

LIST OF TODOS

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■ I think we should either say both order preserving and rank preserving or neither of them. I prefer neither, since we later define automorphisms as satisfying both of these properties. The same should be done in 1 . .	4
■ add reference	4
■ Weren't we going to include a question about q-analogs?	4
■ for consiceness, can we say $g \in \text{Stab}(z)$ with $g \cdot x = y$ throughout the paper?	4
■ David, there is an inconsistency in notation here: using ":" for "such that" versus " " (for "such that". I think " " is slightly more standard so I propose using this convention. I'm ok with using ":" though if you feel strongly, David.	5
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■ Obviously come back to this at the end, but since this is the introduction for the REU report, not a journal paper, we should also include descriptions of the sections we don't think will go in the journal paper.	5
■ shouldn't the word disjoint be removed here?	6
■ add reference	6
■ prove this	7
■ maybe seperate out the cover transitive bit, and put it in the cover transitive section? I think it seems a bit out of place here	7
■ Can't we define cover transitive not only for \mathcal{F}^1 , but also for \mathcal{F}^r ? Wouldn't this theorem still hold exactly as stated for \mathcal{F}^r with our new definition?	7
■ Elise:"which is clearly surjective"	8
■ Elise: maybe "distinct" instead of "multiple" would be clearer	8
■ are we obligated to check whether $\mathcal{F}^r(B_n)$ is unitary Peck? Maybe we should at least put it as a question.	8
■ Put all pictures in figures, and make them look nicer	9
■ David, the proof of this lemma is the same as one that we need for \mathcal{F} section, so you can probably put this proof there, and feel free to replace this proof by "similarly to the proof for F..."	9
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■ maybe write this as one of three equivalent conditions, together with q being a bijection	12
■ the following commented out section was based on the old definition of \mathcal{F}^r . Should we remove it?	18
■ Perhaps also put in the recursive relations which the $P[l, m]$ satisfy . . .	23

- $|\mathcal{F}^1(B_n/C_n)|_i$ should probably be notated as $|\mathcal{F}^1(B_n/C_n)_i|$, since it is the size of the i th rank of the poset. You should check to make sure you did not do this anywhere else (it is also done in the q -analog case, currently in 13.0.28, for instance) 25
- It might be clearer to say: fix $P(n) = \mathcal{F}^1(B_n)/C_n, Q(n) = \mathcal{F}^1(B_n/C_n)$, and notate $p(i, n) = |P(n)_i|, q(i, n) = |Q(n)_i|$. When the n is clear, we shall write p_i, q_i for $p(i, n), q(i, n)$ 25
- I think you should write $(P)_i$ instead of $[P]_i$ for the i th rank of P above. I used the notation $[f(x, y)]_x^a$ for the coefficient of x^a in $f(x, y)$, and we have also been using parentheses above for this. Again, this is something that appears several times in this and the next section, and if you agree, you should make sure to change it everywhere. 25
- Perhaps the word “1-click” isn’t really necessary, (although I agree it’s important to fix σ_0 since you only use “1-click” rotation one or two times, and it might be clearer to say something about $\sigma_0^d y$ rather than something about the d -click rotation of y . Additionally, you don’t define d -click rotation anywhere, but again, I don’t think you should use this work “click” at all, even though I know you like thinking in these terms. 25
- Elise: very minor note, but if you are setting $G = C_n$, try to be consistent and only use G throughout the proof. It’s clearer 25
- what is A_i . I think you may mean $\mathcal{F}^1(B_n)_i$? 25
- again, change brackets to parentheses, although I’ll stop pointing this out 25
- You also need to say that there are at most 2 G orbits in the same $G \times G$ orbit (which is nonobvious, and very special to the cyclic group.) I think you really need to put this paragraph after the next paragraph. 25
- I think i.e. actually makes things more confusing, rather than clearer, and I would remove it 25
- It seems like there is never a proof of this lemma, although presumably you mean to put it right above 11.0.22. I think it’s rather confusing to state this lemma in its precise form here, and why it implies unimodality, since it seems to be the same as 11.0.22. I would recommend removing this lemma here, and you should just say the goal in this section is to prove 11.0.22. 26
- Perhaps define this together with p_i above, since p_i really depend on n as well? See the comment on your definition of p_i, q_i 26
- I think you should put this lemma above 11.0.13 because then you don’t have to say there are at most two special orbits in the same orbit in that proof. 26
- I don’t think you need to say let q_i, p_i be defined as usual 26
- special is really indescriptive. Maybe you could say a double orbit, or something like that? 27
- Elise: you should switch the last two clauses of this sentence. 27
- Elise: does this mean $\lambda_{i+1} - \lambda_i \neq 0$? 27
- Elise: of what? Be precise. 28
- Maybe call this a proposition or theorem instead of corollary? It doesn’t really follow immediately from other things, and it’s the main result we’re showing 28
- Elise: earlier you were using $|*|$ for rank size 29

- Elise: you should definitely consider using something other than q_i here.
It might get confusing since q now has another meaning. 30

UNIMODALITY PAPER

DAVID HEMMINGER, AARON LANDESMAN, ZIJIAN YAO

1. THINGS WITHOUT HOMES

2. INTRODUCTION

Let P be a finite graded poset of rank n . It is natural to study the structure of the edges in the Hasse diagram of P . To this end, define an endofunctor \mathcal{F}^r on the category finite graded posets with rank-preserving morphisms as follows

Definition 2.0.1. For \mathcal{P} the category of graded posets, for each $r \in \mathbb{N}$, define the *Functor of Edges* $\mathcal{F}^r : \mathcal{P} \rightarrow \mathcal{P}$ as follows. The elements of the graded poset $\mathcal{F}^r(P)$ are $x \otimes y$ (here the \otimes symbol is purely formal), where $x, y \in P$, $x \leq_P y$, and $\text{rk}(y) = \text{rk}(x) + r$. Define the covering relation $\triangleleft_{\mathcal{F}}$ on $\mathcal{F}^r(P)$ by $x \otimes y \triangleleft_{\mathcal{F}} x' \otimes y'$ if $x \triangleleft_P x'$ and $y \triangleleft_P y'$. Then define the relation $\leq_{\mathcal{F}}$ on $\mathcal{F}^r(P)$ to be the transitive closure of $\triangleleft_{\mathcal{F}}$, i.e. $x \otimes y \leq_{\mathcal{F}} x' \otimes y'$ if there exists a chain

$$x \otimes y \triangleleft_{\mathcal{F}} x_1 \otimes y_1 \triangleleft_{\mathcal{F}} \dots \triangleleft_{\mathcal{F}} x_{r-1} \otimes y_{r-1} \triangleleft_{\mathcal{F}} x' \otimes y'$$

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Since people know what the transitive closure is, are we sure we want to use the valuable space in the introduction explicitly defining it?

Let Q be a finited graded poset of rank n . Given a morphism $f : P \rightarrow Q$, define $\mathcal{F}^r(f) : \mathcal{F}^r(P) \rightarrow \mathcal{F}^r(Q)$ by $\mathcal{F}^r(f)(x \otimes y) = f(x) \otimes f(y)$.

We will show that \mathcal{F}^r is well-defined in Section 4. Note that an edge in the Hasse diagram of P can be written as $(x, y) \in P \times P$ such that $x \triangleleft y$. Such edges are in bijection with elements $x \otimes y \in \mathcal{F}^1(P)$. We observe that when P has a nice structure, $\mathcal{F}^r(P)$ commonly has a nice structure as well. In particular, let the *boolean algebra of rank n* , denoted B_n , be the poset whose elements are subsets of $\{1, \dots, n\}$ with the relation given by containment, i.e. for all $x, y \in B_n$, $x \leq y$ if x is a subset of y . It is well-know than if G is a group of rank-preserving automorphism of B_n , then B_n/G is Peck . We conjecture the following.

Conjecture 1. *Let G be a group of rank-preserving automorphisms of B_n . Then $\mathcal{F}^r(B_n/G)$ is Peck.*

We prove this conjecture holds in the case $r = 1$ whenever the group action of G on B_n has the following property.

Definition 2.0.2. A group action of G on P is *cover transitive* if whenever $x, y, z \in P$ such that $x \triangleleft z$, $y \triangleleft z$, and $y \in Gx$, there exists some $g \in G$ such that $g \cdot x = y$ and $g \cdot z = z$.

Theorem 2.0.3. *If a group action of G on B_n is cover transitive, then $\mathcal{F}^1(B_n/G)$ is Peck.*

A large number of group actions on B_n have the cover transitive property. In order to construct a family of such actions we first prove that some basic group

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add reference

Weren't we going to include a question about q-analogs?

for conciseness, can we say $g \in \text{Stab}(z)$ with $g \cdot x = y$ throughout the paper?

actions on B_n are cover transitive, then show that cover transitivity is preserved under semidirect products. Throughout the paper we let a subgroup $G \subseteq S_n$ act on B_n by letting it act on the elements within subsets of $[n] := \{1, \dots, n\}$, i.e. $g \cdot x = \{g \cdot i : i \in x\}$ for all $g \in G, x \in B_n$. We also embed the dihedral group D_{2n} into S_n by letting it act on the vertices of an n -gon.

Proposition 2.0.4. *Let p be prime. The actions of S_n, D_{2p} , and D_{4p} on B_n, B_p and B_{2p} , respectively are cover transitive.*

Proposition 2.0.5. *Let $G \subseteq \text{Aut}(P)$, $H \triangleleft G$, $K \subset G$ such that $G = H \rtimes K$. Suppose that H acts cover transitively on P and K acts cover transitively on P/H . Then G acts cover transitively on P .*

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The paper is organized as follows. In Section 3 we cover the necessary background for posets and Peck posets. In Section 4 we show that \mathcal{F}^r is well-defined and prove Theorem 2.0.3 along with various other nice properties of \mathcal{F}^r . Section ?? contains the proofs of Propositions 2.0.4 and 2.0.5 as well as some examples of families of group actions shown to be cover transitive by these propositions. In Section we prove that $\mathcal{F}^r(B_n/G)$ is rank-unimodal for certain group actions that are not cover transitive. Section discusses a q -analog to Conjecture 1, including some partial results.

3. BACKGROUND

In this section we review group actions, morphisms of graded posets, Peck posets, and a useful theorem about quotients of Posets.

The following notation will be used for group actions in this paper. For X a set with a G action, let $Gx = \{y \in X \mid \exists g, gx = y\}$ be the *orbit* of x and let $X/G = \{Gx \mid x \in X\}$ be the *set of G orbits in X* . Let $\text{Fix}_X(g) = \{x \in X \mid gx = x\}$, be the *set fixed by g* . We shall sometimes notate $\text{Fix}(g)$ for $\text{Fix}_X(g)$ when the set X is clear. Let $\text{Stab}_G(x) = \{g \in G \mid gx = x\}$ be the *stabilizer of x* . We shall sometimes notate $\text{Stab}_G(x)$ as $\text{Stab}(x)$ when the group G is clear.

Throughout the paper we write $x \leq_P y$ to denote that x is less than or equal to y under the relation defined on the poset P . When the poset is clear we will omit the P and simply write $x \leq y$.

Let P be a finite *graded* poset of rank n , that is the elements of P are a disjoint union of P_0, P_1, \dots, P_n , called the *ranks* of P , such that if $x \in P_i$ and $x \leq y$, then $y \in P_{i+1}$. Define $\text{rk}(x) = k$, where $x \in P_k$.

Denote the category of finite graded posets by \mathcal{P} , and let Q be a finite graded poset of rank n . A map $f: P \rightarrow Q$ is a *morphism* from P to Q if it is rank-preserving and order preserving, i.e. for all $x, y \in P$, $x \leq_P y \Rightarrow f(x) \leq_Q f(y)$ and $\text{rk}(x) = \text{rk}(f(x))$. We say that f is *injective/surjective/bijective* if it is an injection/surjection/bijection from P to Q as sets.

Remark 3.0.6. There is an important distinction here between bijection and isomorphism. Another way to describe a bijection is that it is an embedding $f: P \rightarrow Q$ which is a bijection on the sets of vertices of P, Q . But, an isomorphism also defines a bijection between the edges of the two posets as well.

Write $p_i = |P_i|$. If we have

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consolidate this section

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Obviously come back to this at the end, but since this is the introduction for the REU report, not a journal paper, we should also include descriptions of the sections we don’t think will go in the journal paper.

$$p_0 \leq p_1 \leq \dots \leq p_k \geq p_{k+1} \geq \dots \geq p_n$$

for some $0 \leq k \leq n$, then P is *rank-unimodal*, and if $p_i = p_{n-i}$ for all $1 \leq i \leq n$, then P is *rank-symmetric*. An *antichain* in P is a set of elements in P that are pairwise incomparable. If no antichain in P is larger than the largest rank of P , then P is *Sperner*. More generally, P is *k-Sperner* if no disjoint union of k antichains in P is larger than the disjoint union of the largest k ranks of P , and P is *strongly Sperner* if it is k -Sperner for all $1 \leq k \leq n$. We then make the following definition.

shouldn't the word disjoint be removed here?

Definition 3.0.7. P is *Peck* if P is rank-symmetric, rank-unimodal, and strongly Sperner.

Let $V(P)$ and $V(P_i)$ be the complex vector spaces with bases $\{x|x \in P\}$ and $\{x|x \in P_i\}$ respectively. Note that we will frequently abuse notation and write P and P_i for $V(P)$ and $V(P_i)$ when our meaning is clear. In determining whether P is Peck, it is often useful to consider certain linear transformations on $V(P)$.

Definition 3.0.8. A map $U: V(P) \rightarrow V(P)$ is an *order-raising operator* if $U(V(P_n)) = 0$ and for all $0 \leq i \leq n-1$, $x \in P_i$ we have

$$U(x) = \sum_{y \succ x} c_{x,y} y$$

for some constants $c_{x,y} \in \mathbb{C}$. We say that U is the *Lefschetz map* if all $c_{x,y}$ on the right hand side are equal to 1.

We then have the following well-known characterization of Peck posets.

add reference

Lemma 3.0.9. P is Peck if and only if there exists an order-raising operator U such that for all $0 \leq i < \frac{n}{2}$, the map $U^{n-2i}: V(P_i) \rightarrow V(P_{n-i})$ is an isomorphism.

Definition 3.0.10. If the Lefschetz map satisfies the condition for U in Lemma 3.0.9, then P is *unitary Peck*.

Let $G \subseteq \text{Aut}(P)$, and define the *quotient poset* P/G to be the poset whose elements are the orbits of G , with the relation $\mathcal{O} \leq \mathcal{O}'$ if there exist $x \in \mathcal{O}$, $x' \in \mathcal{O}'$ such that $x \leq x'$. We will use of the following result later in the paper.

Theorem 3.0.11. If P is unitary Peck and $G \subseteq \text{Aut}(P)$, then P/G is Peck.

4. THE FUNCTOR OF EDGES

Lemma 4.0.12. The relation $\leq_{\mathcal{F}}$ defines a partial order on $\mathcal{F}^r(P)$.

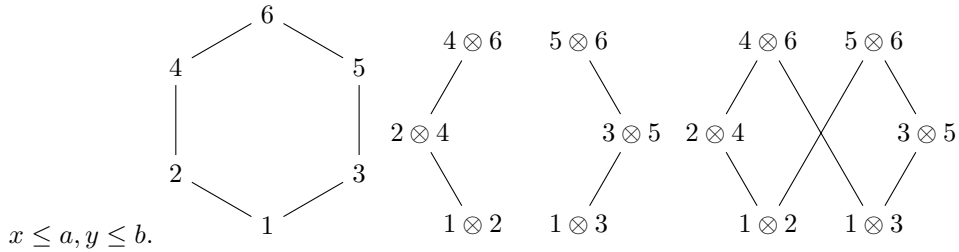
Proof. It is clear that $A \leq_{\mathcal{F}} A$ for all $A \in \mathcal{F}^r(P)$. Furthermore if $A \leq_{\mathcal{F}} B$ and $B \leq_{\mathcal{F}} C$ for some $A, B, C \in \mathcal{F}^r(P)$ then there exist isomorphisms $f: A \rightarrow B$ and $g: B \rightarrow C$ such that $x \leq_P f(x)$ and $y \leq_P g(y)$ for all $x \in A$, $y \in B$. Then $g \circ f: A \rightarrow C$ is an isomorphism, and by the transitivity of the order relation on P , $x \leq_P f(x) \leq_P g(f(x))$ implies that $x \leq_P g(f(x))$, so the relation on $\mathcal{F}^r(P)$ is transitive.

Suppose now that $A \leq_{\mathcal{F}} B \leq_{\mathcal{F}} A$, and take $f: A \rightarrow B$ and $g: B \rightarrow A$ as above. Assume for the sake of contradiction that $x_0 \neq g(f(x_0))$ for some x_0 in A . Since $g \circ f$ is bijective, there exists some $x_1 \in A$ such that $g(f(x_1)) = x_0$. By assumption $x_1 \neq x_0$, so we have that $x_1 < x_0$, and hence by induction there exists an infinite chain $x_0 > x_1 > x_2 > \dots$, which contradicts the finiteness of P . Thus $x = g(f(x))$

for all $x \in A$, so $x \leq_P f(x) \leq_P g(f(x)) = x$, and hence $x = f(x)$ by antisymmetry of the relation on P , so $A = B$. Thus $\leq_{\mathcal{F}}$ defines a partial order on $\mathcal{F}^r(P)$. \square

Lemma 4.0.13. *The poset $\mathcal{F}^1(P)$ is graded, with $\text{rk } x \otimes y = \text{rk } x$ for all $x \otimes y \in \mathcal{F}^r(P)$, where $\text{rk } x$ is the rank of x in P .*

Counterexample 4.0.14. It is quite crucial we declare the relation $\leq_{\mathcal{F}}$ to be the transitive closure of $<_{\mathcal{F}}$. An example of something that can go wrong is as follows. On the left hand side is the hasse diagram of a poset P in the middle is the poset $\mathcal{F}^1(P)$ and on the right hand side is the poset obtained from the relation $x \otimes y \leq a \otimes b$ if



$x \leq a, y \leq b$.

Here, it is clear that $\mathcal{F}^1(P)$ is a graded poset, with $\text{rk}(x \otimes y) = \text{rk}(x)$, but, the hasse diagram on the right represents a poset which does not have a grading.

Proof. prove this

\square

We also have that the action of G on P induces an action on $\mathcal{F}^r(P)$ as follows.

