# A QCNN for Quantum State Preparation Carnegie Vacation Scholarship

David Amorim

Week 4 (22/07/2024 - 26/07/2024)

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- Preliminaries
- Changing Input Layer Structure
- 3 Fixing Parameters
- 4 Improving the Loss Function
- **5** Exploring Correlations
- Mext Steps

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### Aims for the Week

The following aims were set at the last meeting (22/07/2024):

### Change Input Layer Structure

Improve the connectivity of input layers. Each input qubit should ideally control each target qubit at some point in the network.

#### Fix Parameters

Add the option to keep parameters fixed for each type of network layer.

### Improve Loss Function

Develop a distance measure taking into account digital encoding. Either incorporate this into weights for an existing loss function or define a new loss function on this basis.

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# Additional Aim: Understanding Phase Extraction

• Phase encoding is based on two operators,  $\hat{Q}_{\Psi}$  and  $\hat{R}$ , defined via

$$\hat{Q}_{\Psi} |j\rangle |0\rangle = |j\rangle |\Psi(j)\rangle \tag{1}$$

$$\hat{R}|l\rangle = e^{il}|l\rangle \tag{2}$$

Encoding proceeds in three steps:

$$|j\rangle|0\rangle \xrightarrow{\hat{\mathbf{Q}}_{\Psi}} |j\rangle|\Psi(j)\rangle \xrightarrow{\hat{\mathbf{I}} \otimes \hat{\mathbf{R}}} |j\rangle e^{i\Psi(j)}|\Psi(j)\rangle \xrightarrow{\hat{\mathbf{Q}}_{\Psi}^{\dagger}} e^{i\Psi(j)}|j\rangle|0\rangle, \quad (3)$$

- The phase  $e^{i\Psi(j)}$  of the final state is extracted assuming the ancilla register is clear (i.e. in the state  $|0\rangle$ )
- However, when  $\hat{Q}_{\Psi}$  does not produce an eigenstate of  $\hat{I} \otimes \hat{R}$ , applying  $\hat{Q}^{\dagger}$  does not generally clear the ancilla
- A flawed implementation of  $\hat{Q}_{\Psi}$  non-trivially affects the extracted phase function

### Additional Aim: Understanding Phase Extraction

- To investigate this relationship between  $\hat{Q}_{\Psi}$  and the extracted phase ('phase extraction problem'), make the following definitions:
  - M $_{j}$ : mismatch between  $|j\rangle\,|\Psi(j)\rangle$  and  $\hat{Q}_{\Psi}\,|j\rangle\,|0\rangle$
  - $|\psi\rangle_{\mathrm{final}}$ : state vector post phase extraction
  - $\tilde{\Psi}$ : extracted phase function (in contrast to the target function,  $\Psi$ )
- Based on the above, define five metrics that quantify the quality of  $\hat{Q}_{\Psi}$  as well as of phase extraction:

	Definition	Description	Ideal Value
$\overline{\mu}$	$Mean(M_j)$	mean mismatch	0
$\sigma$	$STDEV(M_j)$	mismatch STDEV	0
$\epsilon$	$1- \left<\psi \psi ight>_{final} ^2$	normalisation error	0
$\chi$	$Mean(  ilde{\Psi}(j) - \Psi(j) )$	phase function error	0
${f \Omega}$	$(\mu + \sigma + \epsilon + \chi)^{-1}$	super-metric	$\infty$

Table 1: Metrics introduced to quantify QCNN performance

### Glossary

Acronym	Meaning		
CL	convolutional layer		
AA-CL	all-to-all convolutional layer		
NN-CL neighbour-to-neighbour convolutional layer			
IL	input layer		
SAM	sign-adjusted mismatch		

Table 2: Acronyms used in the following.

Variable	Meaning
$\overline{n}$	input register size
m	target register size
L	number of network layers
$\Psi_{H23}$	phase function from Hayes 2023

Table 3: Variables used in the following.

