

# A QCNN for Quantum State Preparation

## Carnegie Vacation Scholarship

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Weeks 7-8  
(12/08/2024 - 23/08/2024)

# Aims for the Week

The following aims were set at the last meeting (14/08/2024):

## New Phase Encoding Approach

Investigate a new approach to phase encoding using linear piecewise phase functions without explicit function evaluation.

## Handover

Hand over the slides, documentation, code and the poster for the Carnegie Trust.

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# Preliminaries

- Consider an  **$n$ -qubit register** with computational basis states  $|j\rangle = |j_0 j_1 \dots j_{n-1}\rangle$  representing  $n$ -bit strings
- Let  $p$  of the register qubits be **precision qubits** so that

$$j = \sum_{k=0}^{n-1} j_k 2^{k-p} \quad (1)$$

- Now consider a **phase function**  $\Psi$  over the domain  $\mathcal{D} = \{j\}$  and construct an  **$M$ -fold partition** sub-domains  $\mathcal{D}_u$ :

$$\mathcal{D} = \bigcup_{u=1}^M \mathcal{D}_u, \quad \mathcal{D}_u \cap \mathcal{D}_v = \emptyset, \quad (2)$$

- Take  **$M = 2^m$**  with  $m \leq n$  and let the sub-domains be equally sized ( $|\mathcal{D}_u| = |\mathcal{D}_v|$ )

## Aim

Construct an appropriate operator to transform

$$|j\rangle \mapsto e^{i\Psi(j)} |j\rangle \quad (3)$$

via the linear piecewise approximation

$$|j\rangle \mapsto e^{i(\alpha_u j + \beta_u)} |j\rangle \quad (j \in \mathcal{D}_u) \quad (4)$$

# Initial Remarks

- The  $2^m$  pairs of coefficients  $(\alpha_u, \beta_u)$  require  $2^m$  independent operators  $\hat{O}_u$  to implement the mapping  $|j\rangle \mapsto e^{i(\alpha_u j + \beta_u)} |j\rangle$
- Each operator  $\hat{O}_u$  will generally involve controlled rotations on all  $n$  qubits in the register, with  $m$  qubits acting as controls
- Thus, the expected lower bound for controlled rotations is  $\sim \Omega(2^m n)$
- Note that  $m$ -controlled operations require  $\Theta(m^2)$  CNOT gates [Barenco 1995<sup>1</sup>, Cor 7.6] or  $\Theta(m)$  CNOT gates when using ancillae [Barenco 1995, Cor 7.12]
- To avoid this additional factor in the gate count and meet the lower bound only single-controlled operations will be employed, leading to a more complex control architecture

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<sup>1</sup><https://arxiv.org/pdf/quant-ph/9503016>

# Constructing $\hat{O}_u$

- Consider the single-qubit operators

$$\hat{P}^{(k)}(\varphi) = \begin{pmatrix} e^{i\varphi} & 0 \\ 0 & e^{i\varphi} \end{pmatrix}, \quad \hat{R}^{(k)}(\varphi) = \begin{pmatrix} 1 & 0 \\ 0 & e^{i\varphi} \end{pmatrix} \quad (5)$$

each acting on the  $k$ th qubit

- Now define

$$\hat{U}_u^{(k)} \equiv \hat{P}^{(k)}(\beta_u/n) \hat{R}^{(k)}(\alpha_u 2^{k-p}) \quad (6)$$

- Then

$$\hat{O}_u \equiv \bigotimes_{k=0}^{n-1} \hat{U}_u^{(k)} \quad (7)$$

transforms

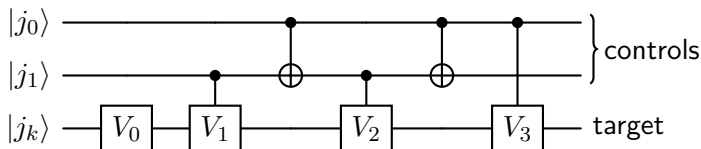
$$|j\rangle \mapsto \exp \left[ i \left( \sum_{k=0}^{n-1} \alpha_u j_k 2^{k-p} + \beta_u \right) \right] |j\rangle = e^{i(\alpha_u j + \beta_u)} |j\rangle \quad (8)$$