Lemma 4.0.15. *The function defined by $g \cdot A = \{g \cdot a : a \in A\}$ for all $g \in G$, $A \in \mathcal{F}^r(P)$ is a well-defined group action of G on $\mathcal{F}^r(P)$.*

Proof. Since g is an automorphism of P , $g \cdot A$ must also be isomorphic to B_r , that is $g \cdot A \in \mathcal{F}^r(P)$. Suppose $A \leq B$, and let $f: A \rightarrow B$ be an isomorphism satisfying $x \leq f(x)$ for all $x \in A$. Then $g \circ f \circ g^{-1}: g \cdot A \rightarrow g \cdot B$ is an isomorphism, and we have that $g^{-1} \cdot x \leq f(g^{-1} \cdot x)$ by the definition of f . Acting by g on the left and using the fact that g is order-preserving gives that $x \leq g \cdot f(g^{-1} \cdot x)$, hence $g \cdot A \leq g \cdot B$. It follows that g is an automorphism of $\mathcal{F}^r(P)$. \square

Proposition 4.0.16. *For any group G acting on P and $0 \leq i \leq \text{rk}(P)$, we have $|\mathcal{F}^r(P/G)|_i \leq |\mathcal{F}^r(P)/G|_i$. Furthermore there exists a poset surjection $q: \mathcal{F}^1(P)/G \rightarrow \mathcal{F}^1(P/G)$ defined by $q(G(x \otimes y)) = Gx \otimes Gy$, with q being a bijection if and only if the action of G on P is cover transitive.*

Proof. Let $Gx \otimes Gy \in \mathcal{F}^r(P/G)$. Since the orbits between Gx and Gy form a boolean algebra of size r , it is possible to pick a set of representatives from the orbits in $Gx \otimes Gy$ such that the representatives form a boolean subalgebra $x \otimes y$ of size r in P . Then $x \otimes y \in \mathcal{F}^r(P)$ and the orbit $G(x \otimes y)$ is in $\mathcal{F}^r(P)/G$. Furthermore, if $Gy' \otimes Gx' \neq Gy \otimes Gx$, then any boolean subalgebra $x' \otimes y'$ given by representatives from orbits lying between Gx' and Gy' will not be an element of $G(x \otimes y)$, since $(Gx, Gy) \neq (Gx', Gy')$. Thus a choice of representatives from each boolean subalgebra of size r in $\mathcal{F}^r(P/G)$ gives a rank-preserving injection $\mathcal{F}^r(P/G) \rightarrow \mathcal{F}^r(P)/G$ and hence $|\mathcal{F}^r(P/G)|_i \leq |\mathcal{F}^r(P)/G|_i$.

Now consider the case when $r = 1$. A boolean subalgebra of size 1 simply corresponds to an edge between adjacent ranks defined by a covering relation $x < y$

maybe separete out the cover transitive bit, and put it in the cover transitive section? I think it seems a bit out of place here

Can't we define cover transitive not only for \mathcal{F}^1 , but also for \mathcal{F}^r ? Wouldn't this theorem still hold exactly as stated for \mathcal{F}^r with our new definition?

for some $x, y \in P$. Then for every edge $x \otimes y \in \mathcal{F}^1(P)$, the orbit $Gx \otimes Gy$ is an element of $\mathcal{F}(P/G)$, so we get a map $q: \mathcal{F}^r(P)/G \rightarrow \mathcal{F}^1(P/G)$ by defining $q(G(x \otimes y)) = Gx \otimes Gy$. Note that this map is well defined because if $x' \otimes y' = g(x \otimes y) = g \cdot x \otimes g \cdot y$ for some $g \in G$, then $x' \in Gx$ and $y' \in Gy$. Furthermore, we have that $G(x \otimes y) \leq G(w \otimes z)$ if and only if there exist some $x_0 \otimes y_0 \in G(x \otimes y)$, $w_0 \otimes z_0 \in G(w \otimes z)$ such that $x_0 \leq w_0$ and $y_0 \leq z_0$. If this is the case, then we also have that $Gx \otimes Gy \leq Gw \otimes Gz$ by definition.

Elise: "which is clearly surjective"

Elise: maybe "distinct" instead of "multiple" would be clearer

Finally, note that q is a bijection exactly when there do not exist multiple orbits $G(x \otimes y) \neq G(x' \otimes y')$ with $x' \in Gx$, $y' \in Gy$. Fix $x \otimes y, x' \otimes y' \in \mathcal{F}^1(P)$ such that $x' \in Gx$ and $y' \in Gy$. Pick a $g \in G$ such that $g \cdot y' = y$. Then $g \cdot x' \otimes y \in G(x' \otimes y')$, so $G(x \otimes y) = G(x' \otimes y')$ if and only if there exists some $g' \in G$ such that $g' \cdot x = g \cdot x'$ and $g' \cdot y = y$. Hence q is a bijection if and only if the G action is cover transitive. \square

Counterexample 4.0.17. In the previous theorem, we stated that q is a bijection if and only if the action of G on P is cover transitive. However, it is *not* true that if the action of G on P is cover transitive, then q is an isomorphism. A counterexample is provided as follows. Take $G = D_{20} \subset S_{10}$ acting by reflections and rotations on $[10]$ and hence acting on B_{10} . We shall see in Lemma ?? that this action is cover transitive. However, consider $x = \{2, 4\}, y = \{1, 2, 4\}, a = \{2, 4, 7\}, b = \{2, 4, 6, 7\}$. Then we may observe $x \otimes y, a \otimes b \in \mathcal{F}(B_{10})$ and $Gx < Ga, Gy < Gb$, so $Gx \otimes Gy <_{\mathcal{F}^1(B_{10}/G)} Ga \otimes Gb$. However, it is not true that $G(x \otimes y) <_{\mathcal{F}^1(B_{10}/G)} G(a \otimes b)$.

5. THE POSET $\mathcal{H}^r(P)$

In this discussion we discuss a modified version of $\mathcal{F}^r(P)$, which we will denote $\mathcal{H}^r(P)$. The main point of introducing this object is to give a simple, noncomputational proof that $\mathcal{F}^r(B_n)/G$ is Peck.

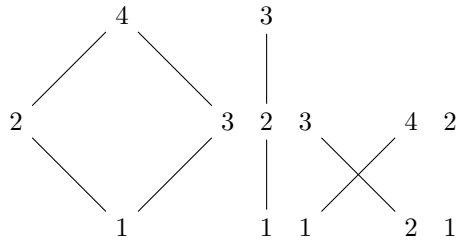
At least for the case $r = 1$ there is an alternative proof of this fact. It is actually shown in Section 14 that $\mathcal{F}^1(B_n)$ is unitary Peck, for $n > 2$, so, by Theorem 3.0.11, $\mathcal{F}^1(B_n)/G$ is Peck. However, the proof of this is extremely messy and computational.

are we obligated to check whether $\mathcal{F}^r(B_n)$ is unitary Peck? Maybe we should at least put it as a question.

We now define the object $\mathcal{H}^r(P)$, whose elements are the same as those of $\mathcal{H}^r(P)$, but we define a different partial order on $\mathcal{H}^r(P)$.

Definition 5.0.18. For P a graded poset, define the graded poset $\mathcal{H}^r(P)$ as follows. If $(x, y) \in P \times P, x < y, rk_P(x) + r = rk_P(y)$, then $x \otimes y \in \mathcal{H}^r(P)$. We declare $x \otimes y <_{\mathcal{H}} x' \otimes y'$ if $x < x', y < y'$ and $x' \not< y$. Then, define $\leq_{\mathcal{H}}$ to be the transitive closure of $<_{\mathcal{H}}$. Define $rk_{\mathcal{H}^r(P)}(x \otimes y) = rk_P(x)$.

Warning 5.0.19. While $\mathcal{F}^r: \mathcal{P} \rightarrow \mathcal{P}$ is a functor, \mathcal{H}^r is not a functor. In particular, we have not specified how \mathcal{H}^r acts on morphisms. If, for $f: P \rightarrow Q$, we attempted to define $\mathcal{H}^r(f): \mathcal{P} \rightarrow \mathcal{Q}$, we would quickly run into troubles. For example, suppose we took f mapping a diamond P (leftmost below) to a chain of length three Q (second leftmost). Then, $\mathcal{H}^1(P)$ (second rightmost) has four points, with two pairs of edges, whereas $\mathcal{H}^1(Q)$ (rightmost) has two disconnected points. It is clear that there can be no order preserving mapping between these two objects.



Put all pictures in figures, and make them look nicer

David, the proof of this lemma is the same as one that we need for \mathcal{F} section, so you can probably put this proof there, and feel free to replace this proof by "similarly to the proof for F ..."

$$rk_{\mathcal{H}^r(P)}(x \otimes y) = rk_P(x) < rk_P(a) = rk_{\mathcal{H}^r(P)}(a \otimes b).$$
$$rk_{\mathcal{H}^r(P)}(x \otimes y) + 1 = rk_P(x) + 1 = rk_P(a) = rk_{\mathcal{H}^r(P)}(a \otimes b).$$

David, once again, the proof of this Lemma is the same as one that we need for \mathcal{F} section, so you can probably put this proof there, and feel free to replace this proof by "similarly to the proof for \mathcal{F} ..."

$$rk_{\mathcal{H}^r(P)}(g \cdot (x \otimes y)) = rk_{\mathcal{H}^r(P)}(gx \otimes gy) = rk_P(gx) = rk_P(x) = rk_{\mathcal{H}^r(P)}(x \otimes y).$$

Conversely we can easily check that if $i \notin y$, then $x \otimes y \leq_{\mathcal{H}} x \cup \{i\} \otimes y \cup \{i\}$. It follows that for all subsets $w \subset [n]$ such that $|w| = r$, there is an isomorphism

from the subposet of elements $\{x \otimes y : y \setminus x = w\}$ to B_{n-r} defined by $x \otimes y \mapsto (x \setminus w) \otimes (y \setminus w)$. Furthermore if $y \setminus x \neq y' \setminus x'$, then $x \otimes y$ and $x' \otimes y'$ are incomparable, so these subposets indexed by w are pairwise disjoint, and $\mathcal{H}^r(B_n)$ is isomorphic to $\binom{n}{r}$ copies of B_{n-r} . \square

The primary advantage of Proposition 5.0.23 is it allows us to easily see that $\mathcal{H}^r(B_n)$ is unitary Peck, which then implies that $\mathcal{H}^r(B_n)/G$ is Peck for any subgroup $G \subseteq S_n$.

Corollary 5.0.24. $\mathcal{H}^r(B_n)$ is unitary Peck for all $n \geq r$.

Proof. This follows immediately from Proposition 5.0.23 and the fact that B_{n-r} is unitary Peck, as shown, for instance in [Sta84, Theorem 2a] because $B_k = (B_1)^k$ and B_1 is clearly unitary Peck. \square

Corollary 5.0.25. $\mathcal{H}^r(B_n)/G$ is Peck for any subgroup $G \subset S_n$.

Proof. This follows from Corollary 5.0.24 and Theorem 3.0.11 \square

Lemma 5.0.26. If $f : P \rightarrow Q$ is a bijection and P is Peck then Q is Peck.

Proof. Let $rk(P) = rk(Q) = n$. Since P is Peck, there exists an order raising map $U_i : P_i \rightarrow P_{i+1}$ such that $U_{n-i-1} \cdots U_i : P_i \rightarrow P_{n-i}$ is an isomorphism. Then, define $f(U)_i : P_i \rightarrow P_{i+1}$ by $f(U)_i(x) = f(U_i(f^{-1}(x)))$. This map is well defined because f is a bijection. This map is order raising because $y > x \implies f(y) > f(x)$, by definition of morphisms of posets. It follows that since $U_{n-i-1} \cdots U_i$ is an isomorphism, $f(U)_{n-i-1} \cdots f(U)_i$ is as well, because the vector spaces $V(P_i) \cong V(Q_i)$ since f is a bijection. Therefore, Q is Peck. \square

Lemma 5.0.27. The map $f : \mathcal{H}^r(P) \rightarrow \mathcal{F}^r(P), G(x \otimes y) \mapsto G(x \otimes y)$ is a bijection.

Proof. The elements of $\mathcal{H}^r(P), \mathcal{F}^r(P)$ are precisely the same by definition. Therefore, as long as f is a morphism, it is automatically a bijection. Since f is clearly rank preserving, to show f is a morphism, it suffices to show f is order preserving. This is immediate from Remark 5.0.21 \square

Corollary 5.0.28. $\mathcal{F}^r(B_n)/G$ is Peck for any subgroup $G \subset S_n$.

Proof. By Corollary 5.0.25, $\mathcal{H}^r(B_n)/G$ is Peck. By Lemma 5.0.27, the map $f : \mathcal{H}^r(P) \rightarrow \mathcal{F}^r(P), G(x \otimes y) \mapsto G(x \otimes y)$ is a bijection. Then, by Lemma 5.0.26, it follows that $\mathcal{F}^r(B_n)/G$ is Peck. \square

5.1. A Generalization of $\mathcal{H}^r, \mathcal{F}^r$. Although for the most part, we shall investigate $\mathcal{F}^1(P/G), \mathcal{F}^1(P)/G$, there is a natural further generalization of \mathcal{H}^r , to what we shall call $\mathcal{H}^{\vec{r}}$, where $\vec{r} = r_1, r_2, \dots, r_k$ is an integer valued sequence. The results holding for these generalizations are analogous to those developed above. The purpose of developing these generalizations notion will be to give a more general application to Polya theory than could be given with \mathcal{H}^r , for $r \in \mathbb{Z}$.

Definition 5.1.1. Let $\vec{r} = r_1, \dots, r_k$. For a graded poset P , define the graded poset $\mathcal{H}^{r_1, \dots, r_k}(P)$, also notated $\mathcal{H}^{\vec{r}}(P)$, whose elements are formal symbols $x_1 \otimes x_2 \otimes \cdots \otimes x_{k+1}$ such that $rk(x_i) + r_i = rk(x_{i+1})$, for all $i \in [k]$. Say $x_1 \otimes x_2 \otimes \cdots \otimes x_{k+1} \leq_{\mathcal{H}^{\vec{r}}} y_1 \otimes y_2 \otimes \cdots \otimes y_{k+1}$ if $x_i \leq_P y_i, y_i \not\leq_P x_{i+1}$ for all $i \in [k+1]$. Then, define a relation $<_{\mathcal{H}^{\vec{r}}}$ on $\mathcal{H}^{r_1, \dots, r_k}(P)$, to be the transitive closure of $\leq_{\mathcal{H}^{\vec{r}}}$. Finally, define $rk_{\mathcal{H}^{\vec{r}}(P)}(x_1 \otimes x_{k+1}) = rk_P(x_1)$

Definition 5.1.2. Let $\vec{r} = r_1, \dots, r_k$, with $r_i \in \mathbb{N} \forall i \in [k]$. Let \mathcal{P} be the category of graded posets. Define the functor $\mathcal{F}^{\vec{r}} : \mathcal{P} \rightarrow \mathcal{P}$, also notated $\mathcal{F}^{r_1, \dots, r_k}$. For a graded poset P , define the graded poset $\mathcal{F}^{r_1, \dots, r_k}(P)$, also notated whose elements are formal symbols $x_1 \otimes x_2 \otimes \dots \otimes x_{k+1}$ such that $rk(x_i) + r_i = rk(x_{i+1})$, for all $i \in [k]$. Say $x_1 \otimes x_2 \otimes \dots \otimes x_{k+1} \leq_{\mathcal{F}^{\vec{r}}} y_1 \otimes y_2 \otimes \dots \otimes y_{k+1}$ if $x_i \leq_P y_i$ for all $i \in [k+1]$. Then, define a relation $\leq_{\mathcal{F}^{\vec{r}}}$ on $\mathcal{F}^{r_1, \dots, r_k}(P)$, to be the transitive closure of $\leq_{\mathcal{F}^{\vec{r}}}$. Finally, define $rk_{\mathcal{F}^{\vec{r}}(P)}(x_1 \otimes x_{k+1}) = rk_P(x_1)$.

Remark 5.1.3. Both $\mathcal{F}^{\vec{r}}, \mathcal{H}^{\vec{r}}$ are functors, for the same reasons that $\mathcal{F}^r, \mathcal{H}^r$ are functors. This generalization is essentially taking the nerves of the poset P . See [EB05] for some related constructions, although their constructions are different in many crucial ways.

In the next Lemmas, we cite analogous results which hold for $\mathcal{H}^{\vec{r}}$. The proofs are almost identical to those for \mathcal{H}^r .

Lemma 5.1.4. *Given a group action $\phi : G \times P \rightarrow P$ there are well defined group actions $\phi_{\mathcal{F}} : G \times \mathcal{F}^{\vec{r}}(P) \rightarrow \mathcal{F}^{\vec{r}}(P)$, $\phi_{\mathcal{H}} : G \times \mathcal{H}^{\vec{r}}(P) \rightarrow \mathcal{H}^{\vec{r}}(P)$, both given by $g \cdot (x_1 \otimes \dots \otimes x_{k+1}) = g \cdot x_1 \otimes \dots \otimes g \cdot x_{k+1}$.*

Proof. The proof is analogous to Lemma 5.0.22, Lemma 5.0.22. \square

Lemma 5.1.5. *The poset $\mathcal{H}^{r_1, \dots, r_k}(B_n)$ is isomorphic to the multinomial coefficient $\binom{n}{r_1, r_2, \dots, r_k}$ disjoint copies of $B_{n - \sum_{i=1}^k r_i}$. Consequently, $\mathcal{H}^{\vec{r}}(B_n)$ is unitary Peck and $\mathcal{H}^{\vec{r}}(B_n)/G$ is Peck.*

Proof. Once again, the proof of the above three statements is analogous to those of 5.0.23, Corollary 5.0.24, and Corollary 5.0.25. The reason there are multinomial coefficients here instead of binomial coefficients, is that an element $x_1 \otimes x_2 \otimes \dots \otimes x_{k+1}$ lies in the copy of $B_{n - \sum_{i=1}^k r_i}$ determined by the ordered tuple of subsets $(x_2 \setminus x_1, x_3 \setminus x_2, \dots, x_{k+1} \setminus x_k)$. The first consists of r_1 elements, the next of r_2 elements, up through the last which consists of r_k elements. Since the total number of ways to choose r_1, \dots, r_k in k distinct groups is $\binom{n}{r_1, r_2, \dots, r_k}$, there are exactly this many disjoint copies of $B_{n - \sum_{i=1}^k r_i}$. \square

6. COVER TRANSITIVE ACTIONS

In this section, we develop the theory of cover transitive actions ϕ where G is a group, P is a poset, and $\phi : G \times P \rightarrow P$ is an action. Recall Definition 2.0.2, that ϕ is cover transitive if whenever $x, y, z \in P, x \leq y, y \leq z, x \in Gy$ then there exists $g \in \text{Stab}(z)$ with $gx = y$. For cover transitive actions $\phi : G \times P \rightarrow P$, we shall show that, $\mathcal{F}^1(P/G)$ is Peck. Additionally, we shall show the cover transitive property is closed under semidirect products, in the appropriate sense. It is obvious that the action of S_k on B_k is cover transitive, and we shall also see in Lemma ?? that the action of certain dihedral groups are cover transitive. We can then use these as building blocks to construct other cover transitive groups. In particular, we shall show in this section that automorphism groups of rooted trees are cover transitive.

Example 6.0.6. Two rather trivial examples of cover transitive actions are $\phi : S_n \times B_n \rightarrow B_n, \psi : G \times B_n \rightarrow B_n$ where G is arbitrary, ϕ is the permutation action and ψ is the trivial action. In the former case, $\mathcal{F}^1(B_n/S_l)$ is simply a chain with $n - 1$ points, and so is $\mathcal{F}^1(B_n)/S_l$, since all $x \otimes y$ are identified under the S_l action.

In the latter case, since G acts trivially by ϕ , both $\mathcal{F}^1(B_n/G) \cong \mathcal{F}^1(B_n)$ and $\mathcal{F}^1(B_n)/G \cong \mathcal{F}^1(B_n)$. So again, ψ is cover transitive.

