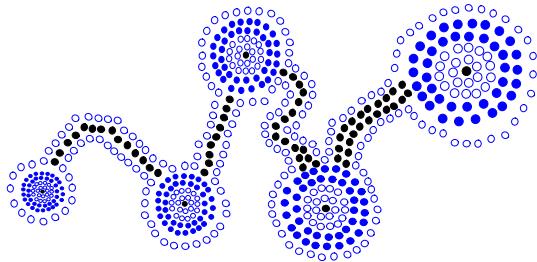


SORTING

- Review of Sorting
- Merge Sort
- Sets



sorting

1

Sorting Algorithms

- Selection Sort uses a priority queue P implemented with an unsorted sequence:
 - **Phase 1:** the insertion of an item into P takes $O(1)$ time; overall $O(n)$
 - **Phase 2:** removing an item takes time proportional to the number of elements in P $O(n)$: overall $O(n^2)$
 - Time Complexity: $O(n^2)$
- Insertion Sort is performed on a priority queue P which is a sorted sequence:
 - **Phase 1:** the first `insertItem` takes $O(1)$, the second $O(2)$, until the last `insertItem` takes $O(n)$: overall $O(n^2)$
 - **Phase 2:** removing an item takes $O(1)$ time; overall $O(n)$.
 - Time Complexity: $O(n^2)$
- Heap Sort uses a priority queue K which is a heap.
 - `insertItem` and `removeMinElement` each take $O(\log k)$, k being the number of elements in the heap at a given time.
 - **Phase 1:** n elements inserted: $O(n \log n)$ time
 - **Phase 2:** n elements removed: $O(n \log n)$ time.
 - Time Complexity: $O(n \log n)$

sorting

2

Divide-and-Conquer

- Divide and Conquer is more than just a military strategy, it is also a method of algorithm design that has created such efficient algorithms as Merge Sort.
- In terms of algorithms, this method has three distinct steps:
 - **Divide:** If the input size is too large to deal with in a straightforward manner, divide the data into two or more disjoint subsets.
 - **Recurse:** Use divide and conquer to solve the subproblems associated with the data subsets.
 - **Conquer:** Take the solutions to the subproblems and “merge” these solutions into a solution for the original problem.

