December 2022 Report

EVALUATING SPRING CHINOOK SALMON RELEASES ABOVE COUGAR DAM, ON THE SOUTH FORK MCKENZIE RIVER, USING GENETIC PARENTAGE ANALYSIS

Prepared for:

U. S. ARMY CORPS OF ENGINEERS

PORTLAND DISTRICT - WILLAMETTE VALLEY PROJECT

333 SW First Ave.

Portland, Oregon 97204

Prepared by:

Kathleen G. O’Malley1, David I. Dayan1, Cristín K. Fitzpatrick1, Ryan Couture2

1State Fisheries Genomics Lab,

Coastal Oregon Marine Experiment Station

Department of Fisheries, Wildlife and Conservation Sciences,

Hatfield Marine Science Center,

Oregon State University

2030 SE Marine Science Drive

Newport, Oregon 97365

2Oregon Department of Fish and Wildlife

7118 NE Vandenberg Ave

Corvallis, OR 97370

**Summary**

High head dams on tributaries of the Upper Willamette River have contributed to population declines of spring Chinook salmon (*Oncorhynchus tshawytscha*) by impeding passage to and from upstream habitats and modifying temperature and flow regimes (NMFS 2008). For nearly three decades, spring Chinook salmon have been released above Cougar Dam on the South Fork McKenzie River to restore ecosystem functions above the dam and restore access to historically productive spawning grounds (NMFS 2008). Genetic parentage analysis has been used to evaluate this reintroduction program since 2007 (Banks et al. 2013; Banks et al. 2014; Sard et al. 2015; Banks et al. 2016; Sard et al. 2016b). Here, we extended our evaluation to provide new insights gathered from potential offspring sampled in the South Fork McKenzie River from 2016 – 2020 and candidate parents released above Cougar Dam from 2010 – 2017. Collectively with the data from previous reports, this report provides genetic and demographic inferences into the parental cohorts above Cougar Dam from 2007 – 2015, and potential offspring sampled throughout the South Fork McKenzie River from 2010 – 2020.

Most (71%) natural-origin (NOR) salmon collected at the Cougar Trap from 2013 – 2020 were produced above the dam. The remaining 29% were likely immigrants produced elsewhere. There was substantial variation in the proportion of NOR immigrants collected at the Cougar Trap within and among years. As few as 6% and as many as 49% of NOR salmon collected at the Cougar Trap were immigrants in 2020 and 2019, respectively. Within years, the proportion of NOR immigrants collected at the Cougar Trap increased throughout the season, from less than 5% when the first salmon arrive to ~50% on September 1.

Since 2015, all NOR salmon collected at the Cougar Trap are floy-tagged and released downstream below the confluence of the South Fork McKenzie with the mainstem. NOR salmon are only released above the dam if they return to the Cougar trap a second time. We found that NOR salmon produced above the dam are more likely to return a second time than NOR immigrants. Therefore, while the downstream recycling program reduces the total number of NOR salmon released above the dam, it selectively limited the above dam transport of NOR immigrants relative to salmon produced above the dam. Recycling all NOR salmon collected at the Cougar Trap downstream from 2015 – 2020 prevented above dam transport of 75% (252/334) of NOR immigrants and 31% (275/875) of NOR salmon produced above the dam. We also found that NOR immigrants have a tendency to arrive at the Cougar Trap later in the season the NOR salmon produced above the dam. Consequently, beginning downstream recycling program on September 1, rather than the downstream recycling all salmon, would have prevented above dam transport of only 37% (123/334) of NOR immigrants and 5% (44/875) of NOR salmon produced above the dam.

*Demography*

1. Most NOR salmon sampled from 2013 – 2020 and assigned to a parent released above the dam from 2007 – 2017 returned at age-4 (55%; 648/1187) or age-5 (42%; 499/1187), with few returning at age-3 (2%; 19/1187) or age-6 (2%; 21/1187).
2. From 2007 – 2015, 20% of candidate parents above Cougar Dam produced one or more adult offspring (TLF ≥ 1) that returned to the Cougar Trap or was sampled as a carcass below the dam.
3. Mean TLF for all candidate parents from 2007 – 2015 was 0.36. TLF was highly variable at the individual level and ranged 0 – 17.
4. Mean TLF was greater for NOR than HOR salmon from 2010 - 2015 (0.49 *vs.* 0.23, respectively).
5. In the nine years when we sampled nearly all potential offspring of parental cohorts above Cougar Dam, CRRtotal never approached one, indicating that population above the dam is not replacing itself. The maximum CRRtotal was 0.44 (2007) and the minimum was 0.08 (2009).
6. In most years females were the limiting sex. CRRF was less than one in these years. In years when males were the limiting sex, CRRM was also less than one.
7. *Nb* ranged from 139.8 to 368.8, indicating that there is likely sufficient genetic diversity within a parental cohort to avoid inbreeding depression.

**Objectives**

1. Determine the number and proportion of unmarked, presumed natural-origin (NOR) adult Chinook salmon sampled at various locations in the South Fork McKenzie River (e.g., the Cougar Trap and spawning grounds below Cougar Dam) in 2016 – 2020 that can be assigned as offspring to Chinook salmon previously released above Cougar Dam, South Fork McKenzie River in 2010 – 2017.
2. Estimate and report the annual abundance and age structure of adult Chinook salmon that return to the South Fork McKenzie River in 2016 – 2020 that can be confidently assigned through genetic parentage analysis.
3. Estimate the total lifetime fitness (TLF) of Chinook salmon released above Cougar Dam in 2010 – 2015. These estimates include NOR adult offspring sampled at Cougar Dam in 2013 – 2020, as well as NOR carcass samples encountered on spawning grounds below the dam in 2013 – 2019.
4. Estimate cohort replacement rate (CRR), or “the number of future spawners produced by a spawner” for spring Chinook salmon released above Cougar Dam in 2010 – 2015.
5. Estimate the effective number of breeders (*Nb*) for the adult salmon population released above Cougar Dam in 2010 – 2015.
6. Determine the effect of various aspects of release strategy on the TLF of adult Chinook salmon released above Cougar Dam in 2010 – 2015, including timing and location of releases, sex ratios, origin, and number of salmon released.
7. Evaluate fitness differences between hatchery-origin (HOR) and NOR Chinook salmon released above Cougar Dam in 2010 – 2015 through assignment of adult offspring returns in 2013 – 2020.

**Introduction**

Spring Chinook salmon (*Oncorhynchus tshawytscha*) in the Upper Willamette River, Oregon (UWR) are listed as a threatened evolutionary significant unit (ESU) under the U.S. Endangered Species Act (ESA) (NMFS 1999). Construction and operation of multiple U.S. Army Corps of Engineers (USACE) high head dams throughout the UWR have contributed to declines in abundance of this ESU by preventing access to historical spawning habitats and modifying temperature and flow regimes (NMFS 2008). The McKenzie River is a tributary of the UWR and historically supported one of the largest populations of spring Chinook salmon in the Willamette Basin (McElhany et al. 2007). Unlike most tributaries of the UWR, the McKenzie River continues to produce a large proportion of natural-origin (NOR) spring Chinook salmon (Johnson and Friesen 2010). Access to historical spawning habitat on the McKenzie River is blocked by several high head dams. Construction of Cougar Dam in 1964 on the South Fork McKenzie River impeded access to approximately 40 river km of the historically most productive reaches on the river (NMFS 2008).

Since 1993, hatchery-origin (HOR) salmon have been released above Cougar Dam to re-establish historical ecosystem functions. Juveniles produced by adults released above the dam provide prey for ESA listed bull trout (*Salvelinus confluentus*), and decaying carcasses restore nutrient transport. Anecdotal evidence suggested that some juvenile salmon survived downstream passage through the dam and returned to the South Fork McKenzie River as adults to spawn. After construction of a trap and haul facility at the base of Cougar Dam in 2010 (hereafter the Cougar Trap), NOR salmon were also released above the dam. From 2010 – 2012, all NOR salmon collected at the Cougar Trap were released above the dam, but from 2013 onwards, some, or all NOR salmon collected at the Cougar Trap were floy-tagged, released downstream and only released above the dam if they were collected a second time.

The reintroduction of spring Chinook salmon above Cougar Dam has been evaluated using genetic parentage analysis since 2007 (Banks et al. 2013; Banks et al. 2014; Sard et al. 2015; Banks et al. 2016; Sard et al. 2016b). Previous USACE reports have assigned potential offspring to candidate parents released or otherwise sampled above the dam and used the resulting pedigrees to evaluate release strategies and infer demographic parameters that describe the productivity of the above dam population. These reports found that the cohort replacement rate (CRR), or “the number of future spawners produced by a spawner” (Botsford and Brittnacher 1998), was less than one in the years from 2007 – 2010, indicating that the above dam population was not replacing itself. They also found that the likelihood that a NOR salmon collected at the Cougar Trap did not assign to salmon previously released above the dam (i.e., likely NOR immigrant) increased throughout the season. Importantly, the two most recent reports (Banks et al. 2014; Banks et al. 2016) also identified significant differences in the fitness of NOR *vs.* HOR male salmon using two metrics, reproductive success (RS) and total lifetime fitness (TLF). RS is defined as the number of age-0 juveniles that assigned to an adult released above the dam in the previous year, and TLF as the number of age-3 to age-6 returning adult offspring that assigned to a previously released adult.

Here, we extend the evaluation of spring Chinook salmon releases above Cougar Dam on the South Fork McKenzie River to address the Research, Monitoring & Evaluation (RM&E) needs of the Reasonable and Prudent Alternatives (RPAs) described in the Willamette Project Biological Opinion (NMFS 2008):

* RPA 4.1 (adult Chinook salmon outplanting above dams)
* RPA 4.3(2) (identify protocols for optimal handling, sorting, and release conditions for ESA listed fish collected at USACE-funded fish collection facilities)
* RPA 4.7 (adult fish release sites above dams)
* RPA 5.4 (Cougar Dam RM&E, including effectiveness of the trap-and-haul program)
* RPA 6.1.5 (management of hatchery-origin spring Chinook salmon upstream of Cougar Dam)
* RPA 6.2.3 (continue adult Chinook salmon outplanting, Willamette basin-wide)
* RPA 9.3 (effectiveness of rebuilt trap-and-haul facilities and strategies)
* RPA 9.5.1(3) (abundance and survival of spawners above Cougar Dam)
* RPA 9.5.1(4) (reproductive success of hatchery fish in the wild)

This research also addresses the implementation and reporting requirements (IRR) for the spring Chinook salmon hatchery programs detailed in Section 2.9.4 of the 2019 Biological Opinion for Upper Willamette hatchery programs (NMFS 2019).

* IRR 3a (assess genetic pedigree of Chinook salmon)
* IRR 3c (adaptively manage hatchery salmon outplanting above federal dams)

Specifically, we evaluate potential offspring sampled in the South Fork McKenzie River from 2016 – 2020 and candidate parents released above Cougar Dam from 2010 – 2017. We present assignment rates, fitness, and demographic metrics, and identify significant predictors of fitness using a modeling approach.

**Methods**

Study System and Tissue Sample Collection

Adult HOR spring Chinook salmon from the McKenzie River Hatchery and, infrequently, the Leaburg Hatchery have been released annually above Cougar Dam since 1996. We refer to these individuals as *hatchery outplants*. The Cougar Trap has been operational throughout the spawning migration each year since 2010, except for July 19 to August 6,2011 (Figure 1). Primarily NOR Chinook salmon have been collected at the trap, transported in trucks, and released above Cougar Dam at one of five sites to spawn. The above dam population has continued to be supplemented with hatchery outplants from the McKenzie or Leaburg Hatcheries. To date, there is no assisted downstream passage for juveniles produced above the dam. Instead, juvenile fish can exit the reservoir volitionally, either by passage through hydroelectric turbines or over a steep, 73 m ‘regulating outlet’ spillway.