Developing less trivial examples will take a bit more work, but it will be shown in Lemma ?? that Dihedral groups of order $2p$ and $4p$, for p prime, are cover transitive.

Theorem 6.0.7. *If an action $\phi : G \times P \rightarrow P$ is cover transitive, and $\mathcal{F}^1(P)$ is Peck, then $\mathcal{F}^1(P/G)$ is Peck.*

Proof. By Proposition 4.0.16, there is a surjection $q : \mathcal{F}^1(P)/G \rightarrow \mathcal{F}^1(P/G)$. Additionally, by the same Proposition 4.0.16, if ϕ is cover transitive, q is a bijection. Finally, by Lemma 5.0.26, since $q : \mathcal{F}^1(P)/G \rightarrow \mathcal{F}^1(P/G)$ is a bijection and $\mathcal{F}^1(P)/G$ is Peck, it follows that $\mathcal{F}^1(P/G)$ is Peck. \square

In addition to the equivalent characterization of cover transitive actions as a bijection of posets, given in Proposition 4.0.16, there is one more equivalent characterization of cover transitive actions, which is worth noting.

maybe write this as one of three equivalent conditions, together with q being a bijection

Lemma 6.0.8. *An action $\phi : G \times P \rightarrow P$ is cover transitive if and only if whenever $a < c, b < c, a \in Gb$, there exists $h \in \text{Stab}(c)$ with $ha = b$.*

Proof. Using Proposition 4.0.16, G is cover transitive if and only if the map q defined in Proposition 4.0.16 is a bijection. However, q is a bijection if and only if there do not exist distinct orbits $G(x \otimes y) \neq G(x' \otimes y')$ with $x' \in Gx, y' \in Gy$. Fix $x \otimes y, x' \otimes y' \in \mathcal{F}^1(P)$ such that $x' \in Gx, y' \in Gy$. Choose $g \in G$ with $gx' = x$. Then, $x' \otimes g \cdot y \in G(x' \otimes y')$. Since $gy' \in Gy$, and q is a bijection, the statement $x' \otimes g \cdot y \in G(x' \otimes y')$ is equivalent to $G(x' \otimes y') = G(x \otimes y)$. This, in turn is equivalent to the existence of $g' \in G$ with $g'x = x, g'y = gy'$. Then, taking $a = x, b = y, c = gy', h = g'$, the existence of such a $h = g' \in G$ is equivalent to the statement that whenever $a < c, b < c, a \in Gb$, there exists $h \in \text{Stab}(c)$ with $ha = b$, as we wanted to show. \square

6.1. Preservation Under Direct Products.

Theorem 6.1.1. *For $\phi : G \times P \rightarrow P, \psi : H \times Q \rightarrow Q$ two cover transitive actions, then the direct product $\phi \times \psi : (G \times H) \times (P \times Q) \rightarrow (P \times Q), (g, h) \cdot (x, y) \mapsto (gx, hy)$ is also cover transitive.*

Proof. For every element $x \in P \times Q$ write $x = (x_G, x_H)$, where $x_G \in P, x_H \in Q$. Suppose $a < c, b < c$ for some $a, b, c \in P \times Q$ such that there exists some $(g, h) \in G \times H$ with $(g, h) \cdot a = b$. Then $a_G < c_G, b_G < c_G$, and $g \cdot a_G = b_G$, so there exists some $g' \in G$ such that $g' \cdot c_G = c_G$ and $g' \cdot a_G = b_G$ since ϕ is cover transitive. Similarly there exists some $h' \in H$ such that $h' \cdot c_H = c_H$ and $h' \cdot a_H = b_H$. Then $(g', h') \cdot c = c$ and $(g', h') \cdot a = b$, so $\phi \times \psi$ is cover transitive as desired. \square

6.2. Preservation Under Wreath Products. Next, we turn our attention to proving that if $\psi : G \times P \rightarrow P$ is cover transitive, we can naturally construct an action $\phi : (G \wr S_l) \times P^l \rightarrow P^l$ is also cover transitive. This is shown in Theorem 6.2.4

Definition 6.2.1. For G, H groups, with $H \subset S_l$, the *wreath product*, notated $G \wr H$, is the group whose elements are pairs $(g, h) \in G^l \times H$ with multiplication defined by

$$((g'_1, \dots, g'_l), h') \cdot ((g_1, \dots, g_l), h) = ((g'_{h'(1)}g_1, \dots, g'_{h'(l)}g_l), hh')$$

where $h \in H$ acts on $[l]$ by the restriction of the permutation action of S_l to H .

In other words, $G \wr H$ can be viewed as a certain semidirect product of $G^l \rtimes H$.

Notation 6.2.2. For any group G with a given action $\psi : G \times P \rightarrow P$, we obtain an induced action of $G \wr H$, $\phi : G \wr H \times P^l \rightarrow P^l$ defined by

$$((g_1, \dots, g_l), h)(a_1, \dots, a_l) = (g_{h^{-1}(1)} \cdot a_{h^{-1}(1)}, \dots, g_{h^{-1}(l)} \cdot a_{h^{-1}(l)}).$$

Remark 6.2.3. Heuristically, one may think of the above action as obtained by first having G act separately on the l distinct copies of P , and then letting H act by permuting the copies.

Theorem 6.2.4. *If $\psi : G \times P \rightarrow P$ is cover transitive, then $\phi : G \wr S_l \times P^l \rightarrow P^l$ where ϕ is the induced action defined in Notation 6.2.2 is also cover transitive.*

Proof. Notate an element $y = (y_1, \dots, y_l)$ where y_i lies in copy i of P , inside P^l . Suppose we have $a \otimes c \in \mathcal{F}^1(P^l)$ and $b \in Ga, b < c$. The aim is to show there exists $(g, h) \in \text{Stab}_{G \wr S_l}(c)$ with $(g, h)a = b$.

Since $a < c, b < c$ there must be a unique $i \in [l]$ with $a_i < c_i$, and for all other $k, k \neq i, a_k = b_k$. Similarly, there is a unique $j \in [l]$ with $b_j < c_j$ and for all other $k, k \neq j, b_k = c_k$. We may assume $i \neq j$ because if $i = j$, then since G is cover transitive, we can find $g' \in G$ with $g'(a_i) = b_i, g' \in \text{Stab}(c_i)$. Then, taking $g \in G^n$ so that $g_i = g'$ and $g_k = \text{id}$ for $k \neq i$, then $(g, \text{id})a = b, (g, \text{id}) \in \text{Stab}(b)$, as desired.

Next, because $a \in (G \wr S_l)b$, it must be that the multisets $\{(G \wr S_l)a_1, \dots, (G \wr S_l)a_l\} = \{(G \wr S_l)b_1, \dots, (G \wr S_l)b_l\}$ are equal. However, we know that for all $k \neq i, j, a_k = b_k$. Therefore, there is an equality of multisets $\{(G \wr S_l)a_i, (G \wr S_l)a_j\} = \{(G \wr S_l)b_i, (G \wr S_l)b_j\}$. However, since $a_i < c_i = b_i$ and $a_j = c_j > b_j$, and since the $G \wr S_l$ action is rank preserving, it follows that $(G \wr S_l)b_j = (G \wr S_l)a_i, (G \wr S_l)a_j = (G \wr S_l)b_i$.

Define $\sigma \in S_l$ to be the permutation switching only (i, j) and keeping all other elements fixed. Then, since $(G \wr S_l)a_j = (G \wr S_l)b_i$, we may write $b_i = \sigma \delta a_j$. Note that $\sigma \delta b_i = \delta \sigma b_i$, where we view δ as an element of two different copies of G inside $G \wr S_l$. Then, since $\sigma \delta a_j = b_i$, both $a_i \subset b_i, \sigma \delta b_j \subset b_i$ and additionally $\delta \sigma b_j = \sigma \delta b_j \in Ga_i$. Therefore, since G is cover transitive, there exists $\epsilon \in \text{Stab}(c)$ with $\epsilon(\delta \sigma b_j) = a_i$.

Finally, define $g \in G^n$ with $g_j = \delta, g_i = \sigma \delta^{-1} \epsilon$ and for $k \neq i, j, g_k = \text{id}$. Then, by construction, $(g, \sigma) \in \text{Stab}(c)$ and $(g, \sigma)a = b$. Therefore, ϕ is cover transitive. \square

6.3. An application to rooted trees. In this subsection, we prove that the automorphism group of rooted trees is always cover transitive. To do this we will apply Theorem 6.2.4 and Theorem 6.1.1, since the automorphism group of rooted trees is essentially built from direct products and wreath products with a symmetric group. To this aim, we first give definitions relating to rooted trees, then characterize their automorphisms, and finally show that such automorphism groups are always cover transitive.

Definition 6.3.1. A poset P is a *rooted tree* if P is a graded poset, there is a unique element $x \in P$ of maximal rank, called the *root*, and for all $x \in P$, other than the root, there exists a unique $y \in P$ with $y > x$.

Definition 6.3.2. For P a rooted tree, an element $x \in P$ is a *leaf* if there is no $z \in P$ with $x > z$. Denote the set of all leaves of P by $L(P)$.

Lemma 6.3.3. *Let P be a rooted tree and let $L(P)$ be the set of leaves of P . Then, the action of $\text{Aut}(P)$ on P induces an action of $\text{Aut}(P)$ on $L(P)$. Furthermore, there is also an induced action of $\text{Aut}(P)$ on B_n where $n = |L(P)|$.*

Proof. First, we must show that $\text{Aut}(P)$ induces an action on $L(P)$. To show this, it suffices to show that for any $g \in \text{Aut}(P)$, $x \in L(P)$, then $gx \in L(P)$. This fact, however, is easy to see, because if $gx \notin L(P)$, then there exists $y < gx$. However, then $g^{-1}y < x$, contradicting the assumption that x is a leaf. We then obtain the induced action $\text{Aut}(P) \times L(P) \rightarrow L(P)$, $(g, x) \mapsto gx$.

To complete the proof, we just have to give the induced action of $\text{Aut}(P)$ on B_n . To do this, identify $L(P) \cong [n]$ as sets, where $|L(P)| = n$ by assumption. Then, for $g \in \text{Aut}(P)$, $\{l_1, \dots, l_k\} \in B_n$, the induced action of $\text{Aut}(P)$ on B_n is given by $g\{l_1, \dots, l_k\} = \{g \cdot l_1, \dots, g \cdot l_k\}$. \square

Convention 6.3.4. For the rest of this section only, fix a rooted tree P and denote by G the group of automorphisms $\text{Aut}(P)$. Let G act on B_n , where $n = |L(P)|$ by the induced action $\phi : G \times P \rightarrow P$, defined in the proof of Claim 6.3.3.

Notation 6.3.5. For $x \in P$, denote $D(x) = \{y \in P \mid y \leq x\}$.

Proposition 6.3.6. *Let P be a rooted tree with root vertex labeled r . Then, if let $\{A_1, \dots, A_m\}$ denote the set of isomorphism classes of $\{D(x) \mid x \leq r\}$. For $T \in A_j$, denote $G_j = \text{Aut}(T)$. Then,*

$$(1) \quad \text{Aut}(P) = (G_1 \wr S_{i_1}) \times (G_2 \wr S_{i_2}) \times \cdots \times (G_m \wr S_{i_m}),$$

In particular, $\text{Aut}(P)$ can be expressed as a sequence of direct products and wreath products of symmetric groups.

Proof. It is clear that if P is rank 1, with $|P_0| = n$, then the automorphism group is S_n . So, let us proceed by induction. That is, label the vertices of P by $\{0, 1, \dots, s\}$ such that the root is labeled 0 and the vertices just below the root are labeled $1, \dots, k$. Let A_1, \dots, A_m denote the distinct isomorphism classes of trees in the set $\{D(1), \dots, D(k)\}$. For $T \in A_j$, denote $G_j = \text{Aut}(T)$. Let $T_j = \{t \mid t \leq 0, t \in A_j\}$. Then, letting Q_j be the subtree of P whose elements lie in the set $0 \cup (\cup_{t \in T_j} D(t))$, it follows $\text{Aut}(Q_j) \cong G_j \wr S_{i_j}$, because after choosing a permutation of the elements of T_j , we are free to choose any element of G_j to permute each $D(t)$, $t \in T_j$. If $t_1 \leq 0, t_2 \leq 0, g \cdot t_1 = t_2$, then it must be that $D(t_1) = D(t_2)$. Therefore, $\text{Aut}(P)$ must permute these isomorphism classes of trees, and the full automorphism groups is simply the direct product,

$$(2) \quad \text{Aut}(P) = (G_1 \wr S_{i_1}) \times (G_2 \wr S_{i_2}) \times \cdots \times (G_m \wr S_{i_m}),$$

Since each G_j is a sequence of direct products and wreath products with symmetric groups by the inductive assumption, it follows from (2) that so is $\text{Aut}(P)$. \square

Corollary 6.3.7. *For P the automorphism group of a rooted tree, $\text{Aut}(P)$ is cover transitive.*

Proof. First, the permutation action $\phi : S_n \times B_n \rightarrow B_n$, is clearly cover transitive, as was noted in Example 6.0.6. By Theorem 6.2.4, wreath products with symmetric groups preserve the cover transitive property, and by Theorem 6.1.1 the direct product of two cover transitive groups is again cover transitive. Therefore, by Proposition 6.3.6, all groups of the form $\text{Aut}(P)$ are built up from these operations, and so $\text{Aut}(P)$ is also cover transitive. \square

7. WREATH PRODUCT OF TWO SYMMETRIC GROUPS

In this section, we prove a result similar to that of [IP13, Theorem 1.1]. We shall construct a certain sequence which is not only unimodal, but can even be exhibited as the ranks of a Peck poset. It shall give an alternate proof of Theorem 1.1 in the case that $r = 1$.

Notation 7.0.8. We shall now show For this section, fix l, m with $n = l \cdot m$ and fix $G = S_m \wr S_l$. Let S_m act on B_m by the permutation representation, and then let G act on $B_m^l \cong B_{m \cdot l}$ by the action defined in Notation 6.2.2.

7.1. Recalling Pak and Panova's Result. We first review the necessary definitions and then state [IP13, Theorem 1.1]:

A *partition* is a sequence of numbers $\lambda = (\lambda_1, \dots, \lambda_k)$ such that $\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_k$. If $\sum_{i=1}^k \lambda_i = n$ then λ is a *partition of n* , notated $\lambda \vdash n$. A *composition* is a sequence of numbers $\lambda = (\lambda_1, \dots, \lambda_k)$. That is, it is a partition where order matters. If $\sum_{i=1}^k \lambda_i = n$ then λ is a *composition of n* . Let $P_n(l, m)$ denote the set of partitions $\lambda = (\lambda_1, \dots, \lambda_k) \vdash n$, such that $\lambda_1 \leq m, k \leq l$. That is, $P_n(l, m)$ is the partitions which fit inside an $l \times m$ rectangle.

Notation 7.1.1. [IP13, Section 1] For λ a partition, let $\nu(\lambda)$ be the number of distinct nonzero part sizes of λ .

Notation 7.1.2. [IP13, Section 1] Let $p_k(l, m, r) = \sum_{\lambda \in P_k(l, m)} \binom{\nu(\lambda)}{r}$.

Theorem 7.1.3. [IP13, Theorem 1.1] *The sequence $p_r(l, m, r), p_{r+1}(l, m, r), \dots, p_{l \cdot m}(l, m, r)$ is unimodal and symmetric.*

7.2. The Poset $\mathcal{F}^r(B_n)/G$. Now that we have managed to state Pak and Panova's Theorem, we will begin the trek toward showing how the $p_1(l, m, 1)$ are actually ranks of $\mathcal{F}^1(B_n)/G$. The first step is to describe the Peck poset $\mathcal{F}^1(B_n)/G$. In particular, we shall obtain an explicit formula for the sizes of its ranks in Theorem 7.2.15. To do this, we will first describe representatives for the vertices of B_n/G and then analogous representatives for vertices of $\mathcal{F}^1(B_n)/G$.

Definition 7.2.1. For $x \subset [l \cdot m]$ we shall say x is *left justified* if whenever $a \in x$, such that $a \not\equiv 1 \pmod{l}$, then $a - 1 \in x$. In other words, when we pick out the boxes of the $l \times m$ rectangle which lie in x the resulting diagram is left justified.

Definition 7.2.2. For $x \subset [l \cdot m]$, define the *composition of x* , denoted $\text{comp}(x) = \lambda_1, \dots, \lambda_l$ where $\lambda_i = |\{a \in x \mid i \cdot (m - 1) < a \leq i \cdot m\}|$. That is, if we pick out the boxes of the $l \times m$ rectangle which lie in x , the composition is just the sequence of the number of boxes in each row. Similarly, define the *partition of x* , notated $\text{part}(x)$ as follows. Let $\pi \in S_l$ be a permutation such that $\pi(i) \geq \pi(i + 1)$ for all $i, 1 \leq i \leq l - 1$. Then $\text{part}(x) = (\lambda_{\pi(1)}, \dots, \lambda_{\pi(l)})$. That is, $\text{part}(x)$ is the $\text{comp}(x)$, written in decreasing order.

Lemma 7.2.3. *For any $g \in G, x \in B_n$, it follows that $\text{part}(x) = \text{part}(gx)$.*

Proof. It suffices to show this holds for any generator g . Then G is generated by elements which permute rows, and elements that swap rows. It is clear that if g only permutes elements in a single row, then $\text{comp}(gx) = \text{comp}(x)$, so in particular, $\text{part}(gx) = \text{part}(x)$. If g swaps two rows, then $\text{comp}(gx)$ is simply a reordering of the parts of $\text{comp}(x)$, and so again $\text{part}(gx) = \text{part}(x)$. \square

Definition 7.2.4. For $x \subset [l \cdot m]$, say x is a *Young Diagram* if x is left justified and $\text{comp}(x)$ is a partition.

Lemma 7.2.5. *For each $x \in B_{l,m}$ there exists a unique representative $z \in Gx$ such that z is a Young Diagram.*

Proof. Uniqueness is clear, because for any $g \in G$, by Claim 7.2.3, $\text{part}(gx) = \text{part}(x)$. So, to we only have to show there is some $g \in G$ for which gx is a Young Diagram. Indeed, first, choose $h_1 \in G$ so that h_1x is left justified. This can be done because every permutation of a single row in the $l \times m$ rectangle lies in the wreath product, so, we can take h_1 to be the product of the elements that left justify each individual row. Then, let h_2 be the element that swaps the rows of h_1x so that they increase going down. Finally, taking $g = h_2h_1$, it follows that gx is a Young diagram. \square

Notation 7.2.6. For $x \in B_n$ denote by \bar{x} the unique element in Gx such that \bar{x} is a Young Diagram.

Now that representatives for each G orbit in B_n have been described, we shall move on to describing representatives for each G orbit in $\mathcal{F}(B_n)$.

Notation 7.2.7. For $\lambda = (\lambda_1, \dots, \lambda_k)$ a partition, introduce the alternate notation $\lambda = a_1^{b_1} \cdots a_s^{b_s}$ if the first b_1 parts of λ are equal to a_1 , the next b_2 parts of λ are equal to a_2 , and in general, for $1 \leq h \leq b_j$, the parts $\lambda_{h+\sum_{i=1}^{j-1} b_i}$ are all equal to a_j . Furthermore, all a_j must be distinct.

