

A QCNN for Quantum State Preparation

Carnegie Vacation Scholarship

David Amorim

Week 5
(29/07/2024 - 02/08/2024)

Table of Contents

- ➊ Preliminaries
- ➋ Improving the Loss Function
- ➌ Investigating Phase Extraction
- ➍ Mitigating Barren Plateaus
- ➎ Next Steps

Aims for the Week

The following aims were set at the last meeting (29/07/2024):

Improve Loss Function

Work on an improved version of WILL. Incorporate some phase extraction metrics (e.g. χ , ϵ) into the loss function.

Investigate Phase Extraction

Study the relationship between mismatch and the extracted phase, i.e. study the operator $\tilde{Q}^\dagger \hat{R} \tilde{Q}$.

Mitigate Barren Plateaus

Work on strategies to mitigate barren plateaus, e.g. implement layer-by-layer training.

Table of Contents

- 1 Preliminaries
- 2 Improving the Loss Function
- 3 Investigating Phase Extraction
- 4 Mitigating Barren Plateaus
- 5 Next Steps

WILL Revisited

- As discussed at the meeting on 29/07, the definition of **WILL** (weighted L_p loss) was amended to:

$$\text{WILL}_{p,q} = \left(\sum_k \left| x_k - y_k \right|^p + |x_k| \left| [k]_m - \Psi([k]_n) \right|^q \right)^{1/p}, \quad (1)$$

where the changes to the previous definition are highlighted

- Testing this for different Ψ (with $L = 6$, $m = 3$ and 600 epochs) yielded the following optimal values for p , q :

$\Psi(f)$	p	q
$\sim f$	0.25	0.5
$\sim f^2$	1	1.5
Ψ_{H23}	0.75	2

Table 1: Optimal identified p , q values for WILL

Comparing SAM, WIM, and WILL

	SAM	WIM	WILL
μ	3.4e-2	6.0e-2	4.5e-1
σ	1.4e-1	1.1e-1	4.7e-1
ϵ	1.9e-2	9.2e-2	2.6e-1
χ	3.2e-2	5.1e-2	3.7e-1
Ω	4.46	3.19	0.76

Table 2: Comparing loss function metrics for $\Psi(f) \sim f$ ($L = 6$, $m = 3$, 600 epochs)

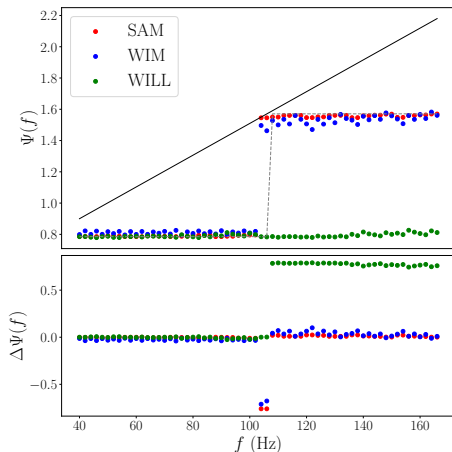


Figure 1: Comparing extracted phase functions for $\Psi(f) \sim f$ ($L = 6$, $m = 3$, 600 epochs)

Comparing SAM, WIM, and WILL

	SAM	WIM	WILL
μ	1.9e-1	2.3e-1	6.6e-1
σ	1.2e-1	1.0e-1	4.1e-1
ϵ	2.2e-1	4.2e-1	2.8e-2
χ	1.9e-1	2.0e-1	6.1e-1
Ω	1.39	1.05	0.57

Table 3: Comparing loss function metrics for $\Psi(f) \sim f^2$ ($L = 6$, $m = 3$, 600 epochs)

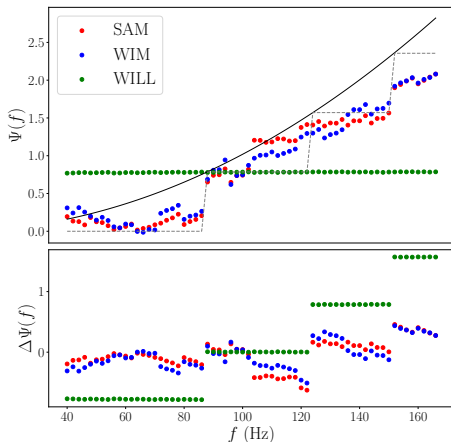


Figure 2: Comparing extracted phase functions for $\Psi(f) \sim f^2$ ($L = 6$, $m = 3$, 600 epochs)