Map

Description automatically generated

**Figure. 1.** Map of McKenzie River watershed, including major dams (gold squares), hatcheries (green circles), and release sites on the mainstem (pink circle) and on the South Fork McKenzie River, above Cougar Dam (purple circles). Data sources: ESRI World Hillshade, USGS NHDPlus HR

In 2013 and 2014, all NOR Chinook salmon collected at the Cougar Trap after September 1 were double floy-tagged and released into the mainstem McKenzie River, downstream of the confluence with the South Fork (Figure 1). After September 1, only floy-tagged NOR Chinook salmon collected at the Cougar Trap were released above the dam. This program has been referred to as *late season downstream release* (LSDR) (Banks et al. 2014). Beginning in 2015, all NOR Chinook salmon collected at the Cougar Trap, regardless of date, were double floy-tagged and released into the mainstem, downstream of the confluence with the South Fork. Among NOR salmon collected at the Cougar Trap, only those with floy-tags were released above the dam. We refer to this program as *downstream recycling.* The downstream recycling program has been implemented each year since 2015. The small number of HOR salmon collected at the Cougar Trap were generally not recycled downstream but were released above the dam.

Previously published reports and papers evaluating the population above Cougar Dam (Banks et al. 2013; Banks et al. 2014; Sard et al. 2015; Banks et al. 2016; Sard et al. 2016b) presented results based on tissue samples collected from nearly all NOR Chinook salmon that entered the Cougar Trap from 2010 – 2015 and nearly all Chinook salmon released above the dam, regardless of origin, from 2007 – 2013. Here, we analyze samples from nearly all NOR Chinook salmon that entered the Cougar Trap from 2010 – 2020 and nearly all Chinook salmon released above the dam, regardless of origin, from 2007 – 2017. We also analyze samples collected from NOR Chinook salmon carcasses identified during spawning ground surveys (SGSs) on the South Fork McKenzie River from 2011 – 2019, including SGSs above the dam and SGSs between the confluence with the mainstem McKenzie River and the dam. Additionally, we include samples collected from precocial male Chinook salmon identified on spawning grounds above the dam during 2014.

Genotyping

Consistent with previously published reports (Banks et al. 2013; Banks et al. 2014; Banks et al. 2016; Sard et al. 2016b) all NOR Chinook salmon sampled from 2016 – 2020, and all sampled Chinook salmon released above Cougar Dam from 2014 – 2017 were genotyped at a panel of microsatellite loci. Whole genomic DNA was isolated from tissue samples using the protocol of Ivanova et al*.* (2006). Each DNA sample was then genotyped at 11 microsatellite loci: *Ots201*, *Ots208b, Ots209*, *Ots211*, *Ots212*, *Ots215*, *OtsG249*, *Ots253b, OtsG311*, *OtsG409*, *Ots515* (Banks et al. 1999; Naish and Park 2002; Williamson et al. 2002; Greig et al. 2003) and at the sex-linked marker, *Oty3*, to determine sex (Brunelli et al. 2008). Loci were amplified using polymerase chain reaction (PCR), PCR products were visualized on an ABI 3730xl DNA analyzer, and allele sizes scored using GENEMAPPER software (Version 5.0, Applied Biosystems, Inc., Foster City, CA). We also collated the unfiltered genotype data for all individuals that were genotyped in previous studies, including all NOR Chinook salmon sampled from 2010 – 2015, and all sampled Chinook salmon released above Cougar Dam from 2007 – 2013. These data were appended to those collected for the present study before genotype quality filtering.

To produce a genetic dataset appropriate for parentage analysis, we conducted genotype quality filtering and removed potential duplicates. Salmon with genotypes at less than seven loci were excluded, a threshold determined based on the sequential cumulative non-exclusion probabilities observed among loci. Different genotype quality filtering cutoffs were applied, therefore final sample sizes after filtering may vary from previous reports. Multilocus genotypes were then compared among individuals to identify salmon that could have been sampled more than once. For example, NOR Chinook salmon sampled at the Cougar Trap might be later sampled as carcasses. We considered individuals first collected at the Cougar Trap and later sampled as carcasses during SGSs as Cougar Trap individuals in all subsequent analyses. If individuals failed to genotype at the sex-linked marker *Oty3*, phenotypic information was used to infer sex.

Genetic Parentage Analysis

The primary objective of this report was to evaluate spring Chinook salmon releases above Cougar Dam, on the South Fork McKenzie River. Therefore, when inferring pedigrees, we defined *potential offspring* as NOR Chinook salmon sampled anywhere on the South Fork McKenzie River and *candidate parents* as any Chinook salmon, regardless of origin, released or otherwise sampled above Cougar Dam (Figure 2). Potential offspring that did not assign to at least a single candidate parent were assumed to be *NOR immigrants* descended from parents that spawned in the South Fork McKenzie River below the dam, in the mainstem McKenzie River, or elsewhere.

Previous reports and papers evaluating releases of Chinook salmon above Cougar Dam on the South Fork McKenzie River considered potential offspring from 2010 – 2015 and candidate parents from 2007 – 2012 (Banks et al. 2013; Banks et al. 2014; Banks et al. 2016; Sard et al. 2016b). Most Chinook salmon on the South Fork McKenzie River express an age at maturity of three to six years, with the majority being age-4 and age-5 (Banks et al. 2013; Banks et al. 2014; Sard et al. 2015; Banks et al. 2016; Sard et al. 2016b). Therefore, previous reports assigned offspring to all candidate parents from 2007 – 2009. Results based on the genetic parentage analysis of salmon released above Cougar Dam in 2010 were also provided along with the caveat that age-6 offspring were not yet evaluated.

A picture containing diagram

Description automatically generated

**Figure 2.** Illustration of genetic parentage analysis. Groups of individuals are organized into sets of potential offspring (solid rectangle) and candidate parents (dashed rectangle). Here, the 205 potential offspring that returned to the South Fork McKenzie in 2014 are assigned to 3728 candidate parents released above Cougar Dam three to six years earlier (2008 – 2011). Source refers to where an individual was initially encountered: during a spawning ground survey on the South Fork McKenzie River (SGS), at the Cougar Trap (Cougar Trap) or at either the McKenzie Hatchery or Leaburg Hatchery (hatchery outplant). Final location refers to whether a salmon was released above Cougar Dam (above) or not (below). Potential offspring include all NOR salmon, regardless of source or final location. Candidate parents include all salmon released above the dam, regardless of origin or source.

\* Note that five NOR SGS individuals and 12 NOR precocial males sampled above Cougar Dam are also included as both candidate parents and potential offspring and are not depicted in the figure.

We assigned potential offspring sampled on the South Fork McKenzie from 2016 – 2020 to candidate parents from 2010 – 2017. Using data collected for previous reports, we also re-assigned potential offspring sampled on the South Fork McKenzie from 2011 – 2015 to candidate parents from 2007 – 2012. Collectively, these assignments allow us to identify all offspring of salmon released above Cougar Dam from 2007 – 2014, most offspring of salmon released above Cougar Dam in 2015 and some offspring of salmon released above the dam from 2016 – 2017 (Figure 3).

A picture containing graphical user interface

Description automatically generated

**Figure 3.** Illustration of how genetic parentage analysis results (Figure 2) are combined to make demographic inferences about parental cohorts. Here we demonstrate how inferences about the 2013 parental cohort are drawn. Assignments of offspring in 2016 – 2019 (solid rectangle) to parents in 2013 (dashed rectangle) are depicted as black arrows. Labels are as in Figure 2 except the values inside circles which now reflect the number of candidate parents and assigned offspring. For example, total cohort replacement rate is the sum of the values in the solid rectangle divided by the sum of the values in the dashed rectangle.

We inferred pedigrees by comparing microsatellite genotypes of potential offspring in each year to the genotypes of all candidate parents three, four, five and six years prior, as indicated in Figure 2. The comparisons were first conducted within the maximum-likelihood framework of the parentage assignment program CERVUS Version 3.07 (Kalinowski et al. 2007). Parent(s)-offspring assignments were made using a strict 95% confidence criterion, a minimum of seven loci, an assumed parent sampling rate of 95%, and a maximum of one mismatch between parent-offspring pairs (maximum of two mismatches for parent-offspring trios). We also estimated non-exclusion probabilities and expected number of false parent-offspring pairs (Christie 2010) (Appendix A). Parentage assignments from CERVUS were then verified using the combined PLS-FL algorithm implemented in COLONY Version 2.0.6.8 (Jones and Wang 2010). The second analysis was conducted because CERVUS’s likelihood-based parentage assignment method requires an accurate estimate of the number of parents contributing to a cohort (Harrison et al. 2013), and previous work suggested that adfluvial females and precocial males may contribute to the productivity of the above dam population (Banks et al. 2016). Moreover, simulation studies have suggested that COLONY’s assignment protocol is the most accurate of three alternate pedigree reconstruction methods (Harrison et al. 2013). COLONY was run using the following parameters: medium run length, polygamous male and female setting, weak sibship prior of 1, allele dropout and miscalled allele rate prior of 2% per locus, and an assumed 95% rate of sampled parents. We also estimated the allele miscall rate and dropout rate in our dataset using COLONY (Wang 2018) (Appendix A).

Given the longitudinal nature of the South Fork McKenzie River spring Chinook salmon genetic parentage analysis, we developed an automated, reproducible procedure for choosing the best consensus pedigree from the outputs of CERVUS and COLONY that does not allow for technician bias. Other changes from previously reported parentage analysis methods include application of an updated version of COLONY. To evaluate how changes to parentage assignment procedure and the software may impact results, we compared results between pedigrees inferred using this approach and those in previous reports for the six years of overlap in assigned offspring (2010 – 2015). Ultimately, genetic pedigrees inferred with the modified parentage assignment procedure and updated software were used to identify long-term trends in the demographic and genetic characteristics of South Fork McKenzie River spring Chinook salmon.

Assignments

We summarized the number and proportion of unmarked adult Chinook salmon sampled at either the Cougar Trap or spawning grounds below Cougar Dam in 2010 – 2020 that can be assigned as offspring of Chinook salmon previously released above Cougar Dam in 2007 – 2017. To evaluate the effect of the LSDR and downstream recycling programs, we determined the number of both NOR salmon produced above the dam and NOR immigrants that were collected at the Cougar Trap each day. To better understand the relationship between arrival time and the probability that an individual collected at Cougar Trap was not produced above the dam, we fit a binomial generalized linear mixed model (GLMMimmigrant) using *sex*, and the *Julian day of sampling* at the Cougar Trap, for offspring years 2013 – 2020. Because the relationship varied strongly across years, we also included a random slope for *Julian day of sampling* across years and a random intercept for year. After model validation, effects of *sex* and *Julian day of sampling* were evaluated using likelihood ratio tests and Wald Tests.

Demography

*Age at Maturity*

For each assigned progeny, we subtracted the return year (e.g., 2016) by each parent(s) release year (e.g., 2013) to infer age at maturity (e.g., age-3) and determined overall age structure among the adult returns from 2010 – 2020. Note that, because our candidate parents include salmon released above the dam from 2007 – 2017, we were able to identify age-3, age-4, age-5, and age-6 offspring in years 2013 – 2020.

*Total Lifetime Fitness*

Total lifetime fitness (TLF) is defined as the total number of adult offspring assigned to a candidate parent (Figure 2). Since most Chinook salmon on the South Fork McKenzie River express an age at maturity of three to six years, we estimated TLF for candidate parents if we sampled their potential offspring three, four, five, and six years after candidate parents were released above Cougar Dam (Figure 2). We also estimated partial TLF for candidate parents if at least a portion of their offspring are expected to have returned three, four, or five years after candidate parents were released above Cougar Dam. Candidate parents in our dataset include hatchery outplants from 2007 – 2017, NOR, HOR, and unknown origin salmon released above Cougar Dam from the Cougar Trap from 2010 – 2017, precocial male salmon sampled above Cougar Dam in 2014, and NOR salmon sampled as carcasses during spawning ground surveys above the dam in 2014 and 2016.