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- Previously, the jth input qubit controlled an operation on the jth target qubit (with wrap-around for n>m)
- An optional shift parameter, s, has now been added so that the jth input qubit controls an operation on the j+sth target qubit
- This shift parameter is incremented for each successive IL
- $\bullet$  The QCNN is padded with additional ILs to ensure that the number of ILs is  $\geq m$
- Thus, each input qubit now controls an operation on each target qubit at some point in the QCNN
- Note that ILs still alternate between control states 0 and 1

	shifts	no shifts
$\mu$	3.4e-2	3.2e-2
$\sigma$	1.4e-1	1.5e-1
$\epsilon$	2.0e-2	7.5e-2
$\chi$	3.2e-2	1.3e-0
Ω	4.46	0.63

Table 4: Comparing metrics for  $\Psi(f) \sim f$  ( $L=6,\ m=3,\ 600$  epochs, SAM)

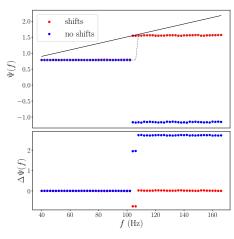


Figure 1: Effects of shifted ILs for  $\Psi(f)\sim f$  (  $L=6,\ m=3,\ \rm 600$  epochs, SAM)

	shifts	no shifts
$\mu$	1.9e-1	2.4e-1
$\sigma$	1.2e-1	1.5e-1
$\epsilon$	2.2e-1	4.7e-1
$\chi$	1.9e-1	4.3e-1
Ω	1.39	0.78

Table 5: Comparing metrics for  $\Psi(f)\sim f^2$  (  $L=6,\ m=3,\ {\rm 600}$  epochs, SAM)

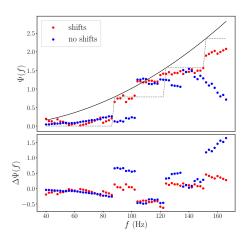


Figure 2: Effects of shifted ILs for  $\Psi(f)\sim f^2$  (  $L=6,\ m=3$  ,600 epochs, SAM)

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- The data for 'no shifts' was obtained by setting s=0 for all ILs instead of incrementing s
- This corresponds to last week's circuit structure of each input qubit controlling precisely one target qubit
- Clearly, increased IL connectivity leads to improved performance

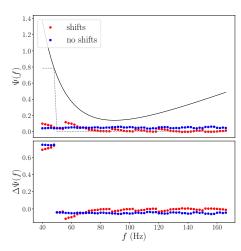


Figure 3: Effects of shifted ILs for  $\Psi_{\rm H23}$  ( $L=6,\ m=3,\ 600$  epochs, SAM)

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- Implemented the option to fix parameters for each layer type
- This means that each instance of a layer type (IL, AA-CL, NN-CL) uses the same set of parameters
- $\bullet$  This reduces the number of trainable parameters, particularly at large L
- Surprisingly, reducing the parameter space produces no noticeable speed-up (so-called qiskit primitives, i.e. the sampler, take up roughly 95% of the computational time)

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					2.25	
					2.00	none
					1.75	· CL
						· IL
					€ 1.50	• both
1	none	CL	l IL	both	£ 1.25	1000100000000
	попе	CL	IL	DOLII	1.00	**************************************
$\mu$	1.3e-1	1.5e-1	4.5e-1	4.4e-1	0.75	::::::::::::::::::::::::::::::::::::::
$\sigma$	2.2e-1	2.1e-1	2.6e-1	1.6e-1	0.50	
$\epsilon$	4.4e-2	1.5e-1	4.9e-1	5.8e-1	0.5	** **
$\chi$	6.8e-2	8.0e-2	2.4e-1	2.3e-1	f)	82 ** **
Ω	2.14	1.68	0.70	0.71	0.0	restriction of the second seco
		,			-0.5	***************************************
Table	Table 6: Comparing metrics for $\Psi(f) \sim f$				••	
(L =	(L = 6, m = 4, 600  epochs, SAM)				40 60 80 100 120 140 160 f (Hz)	

