

# Complexity Reading Group 10/22/2015

## Brown University

### 1 Boolean Circuits

**Definition 1:** A *circuit* is a directed acyclic graph with  $n$  source nodes and 1 sink node. Each of the remaining nodes are logical gates. The size of the circuit is the number of total nodes

**Definition 2:**  $P_{poly}$  is the class of languages  $L$ , decidable by polynomial size circuit families

Alternatively, can think of  $P_{poly}$  as the class of Turing Machines with advice. Suppose:

$$L \in P_{poly} \text{ if } \exists \{\alpha_n\} \text{ with } \alpha_n \in \{0, 1\}^{p(n)}, \text{ TM } M, \text{ s.t. } x \in L \iff M(x, \alpha_n) = 1 \quad (1)$$

**Theorem 6.19 (Karp Lipton):** If  $NP \subseteq P_{poly}$ , then  $PH = \Sigma_2^P$ . I.e.:

$$PH = \cup_i \Sigma_i^P = \cup_i \Pi_i^P \quad (2)$$

**Proof:** Note that it is sufficient to show that  $\Pi_2^P = \Sigma_2^P$ , which we can do by showing  $\Pi_2\text{SAT} \in \Sigma_2^P$

Recall:

**Definition 3:** Let  $\Pi_2\text{SAT}$  be:

$$\phi \in \Pi_2\text{SAT} \iff \forall_u \exists_v : \phi(u, v) = 1 \quad (3)$$

$$(\phi, u) \in \Pi_2\text{SAT} \iff \exists v : \phi(u, v) = 1$$

Suppose  $NP \subseteq P_{poly}$ .

Then  $\exists$  polynomial size  $\{c_n\}$  to solve  $\Pi_2\text{SAT}$ .

So we can generate a witness using this circuit family in linear time. I.e.  $\exists \{c_{n'}\}$  which outputs the witness  $c_{n'}(\phi, u) = v$ , (where  $v$  is the witness).

Can rewrite this statement as:

$$\exists_w : w \in \{0, 1\}^{q(n)^2} \forall_u : u \in \{0, 1\}^n \phi(u, c_{n'}(\phi, u)) = 1 \quad (4)$$

Where  $c_{n'}(\phi, u)$  generates the witness for  $\phi$ .

So what we want to show is that Equation 4 is true iff  $\phi \in \Pi_2 SAT$

Now, note that Equation 4 can be verified in  $\Sigma_2^P$ . (just look at the quantifiers)  $\square$

Note: If we show  $NP \not\subseteq P_{poly} \rightarrow P \neq NP$

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Q: What are the limitations on this model of computation?

**Theorem 6.21:**

$$\forall_n : \exists_f : f : \{0, 1\}^n \rightarrow \{0, 1\} : \text{can't be computed by a circuit } C \text{ s.t. } |C| = \frac{2^n}{10n} \quad (5)$$

**Proof:** Given a circuit of size  $\leq S$ , it can be represented by  $\leq c * S^2$  bits.

If this is our restriction on circuit size, then we can have  $s^{3s}$  possible circuits (number of possible DAGs with in-degree 2).

Suppose  $S = \frac{2^n}{10n}$ , then clearly far fewer circuits than  $2^{2^n}$ .  $\square$

I.e. you cannot compute a huge space of functions with polynomial circuits.

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**Theorem 6.23 (Non-Uniform Hierarchy Theorem):**

$$\forall_T, T' : \mathbb{N} \rightarrow \mathbb{N} \text{ with } \frac{2^n}{n} > T'(n) > 10T(n) > n \quad (6)$$

I.e.  $\text{SIZE}(T(n)) \subsetneq \text{SIZE}(T'(n))$

Intuition: With a larger circuit, you can compute strictly more functions.

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## 1.1 Gate Elimination Method

Suppose we have a function  $f$  that we're trying to compute with a circuit.:

(1) Assigning variables in  $f$  to maintain properties of  $f$ .

- (2) Eliminate gates in our circuit by storing variables, preserve same properties of  $f$  via the new circuit.

**Definition 5:** Let  $Q_{2,3}^{(n)}$  be the class of functions where:

$$f : \{0, 1\}^n \rightarrow \{0, 1\} \in Q_{2,3}^{(n)} \iff \forall_{(x_i, x_j)} f \text{ has at least 3 distinct sub functions as } (x_i, x_j) \text{ range} \quad (7)$$

Also:  $\forall x_i : \exists c_i \text{ s.t. } f_{x_i=c_i} \in Q_{2,3}^{(n-1)}$

Recursion bottoms out at  $Q_{2,3}^{(3)}$ , since  $n = 3$  is the smallest input space for which there can be 3 distinct sub functions.

**Example:**  $f_c^{(n)}(x_1, \dots, x_n) = ((\sum_i x_i) \bmod 3) \bmod 2$ , for  $c \in \{0, 1, 2\}$ .

Now we're going to prove a bound for this class of functions:

**Theorem 9.3.2 (from John Hughes' book):** If  $f \in Q_{2,3}^{(n)}$ ,  $C(f) \geq 2n - 3$ , where  $C()$  is a circuit.

**Proof:**

Part One:  $f$  depends on each var  $x_i$ . □

Part Two: Some input vertex  $x_i$  has fan-out  $\geq 2$ . (suppose we're dealing with a more general class of circuits now).

Consider a gate  $g$  that has the maximum possible length to the output. Since we're in a DAG,  $g$  has to be directly receiving input nodes. Suppose  $x_i$  and  $x_j$  are the variables that feed in to  $g$ ., and they both don't feed anywhere else.

If we fix  $x_i$ , then there are only two possible sub functions left, which is a contradiction. Therefore, there must be at least one input variable that feeds in to more than one input node (i.e. fan-out  $\geq 2$ ).

By induction:  $C(f_{n-1}) \geq 2(n-1) - 3$ ,  $C(f_n) \geq 2(n-1) - 3 + 2 \geq 2n - 3$

## 2 NC and AC classes

**Definition 6:**  $L \in NC^d$  if:

- $L$  can be decided by poly size  $\{C_n\}$
- Depth  $\mathcal{O} \log^d(n)$

- Each gate having bounded (2) fan in

**Definition 7:**  $L \in AC^d$  if:

- $L$  can be decided by poly size  $\{C_n\}$
- Depth  $\mathcal{O}(\log^d(n))$
- Each gate having unbounded fan in

Note:  $NC^0 \subsetneq AC^0 \subsetneq NC^1$

And more generally:  $NC^i \subseteq AC^i \subseteq NC^{i+1}$

**Theorem 1 (Ajtai '83):**  $\text{PARITY} \notin AC^0$