, when instantiated with the subset relation.

```
lemma degreeEntailment_local: "[(\subseteq)| A \models_d c] = \bigcapA \models_l c"
```

Similarly, the notion of "global consequence" (e.g. in modal logic) corresponds to "value-preserving" consequence instantiated with  $T = \{\mathfrak{U}\}$  where  $\mathfrak{U}$  is the universe of all points (or "worlds").

```
lemma valueEntailment_global: "[{\mathfrak{U}}| A \models_v c] = A \models_g c"
```

 $\mathbf{end}$ 

# 13 General Theory of Relation-based Operators

It is well known that (n+1-ary) relations give rise to (n-ary) operations on sets (called "operators"). We explore some basic algebraic properties of relation-based set-operators.

```
theory operators imports adjunctions begin
```

# 13.1 Set-Operators from Binary Relations

This is the (non-trivial) base case. It is very common in logic, so it gets an special treatment.

Add some convenient (arguably less visually-cluttering) notation, reminiscent of logical operations.

```
notation(input) leftImage ("_-\Diamond_\leftarrow") and leftDualImage ("_-\Box_\leftarrow") and rightImage ("_-\Diamond_\rightarrow") and rightDualImage ("_-\Box_\rightarrow") and leftBound ("_-\Diamond_\leftarrow") and leftDualBound ("_-\Diamond_\leftarrow") and rightDualBound ("_-\Diamond_\rightarrow") and extend this notation to the transformations themselves notation(input) leftImage ("\Diamond_\leftarrow") and leftDualImage ("\Box_\rightarrow") and rightImage ("\Box_\rightarrow") and leftBound ("\Box_\rightarrow") and leftBound ("\Box_\rightarrow") and rightDualBound ("\Box_\rightarrow") and rightBound ("\Box_\rightarrow") and rightDualBound ("\Box_\rightarrow")
```

### 13.1.1 Order Embedding

This is a good moment to recall that unary operations on sets (set-operations) are also relations...

```
term "(F :: SetOp('a,'b)) :: Rel(Set('a),'b)"
```

... and thus can be ordered as such. Thus read  $F \subseteq^r G$  as: "F is a sub-operation of G".

```
lemma fixes F:: "SetOp('a,'b)" and G:: "SetOp('a,'b)" shows "F \subseteq G = (\forall A. FA \subseteq GA)"
```

Operators are (dual) embeddings between the sub-relation and the (converse of) sub-operation ordering.

```
lemma rightImage_embedding: "(\subseteqr),(\subseteqr)-embedding \diamondsuit_{\rightarrow}" lemma leftImage_embedding: "(\subseteqr),(\subseteqr)-embedding \diamondsuit_{\leftarrow}" lemma rightDualImage_embedding: "(\subseteqr),(\supseteqr)-embedding \square_{\rightarrow}" lemma leftDualImage_embedding: "(\subseteqr),(\supseteqr)-embedding \square_{\leftarrow}" lemma rightBound_embedding: "(\subseteqr),(\subseteqr)-embedding \ominus_{\leftarrow}" lemma rightDualBound_embedding: "(\subseteqr),(\subseteqr)-embedding \ominus_{\leftarrow}" lemma leftDualBound_embedding: "(\subseteqr),(\supseteqr)-embedding \bigcirc_{\leftarrow}" lemma leftDualBound_embedding: "(\subseteqr),(\supseteqr)-embedding \bigcirc_{\leftarrow}"
```

#### 13.1.2 Homomorphisms

Operators are also (dual) homomorphisms from the monoid of relations to the monoid of (set-)operators.

First of all, they map the relational unit  $\mathcal{Q}$  (resp. its dual  $\mathcal{D}$ ) to the functional unit  $\mathbf{I}$  (resp. its dual -).

```
lemma rightImage_hom_id: "\mathcal{Q}-\Diamond-\rightarrow = I" lemma leftImage_hom_id: "\mathcal{Q}-\Diamond-\leftarrow = I" lemma rightDualImage_hom_id: "\mathcal{Q}-\square-\rightarrow = I" lemma leftDualImage_hom_id: "\mathcal{Q}-\square-\leftarrow = I" lemma rightBound_hom_id: "\mathcal{D}-\ominus-\rightarrow = -" lemma rightDualBound_hom_id: "\mathcal{D}-\ominus-\rightarrow = -" lemma leftDualBound_hom_id: "\mathcal{D}-\bigcirc-\rightarrow = -" lemma leftDualBound_hom_id: "\mathcal{D}-\bigcirc-\leftarrow = -"
```

Moreover, they map the relational composition  $\circ^r$  (resp. its dual  $\cdot^r$ ) to their functional counterparts.

```
lemma rightImage_hom_comp: "(A \circ^r B)-\Diamond\to = (A-\Diamond\to) \circ (B-\Diamond\to)" lemma leftImage_hom_comp: "(A \circ^r B)-\Diamond\to = (B-\Diamond\to) \circ (A-\Diamond\to)"
```

```
lemma rightDualImage_hom_comp: "(A \circ^r B)-\square_{\rightarrow} = (A-\square_{\rightarrow}) \circ (B-\square_{\rightarrow})" lemma leftDualImage_hom_comp: "(A \circ^r B)-\square_{\leftarrow} = (B-\square_{\leftarrow}) \circ (A-\square_{\leftarrow})" lemma rightBound_hom_comp: "(A \cdot^r B)-\square_{\leftarrow} = (A-\square_{\rightarrow}) \cdot (B-\square_{\rightarrow})" lemma leftBound_hom_comp: "(A \cdot^r B)-\square_{\leftarrow} = (B-\square_{\leftarrow}) \cdot (A-\square_{\leftarrow})" lemma rightDualBound_hom_comp: "(A \cdot^r B)-\square_{\rightarrow} = (A-\square_{\rightarrow}) \cdot (B-\square_{\rightarrow})" lemma leftDualBound_hom_comp: "(A \cdot^r B)-\square_{\leftarrow} = (B-\square_{\leftarrow}) \cdot (A-\square_{\leftarrow})"
```

Operators are also (dual) lattice homomorphisms from the BA of relations to the BA of setoperators.

```
lemma rightImage_hom_join: "(R_1 \cup^r R_2) - \lozenge_{\rightarrow} = R_1 - \lozenge_{\rightarrow} \cup^r R_2 - \lozenge_{\rightarrow}" lemma leftImage_hom_join: "(R_1 \cup^r R_2) - \lozenge_{\leftarrow} = R_1 - \lozenge_{\leftarrow} \cup^r R_2 - \lozenge_{\leftarrow}" lemma rightBound_hom_meet: "(R_1 \cap^r R_2) - \ominus_{\rightarrow} = R_1 - \ominus_{\rightarrow} \cap^r R_2 - \ominus_{\rightarrow}" lemma leftBound_hom_meet: "(R_1 \cap^r R_2) - \ominus_{\leftarrow} = R_1 - \ominus_{\leftarrow} \cap^r R_2 - \ominus_{\leftarrow}" lemma rightDualImage_hom_join: "(R_1 \cup^r R_2) - \Box_{\rightarrow} = R_1 - \Box_{\rightarrow} \cap^r R_2 - \Box_{\leftarrow}" lemma leftDualImage_hom_join: "(R_1 \cup^r R_2) - \Box_{\leftarrow} = R_1 - \Box_{\leftarrow} \cap^r R_2 - \Box_{\leftarrow}" lemma rightDualBound_hom_meet: "(R_1 \cap^r R_2) - \oslash_{\rightarrow} = R_1 - \oslash_{\rightarrow} \cup^r R_2 - \oslash_{\rightarrow}" lemma leftDualBound_hom_meet: "(R_1 \cap^r R_2) - \oslash_{\leftarrow} = R_1 - \oslash_{\leftarrow} \cup^r R_2 - \oslash_{\leftarrow}"
```

As for complement, we have a particular morphism property between images and bounds (cf. dualities below).

```
lemma rightImage_hom_compl: "(R^-)-\diamondsuit_ = (R-\diamondsuit__)-" lemma leftImage_hom_compl: "(R^-)-\diamondsuit_ = (R-\diamondsuit__)-" lemma rightDualImage_hom_compl: "(R^-)-\square_ = (R-\diamondsuit__)-" lemma leftDualImage_hom_compl: "(R^-)-\square_ = (R-\diamondsuit__)-" lemma rightBound_hom_compl: "(R^-)-\diamondsuit_ = (R-\diamondsuit__)-" lemma leftBound_hom_compl: "(R^-)-\diamondsuit_ = (R-\diamondsuit__)-" lemma rightDualBound_hom_compl: "(R^-)-\diamondsuit_ = (R-\square__)-" lemma leftDualBound_hom_compl: "(R^-)-\diamondsuit_ = (R-\square__)-"
```

#### 13.1.3 Dualities (illustrated with diagrams)

Dualities between some pairs of relation-based set-operators.

Recall that set-operators are also relations (and thus can be ordered as such). We thus have following dualities between the transformations themselves (cf. morphisms wrt. complement discussed above).

```
lemma leftImageBound_dual: "-",-"-DUAL \Diamond_\leftarrow\ominus_\leftarrow" lemma " \bullet -\Diamond_\leftarrow \to \bullet
```

# 13.1.4 Adjunctions (illustrated with diagrams)

In order theory it is not uncommon to refer to a (covariant) adjunction as a "residuation".

We may refer to a residuation between complements of operators as a "co-residuation" (between the operators).