Lemma 7.2.8. *If $x \otimes y, w \otimes y \in \mathcal{F}(B_n)_i$ then $x \otimes y \in G(w \otimes y)$ if and only if for all $g \in G$ such that gy is a Young diagram, we have $gx \otimes gy \in G(gw \otimes gy)$. In particular, if $gy = \bar{y}$ then $x \otimes y \in G(w \otimes y)$ if and only if $gx \otimes \bar{y} \in gw \otimes \bar{y}$.*

Proof. Clearly $g(x \otimes y) \in G(g(w \otimes y))$ if and only if $x \otimes y \in G(w \otimes y)$. \square

The point of the proceeding Lemma is that in order to determine when two elements are identified, we may assume that y is a young diagram.

Notation 7.2.9. For y a Young Diagram with $\text{part}(y) = \text{comp}(y) = a_1^{b_1} \cdots a_s^{b_s}$, and $x \subset y$, for all $i, 1 \leq i \leq s$, define y_i to be the $a_i \times b_i$ rectangle consisting of the rows $1 + \sum_{j=1}^{i-1} b_j, 2 + \sum_{j=1}^{i-1} b_j, \dots, \sum_{j=1}^i b_j$. That is, y_i is just the rectangle of all parts of y which are of length a_i .

Proposition 7.2.10. *If y is a Young Diagram so that $\text{part}(y) = \text{comp}(y) = a_1^{b_1} \cdots a_s^{b_s}$, and $x \otimes y, w \otimes y \in \mathcal{F}(B_n)_j$ then $x \otimes y \in G(w \otimes y)$ if and only if for all $i, 1 \leq i \leq s$, it holds that $\text{part}(x \cap y_i) = \text{part}(w \cap y_i)$.*

Proof. First, suppose for all $i, 1 \leq i \leq s$, that $\text{part}(x \cap y_i) = \text{part}(w \cap y_i)$. We know both $x \subset y, w \subset y$. Further, since y_i is a rectangle, there exists some $g \in \text{Stab}(y_i)$ so that $gx = w$. Then, for each i , there exists some $g_{1,i} \in \text{Stab}(y_i)$ so $g_{1,i}(x \cap y_i) = \overline{x \cap y_i}$, which only interchange elements in y_i . Similarly, there exists $g_{2,i} \in \text{Stab}(y_i), g_{2,i}(w \cap y_i) = \overline{w \cap y_i}$. However, the assumption $\text{part}(x \cap y_i) = \text{part}(w \cap y_i)$ precisely means $\overline{x \cap y_i} = \overline{w \cap y_i}$. Therefore, $g_{2,i}^{-1}g_{1,i} \in \text{Stab}(y)$ and $g_{2,i}^{-1}g_{2,i}(x \cap y_i) = (w \cap y_i)$. Applying this same procedure for all i and multiplying the corresponding group elements together gives an element $g \in \text{Stab}(y)$ with $gx = w$. Therefore, $g(x \otimes y) = gx \otimes gy = gw \otimes y = w \otimes y$, so $x \otimes y \in G(w \otimes y)$.

Conversely, note that $x \otimes y \in G(w \otimes y)$ is equivalent to the existence of a $g \in \text{Stab}(y)$ with $gx = w$. However, any $g \in \text{Stab}(y)$ can only interchange rows of the same length. Therefore, we obtain the stronger result that we can write $g = h_1 \cdots h_s$ where $h_i \in \text{Stab}(y_i)$, and $h_i(p) = p$ for all $p \notin y_i$. Then, it follows that $h_i(x \cap y_i) = w \cap y_i$ and so $\text{part}(x \cap y_i) = \text{part}(w \cap y_i)$, as claimed. \square

Remark 7.2.11. So, one way of viewing G orbits of an element $x \otimes y \in \mathcal{F}^r(B_n)$ is as “outer” Young Diagrams, made up of a sequence of rectangles stacked on top of one another, each one wider than the next, which form the Young diagram \bar{y} . Then, to each such rectangle we associate an “inner” Young Diagram. The inner young diagram corresponds to the elements in that rectangle in y but not in x . Two elements are in the same G orbit if and only if their “outer” Young Diagram and “inner” Young Diagrams are all the same.

The next step is to give explicitly formulas for the ranks of $\mathcal{F}^r(B_n)$.

Notation 7.2.12. For $p(x) = \sum_{i=0}^N c_i x^i$, a polynomial, define the notation $[p(x)]_r = c_r$.

Now, we briefly introduce notation for q binomial coefficients, so that we can state the next propositions. Let $q \in \mathbb{R}$ and let $[n]_q = \sum_{i=0}^{n-1} q^i$. Then, denote $[n]_q! = \prod_{i=1}^n [i]_q$. Finally, let $\binom{n}{k}_q = \frac{[n]_q!}{[k]_q! [n-k]_q!}$.

Notation 7.2.13. For $\lambda = (a_1^{b_1} \cdots a_s^{b_s})$ a partition, denote $\eta(\lambda, r) = \left[\prod_{i=1}^s \binom{a_i + b_i}{a_i}_q \right]_r$.

Proposition 7.2.14. [Sta11, Proposition 1.3.19] *There is an equality $[(\frac{a+b}{b})_q]_j = |P_j(l, m)|$.*

Theorem 7.2.15. *The sizes of the ranks of $\mathcal{F}^r(B_n)/G$ can be written as*

$$|(\mathcal{F}^r(B_n)/G)_i| = \sum_{\lambda \in P_i(l, m)} \eta(\lambda, r).$$

Proof. By Proposition 7.2.10 each orbit $x \otimes y$ has a representative such that y is a Young Diagram, with $\text{part}(y) = \prod_{i=1}^s a_i^{b_i}$, and each $x \cap y_i$ is a Young Diagram. Therefore, for a fixed y , the number of orbits $x \otimes y$ with $|x| + r = |y|$ is exactly determined by the Young Diagrams of $\bar{x} \cap \bar{y}_i$, for $1 \leq i \leq s$. Equivalently, it is determined by the Young Diagrams $[y_i \setminus x]$, for $1 \leq i \leq s$. So, we wish to calculate the number of tuples of Young Diagrams of the form $(y_1 \setminus x, y_2 \setminus x, \dots, y_s \setminus x)$ so that $\sum_{i=1}^s |y_i \setminus x| = r$. Now, define j_i by $j_i = |y_i \setminus x|$, still of course with, $\sum_{i=1}^s j_i = r$. Then, by Proposition 7.2.14, the number of such partitions is $[(\frac{a_i + b_i}{b_i})_q]_{j_i}$. Therefore, the number of elements $G(x \otimes y)$ with $j_i = |y_i \setminus x|$, is simply the product $\prod_{i=1}^s [(\frac{a_i + b_i}{b_i})_q]_{j_i}$. Therefore, since j_i were chosen arbitrarily, only subject to the constraint that $\sum_{i=1}^s j_i = r$, It follows that the total number of elements $G(x \otimes y)$, for y fixed, is equal to

$$\sum_{\substack{(j_1, \dots, j_s), \\ \sum_{i=1}^s j_i = r}} \prod_{i=1}^s \left[\binom{a_i + b_i}{b_i}_q \right]_{j_i} = \left[\prod_{i=1}^s \binom{a_i + b_i}{b_i}_q \right]_r = \eta(\lambda, r).$$

Then, summing this over all Young Diagrams y , gives that

$$|\mathcal{F}^r(B_n)/G|_i = \sum_{\lambda \in P_i(l, m)} \left[\prod_{i=1}^s \binom{a_i + b_i}{b_i}_q \right]_r = \sum_{\lambda \in P_i(l, m)} \eta(\lambda, r).$$

□

the following commented out section was based on the old definition of \mathcal{F}^r . Should we remove it?

7.3. Ensuing Conclusions. We now reap the fruits of our labor in order to draw some nice results.

Corollary 7.3.1. *The sequence $\sum_{\lambda \in P_r(l, m)} \eta(\lambda, r), \dots, \sum_{\lambda \in P_n(l, m)} \eta(\lambda, r)$, is the sequence of ranks of the Peck poset $\mathcal{F}^r(B_{l \cdot m})/G$. In particular, the sequence is symmetric and unimodal.*

Proof. By Theorem 7.2.15, $\sum_{\lambda \in P_i(l, m)} \eta(\lambda, r)$ is the size of the $i - r$ th rank of the Peck poset $\mathcal{F}^r(B_{l \cdot m})/G$. Since Peck posets are unimodal and symmetric, this sequence is as well. □

We now obtain an easy proof of Theorem 7.1.3 for the case $r = 1$. Using the fact that $S_m \wr S_l$ is cover transitive. Namely,

Corollary 7.3.2. *The sequence $p_1(l, m, 1), p_{r+1}(l, m, 1), \dots, p_{l \cdot m}(l, m, 1)$ is the rank generating function of $\mathcal{F}^1(B_{l \cdot m})/G$. In particular, the sequence is unimodal and symmetric.*

Proof. First, we know $\mathcal{F}^1(B_{l \cdot m})/G$ is Peck, since it is the quotient of a unitary Peck poset by a group action. However, it is easy to see that $\eta(\lambda, 1) = \nu(\lambda)$, since both count the number Young Diagrams of size $|\lambda| - 1$ fitting inside the Young Diagram corresponding to λ . Therefore, $\sum_{\lambda \in P_i(l, m)} \eta(\lambda, r) = \sum_{\lambda \in P_i(l, m)} \nu(\lambda) = p_i(l, m, 1)$. However, by Corollary 7.3.1, $\sum_{\lambda \in P_r(l, m)} \eta(\lambda, r), \dots, \sum_{\lambda \in P_n(l, m)} \eta(\lambda, r)$, are the ranks of $\mathcal{F}^1(B_{l \cdot m})/G$. Therefore, $p_i(l, m, 1)$ are also the ranks of $\mathcal{F}^1(B_{l \cdot m})/G$, hence symmetric and unimodal. □

8. AN APPLICATION TO POLYA THEORY

In this section, we use the generalized notion of $\mathcal{H}^{\vec{r}}(B_n)$ to obtain the unimodality of the coefficients a certain class of polynomials from Polya Theory, which will turn out to be the rank generating function of $\mathcal{H}^{\vec{r}}(B_n)/G$. The result we prove is a generalization of [Sta13, Corollary 7.16].

8.1. A Brief Review of Polya Theory. We shall follow the treatment from [Sta13, Chapter 7]. First, we build up some definitions to state Polya's Theorem.

Definition 8.1.1. Let $G \subset S_n$ act on $[n]$ by the restriction of the permutation action. For $\pi \in G$, the action of π on $[n]$ can be written in cycle notation so that there are c_i cycles of length i . Define the *cycle indicator* of π to be the monomial $Z_\pi(z_1, \dots, z_n) = z_1^{c_1} z_2^{c_2} \dots z_n^{c_n}$.

Definition 8.1.2. The *cycle indicator* for a group $G \subset S_n$, is the polynomial

$$Z_G(z_1, \dots, z_k) = \frac{1}{|G|} \sum_{\pi \in G} Z_\pi(z_1, \dots, z_k).$$

Definition 8.1.3. A *coloring* of a set S by the colors $R = \{r_1, \dots, r_k\}$ is a map $S \rightarrow R$. Heuristically, a coloring of S can be thought of as an assignment of a “color” from the set R to each of the elements of S .

Notation 8.1.4. For A, B sets, let $A^B = \text{Hom}_{\text{sets}}(B, A)$. Let $G \subset S_n$ act on B_n . Then, G acts on $R^{B_n} = \text{Hom}_{\text{sets}}(B_n, R)$ by $g \cdot f(S) = f(g \cdot S)$ where $g \in G, S \in B_n, f \in R^{B_n}$. Then, let R^{B_n}/G denote the quotient of R^{B_n} by the action of G defined above.

For $f \in R^S$, say $\text{color}(f) = (i_1, \dots, i_k)$ if $|\{s \in S \mid f(s) = j\}| = i_j$ for $1 \leq j \leq k$. Observe that if $f \in Gh$ then $\text{color}(f) = \text{color}(h)$, so the map color descends to a map on G orbits.

Define $\kappa(i_1, \dots, i_k) = |\{Gf \in R^{B_n}/G \text{ such that } \text{color}(f) = (i_1, \dots, i_k)\}|$.

Finally, let $F_G(r_1, \dots, r_k) = \sum_{i_1, \dots, i_k} \kappa(i_1, \dots, i_k) r_1^{i_1} \dots r_k^{i_k}$.

Remark 8.1.5. The above notation may seem extremely cumbersome. It is simply a formal way of saying that $\kappa(i_1, \dots, i_k)$ is the number of inequivalent colorings of subsets of B_n , under the G action. Once again, see [Sta13, Chapter 7] for a more lengthy exposition.

Theorem 8.1.6. (*Polya’s Theorem*) Let $G \subset S_n$ act on B_n . With F_G, Z_G as defined above, the following equality holds.

$$F_G(r_1, \dots, r_k) = Z_G\left(\sum_{i=1}^k r_i, \sum_{i=1}^k r_i^2, \dots, \sum_{i=1}^k r_i^n\right).$$

8.2. A Rank Generating Function.

Notation 8.2.1. Let $[f(x_1, \dots, x_k)]_{x_{j_1}^{i_1} \dots x_{j_l}^{i_l}}$ denote the coefficient of $x_{j_1}^{i_1} \dots x_{j_l}^{i_l}$ in $f(x_1, \dots, x_k)$, which may itself be a polynomial.

Lemma 8.2.2. The number $\kappa(i_1, \dots, i_k)$ is equal to $|(\mathcal{F}^{i_2, \dots, i_{k-1}}(B_n)/G)_{i_1}|$. Consequently,

$$[Z_G(\sum_{i=1}^k r_i, \sum_{i=1}^k r_i^2, \dots, \sum_{i=1}^k r_i^n)]_{r_1^{i_1} \dots r_k^{i_k}} = |(\mathcal{F}^{i_2, \dots, i_{k-1}}(B_n)/G)_{i_1}|.$$

Proof. Define a map $m : (F^{r_2, \dots, r_{k-1}}(B_n))_{i_1} \rightarrow \{f \in R^{B_n} \mid \text{color}(f) = (i_1, \dots, i_k)\}$ as follows. For $x_2 \otimes \dots \otimes x_k \in \mathcal{F}^{r_2, \dots, r_{k-1}}(B_n)$, let $m(x_2 \otimes \dots \otimes x_k) = f$, where

$$f(t) = \begin{cases} r_1 & \text{if } t \in x_2 \\ r_i & \text{if } t \in x_{i+1} \setminus x_i \\ r_k & \text{if } t \notin x_k \end{cases}$$

Observe that m is infact a bijection, as we can easily define an inverse map by sending a coloring f to $x_2 \otimes \dots \otimes x_k$, where x_j is the set of all elements $t \in [n]$ for which $f(t) = r_l, l < j$, for all $j \in [k-1]$. Next, the two group actions were precisely defined so that so that,

$$g(x_1 \otimes x_{k-1}) = g(y_2 \otimes \dots \otimes y_{k-1}) \Leftrightarrow g \cdot m(x_1 \otimes x_{k-1}) = g \cdot m(y_2 \otimes \dots \otimes y_{k-1})$$

Therefore, m descends to a bijection $m^G : (F^{r_2, \dots, r_{k-1}}(B_n))_{i_1}/G \rightarrow R^{B_n}/G$. This implies $\kappa(i_1, \dots, i_k)$ is equal to $|(\mathcal{F}^{i_2, \dots, i_{k-1}}(B_n)/G)_{i_1}|$.

Then, by Polya's Theorem 8.1.6,

$$[Z_G(\sum_{i=1}^k r_i, \sum_{i=1}^k r_i^2, \dots, \sum_{i=1}^k r_i^n)]_{r_1^{i_1} \dots r_k^{i_k}} = \kappa(i_1, \dots, i_k) = |(\mathcal{F}^{i_2, \dots, i_{k-1}}(B_n)/G)_{i_1}|.$$

□

Now we arrive at our main result of the section. The following result provides a rank generating function for $\mathcal{F}^{\vec{r}}(B_n)/G$

Proposition 8.2.3. *Let $z_j = \sum_{l=1}^k r_l^j$. Let*

$$Z_G^{i_2, \dots, i_{k-1}}(r_1) = [Z_G(z_1, \dots, z_{k-1}, 1)]_{r_2^{i_2} \dots r_{k-1}^{i_{k-1}}},$$

be a polynomial in r_1 . Then, the coefficients of $Z_G^{i_2, \dots, i_{k-1}}(r_1)$ are the ranks of the peck poset $\mathcal{F}^{i_2, \dots, i_{k-1}}(B_n)/G$. In particular, they form a symmetric, unimodal sequence.

Proof. In Lemma 8.2.2, we saw

$$[Z_G(z_1, \dots, z_k)]_{r_1^{i_1} \dots r_k^{i_k}} = |(\mathcal{F}^{i_2, \dots, i_{k-1}}(B_n)/G)_{i_1}|.$$

However, since $\sum_{j=1}^k i_j = n$, it follows that i_k is determined by the numbers i_1, \dots, i_{k-1} , and so

$$[Z_G(z_1, \dots, 1)]_{r_1^{i_1} \dots r_k^{i_k}} = |(\mathcal{F}^{i_2, \dots, i_{k-1}}(B_n)/G)_{i_1}|.$$

Next, write $Z_G^{i_2, \dots, i_{k-1}}(r_1)$, defined in the statement of the Theorem, as $\sum_t c_t(r_1)^t$. We have just shown that $c_t = |(\mathcal{F}^{i_2, \dots, i_{k-1}}(B_n)/G)_{i_1}|$. Since $\mathcal{F}^{i_2, \dots, i_{k-1}}(B_n)/G$ is a Peck poset by Lemma 5.1.5, its rank sizes form a symmetric and unimodal sequence. Therefore, the coefficients of $Z_G^{i_2, \dots, i_{k-1}}(r_1)$ form a symmetric, unimodal sequence. □

Remark 8.2.4. Note that in the case $\vec{r} = 0$, the above result precisely becomes [Sta13, Corollary 7.16].

9. FURTHER IDENTITIES FOR THE WREATH PRODUCT

In this section, we draw on the methods developed earlier in this section to write down some interesting generating functions for the case that $G = S_m \wr S_l$. First, we shall use Proposition 8.2.3 to obtain an explicit generating function for $p_i(l, m, 1)$, and then we shall relate the sum $\sum_{\pi \in G} \binom{\text{Fix}(\pi)}{t}$ to the t th Bell number, using $\mathcal{F}^{\vec{r}}(B_n)/G$, where \vec{r} is of the form $\vec{r} = 1, \dots, 1$.

Notation 9.0.5. For this section only, fix $m, l \in \mathbb{N}$ and fix $G = S_m \wr S_l$. Additionally, fix $n = m \cdot l$.

9.1. A Generating Function for $p_i(l, m, 1)$.

Proposition 9.1.1. *Let c_i be the number of i cycles in π and define*

$$W_\pi(z_1, \dots, z_n) = \begin{cases} \frac{Z_\pi(z_1, \dots, z_n)}{z_1} & \text{if } c_1 > 0 \\ 0 & \text{if } c_1 = 0 \end{cases}.$$

Then, there is an equality

$$\sum_{i=0}^n p_i(l, m, 1) r_1^i r_2^{n-i-1} = \sum_{\pi \in G} |\text{Fix}(g)| W_\pi(r_1 + r_2, r_1^2 + r_2^2, \dots, r_1^n + r_2^n).$$

Proof. Recall from Corollary 7.3.2 that $p_i(l, m, 1)$ was the rank generating function of $\mathcal{F}^1(B_{l,m})/G$.

