

## (2,4) TREES

- Search Trees (but not binary)
- also known as 2-4, 2-3-4 trees
- very important as basis for Red-Black trees (so pay attention!)

(2,4) Trees

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## Multi-way Search Trees

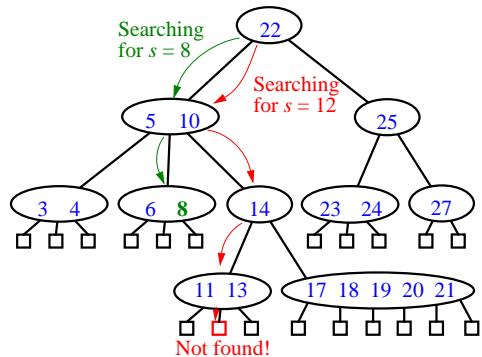
- Each internal node of a multi-way search tree  $T$ :
  - has at least two children
  - stores a collection of items of the form  $(k, x)$ , where  $k$  is a key and  $x$  is an element
  - contains  $d - 1$  items, where  $d$  is the number of children
  - “contains” 2 pseudo-items:  $k_0 = -\infty$ ,  $k_d = \infty$
- Children of each internal node are “between” items
  - all keys in the subtree rooted at the child fall between keys of those items
- External nodes are just placeholders

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## Multi-way Searching

- Similar to binary searching
- If search key  $s < k_1$ , search the leftmost child
- If  $s > k_{d-1}$ , search the rightmost child
- That's it in a binary tree; what about if  $d > 2$ ?
- Find two keys  $k_{i-1}$  and  $k_i$  between which  $s$  falls, and search the child  $v_i$ .



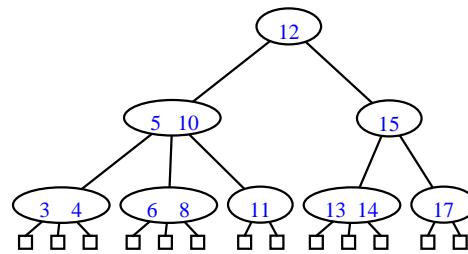
- What would an in-order traversal look like?

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## (2,4) TREES

- At most 4 children
- All external nodes have same depth
- Height  $h$  of (2,4) tree is  $O(\log n)$ .
- How is this fact useful in searching?

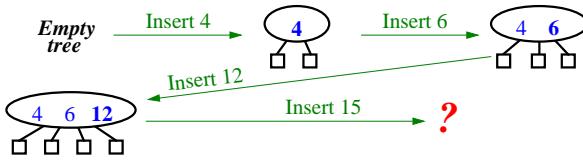


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## (2,4) Insertion

- Always maintain depth condition
- Add elements only to existing nodes

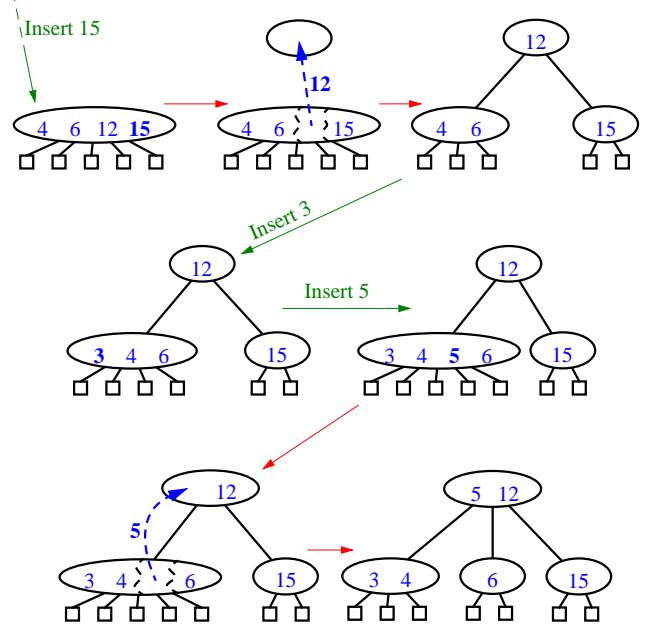


- What if that makes a node too big?  
- *overflow*
- Must perform a *split* operation
  - replace node  $v$  with two nodes  $v'$  and  $v''$
  - $v'$  gets the first two keys
  - $v''$  gets the last key
  - send the other key up the tree
    - if  $v$  is root, create new root with third key
    - otherwise just add third key to parent
- Much clearer with a few pictures...

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## (2,4) Insertion (cont.)



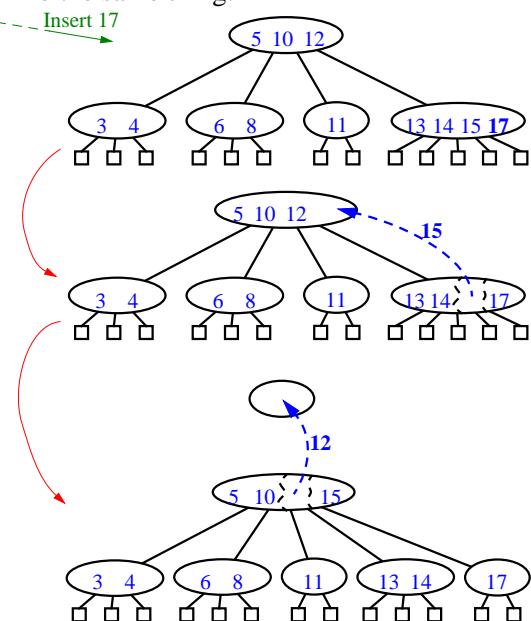
- Tree always grows from the top, maintaining balance
- What if parent is full?