We analyzed candidate parents from 2007 – 2017 and potential offspring from 2010 – 2020, therefore we present TLF for candidate parents from 2007 – 2014. We also present partial estimates of TLF for candidate parents in 2015 (based on age-3, age-4, age-5 offspring only), 2016 (based on age-3, age-4, offspring only), and 2017 (based on age-3 offspring only), but note that these partial values underestimate TLF. We always note these partial TLFs with an asterisk in figures and tables.

*Cohort Replacement Rate*

CRRswere estimated for each cohort of candidate parents released or observed above the Cougar Dam. We report CRRtotal, defined as the total number of offspring produced by salmon released or observed above Cougar dam divided by the total number of salmon released or observed above Cougar dam. We also report both a female-only CRRF and a male-only CRRM. For example, CRRF is the total number of age-3, age-4, age-5, and age-6 female offspring produced by females released or observed above Cougar Dam divided by the total number of females released or observed above Cougar Dam. We present CRR estimates for parental cohorts from 2007 – 2015 but note that the 2015 CRR is likely an underestimate given that it does not include age-6 offspring. This year is noted with asterisks in tables and figures.

Note that our definitions and estimation of CRR differ from those used in previous reports. For example, CRRF was designated as CRR in all previous reports (Banks et al. 2013; Banks et al. 2014; Banks et al. 2016). In addition, some estimates of CRRF only considered offspring sampled at the Cougar Trap (Banks et al. 2013; Banks et al. 2014) whereas other CRRF estimates included both carcasses and unsampled offspring inferred from grandparentage analysis (Banks et al. 2016). CRRF values might also vary due to changes in genotype quality filtering and assignment. To permit the identification of long-term trends, we present CRR for years previously reported (2007 – 2010), as well as CRR for 2011 – 2017 using a consistent, updated approach. We also distinguish between CRRtotal, CRRF, and CRRM.

*Effective Number of Breeders*

The effective number of breeders (*Nb*) among cohorts of salmon released or observed above Cougar Dam was estimated using the linkage disequilibrium (LD) method, as implemented in the program NEESTIMATOR v2.1 (Waples and Do 2008; Do et al. 2014). This method examines patterns of LD (nonrandom allelic associations, which are suggestive of common ancestry) among offspring of a cohort. *Nb* was calculated using the genotypes of all offspring assigned to each parental cohort from 2007 – 2015. We excluded singletons and generated 95% confidence intervals using a jackknife re-sampling method (Waples and Do 2008). For each year, we calculated the ratio of *Nb* to the number of candidate parents (*Ncand*). *Ncand* potentially underrepresents the total number of salmon in the parental cohort above the dam due to incomplete sampling or incomplete genotyping. Note that our methods to estimate *Nb* differs from methods used in previous reports. Previous reports used NEESTIMATOR v2.0 and excluded all alleles below a minor allele frequency of 0.02. To permit the identification of long-term trends in *Nb*, we present *Nb* values for years previously reported (2007 – 2010), as well as *Nb* values for 2011 – 2015 using a consistent, updated approach.

Predictors of Fitness

We fit a generalized linear mixed model (GLMM) to identify significant predictors of fitness in the above dam population. Specifically, we fit a single GLMM on TLF of candidate parents from 2007 – 2014 and partial TLF of candidate parents from 2015 because age-6 offspring are expected to contribute very little to TLF. We considered the influence of multiple potential predictors of fitness including *sex, origin, release day, release location, release group density, release group sex ratio, annual sex ratio.* In addition to fitting each of these predictors as a main fixed effect, we also examined three interaction terms, including *sex\*release day, sex\*origin,* and *sex\*annual sex ratio* and two random effects, *release group*, and *year. Release day* is the Julian day that individuals were released and was modeled as a continuous fixed effect. *Release group* is defined as the individuals released at a single location during a single day. *Release group density* is the number of individuals in a release group. *Release group sex ratio* is the ratio of males to females in a release group. *Annual sex ratio* is the ratio of males to females in a year. Sex ratios were log-transformed before inclusion as predictors in a model.

Our modeling approach primarily followed the recommendations of Zuur et al. (2009) and Bolker (2015). We conducted an exploratory data analysis to identify the distribution and link function that provided the best fit to the data, calculated variance inflation factors of main effects to examine the data for multicollinearity and determined if non-linear effects of *release day,* *release group density,* *release group sex ratio,* or *annual sex ratio* improved the fit to the data. Model fit under different distributions were evaluated using AIC, BIC, rootograms and QQ-plots of randomized quantile residuals from the *COUNTREG* package in *R*, and simulated residuals produced by the *DHARMa* package in R. Non-linear effects were evaluated using AIC, BIC, and likelihood ratio tests.

After exploratory data analysis, we fit our models using the negative binomial distribution and a log link function using the *glmmTMB* function from the *glmmTMB* package in *R*. For model selection, we first identified the best random effects structure by fitting a fully saturated fixed effect model using restricted maximum likelihood and varying the random effects. Model selection of random effects was by AIC. After refitting the fully saturated fixed effects model with the final random effects structure using maximum likelihood, we conducted model selection for fixed effects based on both likelihood ratio tests for each predictor and backward stepwise selection using Wald tests for significant effects of each predictor. When the two model selection criteria disagreed, we chose to be conservative and only retained predictors selected by both approaches. After a final model was selected (hereafter GLMMTLF), we conducted model validation by testing for goodness of fit, overdispersion and influence of outliers using residuals simulated by the *DHARMa* package in *R*. Effect plots of significant predictors in the GLMMTLF were generated using the *effects* package in *R* and conditioned on the typical values of all other significant predictors in the final model.

We also fit a *post-hoc* GLMM on TLFfor a subset of candidate parents with *size at maturity* measurements (hereafter GLMMsize). We defined *size at maturity* as the fork length in cm for candidate parents measured at the time of release. This GLMMsize used the final significant predictors from the GLMM on TLF using all parents (GLMMTLF), but also included *size at maturity* as an additional fixed effect. Significance of the effect of *size at maturity* was tested using a likelihood ratio test. We also examined the relationship between *origin* and *size at maturity* using a linear mixed model that fit *size at maturity* as an effect of *origin, sex* and a random effect of year.

**Results**

Sampling and Genotyping

After filtering, there were 9839 individuals genotyped at an average of 10.86 microsatellite loci (Table 1). Ninety-nine percent of individuals in the filtered dataset were genotyped at nine or more loci. There were 6700 salmon initially collected at McKenzie or Leaburg Hatcheries and transported above Cougar Dam (hatchery outplants), 2930 NOR and HOR salmon initially encountered at the Cougar Trap and released above the dam, into the mainstem or immediately below the tailrace of the dam, 12 precocial males sampled on spawning grounds above the dam, 192 carcasses sampled during SGSs below the dam, and five carcasses sampled during SGSs above the dam (Table 2).

**Table 1.** Summary of genotype quality filtering. Individuals were removed from the analysis if they were genotyped at < 7 loci (missingness filtered), or if they represented a duplicate multilocus genotype (duplicate filtered).

\* Indicates some or all individuals from this year were genotyped in prior reports

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Year** | **Tissue Samples** | **Missingness Filtered** | **Duplicate Filtered** | **Nfinal** |
| 2007\* | 746 | 1 | 0 | 745 |
| 2008\* | 873 | 0 | 0 | 873 |
| 2009\* | 1386 | 2 | 1 | 1383 |
| 2010\* | 748 | 1 | 0 | 747 |
| 2011\* | 791 | 20 | 1 | 770 |
| 2012\* | 962 | 3 | 2 | 957 |
| 2013\* | 695 | 4 | 1 | 690 |
| 2014\* | 744 | 16 | 2 | 726 |
| 2015\* | 917 | 28 | 5 | 884 |
| 2016 | 891 | 20 | 4 | 867 |
| 2017 | 718 | 9 | 2 | 707 |
| 2018 | 156 | 1 | 0 | 155 |
| 2019 | 192 | 18 | 1 | 173 |
| 2020 | 169 | 7 | 0 | 162 |

**Table 2.** Sample sizes after genotype quality filtering of salmon initially encountered at McKenzie or Leaburg Hatcheries (hatchery outplant), at the Cougar Trap, during spawning ground surveys (SGS) above or below Cougar Dam, or as precocial males observed on the spawning grounds above Cougar Dam. Note that all hatchery outplants are HOR, Cougar Trap salmon include both NOR, HOR and unknown origin salmon, and all precocial males and SGS salmon are NOR.

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Year** | **Hatchery Outplant** | **Cougar Trap** | | | **Precocial Male** | **SGS** | |
|  |  | HOR | NOR | Unknown |  | Below | Above |
| 2007 | 745 |  |  |  |  |  |  |
| 2008 | 873 |  |  |  |  |  |  |
| 2009 | 1383 |  |  |  |  |  |  |
| 2010 | 496 | 30 | 221 |  |  |  |  |
| 2011 | 340 | 29 | 356 |  |  | 45 |  |
| 2012 | 430 | 17 | 500 |  |  | 10 |  |
| 2013 | 440 | 22 | 223 |  |  | 5 |  |
| 2014 | 486 | 21 | 191 | 1 | 12 | 14 | 1 |
| 2015 | 600 | 19 | 241 |  |  | 24 |  |
| 2016 | 459 | 74 | 295 | 4 |  | 31 | 4 |
| 2017 | 448 | 6 | 239 | 1 |  | 13 |  |
| 2018 |  |  | 120 |  |  | 35 |  |
| 2019 |  |  | 158 |  |  | 15 |  |
| 2020 |  |  | 162 |  |  |  |  |

Assignment

We attempted to assign 2915 potential offspring to 8985 candidate parents (Tables 4 and 3, respectively). Of these 2915 offspring, 1669 assigned to 1681 successful parents for an overall assignment rate of 57% (1669/2915) (Table 4). In the eight years when all candidate parents of potential offspring were sampled (2013 – 2020), 1183 of 1783 potential offspring assigned to at least one parent, for a total assignment rate of 67%. Within a year, the assignment rate varied from a low of 49% in 2019 to a high of 94% in 2020 (Table 4).

For the six offspring years when pedigrees were inferred in both this report and in previous reports (Banks et al. 2013; Banks et al. 2014; Banks et al. 2016) the average absolute difference in assignment rate was 0.7% (Table 4), indicating that changes to software versions, genotype quality filtering and assignment procedures had only a limited impact on the final pedigree.

**Table 3.** Number of individuals released or otherwise sampled above Cougar Dam retained in the final filtered dataset. These values correspond to candidate parents used in genetic parentage analysis. All hatchery outplants are HOR, all precocial males and SGS individuals are NOR.

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Year** | **Hatchery Outplant** | **Cougar Trap** | | | **Precocial Male** | | **SGS** |
|  |  | HOR | NOR | Unknown | |  |  |
| 2007 | 745 |  |  |  | |  |  |
| 2008 | 873 |  |  |  | |  |  |
| 2009 | 1383 |  |  |  | |  |  |
| 2010 | 496 | 30 | 221 |  | |  |  |
| 2011 | 340 | 29 | 356 |  | |  |  |
| 2012 | 430 | 17 | 500 |  | |  |  |
| 2013 | 440 | 15 | 172 |  | |  |  |
| 2014 | 486 | 20 | 132 | 1 | | 12 | 1 |
| 2015 | 600 | 19 | 135 |  | |  |  |
| 2016 | 459 | 70 | 171 | 1 | |  | 4 |
| 2017 | 448 | 5 | 151 | 1 | |  |  |

**Table 4.** Number of NOR Chinook salmon sampled in the South Fork McKenzie River and retained after genotype quality filtering (*npotential offspring*) in each year and the number (*nassigne****d***) and percent (% Assigned) assigned to candidate parents. Results for the full set of potential offspring including those sampled at the Cougar Trap, during spawning ground surveys or as precocial males above the dam (Overall), and potential offspring initially sampled at the Cougar Trap only (Cougar Trap) are presented separately. The previously reported assignment rate (Previous % Assigned) (for Cougar Trap only) is also presented. Note that the percent of NOR immigrants is equal to (1 - % assigned) for the Cougar Trap in 2013 – 2020.

\* Note that for potential offspring that returned in 2010 – 2012, not all candidate parents are included in the genetic parentage analysis.

