# Complexity Reading Group 11/19/2015 Brown University

## 1 Algebraic Computation Models

Three things to discuss:

- Algebraic Circuits
- Computation Trees
- Blum-Shub-Smale Machines

### **Definition 1:** A Field is:

- $\bullet$  A set F
- $\bullet$  The two operators, + and  $\times$
- All elements in the set have an additive and multiplicative inverse, so implicitly

   and ÷ are included as well.

Examples:  $\mathbb{R}, \mathbb{C}, \mathbb{Q}$ .

## 1.1 Algebraic Circuits

Recall: boolean circuits have  $\land, \lor, \neg$  gates.

Instead, we now have  $+, \times$  gates. Sometimes also allowed the constants 1 and -1, and  $\div$ .

A circuit is an algebraic circuit if it has one output.

Define polynomials in many variables  $f(x_1, \ldots, x_n)$ . If  $\div$  is allowed, get rational functions  $\frac{f}{g}$ .

**Example:** the determinant of a matrix:

 $X \in M_n(\mathbb{F}), n \times n$  matrix s.t. the elements of M are in the field  $\mathbb{F}$ .

$$det(x) = \sum_{\sigma \in S_n} (-1)^{sgn(\sigma)} \prod_{i=1}^n x_{i\sigma(i)}$$
(1)

In general, determinant defined by a polynomial of n! length.

Can compute the determinant via an algebraic circuit of size  $\mathcal{O}(n^3)$ . Also, there is an  $NC^2$  algorithm for computing determinant, gives algorithm form of size  $2^{\mathcal{O}(\log^2 n)}$ 

Example: the permanent of a matrix:

Given  $X \in M_n(\mathbb{F})$ :

$$perm(x) = \sum_{\sigma \in S_n} \prod_{i=1}^n x_{i\sigma(i)}$$
 (2)

There is no known poly size circuit to compute permanent. Note: it's #P-complete<sup>1</sup>.

# 2 Complexity

Some definitions:

**Definition 3:** If  $\{f_i\}$  is a set of polynomials in n variables of a field  $\mathbb{F}$ , then this set has poly-bounded degree if there is a  $c \in \mathbb{N}$  s.t. each  $f_i$  has degree at most  $\mathcal{O}(n^c)$ .

**Definition 4:** The class AlgP is a set of polynomials of poly degree that are computable by algebraic formulas of polynomial size

**Definition 5:** AlgNP is the class of polynomials:

$$f(x_1, x_2, \dots, x_n) = \sum_{e \in \{0, 1\}^{m-n}} g(x_1, \dots, x_n, e_{n+1}, \dots, e_m)$$
(3)

Where  $g_n \in AlgP$ 

**Definition 6:** If we have two functions  $f(x_1, \ldots, x_n)$  and  $g(y_1, \ldots, y_m)$ , we say that f is projection reducible to g if there is a relabeling,  $\sigma : \{y_1, \ldots, y_m\} \mapsto \{0, 1, x_1, \ldots, x_n\}$  such that:  $f(x_1, \ldots, x_n) = g(\sigma(y_1), \ldots, \sigma(y_n))$ 

### Theorem 1 (Valiant):

- 1. Every polynomial in n variables computable by circuit of size u is projection reducible to the determinant function on u + 2 variables.
- 2. Every function in AlgNP is projection reducible to the permanent function.

 $<sup>^{1}</sup>$ Similar to NP-complete, but you also count the number of accepting paths in the non-deterministic computation tree

Neat: since we're defined on fields, which are possibly infinite, there is not necessarily a way to create a boolean circuit for each algebraic circuit.

## 3 Blum-Shub-Smale (BSS) Model

**Definition 7:** Say we have a field  $\mathbb{F}$ . A *BSS* machine is a Turing Machine in which cells store elements from the field  $\mathbb{F}$ . Also:

- Shift state: move left or right
- Branch state: current cell has value  $q_1$ , go to cell  $q_1$ , otherwise go to cell  $q_2$ .
- Computation state: replace contents of cell a with f(a), where f is a hardwired rational function,  $f = \frac{p}{q}$  for p, q polynomials over  $\mathbb{F}$ .
- Register containing an element in the field.

Add other abilities:

- If we add the ability to compare  $a \in \mathbb{F}$ , whether a > 0, then we can compute anything  $P_{poly}$  in polynomial time (and recall that  $P_{poly}$  contains undecidable problems).<sup>2</sup>
- If we add  $\lfloor x \rfloor$  then can do integer factorization in poly time. (Shamir)

Consider  $\mathbb{F} = \mathbb{C}$ .

#### **Definition 8:**

- 1. Then  $P_{\mathbb{C}}$  is the set of languages that can be decided by a Turing Machine over  $\mathbb{C}$  in polynomial time.
- 2.  $NP_{\mathbb{C}}$  is the set of languages L s.t.  $\exists L_0 \in P_{\mathbb{C}}$ , s.t.  $x \in L \equiv \exists (y_1, \dots, y_{p(n)} \in \mathbb{C}^{p(n)})$  s.t.  $(x, y) \in L^0$

Can also consider 0-1- $NP_{\mathbb{C}} = \{L \cap \{0,1\}^* \mid L \in NP_{\mathbb{C}}\}$ . And 0-1- $NP_{\mathbb{C}} \subseteq PSPACE$ 

Just like 3SAT is the canonical NP-complete problem, we have  $HN_{\mathbb{C}}$ :

**Definition 9:** The decision problem  $HN_{\mathbb{C}}$  is: given  $p_i$  polynomials in  $x_1, \ldots, x_n$ , do these polynomials have a common root?

<sup>&</sup>lt;sup>2</sup>Also requires an ordered field, since we're using >

Note: can convert this into 3SAT via:  $x \lor y \lor z \leftrightarrow (1-x)(1-y)(1-z) = 0$ .

**Theorem 2:** 0-1- $HN_{\mathbb{C}}$  is complete for 0-1- $NP_{\mathbb{C}}$ .

## 3.1 Undecidability

**Definition 10:** The Mandelbrot Set is:

$$a \in \mathbb{C}, P_a(z) = z^2 + a$$
  
 $\mathcal{M} = \{a : P_a(0), P_a(P_a(0)), \dots, \}$  is bounded

If we have comparison operations, can recognize the complement of  $\mathcal{M}$ :  $a \in \overline{\mathcal{M}} \equiv \exists_j k : |P_a^k(0)| > 2$ .

**Theorem 3:**  $\mathcal{M}$  is undecidable