As was seen in Proposition 8.2.3, it is also the case that

$$[Z_G(r_1 + r_2 + r_3, \dots, (r_1)^n + (r_2)^n + (r_3)^n)]_{(r_3)^1}$$

is also the rank generating function of $|(\mathcal{F}^1(B_n)/G)_{i_1}|$. Therefore,

$$p_i(l, m, 1) = [Z_G(r_1 + r_2 + r_3, \dots, r_1^n + r_2^n + r_3^n)]_{r_3}.$$

So, to complete the proof, it suffices to show

$$[Z_G(r_1 + r_2 + r_3, \dots, r_1^n + r_2^n + r_3^n)]_{r_3} = \sum_{\pi \in G} |\text{Fix}(g)| W_\pi(r_1 + r_2, r_1^2 + r_2^2, \dots, r_1^n + r_2^n).$$

To show this, it further suffices to show that for all $\pi \in G$,

$$Z_\pi(r_1 + r_2 + r_3, \dots, r_1^n + r_2^n + r_3^n)_{r_3} = |\text{Fix}(\pi)| W_\pi(r_1 + r_2, r_1^2 + r_2^2, \dots, r_1^n + r_2^n).$$

Indeed, this is easy to see, because for r_3 to have a nonzero coefficient in the expansion of Z_π , first, π must have some 1-cycle, as otherwise, no variable could appear in the expansion of Z_π raised only to the first power. Second, if π has some 1-cycle, then the coefficient of r_3 in

$$Z_\pi(r_1 + r_2 + r_3, r_1^2 + r_2^2 + r_3^2, \dots, r_1^n + r_2^n + r_3^n) = \prod_{i=1}^n (r_1^i + r_2^i + r_3^i)^{c_i}$$

is precisely

$$c_1 \cdot W_\pi(r_1 + r_2, r_1^2 + r_2^2, \dots, r_1^n + r_2^n) = |\text{Fix}(\pi)| W_\pi(r_1 + r_2, r_1^2 + r_2^2, \dots, r_1^n + r_2^n)$$

because c_1 is the number of 1-cycles in π , which is by definition the number of fixed points of π . This is exactly what we wanted to show. \square

9.2. Bounded Partition Sizes. This section is an application of Polya theory, and is moderately tangential to the rest of the paper. However, it relates to the functor $\mathcal{F}^{\vec{r}}$, in that it counts the $|(\mathcal{F}^{\vec{r}}(B_n)/G)_0| = |(\mathcal{F}^{\vec{s}}(B_n)/G)_1|$, as described in Remark 9.2.3.

Notation 9.2.1. Let $P_{[t]}[l, m]$ denote the set of partitions of the set $[t]$ into at most l sets, such that each set in the partition has size at most m . Let $P[l, m] = \cup_{t \in \mathbb{N}} P_{[t]}[l, m]$ be the set of all partitions into at most l sets such that each set in the partition has size at most m . Denote $p_{[t]}[l, m] = |P_{[t]}[l, m]|$, and $p[l, m] = |P[l, m]|$.

Proposition 9.2.2. *There is an equality $p_{[t]}[l, m] = \frac{t!}{l!(m!)^l} \sum_{\pi \in G} \binom{\text{Fix}(\pi)}{t}_l$.*

Proof. Using Polya's Theorem 8.1.6, we know

$$F_G(r_1, \dots, r_k) = \frac{1}{|G|} Z_G\left(\sum_{i=1}^k r_i, \sum_{i=1}^k r_i^2, \dots, \sum_{i=1}^k r_i^n\right).$$

In particular,

$$[F_G(r_1, \dots, r_k)]_{r_1 r_2 \dots r_t r_{t+1}^{m \cdot l - t}} = \left[\frac{1}{|G|} Z_G\left(\sum_{i=1}^k r_i, \sum_{i=1}^k r_i^2, \dots, \sum_{i=1}^k r_i^n\right) \right]_{r_1 r_2 \dots r_t r_{t+1}^{m \cdot l - t}}.$$

Of course, by definition of F_G , we know

$$[F_G(r_1, \dots, r_k)]_{r_1 r_2 \dots r_t r_{t+1}^{m \cdot l - t}} = \kappa(1, 1, \dots, 1, m \cdot l - t, 0, \dots, 0)$$

where there are t 1's in the above expression. By definition κ is just the number of inequivalent ways to distinctly color t numbers in an $l \times m$ rectangle, up to the action of $G = S_m \wr S_l$. For any such coloring, let square a_i be colored with color r_i . Each G orbit of colorings has a unique representative where along each row the colors are sorted in increasing order, and additionally, going down the first column, the colors are sorted in increasing order. Here, we are basically ignoring color $t+1$, just viewing it as a placeholder to color the remaining squares of the grid. Then, the colorings defined above are in bijection with partitions of $[t]$ so that this partition has at most l sets, and each set in this partition has at most m elements. The set of such partitions is exactly $P_{[t]}[l, m]$. Therefore, the number of such partitions is $p_{[t]}[l, m]$. Then, it follows that

$$p_{[t]}[l, m] = \kappa(1, 1, \dots, 1, m \cdot l - t, 0, \dots, 0) = \frac{1}{|G|} Z_G\left(\sum_{i=1}^k r_i, \sum_{i=1}^k r_i^2, \dots, \sum_{i=1}^k r_i^n\right)_{r_1 r_2 \dots r_t r_{t+1}^{m \cdot l - t}}.$$

Of course, $\frac{1}{|G|} = \frac{1}{l!(m)^t}$ when $G = S_m \wr S_l$. So, to complete the proof, we just need to show that

$$[Z_G\left(\sum_{i=1}^k r_i, \sum_{i=1}^k r_i^2, \dots, \sum_{i=1}^k r_i^n\right)]_{r_1 r_2 \dots r_t r_{t+1}^{m \cdot l - t}} = t! \sum_{\pi \in G} \binom{\text{Fix}(\pi)}{t}.$$

This follows from the definition of Z_G . That is, it is the sum over all the cycle indicators $\sum_{\pi \in G} Z_\pi(\sum_{i=1}^k r_i, \sum_{i=1}^k r_i^2, \dots, \sum_{i=1}^k r_i^n)$. So, to show the above holds, it suffices to show that

$$[Z_\pi\left(\sum_{i=1}^k r_i, \sum_{i=1}^k r_i^2, \dots, \sum_{i=1}^k r_i^n\right)]_{r_1 r_2 \dots r_t r_{t+1}^{m \cdot l - t}} = t! \binom{\text{Fix}(\pi)}{t}$$

This is now apparent, because $Z_\pi = \prod_{i < m \cdot l} (\sum_j r_j^i)^{c_i}$, where c_i is the number of i cycles in π . The only way we can obtain a monomial of the form $r_1 r_2 \dots r_t r_{t+1}^{m \cdot l - t}$ is if $c_1 > t$. Then, the coefficient of such a term will exactly be the number of ways to choose an ordered set of t elements from c_1 terms. That is, it is precisely $t! \binom{c_1}{t}$. However, c_1 is the number of 1-cycles, that is $c_1 = \text{Fix}(\pi)$. Hence, $[Z_\pi(\sum_{i=1}^k r_i, \sum_{i=1}^k r_i^2, \dots, \sum_{i=1}^k r_i^n)]_{r_1 r_2 \dots r_t r_{t+1}^{m \cdot l - t}} = t! \binom{\text{Fix}(\pi)}{t}$ as desired. \square

Remark 9.2.3. Thanks to Proposition 8.2.3, an equivalent way to state the above result is that $\sum_{\pi \in G} \binom{\text{Fix}(\pi)}{t} = |(\mathcal{F}^{\vec{r}}(B_n)/G)_0|$, if \vec{r} is the vector consisting of t ones. Also, letting \vec{s} be the vector with $t-1$ ones, we may note $|(\mathcal{F}^{\vec{r}}(B_n)/G)_0| = |(\mathcal{F}^{\vec{s}}(B_n)/G)_1|$, since one may think of elements of $\mathcal{F}^{\vec{s}}(B_n)/G$ as tuples of $t-1$ elements, each contained in the next, whose lowest element is of rank 1, and one may think of elements of $(\mathcal{F}^{\vec{r}}(B_n)/G)_0$ as tuples of t elements, each contained in the next, whose lowest element is of rank 0. There is an obvious bijection between these two sets, given by adding or removing the element \emptyset or rank 0 in B_n . Therefore, we also obtain $\sum_{\pi \in G} \binom{\text{Fix}(\pi)}{t} = |(\mathcal{F}^{\vec{s}}(B_n)/G)_1|$.

We can also get a formula for the size of the whole set $p[l, m]$:

Proposition 9.2.4. Define the function $f : \mathbb{N} \cup 0 \rightarrow \mathbb{N}$, by

$$f(x) = \begin{cases} \lfloor e \cdot n! \rfloor & \text{if } n > 0 \\ 1 & \text{if } n = 0 \end{cases}.$$

Then,

$$p[l, m] = \frac{1}{l!(m!)^l} \sum_{\pi \in G} f(|\text{Fix}(\pi)|).$$

Proof. First, note that $\sum_{i=1}^k i! \binom{k}{i} = f(k)$. This can be seen fairly easily, because $\sum_{i=1}^k i! \binom{k}{i} = k! \sum_{i=0}^k \frac{1}{i!}$, while $k! \cdot e = k! \sum_{i=0}^{\infty} \frac{1}{i!}$, and for $k > 1$, it is easy to bound the difference $\sum_{i=k+1}^{\infty} \frac{1}{i!} < \frac{1}{k!}$, which implies $\sum_{i=1}^k i! \binom{k}{i} = \lfloor k! \cdot e \rfloor = f(k)$ for $k > 1$.

By definition, $p[l, m] = \sum_{t=0}^{l \cdot m} p_{[t]}(l, m)$. Therefore, by Proposition 9.2.2,

$$\begin{aligned} p[l, m] &= \sum_{t=0}^{l \cdot m} p_{[t]}(l, m) \\ &= \sum_{t=0}^{l \cdot m} \frac{t!}{l!(m!)^l} \sum_{\pi \in G} \binom{\text{Fix}(\pi)}{t} \\ &= \frac{1}{l!(m!)^l} \sum_{t=0}^{l \cdot m} \sum_{\pi \in G} t! \binom{\text{Fix}(\pi)}{t} \\ &= \frac{1}{l!(m!)^l} \sum_{\pi \in G} \sum_{t=0}^{l \cdot m} t! \binom{\text{Fix}(\pi)}{t} \\ &= \frac{1}{l!(m!)^l} \sum_{\pi \in G} \sum_{t=0}^{l \cdot m} f(|\text{Fix}(\pi)|). \end{aligned}$$

□

Perhaps also put in the recursive relations which the $P[l, m]$ satisfy

10. GROUPS OF ORDER n .

In this section, we shall show that for any group G such that $|G| = n$, with an order-preserving, rank preserving, transitive action on B_n , then $|\mathcal{F}^1(B_n)/G|_i = \binom{n-1}{i-1}$. Any such action can be described by identifying $[n] \cong G$, and then having G act on itself by the left multiplication. We can then use a simple result in group theory to calculate the ranks of $\mathcal{F}^1(B_n)/G$ for all transitive actions $\phi : G \times P \rightarrow P$, where G is abelian.

For the rest of this section, fix a group G with $|G| = n$, and a transitive action of G on $[n]$, which induces an action of G on B_n .

Lemma 10.0.5. For G a group acting transitively on $[n]$, it follows that $\text{Stab}(x) = \{e\}$.

Proof. Since G acts transitively on n we have the number of orbits $|[n]/G| = 1$. Therefore, using Burnside's Lemma, together with the observation that $e \in \text{Stab}(x)$,

$$|G| = \sum_{x \in X} |\text{Stab}(x)| \geq \sum_{x \in X} 1 = |X| = |G|.$$

Then, the above string of inequalities tells us that we must have $|\text{Stab}(x)| = 1$ for all x , or in other words, $\text{Stab}(x) = \{e\}$. \square

Proposition 10.0.6. *For any element $x \otimes y \in \mathcal{F}^1(B_n)$, then $\text{Stab}(x \otimes y) = e$.*

Proof. From Lemma 10.0.5 for any $x \in B_n$ with $|x| = 1$, we have $\text{Stab}(x) = \{e\}$. Then, by definition $\text{Stab}(x \otimes y) = \text{Stab}(x) \cap \text{Stab}(y) = \text{Stab}(x) \cap \text{Stab}(y \setminus x)$. However, $\text{Stab}(y \setminus x)$ is by assumption a 1 element set, because $|y| = |x| + 1, x \subset y$. This implies that $\text{Stab}(x \otimes y) = \text{Stab}(x) \cap \text{Stab}(y \setminus x) = \text{Stab}(x) \cap \{e\} = \{e\}$, for all $x \otimes y \in \mathcal{F}^1(B_n)$, as claimed. \square

Lemma 10.0.7. *The number $|(\mathcal{F}^1(B_n)/G)_i| = \binom{n-1}{i}$.*

Proof. Since there are $\binom{n}{i}$ elements $x \in (B_n)_i$, each with $n-i$ elements $y \in (B_n)_{i+1}$ with $x \subset y$, there are a total of $\binom{n}{i} \cdot (n-i)$ elements $x \otimes y \in \mathcal{F}^1(B_n)_i$. By Proposition 10.0.6, we have $|\text{Stab}(x \otimes y)| = 1$. Therefore, by the Orbit Stabilizer Theorem, it follows $|G(x \otimes y)| = n$. So, all orbits have the same size. From this,

$$|\mathcal{F}^1(B_n)_i/G| = |\mathcal{F}^1(B_n)_i|/|G| = \frac{\binom{n}{i} \cdot (n-i)}{n} = \binom{n-1}{i}.$$

\square

Lemma 10.0.8. *For G a group let $H \subset G$ be the subgroup such that $hx = x$ for all $x \in X, h \in H$. Then, H is a normal subgroup of G and if $|G/H| = n$, then $\mathcal{F}^1(B_n)/G = \mathcal{F}^1(B_n)/(G/H)$.*

Proof. First, we check H is normal. For any $g \in G, x \in x$ it follows $ghg^{-1}x = gh(g^{-1}x) = g(g^{-1}x) = (gg^{-1})x = x$, and so $ghg^{-1} \in H$. Hence, G/H is a group.

Then, observe $Gx = (G/H)x$ because H acts trivially. Therefore, $\mathcal{F}^1(B_n)/G \cong \mathcal{F}^1(B_n)/(G/H)$, since $G, G/H$ have exactly the same orbits. \square

Lemma 10.0.9. *All faithful transitive abelian groups A acting on the set $[n]$ have $|A| = n$.*

Proof. This is a well known, easy to prove, result. See for instance [?] \square

Corollary 10.0.10. *Any abelian group A which acts transitively on $[n]$ has $|\mathcal{F}(B_n)/A|_i = \binom{n-1}{i}$.*

Proof. By Lemma 10.0.8, we can assume A acts faithfully as well. By Lemma 10.0.9, $|A| = n$. Then, by Lemma 10.0.7, $|\mathcal{F}(B_n)/A|_i = \binom{n-1}{i}$. \square

11. QUOTIENT BY THE CYCLIC GROUP

In this section, we show that for $G = C_n$ the cyclic group of order n , the poset $\mathcal{F}^1(B_n/G)$ is rank symmetric and rank unimodal. The rank symmetric part is obvious. From Corollary 10.0.10, we know that for $G = C_n$, the size of the i^{th} rank of the poset $\mathcal{F}^1(B_n)/C_n$ is

$$|\mathcal{F}^1(B_n)/C_n|_i = \binom{n-1}{i}.$$

We will bound $|\mathcal{F}^1(B_n/C_n)|_i$ by $|\mathcal{F}^1(B_n)/C_n|_i$ to obtain the unimodality.

Notation 11.0.11. Throughout the section, we fix an arbitrary n to start with, and set

$$q_i = |\mathcal{F}^1(B_n)/C_n|_i, \quad p_i = |\mathcal{F}^1(B_n/C_n)|_i.$$

The quotient B_n/C_n is the well-studied necklace poset. The elements in the poset are represented by n filled or empty beads ordered cyclically. Label the positions of the beads in the necklace by $1, 2, \dots, n$. More specifically, since each element $x \in B_n$ is represented by a sequence of empty or filled beads, an element $G(x) \in B_n/C_n$ is represented by such a sequence up to rotational equivalence. Similarly, an element $Gx \otimes Gy \in [\mathcal{F}^1(B_n)/C_n]_i$ where $x \triangleleft y$ can be regarded as a necklace where $i+1$ beads out of n are filled (which represent y), and 1 of the filled bead is distinct from all others (so the other i beads represent x).

Notation 11.0.12. We fix $\sigma_0 = (1\ 2\ \dots\ n)$, and call σ_0 the 1-click rotation, which generates the group C_n .

Lemma 11.0.13. *Let $G = C_n$ where n is a prime, then $q_i - p_i = (n-1)/2$ for $1 \leq i \leq n-2$.*

Proof. Let $G = C_n$ where n is a prime. We consider the action of G on B_n . Note that n being a prime guarantees that the action of any nontrivial $\sigma \in G$ has no fixed points, since σ is always an n -cycle in its cycle decomposition. Now suppose $x \otimes y \in A_i$ is an element such that $\sigma x = y$ for some $\sigma \neq e$. Then, there is no $g \in C_n$ such that $gx = \sigma x$ and $gy = y$, since $gx = \sigma x \Rightarrow g = \sigma$ and $gy = y \Rightarrow g = e$. Let $Gx \otimes Gy$ be an element in $[\mathcal{F}^1(B_n/G)]_i$, i.e., an edge class in the necklace poset, with representative $x \otimes y$. We shall abuse notation by writing $Gx \otimes Gy$ in place of $Gx \otimes Gy \cap \mathcal{F}^1(B_n)$ as sets, and hence, viewing $Gx \otimes Gy = Gx \otimes Gy \cap \mathcal{F}^1(B_n) \subset \mathcal{F}^1(B_n)$. We shall view $G(x \otimes y)$ as a subset of $\mathcal{F}^1(B_n)$, namely $G(x \otimes y) = \{gx \otimes gy : g \in G\}$. Clearly $G(x \otimes y) \subset Gx \otimes Gy$ as subsets of $\mathcal{F}^1(B_n)$. It is also clear that the number of distinct $\sigma \in G$ such that $\sigma x < y$ is precisely the number of G -orbits in $Gx \otimes Gy$. In particular, if the only σ such that $\sigma x < y$ is identity, then $Gx \otimes Gy = G(x \otimes y)$ as subsets of $\mathcal{F}^1(B_n)$.

Note that q_i is the number of the disjoint subsets $G(x \otimes y)$ in $\mathcal{F}^1(B_n)$, so the problem of counting $q_i - p_i$ is the same as that of counting the number of distinct G -orbits $G(x \otimes y)$ with non-identity $\sigma \in G$ such that $\sigma x < y$, and we claim that for $i \neq 1, n-1$, this number is $(n-1)/2$.