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | **Overall** | | |  | **Cougar Trap** | | | | |
| **Year** | ***npotential offspring*** | ***nassigned*** | **% Assigned** |  | ***npotential offspring*** | | ***nassigned*** | **% Assigned** | **Previous % Assigned** |
| 2010\* | 221 | 14 | 6% |  | 221 | 14 | | 6% | 6% |
| 2011\* | 401 | 140 | 35% |  | 356 | 138 | | 39% | 38% |
| 2012\* | 510 | 328 | 64% |  | 500 | 326 | | 65% | 64% |
| 2013 | 228 | 153 | 67% |  | 223 | 153 | | 69% | 68% |
| 2014 | 218 | 118 | 54% |  | 191 | 117 | | 61% | 61% |
| 2015 | 265 | 179 | 68% |  | 241 | 176 | | 73% | 72% |
| 2016 | 330 | 228 | 69% |  | 295 | 212 | | 72% |  |
| 2017 | 252 | 173 | 69% |  | 239 | 169 | | 71% |  |
| 2018 | 155 | 100 | 65% |  | 120 | 92 | | 77% |  |
| 2019 | 173 | 84 | 49% |  | 158 | 80 | | 51% |  |
| 2020 | 162 | 152 | 94% |  | 162 | 152 | | 94% |  |

Considering only offspring years when all candidate parents that returned three to six years prior were sampled, 1629 potential offspring were sampled at the Cougar Trap in 2013 – 2020. Of these 1629, 1151 assigned to at least one parent above Cougar Dam, for a total assignment rate of 71%. Assuming individuals that did not assign to at least one parent above the dam were not produced above the dam, this suggests 29% of NOR salmon collected at the Cougar Trap were NOR immigrants. We found that the proportion of NOR immigrants collected at the Cougar Trap increased throughout the season (Table 5, Figure 4). In our model (GLMMimmigrant), the proportion of NOR immigrants collected at the Cougar Trap was estimated to increase from less than 5% early in the season to ~50% by September 1st. However, there was substantial variation among years in the relationship between time and the proportion of NOR immigrants collected at the Cougar Trap. The 95% confidence interval of the predicted proportion of NOR immigrants on September 1st spanned from ~ 38% – 63%.

**Table 5.** GLMMimmigrant model fit. Results of generalized linear mixed model examining the influence of *sex, Julian day of sampling* on whether a NOR salmon sampled at the Cougar Trap was assigned to at least one parent from above the dam. Only the first observation of an individual is used. Estimated effect (β) and standard error (s.e.) of each fixed predictor on the link (logit) scale for predictors that were retained in the final model are presented. Variance (σ2) and standard deviation (s.d.) for random intercepts for year and random slope *Julian day of sampling* per year and their correlation (ρ) are also presented. The null hypothesis that each predictor did not significantly improve the model effect was tested with a likelihood ratio test (LRT p-value). The null hypothesis that each predictor has an effect significantly different than zero was evaluated with the Wald test (Wald p-value). Significant p-values are in bold.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Fixed Effects** | **β** | **s.e** | **LRT**  **p-value** | **Wald**  **p-value** |
| (Intercept) | 7.130 | 0.930 |  | **1.7 x 10-14** |
| *Julian day of sampling* | -0.029 | 0.004 | **4.7 x 10-5** | **1.3 x 10-12** |
| *Sex*[Male] | -0.261 | 0.135 | 0.053 | 0.054 |
| **Random Effects** | **σ2** | **s.d.** | **ρ** |  |
| *year*(Intercept) | 4.883 | 2.209 | -0.95 |  |
| *year \* Julian day of sampling* | 8.5 x 10-5 | 0.009 |  |  |

Chart, line chart

Description automatically generated

**Figure 4.** Effect of *Julian day of sampling* on the predicted proportion of NOR salmon collected at the Cougar Trap was produced above the dam (thick black line) as predicted by GLMMimmigrant. Only the first observation of a salmon at the Cougar Trap was used. Vertical dashed red line indicates Julian Day 245, which corresponds to either September 1st or October 31st, depending on the year. Light colored lines show predicted effect of *Julian day of sampling* in from binomial GLMs fit to data from each year.

In 2015 – 2020, when downstream recycling was implemented, 875 NOR salmon collected at the Cougar Trap assigned to salmon released above the dam in 2009 – 2016. Of these 875 NOR salmon that were recycled downstream, 275 (31%) did not return to the trap, and 600 (69%) returned a second time. The mean interval between first and second collection at the Cougar Trap for these NOR salmon was 30.9 days (s.d. = 28.3). During the same period from 2015 – 2020, 334 NOR immigrants were collected at the trap. Of these, 252 (75%) did not return to the trap a second time, and 82 (25%) returned a second time. In summary, NOR salmon produced above the dam were significantly more likely to return a second time than NOR immigrants (odds ratio = 6.7, Fisher’s exact test, p = 2.2 x 10-16). If LSDR using a September 1st cutoff date had been implemented during these years instead of downstream recycling, 834 (95%) NOR salmon produced above the dam and 212 (63%) NOR immigrants would have been released above the dam.

Demography

*Age at Maturity*

Most salmon were age-4 or age-5 (54.6% and 42.0%, respectively), with few returning at age-3 or age-6 (1.6% and 1.8%, respectively). This pattern was consistent across all years when we could identify age-3, age-4, age-5, and age-6 salmon (2013 – 2020). However, there was substantial variation in the proportion of age-4 and age-5 returns from year to year (Figure 5).

Chart

Description automatically generated

**Figure 5.** Inferred age at maturity for NOR salmon sampled in South Fork McKenzie River from 2010 – 2020.

\* Parents prior to 2007 are not included as candidate parents in the genetic parentage analysis. Therefore, offspring in years 2010, 2011, and 2012 cannot be identified across the full range of potential ages.

*Total Lifetime Fitness*

We present the mean TLF for candidate parents as well as its range and standard deviation by year, sex, and origin in Table 6. From 2007 – 2015, there were 7453 candidate parents, and only 1511 (20%) produced at least one offspring that returned to the Cougar Trap or was sampled as a carcass below the dam. For the parental cohort years when all (2007 – 2014) or most (2015) offspring are expected to have returned, the overall mean TLF was 0.36 and ranged from 0 – 17. For the subset of these years when both NOR and HOR salmon were released above the dam (2010 – 2015), mean TLF was greater for NOR than HOR salmon (0.49 *vs.* 0.23, respectively).

**Table 6.** Mean TLF, standard deviation and range per parent year, sex, and origin.

\* Note that 2015 estimates do not include potential age-6 offspring. However, we expect these offspring to contribute very little to TLF (< 2%).

\*\* Note that 2016 and 2017 estimates do not include age-5 and age-6 offspring, and age-4, age-5, and age-6 offspring, respectively, which are expected to contribute substantially to TLF for these parents’ years.

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Year** | **Sex** | **HOR** | | | |  | **NOR** | | | |
|  |  | *n* | Mean | s.d. | Range |  | *n* | Mean | s.d. | range |
| 2007 | F | 318 | 0.89 | 1.49 | 0 - 11 |  |  |  |  |  |
|  | M | 427 | 0.72 | 1.56 | 0 - 17 |  |  |  |  |  |
| 2008 | F | 288 | 0.80 | 1.46 | 0 - 12 |  |  |  |  |  |
|  | M | 585 | 0.40 | 1.03 | 0 - 9 |  |  |  |  |  |
| 2009 | F | 603 | 0.16 | 0.47 | 0 - 4 |  |  |  |  |  |
|  | M | 780 | 0.13 | 0.43 | 0 - 3 |  |  |  |  |  |
| 2010 | F | 206 | 0.29 | 0.73 | 0 - 5 |  | 57 | 0.25 | 0.63 | 0 - 3 |
|  | M | 320 | 0.13 | 0.52 | 0 - 6 |  | 164 | 0.29 | 0.69 | 0 - 4 |
| 2011 | F | 176 | 0.76 | 1.57 | 0 - 9 |  | 144 | 0.68 | 1.33 | 0 - 7 |
|  | M | 193 | 0.27 | 0.69 | 0 - 4 |  | 212 | 0.86 | 1.74 | 0 - 9 |
| 2012 | F | 256 | 0.25 | 0.58 | 0 - 3 |  | 183 | 0.41 | 0.77 | 0 - 5 |
|  | M | 191 | 0.18 | 0.46 | 0 - 2 |  | 317 | 0.37 | 0.78 | 0 - 5 |
| 2013 | F | 248 | 0.28 | 0.7 | 0 - 5 |  | 77 | 0.75 | 1.25 | 0 - 6 |
|  | M | 207 | 0.21 | 0.56 | 0 - 3 |  | 95 | 0.85 | 1.41 | 0 - 8 |
| 2014 | F | 334 | 0.14 | 0.45 | 0 - 3 |  | 52 | 0.27 | 0.79 | 0 - 4 |
|  | M | 172 | 0.23 | 0.57 | 0 - 3 |  | 80 | 0.30 | 0.72 | 0 - 3 |
| 2015\* | F | 417 | 0.12 | 0.35 | 0 - 2 |  | 48 | 0.31 | 0.95 | 0 - 6 |
|  | M | 202 | 0.17 | 0.50 | 0 - 4 |  | 87 | 0.31 | 0.58 | 0 - 3 |
| 2016\*\* | F | 336 | 0.3 | 0.64 | 0 - 5 |  | 64 | 0.39 | 0.63 | 0 - 2 |
|  | M | 193 | 0.35 | 0.84 | 0 - 6 |  | 107 | 0.54 | 1.16 | 0 - 8 |
| 2017\*\* | F | 328 | 0 | 0 | 0 - 0 |  | 42 | 0 | 0 | 0 - 0 |
|  | M | 125 | 0 | 0 | 0 - 0 |  | 109 | 0 | 0 | 0 - 0 |

Chart, line chart

Description automatically generated

**Figure 6.** Mean Total Lifetime Fitness (TLF) and standard deviation from 2007 to 2015, by sex and origin.

\* Note that 2015 TLF estimates do not include potential age-6 offspring. However, we expect these offspring to contribute very little to TLF (~2%).

*Cohort Replacement Rate*

The total cohort replacement rate (CRRtotal) was less than one in all years from 2007 – 2015, indicating that the population above Cougar Dam is not replacing itself (Table 7). Maximum CRRtotal (0.44) was observed in 2007, and minimum CRRtotal (0.08) was observed in 2009, both years when only HOR hatchery outplants were released above the dam. In most years (2007 – 2012), females were the limiting sex. CRRF was less than one in these years. In the three years when males were the limiting sex (2013 – 2015), CRRM was also less than one.

**Table 7.** Cohort Replacement Rate (CRRtotal) per parent year. CRRtotal was estimated by determining the number of offspring successfully assigned to at least one parent in a given year (noffspring) and dividing it by the number of candidate parents (ncand) in that year. We also present CRRF: the number female offspring successfully assigned to at least one female parent in a given year, divided by the number of female candidate parents (ncand female) in that year. CRRM is the equivalent value for males.  
\* Note that 2015 estimates do not include potential age-6 offspring. However, we expect these offspring to contribute very little to TLF (~2%).

**\*\* Previous reports present CRRF as “CRR”.**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Year** | ***noffspring*** | ***ncand*** | ***ncand male*** | ***ncand female*** | **Sex Ratio (male:female)** | **CRRtotal** | **CRRF\*\*** | **CRRM** |
| 2007 | 331 | 745 | 427 | 318 | 1.3 : 1 | 0.44 | 0.36 | 0.43 |
| 2008 | 247 | 873 | 585 | 288 | 2.0 : 1 | 0.28 | 0.30 | 0.26 |
| 2009 | 114 | 1383 | 780 | 603 | 1.3 : 1 | 0.08 | 0.06 | 0.08 |
| 2010 | 94 | 747 | 484 | 263 | 1.8 : 1 | 0.13 | 0.12 | 0.11 |
| 2011 | 284 | 725 | 405 | 320 | 1.3 : 1 | 0.39 | 0.34 | 0.32 |
| 2012 | 171 | 947 | 508 | 439 | 1.2 : 1 | 0.18 | 0.11 | 0.19 |
| 2013 | 151 | 627 | 302 | 325 | 1 : 1.1 | 0.24 | 0.14 | 0.26 |
| 2014 | 73 | 652 | 266 | 386 | 1 : 1.5 | 0.11 | 0.08 | 0.11 |
| 2015\* | 69 | 754 | 289 | 465 | 1 : 1.6 | 0.09 | 0.06 | 0.12 |

*Effective Number of Breeders*

The effective number of breeders (*Nb*), ranged from 139.8 to 368.8 (Table 8), indicating that there is likely sufficient genetic diversity within a cohort to avoid inbreeding depression. The ratio of *Nb* to the number of candidate parents ranged from 0.22 to 0.36.