sorting

3

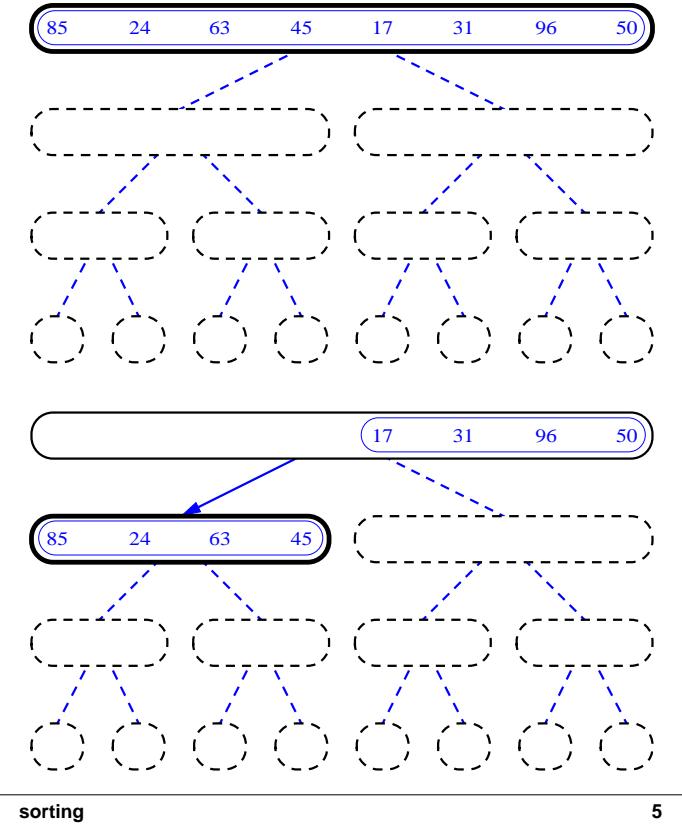
Merge-Sort

- Algorithm:
 - **Divide:** If S has at least two elements (nothing needs to be done if S has zero or one element), remove all the elements from S and put them into two sequences, S_1 and S_2 , each containing about half of the elements of S . (i.e. S_1 contains the first $\lceil n/2 \rceil$ elements and S_2 contains the remaining $\lfloor n/2 \rfloor$ elements.)
 - **Recurse:** Recursive sort sequences S_1 and S_2 .
 - **Conquer:** Put back the elements into S by merging the sorted sequences S_1 and S_2 into a unique sorted sequence.
- Merge Sort Tree:
 - Take a binary tree T
 - Each node of T represents a recursive call of the merge sort algorithm.
 - We associate with each node v of T the set of input passed to the invocation v represents.
 - The external nodes are associated with individual elements of S , upon which no recursion is called.

sorting

4

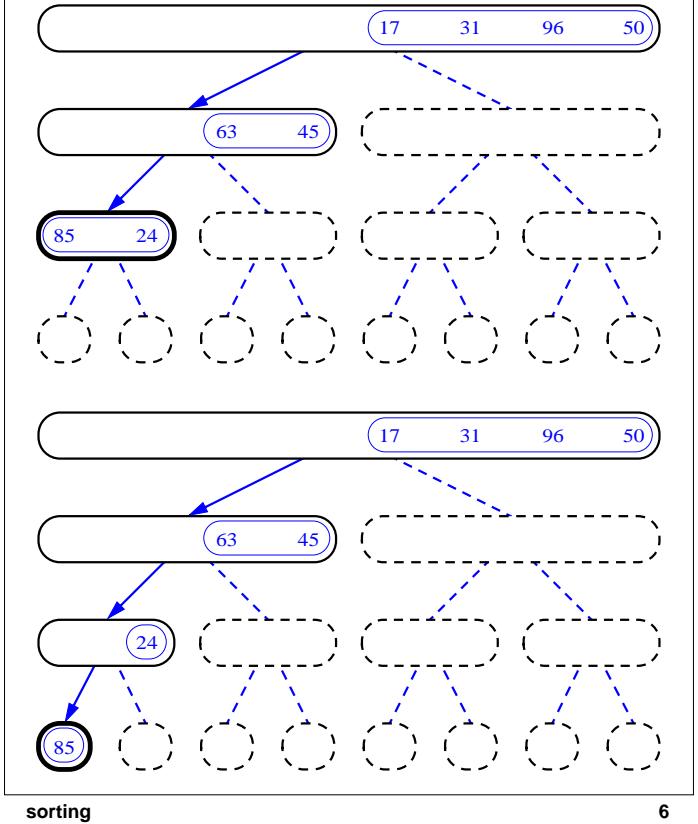
Merge-Sort



sorting

5

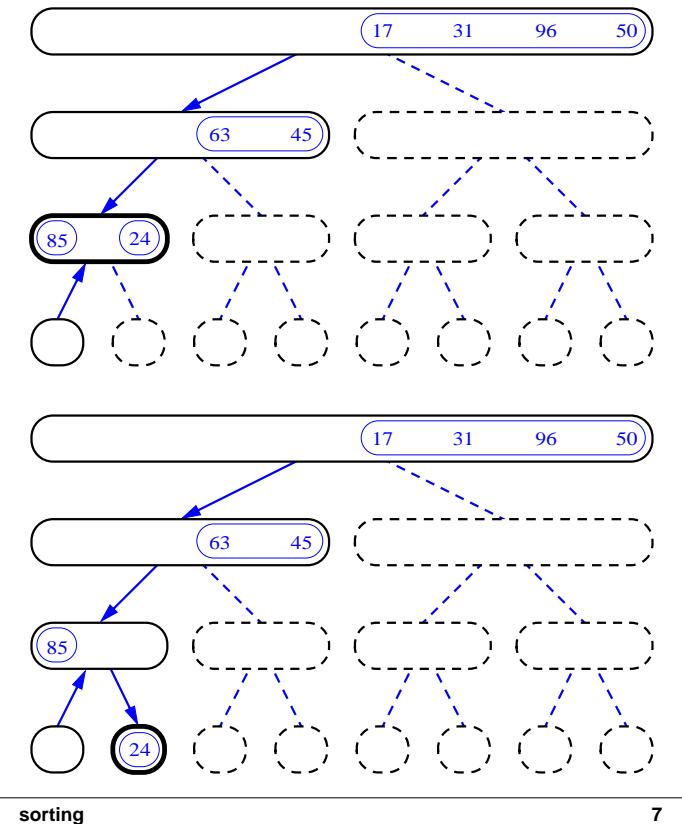
Merge-Sort(cont.)



