

# Algorithm Design and Analysis

YUN-NUNG (VIVIAN) CHEN HTTP://ADA.MIULAB.TW







#### Announcement

- Any question should be sent via email
  - Please use [ADA2018] in the subject
  - Please write your 學號 姓名 in the email
- Registration codes were sent out
  - Register (or drop?) the course ASAP
- Slides are available before the lecture starts
- Mini-HW 1 released
  - Due on 9/27 (Thu) 14:20
  - Submit to NTU COOL
- Judge system available
  - Programming part: submit to Online Judge <a href="http://ada18-judge.csie.org">http://ada18-judge.csie.org</a>





2018

Login to begin...



#### Mini-HW #1



#### Mini HW #1

Due Time: 2018/9/27 (Thu.) 14:20

Contact TAs: ada-ta@csie.ntu.edu.tw

#### Problem 1

Let f(n) = g(n) - h(n). Given  $g(n) = \Theta(F(n))$  and h(n) = o(F(n)), prove or disprove  $f(n) = \Omega(F(n))$ . (Use the definitions of  $\Theta$ , o and  $\Omega$  given in textbook.)

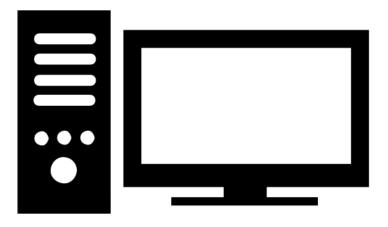


#### Outline

- Terminology
  - Problem (問題)
  - Problem instance (個例)
  - Computation model (計算模型)
  - Algorithm (演算法)
  - The hardness of a problem (難度)
- Algorithm Design & Analysis Process
- Review: Asymptotic Analysis
- Algorithm Complexity
- Problem Complexity

#### Efficiency Measurement = Speed

- Why we care?
  - Computers may be fast, but they are not infinitely fast.
  - Memory may be inexpensive, but it is not free



## (a) Terminology

Textbook Ch. 1 – The Role of Algorithms in Computing

## Problem (問題)



#### The champion problem

- Input: n distinct integers  $A[1], A[2], \ldots, A[n]$ .
- Output: the index i with  $1 \le i \le n$  such that

$$A[i] = \max_{1 \le j \le n} A[j].$$

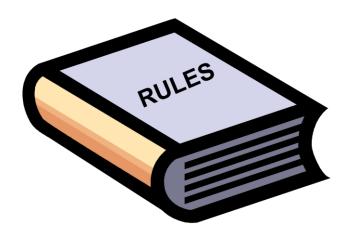
## Problem Instance (個例)

An instance of the champion problem

5 distinct integers 7, 4, 2, 9, 8.

## Computation Model (計算模型)

- Each problem must have its rule (遊戲規則)
- Computation model (計算模型) = rule (遊戲規則)
- The problems with different rules have different hardness levels



## Hardness (難易程度)

- How difficult to solve a problem
  - Example: how hard is the champion problem?
  - Following the comparison-based rule

What does "solve (解)" mean?

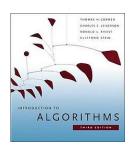
What does "difficult (難)" mean?

#### Problem Solving (解題)

- Definition of "solving" a problem
  - Giving an algorithm (演算法) that produces a correct output for any instance of the problem.

## Algorithm (演算法)

- RECIPE
- Algorithm: a detailed step-by-step instruction
  - Must follow the game rules
  - Like a step-by-step recipe
  - Programming language doesn't matter
  - → problem-solving recipe (technology)
- If an algorithm produces a correct output for any instance of the problem
  - → this algorithm "solves" the problem



"A well-defined computational procedure that transforms some input to some output"

## Hardness (難度)

- Hardness of the problem
  - How much effort the best algorithm needs to solve any problem instance
- 防禦力
  - 看看最厲害的賽亞人要花多少攻擊力才能打贏對手







# Algorithm Design & Analysis Process

#### Algorithm Design & Analysis Process

- Formulate a problem
- 2) Develop an algorithm
- 3) Prove the **correctness**
- 4) Analyze **running time/space** requirement

**Design Step** 

**Analysis Step** 

#### 1. Problem Formulation



#### The champion problem

- Input: n distinct integers  $A[1], A[2], \ldots, A[n]$ .
- Output: the index i with  $1 \le i \le n$  such that

$$A[i] = \max_{1 \le j \le n} A[j].$$

#### 2. Algorithm Design

- Create a detailed recipe for solving the problem
  - Follow the comparison-based rule
    - 不准偷看信封的內容
    - 請別人幫忙「比大小」
- Algorithm: 擂台法

Q1: (s this a comparison-based algorithm?

1. int *i, j*;

Q2: Does it solve the champion problem?

- 2. j = 1;
- 3. for  $(i = 2; i \le n; i++)$
- 4. if (A[i] > A[j])
- 5. j = i;
- 6. return *j*;



#### 3. Correctness of the Algorithm

Prove by contradiction (反證法)

The algorithm solves the champion problem.