There is a Galois connection between the right and left bounds.

We shall refer to a Galois connection with reversed orderings as a "dual-Galois-connection".

lemma leftDualBound\_dualgalois: "(
$$\supseteq$$
),( $\supseteq$ )-GAL ( $R$ - $\oslash_{\leftarrow}$ ) ( $R$ - $\oslash_{\rightarrow}$ )" lemma " •  $\leftarrow$ R- $\oslash_{\rightarrow}$  - •  $\downarrow$ ( $\supseteq$ ) 
•  $-R$ - $\oslash_{\leftarrow}$  - • "

We also refer to a (dual) Galois connection between complements of operators as "(dual) conjugation".

$$(\supseteq)\uparrow \qquad \qquad \downarrow(\supseteq)$$

$$\bullet - (R-\Box_{\leftarrow})^- \rightarrow \bullet$$

# 13.2 Set-Operators from n-ary Relations

#### 13.2.1 Images and Preimages of n-ary Functions

We shall begin by extending the notions of image and preimage from unary to n-ary functions.

Recall that for unary functions we obtain a unary image set-operation as:

```
term "image :: ('a \Rightarrow 'b) \Rightarrow Set('a) \Rightarrow Set('b)" lemma "image f A = (\lambdab. \exists a. f a = b \wedge A a)"
```

We now generalize the previous notion towards higher arities to obtain n-ary set-operations.

```
definition image2 :: "('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow Set('a) \Rightarrow Set('b) \Rightarrow Set('c)" ("image<sub>2</sub>") where "image<sub>2</sub> f A B \equiv (\lambdac. \exists a b. f a b = c \wedge A a \wedge B b)" definition image3 :: "('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd) \Rightarrow Set('a) \Rightarrow Set('b) \Rightarrow Set('c) \Rightarrow Set('d)" ("image<sub>3</sub>") where "image<sub>3</sub> f A B C \equiv (\lambdad. \exists a b c. f a b c = d \wedge A a \wedge B b \wedge C c)" — ... image<sub>n</sub> f A<sub>1</sub> ... A<sub>n</sub> \equiv (\lambdax. \exists a<sub>1</sub> ... a<sub>n</sub>. f a<sub>1</sub> ... a<sub>n</sub> = x \wedge A<sub>1</sub> a<sub>1</sub> \wedge ... A<sub>n</sub> a<sub>n</sub>)
```

declare image2\_def[func\_defs] image3\_def[func\_defs]

```
lemma "image<sub>2</sub> f A B = (\lambda c. inverse_2 f c \sqcap^r (A \times B))"
```

The same move can be done for the notion of preimage.

```
definition preimage2 :: "('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow Set('c) \Rightarrow Rel('a,'b)" ("preimage2") where "preimage2 f C \equiv f ;2 C" definition preimage3 :: "('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd) \Rightarrow Set('d) \Rightarrow Rel3('a,'b,'c)" ("preimage3") where "preimage3 f D \equiv f ;3 D" — ... preimagen f X \equiv f ;n X
```

declare preimage2\_def[func\_defs] preimage3\_def[func\_defs]

### 13.2.2 Images and Bounds of n-ary Relations

Let us start by recalling that images and bounds are two sides of the same dual coin.

```
lemma "-",-"-DUAL \Diamond_{\leftarrow} \ominus_{\leftarrow}" lemma "-",-"-DUAL \Diamond_{\rightarrow} \ominus_{\rightarrow}"
```

Recall that by seeing binary relations as generalized (partial and non-deterministic) functions, the notions of function's (direct) image becomes generalized as relation's right-image, which corresponds to.

```
lemma "rightImage = \bigcup \circ_2 image"
```

We extend this notion of direct (i.e. right) image to n+1-ary relations, thus obtaining n-ary set-operations.

```
definition rightImage2 :: "Rel<sub>3</sub>('a,'b,'c) \Rightarrow Set('a) \Rightarrow Set('b) \Rightarrow Set('c)" ("rightImage<sub>2</sub>") where "rightImage<sub>2</sub> \equiv \bigcup \circ_3 image<sub>2</sub>" - ... rightImage<sub>n</sub> \equiv \bigcup \circ_{n+1} image<sub>n</sub>
```

declare rightImage2\_def[rel\_defs]

Or, alternatively:

```
lemma rightImage2_def2: "rightImage2 = (B_{111} ((\Box^r) \circ_2 (\times)) I I) \circ R"
```

Recall that for binary relations the analogous of preimage is the left-image operator, definable as the right-image of their converse. We now "lift" this idea to higher arities, noting that we must now

```
consider six permutations, so we have to come up with a richer naming scheme. In the ternary case, we conveniently use a numbering scheme, related to the family of \mathfrak{c}_{abc} combinators (permutators).
```

```
\textbf{abbreviation(input) image123::"Rel}_3(\texttt{'a,'b,'c}) \Rightarrow \textit{Set('a)} \Rightarrow \textit{Set('b)} \Rightarrow \textit{Set('c)" ("}\lozenge_{123}")
   where "\lozenge_{123} \equiv rightImage_2 \circ C_{123}"
                                                                — C_{123} as identity permutation is its own inverse (invo-
\textbf{abbreviation(input) image132::"Rel}_3(\texttt{'a,'b,'c}) \Rightarrow \textit{Set('a)} \Rightarrow \textit{Set('c)} \Rightarrow \textit{Set('b)"} (\texttt{"}\lozenge_{132}\texttt{"})
   where "\lozenge_{132} \equiv rightImage_2 \circ C_{132}" — C_{132} is its own inverse
abbreviation(input) image213::"Rel_3('a,'b,'c) \Rightarrow Set('b) \Rightarrow Set('a) \Rightarrow Set('c)" ("\Diamond_{213}")
   where "\lozenge_{213} \equiv rightImage_2 \circ C_{213}" — C_{213} is its own inverse
abbreviation(input) image231::"Rel_3('a,'b,'c) \Rightarrow Set('b) \Rightarrow Set('c) \Rightarrow Set('a)" ("\lozenge_{231}")
   where "\lozenge_{231} \equiv rightImage_2 \circ C_{312}" — C_{312}/L is the inverse of C_{231}/R
abbreviation(input) image312::"Rel_3('a,'b,'c) \Rightarrow Set('c) \Rightarrow Set('a) \Rightarrow Set('b)" ("\lozenge_{312}")
   where "\lozenge_{312} \equiv rightImage_2 \circ C_{231}" — C_{231}/R is the inverse of C_{312}/L
\textbf{abbreviation(input) image 321::"Rel_3('a,'b,'c)} \Rightarrow \textit{Set('c)} \Rightarrow \textit{Set('b)} \Rightarrow \textit{Set('a)" ("} \lozenge_{321}")
   where "\Diamond_{321} \equiv rightImage_2 \circ C_{321}"
                                                                — C_{321} is its own inverse
notation(input) image123 ("_-\Diamond_{123}") and image132 ("_-\Diamond_{132}") and
                         image213 ("_-\Diamond_{213}") and image231 ("_-\Diamond_{231}") and
                         image312 ("\_-\lozenge<sub>312</sub>") and image321 ("\_-\lozenge<sub>321</sub>")
lemma "R-\lozenge_{123} = (\lambda A B. \lambda c. \exists a b. R a b c \wedge A a \wedge B b)"
lemma "R-\lozenge_{132} = (\lambdaA C. \lambdab. \existsa c. R a b c \wedge A a \wedge C c)"
lemma "R-\lozenge_{213} = (\lambdaB A. \lambdac. \exists b a. R a b c \wedge B b \wedge A a)"
lemma "R-\lozenge_{231} = (\lambda B C. \lambda a. \exists b c. R a b c \wedge B b \wedge C c)"
lemma "R-\lozenge_{312} = (\lambda C A. \lambda b. \exists c a. R a b c \land C c \land A a)"
lemma "R-\lozenge_{321} = (\lambda C B. \lambda a. \exists c b. R a b c \land C c \land B b)"
```

Note that the images (in general: all operators) of a relation can be interrelated via permutation.

```
lemma "R-\lozenge_{123} = (C_{132} R)-\lozenge_{132}" lemma "R-\lozenge_{123} = (C_{213} R)-\lozenge_{213}" lemma "R-\lozenge_{123} = (C_{231} R)-\lozenge_{231}" lemma "R-\lozenge_{123} = (C_{312} R)-\lozenge_{312}" lemma "R-\lozenge_{123} = (C_{312} R)-\lozenge_{312}" lemma "R-\lozenge_{123} = (C_{321} R)-\lozenge_{321}" lemma "R-\lozenge_{132} = (C_{231} R)-\lozenge_{321}" lemma "R-\lozenge_{132} = (C_{231} R)-\lozenge_{312}" lemma "R-\lozenge_{213} = (C_{132} R)-\lozenge_{312}" lemma "R-\lozenge_{213} = (C_{231} R)-\lozenge_{321}" lemma "R-\lozenge_{231} = (C_{231} R)-\lozenge_{321}" lemma "R-\lozenge_{231} = (C_{231} R)-\lozenge_{321}" lemma "R-\lozenge_{231} = (C_{231} R)-\lozenge_{321}" lemma "R-\lozenge_{312} = (C_{231} R)-\lozenge_{321}" lemma "C_{231} = (C_{231} R)-O_{321}" lemma "C_{231} = (C_{231} R)-O_{321}" lemma "C_{231} = (C_{231} R)-O_{312}" lemma "C_{231} = (C_{231} R)-O_{332}" lemma "C_{231} = (C_{231} R)-O_{332}" lemma "C_{231} = (C_{231} R)-O_{332}" lemma "C_{231} = (C_{231} R)-O_{332}"
```