**Table 8.** Effective number of breeders (*Nb*) per parent year as estimated by NeEstimator v2.1. Number of candidate parents (*ncand*) is the number of salmon sampled, released above the Cougar Dam in a given year and successfully genotyped. *nsuccess* is the number of candidate parents with one or more offspring in the pedigree. *noffspring* is the number of offspring assigned to candidate parents released above the dam that parent year. Upper and lower 95% confidence intervals based on jack-knife are provided. The *Nb* : *ncand* ratiois provided for each year.

\* Note that 2015 estimates do not include potential age-6 offspring. However, we expect these offspring to contribute very little to TLF (~2%)

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Year** | ***ncand*** | *nsuccess* | ***noffspring*** | ***Nb*** | **CIlower** | **CIupper** | ***Nb* : ncand ratio** |
| 2007 | 745 | 261 | 331 | 265.5 | 222.7 | 322.8 | 0.36 |
| 2008 | 873 | 229 | 247 | 247.5 | 203.7 | 308.8 | 0.28 |
| 2009 | 1383 | 156 | 114 | 368.6 | 228.1 | 848.6 | 0.27 |
| 2010 | 747 | 105 | 94 | 169.8 | 116.6 | 288 | 0.23 |
| 2011 | 725 | 209 | 284 | 220.1 | 181.7 | 272.7 | 0.30 |
| 2012 | 947 | 206 | 171 | 297.2 | 216.5 | 451.4 | 0.31 |
| 2013 | 627 | 152 | 151 | 139.8 | 104.3 | 199.7 | 0.22 |
| 2014 | 652 | 90 | 73 | 167.5 | 104.7 | 361.6 | 0.26 |
| 2015\* | 754 | 103 | 69 | 211.9 | 122.1 | 627.5 | 0.28 |

Predictors of Fitness

*Generalized Linear Mixed Modeling*

We did not find evidence of strong multicollinearity among the evaluated predictors of TLF and included all predictors at the start of model selection. Modeling the effect of *release group density* as a second order polynomial provided a marginally better fit to the data than modeling *release group density* as a linear predictor, but all other predictors were modeled as linear effects. The best random effects structure according to AIC included random intercepts for both *year* and *release group.* After model selection of fixed effects, four predictors and two interactions were included in the final model: *sex, origin, Julian day of release, annual sex ratio*, *sex\*origin* and *sex\*annual sex ratio.* Parameter estimates and their standard errors, as well as significance testing for each predictor retained in the final model are presentedin Table 9*.*

**Table 9.** Results of generalized linear mixed model examining the influence of *sex, origin, release day, release location, release group density, release group sex ratio, annual sex ratio,* *sex\*release group density,* *sex\*release day, sex\*origin, and sex\*annual sex ratio* on the total lifetime fitness of spring Chinook salmon released above Cougar Dam from 2007 – 2015. *Year* and *release group* are included as random effects. Estimated effect (β) and standard error (s.e.) of each fixed predictor on the link (log) scale for predictors that were retained in the final model are presented above. The null hypothesis that each predictor did not significantly improve the model effect was tested with a likelihood ratio test (LRT p-value). The null hypothesis that each predictor has an effect significantly different than zero was evaluated with the Wald test (Wald p-value). Estimated variance (σ2) and standard deviation (s.d.) are presented for random effects. Significant p-values are in bold.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Fixed Effects** | **β** | **s.e** | **LRT**  **p-value** | **Wald**  **p-value** |
| (Intercept) | -1.343 | 0.208 |  |  |
| *sex* [male] | -0.150 | 0.082 |  | 0.066 |
| *origin* [NOR] | 0.446 | 0.132 |  | **0.001** |
| *Julian Day of release* | -0.004 | 0.001 | **0.004** | **0.003** |
| *annual sex ratio* | 1.042 | 0.501 |  | **0.038** |
| *sex* [male] \* *origin* [NOR] | 0.293 | 0.142 | **0.039** | **0.039** |
| *sex* [male] \* *annual sex ratio* | -0.750 | 0.177 | **<0.001** | **<0.001** |
| **Random Effects** | **σ2** | **s.d.** |  |  |
| *year* | 0.306 | 0.553 |  |  |
| *release group* | 0.085 | 0.292 |  |  |

*Effects of Significant Predictors of TLF*

To aid in interpretation of the parameters estimated in the final model (Table 9), we also estimated effects of each significant predictor on the response scale (TLF) after accounting for variation at all other significant predictors (Figures 7 – 9). NOR salmon have substantially higher predicted fitness than HOR salmon and this effect is somewhat stronger for males than females, but this interaction is only marginally significant and has a limited effect size (Figure 7). NOR males are predicted to be 2.1-fold more fit than HOR males, and NOR females are predicted to be 1.6-fold more fit than HOR females.

Chart, box and whisker chart

Description automatically generated

**Fig 7.** Predicted effects of *origin* (NOR *vs.* HOR) and *sex* on TLF from GLMMTLF. Predicted values are conditioned on the typical values of all other predictors in the final model (*Julian day of release, annual sex ratio*). Error bars depict 95% confidence intervals for the estimates.

Overall, the *annual sex ratio* has a small, and marginally significant effect on TLF, with male-biased sex ratios producing somewhat higher fitness than female-biased sex ratios, however this effect was much stronger for females than males. When viewed on response scale (TLF) (Figure 8), changes in *annual sex ratio* affects fitness mostly through females, who perform worse when the sex ratio is female-biased. Using the most extreme values observed in any years (male:female ratio 0.6 and 2.0), female fitness is predicted to vary 3.5-fold, whereas male fitness is expected to vary 1.4-fold.

Chart, histogram

Description automatically generated

**Figure 8.** Predicted effects of *annual sex ratio* and *sex* on TLF from GLMMTLF. Predicted values are conditioned on the typical values of all other predictors in the final model (*Julian day of release, origin*). Rug plot at bottom of the figure highlights the observed *annual sex ratios* used to fit the model. Bands represent 95% confidence intervals for the estimates.

Finally, salmon released above Cougar Dam earlier in the season are predicted to have greater fitness than those released later (Figure 9). Individuals released on the earliest day in the dataset are predicted to have 1.7-fold greater fitness than the latest release day.

Chart, line chart

Description automatically generated

**Figure 9.** Predicted effects of *Julian day of release* on TLF from GLMMTLF. Predicted values are conditioned on the typical values of all other predictors in the final model (*Julian sex, origin, annual sex ratio*). Grey band represents 95% confidence intervals for the estimates.

The random effects of *year* and *release group* also contribute substantially to the variation in TLF among individuals (Table 9). Variation in TLF attributed to differences among years or release groups, as measured by standard deviation of the *year* and *release group* random effects, was similar in scale to the fixed effect of *origin* presented above*.*

*Size at Maturity*

Our dataset included *size at maturity* for approximately one half of the candidate parents released above the dam from 2007 – 2015 used to fit GLMMTLF. NOR salmon released above the dam were significantly larger than their HOR counterparts after controlling for size differences owing to *sex* and *year* (linear mixed model, βorigin [NOR] = 2.92 cm, s.e. = 0.26, p-value likelihood ratio test < 2.2 x 10-16). To evaluate the relationship between *size at maturity*, *origin* and TLF, we fit a GLMM on TLF for the 3781 candidate parents with a *size at maturity* measurement, using the significant predictors of fitness from the final model and *size at maturity* as an additional predictor (GLMMsize). Size had a significant positive effect on TLF (βsize = 0.069, s.e. = 0.006, p-value likelihood ratio test < 2.0 x 10-16), and the estimated effect of origin was reduced to 44% of its estimated effect when *size at maturity* was not included in the model, confirming that these two variables are highly confounded.

**Discussion**

Main Findings

*Assignments*

1. Most (71%; 1151/1629) NOR salmon collected at the Cougar Trap from 2013 – 2020 were produced above Cougar Dam. The remaining 29% (478/1629) were likely immigrants.
2. The proportion of NOR immigrants collected at the Cougar Trap varied among years. As few as 6% (10/162) and as many as 49% (78/158) of NOR salmon collected at the Cougar Trap were immigrants in 2020 and 2019, respectively.
3. The proportion of NOR immigrants collected at the Cougar Trap was estimated to increase from less than 5% early in the season to ~50% on September 1.
4. From 2015 – 2020, a salmon produced above the dam was more likely to return a second time after being recycled downstream than a NOR immigrant. Recycling all NOR salmon collected at the Cougar Trap downstream during this period prevented above dam transport of 75% (252/334) of NOR immigrants and 31% (275/875) of NOR salmon produced above the dam.
5. LSDR during the same period (2015 – 2020) would have prevented above dam transport of only 37% (123/334) of NOR immigrants and 5% (44/875) of NOR salmon produced above the dam.

*Demography*

1. Most NOR salmon sampled from 2013 – 2020 and assigned to a parent released above the dam from 2007 – 2017 returned at age-4 (55%; 648/1187) or age-5 (42%; 499/1187), with few returning at age-3 (2%; 19/1187) or age-6 (2%; 21/1187).
2. From 2007 – 2015, 20% of candidate parents above Cougar Dam produced one or more adult offspring (TLF ≥ 1) that returned to the Cougar Trap or was sampled as a carcass below the dam.
3. Mean TLF for all candidate parents from 2007 – 2015 was 0.36. TLF was highly variable at the individual level and ranged 0 – 17.
4. Mean TLF was greater for NOR than HOR salmon from 2010 - 2015 (0.49 *vs.* 0.23, respectively).
5. In the nine years when we sampled nearly all potential offspring of parental cohorts above Cougar Dam, CRRtotal never approached one, indicating that population above the dam is not replacing itself. The maximum CRRtotal was 0.44 (2007) and the minimum was 0.08 (2009).
6. In most years females were the limiting sex. CRRF was less than one in these years. In years when males were the limiting sex, CRRM was also less than one.
7. *Nb* ranged from 139.8 to 368.8, indicating that there is likely sufficient genetic diversity within a parental cohort to avoid inbreeding depression.

*Predictors of Fitness*

1. Three variables and two interactions were predictive of TLF: *origin*, *Julian day of release*, *annual sex ratio*, *sex\*origin* and *sex\*annual sex ratio.*
2. NOR males are predicted to be 2.1-fold more fit the HOR males, and NOR females are predicted to be 1.6-fold more fit than HOR females.
3. Both males and females are predicted to have higher TLF when the sex ratio is male-biased, but this effect is much stronger for females than males. Using the most extreme values observed in any years (male:female ratio 0.6 and 2.0), female fitness is predicted to vary 3.5-fold, whereas male fitness is expected to vary 1.4-fold.
4. Individuals released on the earliest release day in the dataset are predicted to have 1.7-fold greater fitness than the latest release day.
5. Variance in TLF among both years and release groups was substantial after accounting for the significant predictors, suggesting that unmeasured variables within years and release groups have a large effect on TLF.
6. NOR salmon are larger than HOR salmon, and larger size at maturity is associated with higher TLF, indicating that fitness differences between NOR and HOR salmon may be due, in part, to differences in size at maturity.