First consider $\sigma = \sigma_0$ and $x \otimes y$ such that $x \triangleleft y$ and $\sigma x \triangleleft y$, i.e., we take out the distinct filled bead from y in the necklace, and rotate y by a 1-click rotation, then the remaining i filled beads remain in σy . It is clear that the only possibility for this to occur is if we have $i+1$ consecutive filled beads, i.e., $y = \{1, 2, \dots, i+1\}$ and $x = \{1, 2, \dots, i\}$ (up to rotational equivalence by actions of G).

In general, for any $\sigma = \sigma_0^j$, $j < n/2$, there is a precisely one orbit $G(x \otimes y)$ such that $x \triangleleft y$ and $\sigma x < y$. Notice that the necklace $\{1, j+1, 2j+1, \dots\}$ and $\{1, (n-j)+1, 2(n-j)+1, \dots\}$ are the same necklaces, so it suffices to count the number of $x \otimes y$ with $x \triangleleft y, \sigma_0^j x \triangleleft y$, with $j < n/2$. It is also easy to show that, for all distinct $j < n/2$, the necklaces represented by $\{1, j+1, 2j+1, \dots, i \cdot j+1\}$ are all distinct, since n is a prime number. \square

Corollary 11.0.14. *Let $G = C_n$ where n is a prime, and p_i as defined above. Then the sequence p_i is unimodal.*

$|\mathcal{F}^1(B_n/C_n)|_i$ should probably be notated as $|\mathcal{F}^1(B_n/C_n)_i|_i$, since it is the size of the i th rank of the poset. You should check to make sure you did not do this anywhere else (it is also done in the q -analog case, currently in 13.0.28, for instance)

It might be clearer to say: fix $P(n) = \mathcal{F}^1(B_n)/C_n$, $Q(n) = \mathcal{F}^1(B_n/C_n)$, and notate $p(i, n) = |P(n)|_i$, $q(i, n) = |Q(n)|_i$. When the n is clear, we shall write p_i, q_i for $p(i, n), q(i, n)$.

I think you should write $(P)_i$ instead of $[P]_i$ for the i th rank of P above I used the notation $[f(x, y)]_x^a$ for the coefficient of x^a in $f(x, y)$, and we have also been using parentheses above for this. Again, this is something that appears several times in this and the next section, and if you agree, you should make sure to change it everywhere.

Perhaps the word “1-click” isn’t really necessary, (although I agree it’s important to fix σ_0 since you only use “1-click” rotation one or two times, and it might be clearer to say something about $\sigma_0^d y$ rather than something about the d -click rotation of y . Additionally, you don’t define d -click rotation anywhere, but again, I don’t think you should use this word “click” at all, even though I know you like thinking in these terms.

Elise: very minor note, but if you are setting $G = C_n$, try to be consistent and only use G throughout the proof. It’s clearer

what is A_i . I think you may mean $\mathcal{F}^1(B_n)_i$?

again, change brackets to parentheses, although I’ll stop pointing this out

You also need to say that there are at most 2 G -orbits in the same $G \times G$ -orbit (which is nonobvious, and very special to the cyclic group.) I think you really need to put this paragraph after the next paragraph.

I think i.e. actually makes things more confusing, rather than clearer, and I would re-

Proof. By the Proposition and the symmetry of p_i , we only need to show $p_0 \leq p_1$, but $p_0 = 1$, so the claim holds. \square

A similar method can be used to bound $q_i - p_i$ for n not necessarily prime. Our next goal in the section is to show that:

Lemma 11.0.15. *Let $G = C_n$, and p_i, q_i be defined as above. Let $\lambda_i = q_i - p_i$, then for sufficiently large n , the difference $\lambda_{i+1} - \lambda_i$ is bounded above by $q_{i+1} - q_i$.*

Note that this suffices to show that the p_i are unimodal, since the Lemma implies that

$$p_{i+1} - p_i = (q_{i+1} - \lambda_{i+1}) - (q_i - \lambda_i) = (q_{i+1} - q_i) - (\lambda_{i+1} - \lambda_i) \geq 0.$$

Notation 11.0.16. Let B_m/C_m be the necklace quotient poset, and let $q(i, m) = |\mathcal{F}^1(B_m)/C_m|_i$ and similarly let $p(i, m) = |\mathcal{F}^1(B_m/C_m)|_i$.

Definition 11.0.17. Let $\sigma \in G$. we call each subset $s \subset x \in B_n$ that is fixed by σ a *full cycle* (under the action of σ), and $x \setminus (\cup_{\text{full cycles } s} s)$ is called a *tail cycle* (under the action of σ).

Lemma 11.0.18. *Let $G = C_n$, n not necessarily prime. Assume that $\sigma_0^r x \leq y$ for some $x \otimes y$, where $x \leq y$ and $r < n/2$. Also assume that there is no $g \in G$ such that $gx = \sigma_0^r(x)$ and $gy = y$. Then r is the only integer $1 \leq r < n/2$ such that $\sigma_0^r(x) \leq y$.*

Proof. By assumption, x has a tail cycle under the action of σ_0^r , for otherwise x is fixed by σ_0^r , because then $g = id$ satisfies $gx = \sigma_0^r x, gy = y$. Additionally, y must have some tail cycle under the action of σ_0^r , for otherwise y is fixed under σ_0^r and we can take $g = \sigma_0^r$. Call γ the tail cycle of y , so γ consists of some filled beads in the necklace, one of which is the element $z = y \setminus x$. Let σ be any rotation such that $\sigma x \leq y$. then σ has to take a full cycle of x (under σ_0^r) to another full cycle (under σ_0^r), so $\sigma(\gamma \setminus z) \leq \gamma$. Recall that $\sigma_0^r(\gamma \setminus z) \leq \gamma$ by assumption, so the elements in γ are r -positions away from each other, namely $\gamma = \{z, z - r, z - 2r, \dots, z - lr\}$, but $z + r \notin \gamma$, since γ a tail cycle. Since $\sigma(\gamma \setminus z) \leq \gamma$, there is some m for which $\sigma(z - mr) = z$. But then, $z - (m - 1)r \in x$, and $\sigma(z - (m - 1)r) = z + r \notin y$, a contradiction. \square

Lemma 11.0.19. *Let $G = C_n$, q_i and p_i be defined as usual, then*

$$q_i - p_i = \#\{G(x \otimes y) : \exists \sigma_0^r x \leq y \text{ where } r < n/2, \text{ but } \nexists g \text{ s.t. } gx = \sigma_0^r x, gy = y\}.$$

Proof. The difference of $q_i - p_i$ is counting the difference of the number of G -orbits $G(x \otimes y)$ and the number of $Gx \otimes Gy \in \mathcal{F}^1(B_n/C_n)$. It is clear that the G -orbits correspond to the (rotational equivalence classes of) fillings of the necklaces, where we fill i elements in the n -element cycle with 1 of them being marked as the distinct element. Lemma ?? tells us that each element $Gx \otimes Gy \in \mathcal{F}^1(B_n/C_n)$, when regarded as a subset of $\mathcal{F}^1(B_n)$, contains at most two G -orbits (also regarded as subsets of $\mathcal{F}^1(B_n)$), and the number is precisely the number of a pair of G -orbits $G((x \otimes y))$ and $G(\sigma x \otimes y)$ (where $x \leq y$ and $\sigma x \leq y$) such that they are distinct G -orbits. We restrict $r < n/2$ to count the number of such pairs, since the $(n - r)$ -click rotation will simply send such $(\sigma_0^r x \otimes y)$ to $(x \otimes y)$. This proves the Lemma, and provides a way to count $\lambda_i = q_i - p_i$, though practically an upper bound suffices to show unimodality. \square

It seems like there is never a proof of this lemma, although presumably you mean to put it right above 11.0.22. I think it's rather confusing to state this lemma in its precise form here, and why it implies unimodality, since it seems to be the same as 11.0.22. I would recommend removing this lemma here, and you should just say the goal in this section is to prove 11.0.22.

Perhaps define this together with p_i above, since p_i really depend on n as well? See the comment on your definition of p_i, q_i .

I think you should put this lemma above 11.0.13 because then you don't have to say there are at most two special orbits in the same orbit in that proof.

I don't think you need to say let q_i, p_i be defined as usual

Definition 11.0.20. Fix $r < n/2$ to be an integer and let $G(x \otimes y)$ be an G -orbit of edges. We call $G(x \otimes y)$ a *special orbit* if $\sigma_0^r x < y$, but there does not exist $g \in C_n$ such that $gx = \sigma_0^r x$, and $gy = y$.

special is really inde-
scriptive. Maybe you
could say a double orbit,
or something like that?

Lemma 11.0.21. Let $G = C_n$ and let $\lambda_i, q(i, m)$ be as defined above, then

$$\lambda_{i+1} - \lambda_i \leq \sum_{\substack{k|(n,i+1) \\ 3 \leq k}} q\left(\frac{i+1}{k}, \frac{n}{k}\right)$$

Proof. Recall from Lemma 11.0.19 that

$q_i - p_i = \#\{G(x \otimes y) : \exists \sigma_0^r x < y \text{ where } r < n/2, \text{ but } \nexists g \text{ s.t. } gx = \sigma_0^r x, gy = y\}$,
hence to count $q_i - p_i = \lambda_i$, we only need to count, for each r -click rotations where $r \leq n/2$, the number of distinct special G -orbits (with respect to r).

Elise: you should switch
the last two clauses of
this sentence.

Note that, for each $r < n/2$ such that $(r, n) = 1$, there is precisely one such G -orbit, since in this case σ_0^r is a full n -cycle [See proof of Lemma 11.0.13]. This holds regardless of i .

Now consider $r < n/2$ such that $(r, n) > 1$. In this case, σ_0^r fixes elements of the form $\{s, s+r, s+2r, \dots\}$ for any starting point s , which are proper subsets of $[n]$. In this case, σ_0^r partitions a G -orbit Gy into many sub-orbits, with all but at most 1 of the orbits being one of those fixed elements of σ_0^r , i.e., a smaller cycle $s \rightarrow (s+r) \rightarrow (s+2r) \rightarrow \dots \rightarrow s$ (where the arrows represents the G -action on elements of B_n), since $\sigma_0^r x < y$, thus only one of the sub-orbits will not be fixed by σ_0^r . Now, the y which are partitioned into all fixed elements (smaller cycles) do not contribute in counting λ_i , since σ_0^r fix such y . Next consider the case where one of the sub-orbits of y partitioned by σ_0^r is not fixed by the action, then this would contribute to add 1 when we count λ_i .

Now let us focus on the difference of $\lambda_{i+1} - \lambda_i$. Note that the difference only occurs when we count $r \leq n/2$ and $(r, n) > 1$. Let $G(x \otimes y)$ be a G -orbit where $x < y$ such that $|y| = i+1$, and let $\sigma_0^r(x) < y$ and suppose that there is no g such that $gx = \sigma_0^r(x)$ and $gy = y$. From the discussion above, we know that there is a sub-orbit of y which is a full cycle $w := s \rightarrow (s+r) \rightarrow (s+2r) \rightarrow \dots \rightarrow (s+lr)$ for some l , and $x = y \setminus \{l\}$. Suppose that adding $s-r$ (mod n whenever necessary) does not complete the cycle for $w \cup \{(s-r)\}$, then if we let $y' = y \cup \{(s-r)\}$ and $x' = x \cup \{(s-r)\}$, then the orbit $G(x' \otimes y')$ where $|y'| = i+2$ is also a special orbit, thus does contribute 1 when we count λ_{i+1} . In this case, our counting process for λ_{i+1} and λ_i both increase by 1, so such special orbit does not contribute to $\lambda_{i+1} - \lambda_i$. Now suppose that adding $s-r$ completes the sequence z into a small cycle, namely $s \rightarrow (s+r) \rightarrow \dots \rightarrow (s-r) \rightarrow s$. Now y' will not be a special orbit anymore, since σ_0^r fixes y' . In this situation, we increase 1 for λ_i only. If we choose to ignore this scenario, we will get an upper bound for $\lambda_{i+1} - \lambda_i$.

Elise: does this mean
 $\lambda_{i+1} - \lambda_i \neq 0$?

Now return to the situation where y is partitioned in disjoint cycles, so $G(x \otimes y)$ is not a special orbit, but adding 1 arbitrary point to enlarge y into y' will yield a special orbit $G(x' \otimes y')$ where $x' = y$ and $y' = y \cup \{s'\}$ for any $s' \notin y$. We need to count how many times this happens. First we note that this happens when $d|n$, and $(n/d)|i+1$ where $d = (r, n)$. Note that, for different r such that (r, n) remains the same, we only result in 1 family of such special orbits, since the x part will be d -click rotationally symmetric. We claim that, this is at most counting, over all $d|n$, where $1 < d < n$ and $(n/d)|i+1$, the sum $\sum q\left(\frac{(i+1)d}{n}, d\right)$. This is because

at most we have $q(\frac{(i+1)d}{n}, d)$ number of ways to insert the tail cycle (with precisely 1 distinct bead) in the block of d elements.

We can introduce $k = n/d$ and rewrite the sum as

$$\sum_{\substack{k|(i+1,n), \\ 1 < k < n}} q\left(\frac{i+1}{k}, \frac{n}{k}\right).$$

Note that in our sum, k can never be 2, since otherwise the tail cycle of x has precisely 1 element, and then y is fixed (consisting of full cycles). So this proves the assertion that

$$\lambda_{i+1} - \lambda_i \leq \sum_{\substack{k|(n,i+1) \\ 3 \leq k}} q\left(\frac{i+1}{k}, \frac{n}{k}\right).$$

Note that, from the process of calculating $\lambda_{i+1} - \lambda_i$, we can potentially obtain a better bound if we need to. \square

Elise: of what? Be precise.

Maybe call this a proposition or theorem instead of corollary? It doesn't really follow immediately from other things, and it's the main result we're showing

Corollary 11.0.22. *The poset $\mathcal{F}^1(B_n/C_n)$ is rank symmetric and rank unimodal.*

Proof. We need to show that for $G = C_n$, the p_i are unimodal, for which we only need to bound $\sum_{\substack{k|(n,i+1) \\ 3 \leq k < n/2}} q(\frac{i+1}{k}, \frac{n}{k})$ as above. It is clear that for sufficiently large n , say for $n \geq 9$,

$$q((i+1)/k, n/k) = \binom{n/k - 1}{(i+1)/k - 1} \leq \binom{\lceil n/3 - 1 \rceil}{\lceil (i+1)/3 - 1 \rceil},$$

where at most there are i of those terms, and $i+1 \geq n/2$, so we coarsely bound the sum by

$$\frac{n}{2} \cdot \binom{\lceil n/3 - 1 \rceil}{\lceil (i+1)/3 - 1 \rceil}.$$

We want to show that this is smaller than the difference of

$$q_i - q_{i-1} = \frac{(n-1)!}{(i)!(n-i)!} - \frac{(n-1)!}{(i-1)!(n-i+1)!} = \binom{n}{i} \frac{n-2i}{n} \geq \binom{n}{i} \frac{2}{n}.$$

Namely, we want to show that, for sufficiently large n ,

$$\left(\frac{n}{2}\right)^2 \cdot \binom{\lceil n/3 - 1 \rceil}{\lceil (i+1)/3 - 1 \rceil} \leq \binom{n}{i}.$$

This bound works for $n \geq 12$. For smaller n the claim can be easily checked.

Now, by Lemma 11.0.21, we know that

$$p_{i+1} - p_i = (q_{i+1} - q_i) - (\lambda_{i+1} - \lambda_i) \geq 0,$$

which proves that the p_i are increasing for $i < n/2$. By symmetric we know that the p_i are unimodal. \square

12. QUOTIENT BY THE DIHEDRAL GROUP

In this section, we show a similar result for the Dihedral groups that act naturally on B_n . Namely, for $G = D_{2n}$ the dihedral group of order $2n$, the poset $\mathcal{F}^1(B_n/G)$ is rank symmetric and rank unimodal.

Notation 12.0.23. In this section, we fix an arbitrary n again, and set

$$q_i = |\mathcal{F}^1(B_n)/D_{2n}|_i, \quad p_i = |\mathcal{F}^1(B_n/D_{2n})|_i.$$

Lemma 12.0.24. *Let $G = D_{2n}$ or $G = D_{4n}$ where n is prime, and p_i, q_i as defined above. Then $p_i = q_i$. In other words, the action of D_{2n} or D_{4n} on B_n is cover transitive.*

Proof. The proof of the Lemma is similar to the proof of Lemma 11.0.13. For example, the group $G = D_{2n}$ contains C_n as a subgroup and is generated by C_n with arbitrary reflections. The additional reflections guarantee that there are no special orbits $G(x \otimes y)$ for any $r < n/2$, which implies $p_i = q_i$. Similarly, D_{4n} is generated by the rotational subgroup C_{2n} and reflections, and it is not hard to see that for any pair of elements $x \otimes z, y \otimes z \in \mathcal{F}^1(B_n)$ such that x and y differ by a rotation, which does not fix z , then there always exists a reflection τ such that $\tau x = y$ and τ fixes z . Following the proof of 11.0.13, we know that the action of D_{4n} on B_n is cover transitive. \square

Remark 12.0.25. Let G be as in Lemma 12.0.24. We remark that, in addition to unimodality, Corollary ?? implies that the poset $\mathcal{F}^1(B_n/G)$ is Peck.

Lemma 12.0.26. *We have an explicit formula for $q_i = |\mathcal{F}^1(B_n)/D_{2n}|_i$*

Elise: earlier you were using $|*|$ for rank size

$$q_i = \frac{1}{2} \left(\binom{n-1}{i} + \frac{1}{2} [(-1)^{n(i+2)} + 1] \cdot \binom{\lceil n/2 \rceil - 1}{\lceil (i+1)/2 \rceil - 1} \right)$$

Proof. Consider an element $x \otimes y \in \mathcal{F}^1(B_n)$ where $x \triangleleft y$ and its stabilizer $\text{Stab}(x \otimes y)$, note that any element τ that fixes both x and y has to fix the difference $y \setminus x$, which is a one-element set. Since $G = D_n$, we know that $|\text{Stab}(x \otimes y)| = 1$ or 2 . Let μ_1 be the number of G -orbits with the trivial stabilizer and μ_2 be the number of G -orbits with the stabilizer of size 2, which contains the identity and a reflection. By the Orbit-Stabilizer Theorem, each orbit with the trivial stabilizer is of size $|D_{2n}|/1 = 2n$, while all other orbit is of size $|D_{2n}|/2 = n$. Therefore

$$\mu_1 \cdot 2n + \mu_2 \cdot n = |\{(x \otimes y)\}| = \binom{n}{i+1} \cdot \binom{i+1}{1} = n \binom{n-1}{i}.$$

Our goal is to calculate $q_i = \mu_1 + \mu_2$, so it remains to calculate μ_2 , which counts the number of G -orbits such that the reflection also fixes x . Without loss of generality, we may assume that $y \setminus x = \{1\}$. We break into cases:

- (1) If n is odd and $i+1$ is even, then it is clear that no reflections fix x for any x , so $\mu_2 = 0$.
- (2) For all other cases, there are precisely $\lceil n/2 \rceil - 1$ places to insert $\lceil (i+1)/2 \rceil - 1$ elements of $[n]$ to form x .

