

Zero-Knowledge Proofs (Lecture 5–8) — Summary

Interactive Proofs. A language $L \subseteq \{0, 1\}^*$ has an *interactive proof* if there exists a (possibly unbounded) prover P and a PPT verifier V such that the protocol output $\langle P, V \rangle(x) \in \{0, 1\}$ satisfies: (*Completeness*) $\forall x \in L : \Pr[\langle P, V \rangle(x) = 1] \geq 2/3$; (*Soundness*) $\forall x \notin L$ and any (possibly malicious) P^* : $\Pr[\langle P^*, V \rangle(x) = 1] \leq 1/3$. These constants can be made negligible by repetition. Interactive proofs can be strictly more powerful than one-shot NP proofs (e.g., $\text{IP} = \text{PSPACE}$) and can yield succinctness and zero-knowledge.

Zero-Knowledge (ZK). An interactive proof $\langle P, V \rangle$ for L is (computational) ZK if for every (possibly malicious) V^* there exists a PPT simulator Sim_{V^*} such that the verifier’s *view* in a real interaction is computationally indistinguishable from the simulator’s output:

$$\text{View}[\langle P, V^* \rangle(x)] \approx_c \text{Sim}_{V^*}(x), \quad \forall x \in L.$$

Intuitively, the verifier “learns nothing” beyond the truth of $x \in L$.

Example: Hamiltonicity (Blum). For $L = \{G : G \text{ has a Hamiltonian cycle}\}$, the prover commits to a random isomorphic copy $\Pi(G)$ and the adjacency matrix, then the verifier challenges $c \in \{0, 1\}$: if $c = 0$, open the isomorphism; if $c = 1$, open commitments revealing a Hamiltonian cycle in $\Pi(G)$. Assuming a perfectly binding, computationally hiding commitment, this is a ZK proof with perfect completeness, soundness error $\leq \frac{1}{2}$, and computational ZK; error becomes negligible by repetition.

Proofs of Knowledge (PoK). For NP languages with verifier M , a protocol is a PoK with knowledge error ε if there exists an efficient *extractor* Ext such that for any P^* ,

$$\Pr[M(x, w) = 1 : w \leftarrow \text{Ext}^{P^*}(x)] \geq \Pr[\langle P^*, V \rangle(x) = 1] - \varepsilon.$$

Extractors may *rewind* P^* (e.g., in Hamiltonicity, two accepting transcripts for the same commitment but distinct challenges yield the witness).

Sigma Protocols. Three-round, public-coin protocols (u, c, z) with deterministic verification $\text{verif}(x, u, c, z)$ that satisfy: (*Perfect completeness*); (*Special soundness*): from two accepting transcripts (u, c, z) and (u, c', z') with $c \neq c'$ one can extract a witness; (*Honest-Verifier ZK*): there is a simulator that on input (x, c) outputs (u, c, z) indistinguishable from an honest execution. Any Σ -protocol has soundness error at most $1/|\mathcal{C}|$, and both sequential and parallel compositions preserve these properties (for HVZK).

Non-Interactive ZK (NIZK) & Fiat–Shamir. Turning interaction into a single message π is possible in the Random Oracle Model (ROM) via Fiat–Shamir: set the challenge as $c = H(x, u)$ and send $\pi = (u, z)$; the verifier recomputes c and checks. In ROM, ZK follows by programming H as to make it match the challenge of $\langle P, V \rangle$; PoK follows via rewinding at the RO query and reprogramming it to obtain two challenges; completeness is immediate. For NIZKs, completeness and soundness errors are required to be *negligible* since there is no repetition to amplify. In practice, one instantiates H with a cryptographic hash (a heuristic).

Key Takeaways. (i) Interaction enables proofs beyond NP, succinct verification, and zero-knowledge. (ii) ZK is defined via indistinguishability from simulation. (iii) PoK formalizes “knowing a witness” via extraction under rewinding. (iv) Σ -protocols offer a clean template with special soundness and HVZK, and compose well. (v) Fiat–Shamir yields practical NIZKs from Σ -protocols in ROM.