Assignment Rates

Changes to software versions, data filtering and methods of inferring a consensus pedigree had only a minor effect on the overall assignment rate compared to the approaches employed in previous reports. This suggests that our genetic parentage approach is robust to small changes in methods and increases our confidence that the final pedigree accurately reflects true parent-offspring relationships. Our confidence in the pedigree is further bolstered by the high genetic diversity and attendant low non-exclusion probabilities given the genetic data, and the low estimated genotyping error rates (Appendix A).

Most spring Chinook salmon collected at the Cougar Trap in 2013 – 2020 assign to at least one parent released or otherwise sampled above the dam. Among these years, 29% (478/1629) of NOR salmon collected at the Cougar Trap were unassigned and inferred to be NOR immigrants produced below the dam or elsewhere. However, we found significant variation in the proportion of NOR immigrants among years, with as few as 6% (10/162) in 2020 and as many as 49% (78/158) in 2019. Note, the final day that a NOR salmon was collected at the Cougar Trap during the period from 2013 – 2020 varied from August 28 as the earliest day, to October 8 as the latest day during 2018 and 2014, respectively.

Previous reports found that the LSDR program implemented in 2013 and 2014 selectively limited above dam transport of NOR immigrants relative to NOR salmon that were produced above the dam (Banks et al. 2014; Banks 2016). From 2015 onwards, managers transitioned to recycling all NOR salmon downstream, regardless of the date they were collected at the Cougar Trap. To evaluate the impact of the downstream recycling program on the disposition of NOR salmon, we determined how many NOR salmon produced above the dam and how many NOR immigrants would have been released above the dam if no downstream recycling program was implemented during the period from 2015 – 2020, or if LSDR was implemented in its place. We found that NOR salmon produced above the dam were significantly more likely to return a second time after being recycled downstream than NOR immigrants. Consequently, recycling all NOR salmon collected at the Cougar Trap from 2015 – 2020 prevented above dam transport of 31% (271/875) of the NOR salmon produced above the dam and 75% (250/334) of the NOR immigrants. We also confirmed the tendency for NOR immigrants to arrive at the Cougar Trap later than NOR salmon produced above the dam. Consequently, implementing LSDR with a September 1 cutoff in place of downstream recycling during the same period (2015 – 2020), would have prevented the above dam transport of very few (5%; 44/875) NOR salmon produced above the dam and fewer (37%; 123/334) NOR immigrants.

Future changes to the downstream recycling program that result in the release of greater numbers of NOR salmon may provide demographic and genetic benefits to the reintroduction program above Cougar Dam. First, NOR salmon produced above the dam provide a direct demographic benefit to the above dam population when they are released above the dam. Second, we found that NOR salmon are more fit than their smaller HOR counterparts above the dam. Therefore, decreasing the proportion of HOR spawners above the dam may improve productivity per salmon released. Finally, there may be adaptive genetic variation among NOR immigrants that is absent from or rare among hatchery outplants and their NOR descendants. Therefore, above dam transport of NOR immigrants may promote the adaptive potential and long-term productivity of the above dam population. Implementing downstream recycling is also associated with two costs for the reintroduction program. First, for the NOR salmon ultimately released above the dam, downstream recycling delays release by ~31 days which is associated with a 12% reduction in fitness as quantified by the GLMMTLF. Second, downstream recycling imposes additional handling stress which may be associated with further unquantified reductions to fitness.

However, these proposed demographic and genetic benefits provided to the reintroduction program by above dam transport NOR salmon must be weighed against its costs. Above dam transport of NOR salmon, regardless of whether they are produced above the dam or not, presumably negatively influences NOR production basin wide, because the above dam habitat is a net demographic sink, with a CRR less than one in all years from 2007 – 2015. Offspring of NOR salmon are subjected to the high mortality associated with downstream passage through Cougar Dam (Romer et al. 2013; Beeman et al. 2014). Therefore, the disposition of NOR salmon presents different arrays of costs and benefits for the above dam population, salmon below the dam, and more broadly throughout the McKenzie sub-basin.

Demography

The CRR was substantially less than one from 2007 – 2010. This finding has been attributed to low juvenile survival through Cougar Dam (Romer et al. 2013; Beeman et al. 2014). CRR estimates remained below one in 2011 – 2015 when the above dam population consisted of both HOR and NOR salmon. Overall, the CRR has remained below one from 2007 – 2015, regardless of whether cohort replacement rate was estimated using all individuals (CRRtotal), only females (CRRF) or only males (CRRM).

*Nb* was high in all years suggesting that inbreeding depression is unlikely to negatively affect the above dam population. However, this perspective depends on the definition of a population. Given the population genetic structure of Chinook salmon generally and the ongoing supplementation above the dam with both hatchery outplants and a small number of NOR immigrants, spring Chinook salmon above Cougar Dam are more appropriately considered a component of the larger McKenzie River total population. The genetic diversity above the dam, and hence *Nb*, reflects the collective genetic diversity of the NOR descendants of hatchery outplants, hatchery outplants themselves and NOR immigrants that successfully spawn above the dam. If supplementation is limited in future years, inbreeding depression may represent a risk to above dam population.

Banks et al.(2016) and Sard et al. (2016a) assigned offspring to grandparents to infer that unsampled adfluvial females and precocial males likely make a small contribution to productivity above the dam. While we did not conduct a similar grandparentage analysis, we attempted to confirm that either precocial males or adfuvial females may contribute to above dam productivity by assigning potential offspring to a small number of precocial males and NOR carcass samples of unknown provenance sampled above the dam. We found that these salmon were not parents of any salmon that returned to the Cougar Trap or were sampled as carcasses below the dam in later years. Therefore, while precocial males and adfluvial females may contribute to above dam productivity, we were unable to confirm this finding by assigning offspring directly to sampled parents.

Predictors of Fitness

Due to the availability of a complete pedigree for only four or fewer parental cohort years, previous modeling approaches to identify aspects of release strategies or other variables that significantly explained variation in TLF were forced to examine each parental cohort year separately (Banks et al. 2013; Banks et al. 2014; Banks et al. 2016; Sard et al. 2016b). Release date, release location, and origin by sex interactions were all identified as significant predictors of TLF in some years, but not others. By combining data for all candidate parents released above Cougar Dam from over nine years into a single model, we were able improve statistical power to identify variables that are consistently predictive of TLF across years. We found that variation in TLF can be predicted by origin, release day, annual sex ratio, an origin by sex interaction and an annual sex ratio by sex interaction. We also found that there was much variation in TLF among years and release groups after accounting for the effects of the significant predictors and residual variation, suggesting that other unmeasured variables that operate at the level of year or release group likely influence TLF.

Origin had a strong effect on TLF of salmon released above the dam. NOR males were predicted to be 2.1-fold more fit the HOR males, and NOR females were predicted to be 1.6-fold more fit than HOR females. However, consistent with previous reports, we found a relationship between size and origin among candidate parents in *post-hoc­* analyses. NOR candidate parents were significantly larger than their HOR counterparts, and size was a significant predictor of TLF when included in a *post-hoc* model, indicating that the effect of origin on fitness is potentially due, in part, to the different sizes of NOR and HOR salmon.

Chinook salmon released above Cougar Dam earlier in the season were predicted to have greater TLF than those released later. Previous genetic parentage analyses of UWR spring Chinook salmon reintroduction programs have found inconsistent effects of release day on fitness, with opposite patterns detected year to year in the same river (Banks et al. 2014; Banks et al. 2016; Evans et al. 2016; Sard et al. 2016b). Early release has also been associated with high pre-spawn mortality among hatchery outplants above dams in the UWR (Keefer et al. 2010). These authors attributed inconsistent findings between years in the same river to interannual variation in the proportion of HOR spawners, the operational sex ratio, and environmental variables that affect the rate of pre-spawn mortality (Banks et al. 2014; Banks et al. 2016; Evans et al. 2016; Sard et al. 2016b). We also found that there was variation among years in the effect of release day on TLF, however the overall effect of later release over nine years was negative.

We found that the annual sex ratio likely influences TLF. This effect was mostly driven by females which were predicted to have greater fitness when the sex ratio is male-biased. These findings contradict those from a recent report evaluating releases of spring Chinook salmon above Detroit Dam on the North Santiam River that found lower TLF for both sexes when the sex ratio was strongly male biased (O’Malley et al. 2022). While the range of sex ratios in both rivers was similar, we note that many ecological processes may mediate the relationship between sex ratio and TLF, and these processes may vary between the two rivers. For example, the proportion of hatchery-origin spawners (pHOS) above Detroit Dam on the North Santiam River was 100% for most years evaluated, while pHOS above Cougar Dam on the South Fork McKenzie River averaged 65% after construction of the Cougar Trap in 2010. It is possible that the presence of larger NOR males in the South Fork McKenzie River reduces the deleterious effects of strongly male-biased sex ratios that we observed in the North Santiam River, by providing the opportunity for mate choice (Auld et al. 2019) and reducing the tendency for females to delay spawning in the presence of a high proportion of smaller, HOR males (Berejikian 2000).

We evaluated additional predictors of TLF that were not retained in the final model. These predictors are unlikely to substantially or consistently contribute to variation in TLF. We did not find that release location had a significant effect on TLF. We also defined release group as the set of salmon released above the dam at a single location on a single day. While there was much variation in TLF among release groups, we did not find that this variation was explained by either the number of salmon in a release group or the sex ratio of a release group.

Conclusion

Previous reports found that the population above Cougar Dam was not reaching replacement when only HOR salmon were released. However, early evidence suggested that NOR fitness was greater than HOR fitness above the dam, suggesting that productivity may increase in later years (Banks et al. 2014; Banks et al. 2016). In this report, we evaluated five additional years of releases above Cougar Dam that included both HOR and NOR salmon. While NOR salmon indeed demonstrated substantially greater fitness than the relatively smaller HOR salmon, the cohort replacement rate never approached one in any year.

We also confirmed that the proportion of NOR immigrants at the Cougar Trap increases throughout the season and that NOR salmon produced above the dam are more likely to return to the Cougar Trap a second time after downstream recycling than their NOR immigrant counterparts. Therefore, while implementation of either LSDR or downstream recycling reduces the number of NOR salmon released above the dam, both programs selectively limit above dam transport of NOR immigrants relative to NOR salmon produced above the dam. When evaluating LDSR and downstream recycling, managers must weigh the expected demographic and genetic benefits of above dam transport of NOR salmon to the above dam population against its expected costs to NOR productivity basin wide.

**References**

Auld HL, Noakes DL, Banks MA (2019) Advancing mate choice studies in salmonids. Reviews in Fish Biology and Fisheries, 29, 249-276.

Banks M, Blouin M, Baldwin B, Rashbrook V, Fitzgerald H, Blankenship S, Hedgecock D (1999) Isolation and inheritance of novel microsatellites in chinook salmon (Oncorhynchus tschawytscha). Journal of Heredity, 90, 281-288.

Banks MA (2016) <cougar\_dam\_2016\_tech\_report\_-\_final.pdf>.

Banks MA, O’Malley KG, Sard NM, Jacobson DP, Hogansen MJ, Schroeder RK, Johnson MA (2013) Genetic pedigree analysis of spring Chinook salmon outplanted above Cougar Dam, South Fork McKenzie River. US Army Corps of Engineers.

Banks MA, Sard NM, O’Malley KG, Jacobson DP, Hogansen MJ, Johnson MA (2016) A genetics-based evaluation of the spring Chinook salmon reintroduction program above Cougar Dam, South Fork McKenzie River, 2013-2015. US Army Corps of Engineers.

Banks MA, Sard NM, O’Malley KG, Jacobson DP, Hogansen MJ, Schroeder RK, Johnson MA (2014) A genetics-based evaluation of the spring Chinook salmon reintroduction program above Cougar Dam, South Fork McKenzie River, 2007- 2013. US Army Corps of Engineers.

Beeman JW, Evans SD, Haner PV, Hansel HC, Hansen AC, Smith CD, Sprando JM (2014) Passage and survival probabilities of juvenile Chinook salmon at Cougar Dam, Oregon, 2012. US Geological Survey.

Berejikian B (2000) Female mate choice and spawning behaviour of chinook salmon under experimental conditions. Journal of Fish Biology, 57, 647-661.