Comparing SAM, WIM, and WILL

	SAM	WIM	WILL
μ	6.8e-2	8.4e-2	7.6e-2
σ	1.8e-1	1.2e-1	2.6e-1
ϵ	4.5e-2	1.8e-1	7.3e-3
χ	7.4e-2	1.0e-1	6.2e-2
Ω	2.75	2.07	2.48

Table 4: Comparing loss function metrics for Ψ_{H23} ($L = 6$, $m = 3$, 600 epochs)

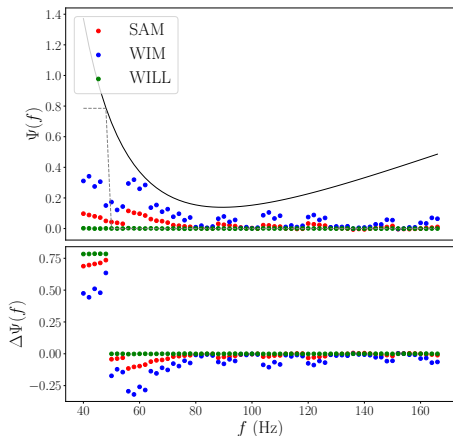


Figure 3: Comparing extracted phase functions for Ψ_{H23} ($L = 6$, $m = 3$, 600 epochs)

Other Approaches

- Attempts to define a loss function based directly on $\hat{Q}^\dagger \hat{R} \hat{Q}$, e.g. minimising χ , were **unsuccessful**
- This is due to the *qiskit machine learning* environment being build around **sampler primitives** which return quasi-probabilities instead of probability amplitudes
- Thus, phases cannot be directly taken into account for gradient calculation
- A possible work-around could be to switch to a QCNN based on an **estimator primitive**, which calculates the expectation value of an observable w.r.t to the state prepared by the network
- This would require the construction of an **appropriate operator** (note: qiskit supports non-Hermitian observables)

An Estimator-based PQC

- Let $|\tilde{\phi}\rangle$ be the n -qubit state produced by the PQC:

$$|\tilde{\phi}\rangle = \sum_k \tilde{A}(k) e^{i\tilde{\Psi}(k)} |k\rangle \quad (2)$$

- The desired output state is

$$|\phi\rangle = \sum_k A(k) e^{i\Psi(k)} |k\rangle \quad (3)$$

- An estimator-based optimiser calculates the loss and gradients for each epoch based on the expectation value

$$\mathbb{E}(\tilde{\phi}) \equiv \langle \tilde{\phi} | \hat{O} | \tilde{\phi} \rangle = \sum_{k,k'} \tilde{A}(k') \tilde{A}(k) \exp \left(i \left[\tilde{\Psi}(k) - \tilde{\Psi}(k') \right] \right) \langle k' | \hat{O} | k \rangle, \quad (4)$$

for some operator \hat{O}

An Estimator-based PQC

- Now construct \hat{O} such that

$$\langle k' | \hat{O} | k \rangle \equiv \frac{1}{A(k')A(k)} \exp(-i [\Psi(k) - \Psi(k')]) \quad (5)$$

for $A(k), A(k') \neq 0$

- Then

$$\mathbb{E}(\phi) = \sum_{k,k'} 1 = 2^{2n} \quad (6)$$

so that we can train the network to generate $|\phi\rangle$ by minimising $|1 - \mathbb{E}(\tilde{\phi})/2^{2n}|$

- This is highly speculative** and computationally very expensive even for simple PQCs due to the way custom operators are handed in qiskit
- Thus, **estimator-based PQCs cannot feasibly replace the sampler-based QCNN**

Loss Function: Conclusion

- The design of the *qiskit machine learning* library **constrains the customisability** of loss functions, in particular relating to phases
- Thus, **loss functions based directly on the extracted phase** factors are (apparently) **impossible**
- Within the limits of these constraints the **best** possible loss function seems to be **SAM**
- Beyond the unsuccessful attempts of WIM and WILL no further *ansätze* for loss functions come to mind
- For the time being, the search for an improved loss function will be **put on hold**

