

# Deoxygenation of the Baltic Sea during the last century

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**Deoxygenation is a global problem in coastal and open regions of the ocean, and has led to expanding areas of oxygen minimum zones and coastal hypoxia. The recent expansion of hypoxia in coastal ecosystems has been primarily attributed to global warming and enhanced nutrient input from land and atmosphere. The largest anthropogenically induced hypoxic area in the world is the Baltic Sea, where the relative importance of physical forcing versus eutrophication is still debated. We have analyzed water column oxygen and salinity profiles to reconstruct oxygen and stratification conditions over the last 115 y and compare the influence of both climate and anthropogenic forcing on hypoxia. We report a 10-fold increase of hypoxia in the Baltic Sea and show that this is primarily linked to increased inputs of nutrients from land, although increased respiration from higher temperatures during the last two decades has contributed to worsening oxygen conditions. Although shifts in climate and physical circulation are important factors modulating the extent of hypoxia, further nutrient reductions in the Baltic Sea will be necessary to reduce the ecosystems impacts of deoxygenation.**

biogeochemistry | climate change

**D**ead zones are hypoxic (low-oxygen) areas unable to support most marine life, and over the past 50 y they have spread rapidly in the open ocean (1) as well as in coastal ecosystems (2). Global warming is thought to be a major driver for these changes (3), although biogeochemical factors have also been recognized, especially in coastal marine ecosystems (4, 5). In the Baltic Sea, the present spread of hypoxia is the combined result of climate changes influencing deepwater oxygenation (6) and increased eutrophication (7, 8), resulting in a hypoxic area ranging between 12,000 and 70,000 km<sup>2</sup> with an average of 49,000 km<sup>2</sup> over the time period 1961–2000 (7). Here, we separate the effects of the two factors on oxygen conditions.

Physical factors are an important consideration in whether an ecosystem will experience hypoxia. The Baltic Sea is naturally prone to hypoxia due to a restricted water exchange with the ocean and a long residence time above 30 y (9, 10). Saltier, denser water from the North Atlantic flows over a series of shallow sills in the Danish Straits to ventilate waters below the permanent halocline and are governed by meteorological-induced variations in sea levels (11), displaying variations at decadal scales (12, 13). The dense saltwater inflows bring new supplies of oxygen to bottom waters, but at the same time enhance stratification, creating larger bottom areas that experience hypoxia (14). In particular, the ventilation of the deeper waters is attributed to events of larger inflows of high-saline water (>17), termed Major Baltic Inflows (MBIs), that have been less frequent in the last three decades (6).

Climate warming decreases oxygen solubility due to higher water temperature, increases stratification, and enhances respiration processes (15). Climate warming is likely to be accompanied by increased precipitation and inflows of freshwater and nutrients to coastal waters in many areas of the globe. Increasing nutrient inputs from land stimulates primary production and export of organic material to the deep waters, thereby disrupting the subtle natural balance between oxygen supply from physical processes and oxygen demand from consumption of organic material.

However, the importance of decreasing oxygenation versus increasing nutrient inputs for explaining the recent spread of hypoxia is not known (6, 7).

Water column measurements of dissolved oxygen concentrations began around 1900 with more regularly spaced measurements commencing in the 1960s (Fig. S1), allowing a more consistent assessment of the spatial extent of hypoxia (7, 14). The sparse temporal and spatial resolution of oxygen data before 1960 allowed only assessing hypoxia at specific locations (16) or specific years (17). To our knowledge, our study is the first to report basin-wide trends of stratification and oxygen conditions from 1898 to present, and here we will focus on the two basins that have perennial hypoxia—the Bornholm Basin and the Gotland Basin (Fig. S2). These two basins are connected via a channel with a sill depth of 60 m.

## Results

Over the past century, bottom-water temperatures increased about 2 °C in both basins (Fig. 1*A* and *B*), whereas bottom-water salinity exhibited multidecadal oscillations without trends, displaying larger and more dynamic variations in the Bornholm Basin that has a shorter residence time than the Gotland Basin (Fig. 1*C* and *D*). Periods of intense saltwater inflows, such as around 1950 (12), increased salinity of the bottom water by ~1. Saltwater inflows were weak from 1982 to 1993, termed the stagnation period (12), which resulted in a freshening of both bottom (Fig. 1*C* and *D*) and surface waters (Fig. S3), although the surface water response in the Gotland Basin was delayed. The deepwater temperature also decreased during the stagnation period (Fig. 1*B*), due to less inflow of warmer water from the Danish Straits. In the Gotland Basin, the halocline gradually