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## (2,4) Insertion (cont.)

- Do the same thing:

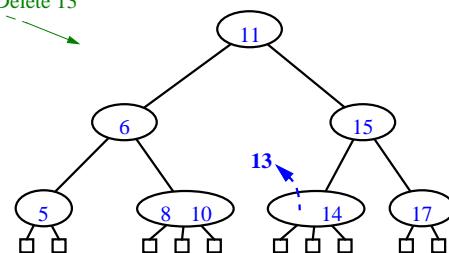


- Overflow cascade all the way up to the root  
- still at most  $O(\log n)$

## (2,4) Deletion

- A little trickier
- First of all, find the key
  - simple multi-way search
- Then, reduce to the case where deletable item is at the bottom of the tree
  - Find item which precedes it in in-order traversal
  - Swap them
- Remove the item

Delete 13



- Easy, right?
- ...but what about removing from 2-nodes?

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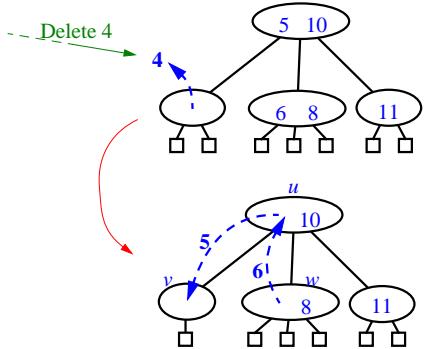
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## (2,4) Deletion (cont.)

- Not enough items in the node
  - underflow*
- Pull an item from the parent, replace it with an item from a sibling
  - called *transfer*



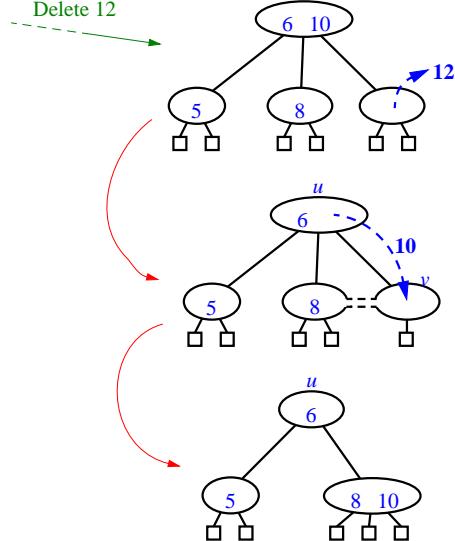
- Still not good enough! What happens if siblings are 2-nodes?
- Could we just pull one item from the parent?
  - too many children
- But maybe...

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## (2,4) Deletion (cont.)

- We know that the node's sibling is just a 2-node
- So we *fuse* them into one
  - after stealing an item from the parent, of course



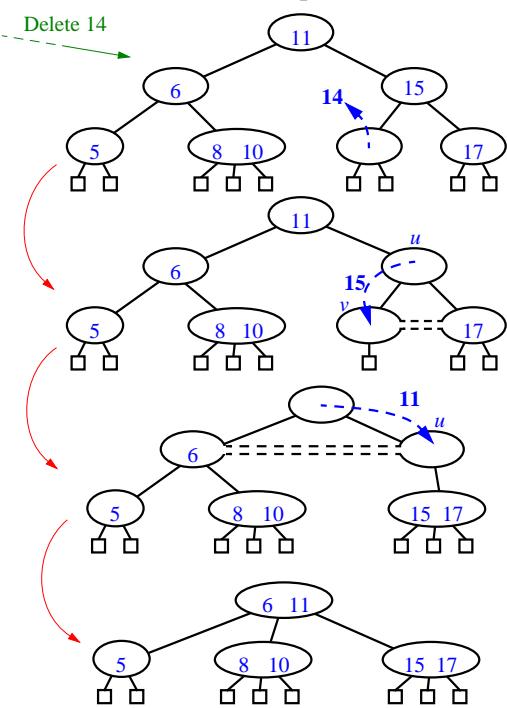
- Last special case, I promise: what if the parent was a 2-node?

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## (2,4) Deletion (cont.)

- Underflow can cascade up the tree, too.



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## (2,4) Conclusion

- The height of a (2,4) tree is  $O(\log n)$ .
- Split, transfer, and fusion each take  $O(1)$ .
- Search, insertion and deletion each take  $O(\log n)$ .
- Why are we doing this?
  - (2,4) trees are fun! Why else would we do it?
  - Well, there's another reason, too.
  - They're pretty fundamental to the idea of Red-Black trees as well.
  - And you're covering Red-Black trees on Monday.
  - Perhaps more importantly, your next project is a Red-Black tree.
- Have a nice weekend!

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