sorting

6

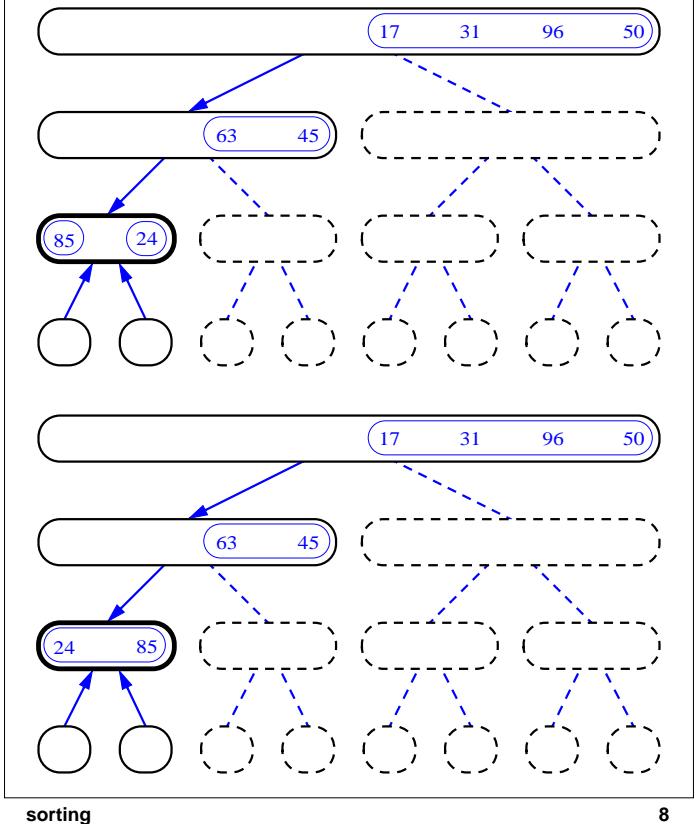
Merge-Sort (cont.)



sorting

7

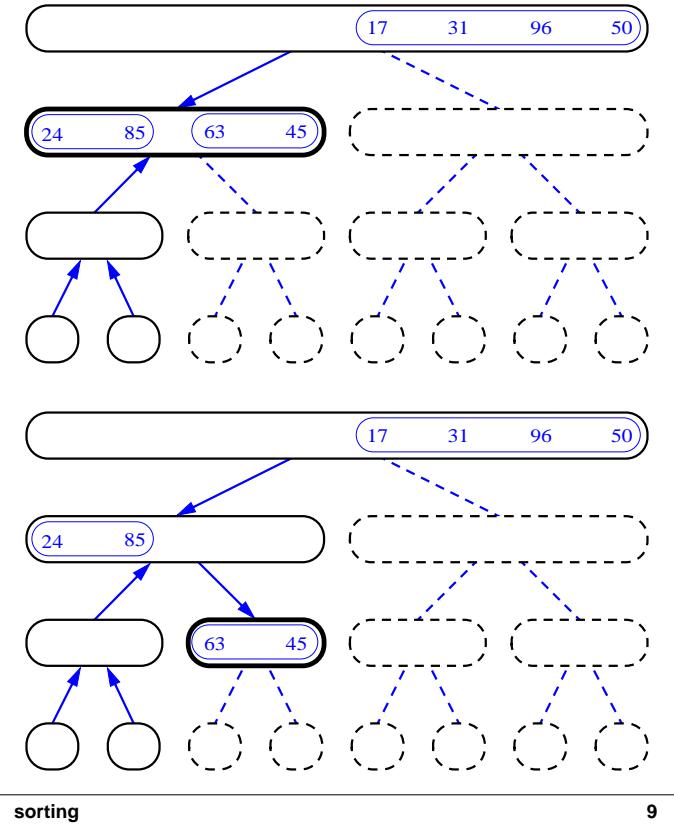
Merge-Sort (cont.)