Now, recall that for binary relations we have that:

```
lemma "rightBound = \bigcap \circ_2 image"
```

```
Again, we extend this notion towards n+1-ary relations to obtain n-ary set-operations definition rightBound2 :: "Rel<sub>3</sub>('a,'b,'c) \Rightarrow Set('a) \Rightarrow Set('b) \Rightarrow Set('c)" ("rightBound<sub>2</sub>") where "rightBound<sub>2</sub> \equiv \bigcap \circ_3 image<sub>2</sub>" — ... rightBound<sub>n</sub> \equiv \bigcap \circ_{n+1} image<sub>n</sub> declare rightBound2_def[rel_defs]
```

Or, alternatively:

```
lemma rightBound2_def2: "rightBound2 = (B_{111} ((\sqcup^r) \circ_2 (\Psi_2 (\uplus) -)) I I) \circ R"
```

Analogously as the case for images/preimages, when we "lift" the notion of bounds to higher arities we consider several permutations, and come up with a numbering scheme based on permutations.

```
\textbf{abbreviation(input) bound123::"Rel}_3(\texttt{'a,'b,'c}) \Rightarrow \textit{Set('a)} \Rightarrow \textit{Set('b)} \Rightarrow \textit{Set('c)" ("} \ominus_{123}")
   where "\ominus_{123} \equiv rightBound_2 \circ C_{123}" — C_{123} being identity is its own inverse (involutive)
abbreviation(\textit{input}) \ \textit{bound132::"Rel}_3(\textit{'a,'b,'c}) \ \Rightarrow \ \textit{Set('a)} \ \Rightarrow \ \textit{Set('c)} \ \Rightarrow \ \textit{Set('b)"} \ ("\ominus_{132}")
   where "\ominus_{132} \equiv rightBound_2 \circ C_{132}" — C_{132} is its own inverse
abbreviation(input)\ bound213::"Rel_3('a,'b,'c) \Rightarrow Set('b) \Rightarrow Set('a) \Rightarrow Set('c)" ("\ominus_{213}")
   where "\ominus_{213} \equiv rightBound_2 \circ C_{213}" — C_{213} is its own inverse
abbreviation(input) bound231::"Rel_3('a,'b,'c) \Rightarrow Set('b) \Rightarrow Set('c) \Rightarrow Set('a)" ("\ominus_{231}")
   where "\ominus_{231} \equiv rightBound_2 \circ C_{312}" — C_{312}/L is the inverse of C_{231}/R
abbreviation(\textit{input}) \ \textit{bound312::"Rel}_{3}(\textit{'a,'b,'c}) \ \Rightarrow \ \textit{Set('c)} \ \Rightarrow \ \textit{Set('a)} \ \Rightarrow \ \textit{Set('b)"} \ ("\ominus_{312}")
   where "\ominus_{312} \equiv rightBound_2 \circ C_{231}" — C_{231}/R is the inverse of C_{312}/L
abbreviation(input) bound321::"Rel_3('a,'b,'c) \Rightarrow Set('c) \Rightarrow Set('b) \Rightarrow Set('a)" ("\ominus_{321}")
   where "\ominus_{321} \equiv rightBound_2 \circ C_{321}"
                                                                    — C_{321} is its own inverse
notation(input) bound123 ("\_-\ominus_{123}") and bound132 ("\_-\ominus_{132}") and
                          bound213 ("\_-\ominus_{213}") and bound231 ("\_-\ominus_{231}") and
                          bound312 ("\_-\ominus_{312}") and bound321 ("\_-\ominus_{321}")
lemma "R-\ominus_{123} = (\lambdaA B. \lambdac. \foralla b. A a \rightarrow B b \rightarrow R a b c)"
lemma "R-\ominus_{132} = (\lambdaA C. \lambdab. \foralla c. A a \rightarrow C c \rightarrow R a b c)"
lemma "R-\ominus_{213} = (\lambdaB A. \lambdac. \forall b a. B b 	o A a 	o R a b c)"
lemma "R-\ominus_{231} = (\lambdaB C. \lambdaa. \forall b c. B b \rightarrow C c \rightarrow R a b c)"
lemma "R-\ominus_{312} = (\lambda {\it C} A. \lambda {\it b}. \forall {\it c} a. {\it C} c \rightarrow A a \rightarrow R a b c)"
lemma "R-\ominus_{321} = (\lambda \textit{C} B. \lambda \textit{a}. \forall \textit{c} b. \textit{C} c \rightarrow B b \rightarrow R a b c)"
```

Again, note that the different bound operators can be similarly interrelated by permutation.