Figure 4: Effects of fixing parameters ILs for  $\Psi(f)\sim f$  ( $L=6,\ m=4,\ 600$  epochs, SAM)

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	none	CL	IL	both
$\mu$	2.3e-1	3.5e-1	5.8e-1	5.3e-1
$\sigma$	1.3e-1	1.6e-1	2.5e-1	2.0e-1
$\epsilon$	2.7e-1	4.4e-1	4.3e-1	3.3e-1
$\chi$	1.7e-1	1.8e-1	1.9e-0	3.6e-1
Ω	1.26	0.88	0.32	0.71

Table 7: Comparing metrics for  $\Psi(f) \sim f^2$  (L=6, m=4, 600 epochs, SAM)

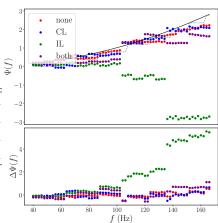


Figure 5: Effects of fixing parameters for  $\Psi(f)\sim f^2$  (  $L=6,\ m=4,\ 600$  epochs, SAM)

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- Legend for the plots on the previous slide:
  - 'none' : no parameters fixed
  - 'CL': only CL parameters fixed
  - 'IL': only IL parameters fixed
  - · 'both': all parameters fixed
- Evidently, keeping parameters fixed leads to worse performance, likely due to a reduction of the search space
- Note that fixing parameters leads to high  $\epsilon$  and hence potentially somewhat meaningless results
- Thus, especially taking into account the equivalent computational times, not keeping parameters fixed yields better results
- Notably 'IL' results in worse performance than 'CL', suggesting a particular importance of ILs compared to CLs

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#### Formalisation

- Consider a computational basis state,  $|k\rangle$ , of the combined input-register-target-register system
- The state  $|k\rangle$  is associated with a bit string  $k = \{0,1\}^{n+m}$
- Denote by  $[k]_n$  and  $[k]_m$  the bit strings of length n and m, respectively, associated with each of the registers and write their concatenation as

$$k \equiv [k]_n \diamond [k]_m \tag{4}$$

A general state of the two-register system is then written as

$$|z\rangle = \sum_{k=0}^{2^{n+m}-1} z_k |k\rangle \tag{5}$$

and referred to via its coefficients  $z_k$ 



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### Formalisation

When training in superposition, the desired state of the system is

$$|y\rangle = \sum_{j=0}^{2^{n}-1} \frac{1}{\sqrt{2^{n}}} |j\rangle_{i} |\Psi(j)\rangle_{t}, \qquad (6)$$

where the subscripts i and t indicate basis states of the input and target registers, respectively

ullet This state |y
angle can be written in terms of the combined basis  $\{|k
angle\}$  via

$$y_k = \begin{cases} \frac{1}{\sqrt{2^n}} & \text{if } k = [k]_n \diamond \Psi([k]_n) \\ 0 & \text{else} \end{cases}$$
 (7)

• Further denote the output state produced by the QCNN by  $|x\rangle$ , with associated coefficients  $x_k$ 

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## SAM and Beyond

Recall the definition of SAM:

$$\mathsf{SAM}(|x\rangle, |y\rangle) = 1 - \sum_{k} |x_k||y_k| \tag{8}$$

By construction, this is closely related to the mismatch

$$\mathsf{M}(\ket{x},\ket{y}) = 1 - \left| \sum_{k} x_{k} y_{k} \right| \tag{9}$$

• While effective, SAM's fundamental flaw is that it does not directly take into account the amplitudes  $x_k$  for k where  $y_k=0$  (i.e. where  $[k]_m \neq \Psi([k]_n)$ 

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# SAM and Beyond

• Consider k=a and k=b with  $[k]_m \neq \Psi([k]_n)$  for both and

$$\left| [a]_m - \Psi([a]_n) \right| > \left| [b]_m - \Psi([b]_n) \right| \tag{10}$$