sorting

8

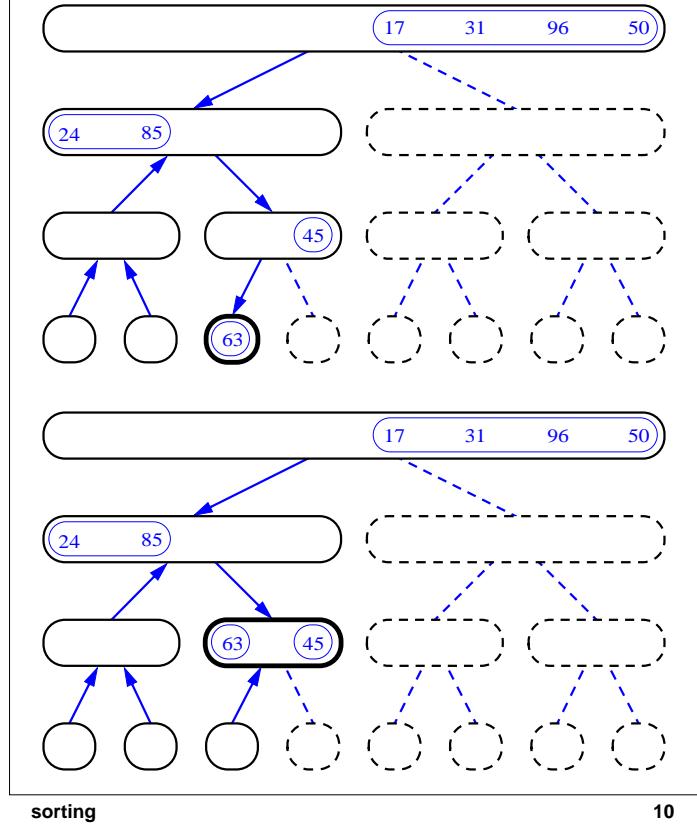
Merge-Sort (cont.)



sorting

9

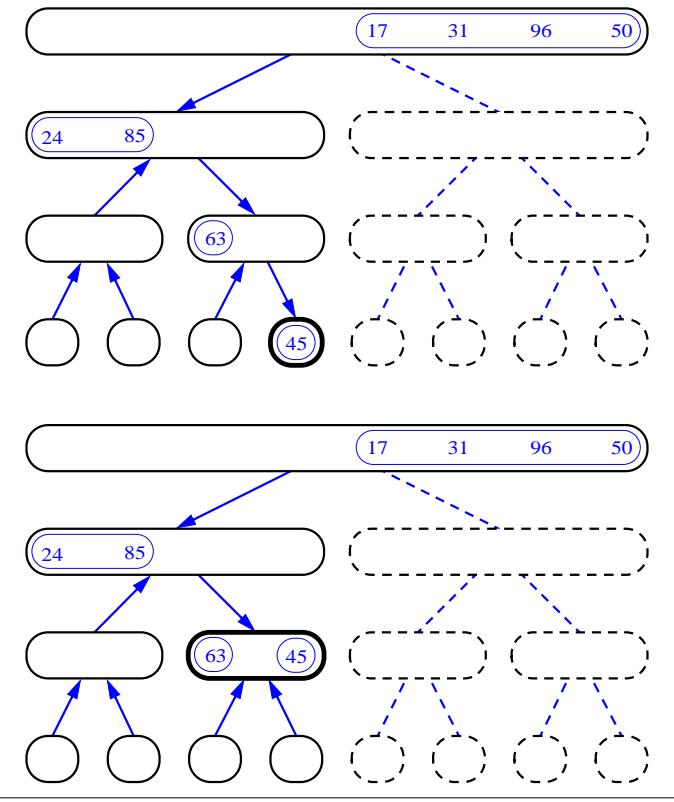
Merge-Sort (cont.)