#### 13.2.3 Dual-Images and Dual-Bounds

As for the dual images, we take this as starting point.

```
definition rightDualImage2::"Rel<sub>3</sub>('a,'b,'c) \Rightarrow Set('a) \Rightarrow Set('b) \Rightarrow Set('c)" ("rightDualImage<sub>2</sub>") where "rightDualImage<sub>2</sub> R \equiv \lambdaA B. \lambdac. \forall a b. R a b c \rightarrow A a \rightarrow B b" declare rightDualImage2_def[rel_defs]

As in the case of binary relations, (left-, right-, ...) image-operators have their duals too.
```

```
abbreviation(input) dualImage123::"Rel<sub>3</sub>('a,'b,'c) \Rightarrow Set('a) \Rightarrow Set('b) \Rightarrow Set('c)" ("\square_{123}") where "\square_{123} \equiv rightDualImage<sub>2</sub> \circ C<sub>123</sub>" — C<sub>123</sub> being identity is its own inverse (involutive) abbreviation(input) dualImage132::"Rel<sub>3</sub>('a,'b,'c) \Rightarrow Set('a) \Rightarrow Set('c) \Rightarrow Set('b)" ("\square_{132}") where "\square_{132} \equiv rightDualImage<sub>2</sub> \circ C<sub>132</sub>" — C<sub>132</sub> is its own inverse abbreviation(input) dualImage213::"Rel<sub>3</sub>('a,'b,'c) \Rightarrow Set('b) \Rightarrow Set('c) \Rightarrow Set('c)" ("\square_{213}")
```

```
where "\square_{213} \equiv rightDualImage_2 \circ C_{213}" — C_{213} is its own inverse
abbreviation(\textit{input}) \ \textit{dualImage231}::"Rel_3('a,'b,'c) \Rightarrow \textit{Set}('b) \Rightarrow \textit{Set}('c) \Rightarrow \textit{Set}('a)" \ ("\square_{231}")
   where "\square_{231} \equiv rightDualImage_2 \circ C_{312}" — C_{312}/L is the inverse of C_{231}/R
abbreviation(input) dualImage312::"Rel<sub>3</sub>('a,'b,'c) \Rightarrow Set('c) \Rightarrow Set('a) \Rightarrow Set('b)" ("\square_{312}")
   where "\square_{312} \equiv rightDualImage_2 \circ C_{231}" — C_{231}/R is the inverse of C_{312}/L
abbreviation(input) dualImage321::"Rel<sub>3</sub>('a,'b,'c) \Rightarrow Set('c) \Rightarrow Set('b) \Rightarrow Set('a)" ("\square_{321}")
   where "\square_{321} \equiv rightDualImage_2 \circ C_{321}"
                                                                     — C_{321} is its own inverse
notation(input) dualImage123 ("\_-\square_{123}") and dualImage132 ("\_-\square_{132}") and
                         dualImage213 ("_-\square_{213}") and dualImage231 ("_-\square_{231}") and
                         dualImage312 ("\_-\square_{312}") and dualImage321 ("\_-\square_{321}")
lemma "R-\square_{123} = (\lambdaA B. \lambdac. \forall a b. R a b c \rightarrow A a \rightarrow B b)"
lemma "R-\square_{132} = (\lambdaA C. \lambdab. \foralla c. R a b c \rightarrow A a \rightarrow C c)"
lemma "R-\square_{213} = (\lambdaB A. \lambdac. \forall b a. R a b c 	o B b 	o A a)"
lemma "R-\square_{231} = (\lambdaB C. \lambdaa. \forall b c. R a b c \rightarrow B b \rightarrow C c)"
lemma "R-\square_{321} = (\lambda \textit{C} B. \lambdaa. \forall \textit{c} b. R a b \textit{c} \rightarrow \textit{C} c \rightarrow B b)"
lemma "R-\square_{312} = (\lambda \textit{C} A. \lambda \textit{b}. \forall \textit{c} a. R a b \textit{c} \rightarrow \textit{C} c \rightarrow A a)"
     Again, note that the dual-images of a relation can be similarly interrelated by permutation.
lemma "R - \Box_{123} = (C_{132} R) - \Box_{132}"
lemma "R - \Box_{123} = (C_{213} R) - \Box_{213}"
lemma "R-\square_{123} = (C_{231} R)-\square_{231}"
lemma "R-\square_{123} = (C_{312} R)-\square_{312}"
lemma "R - \Box_{123} = (C_{321} R) - \Box_{321}"
lemma "R-\square_{132} = (C_{231} R)-\square_{213}"
lemma "R-\square_{132} = (C_{321} R)-\square_{312}"
lemma "R-\square_{213} = (C_{132} R)-\square_{312}"
lemma "R - \square_{213} = (C_{231} R) - \square_{321}"
lemma "R-\square_{231} = (C_{132} R)-\square_{321}"
lemma "R - \Box_{231} = (C_{231} R) - \Box_{312}"
lemma "R - \Box_{312} = (C_{213} R) - \Box_{321}"
lemma "R-\square_{312} = (C_{231} R)-\square_{123}"
lemma "R-\square_{321} = (C_{213} R)-\square_{312}"
lemma "R-\Box_{321} = (C_{321} R)-\Box_{123}"
     Check dualities.
lemma image123_dual: "-,--DUAL_2 (R-\lozenge_{123}) (R-\square_{123})"
lemma image132_dual: "-,--DUAL_2 (R-\lozenge_{132}) (R-\square_{132})"
lemma image213_dual: "-,--DUAL_2 (R-\lozenge_{213}) (R-\square_{213})"
lemma image231_dual: "-,--DUAL_2 (R-\lozenge_{231}) (R-\square_{231})"
lemma image312_dual: "-,--DUAL_2 (R-\lozenge_{312}) (R-\square_{312})"
lemma image321_dual: "-,--DUAL_2 (R-\lozenge_{321}) (R-\square_{321})"
     For the dual-bounds, we take the following as starting point.
\textbf{definition} \ \textit{rightDualBound2::"Rel}_{3}('a,'b,'c) \Rightarrow \textit{Set}('a) \Rightarrow \textit{Set}('b) \Rightarrow \textit{Set}('c)" \ ("rightDualBound2")
   where "rightDualBound_2 R \equiv \lambda A B. \lambda c. \exists a b. A a \leftarrow B b \leftarrow R a b c"
declare rightDualBound2_def[rel_defs]
abbreviation(input) dualBound123::"Rel_3('a,'b,'c) \Rightarrow Set('a) \Rightarrow Set('b) \Rightarrow Set('c)" ("\oslash_{123}")
   where "\oslash_{123} \equiv rightDualBound_2 \circ C_{123}" — C_{123} as identity permutation is its own inverse (involu-
tive)
\textbf{abbreviation(input) dualBound132::"Rel}_3(\text{'a,'b,'c}) \Rightarrow \textit{Set('a)} \Rightarrow \textit{Set('c)} \Rightarrow \textit{Set('b)" ("} \oslash_{132}")
   where "\oslash_{132} \equiv rightDualBound_2 \circ C_{132}" — C_{132} is its own inverse
\textbf{abbreviation(input) dualBound213::"Rel}_{3}(\texttt{'a,'b,'c}) \Rightarrow \textit{Set('b)} \Rightarrow \textit{Set('a)} \Rightarrow \textit{Set('c)"} (\texttt{"} \oslash_{213} \texttt{"})
   where "\oslash_{213} \equiv rightDualBound_2 \circ C_{213}" — C_{213} is its own inverse
abbreviation(input) \ dual Bound 231::"Rel_3('a,'b,'c) \Rightarrow Set('b) \Rightarrow Set('c) \Rightarrow Set('a)" \ (" \otimes_{231}")
   where "\oslash_{231} \equiv rightDualBound_2 \circ C_{312}" — C_{312}/L is the inverse of C_{231}/R
```

```
abbreviation(input) dualBound312::"Rel_3('a,'b,'c) \Rightarrow Set('c) \Rightarrow Set('a) \Rightarrow Set('b)" ("\oslash_{312}")
   where "\oslash_{312} \equiv rightDualBound_2 \circ C_{231}" — C_{231}/R is the inverse of C_{312}/L
\textbf{abbreviation(input) dualBound321::"Rel}_3(\text{'a,'b,'c}) \Rightarrow \textit{Set('c)} \Rightarrow \textit{Set('b)} \Rightarrow \textit{Set('a)" ("} \oslash_{321}")
   where "\bigcirc_{321} \equiv rightDualBound_2 \circ C_{321}" — C_{321} is its own inverse
notation(input) dualBound123 ("\_-\bigcirc_{123}") and dualBound132 ("\_-\bigcirc_{132}") and
                           dualBound213 ("_-\oslash_{213}") and dualBound231 ("_-\oslash_{231}") and
                           dualBound312 ("_-\odot_{312}") and dualBound321 ("_-\odot_{321}")
lemma "R-\oslash_{123} = (\lambda A B. \lambda c. \exists a b. A a \leftarrow B b \leftarrow R a b c)"
lemma "R-\oslash_{132} = (\lambdaA C. \lambdab. \existsa c. A a \leftarrow C c \leftarrow R a b c)"
lemma "R-\oslash_{213} = (\lambda B A. \lambda c. \exists b a. B b \leftarrow A a \leftarrow R a b c)"
lemma "R-\oslash_{231} = (\lambda B C. \lambda a. \exists b c. B b \leftarrow C c \leftarrow R a b c)"
lemma "R-\oslash_{312} = (\lambda \mathcal{C} A. \lambda \mathcal{b}. \exists \, \mathcal{c} a. \mathcal{C} c \leftarrow A a \leftarrow R a \mathcal{b} c)"
lemma "R-\oslash_{321} = (\lambda C B. \lambdaa. \exists c b. C c \leftarrow B b \leftarrow R a b c)"
     Similarly, dual-bounds can also be similarly interrelated by permutation.
lemma "R - \bigcirc_{123} = (C_{132} R) - \bigcirc_{132}"
lemma "R - \oslash_{123} = (C_{213} R) - \oslash_{213}"
lemma "R - \bigcirc_{123} = (C_{231} R) - \bigcirc_{231}"
lemma "R - \bigcirc_{123} = (C_{312} R) - \bigcirc_{312}"
lemma "R - \bigcirc_{123} = (C_{321} R) - \bigcirc_{321}"
lemma "R-\oslash_{132} = (C_{231} R)-\oslash_{213}"
lemma "R-\oslash_{132} = (C_{321} R)-\oslash_{312}"
lemma "R - \bigcirc_{213} = (C_{132} R) - \bigcirc_{312}"
lemma "R-\bigcirc_{213} = (C_{231} R)-\bigcirc_{321}"
lemma "R - \oslash_{231} = (C_{132} R) - \oslash_{321}"
lemma "R - \bigcirc_{231} = (C_{231} R) - \bigcirc_{312}"
lemma "R - \oslash_{312} = (C_{213} R) - \oslash_{321}"
lemma "R - \oslash_{312} = (C_{231} R) - \oslash_{123}"
lemma "R - \bigcirc_{321} = (C_{213} R) - \bigcirc_{312}"
lemma "R - \bigcirc_{321} = (C_{321} R) - \bigcirc_{123}"
```

# Check dualities.

```
lemma bound123_dual: "-,--DUAL2 (R-\ominus123) (R-\bigcirc123)" lemma bound132_dual: "-,--DUAL2 (R-\ominus132) (R-\bigcirc132)" lemma bound213_dual: "-,--DUAL2 (R-\ominus213) (R-\bigcirc213)" lemma bound231_dual: "-,--DUAL2 (R-\ominus231) (R-\bigcirc231)" lemma bound312_dual: "-,--DUAL2 (R-\ominus312) (R-\bigcirc312)" lemma bound321_dual: "-,--DUAL2 (R-\ominus312) (R-\bigcirc312)"
```

#### 13.3 Transformations

We can always make a unary image/bound operator out of its binary counterpart as follows.

```
lemma "R-\Diamond_{\rightarrow} A=(K\ R)-\Diamond_{123}\ A\ A"
lemma "R-\ominus_{\rightarrow} A=(K\ R)-\ominus_{123}\ A\ A"
lemma "R-\Diamond_{\leftarrow} A=(K\ R^{\smile})-\Diamond_{123}\ A\ A"
lemma "R-\ominus_{\leftarrow} A=(K\ R^{\smile})-\ominus_{123}\ A\ A"
```

And the same holds for the dual variants.

```
lemma "R-\Box_{\rightarrow} A = (K R)-\Box_{123} (-A) A" lemma "R-\oslash_{\rightarrow} A = (K R)-\oslash_{123} (-A) A" lemma "R-\Box_{\leftarrow} A = (K R)-\Box_{123} (-A) A" lemma "R-\oslash_{\leftarrow} A = (K R)-\bigtriangledown_{123} (-A) A"
```

The converse conversion is not possible in general:

```
proposition "\forall T. \exists R. \forall A. (T-\lozenge_{123} A A) = (R-\lozenge_{\rightarrow} A)" nitpick — countermodel found In particular, this does not hold (for arbitrary T) proposition "(T-\lozenge_{123} A A) = ((\lambda a b. T a a b)-\lozenge_{\rightarrow} A)" nitpick — countermodel found
```

# 13.4 Adjunctions

Check that similar adjunction conditions obtain among binary set-operators as for their unary counterparts.