Table of Contents

- ① Preliminaries
- ② Improving the Loss Function
- ③ Investigating Phase Extraction
- ④ Mitigating Barren Plateaus
- ⑤ Next Steps

Investigating Phase Extraction

- The operator \hat{Q} is defined via

$$\hat{Q} |j\rangle |0\rangle = |j\rangle |\Psi(j)\rangle \quad (7)$$

- This leaves its action on more general input states $|j\rangle |k\rangle$ (with $|k\rangle \neq |0\rangle$) **undetermined**
- Thus, there is a **family** \mathcal{Q} of valid implementations of \hat{Q} with $|\mathcal{Q}| = (n + m)^2 - n$
- We can represent a flawed implementation, \tilde{Q} , of \hat{Q} via $\tilde{Q} = \hat{Q} + \lambda \hat{P}$ so that

$$\tilde{Q} \hat{R} \tilde{Q} = \hat{Q}^\dagger \hat{R} \hat{Q} + \lambda \left[\hat{Q}^\dagger \hat{R} \hat{P} + \hat{P}^\dagger \hat{R} \hat{Q} \right] + \lambda^2 \left[\hat{P}^\dagger \hat{R} \hat{P} \right] \quad (8)$$

- Beyond these very general observations **no analytical insight into the problem was gained**

Visualising the Phase Extraction Problem

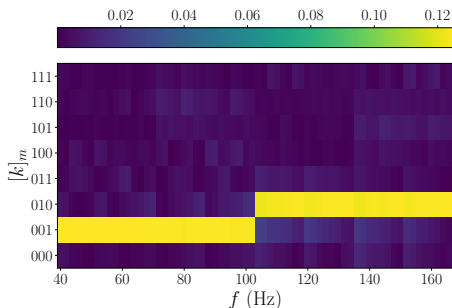


Figure 4: Amplitudes after applying \tilde{Q} with $\Psi(f) \sim f$ and the input register in initial state $\hat{H} |0\rangle$ ($L = 6$, $m = 3$, SAM, 600 epochs).

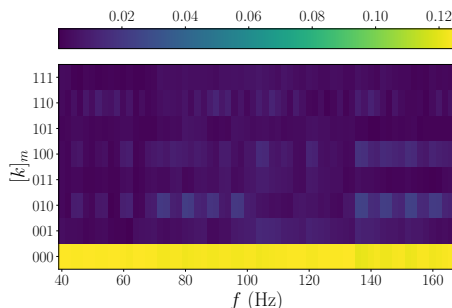


Figure 5: Amplitudes after applying $\tilde{Q}^\dagger R \tilde{Q}$ with $\Psi(f) \sim f$ and the input register in initial state $\hat{H} |0\rangle$ ($L = 6$, $m = 3$, SAM, 600 epochs).

Visualising the Phase Extraction Problem

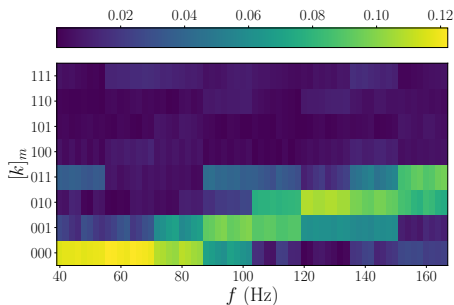


Figure 6: Amplitudes after applying \tilde{Q} with $\Psi(f) \sim f^2$ and the input register in initial state $\hat{H} |0\rangle$ ($L = 6$, $m = 3$, SAM, 600 epochs).

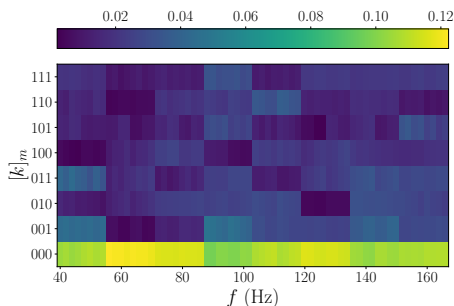


Figure 7: Amplitudes after applying $\tilde{Q}^\dagger R \tilde{Q}$ with $\Psi(f) \sim f^2$ and the input register in initial state $\hat{H} |0\rangle$ ($L = 6$, $m = 3$, SAM, 600 epochs).