## Significance

**Oxygen-deficient waters are expanding globally in response to warming and coastal eutrophication. Coastal ecosystems provide valuable services to humans, but these services are severely reduced with decreasing oxygen conditions. In the Baltic Sea, oxygen-deficient waters have expanded from 5,000 to over 60,000 km<sup>2</sup> with large decadal fluctuations over the last century, reducing the potential fish yield and favoring noxious algal blooms. This increase is due to the imbalance between oxygen supply from physical processes and oxygen demand from consumption of organic material, enhanced by nutrient inputs and temperature increases. Further nutrient reductions will be necessary to restore a healthier Baltic Sea and counteract effects from warming.**

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Perennial hypoxia occurs in the Bornholm Basin and the Gotland Basin (including the Gulf of Finland) only, and the bottom layers of these two basins will be investigated in the present study. The water column in the two basins is stratified by a permanent halocline at depths around 55 and 70 m in the Bornholm Basin and Gotland Basin, respectively, and a seasonal thermocline above the halocline. Oxygen concentrations below the thermocline decline seasonally, albeit not reaching levels indicative of hypoxia, here defined as  $<2 \text{ mg} \cdot \text{L}^{-1}$ , but reach saturation levels again during winter when the thermocline is eroded by mixing.

**Data.** Discrete and continuous depth profiles of salinity, temperature, and oxygen were obtained from national monitoring programs and research cruises (Table S2). Most profiles from before 1960 were from research cruises. The profiles were spatially scattered over the two study basins with 21,712 distinct sampling locations (Fig. S7A). Data from the coastal zone were excluded, because hypoxia in the coastal zone is not directly linked to hypoxia in the open waters (36). These many sampling locations, of which a large proportion have been sampled a few times only, were projected onto the existing monitoring network by identifying spatial clusters of sampling locations and assigning these to the nearest monitoring station. Thus, all profiles were associated with 1 out of 242 monitoring stations (Fig. S7B).

The first measurements of salinity, temperature, and oxygen in the Baltic Sea were carried out in the late 19th century, but the number of profiles before 1960 was low (Fig. S1) and these were heterogeneously sampled across the two basins considered here. The relatively lower number of profiles in the two most recent years is due to pending reporting of hydrographical measurements to the databases. A total of 36,379 salinity profiles and 16,690 oxygen profiles was sampled in the deeper areas of the two basins with permanently stratified conditions, and these profiles were used to characterize the halocline and oxygen conditions below the halocline. The reasonable data coverage after 1960 has allowed for assessing the extent of hypoxia by spatially interpolating the values (7), but such purely empirical approaches are not applicable to the period before 1960 due to the sparseness of data. Therefore, we chose an approach where we model the salinity and oxygen profiles with a few parameters, and use the spatial and seasonal structure of these parameters to assess the properties of the halocline and the oxygen conditions below the halocline from 1898 to 2012.

**Parameterization of the Salinity and Oxygen Profiles.** Salinity profiles typically had a sigmoid appearance with depth and were parameterized using the cumulative probability density function of the normal distribution  $[\Phi(\mu, \sigma^2)]$ . Four parameters were used to characterize the salinity profile: (i) the subsurface salinity, (ii) the salinity difference between the subsurface and the bottom layer, (iii) halocline depth, and (iv) halocline thickness (Fig. S8A). The subsurface salinity ( $S_{\text{subsurf}}$ ), estimated as the average salinity between 20 and 30 m, was used for the parameterization to avoid potential bias introduced by a thin lower-salinity layer in the surface, occasionally observed in the data. The salinity difference between the subsurface and bottom layers ( $S_{\text{diff}}$ ) was estimated as a scaling factor for  $\Phi(\mu, \sigma^2)$ , whereas halocline depth was estimated by  $\mu$  and halocline thickness was estimated as  $2 \times \sigma$ . Thus, from the properties of  $\Phi(\mu, \sigma^2)$  it is noted that 68% of the change from subsurface to bottom salinity occurs in the depth interval defined by the halocline thickness ( $\mu - \sigma, \mu + \sigma$ ), also referred to as the discontinuity layer (37). The salinity parameters were estimated by nonlinear regression (PROC MODEL in SAS) using profiles reaching depths of at least 60 and 80 m in the Bornholm Basin and Gotland Basin, respectively, to ensure that most of the salinity change was recorded in the profile and that estimation of the profile sigmoid model was possible. The Brunt-Väisälä frequency, which can be interpreted as a measure of the stratification strength, can easily be approximated from the parameterization of the profile:

$$N = \sqrt{\frac{g}{\rho} \cdot \frac{d\rho}{dz}} \approx \sqrt{\frac{g}{1 + 0.0008 \cdot (S_{\text{subsurf}} + S_{\text{diff}}/2)} \cdot \frac{0.68 \cdot 0.0008 \cdot S_{\text{diff}}}{2\sigma}}$$

where density in brackish water is approximated by salinity  $[\rho = 1 + 0.0008 \cdot S; (38)]$  at the halocline depth in the first factor and the derivative of density with depth in the second factor is approximated by the change in salinity over the discontinuity layer.