### 13.4.1 Residuation and Coresiduation

Residuation (coresiduation) between  $\Diamond$  and  $\Box$  ( $\ominus$  and  $\oslash$ ) obtains when swapping second and third parameters.

```
lemma image123_residuation: "(\subseteq),(\subseteq)-ADJ<sub>2</sub> (R-\bigcirc<sub>123</sub>) (R-\square<sub>132</sub>)" lemma image132_residuation: "(\subseteq),(\subseteq)-ADJ<sub>2</sub> (R-\bigcirc<sub>132</sub>) (R-\square<sub>123</sub>)" lemma image213_residuation: "(\subseteq),(\subseteq)-ADJ<sub>2</sub> (R-\bigcirc<sub>213</sub>) (R-\square<sub>231</sub>)" lemma image231_residuation: "(\subseteq),(\subseteq)-ADJ<sub>2</sub> (R-\bigcirc<sub>231</sub>) (R-\square<sub>231</sub>)" lemma image312_residuation: "(\subseteq),(\subseteq)-ADJ<sub>2</sub> (R-\bigcirc<sub>312</sub>) (R-\square<sub>312</sub>)" lemma image321_residuation: "(\subseteq),(\subseteq)-ADJ<sub>2</sub> (R-\bigcirc<sub>312</sub>) (R-\square<sub>312</sub>)" lemma bound123_coresiduation: "(\subseteq),(\subseteq)-ADJ<sub>2</sub> (\subseteq0 (R-\bigcirc132)) (-\bigcirc0 (R-\bigcirc132))" lemma bound132_coresiduation: "(\subseteq),(\subseteq)-ADJ<sub>2</sub> (-\bigcirc0 (R-\bigcirc132)) (-\bigcirc0 (R-\bigcirc133))" lemma bound213_coresiduation: "(\subseteq),(\subseteq)-ADJ<sub>2</sub> (-\bigcirc0 (R-\bigcirc213)) (-\bigcirc0 (R-\bigcirc231))" lemma bound312_coresiduation: "(\subseteq),(\subseteq)-ADJ<sub>2</sub> (-\bigcirc0 (R-\bigcirc231)) (-\bigcirc0 (R-\bigcirc231))" lemma bound312_coresiduation: "(\subseteq),(\subseteq)-ADJ<sub>2</sub> (-\bigcirc0 (R-\bigcirc312)) (-\bigcirc0 (R-\bigcirc312))" lemma bound321_coresiduation: "(\subseteq),(\subseteq)-ADJ<sub>2</sub> (-\bigcirc0 (R-\bigcirc312)) (-\bigcirc0 (R-\bigcirc312))" lemma bound321_coresiduation: "(\subseteq),(\subseteq)-ADJ<sub>2</sub> (-\bigcirc0 (R-\bigcirc312)) (-\bigcirc0 (R-\bigcirc312))"
```

#### 13.4.2 Galois-connection and its Dual

(Dual)Galois-connections for pairs of  $\ominus$  ( $\oslash$ ) also obtain when swapping second and third parameters.

```
lemma bound123_galois: "(\subseteq),(\subseteq)-GAL<sub>2</sub> (R-\ominus<sub>123</sub>) (R-\ominus<sub>132</sub>)" lemma bound213_galois: "(\subseteq),(\subseteq)-GAL<sub>2</sub> (R-\ominus<sub>213</sub>) (R-\ominus<sub>231</sub>)" lemma bound312_galois: "(\subseteq),(\subseteq)-GAL<sub>2</sub> (R-\ominus<sub>312</sub>) (R-\ominus<sub>321</sub>)" lemma dualBound123_dualgalois: "(\bigcirc),(\bigcirc)-GAL<sub>2</sub> (R-\bigcirc<sub>123</sub>) (R-\bigcirc<sub>132</sub>)" lemma dualBound213_dualgalois: "(\bigcirc),(\bigcirc)-GAL<sub>2</sub> (R-\bigcirc<sub>213</sub>) (R-\bigcirc<sub>231</sub>)" lemma dualBound312_dualgalois: "(\bigcirc),(\bigcirc)-GAL<sub>2</sub> (R-\bigcirc<sub>312</sub>) (R-\bigcirc<sub>321</sub>)"
```

# 13.4.3 Conjugation and its Dual

Similarly, (dual)conjugations for pairs of  $\Diamond$  ( $\Box$ ) obtain when swapping second and third parameters.

```
lemma image123_conjugation: "(\subseteq),(\subseteq)-GAL<sub>2</sub> (- \circ_2 (R-\lozenge_{123})) (- \circ_2 (R-\lozenge_{132}))" lemma image213_conjugation: "(\subseteq),(\subseteq)-GAL<sub>2</sub> (- \circ_2 (R-\lozenge_{213})) (- \circ_2 (R-\lozenge_{231}))" lemma image312_conjugation: "(\subseteq),(\subseteq)-GAL<sub>2</sub> (- \circ_2 (R-\lozenge_{312})) (- \circ_2 (R-\lozenge_{321}))" lemma dualImage123_dualconjugation: "(\supseteq),(\supseteq)-GAL<sub>2</sub> (- \circ_2 (R-\square_{123})) (- \circ_2 (R-\square_{132}))" lemma dualImage213_dualconjugation: "(\supseteq),(\supseteq)-GAL<sub>2</sub> (- \circ_2 (R-\square_{213})) (- \circ_2 (R-\square_{231}))" lemma dualImage312_dualconjugation: "(\supseteq),(\supseteq)-GAL<sub>2</sub> (- \circ_2 (R-\square_{312})) (- \circ_2 (R-\square_{321}))"
```

end

# 14 Spaces

Spaces are sets of sets (of ... "points"). They are the main playground of mathematicians.

```
theory spaces imports endorelations begin
```

named theorems space defs

#### 14.1Spaces as Quantifiers and co.

```
Quantifiers are particular kinds of spaces.
term "\forall :: Space('a)" — \forall is the space that contains only the universe
lemma All_simp1: "{\mathcal{U}} = \forall "
lemma All_simp2:"(\subseteq) \mathfrak{U} = \forall "
term "\exists :: Space('a)" — \exists is the space that contains all but the empty set
lemma Ex\_simp1: "{\emptyset} = \exists "
lemma Ex\_simp2: "(\supseteq) \emptyset = \#"
term "∄ :: Space('a)" — ∄ is the space that contains only the empty set
lemma nonEx_simp: "{∅} = ∄"
    In general, any property of sets corresponds to a space. For instance:
term "unique :: Space('a)" — unique is the space that contains all and only univalent sets (having at
most one element)
term "\exists! :: Space('a)" — \exists! is the space that contains all and only singleton sets
lemma unique_def2: "unique = ∄ ∪ ∃!"
lemma singleton_def2: "\exists ! = \exists \cap unique"
lemma singleton_def3: "\exists !A = (\exists a. A = {a})"
    Further convenient instances of spaces.
definition upair::"Space('a)" ("\exists_{\leq 2}") — \exists_{\leq 2} contains the unordered pairs (sets with one or two
   where \exists \leq_2 \equiv \exists^2 \circ (\Phi_{21} (\cap^r) (\mathbb{W} (\times)) (\mathbb{R} \mathbb{E} (\Psi_2 (\cup) \mathcal{Q}) (\subseteq)))
definition doubleton:: "Space('a)" ("\exists !_2") — \exists !_2 contains the doubletons (sets with two (different)
elements)
   where \langle \exists !_2 \equiv \exists_{\leq 2} \setminus \exists ! \rangle
declare unique_def[space_defs] singleton_def[space_defs] doubleton_def[space_defs] upair_def[space_defs]
lemma "\exists_{\leq 2} A = \exists^2 ((A \times A) \cap^r (\lambda x \ y. \ A \subseteq \{x,y\}))" lemma doubleton_def2: "\exists_{\leq 2} A = (\exists x \ y. \ A \ x \land A \ y \land (\forall z. \ A \ z \rightarrow (z = x \lor z = y)))"
lemma \langle \exists !_2 A = (\exists x y. x \neq y \land A x \land A y \land (\forall z. A z \rightarrow (z = x \lor z = y))) \rangle
lemma upair_def2: "\exists < 2 = \exists ! \cup \exists !_2"
lemma doubleton_def3: "\exists !_2 A = (\exists a \ b. \ a \neq b \land A = \{a,b\})"
lemma upair_def3: "\exists_{<2}A = (\exists a b. A = \{a,b\})"
     Convenient abbreviation for sets that have 2 or more elements.
abbreviation(input) nonUnique::"Space('a)" ("∃>2")
   where "\exists_{>2}A \equiv \neg (\text{unique } A)"
    Sets, in general, are the bigunions of their contained singletons.
lemma singleton_gen: "S = \bigcup (\wp S \cap \exists !)"
    Sets with more than one element are the bigunions of their contained doubletons.
lemma doubleton_gen: "\exists_{\geq 2} S \Longrightarrow S = \bigcup (\wp S \cap \exists !_2)"
    Sets, in general, are the bigunions of their contained unordered pairs.
lemma upair_gen: "S = \bigcup (\wp S \cap \exists_{\leq 2})"
    Some useful equations:
```

 $\mathbf{lemma\ doubleton\_prop:\ "(}\forall\, \mathtt{D.\ D}\,\subseteq\, \mathtt{S}\,\rightarrow\,\exists\,\, !_{2}\mathtt{D}\,\rightarrow\, \mathtt{P}\,\,\mathtt{D})\,\,\texttt{=}\,\,(}\forall\, \mathtt{x}\,\,\mathtt{y.}\,\, \mathtt{S}\,\,\mathtt{x}\,\,\land\,\, \mathtt{S}\,\,\mathtt{y}\,\,\rightarrow\,\,\mathtt{x}\,\,\neq\,\,\mathtt{y}\,\,\rightarrow\,\,\mathtt{P}\,\,\{\mathtt{x},\mathtt{y}\})\,\mathtt{"}}$ 