# The Control Structure

- It is straight-forward to construct  $\hat{O}_u$  for each of the sub-domains  $\mathcal{D}_u$
- More challenging is **applying the correct  $\hat{O}_u$**  based on the sub-domain corresponding to each  $|j\rangle$ , which requires **controlling** on the first  $m$  **qubits**
- In order to achieve this with only **single-controlled operations** a control structure similar to *Barenco 1995* Lemmas 6.1, 7.1 is chosen
- This involves defining  $2^m$  **auxiliary operators  $\hat{V}_q^{(k)}$**  which give the  $\hat{U}_u^{(k)}$  when multiplied in appropriate combinations
- Since a product of rotation operators corresponds to a sum of rotation angles, the  $\hat{V}_q^{(k)}$  can be constructed by solving the appropriate **linear system** in the  $\hat{U}_u^{(k)}$
- The following two slides show examples of the control structure for **'target qubits'**, i.e. the  $n - m$  qubits that do not act as controls



# The Case $m = 2$ ( $M = 4$ )

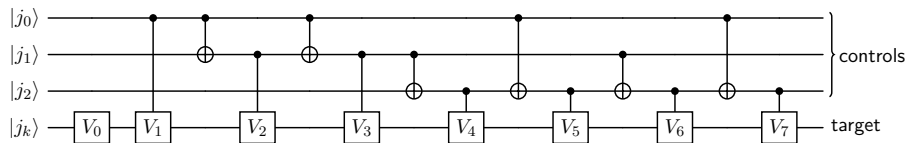


**Figure 1:** Control structure for  $m = 2$  ( $M = 4$ ) with  $2 \leq k < n$ . The number of controlled operations is  $2^{m+1} - 3 = 5$

$(j_0 j_1)$	Operation	Equiv. $\hat{U}$	$(j_0 j_1)$	Operation	Equiv. $\hat{U}$
(00)	$\hat{V}_0$	$\hat{U}_0$	(10)	$\hat{V}_3 \hat{V}_2 \hat{V}_0$	$\hat{U}_2$
(01)	$\hat{V}_2 \hat{V}_1 \hat{V}_0$	$\hat{U}_1$	(11)	$\hat{V}_3 \hat{V}_1 \hat{V}_0$	$\hat{U}_3$

**Table 1:** Operations applied to  $|j_k\rangle$  for various control states

# The Case $m = 3$ ( $M = 8$ )



**Figure 2:** Control structure for  $m = 3$  ( $M = 8$ ) with  $3 \leq k < n$ . The number of controlled operations is  $2^{m+1} - 3 = 13$

$(j_0 j_1 j_2)$	Operation	Equiv. $\hat{U}$	$(j_0 j_1 j_2)$	Operation	Equiv. $\hat{U}$
(000)	$\hat{V}_0$	$\hat{U}_0$	(100)	$\hat{V}_6 \hat{V}_5 \hat{V}_2 \hat{V}_1 \hat{V}_0$	$\hat{U}_4$
(001)	$\hat{V}_7 \hat{V}_4 \hat{V}_0$	$\hat{U}_1$	(101)	$\hat{V}_7 \hat{V}_4 \hat{V}_2 \hat{V}_1 \hat{V}_0$	$\hat{U}_5$
(010)	$\hat{V}_5 \hat{V}_4 \hat{V}_2 \hat{V}_0$	$\hat{U}_2$	(110)	$\hat{V}_6 \hat{V}_4 \hat{V}_3 \hat{V}_1 \hat{V}_0$	$\hat{U}_6$
(011)	$\hat{V}_7 \hat{V}_6 \hat{V}_3 \hat{V}_2 \hat{V}_0$	$\hat{U}_3$	(111)	$\hat{V}_7 \hat{V}_5 \hat{V}_3 \hat{V}_1 \hat{V}_0$	$\hat{U}_7$

**Table 2:** Operations applied to  $|j_k\rangle$  for various control states

# The Control Structure

- The control structure required to apply the appropriate  $\hat{U}_u^{(k)}$  to the  $k$ -th target qubit requires  $2^{m+1} - 3$  CNOT gates
- As there are  $n - m$  target qubits this brings the CNOT count due to the targets to  $(n - m)(2^{m+1} - 3)$
- Handling the control structure for the  $m$  'control qubits' requires slightly more care as the operator to be applied to the  $l$ -th control qubit is conditional on  $|j_l\rangle$  itself
- This problem can be addressed by introducing an ancilla  $|0\rangle_a$  and following the procedure:
  - a Apply a CNOT gate to the ancilla, controlled by  $|j_l\rangle$
  - b Apply the same control structure as for the target qubits, with the ancilla as the target
  - c Apply a SWAP gate between the ancilla and  $|j_l\rangle$
  - d Apply a CNOT gate to the ancilla, controlled by  $|j_l\rangle$
- The final step clears the ancilla, allowing it to be re-used for all  $m$  controls