sorting

10

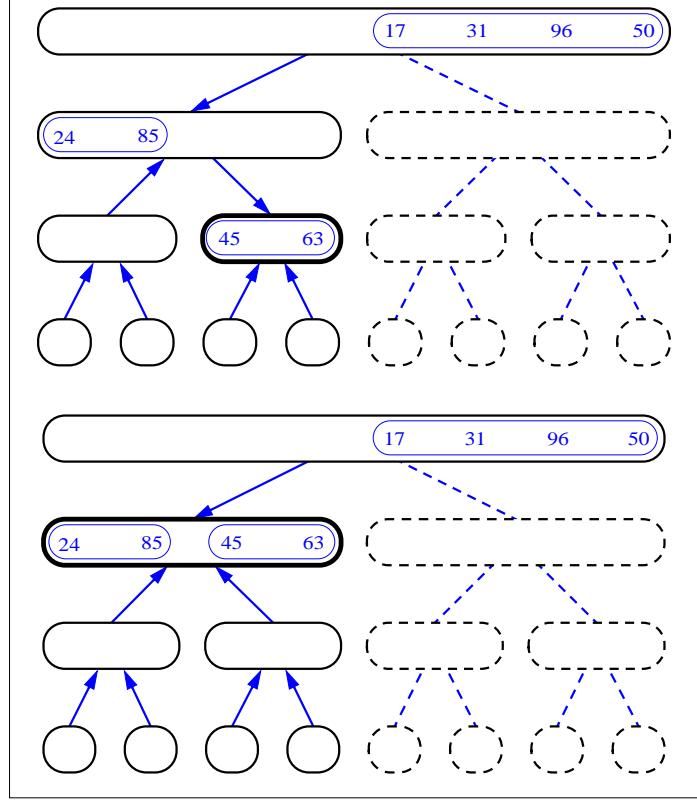
Merge-Sort (cont.)



sorting

11

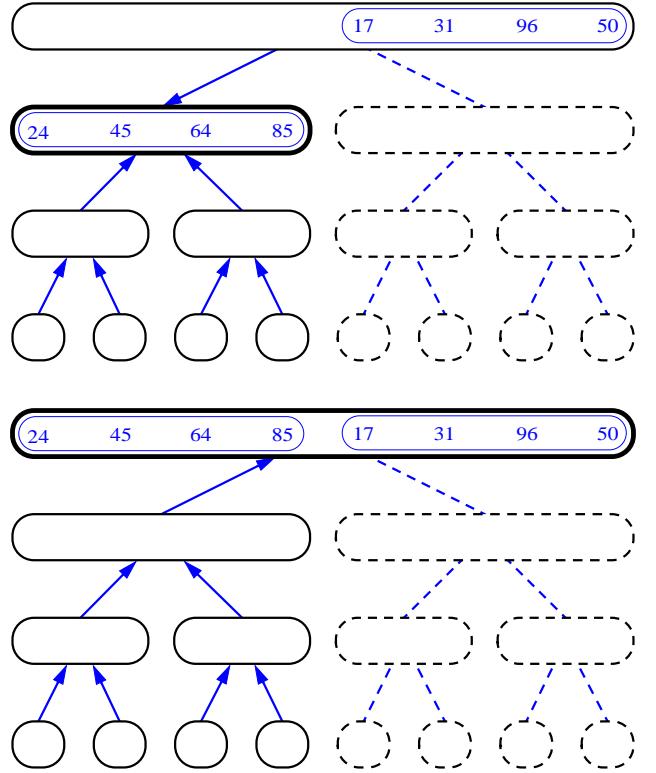
Merge-Sort(cont.)



sorting

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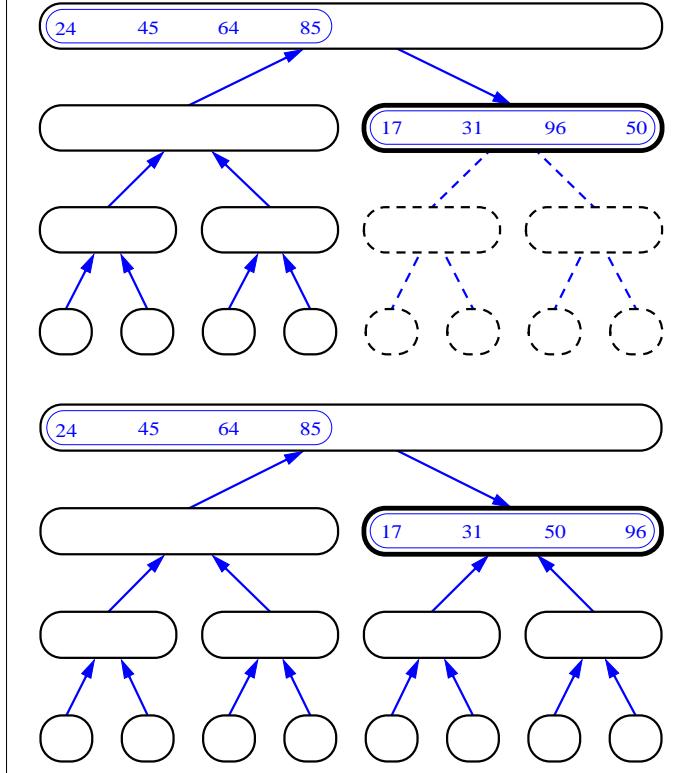
Merge-Sort (cont.)