 $\mathbf{lemma\ singleton\_prop:\ "(}\forall\, \mathtt{D.\ D}\,\subseteq\, \mathtt{S}\,\rightarrow\,\exists\,\,\mathtt{!D}\,\rightarrow\,\mathtt{P}\,\,\mathtt{D}\mathtt{)}\,\,\mathtt{=}\,\,(}\forall\,\mathtt{x.\ S}\,\,\mathtt{x}\,\rightarrow\,\mathtt{P}\,\,\{\mathtt{x}\}\mathtt{)}\,\mathtt{"}$ 

 $\mathbf{lemma\ upair\_prop:\ "(}\forall\, \mathtt{D.\ D}\,\subseteq\, \mathtt{S}\,\rightarrow\,\exists_{\,\leq\,2}\mathtt{D}\,\rightarrow\,\mathtt{P\ D}\mathtt{)}\,\,\texttt{=}\,\,(}\forall\,\mathtt{x}\,\,\mathtt{y.}\,\,\mathtt{S}\,\,\mathtt{x}\,\,\land\,\,\mathtt{S}\,\,\mathtt{y}\,\,\rightarrow\,\mathtt{P}\,\,\{\mathtt{x},\mathtt{y}\}\mathtt{)}\,\mathtt{"}}$ 

# 14.2 Spaces via Closure under Operations

We obtain spaces by considering the set of sets closed under the given (n-ary) operation.

```
term "f-closed<sub>1</sub> :: Space('a)"
term "g-closed<sub>2</sub> :: Space('a)"
term "F-closed<sub>G</sub> :: Space('a)"
term "\varphi-closed<sub>S</sub> :: Space('a)"
```

# 14.3 Spaces from Endorelations

The following definitions correspond to functions that take an endorelation R and return the space of those sets satisfying a particular property wrt. R.

### 14.3.1 Lub- and Glb-related Definitions

These definitions generalize the "complete join/meet-semilattice" property (existence of suprema resp. infima).

```
\begin{array}{l} \textbf{definition } \textit{lubComplete::"ERel('a)} \Rightarrow \textit{Space('a)" ("\_-lubComplete")} \\ \textbf{where } \textit{"R-lubComplete} \equiv \Phi_{21} \ (\subseteq) \ \wp \ ((\texttt{R D (R-lub)) (\sqcap)})" \\ \textbf{definition } \textit{glbComplete::"ERel('a)} \Rightarrow \textit{Space('a)" ("\_-glbComplete")} \\ \textbf{where } \textit{"R-glbComplete} \equiv \Phi_{21} \ (\subseteq) \ \wp \ ((\texttt{R D (R-glb)) (\sqcap)})" \ -- \ \text{all of S-subsets have a glb (wrt R)} \\ \textbf{in S} \\ \textbf{declare } \textit{lubComplete\_def[space\_defs] } \ \textit{glbComplete\_def[space\_defs]} \\ \end{array}
```

All of S-subsets have a lub (wrt R) in S.

```
\begin{array}{lll} \textbf{lemma} & \text{"$R$-lubComplete = ($\lambda S. \wp S \subseteq ((R D (R-lub)) (\sqcap) S))$"} \\ \textbf{lemma} & \text{lubComplete\_def2: "$R$-lubComplete = ($\lambda S. \forall D. D \subseteq S \longrightarrow (R-lub D \sqcap S))$"} \end{array}
```

All of S-subsets have a glb (wrt R) in S.

```
\label{lemma:lubComplete_defT: "R-lubComplete = R or -glbComplete"} \\ lemma \ glbComplete\_defT: "R-glbComplete = R or -lubComplete"
```

Limit-completeness of a relation can be expressed in terms of either lub- or glb-completeness.

Note that lub/glb-completeness is neither monotonic nor antitonic, for instance:

```
proposition "A \subseteq B \implies R-lubComplete A \implies R-lubComplete B" nitpick — countermodel found proposition "A \subseteq B \implies R-lubComplete B \implies R-lubComplete A" nitpick — countermodel found
```

The following related propertes correspond to closure under the lub resp. glb set-operation wrt R.

```
definition lubClosed::"ERel('a) ⇒ Space('a)" ("_-lubClosed")
where "R-lubClosed ≡ (R-lub)-closed<sub>S</sub>"
definition glbClosed::"ERel('a) ⇒ Space('a)" ("_-glbClosed")
where "R-glbClosed ≡ (R-glb)-closed<sub>S</sub>"

declare lubClosed_def[space_defs] glbClosed_def[space_defs]
lemma lubClosed_defT: "R-lubClosed = R -glbClosed"
lemma glbClosed_defT: "R-glbClosed = R -lubClosed"
```

Recalling that antisymmetry entails uniqueness of lub/glb (when they exist), we have in fact.

```
\operatorname{lemma} \operatorname{lubComplete\_lubClosed}: "antisymmetric R \Longrightarrow R-\operatorname{lubComplete} S \Longrightarrow R-\operatorname{lubClosed} S"
\textbf{lemma glbComplete\_glbClosed: "antisymmetric R} \implies \texttt{R-glbComplete S} \implies \texttt{R-glbClosed S"}
    However, being closed under lub/glb does not entail existence of lub/glb.
proposition "\exists S \Longrightarrow R-lubClosed S \Longrightarrow R-lubComplete S" nitpick — countermodel found
proposition "\exists S \implies R-glbClosed S \implies R-glbComplete S" nitpick — countermodel found
    In fact, for limit-complete relations, closure under lub/glb does entail existence of lub/glb.
lemma\ lubClosed\_lubComplete:\ "limitComplete\ R \implies R-lubClosed\ S \implies R-lubComplete\ S"
\operatorname{lemma} glbClosed_glbComplete: "limitComplete R \Longrightarrow R-glbClosed S \Longrightarrow R-glbComplete S"
lemma\ lubClosed\_def2: "antisymmetric R \implies limitComplete R \implies R-lubComplete = R-lubClosed"
lemma~glbClosed\_def2: "antisymmetric R \Longrightarrow limitComplete R \Longrightarrow R-glbComplete = R-glbClosed"
           Upwards- and Downwards-Closure
definition upwardsClosed::"ERel('a) ⇒ Space('a)" ("_-upwardsClosed")
   where "R-upwardsClosed \equiv (R-upImage)-closed<sub>S</sub>"
\mathbf{definition} \ \ downwards \textit{Closed::"ERel('a)} \ \Rightarrow \ \ \textit{Space('a)"} \ \ ("\_-downwards \textit{Closed"})
   where "R-downwardsClosed \equiv (R-downImage)-closed<sub>S</sub>"
declare upwardsClosed_def[space_defs] downwardsClosed_def[space_defs]
lemma \ upwards{\it Closed\_defT:} \ "R-upwards{\it Closed} = R ^{\smile} - downwards{\it Closed"}
lemma downwardsClosed_defT: "R-downwardsClosed = R -upwardsClosed"
\mathbf{lemma} \ \ \mathbf{upwardsClosed\_def2:} \ \ "R-\mathbf{upwardsClosed} \ \ \mathbf{S} \ = \ (\forall \ \mathbf{x} \ \ \mathbf{y}. \ \ \mathbf{R} \ \ \mathbf{x} \ \ \mathbf{y} \ \longrightarrow \ \mathbf{S} \ \ \mathbf{x} \ \longrightarrow \ \mathbf{S} \ \ \mathbf{y}) \ "
\mathbf{lemma} \ \ \mathit{downwardsClosed\_def2:} \ \ "R-\mathit{downwardsClosed} \ \ \mathit{S} \ = \ (\forall \ \mathit{x} \ \mathit{y}. \ \ \mathit{R} \ \ \mathit{x} \ \mathit{y} \ \longrightarrow \ \mathit{S} \ \mathit{y} \ \longrightarrow \ \mathit{S} \ \mathit{x}) \ "
lemma\ upwardsClosed\_def3:\ "skeletal\ R \implies R-upwardsClosed\ S = (orall\ D.\ \exists\ (R-glb\ D) \longrightarrow (R-glb\ D)
D) \subseteq S \longrightarrow D \subseteq S)"
lemma\ downwardsClosed\ def3:\ "skeletal\ R \implies R-downwardsClosed\ S = (orall\ D.\ \exists\ (R-lub\ D) \longrightarrow (R-lub\ R-lub\ D)
D) \subset S \longrightarrow D \subset S"
lemma\ upwardsClosed\_def4:\ "skeletal\ R \implies limitComplete\ R \implies R-upwardsClosed\ S = (orall\ D.\ (R-glb))
D) \subseteq S \longrightarrow D \subseteq S)"
\operatorname{lemma}\ \operatorname{downwardsClosed\_def4}\colon \operatorname{"skeletal}\ \mathtt{R} \Longrightarrow \operatorname{limitComplete}\ \mathtt{R} \Longrightarrow \mathtt{R}\operatorname{-downwardsClosed}\ \mathtt{S} = (\forall\ \mathtt{D}.
(R-lub\ D)\subseteq S\longrightarrow D\subseteq S)"
            Existence of Greatest- and Least-Elements
Another interesting property is existence of greatest resp. least elements.
definition greatestExist::"ERel('a) ⇒ Space('a)" ("_-greatestExist")
   where "R-greatestExist \equiv \exists \circ R-greatest"
definition leastExist::"ERel('a) ⇒ Space('a)" ("_-leastExist")
   where "R-leastExist \equiv \exists \circ R-least"
declare greatestExist_def[space_defs] leastExist_def[space_defs]
    In fact, recalling that:
lemma "R-greatest S = (S \cap R-upperBound S)"
lemma "R-least S = (S \cap R\text{-lowerBound } S)"
```

lemma greatestExist\_defT: "R-greatestExist = R~-leastExist"

```
lemma leastExist_defT: "R-leastExist = R~-greatestExist"
```