Because the sills are shallow and inflows to the Baltic Sea occur at windy conditions, the water spilling over the Drogden and Darss sills is generally saturated in oxygen before submerging to supply the bottom waters in the study area. However, oxygen concentration at saturation varies strongly with salinity and temperature, and therefore we consider AOU, defined as the difference between the measured dissolved oxygen concentration and its equilibrium saturation concentration in water with same salinity and

temperature. Hence, AOU is a measure of the oxygen consumption of the bottom water parcel since it spilled over the sills. In the last four to five decades, hydrogen sulfide ( $\text{H}_2\text{S}$ ) has been measured in the deepest parts of the Gotland Basin, albeit not consistently. When measured,  $\text{H}_2\text{S}$  has been converted into a negative oxygen concentration ( $\text{H}_2\text{S} = -2\text{O}_2$ ), yielding an AOU exceeding oxygen saturation concentrations. Oxidation potentials for other substances such as  $\text{NH}_4^+$ ,  $\text{Fe}^{2+}$ , and  $\text{Mn}^{2+}$ , were not considered. Because  $\text{H}_2\text{S}$  has not been measured consistently in the dataset and not at all before 1960, oxygen concentrations equal to zero could represent conditions with  $\text{H}_2\text{S}$  and, thus, a negative oxygen concentration and an AOU above the oxygen saturation concentration. Such observations, which actually represent values above/below their nominal values, are termed censored data (39).

In the discrete profiles, the number of oxygen measurements was generally lower than for salinity, and consequently, the parameterization of the oxygen profile had to be even simpler. Two parameters were used to characterize oxygen conditions below the halocline as a linear function of depth (Fig. S8B): (i) the AOU at the lower boundary of the discontinuity layer (intercept,  $\text{AOU}_{\text{boundary}}$ ), and (ii) the change in AOU with depth below the discontinuity layer (slope,  $\text{AOU}_{\text{slope}}$ ). These two parameters were estimated in two steps. First,  $\text{AOU}_{\text{boundary}}$  was interpolated from the nearest measured oxygen observation above and below the lower boundary of the discontinuity layer ( $\mu + \sigma$ ) using the estimated salinity profile for interpolation rather than depth, because salinity is a better indicator of mixing than depth. The estimation of  $\text{AOU}_{\text{boundary}}$  was not affected by censored oxygen observations. Second, using oxygen observations below the discontinuity layer,  $\text{AOU}_{\text{slope}}$  was estimated by means of censored data linear regression (PROC LIFEREG in SAS) with the intercept fixed to the estimate of  $\text{AOU}_{\text{boundary}}$ .

**Spatiotemporal Modeling of Profile Parameters.** The profiles were heterogeneously sampled in time and space, and the aim was to separate three sources of variations: (i) spatial variation, (ii) seasonal variation, and (iii) long-term trend. First, a general linear model (GLM) (PROC GLM in SAS) with station, month, and year of sampling as categorical factors was applied to each of the six parameters estimated from the salinity and oxygen profiles. Based on the station-specific means from the GLM, 2D smooth splines describing the spatial variation for each profile parameter were estimated by means of a generalized additive model (GAM) (PROC GAM in SAS). The spatial splines were used to spatially detrend all profile parameters using their actual sampling location. Second, the temporal sources of variation were estimated applying a robust GLM with two factors (month and year) on the spatially detrended observations for the two basins separately. The robust estimation algorithm, which iteratively discarded profile parameter estimates beyond the 99.9% confidence prediction interval of the GLM, was used as some measured profiles (~5% of the profiles) delivered unrealistic parameter estimates, mostly due to lack of sufficient observations below the halocline. The second GLM provided mean estimates for the seasonal variation and long-term trend for each of the two basins separately.

The spatial models and the long-term trends for the profile parameter estimates were used for obtaining integrated values of volume, salt content, and AOU for the bottom layers of the two basins in their entirety as well as the extent of hypoxia. Yearly estimates of the profile parameters were scaled using the spatial spline model over a bathymetry grid of  $1 \text{ km} \times 1 \text{ km}$  (<http://balance-eu.org>), and salinity and oxygen profiles modeled by these parameters were integrated vertically and horizontally for the two basins separately. Thus, the time series of integrated values represent annual means for all 12 mo of the year.

**Time Series Modeling of Oxygen Deficiency.** These time series of integrated values (1898–2012) were used in a dynamic box model approach for the deep waters (water below the halocline) in the Gotland Basin (Fig. S9). The deep waters of the Bornholm Basin were not modeled using this approach, because most inflows interleave just below the halocline and the hypoxic water in the deepest part of the basin is replaced only by episodic inflows of denser water (MBIs). Hence, the simple box model approach using annual data could not capture the dynamics of the Bornholm Basin.