Visualising the Phase Extraction Problem

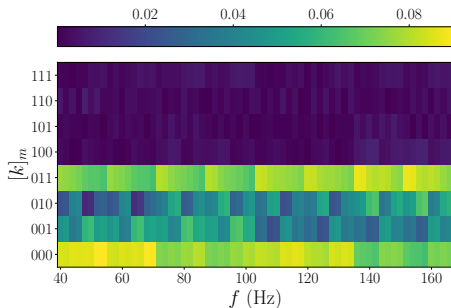


Figure 8: Amplitudes after applying \tilde{Q} with $\Psi(f) \sim \sin f$ and the input register in initial state $\hat{H} |0\rangle$ ($L = 6$, $m = 3$, SAM, 600 epochs).

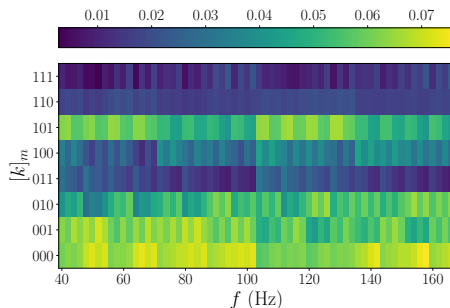


Figure 9: Amplitudes after applying $\tilde{Q}^\dagger R \tilde{Q}$ with $\Psi(f) \sim \sin f$ and the input register in initial state $\hat{H} |0\rangle$ ($L = 6$, $m = 3$, SAM, 600 epochs).

The Effect of \hat{U}_A

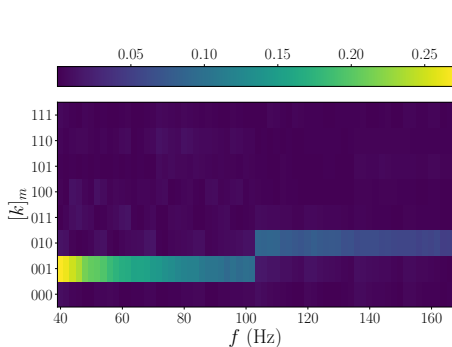


Figure 10: Amplitudes after applying \tilde{Q} with $\Psi(f) \sim f$ and the input register in initial state $\hat{U}_A |0\rangle$ ($L = 6$, $m = 3$, SAM, 600 epochs).

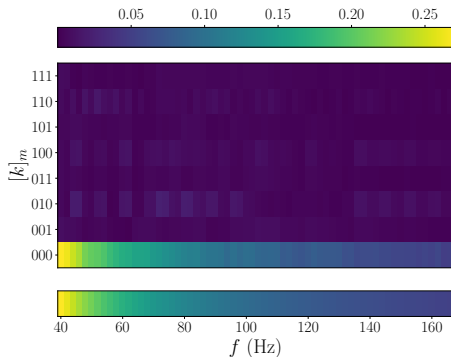


Figure 11: Amplitudes after applying $\tilde{Q}^\dagger \hat{R} \tilde{Q}$ with $\Psi(f) \sim f$ and the input register in initial state $\hat{U}_A |0\rangle$ ($L = 6$, $m = 3$, SAM, 600 epochs). Target state added for reference.

The Effect of \hat{U}_A

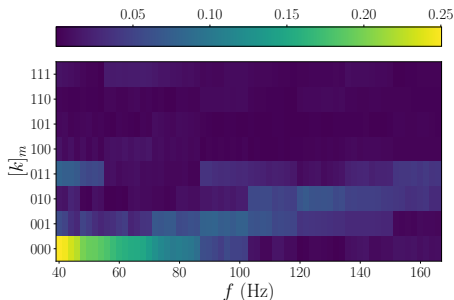


Figure 12: Amplitudes after applying \tilde{Q} with $\Psi(f) \sim f^2$ and the input register in initial state $\hat{H} |0\rangle$ ($L = 6$, $m = 3$, SAM, 600 epochs).