We have that existence of greatest/least elements for S is equivalent to every S-subset having upper/lower bounds (wrt R) in S. (Note that this is a strong variant of up/downwards directedness.)

```
lemma greatestExist_def2: "R-greatestExist S = (\forall D. D \subseteq S \longrightarrow \exists (S \cap R\text{-upperBound } D))" lemma leastExist_def2: "R-leastExist S = (\forall D. D \subseteq S \longrightarrow \exists (S \cap R\text{-lowerBound } D))"
```

Or, alternatively:

```
\begin{array}{lll} \textbf{lemma greatestExist\_def3: "R-greatestExist $S = (\exists S \land (\forall D. D \subseteq S \longrightarrow \exists D \longrightarrow \exists (S \cap R-upperBound D)))"} \\ \textbf{lemma leastExist\_def3: "R-leastExist $S = (\exists S \land (\forall D. D \subseteq S \longrightarrow \exists D \longrightarrow \exists (S \cap R-lowerBound D)))"} \end{array}
```

In fact, existence of greatest/least-elements is a strictly weaker property than lub/glb-completeness.

```
\label{lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lemma:lem
```

```
\mathbf{lemma} \ \ \mathsf{greatestExist\_lubClosed} \colon \ \ \mathsf{''R-downwardsClosed} \ \ S \implies \mathsf{R-greatestExist} \ \ S \implies \mathsf{R-lubClosed} \ \ S''
```

```
\mathbf{lemma} \ \ \mathsf{leastExist\_glbClosed} \colon \ \ \mathsf{"R-upwardsClosed} \ \ S \implies \mathsf{R-leastExist} \ \ S \implies \mathsf{R-glbClosed} \ \ S"
```

 $\mathbf{lemma} \ \ \mathbf{leastExist\_def4} : \ \ \mathbf{''limitComplete} \ \ \mathbf{R} \Longrightarrow \ \mathbf{R} - \mathbf{upwardsClosed} \ \ S \Longrightarrow \ \mathbf{R} - \mathbf{leastExist} \ \ S = \mathbf{R} - \mathbf{glbClosed} \ \ S''$ 

# 14.3.4 Upwards- and Downwards-Directedness

The property of a set being "up/downwards directed" wrt. an endorelation: Every pair of S-elements has upper/lower-bounds (wrt R) in S.

```
definition upwardsDirected::"ERel('a) \Rightarrow Space('a)" ("_-upwardsDirected") where "R-upwardsDirected \equiv \Phi_{21} (\subseteq") (W (\times)) (R E (\Psi_{2} (\cap) R) (\sqcap))" definition downwardsDirected::"ERel('a) \Rightarrow Space('a)" ("_-downwardsDirected") where "R-downwardsDirected \equiv \Phi_{21} (\subseteq") (W (\times)) (R E (\Psi_{2} (\cap) (C R)) (\sqcap))"
```

declare upwardsDirected\_def[space\_defs] downwardsDirected\_def[space\_defs]

lemma downwardsDirected\_defT: "R-downwardsDirected = R -upwardsDirected"

```
lemma "R-upwardsDirected = (\lambda S. (S \times S) \subseteq^r (\lambda a \ b. \ S \sqcap (\Psi_2 (\cap) \ R \ a \ b)))" lemma "R-upwardsDirected = (\lambda S. (S \times S) \subseteq^r (\lambda a \ b. \ S \sqcap (R \ a \cap R \ b)))" lemma "R-upwardsDirected = (\lambda S. \forall a \ b. \ S \ a \land S \ b \longrightarrow (\exists \ c. \ S \ c \land R \ a \ c \land R \ b \ c))" lemma "R-downwardsDirected = (\lambda S. (S \times S) \subseteq^r (\lambda a \ b. \ S \sqcap (\Psi_2 (\cap) (C \ R) \ a \ b)))" lemma "R-downwardsDirected = (\lambda S. (S \times S) \subseteq^r (\lambda a \ b. \ S \sqcap (R \subseteq a \cap R \subseteq b)))" lemma "R-downwardsDirected = (\lambda S. \forall a \ b. \ S \ a \land S \ b \longrightarrow (\exists \ c. \ S \ c \land R \ c \ a \land R \ c \ b))" lemma upwardsDirected_defT: "R-upwardsDirected = (A \cap A \cap B)"
```

The definition above can be rephrased as:

```
\mathbf{lemma} \ \ \mathbf{upwardsDirected\_def2:} \ \ "R-\mathbf{upwardsDirected} \ S = (\forall \ \mathbf{a} \ b. \ S \ \mathbf{a} \ \land \ S \ b \ \longrightarrow \ \exists \ (S \ \cap \ R-\mathbf{upperBound} \ \{a,b\}))"
```

lemma downwardsDirected\_def2: "R-downwardsDirected  $S = (\forall a b. S a \land S b \longrightarrow \exists (S \cap R-lowerBound \{a,b\}))$ "

```
or, alternatively:
```

```
lemma upwardsDirected_def3: "R-upwardsDirected S = (\forall D. D \subseteq S \longrightarrow \exists_{\leq 2}D \longrightarrow \exists (S \cap R\text{-upperBound D}))" lemma downwardsDirected_def3: "R-downwardsDirected S = (\forall D. D \subseteq S \longrightarrow \exists_{\leq 2}D \longrightarrow \exists (S \cap R\text{-lowerBound D}))"
```

Note that up/downwards directedness does not entail non-emptyness of S.

```
proposition "R-upwardsDirected S \longrightarrow \exists S" nitpick — countermodel found proposition "R-downwardsDirected S \longrightarrow \exists S" nitpick — countermodel found
```

#### 14.3.5 Join- and Meet-Closure

Convenient abbreviations for joins resp. meets (lub resp. glb of sets with 2 elements).

```
abbreviation(input) join ("_-join")
  where "R-join a b = R-lub {a,b}"
abbreviation(input) meet ("_-meet")
  where "R-meet a b = R-glb {a,b}"
```

Some platitudes about meets and joins.

```
lemma join_prop1: "R-lowerBound (R-join a b) a" lemma join_prop2: "R-lowerBound (R-join a b) b" lemma meet_prop1: "R-upperBound (R-meet a b) a" lemma meet_prop2: "R-upperBound (R-meet a b) b"
```

The following are weaker versions of lub/glb-closure customarily used in the literature.

```
definition joinClosed::"ERel('a) \Rightarrow Space('a)" ("\_-joinClosed") where "R-joinClosed \equiv \Phi_{21} (\subseteq^r) (W (×)) (R E (R-<math>join) \wp)" definition meetClosed::"ERel('a) \Rightarrow Space('a)" ("\_-meetClosed") where "R-meetClosed \equiv \Phi_{21} (\subseteq^r) (W (×)) (R E (R-<math>meet) \wp)"
```

declare joinClosed\_def[space\_defs] meetClosed\_def[space\_defs]

```
lemma "R-joinClosed = (\lambda S. (S \times S) \subseteq^r (R E (R-join) \wp S))" lemma "R-joinClosed = (\lambda S. (S \times S) \subseteq^r (\lambda a b. R-join a b \subseteq S))" lemma "R-joinClosed = (\lambda S. \forall a b. S a \land S b \longrightarrow R-join a b \subseteq S)" lemma "R-meetClosed = (\lambda S. (S \times S) \subseteq^r (R E (R-meet) \wp S))" lemma "R-meetClosed = (\lambda S. (S \times S) \subseteq^r (\lambda a b. R-meet a b \subseteq S))" lemma "R-meetClosed = (\lambda S. \forall a b. S a \land S b \longrightarrow R-meet a b \subseteq S)"
```

```
lemma joinClosed_defT: "R-joinClosed = R -meetClosed"
lemma meetClosed_defT: "R-meetClosed = R -joinClosed"
```

```
lemma joinClosed_def2: "joinClosed R S = (\forall p. p \subseteq S \rightarrow \exists_{\leq 2} p \rightarrow (R\text{-lub } p) \subseteq S)" lemma meetClosed_def2: "meetClosed R S = (\forall p. p \subseteq S \rightarrow \exists_{\leq 2} p \rightarrow (R\text{-glb } p) \subseteq S)"
```

 $\mathbf{lemma} \ \, \mathit{joinClosed\_upwardsDirected:} \ \, \mathit{"limitComplete} \ \, R \Longrightarrow \ \, \mathit{R-joinClosed} \ \, S \Longrightarrow \ \, \mathit{R-upwardsDirected} \ \, S''$ 

 $\mathbf{lemma} \ \texttt{meetClosed\_downwardsDirected:} \ \texttt{"limitComplete} \ \mathtt{R} \Longrightarrow \mathtt{R-meetClosed} \ \mathtt{S} \Longrightarrow \mathtt{R-downwardsDirected} \ \mathtt{S"}$ 

Thus we have:

```
 \begin{array}{l} lemma \ \ greatestExist\_upwardsDirected: \ \ "R-greatestExist \ S \implies R-upwardsDirected \ S" \\ lemma \ \ leastExist\_downwardsDirected: \ \ "R-leastExist \ S \implies R-downwardsDirected \ S" \\ \end{array}
```