Bolker BM (2015) Linear and generalized linear mixed models. Ecological statistics: contemporary theory and application, 309-333.

Botsford LW, Brittnacher JG (1998) Viability of Sacramento river winter‐run Chinook salmon. Conservation Biology, 12, 65-79.

Brunelli JP, Wertzler KJ, Sundin K, Thorgaard GH (2008) Y-specific sequences and polymorphisms in rainbow trout and Chinook salmon. Genome, 51, 739-748.

Christie MR (2010) Parentage in natural populations: novel methods to detect parent-offspring pairs in large data sets. Mol Ecol Resour, 10, 115-128.

Do C, Waples RS, Peel D, Macbeth G, Tillett BJ, Ovenden JR (2014) NeEstimator v2: re‐implementation of software for the estimation of contemporary effective population size (Ne) from genetic data. Molecular ecology resources, 14, 209-214.

Evans ML, Johnson MA, Jacobson D, Wang J, Hogansen M, O’Malley KG, Taylor E (2016) Evaluating a multi-generational reintroduction program for threatened salmon using genetic parentage analysis. Canadian Journal of Fisheries and Aquatic Sciences, 73, 844-852.

Greig C, Jacobson DP, Banks MA (2003) New tetranucleotide microsatellites for fine‐scale discrimination among endangered Chinook salmon (Oncorhynchus tshawytscha). Molecular Ecology Notes, 3, 376-379.

Harrison HB, Saenz‐Agudelo P, Planes S, Jones GP, Berumen ML (2013) Relative accuracy of three common methods of parentage analysis in natural populations. Molecular ecology, 22, 1158-1170.

Ivanova NV, Dewaard JR, Hebert PDN (2006) An inexpensive, automation-friendly protocol for recovering high-quality DNA. Molecular Ecology Notes, 6, 998-1002.

Johnson MA, Friesen TA (2010) Spring Chinook salmon hatcheries in the Willamette basin: existing data, discernable patterns and information gaps. US Army Corps of Engineers Task Order NWPPM-09-FH-05, 88.

Jones OR, Wang J (2010) COLONY: a program for parentage and sibship inference from multilocus genotype data. Molecular ecology resources, 10, 551-555.

Kalinowski ST, Taper ML, Marshall TC (2007) Revising how the computer program CERVUS accommodates genotyping error increases success in paternity assignment. Molecular ecology, 16, 1099-1106.

Keefer M, Taylor G, Garletts D, Gauthier G, Pierce T, Caudill C (2010) Prespawn mortality in adult spring Chinook salmon outplanted above barrier dams. Ecology of Freshwater Fish, 19, 361-372.

McElhany P, Chilcote M, Myers J, Beamesderfer R (2007) Viability Status of Oregon Salmon and Steelhead Populations in the Willamette and Lower Columbia Basins Part 7: Upper Willamette Steelhead. Prepared for Oregon Department of Fish and Wildlife and National Marine Fisheries Service.

Naish KA, Park LK (2002) Linkage relationships for 35 new microsatellite loci in Chinook salmon Oncorhynchus tshawytscha.

NMFS (1999) Endangered and threatened species: threatened status for three Chinook Salmon evolutionarily significant units (ESUs) in Washington and Oregon, and endangered status for one Chinook Salmon ESU in Washington. Federal Register, 64, 14308-14328.

NMFS (2008) Endangered species act section 7 (a)(2) consultation biological opinion and Magnuson‐Stevens fishery conservation and management act essential fish habitat consultation: consultation on the “Willamette River basin flood control project.”. NMFS Portland, Oregon.

NMFS (2019) Endangered Species Act (ESA) Section 7(a)(2) Biological Opinion and Magnuson-Stevens Fishery Conservation and Management Act Essential Fish Habitat (EFH) Consultation: Evaluation of Hatchery Programs for Spring Chinook Salmon, Summer Steelhead, and Rainbow Trout in the Upper Willamette River Basin WCR-2018-9781.

O’Malley KG, Dayan DI, Fitzpatrick CK, Bohn S, Grenbemer GA (2022) Evaluating spring Chinook salmon releases above Detroit Dam and below Big Cliff Dam, on the North Santiam River, using genetic parentage analysis. US Army Corps of Engineers.

Romer JD, Monzyk FR, Emig R, Friesen TA (2013) Juvenile salmonid outmigration monitoring at Willamette Valley Project reservoirs. Annual Report of Oregon Department of Fish and Wildlife (ODFW) to US Army Corps of Engineers, Portland, Oregon.

Sard NM, Jacobson DP, Banks MA (2016a) Grandparentage assignments identify unexpected adfluvial life history tactic contributing offspring to a reintroduced population. Ecology and evolution, 6, 6773-6783.

Sard NM, Johnson MA, Jacobson DP, Hogansen MJ, O'Malley KG, Banks MA (2016b) Genetic monitoring guides adaptive management of a migratory fish reintroduction program. Animal Conservation, 19, 570-577.

Sard NM, O’Malley KG, Jacobson DP, Hogansen MJ, Johnson MA, Banks MA (2015) Factors influencing spawner success in a spring Chinook salmon (Oncorhynchus tshawytscha) reintroduction program. Canadian Journal of Fisheries and Aquatic Sciences, 72, 1390-1397.

Wang J (2018) Estimating genotyping errors from genotype and reconstructed pedigree data. Methods in Ecology and Evolution, 9, 109-120.

Waples RS, Do C (2008) LDNE: a program for estimating effective population size from data on linkage disequilibrium. Molecular ecology resources, 8, 753-756.

Williamson KS, Cordes JF, May B (2002) Characterization of microsatellite loci in Chinook salmon (Oncorhynchus tshawytscha) and cross‐species amplification in other salmonids. Molecular Ecology Notes, 2, 17-19.

Zuur AF, Ieno EN, Walker NJ, Saveliev AA, Smith GM (2009) Mixed effects models and extensions in ecology with R. Springer.

APPENDIX A: ASSIGNMENT POWER

**Table A1.** Cumulative non-exclusion probabilities based on 11 microsatellite loci for the identification of the first parent (NE.1P), second parent (NE.2P), and parent pairs (NE.PP) for each offspring year and its set of candidate parents. The expected number of false parent-offspring pairs for each offspring year given zero (EFP.0), one (EFP.1) and two (EFP.2) genotype mismatches is also presented.

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Parent Years** | **Offspring Year** | **NE.1P** | **NE.2P** | **NE.PP** | **EFP.0** | **EFP.1** | **EFP.2** |
| 2007 | 2010 | 2.54E-07 | 6.12E-10 | 7.83E-17 | 0.02 | 0.12 | 0.70 |
| 2007 – 2008 | 2011 | 2.24E-07 | 5.27E-10 | 6.72E-17 | 0.07 | 0.43 | 2.47 |
| 2007 – 2009 | 2012 | 2.19E-07 | 5.07E-10 | 5.94E-17 | 0.17 | 0.98 | 5.63 |
| 2007 – 2010 | 2013 | 2.07E-07 | 4.71E-10 | 5.39E-17 | 0.09 | 0.52 | 3.01 |
| 2008 – 2011 | 2014 | 1.94E-07 | 4.43E-10 | 4.54E-17 | 0.08 | 0.46 | 2.71 |
| 2009 – 2012 | 2015 | 1.96E-07 | 4.44E-10 | 4.89E-17 | 0.10 | 0.59 | 3.40 |
| 2010 - 2013 | 2016 | 1.94E-07 | 4.43E-10 | 4.72E-17 | 0.10 | 0.58 | 3.39 |
| 2011 – 2014 | 2017 | 1.89E-07 | 4.28E-10 | 4.40E-17 | 0.07 | 0.43 | 2.51 |
| 2012 – 2015 | 2018 | 1.96E-07 | 4.40E-10 | 4.75E-17 | 0.05 | 0.27 | 1.53 |
| 2013 – 2016 | 2019 | 2.00E-07 | 4.57E-10 | 4.93E-17 | 0.05 | 0.28 | 1.57 |
| 2014 – 2020 | 2020 | 1.77E-07 | 4.01E-10 | 3.83E-17 | 0.04 | 0.23 | 1.34 |

**Table A2.** Summary of variation and genotyping error rates of microsatellite markers for each offspring year and its set of candidate parents. PIC (Polymorphic information content), k (Number of alleles), HO (observed heterozygosity), HE (expected heterozygosity), and HW (test of significance for a significant departure from Hardy Weinberg proportions) were calculated in CERVUS. ADO rate (allele dropout rate), and miscall rate were estimated in COLONY.