- To improve performance, the loss function should punish a non-zero  $x_a$  more than a non-zero  $x_b$  which is not the case for SAM
- This could be achieved via a weighted mismatch (WIM),

$$\mathsf{WIM}(|x\rangle, |y\rangle) = 1 - \sum_{k} \tilde{w}_{k} |x_{k}| |y_{k}|, \tag{11}$$

where  $ilde{w}_k \in \mathbb{R}_+$  are appropriate weights

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## SAM and Beyond

It was discussed at the last meeting to base the weights on

$$w_k = \sum_{\substack{l=0, \\ l \neq [k]_m}}^{2^m - 1} \left| x_{[k]_n \diamond l} \right| \left| l - \Psi([k]_n) \right|, \tag{12}$$

with the  $ilde{w}_k$  obtained from the  $w_k$  via normalisation and smoothing

- Implementing this proved to be ineffective, with no improvement on SAM (see later)
- This raises broader questions about the feasibility of WIM: is adding weights sufficient to alter SAM's fundamental dynamic of neglecting  $x_k$  for k with  $y_k=0$ ?

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### Introducing WILL

- To improve on SAM, it could instead be beneficial to return to a loss function which more directly takes into account all  $x_k$
- Define L<sub>p</sub> loss as

$$\mathsf{LL}_{\mathsf{p}}(\ket{x},\ket{y}) = \left(\sum_{k} |x_{k} - y_{k}|^{p}\right)^{1/p} \tag{13}$$

- As discussed, computational basis states are not equidistant for phase encoding: their distance depends on the value they encode on the input and target registers
- A weighted L<sub>p</sub> loss (WILL) can factor in an appropriate distance measure for the state space:

$$\mathsf{WILL}_{\mathsf{p,q}} = \left(\sum_{k} \left| x_k - y_k \right|^p \middle| [k]_m - \Psi([k]_n) \middle|^q \right)^{1/p} \tag{14}$$

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### Testing WILL

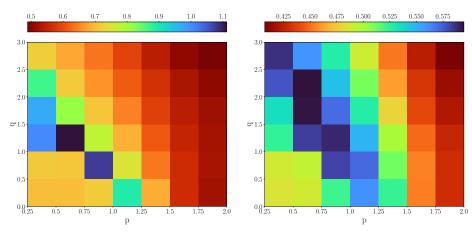


Figure 6: Comparing  $\Omega$  for various p, q (L=6, m=3, 600 epochs,  $\Psi(f) \sim f$ )

Figure 7: Comparing  $\Omega$  for various p, q  $(L=6, m=3, 600 \text{ epochs}, \Psi(f) \sim f^2)$ 

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## Comparing SAM, WIM, and WILL

	SAM	WIM	WILL
$\mu$	3.4e-2	6.0e-2	3.0e-1
$\sigma$	1.4e-1	1.1e-1	1.7e-2
$\epsilon$	1.9e-2	9.2e-2	1.9e-1
$\chi$	3.2e-2	5.1e-2	3.9e-1
Ω	4.46	3.19	1.11

Table 8: Comparing loss function metrics for  $\Psi(f) \sim f$  ( $L=6,\ m=3,\ 600$  epochs)

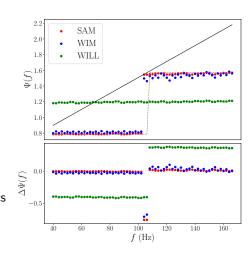


Figure 8: Comparing extracted phase functions for  $\Psi(f) \sim f$  (L=6, m=3, 600 epochs)

# Comparing SAM, WIM, and WILL

	SAM	WIM	WILL
$\mu$	1.9e-1	2.3e-1	4.7e-1
$\sigma$	1.2e-1	1.0e-1	1.5e-1
$\epsilon$	2.2e-1	4.2e-1	3.8e-1
$\chi$	1.9e-1	2.0e-1	6.8e-1
Ω	1.39	1.05	0.60