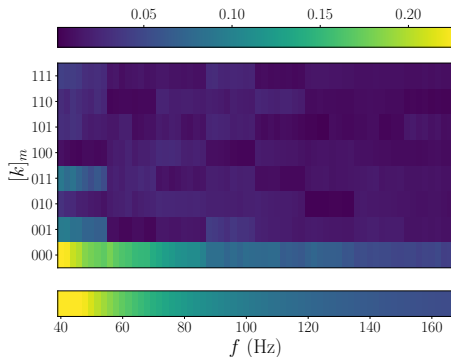


Figure 13: Amplitudes after applying $\tilde{Q}^\dagger \hat{R} \tilde{Q}$ with $\Psi(f) \sim f^2$ and the input register in initial state $\hat{U}_A |0\rangle$ ($L = 6$, $m = 3$, SAM, 600 epochs). Target state added for reference.

The Effect of \hat{U}_A

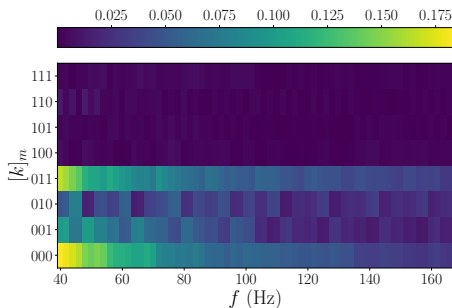


Figure 14: Amplitudes after applying \tilde{Q} with $\Psi(f) \sim \sin f$ and the input register in initial state $\hat{U}_A |0\rangle$ ($L=6$, $m=3$, SAM, 600 epochs).

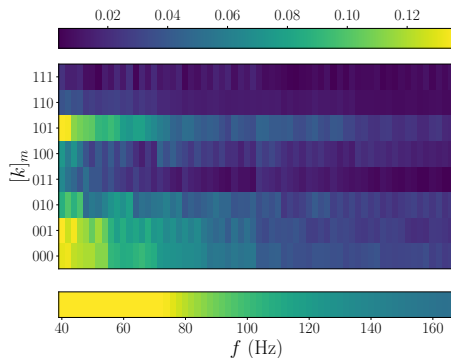


Figure 15: Amplitudes after applying $\tilde{Q}^\dagger \hat{R} \tilde{Q}$ with $\Psi(f) \sim \sin f$ and the input register in initial state $\hat{U}_A |0\rangle$ ($L=6$, $m=3$, SAM, 600 epochs). Target state added for reference.

Comparing $\hat{R}\tilde{Q}$ and $\tilde{Q}^\dagger\hat{R}\tilde{Q}$

PRODUCE THESE PLOTS (FOR PHASE!)

Phase Extraction: Conclusion

-
- Clearly, effect of \hat{U}_A is to make things a bit worse as amplitudes get lower so signal to noise ratio increases?
- Regarding phases: careful! phases meaningless for very small amplitudes !!
- think about better ways of presenting the information...
- COLOURED 3D PLOT WITH AMPL ON Z-AXIS AND COLOUR PHASE??

Table of Contents

- ① Preliminaries
- ② Improving the Loss Function
- ③ Investigating Phase Extraction
- ④ Mitigating Barren Plateaus
- ⑤ Next Steps

Mitigating Barren Plateaus

- The most important strategy to mitigate barren plateaus seems to be a so-called **warm start**, also known as **smart initialisation**
- Common approaches include layerwise training¹, training via identity blocks² and training using a fast-and-slow approach³
- Implementing these strategies requires significant adaptation of the existing code base
- Thus, must first **spend some time restructuring** (and **re-documenting**) the code

¹<https://arxiv.org/pdf/2006.14904>

²<https://arxiv.org/pdf/1903.05076>

³<https://arxiv.org/pdf/2203.02464>

Table of Contents

- 1 Preliminaries
- 2 Improving the Loss Function
- 3 Investigating Phase Extraction
- 4 Mitigating Barren Plateaus
- 5 Next Steps

Next Steps

- Keep re-writing code for easier implementation of barren plateau mitigation techniques
- ...