sorting

13

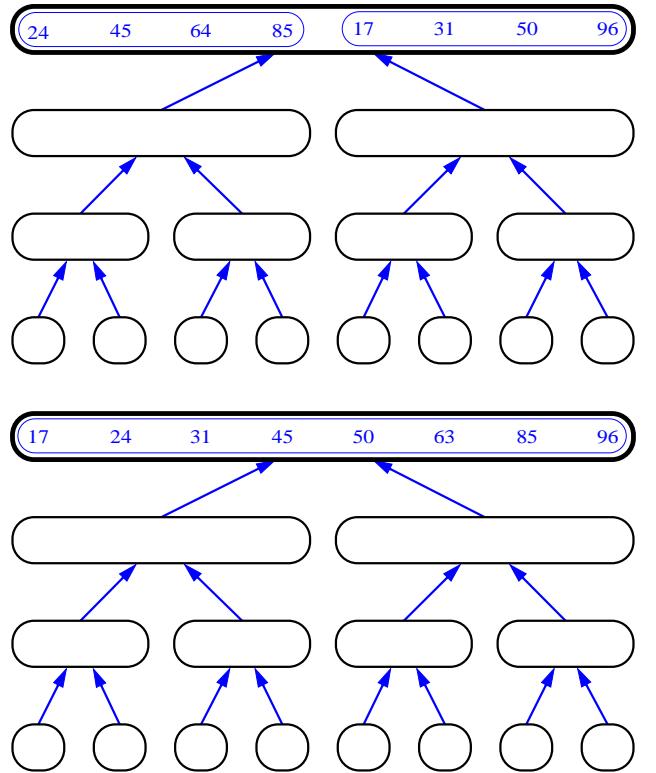
Merge-Sort (cont.)



sorting

14

Merge-Sort (cont.)



sorting

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Merging Two Sequences

- Pseudo-code for merging two sorted sequences into a unique sorted sequence

Algorithm merge (S1, S2, S):

Input: Sequence S1 and S2 (on whose elements a total order relation is defined) sorted in nondecreasing order, and an empty sequence S.

Ouput: Sequence S containing the union of the elements from S1 and S2 sorted in nondecreasing order; sequence S1 and S2 become empty at the end of the execution

```

while S1 is not empty and S2 is not empty do
    if S1.first().element() ≤ S2.first().element() then
        {move the first element of S1 at the end of S}
        S.insertLast(S1.remove(S1.first()))
    else
        { move the first element of S2 at the end of S}
        S.insertLast(S2.remove(S2.first()))
while S1 is not empty do
    S.insertLast(S1.remove(S1.first()))
    {move the remaining elements of S2 to S}
while S2 is not empty do
    S.insertLast(S2.remove(S2.first()))

```

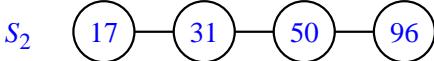
sorting

16

Merging Two Sequences (cont.)

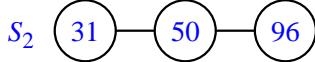
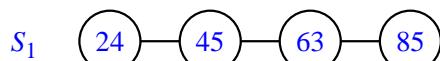
- Some pictures:

a)



S

b)

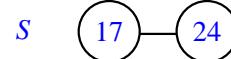
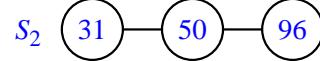
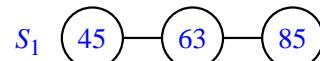


sorting

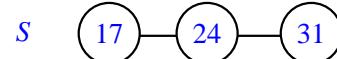
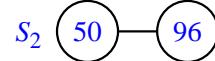
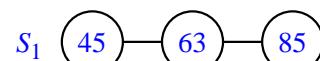
17

Merging Two Sequences (cont.)

c)



d)