This gives the desired formula $\mu_2 = \frac{1}{2} [(-1)^{n(i+2)} + 1] \cdot \binom{\lceil n/2 \rceil - 1}{\lceil (i+1)/2 \rceil - 1}$. Therefore,

$$q_i = \frac{1}{2} \left(\binom{n-1}{i} + \frac{1}{2} [(-1)^{n(i+2)} + 1] \cdot \binom{\lceil n/2 \rceil - 1}{\lceil (i+1)/2 \rceil - 1} \right)$$

□

Corollary 12.0.27. *The poset $\mathcal{F}^1(B_n/D_{2n})$ is rank symmetric and rank unimodal.*

Proof. The proof is similar to the proof of Lemma 11.0.21 and Corollary 11.0.22. In fact, we can bound the difference of $\lambda_{i+1} - \lambda_i$ by precisely the same bound used in Lemma 11.0.21, since the difference we get here (where $G = D_{2n}$) is smaller than the previous difference (where $G = C_n$). Then a similar proof shows that the difference of $q_{i+1} - q_i$ obtained in Lemma 12.0.24 is significantly larger than the upper bound. We omit the detailed proof here for the sake of presentation. □

13. A q -ANALOG

In this section we consider a q -analog of the Boolean algebra and show an analogous result as Lemma 10.0.7.

Let q be a prime and $B_n(q)$ be the poset of all \mathbb{F}_q -subspaces of $V_n(q) := (\mathbb{F}_q)^n$, graded naturally by dimensions.

Let $C_n(q)$ be the multiplicative subgroup of the finite field \mathbb{F}_{q^n} , so $C_n(q)$ acts \mathbb{F}_q -linearly on \mathbb{F}_{q^n} , which is isomorphic to $V_n(q)$ as an \mathbb{F}_q vector space. The action of $C_n(q)$ on $V_n(q)$ induces an order preserving and rank preserving action on the poset $B_n(q)$. The poset $B_n(q)/C_n(q)$ is the q -analog of the necklace poset.

The following Lemma is a standard result:

Lemma 13.0.28. *The number $|B_n(q)|_i$, that is to say, the number of i dimensional subspace in $V_n(q)$, is $\binom{n}{i}_q$.*

Lemma 13.0.29. *Let q be a prime, then*

$$|\mathcal{F}^1(B_n(q))/C_n(q)|_i = \binom{n-1}{i}_q.$$

Proof. To ease notation we call

$$q_i = |\mathcal{F}^1(B_n(q))/C_n(q)|_i$$

Elise: you should definitely consider using something other than q_i here. It might get confusing since q now has another meaning.

in this problem, as we did in section 11. Similar to case of cyclic group acting on B_n , the number q_i counts the number of $C_n(q)$ orbits of $\mathcal{F}^1((B_n(q))_i)$ under the (diagonal) action of $C_n(q)$.

Let $V_x \otimes V_y \in F^1(B_n(q))$ be a pair of \mathbb{F}_q subspace of $V_n(q)$ of dimension i and $i+1$ respectively. We claim that if $\tau \in C_n(q)$ such that $\tau V_x = V_x$ and $\tau V_y = V_y$, then $\tau \in \mathbb{F}_q^\times$. First, it is clear that any scalar $c \in \mathbb{F}_q^\times$ fixes any subspace of $V \subset V_n(q)$. Now assume $V_x \subset V_y$ and $\tau V_x = V_x, \tau V_y = V_y$ as in the claim. Then in particular τ permutes all the elements in V_x , i.e., $\tau : V_x \rightarrow V_x$ is an isomorphism of \mathbb{F}_q -vector spaces. Pick a nonzero element $a \in V_x$, it is clear that

$$\tau^{q^i}(a) = \tau^{q^i} a = a.$$

The latter equation implies that $\tau^{q^i} - 1 = 0$, so τ satisfies the polynomial $X^{q^i} - 1 \in \mathbb{F}_q[X]$. Similarly, since $\tau V_y = V_y$, τ is a root of the polynomial $X^{q^{i+1}} - 1 \in \mathbb{F}_q[X]$. Let $f(X)$ be a monic irreducible polynomial of τ over \mathbb{F}_q , then $\deg f(X) | i$ and $\deg f(X) | (i+1)$. This shows that $\deg f(X) = 1$, in another word, τ is a \mathbb{F}_q -scalar.

The claim says that for any $V_x \otimes V_y \in F^1(B_n(q))_i$, the stabilizer of the element is \mathbb{F}_q^\times , thus has size $q - 1$. By the Orbit-Stabilizer Theorem, we know that the size

of each orbit in $\mathcal{F}^1((B_n(q))_i)$ under the action of $C_n(q)$ is

$$|C_n(q)|/q - 1 = \frac{q^n - 1}{q - 1} = (n)_q.$$

Now we can calculate the number q_i , which is the total number of elements in $(B_n(q))_i$ divided by the size of each orbit:

$$q_i = \frac{\binom{n}{i+1}_q \binom{i+1}{1}_q}{(n)_q} = \binom{n-1}{i}_q.$$

□

In general, for $G \in Gl_n(\mathbb{F}_q)$ acting on $B_n(q)$, we want to ask the following questions:

- (1) Is $\mathcal{F}^1(B_n(q))$ unitary Peck?
- (2) Is $\mathcal{F}^1(B_n(q)/G)$ Peck? or more weakly, is it rank unimodal?

14. THE OBJECT $\mathcal{F}^1(B_n)$.

In this section, we explicitly compute the raising operators corresponding to both $\mathcal{H}^1(B_n)$, $\mathcal{F}^1(B_n)$ and explicitly show that $\mathcal{F}^1(B_n)$ is unitary Peck by showing certain raising maps are invertible.

Notation 14.0.30. For this section, we will use $M^{n-2i-1} = \mathcal{F}^1(U)^{n-2i-1}$, where $\mathcal{F}^1(U)^{n-2i-1} : \mathcal{F}^1(B_n)_i \rightarrow \mathcal{F}^1(B_n)_{n-i-1}$ is the Lefschetz map, as defined in ??.

Notation 14.0.31. For the remainder of this section, we shall take $|a| = n-i-1$, $|b| = n-i$, $|x| = i$, $|y| = i+1$. Let $k = n-2i-1$, that is, $k = |a| - |x|$. Additionally, whenever we write an expression of the form $x \otimes y$ or $a \otimes b$, it is assumed that $x \leq y$, $a \leq b$.

Theorem 14.0.32. *Defining L to be the Lefschetz map $\mathcal{H}^1(B_n) \rightarrow \mathcal{H}^1(B_n)$, we have $L^{n-2i-1} : \mathcal{H}^1(B_n)_i \rightarrow \mathcal{H}^1(B_n)_{n-i-1}$, and explicitly*

$$L^{n-2i-1}(x \otimes y) = k! \sum_{\substack{y \not\subset a, \\ x \subset a, \\ y \subset b}} a \otimes b.$$

Proof. Note that the conditions $y \not\subset a$, $x \subset a$, $y \subset b$ are equivalent to $a \otimes b >_{\mathcal{H}^1(B_n)} x \otimes y$. Clearly, if $a \otimes b \not>_{\mathcal{H}^1(B_n)} x \otimes y$, then the coefficient of $a \otimes b$ in $L^{n-2i-1}(x \otimes y)$ is 0. So, to complete the proof, it suffices to show that if $a \otimes b >_{\mathcal{H}^1(B_n)} x \otimes y$ then the coefficient of $a \otimes b$ in $L^{n-2i-1}(x \otimes y)$ is $k!$. However, this coefficient is precisely the number of sequences $x \otimes y = x_0 \otimes y_0 \leq_{\mathcal{H}^1(B_n)} x_1 \otimes y_1 \leq_{\mathcal{H}^1(B_n)} \cdots \leq_{\mathcal{H}^1(B_n)} x_k \otimes y_k = a \otimes b$. By definition of \mathcal{H}^1 , we must have that $y_k \setminus x_k = y \setminus x$ for all k . Therefore, the number of such sequences is equal to the number of sequences $x = x_0 \leq_{B_n} x_1 \leq_{B_n} \cdots \leq_{B_n} x_k = a$, since choosing the x_i determine y_i because $y_i = x_i \cup (y \setminus x)$. Finally, the number of such sequences $x = x_0 \leq_{B_n} x_1 \leq_{B_n} \cdots \leq_{B_n} x_k = a$, is equivalent to the number of ways to add the elements in $a \setminus x$ to x . This is because each sequence $x = x_0 \leq_{B_n} x_1 \leq_{B_n} \cdots \leq_{B_n} x_k = a$ is determined uniquely by the singletons $x_{i+1} \setminus x_i$, $1 \leq i \leq k$. Since in total we are adding k elements to x in order to obtain a , there are $k!$ ways to do this. Therefore, coefficient of $a \otimes b$ in $L^{n-2i-1}(x \otimes y)$ is $k!$ □

Theorem 14.0.33. *The map M satisfies*

$$M^{n-2i-1}(x \otimes y) = (2^k - 1)(k - 1)! \sum_{y \subset a} a \otimes b + k! \sum_{\substack{y \not\subset a, \\ x \subset a, \\ y \subset b}} a \otimes b$$

Proof. For a particular $(x \otimes y), (a \otimes b)$, if either $y \subset a$ or $y \not\subset a, x \subset a, y \subset b$, then $a \otimes b \not\prec_{\mathcal{H}^1(B_n)} x \otimes y$, and so the coefficient of $a \otimes b$ in $M^{n-2i-1}(x \otimes y)$ is 0.

Clearly, we cannot have both $y \subset a$ and $y \not\subset a, x \subset a, y \subset b$, hold at the same time. So, suppose $y \not\subset a, x \subset a, y \subset b$. This implies that $b \setminus a = y \setminus x$. Then, the coefficient of $a \otimes b$ in $M^{n-2i-1}(x \otimes y)$ is precisely the number of sequences $x \otimes y = x_0 \otimes y_0 \prec_{\mathcal{F}^1(B_n)} x_1 \otimes y_1 \prec_{\mathcal{F}^1(B_n)} \cdots \prec_{\mathcal{F}^1(B_n)} x_k \otimes y_k = a \otimes b$. However, since $y \setminus x = b \setminus a$, it must be that $y_k \setminus x_k = y \setminus x$ as well. Therefore, the number of such sequences is equal to $k!$, as was shown in the proof of Theorem 14.0.32.

To complete the proof, we need to show that if $y \subset a$ then the coefficient of $a \otimes b$ in $M^{n-2i-1}(x \otimes y)$ is $(2^k - 1)(k - 1)!$. Equivalently, we need to show that the number of sequences

$$(3) \quad x \otimes y = x_0 \otimes y_0 \prec_{\mathcal{F}^1(B_n)} x_1 \otimes y_1 \prec_{\mathcal{F}^1(B_n)} \cdots \prec_{\mathcal{F}^1(B_n)} x_k \otimes y_k = a \otimes b$$

is $(2^k - 1)(k - 1)!$.

For the moment, fix j and consider the set of all sequences of the form in Equation (3) such that $(a \setminus b) \cup y_{j-1} = y_j$. First, let us show the number of such with this j fixed is $(k - 1)!2^j$. To do this, start by considering the number of sequence y_0, \dots, y_k such that $y = y_0 \prec_{B_n} y_1 \prec_{B_n} \cdots \prec_{B_n} y_k = b$. Since we enforce $y_j = y_{j-1} \cup (a \setminus b)$, the number of such sequences is precisely the number of ways to order the elements $a \setminus y$. Since $|y| - |a| = k - 1$, there are $(k - 1)!$ such ways. Additionally, for $l < j$ we must have $x_{l+1} = x_l \cup (y_{l+1} \setminus y_l)$ or $x_{l+1} = x_l \cup (y_l \setminus x_l)$. Either of these is possible at every step. Additionally, for $l \geq j$, we must have $x_{l+1} = x_l \cup (y_{l+1} \setminus y_l)$. So for any fixed sequence of y_k with so that j is minimal with $(a \setminus b) \in y_j$, there are precisely 2^{j-1} possible sequences $x = x_0 \prec_{B_n} x_1 \prec_{B_n} \cdots \prec_{B_n} x_k = a$ so that $x \otimes y = x_0 \otimes y_0 \prec_{\mathcal{F}^1(B_n)} x_1 \otimes y_1 \prec_{\mathcal{F}^1(B_n)} \cdots \prec_{\mathcal{F}^1(B_n)} x_k \otimes y_k = a \otimes b$.

So, in total, there are $2^j(k - 1)!$ sequences of the form in (3) with $(a \setminus b) \cup y_{j-1} = y_j$. Now, there clearly must be some such $j, 1 \leq j \leq k$. Therefore, the coefficient we are looking for is $\sum_{j=1}^k 2^{j-1}(k - 1)! = (2^k - 1)(k - 1)!$, as claimed. \square

14.1. Proof that $\mathcal{F}(B_n)$ is unitary Peck. In this subsection, we will show the rows of M form a basis by showing we can make a change of basis to a map which is takes M^{n-2i-1} to L^{n-2i-1} . Since we know L^{n-2i-1} is an isomorphism, it will follow that M^{n-2i-1} is as well.

Notation 14.1.1. Let $\beta = \frac{2^k - 1}{k}$. Denote

$$v_{a \otimes b} = \beta \sum_{y \subset a} x \otimes y + \sum_{y \subset b, x \subset a, y \not\subset a} x \otimes y.$$

Note that $v_{a \otimes b}$ are simply the rows of M^{n-2i-1} , each divided by the constant $k!$.

Notation 14.1.2. For any set s of size at least $n - i$,

$$z_s = \frac{1}{\beta \binom{|s| - i - 1}{n - 2i - 2} (|s| - n + i + 1) + \binom{|s| - i - 1}{n - 2i - 1}} \sum_{b \subset s, a \subset b} v_{a \otimes b}$$

Lemma 14.1.3. *For any set s of size at least $n - i$, we have $z_s = \sum_{y \subset s} x \otimes y$. In particular, $\sum_{y \subset s} x \otimes y$ lies in the span of $v_{a \otimes b}$*

Proof. We have

$$\begin{aligned}
& \left(\beta \binom{|s| - i - 1}{n - 2i - 2} (|s| - n + i + 1) + \binom{|s| - i - 1}{n - 2i - 1} \right) z_s \\
&= \sum_{b \subset s, a \subset b} v_{a \otimes b} \\
&= \sum_{b \subset s, a \subset b} \left(\beta \sum_{y \subset a} x \otimes y + \sum_{y \subset b, x \subset a, y \not\subset a} x \otimes y \right) \\
&= \sum_{b \subset s, a \subset b} \beta \sum_{y \subset a} x \otimes y + \sum_{b \subset s, a \subset b} \sum_{y \subset b, x \subset a, y \not\subset a} x \otimes y. \\
&= \beta \binom{|s| - i - 1}{n - 2i - 2} (|s| - n + i + 1) \sum_{y \subset s} x \otimes y + \sum_{b \subset s, a \subset b} \sum_{y \subset b, x \subset a, y \not\subset a} x \otimes y. \\
&= \beta \binom{|s| - i - 1}{n - 2i - 2} (|s| - n + i + 1) \sum_{y \subset s} x \otimes y + \binom{|s| - i - 1}{n - 2i - 1} \sum_{y \subset s} x \otimes y \\
&= \left(\frac{1}{\beta \binom{|s| - i - 1}{n - 2i - 2} (|s| - n + i + 1) + \binom{|s| - i - 1}{n - 2i - 1}} \right) \sum_{y \subset s} x \otimes y.
\end{aligned}$$

In going between the fourth line and the fifth line, one needs to count the number of y satisfying $y \subset a \subset b \subset s$. If we fix s, a there are $(|s| - n + i + 1)$ choices for the element b , since $b = a \cup \{s\}$ for $s \notin a$. Then, we need to count the number of a with $y \subset a \subset s$. This is exactly $\binom{|s| - i - 1}{n - 2i - 2}$. Hence, the total of number of such y is the product $\binom{|s| - i - 1}{n - 2i - 2} (|s| - n + i + 1)$.

In going from the fifth line to the sixth line, for y, s fixed, we count the number of b with $y \subset b \subset s$. This is exactly $\binom{|s| - i - 1}{n - 2i - 1}$. \square

Notation 14.1.4. For any set s of size at least $n - i$, let $w_s = \sum_{a \subset s, t \notin s} v_{a \otimes a \cup \{t\}}$.

Lemma 14.1.5. *We have*

$$w_s = \sum_{t \notin s} \left(\beta \binom{|s| - i - 1}{n - 2i - 2} \sum_{y \subset s} x \otimes x \cup \{t\} + \binom{|s| - i}{n - 2i - 1} \sum_{x \subset s} x \otimes x \cup \{t\} \right).$$

Proof.

$$\begin{aligned}
w_s &= \sum_{a \subset s, t \notin s} v_{a \otimes a \cup \{t\}} \\
&= \sum_{a \subset s, t \notin s} \left(\beta \sum_{y \subset a} x \otimes y + \sum_{y \subset b, x \subset a, y \not\subset a \cup \{t\}} x \otimes y \right) \\
&= \sum_{t \notin s} \left(\sum_{a \subset s} \beta \sum_{y \subset a} x \otimes y + \sum_{a \subset s} \sum_{y \subset b, x \subset a, y \not\subset a \cup \{t\}} x \otimes y \right) \\
&= \sum_{t \notin s} \left(\beta \binom{|s| - i - 1}{n - 2i - 2} \sum_{y \subset s} x \otimes y + \sum_{a \subset s} \sum_{y \subset b, x \subset a, y \not\subset a \cup \{t\}} x \otimes y \right) \\
&= \sum_{t \notin s} \left(\beta \sum_{y \subset s} x \otimes y + \binom{|s| - i}{n - 2i - 1} \sum_{x \subset s} x \otimes x \cup \{t\} \right)
\end{aligned}$$

The equalities between lines three and four, and four and five hold for similar reasons as the equalities between lines four and five, and five and six in 14.1.3 \square

Notation 14.1.6. Let $u_s = \frac{w_s - (n - |s|)\beta \binom{|s| - i - 1}{n - 2i - 2} z_s}{\binom{|s| - i}{n - 2i - 1}} + z_s$.

Lemma 14.1.7. *We have $u_s = \sum_{x \subset s, y \supset x} x \otimes y$. In particular, $\sum_{x \subset s, y \supset x} x \otimes y$ lies in the span of $v_{a \otimes b}$.*

Proof. By 14.1.5 and 14.1.3 we have

$$\begin{aligned}
u_s &= \frac{w_s - (n - |s|)\beta \binom{|s| - i - 1}{n - 2i - 2} z_s}{\binom{|s| - i}{n - 2i - 1}} + z_s \\
&= \frac{\sum_{t \notin s} \left(\beta \binom{|s| - i - 1}{n - 2i - 2} \sum_{y \subset s} x \otimes y + \binom{|s| - i}{n - 2i - 1} \sum_{x \subset s} x \otimes x \cup \{t\} \right) - (n - |s|)\beta \binom{|s| - i - 1}{n - 2i - 2} \sum_{y \subset s} x \otimes y}{\binom{|s| - i}{n - 2i - 1}} \\
&\quad + \sum_{y \subset s} x \otimes y \\
&= \frac{\sum_{t \notin s} \left(\binom{|s| - i}{n - 2i - 1} \sum_{x \subset s} x \otimes x \cup \{t\} \right)}{\binom{|s| - i}{n - 2i - 1}} + \sum_{y \subset s} x \otimes y \\
&= \sum_{t \notin s} \left(\binom{|s| - i}{n - 2i - 1} \sum_{x \subset s} x \otimes x \cup \{t\} \right) + \sum_{y \subset s} x \otimes y \\
&= \sum_{x \subset s, y \supset x} x \otimes y
\end{aligned}$$

The penultimate line is equal to the ultimate line because any element $x \otimes y$ with $x \subset s$ must either have $y \subset s$ or else $y \subset s \cup \{t\}$ for $t \notin s$. The two terms on the penultimate line cover precisely these two cases. \square

Notation 14.1.8. For any $s \subset [n]$, with $|s| \leq n - i$, define $h_s = z_{[n]} - u_s$.