Table 9: Comparing loss function metrics for  $\Psi(f) \sim f^2$  (L = 6, m = 3, 600epochs)

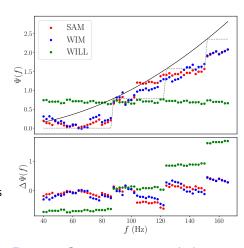


Figure 9: Comparing extracted phase functions for  $\Psi(f) \sim f^2$  (L = 6, m = 3, 600 epochs)

# Comparing SAM, WIM, and WILL

	SAM	WIM	WILL
$\mu$	6.8e-2	8.4e-2	7.1e-2
$\sigma$	1.8e-1	1.2e-1	2.1e-1
$\epsilon$	4.5e-2	1.8e-1	2.7e-2
$\chi$	7.4e-2	1.0e-1	7.0e-2
Ω	2.75	2.07	2.63

Table 10: Comparing loss function metrics for  $\Psi_{\rm H23}$  ( $L=6,\ m=3,\ 600$  epochs)

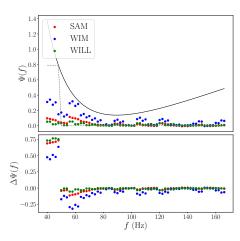


Figure 10: Comparing extracted phase functions for  $\Psi_{\rm H23}$  ( $L=6,\ m=3,\ 600$  epochs)

### Loss Function Conclusion

- The attempts to improve upon SAM via WIM and WILL have failed<sup>1</sup>
- No further ansatz to design a new loss function could be identified
- Further QCNN improvements might have to come from something other than the loss function

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## **Exploring Correlations**

- The following investigates correlations between the metrics  $\mu$ ,  $\sigma$ ,  $\chi$ , and  $\epsilon$  in an attempt to better understand the relationship between phase extraction and  $\hat{Q}_{\Psi}$
- This is done by analysing the outputs of N=267 different QCNNs with various different configurations (i.e. L, m, loss functions,  $\Psi$ ,...)
- Key questions to answer are:
  - Is a low normalisation error  $\epsilon$  an indicator of a good fit, i.e. low  $\chi$ ?
  - Is a low mean mismatch  $\mu$  a guarantee of low  $\epsilon$ ,  $\chi$ ?
  - What is the role of  $\sigma$ ?

## **Exploring Correlations**

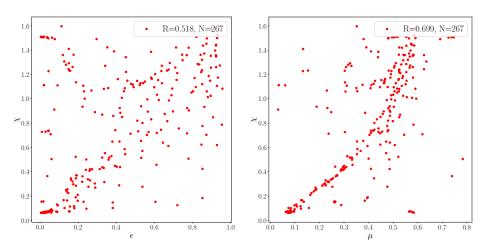


Figure 11: Correlation between  $\epsilon$  and  $\chi$ . Figure 12: Correlation between  $\mu$  and  $\chi$ .

# **Exploring Correlations**

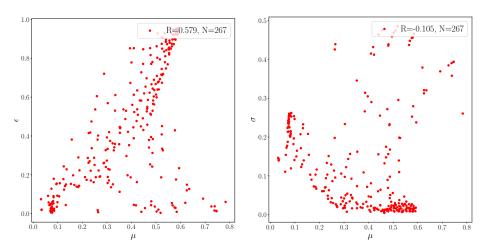


Figure 13: Correlation between  $\mu$  and  $\epsilon$ . Figure 14: Correlation between  $\mu$  and  $\sigma$ .

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### Next Steps

- Fix WILL by replacing  $\Big|[k]_m \Psi([k]_n)\Big|^q$  with  $\Big(1 + \Big|[k]_m \Psi([k]_n)\Big|\Big)^q$
- Try to formalise and further investigate the relationship between  $\hat{Q}_{\Psi}$  and the extracted phase (phase extraction problem)
- Look into adding more ILs compared to CLs
- Look into barren plateau mitigation techniques
- Explore QCNN parameter space further (number of layers,...)
- ...?



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