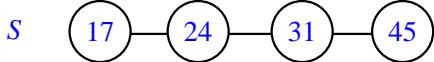
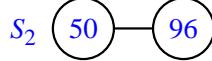
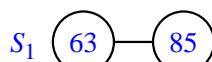


sorting

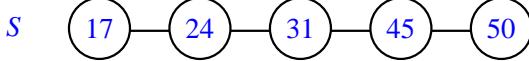
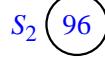
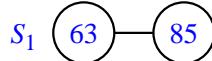
18

Merging Two Sequences (cont.)

e)



f)

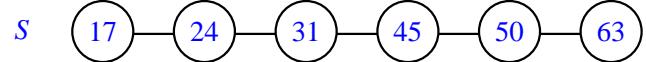
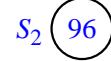
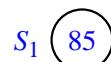


sorting

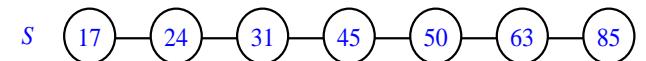
19

Merging Two Sequences (cont.)

g)



h)

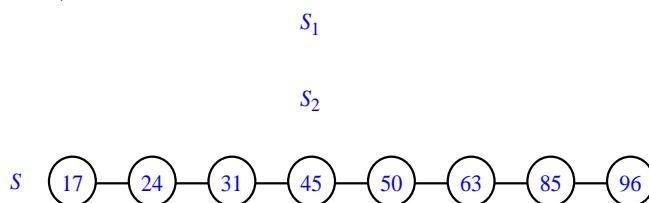


sorting

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Merging Two Sequences (cont.)

i)



sorting

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Java Implementation

- Interface SortObject

```
public interface SortObject {  
    //sort sequence S in nondecreasing order  
    //using comparator c  
    public void sort (Sequence S, Comparator c);  
}
```

sorting

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Java Implementation (cont.)

```
public class ListMergeSort implements SortObject {  
    public void sort(Sequence S, Comparator c) {  
        int n = S.size();  
        // a sequence with 0 or 1 element is  
        // already sorted  
        if (n < 2) return;  
        // divide  
        Sequence S1 = (Sequence)S.newContainer();  
        for (int i=1; i <= (n+1)/2; i++) {  
            S1.insertLast(S.remove(S.first()));  
        }  
        Sequence S2 = (Sequence)S.newContainer();  
        for (int i=1; i <= n/2; i++) {  
            S2.insertLast(S.remove(S.first()));  
        }  
        // recur  
        sort(S1,c);  
        sort(S2,c);  
        //conquer  
        merge(S1,S2,c,S);  
    }  
}
```

sorting

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Java Implementation (cont.)

```
public void merge(Sequence S1, Sequence S2,  
    Comparator c, Sequence S) {  
    while(!S1.isEmpty() && !S2.isEmpty()) {  
        if(c.isLessThanOrEqualTo(S1.first().element(),  
            S2.first().element())) {  
            S.insertLast(S1.remove(S1.first()));  
        }  
        else  
            S.insertLast(S2.remove(S2.first()));  
    }  
    if(S1.isEmpty()) {  
        while(!S2.isEmpty()) {  
            S.insertLast(S2.remove(S2.first()));  
        }  
    }  
    if(S2.isEmpty()) {  
        while(!S1.isEmpty()) {  
            S.insertLast(S1.remove(S1.first()));  
        }  
    }  
}
```

sorting

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Running Time of Merge-Sort

- Proposition 1:** The merge-sort tree associated with the execution of a merge-sort on a sequence of n elements has a height of $\lceil \log n \rceil$
- Proposition 2:** A merge sort algorithm sorts a sequence of size n in $O(n \log n)$ time
- We assume only that the input sequence S and each of the sub-sequences created by each recursive call of the algorithm can access, insert to, and delete from the first and last nodes in $O(1)$ time.
- We call the time spent at node v of merge-sort tree T the running time of the recursive call associated with v , excluding the recursive calls sent to v 's children.
- If we let i represent the depth of node v in the merge-sort tree, the time spent at node v is $O(n/2^i)$ since the size of the sequence associated with v is $n/2^i$.
- Observe that T has exactly 2^i nodes at depth i . The total time spent at depth i in the tree is then $O(2^i n/2^i)$, which is $O(n)$. We know the tree has height $\lceil \log n \rceil$
- Therefore, the time complexity is $O(n \log n)$