Lemma 14.1.9. *With h_s as defined above $h_s = \sum_{x \not\subset s} x \otimes y$*

Proof. Using 14.1.3 and 14.1.7

$$\begin{aligned} h_s &= z_{[n]} - u_s \\ &= \sum_{x \subset y} x \otimes y - \sum_{x \subset s, x \subset y} x \otimes y \\ &= \sum_{x \not\subset s} x \otimes y \end{aligned}$$

□

Notation 14.1.10. For $I \subset [n]$, let $I^c = [n] \setminus I$, the complement of I in $[n]$.

Lemma 14.1.11. *Let I be fixed. If l_J lies in the span of $v_{a \otimes b}$ for $J \subset I$, then so does l_I .*

Proof. Let $I = \{i_1, \dots, i_s\}$. Let $A_k = \{x | i_k \in x\}$. Then, $\cap_{k=1}^s A_k = \{x | I \subset x\}$. Using the principle of inclusion exclusion, we can write $\sum_{x \in \cap_{k=1}^s A_k} x \otimes y$ as a sum of terms $\pm \sum_{x \in \cap_{k \in J} A_k} x \otimes y$, for $J \subset I$. Since we are assuming $\pm \sum_{x \in \cap_{k \in J} A_k} x \otimes y$, lie in the span of $v_{a \otimes b}$ it follows that $l_I = \sum_{x \in \cap_{k=1}^s A_k} x \otimes y$ does as well.

□

Lemma 14.1.12. *For all $J \subset [n]$, with $|J| \leq i$, we have l_J lies in the span of $v_{a \otimes b}$.*

Proof. We shall prove this by induction on the size of I . First, we know $h_{[n]}$ lies in the span of $v_{a \otimes b}$ and so have completed the base case $|I| = 0$ since $h_{[n]} = l_\emptyset$. So, to complete the proof, it suffices to show that if we know this Lemma holds for all $|s| > j$ then it holds for $|s| = j$. This is exactly what 14.1.11 proves.

□

Lemma 14.1.13. *For $|x| = i$, we have $l_x = \sum_{y \supset x} y \otimes x$.*

Proof. By definition, $l_{\bar{x}} = \sum_{\bar{x} \subset x, \bar{x} \cap x = \bar{x}, x \subset y} x \otimes y = \sum_{\bar{x} \subset y} \bar{x} \otimes y$. Replacing \bar{x} by x gives the result.

□

Notation 14.1.14. Define $m_a = \sum_{x \subset a} l_x$.

Lemma 14.1.15. *With m_a as defined above, we have $m_a = \sum_{x \subset a} x \otimes y$.*

Proof. By 14.1.13, we obtain

$$m_a = \sum_{x \subset a} l_x = \sum_{x \subset a} \sum_{y \supset x} y \otimes x = \sum_{x \subset a} x \otimes y$$

□

Notation 14.1.16. Denote $r_a = \sum_{b, b \subset a} v_{a \otimes b}$.

Lemma 14.1.17. *With r_a as defined above, we have*

$$r_a = ((i+1)\beta - 1) \sum_{y \subset a} x \otimes y + \sum_{x \subset a} x \otimes y.$$

Proof.

$$\begin{aligned}
r_a &= \sum_{b \supset a} v_{a \otimes b} \\
&= \sum_{b \supset a} \left(\beta \sum_{y \subset a} x \otimes y + \sum_{\substack{y \subset b, \\ x \subset a, \\ y \not\subset a}} x \otimes y \right) \\
&= \sum_{b \supset a} \beta \sum_{y \subset a} x \otimes y + \sum_{b \supset a} \sum_{\substack{y \subset b, \\ x \subset a, \\ y \not\subset a}} x \otimes y. \\
&= (i+1)\beta \sum_{y \subset a} x \otimes y + \sum_{b \supset a} \sum_{\substack{y \subset b, \\ x \subset a, \\ y \not\subset a}} x \otimes y. \\
&= (i+1)\beta \sum_{y \subset a} x \otimes y + \sum_{x \subset a, y \not\subset a} x \otimes y. \\
&= ((i+1)\beta - 1) \sum_{y \subset a} x \otimes y + \left(\sum_{x \subset a, y \not\subset a} x \otimes y + \sum_{y \subset a} x \otimes y \right). \\
&= ((i+1)\beta - 1) \sum_{y \subset a} x \otimes y + \sum_{x \subset a} x \otimes y.
\end{aligned}$$

□

Notation 14.1.18. Assuming we do not have $(i+1)\beta = 1$, define $t_a = \frac{r_a - m_a}{(i+1)\beta - 1}$

Lemma 14.1.19. *With t_a as defined above, $t_a = \sum_{y \subset a} x \otimes y$*

Proof. By 14.1.17 and 14.1.15, we have

$$\begin{aligned}
t_a &= \frac{r_a - m_a}{(i+1)\beta - 1} \\
&= \frac{((i+1)\beta - 1) \sum_{y \subset a} x \otimes y + \sum_{x \subset a} x \otimes y - \sum_{x \subset a} x \otimes y}{(i+1)\beta - 1} \\
&= \frac{((i+1)\beta - 1) \sum_{y \subset a} x \otimes y}{(i+1)\beta - 1} \\
&= \sum_{y \subset a} x \otimes y
\end{aligned}$$

□

Notation 14.1.20. Assuming $\beta(i+1) \neq 1$, let $q_{a,b} = v_{a \otimes b} - \beta t_a$.

Lemma 14.1.21. *We have $q_{a,b} = \sum_{\substack{y \subset b, \\ x \subset a, \\ y \not\subset a}} x \otimes y$.*

Proof. Using 14.1.19

$$\begin{aligned}
 q_{a,b} &= v_{a \otimes b} - \beta t_a \\
 &= \beta \sum_{y \subset a} x \otimes y + \sum_{\substack{y \subset b, \\ x \subset a, \\ y \not\subset a}} x \otimes y - \beta \sum_{y \subset a} x \otimes y \\
 &= \sum_{\substack{y \subset b, \\ x \subset a, \\ y \not\subset a}} x \otimes y
 \end{aligned}$$

□

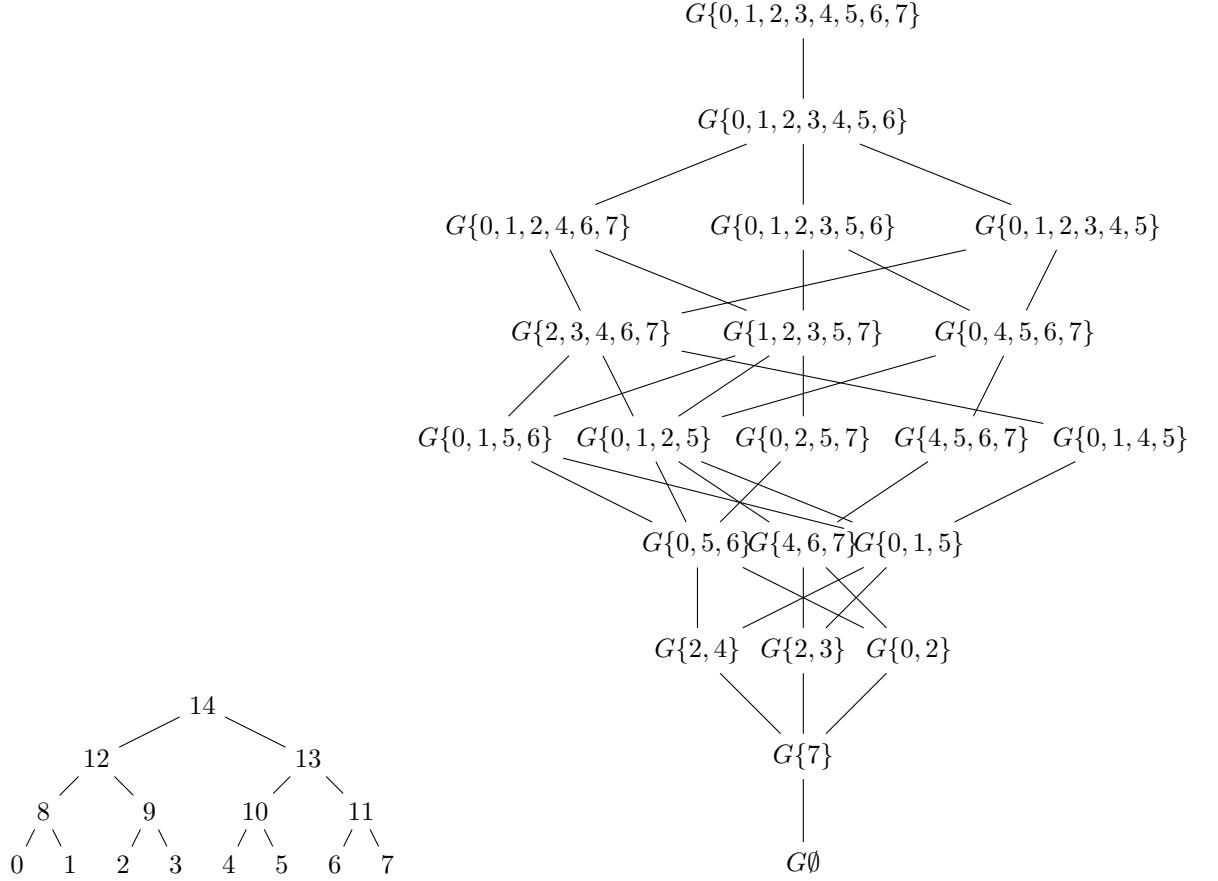
Theorem 14.1.22. *For $n > 2$, the matrix M^{n-2i-1} is invertible.*

Proof. We saw that if $\beta(i+1) \neq 1$, we have that $q_{a,b} = \sum_{\substack{y \subset b, \\ x \subset a, \\ y \not\subset a}} x \otimes y$ lie in the span of $v_{a \otimes b}$. It is always the case that $\beta \geq 1, i \geq 0$. The only time in which $\beta = 1, i = 0$ is when $n = 2$. Therefore, $q_{a,b}$ are always defined for $n > 2$. However, $q_{a,b}$ are exactly the rows of L^{n-2i-1} as defined in Theorem 14.0.32. Using Theorem ??, we know $\mathcal{H}^1(B_n)$ is unitary Peck, and therefore the rows of L^{n-2i-1} are independent. Therefore, the rows of M^{n-2i-1} span an independent set in a vector space of the same dimension. So, the rows of M^{n-2i-1} are independent. Hence, M^{n-2i-1} is indeed an isomorphism, when $n > 2$. □

15. ODDS, ENDS, AND FAILED ATTEMPTS

In this section, we include various remarks, as well as failed attempts, which don't particularly belong in other sections.

15.1. Quotients by Automorphism Groups of Rooted Trees. In Subsection 6.3, we saw that the full automorphism groups of rooted trees are cover transitive. It is well known that the quotient $B_{ml}/(S_m \wr S_l)$ is a distributive lattice. Hence, we were curious whether B_{ml}/G is a distributive lattice as well, where G is the automorphism group of a rooted tree. The answer is a definitive no, as can be seen in the case $G = (S_2 \wr S_2) \wr S_2$, where G acts on a the binary rooted tree P with $|L(P)| = 8$, whose vertices are labeled $0, \dots, 7$ from left to right. In this case, it is easy to see that $G\{0, 5, 6\} < G\{0, 1, 5, 6\}$, $G\{0, 5, 6\} < G\{0, 1, 2, 5\}$, $G\{0, 1, 5\} < G\{0, 1, 5, 6\}$, $G\{0, 1, 5\} < G\{0, 1, 2, 5\}$. Hence, $G\{0, 5, 6\}, G\{0, 1, 5\}$ do not have a well defined join, and so B_{ml}/G is not a lattice.



15.2. A Failed Attempt for Showing $\mathcal{F}^1(B_n/G)$ is unimodal. In this section, we describe one path we were pursuing in order to show $\mathcal{F}^1(B_n/G)$ is unimodal. We were attempting to do this by trying to show there were injective order raising maps $U_i : \mathcal{F}^1(B_n/G)_i \rightarrow \mathcal{F}^1(B_n/G)_{i+1}$.

We shall now define several maps, so that we can draw a certain commuting diagram in Remark 15.2.2

Notation 15.2.1. Let $U_i : P_i \rightarrow P_{i+1}$ be the raising operator for the poset P . Then, we obtain an induced map

$$U_i \otimes U_{i+1} : P_i \otimes P_{i+1} \rightarrow P_{i+1} \otimes P_{i+1}, x \otimes y \mapsto U(x) \otimes U(y).$$

We also have the natural inclusions

$$\begin{aligned} k_i : \mathcal{F}^1(P)_i &\rightarrow P_i \otimes P_{i+1}, \\ x \otimes y &\mapsto x \otimes y \\ k_i^{G \times G} : \mathcal{F}^1(P/G)_i &\rightarrow (P/G)_i \otimes (P/G)_{i+1}, \\ Gx \otimes Gy &\mapsto Gx \otimes Gy, \end{aligned}$$

where we have $x \leq y$ and $Gx \leq Gy$. The maps above are defined on a basis, and are extended by linearity.

Next, we define the map

$$\begin{aligned} j_i : (P/G)_i \otimes (P/G)_{i+1} &\rightarrow P_i \otimes P_{i+1}, \\ Gx \otimes Gy &\mapsto \frac{1}{|G|} \sum_{g \in G} gx \otimes \frac{1}{|G|} \sum_{h \in G} hy. \end{aligned}$$

where x is an arbitrary representative of Gx and y is an arbitrary representative of Gy

Then, define the map

$$\begin{aligned} p_i : P_i \otimes P_{i+1} &\rightarrow (P/G)_i \otimes (P/G)_{i+1}, \\ x \otimes y &\mapsto Gx \otimes Gy. \end{aligned}$$

Further, define the map

$$\begin{aligned} (U_i \otimes U_{i+1})^{G \times G} : P_i \otimes P_{i+1} &\rightarrow P_{i+1} \otimes P_{i+1}, \\ Gx \otimes Gy &\mapsto p_{i+1} \circ (U_i \otimes U_{i+1}) \circ j_i(Gx \otimes Gy). \end{aligned}$$

We also have the projections inclusions

$$\begin{aligned} \pi_i : P_i \otimes P_{i+1} &\rightarrow \mathcal{F}^1(P)_i, \\ x \otimes y &\mapsto \begin{cases} x \otimes y, & \text{if } x \leq y \\ 0 & \text{otherwise} \end{cases} \\ \pi_i^{G \times G} : (P/G)_i \otimes (P/G)_{i+1} &\rightarrow \mathcal{F}^1(P/G)_i, \\ Gx \otimes Gy &\mapsto \begin{cases} Gx \otimes Gy, & \text{if } Gx \leq Gy \\ 0 & \text{otherwise} \end{cases}, \end{aligned}$$

where we have $x \leq y$ and $Gx \leq Gy$. The maps above are defined on a basis, and are extended by linearity.

Finally, denote

$$\begin{aligned} \mathcal{F}^1(U)_i : \mathcal{F}^1(P)_i &\rightarrow \mathcal{F}^1(P)_{i+1} \\ x \otimes y &\mapsto k_i \circ (U \otimes U) \circ \pi_{i+1}(x \otimes y) \\ \mathcal{F}^1(U)_i^{G \times G} : \mathcal{F}^1(P/G)_i &\rightarrow \mathcal{F}^1(P/G)_{i+1} \\ Gx \otimes Gy &\mapsto k_i^{G \times G} \circ (U \otimes U)^{G \times G} \circ \pi_{i+1}^{G \times G}(Gx \otimes Gy) \end{aligned}$$

where it is defined above on a basis and we extend to the whole space by linearity.

Remark 15.2.2. For $i < \frac{n}{2}$ we obtain the following (almost commuting, but $j_{i+1} \circ p_{i+1} \neq \text{id.}$) diagram

$$\begin{array}{ccccccc}
& & & \mathcal{F}^1(U)_i & & & \\
& & \swarrow & & \searrow & & \\
\mathcal{F}^1(P)_i & \xrightarrow{k_i} & P_i \otimes P_{i+1} & \xrightarrow{U_i \otimes U_{i+1}} & P_{i+1} \otimes P_{i+2} & \xrightarrow{\pi_{i+1}} & \mathcal{F}^1(P)_{i+1} \\
& & \uparrow j_i & & \downarrow p_{i+1} & & \\
& & & & & \downarrow j_{i+1} & \\
\mathcal{F}^1(P/G)_i & \xrightarrow{k_i^{G \times G}} & (P/G)_i \otimes (P/G)_{i+1} & \xrightarrow{(U_i \otimes U_{i+1})^{G \times G}} & (P/G)_{i+1} \otimes (P/G)_{i+2} & \xrightarrow{\pi_{i+1}^{G \times G}} & \mathcal{F}^1(P/G)_{i+1} \\
& & \nwarrow & & \nearrow & & \\
& & & \mathcal{F}^1(U)_i^{G \times G} & & &
\end{array}$$

Unfortunately, in general, with the above definitions of the maps,
 $\ker(\pi_{i+1}^{G \times G} \circ p_{i+1}) \cap \text{Im}((U \otimes U) \circ j_i \circ k_i^{G \times G}) \not\subset \ker \pi_{i+1} \cap \text{Im}((U \otimes U) \circ j_i \circ k_i^{G \times G})$.
However, if we did have
 $\ker(\pi_{i+1}^{G \times G} \circ p_{i+1}) \cap \text{Im}((U \otimes U) \circ j_i \circ k_i^{G \times G}) \subset \ker \pi_{i+1} \cap \text{Im}((U \otimes U) \circ j_i \circ k_i^{G \times G})$,
using the fact that $\mathcal{F}^1(U)_i, U_i, U_{i+1}$ are all injective, a fairly simple diagram chase would reveal $\mathcal{F}^1(U)_i^{G \times G}$ is injective. This, in turn, would imply $\mathcal{F}^1(B_n/G)_i$ is symmetric, unimodal, and sperner. We have tried several variations on these exact maps, but were never quite able to obtain the desired $\ker(\pi_{i+1}^{G \times G} \circ p_{i+1}) \cap \text{Im}((U \otimes U) \circ j_i \circ k_i^{G \times G}) \subset \ker \pi_{i+1} \cap \text{Im}((U \otimes U) \circ j_i \circ k_i^{G \times G})$.

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