| **Offspring Year** | **Marker** | **PIC** | **k** | **HO** | **HE** | **HW** | **ADO Rate** | **Miscall Rate** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| 2010 | Ot201 | 0.909 | 21 | 0.931 | 0.916 | NS | 0.001 | 0.021 |
| 2010 | Ot209 | 0.938 | 55 | 0.927 | 0.941 | NS | 0.011 | 0.022 |
| 2010 | Ot249 | 0.932 | 37 | 0.934 | 0.936 | NS | 0.000 | 0.025 |
| 2010 | Ot253 | 0.915 | 25 | 0.894 | 0.92 | \*\*\* | 0.016 | 0.026 |
| 2010 | Ot215 | 0.933 | 32 | 0.923 | 0.936 | \* | 0.000 | 0.012 |
| 2010 | Ot311 | 0.95 | 46 | 0.941 | 0.952 | NS | 0.011 | 0.014 |
| 2010 | Ot409 | 0.951 | 60 | 0.961 | 0.954 | NS | 0.002 | 0.026 |
| 2010 | Ot211 | 0.906 | 21 | 0.895 | 0.912 | NS | 0.006 | 0.007 |
| 2010 | Ot208 | 0.945 | 36 | 0.87 | 0.948 | \*\*\* | 0.040 | 0.023 |
| 2010 | Ot212 | 0.869 | 22 | 0.883 | 0.881 | NS | 0.002 | 0.022 |
| 2010 | Ot515 | 0.843 | 18 | 0.86 | 0.858 | NS | 0.007 | 0.011 |
| 2011 | Ot201 | 0.912 | 23 | 0.921 | 0.918 | NS | 0.002 | 0.008 |
| 2011 | Ot209 | 0.935 | 59 | 0.921 | 0.938 | NS | 0.013 | 0.013 |
| 2011 | Ot249 | 0.935 | 37 | 0.94 | 0.939 | NS | 0.002 | 0.028 |
| 2011 | Ot253 | 0.913 | 30 | 0.897 | 0.919 | \*\*\* | 0.011 | 0.007 |
| 2011 | Ot215 | 0.933 | 35 | 0.931 | 0.937 | NS | 0.002 | 0.007 |
| 2011 | Ot311 | 0.951 | 51 | 0.952 | 0.953 | NS | 0.004 | 0.018 |
| 2011 | Ot409 | 0.951 | 66 | 0.963 | 0.953 | \* | 0.001 | 0.008 |
| 2011 | Ot211 | 0.913 | 26 | 0.914 | 0.918 | \* | 0.005 | 0.010 |
| 2011 | Ot208 | 0.943 | 36 | 0.893 | 0.946 | \*\*\* | 0.027 | 0.016 |
| 2011 | Ot212 | 0.875 | 23 | 0.882 | 0.886 | NS | 0.004 | 0.010 |
| 2011 | Ot515 | 0.847 | 19 | 0.858 | 0.861 | NS | 0.007 | 0.008 |
| 2012 | Ot201 | 0.911 | 26 | 0.921 | 0.917 | \* | 0.005 | 0.003 |
| 2012 | Ot209 | 0.934 | 64 | 0.912 | 0.937 | \*\*\* | 0.016 | 0.017 |
| 2012 | Ot249 | 0.936 | 37 | 0.932 | 0.939 | \*\* | 0.002 | 0.007 |
| 2012 | Ot253 | 0.914 | 31 | 0.895 | 0.919 | \*\*\* | 0.012 | 0.004 |
| 2012 | Ot215 | 0.933 | 39 | 0.936 | 0.936 | NS | 0.000 | 0.007 |
| 2012 | Ot311 | 0.95 | 58 | 0.953 | 0.952 | \*\*\* | 0.004 | 0.008 |
| 2012 | Ot409 | 0.951 | 68 | 0.947 | 0.953 | \*\* | 0.004 | 0.011 |
| 2012 | Ot211 | 0.913 | 25 | 0.919 | 0.919 | \*\* | 0.003 | 0.001 |
| 2012 | Ot208 | 0.941 | 39 | 0.907 | 0.944 | \*\*\* | 0.018 | 0.008 |
| 2012 | Ot212 | 0.881 | 24 | 0.886 | 0.891 | \* | 0.002 | 0.012 |
| 2012 | Ot515 | 0.856 | 21 | 0.862 | 0.869 | \*\* | 0.008 | 0.004 |
| 2013 | Ot201 | 0.91 | 27 | 0.924 | 0.916 | \*\* | 0.004 | 0.002 |
| 2013 | Ot209 | 0.936 | 68 | 0.919 | 0.939 | \*\*\* | 0.014 | 0.007 |
| 2013 | Ot249 | 0.936 | 37 | 0.937 | 0.94 | \*\* | 0.001 | 0.007 |
| 2013 | Ot253 | 0.914 | 31 | 0.902 | 0.92 | \*\*\* | 0.010 | 0.000 |
| 2013 | Ot215 | 0.936 | 37 | 0.936 | 0.94 | NS | 0.004 | 0.002 |
| 2013 | Ot311 | 0.951 | 55 | 0.952 | 0.953 | \*\*\* | 0.003 | 0.008 |
| 2013 | Ot409 | 0.952 | 68 | 0.95 | 0.954 | \*\*\* | 0.003 | 0.010 |
| 2013 | Ot211 | 0.911 | 25 | 0.916 | 0.917 | \*\* | 0.001 | 0.003 |
| 2013 | Ot208 | 0.94 | 38 | 0.905 | 0.943 | \*\*\* | 0.019 | 0.002 |
| 2013 | Ot212 | 0.884 | 25 | 0.894 | 0.894 | NS | 0.001 | 0.002 |
| 2013 | Ot515 | 0.852 | 21 | 0.859 | 0.865 | \* | 0.003 | 0.002 |
| 2014 | Ot201 | 0.91 | 28 | 0.919 | 0.916 | \*\*\* | 0.005 | 0.005 |
| 2014 | Ot209 | 0.937 | 69 | 0.923 | 0.94 | \*\*\* | 0.013 | 0.008 |
| 2014 | Ot249 | 0.937 | 37 | 0.939 | 0.94 | \* | 0.003 | 0.007 |
| 2014 | Ot253 | 0.914 | 36 | 0.907 | 0.92 | \*\*\* | 0.006 | 0.002 |
| 2014 | Ot215 | 0.937 | 37 | 0.938 | 0.941 | NS | 0.003 | 0.009 |
| 2014 | Ot311 | 0.951 | 54 | 0.956 | 0.953 | \*\*\* | 0.001 | 0.007 |
| 2014 | Ot409 | 0.951 | 66 | 0.948 | 0.953 | \*\*\* | 0.002 | 0.005 |
| 2014 | Ot211 | 0.911 | 26 | 0.92 | 0.916 | \* | 0.000 | 0.004 |
| 2014 | Ot208 | 0.94 | 39 | 0.92 | 0.943 | \*\*\* | 0.012 | 0.003 |
| 2014 | Ot212 | 0.886 | 25 | 0.895 | 0.895 | NS | 0.003 | 0.005 |
| 2014 | Ot515 | 0.853 | 21 | 0.859 | 0.866 | NS | 0.003 | 0.002 |
| 2015 | Ot201 | 0.911 | 29 | 0.919 | 0.917 | \* | 0.005 | 0.001 |
| 2015 | Ot209 | 0.938 | 71 | 0.923 | 0.941 | \*\*\* | 0.014 | 0.004 |
| 2015 | Ot249 | 0.936 | 37 | 0.931 | 0.939 | \*\*\* | 0.004 | 0.008 |
| 2015 | Ot253 | 0.914 | 34 | 0.904 | 0.92 | \*\*\* | 0.007 | 0.002 |
| 2015 | Ot215 | 0.937 | 41 | 0.941 | 0.94 | \*\* | 0.002 | 0.003 |
| 2015 | Ot311 | 0.951 | 57 | 0.953 | 0.953 | NS | 0.002 | 0.005 |
| 2015 | Ot409 | 0.952 | 67 | 0.944 | 0.954 | \*\*\* | 0.004 | 0.010 |
| 2015 | Ot211 | 0.91 | 25 | 0.92 | 0.916 | \*\* | 0.000 | 0.003 |
| 2015 | Ot208 | 0.941 | 39 | 0.927 | 0.944 | \*\*\* | 0.010 | 0.000 |
| 2015 | Ot212 | 0.883 | 23 | 0.881 | 0.893 | NS | 0.004 | 0.005 |
| 2015 | Ot515 | 0.854 | 21 | 0.858 | 0.867 | NS | 0.004 | 0.003 |
| 2016 | Ot201 | 0.912 | 28 | 0.918 | 0.918 | NS | 0.004 | 0.002 |
| 2016 | Ot209 | 0.938 | 67 | 0.928 | 0.941 | \*\*\* | 0.010 | 0.009 |
| 2016 | Ot249 | 0.936 | 37 | 0.933 | 0.939 | NS | 0.004 | 0.011 |
| 2016 | Ot253 | 0.915 | 36 | 0.908 | 0.92 | \*\*\* | 0.007 | 0.002 |
| 2016 | Ot215 | 0.937 | 42 | 0.94 | 0.941 | NS | 0.002 | 0.005 |
| 2016 | Ot311 | 0.951 | 54 | 0.952 | 0.953 | NS | 0.003 | 0.008 |
| 2016 | Ot409 | 0.952 | 67 | 0.953 | 0.954 | NS | 0.003 | 0.010 |
| 2016 | Ot211 | 0.908 | 23 | 0.911 | 0.914 | NS | 0.003 | 0.004 |
| 2016 | Ot208 | 0.942 | 39 | 0.928 | 0.945 | NS | 0.010 | 0.008 |
| 2016 | Ot212 | 0.882 | 23 | 0.885 | 0.892 | NS | 0.001 | 0.004 |
| 2016 | Ot515 | 0.848 | 19 | 0.86 | 0.862 | NS | 0.000 | 0.005 |
| 2017 | Ot201 | 0.913 | 28 | 0.916 | 0.919 | \* | 0.003 | 0.002 |
| 2017 | Ot209 | 0.938 | 64 | 0.925 | 0.941 | \*\*\* | 0.011 | 0.008 |
| 2017 | Ot249 | 0.937 | 37 | 0.929 | 0.94 | NS | 0.006 | 0.007 |
| 2017 | Ot253 | 0.914 | 34 | 0.908 | 0.92 | \*\*\* | 0.007 | 0.002 |
| 2017 | Ot215 | 0.937 | 44 | 0.942 | 0.94 | NS | 0.000 | 0.005 |
| 2017 | Ot311 | 0.951 | 55 | 0.95 | 0.953 | \* | 0.004 | 0.005 |
| 2017 | Ot409 | 0.954 | 66 | 0.949 | 0.956 | NS | 0.003 | 0.006 |
| 2017 | Ot211 | 0.908 | 23 | 0.916 | 0.914 | NS | 0.001 | 0.002 |
| 2017 | Ot208 | 0.942 | 39 | 0.927 | 0.945 | \*\* | 0.009 | 0.002 |
| 2017 | Ot212 | 0.882 | 22 | 0.88 | 0.892 | NS | 0.003 | 0.004 |
| 2017 | Ot515 | 0.851 | 19 | 0.865 | 0.864 | NS | 0.002 | 0.002 |
| 2018 | Ot201 | 0.912 | 26 | 0.914 | 0.918 | \*\*\* | 0.003 | 0.001 |
| 2018 | Ot209 | 0.939 | 65 | 0.924 | 0.942 | \*\*\* | 0.010 | 0.004 |
| 2018 | Ot249 | 0.936 | 36 | 0.922 | 0.939 | NS | 0.009 | 0.003 |
| 2018 | Ot253 | 0.915 | 29 | 0.914 | 0.921 | \*\*\* | 0.005 | 0.000 |
| 2018 | Ot215 | 0.937 | 45 | 0.938 | 0.94 | NS | 0.004 | 0.003 |
| 2018 | Ot311 | 0.949 | 56 | 0.943 | 0.951 | \*\*\* | 0.007 | 0.005 |
| 2018 | Ot409 | 0.953 | 66 | 0.949 | 0.955 | NS | 0.004 | 0.003 |
| 2018 | Ot211 | 0.909 | 25 | 0.912 | 0.915 | \* | 0.000 | 0.000 |
| 2018 | Ot208 | 0.941 | 38 | 0.933 | 0.944 | \*\*\* | 0.008 | 0.000 |
| 2018 | Ot212 | 0.885 | 22 | 0.878 | 0.895 | NS | 0.006 | 0.007 |
| 2018 | Ot515 | 0.85 | 21 | 0.866 | 0.864 | NS | 0.002 | 0.000 |
| 2019 | Ot201 | 0.91 | 24 | 0.905 | 0.916 | \*\*\* | 0.005 | 0.002 |
| 2019 | Ot209 | 0.94 | 60 | 0.913 | 0.942 | \*\*\* | 0.015 | 0.012 |
| 2019 | Ot249 | 0.937 | 36 | 0.927 | 0.94 | NS | 0.008 | 0.000 |
| 2019 | Ot253 | 0.916 | 31 | 0.918 | 0.921 | \*\*\* | 0.003 | 0.004 |
| 2019 | Ot215 | 0.938 | 40 | 0.929 | 0.941 | NS | 0.007 | 0.006 |
| 2019 | Ot311 | 0.949 | 53 | 0.926 | 0.952 | \*\*\* | 0.017 | 0.009 |
| 2019 | Ot409 | 0.951 | 63 | 0.931 | 0.953 | \*\*\* | 0.009 | 0.008 |
| 2019 | Ot211 | 0.906 | 25 | 0.908 | 0.912 | NS | 0.002 | 0.000 |
| 2019 | Ot208 | 0.94 | 42 | 0.921 | 0.943 | \*\*\* | 0.012 | 0.000 |
| 2019 | Ot212 | 0.889 | 25 | 0.893 | 0.898 | NS | 0.002 | 0.003 |
| 2019 | Ot515 | 0.848 | 21 | 0.873 | 0.862 | \*\* | 0.002 | 0.000 |
| 2020 | Ot201 | 0.909 | 22 | 0.898 | 0.916 | \*\* | 0.006 | 0.002 |
| 2020 | Ot209 | 0.94 | 60 | 0.911 | 0.943 | \*\*\* | 0.018 | 0.004 |
| 2020 | Ot249 | 0.937 | 37 | 0.936 | 0.94 | \* | 0.004 | 0.004 |
| 2020 | Ot253 | 0.916 | 29 | 0.916 | 0.921 | \*\* | 0.004 | 0.004 |
| 2020 | Ot215 | 0.939 | 39 | 0.931 | 0.942 | NS | 0.003 | 0.003 |
| 2020 | Ot311 | 0.951 | 51 | 0.933 | 0.953 | \*\*\* | 0.014 | 0.009 |
| 2020 | Ot409 | 0.951 | 64 | 0.927 | 0.953 | \*\*\* | 0.011 | 0.006 |
| 2020 | Ot211 | 0.909 | 25 | 0.906 | 0.915 | NS | 0.004 | 0.000 |
| 2020 | Ot208 | 0.941 | 38 | 0.929 | 0.944 | NS | 0.010 | 0.000 |
| 2020 | Ot212 | 0.888 | 24 | 0.895 | 0.897 | NS | 0.003 | 0.001 |
| 2020 | Ot515 | 0.852 | 21 | 0.883 | 0.866 | \*\*\* | 0.001 | 0.006 |