sorting

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Set ADT

- A **Set** is a data structure modeled after the mathematical notation of a set. The fundamental set operations are *union*, *intersection*, and *subtraction*.
- A brief aside on mathematical set notation:
 - $A \cup B = \{ x: x \in A \text{ or } x \in B \}$
 - $A \cap B = \{ x: x \in A \text{ and } x \in B \}$
 - $A - B = \{ x: x \in A \text{ and } x \notin B \}$
- The specific methods for a Set A include the following:
 - **size():** Return the number of elements in set A
Input: None; **Output:** integer.
 - **isEmpty():** Return if the set A is empty or not.
Input: None; **Output:** boolean.
 - **insertElement(e):** Insert the element e into the set A , unless e is already in A .
Input: Object; **Output:** None.

sorting

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Set ADT (contd.)

- **elements():** Return an enumeration of the elements in set A .
Input: None; **Output:** Enumeration.
- **isMember(e):** Determine if e is in A .
Input: Object; **Output:** Boolean.
- **union(B):** Return $A \cup B$.
Input: Set; **Output:** Set.
- **intersect(B):** Return $A \cap B$.
Input: Set; **Output:** Set.
- **subtract(B):** Return $A - B$.
Input: Set; **Output:** Set.
- **isEqual(B):** Return true if and only if $A = B$.
Input: Set; **Output:** boolean.

sorting

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Generic Merging

Algorithm genericMerge(A, B):

Input: Sorted sequences A and B

Output: Sorted sequence C

let A' be a copy of A { We won't destroy A and B }

let B' be a copy of B

while A' and B' are not empty **do**

$a \leftarrow A'.\text{first}()$

$b \leftarrow B'.\text{first}()$

if $a < b$ **then**

 firstIsLess(a, C)

$A'.\text{removeFirst}()$

else if $a = b$ **then**

 bothAreEqual(a, b, C)

$A'.\text{removeFirst}()$

$B'.\text{removeFirst}()$

else

 firstIsGreater(b, C)

$B'.\text{removeFirst}()$

while A' is not empty **do**

$a \leftarrow A'.\text{first}()$

 firstIsLess(a, C)

$A'.\text{removeFirst}()$

while B' is not empty **do**

$b \leftarrow B'.\text{first}()$

 firstIsGreater(b, C)

$B'.\text{removeFirst}()$

sorting

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Set Operations

- We can specialize the generic merge algorithm to perform set operations like union, intersection, and subtraction.
- The generic merge algorithm examines and compare the current elements of A and B .
- Based upon the outcome of the comparision, it determines if it should copy one or none of the elements a and b into C .
- This decision is based upon the particular operation we are performing, i.e. union, intersection or subtraction.
- For example, if our operation is union, we copy the smaller of a and b to C and if $a=b$ then it copies either one (say a).
- We define our copy actions in `firstIsLess`, `bothAreEqual`, and `firstIsGreater`.
- Let's see how this is done ...

sorting

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Set Operations (cont.)

- For union

```
public class UnionMerger extends Merger {  
    protected void firstIsLess(Object a, Object b,  
        Sequence  
        C) {  
        C.insertLast(a);  
    }  
    protected void bothAreEqual(Object a, Object b,  
        Sequence C) {  
        C.insertLast(a);  
    }  
    protected void firstIsGreater(Object b, Sequence C) {  
        C.insertLast(b);  
    }  
}
```

- For intersect

```
public class IntersectMerger extends Merger {  
    protected void firstIsLess(Object a, Object b, Sequence  
        C) {} // null method  
    protected void bothAreEqual(Object a, Object b,  
        Sequence C) {  
        C.insertLast(a);  
    }  
}
```

sorting

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Set Operations (cont.)

```
protected void firstIsGreater(Object b, Sequence C) {}  
// null method  
• For subtraction  
public class SubtractMerger extends Merger {  
    protected void firstIsLess(Object a, Object b,  
        Sequence  
        C) {  
        C.insertLast(a);  
    }  
    protected void bothAreEqual(Object a, Object b,  
        Sequence C) {} // null method  
    protected void firstIsGreater(Object b, Sequence C) {}  
    // null method
```

sorting

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