# The Control Structure

- Thus, encoding the phase on each control qubit requires the same structure as before but with an **additional 5 CNOT** gates per control qubit (3 of are part of the SWAP)
- The  $m$  control qubits thus require  $m2^{m+2}$  **CNOT** gates in addition to the  $(n - m)(2^{m+1} - 3)$  **CNOTs** for the targets

## Overall Complexity

The CNOT cost of the algorithm presented here is

$$C(n, m) = 2^{m+1}(n + m) - 3(n - m), \quad (9)$$

corresponding to the lower bound  $\mathcal{O}(n2^m)$  on the complexity

# Comparison

- The current implementation uses  $n_l \equiv m$  label qubits (with  $2^{n_l} = M$ ) as well as  $n_c$  coefficient qubits
- The label operation (as described in Häner 2018), which initialises the label register into the state  $|u\rangle$  if  $j \in \mathcal{D}_u$  has a CX cost of

$$C_{\text{Label}}(n, n_l) = \mathcal{O}(2^{n_l} n) \quad (10)$$

- The addition and multiplication operations, carried out via QFTs and requiring  $n_c$  qubits to store coefficient values, have gate costs of

$$C_{\text{Add}}(n, n_c) = C_{\text{Mult}}(n, n_c) = \mathcal{O}(n^2 + n_c^2) \quad (11)$$

- Loading the function coefficients into the register further comes with a cost of

$$C_{\text{Load}}(n_c, n_l) = \mathcal{O}(n_c 2^{n_l} n_l^2) \quad (12)$$

with the  $n_l^2$  term originating from  $n_l$ -controlled X gates

- Thus, in total the LPF gate cost is

$$C_{\text{Total}}(n, n_l, n_c) = \mathcal{O}(n^2 + 2^{n_l} [n + n_l^2 n_c] + n_c^2) \quad (13)$$

# Handling the Controls

- Thus, the  $m$  controls require  $m(2^{m+2})$  CX giving the total algorithm a CX cost of

$$(n-m)(2^{m+1}-3)+m2^{m+2} = 2^{m+1}(n+m)-3(n-m) = n(2^{m+1}-3)+m2^{m+1} \quad (14)$$

- Hence, for  $n \ll m$  the CX gate cost is  $\mathcal{O}(n2^m)$
- This is a quadratic speed-up in  $n$  as well as a significant reduction in the number of ancillae
- Note that cascading the above to all control qubits results in situations where a qubit with non-zero phase acts as a control for a rotation, with the phases of the target and control ambiguously distributed; however, for the present phase encoding only the product of all qubit phase factors is relevant so that this is not an issue

# Comments Sarah

For the other problem, yes this is exactly what I had in mind, this is the right idea. A couple of suggestions:

- I think that you can get rid of the ancillae entirely, and just condition directly on the first few ( $\log M$ ) qubits.
- I agree that you should be able to simplify a bit more by thinking about applying the operators recursively.
- Sadly it will always scale exponentially if we take  $M \sim O(2^n)$ . It would be helpful to consider breaking the circuit (conceptually, not literally...) into  $m = \log M$  qubits (which will be the controls) and  $n-m$  remaining qubits (the targets). If  $m \ll n$ , how does the complexity scale with  $m$  and  $n$ ?
- Finally, how does the complexity of applying the phase in this way compare to the previous method of calculating the phase in an ancilla register, applying the phase, and then uncomputing the result in the ancilla.

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① Phase Encoding

② Handover



The code, documentation, slides, and poster are all available on GitHub:

[https://github.com/david-f-amorim/PQC\\_function\\_evaluation](https://github.com/david-f-amorim/PQC_function_evaluation)

- The source code is found in the directory **pqcprep**
- The slides and poster are found in the directory **slides**
- The documentation is hosted externally **here**, which is also linked on GitHub