Note, however:

```
\textbf{proposition} \ "\exists \textit{S} \implies \textit{R-upwardsDirected} \ \textit{S} \implies \textit{R-greatestExist} \ \textit{S"} \ \textbf{nitpick} \ -- \textbf{countermodel found}
\textbf{proposition} \ "\exists \, S \Longrightarrow \textit{R-downwardsDirected} \ S \Longrightarrow \textit{R-leastExist} \ S" \ \textbf{nitpick} \ -\text{countermodel found}
\textbf{lemma downwardsDirected\_meetClosed: "R-upwardsClosed $S \Longrightarrow $R$-downwardsDirected $S \Longrightarrow $R$-meetClosed}
lemma\ upwardsDirected\ joinClosed:\ "R-downwardsClosed\ S \implies R-upwardsDirected\ S \implies R-joinClosed
lemma\ downwardsDirected\_def4:\ "limitComplete\ R \implies R-upwardsClosed\ S \implies R-downwardsDirected
S = R\text{-meetClosed }S"
\mathbf{lemma} \ \mathbf{upwardsDirected\_def4:} \ \mathbf{"limitComplete} \ \mathbf{R} \Longrightarrow \mathbf{R} \mathbf{-downwardsClosed} \ \mathbf{S} \Longrightarrow \mathbf{R} \mathbf{-upwardsDirected}
S = R-joinClosed S''
14.3.6 Ideals and Filters
definition pseudoFilter::"ERel('a) ⇒ Space('a)" ("_-pseudoFilter")
   where "R-pseudoFilter \equiv \Phi_{21} (\subseteq^r) (R E R-meet \wp) (W (	imes))"
\mathbf{definition} \ \ \mathsf{pseudoIdeal::"ERel('a)} \ \Rightarrow \ \mathit{Space('a)"} \ \ ("\_-\mathsf{pseudoIdeal"})
   where "R-pseudoIdeal \equiv \Phi_{21} (\subseteq^r) (R E R-join \wp) (W (	imes))"
declare pseudoFilter_def[space_defs] pseudoIdeal_def[space_defs]
lemma "R-pseudoFilter = (\lambda S. (R E R-meet \wp S) \subseteq^r (S \times S))"
lemma "R-pseudoFilter = (\lambda S. \forall a b. R-meet a b \subseteq S \longrightarrow (S \text{ a } \wedge S \text{ b}))"
lemma "R-pseudoIdeal = (\lambda S. (R E R-join \wp S) \subseteq^r (S \times S))"
lemma "R-pseudoIdeal = (\lambda S. \forall a b. R-join a b \subseteq S \longrightarrow (S a \wedge S b))"
lemma pseudoFilter defT: "R-pseudoFilter = R~-pseudoIdeal"
lemma pseudoIdeal_defT: "R-pseudoIdeal = R~-pseudoFilter"
\operatorname{lemma} pseudoFilter_upwardsClosed: "skeletal R \Longrightarrow R-pseudoFilter S \Longrightarrow R-upwardsClosed S"
\mathbf{lemma} \ \ \mathsf{pseudoIdeal\_downwardsClosed} \colon \ \ \mathsf{"skeletal} \ \ \mathsf{R} \Longrightarrow \ \mathsf{R-pseudoIdeal} \ \ S \Longrightarrow \ \mathsf{R-downwardsClosed}
\operatorname{lemma} upwardsClosed_pseudoFilter: "limitComplete R \Longrightarrow R-upwardsClosed S \Longrightarrow R-pseudoFilter
lemma\ downwardsClosed\ pseudoIdeal:\ "limitComplete\ R \implies R-downwardsClosed\ S \implies R-pseudoIdeal
S''
\textbf{lemma pseudoFilter\_def2: "skeletal R} \implies \textbf{limitComplete R} \implies \textbf{R-pseudoFilter S = R-upwardsClosed}
lemma\ pseudoIdeal\_def2: "skeletal R \implies limitComplete R \implies R-pseudoIdeal S = R-downwardsClosed
S"
     The following notions abstract the order-theoretical property of filter/ideal towards relations in
general: S is a filter/ideal when all and only pairs of S-elements have their meet/join (wrt R) in S.
abbreviation(input) filter::"ERel('a) ⇒ Space('a)" (" -filter")
   where "R-filter S \equiv R-pseudoFilter S \land R-meetClosed S"
abbreviation(input) ideal::"ERel('a) \Rightarrow Space('a)" ("_-ideal")
   where "R-ideal S \equiv R-pseudoIdeal S \wedge R-joinClosed S"
lemma filter_defT: "R-filter S = R~-ideal S"
lemma ideal_defT: "R-ideal S = R - filter S"
\mathbf{lemma} \ \ \mathbf{filter\_char:} \ \ "R\text{-}\mathbf{filter} \ S \ = \ (\forall \ \mathsf{a} \ \mathsf{b}. \ \ \mathsf{R}\text{-}\mathsf{meet} \ \mathsf{a} \ \mathsf{b} \ \subseteq \ S \ \mathsf{c} \to \ S \ \mathsf{a} \ \land \ S \ \mathsf{b}) "
```

```
lemma ideal_char: "R-ideal S = (\forall a \ b. \ R-join a b \subseteq S \longleftrightarrow S \ a \land S \ b)"
lemma\ filter\_prop1:\ "limitComplete\ R \implies R-upwardsClosed\ S \implies R-downwardsDirected\ S \implies
R-filter S"
lemma\ filter\_prop2:\ "limitComplete\ R \implies R	ext{-}filter\ S \implies R	ext{-}downwardsDirected\ S"
lemma\ filter\_prop3:\ "partial\_order\ R \implies limitComplete\ R \implies R-filter\ S \implies R-upwardsClosed
lemma\ ideal\_prop1:\ "limitComplete\ R \implies R-downwardsClosed\ S \implies R-upwardsDirected\ S \implies R-ideal
S"
\mathbf{lemma} \  \, \mathbf{ideal\_prop2:} \  \, \mathbf{"limitComplete} \  \, \mathbf{R} \Longrightarrow \  \, \mathbf{R}\text{-}\mathbf{ideal} \  \, \mathbf{S} \Longrightarrow \  \, \mathbf{R}\text{-}\mathbf{upwardsDirected} \  \, \mathbf{S"}
\operatorname{lemma} ideal_prop3: "partial_order R \Longrightarrow limitComplete R \Longrightarrow R-ideal S \Longrightarrow R-downwardsClosed
lemma\ \textit{filter\_def2:}\ \textit{"partial\_order}\ \textit{R}\ \Longrightarrow\ \textit{limitComplete}\ \textit{R}\ \Longrightarrow\ \textit{R-filter}\ \textit{=}\ (\textit{R-upwardsClosed}\ \cap\ 
R-downwardsDirected)"
lemma\ ideal\_def2:\ "partial\_order\ R \implies limitComplete\ R \implies R\text{-}ideal\ =\ (R\text{-}downwardsClosed\ \cap\ R)
R-upwardsDirected)"
14.3.7 Well-Founded- and Well-Ordered-Sets
Well-foundedness of sets wrt. a given relation (as in "Nat is well-founded wrt. <").
definition wellFoundedSet::"ERel('a) ⇒ Space('a)" ("_-wellFoundedSet")
   where "wellFoundedSet \equiv B<sub>11</sub> (\supseteq) (\exists \circ_2 min) (((\cap) \exists) \circ (\supseteq))"
definition wellOrderedSet::"ERel('a) ⇒ Space('a)" ("_-wellOrderedSet")
   where "wellOrderedSet \equiv B<sub>11</sub> (\supseteq) (\exists \circ_2 least) (((\cap) \exists) \circ (\supseteq))"
declare wellFoundedSet_def[endorel_defs] wellOrderedSet_def[endorel_defs]
     Every non-empty S-subset S has min elements (in D).
\mathbf{lemma} \ \ \mathsf{wellFoundedSet\_def2:} \ \ \mathsf{"R-wellFoundedSet} \ \ \mathsf{S} \ = \ (\forall \ \mathsf{D}. \ \ \mathsf{D} \ \subseteq \ \mathsf{S} \ \longrightarrow \ \exists \ \mathsf{D} \ \longrightarrow \ \exists \ (\mathsf{R-min} \ \ \mathsf{D}))\, "
     Every non-empty S-subset D has least elements (in D).
\mathbf{lemma} \ \ \mathsf{well0rderedSet\_def2:} \ \ \mathsf{"R-well0rderedSet} \ \ \mathsf{S} \ = \ (\forall \ \mathsf{D}. \ \ \mathsf{D} \ \subseteq \ \mathsf{S} \ \longrightarrow \ \exists \ \mathsf{D} \ \longrightarrow \ \exists \ (\mathsf{R-least} \ \mathsf{D}))\, "
     As expected, we have:
lemma "wellFounded R = R-wellFoundedSet \mathfrak{U}"
lemma "wellOrdered R = R-wellOrderedSet 11"
     For non-empty sets, well-orderedness entails existence of least elements (but not the other way
\operatorname{lemma} "\exists S \Longrightarrow R	ext{-wellOrderedSet } S \Longrightarrow R	ext{-leastExist } S"
\textbf{proposition} \ "\exists S \Longrightarrow \textit{R-leastExist} \ S \Longrightarrow \textit{R-wellOrderedSet} \ S" \ \textbf{nitpick} \ -- \text{countermodel found}
lemma "(\subseteq)-wellFoundedSet {{1::nat}, {2}, {1,2}}"
lemma "\neg (\subseteq)-wellOrderedSet {{1::nat},{2},{1,2}}"
```

# References

end

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