In the Gotland Basin, the water balance is as follows:

$$\Delta V_{\text{GB}}(t) = Q_{\text{in,GB}}(t) - Q_{\text{out,GB}}(t),$$

where  $\Delta V_{\text{GB}}(t)$  is the change in volume from year  $t$  to year  $t - 1$ , and  $Q_{\text{in,GB}}(t)$  and  $Q_{\text{out,GB}}(t)$  are the flows into and out of the deep waters. The inflow to the deep waters of the Gotland Basin originates as saline waters from the Danish Straits spilling over the two sills at Darss and Drogden that partially mixes with residing water in the Arkona Basin before entering the

Bornholm Basin. During passage through the Arkona Basin, the volume of the inflow increases and salinity decreases (38). This inflowing water is either flushed to the Gotland Basin through the Slupsk Furrow or entrained into the surface layer. The dense water flow through the Slupsk Furrow constitutes the inflow to the Gotland Basin. The deep water of the Gotland Basin spills into the Gulf of Bothnia or leaves mainly through upward entrainment.

The salt balance for the Gotland Basin is as follows:

$$\Delta TS_{GB}(t) = Q_{in,GB}(t) \cdot S_{BB}(t-1) - Q_{out,GB}(t) \cdot S_{GB}(t-1) - a_{BV,GB} \cdot N_{GB}(t)^{-1} \cdot S_{dif,GB}(t),$$

where  $\Delta TS_{GB}(t)$  is the change in total amount of salt of the deep water in the Gotland Basin across years,  $S_{BB}(t-1)$  is the salinity of the inflowing deep water from the previous year that is flushed into the Slupsk Furrow, and  $S_{GB}(t-1)$  is the salinity in the Gotland Basin deep waters in the previous year. The last term describes the vertical mixing of salt across the halocline. Following Stigebrandt (38), it is assumed that the turbulent diffusion coefficient is inversely related to the Brunt-Väisälä frequency [ $N_{GB}(t)$ ]. The scaling parameter is denoted  $a_{BV,GB}$  and the salinity difference across the halocline is  $S_{dif,GB}(t)$ .

The balance for AOU follows the same principle but with the addition of an oxygen consumption term.

$$\Delta TAOU_{GB}(t) = Q_{in,GB}(t) \cdot AOU_{BB}(t-1) - Q_{out,GB}(t) \cdot AOU_{GB}(t-1) - a_{BV,GB} \cdot N_{GB}(t)^{-1} \cdot AOU_{GB}(t-1) + (a_{0,GB} + a_{N,GB} \cdot N_{input}(t)) \cdot Q_{10,GB}^{T_{GB}(t)-10},$$

where  $\Delta TAOU_{GB}(t)$  is the change in TAOU of the deep water in the Gotland Basin across years,  $AOU_{BB}(t-1)$  and  $AOU_{GB}(t-1)$  are the AOU in the deep waters in the two basins of the previous year, and  $a_{0,GB}$  and  $a_{N,GB}$  are two parameters describing how oxygen consumption is linearly related to the

input of either total nitrogen or total phosphorus [ $N_{input}(t)$ ], and scaled by temperature [ $T_{GB}(t)$ ] with a  $Q_{10}$ -parameter ( $Q_{10,GB}$ ). Time series of nitrogen and phosphorus inputs (1850–2006) were taken from ref. 8. It is assumed that the surface water is saturated in oxygen (AOU = 0). The increasing AOU in the Bornholm Basin over time implies that the export of AOU to the Gotland Basin has increased. The unknown parameters in the water, salt, and oxygen balances above ( $a_{BV,GB}$ ,  $a_{0,GB}$ ,  $a_{N,GB}$ , and  $Q_{10,GB}$ ) were estimated by maximum-likelihood estimation from time series of total salinity and TAOU. Moving averages of 5 y were used for estimation to overcome small gaps in the time series, and because time differences [ $\Delta TS_{GB}(t)$  and  $\Delta TAOU_{GB}(t)$ ] of the annual values introduced large noise in the equations and consequently, large uncertainty in the parameter estimates. Thus, the model was essentially estimated on three time series sequences: 1903–1910, 1922–1938, and 1954–2006, where all input time series were nonmissing.

Changes in the lateral flows and mixing across the halocline significantly affected TAOU, and to assess the TAOU trend without these physical modulations, the dynamic flow and mixing terms [ $Q_{in,GB}(t)$ ,  $Q_{out,GB}(t)$ , and  $N_{GB}(t)$ ] were subtracted and replaced by average values for the study period. The difference between the TAOU and the adjusted TAOU was set to zero at the start of each of the three time series sequences.

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