**Proof** Let  $j^*$  be the correct answer. That is,  $A[j^*] = \max\{A[1], \dots, A[n]\}.$ 

- If  $j^* = 1$ , then Step 5 is never reached. Therefore, 1 is correctly returned.
- If  $j^* > 1$ , then in the iteration of the for-loop with  $i = j^*$ , j becomes  $j^*$ . By definition of  $j^*$ ,  $A[j^*] > A[i]$  holds for each  $i = j^* + 1, \ldots, n$ . Therefore, in the remaining iterations of the for-loop, the value of j does not change. Hence, at the end of the algorithm,  $j^*$  is correctly returned.

```
    int i, j;
    j = 1;
    for (i = 2; i <= n; i++)</li>
    if (A[i] > A[j])
    j = i;
    return j;
```

- How much effort the best algorithm needs to solve any problem instance
  - Follow the comparison-based rule
    - 不准偷看信封的內容
    - 請別人幫忙「比大小」
- Effort: we first use the times of comparison for measurement

```
    int i, j;
    j = 1;
    for (i = 2; i <= n; i++)</li>
    if (A[i] > A[j])
    j = i;
    return j;
```



- The hardness of the champion problem is (n 1) comparisons
  - a) There is an algorithm that can solve the problem using at most (n-1) comparisons
    - This can be proved by 擂臺法, which uses (n-1) comparisons for any problem instance
  - b) For any algorithm, there exists a problem instance that requires (n 1) comparisons
    - Why?



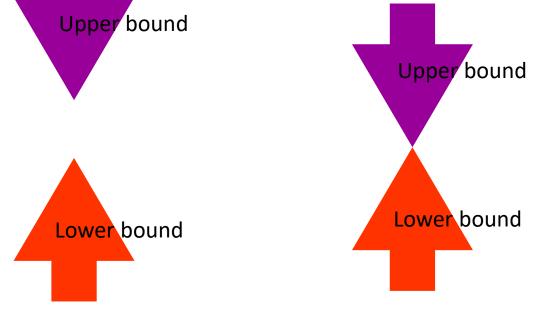
- Q: Is there an algorithm that only needs (n 2) comparisons?
- A: Impossible!
- Reason
  - A single comparison only decides a loser
  - If there are only (n 2) comparisons, the most number of losers is (n 2)
  - There exists a least 2 integers that did not lose
  - → any algorithm cannot tell who the champion is

#### Finding Hardness

Use the upper bound and the lower bound

• When they meet each other, we know the hardness of the

problem



- Upper bound
  - how many comparisons are sufficient to solve the champion problem
  - Each algorithm provides an upper bound
  - The smarter algorithm provides tighter, lower, and better upper bound

#### Lower bound

- how many comparisons in the worst case are necessary to solve the champion problem
- Some arguments provide different lower bounds
- Higher lower bound is better

```
多此一舉擂臺法
```

i = i;

return *j*;

```
1. int i, j; \Rightarrow (2n - 2) comparisons

2. j = 1;

3. for (i = 2; i <= n; i++)

4. if ((A[i] > A[j]) \&\& (A[j] < A[i]))
```

Every integer needs to be in the comparison once

 $\rightarrow$  (n/2) comparisons

When upper bound = lower bound, the problem is solved.

→ We figure out the hardness of the problem



#### 4. Algorithm Analysis

- The majority of researchers in algorithms studies the <u>time</u> and space required for solving problems in two directions
  - Upper bounds: designing and analyzing algorithms
  - Lower bounds providing arguments harder
- When the upper and lower bounds match, we have an optimal algorithm and the problem is completely resolved





教遐

我早就會了!

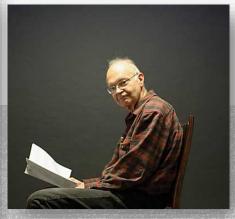


## (29) Asymptotic Analysis

 $O \Omega \Theta o \omega$ 



Edmund Landau (1877-1938)



Donald E. Knuth (1938-)

#### Motivation

- ullet The hardness of the champion problem is exactly n-1comparisons
- Different problems may have different 「難度量尺」
  - cannot be interchangeable

Focus on the standard growth of the function to ignore the

unit and coefficient effects

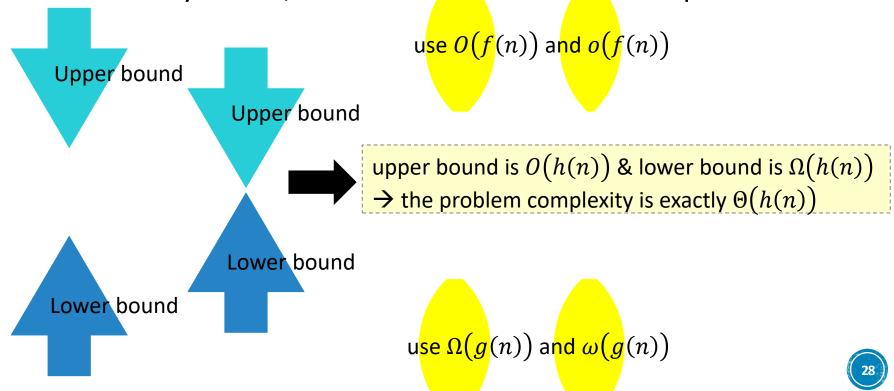


#### Goal: Finding Hardness

- For a problem P, we want to figure out
  - The hardness (complexity) of this problem P is  $\Theta(f(n))$ 
    - n is the instance size of this problem P
    - f(n) is a function
    - $\Theta(f(n))$  means that "it exactly equals to the growth of the function"
- Then we can argue that under the comparison-based computation model
  - The hardness of the champion problem is  $\Theta(n)$
  - The hardness of the sorting problem is  $\Theta(n \log n)$

#### Goal: Finding Hardness

- Use the upper bound and the lower bound
- When they match, we know the hardness of the problem



#### Goal: Finding Hardness

- First learn how to analyze / measure the effort an algorithm needs
  - Time complexity
  - Space complexity
- Focus on worst-case complexity
  - "average-case" analysis requires the assumption about the probability distribution of problem instances

Types	Description
Worst Case	Maximum running time for <b>any instance</b> of size <i>n</i>
Average Case	<b>Expected</b> running time for a random instance of size n
Amortized	Worse-case running time for a series of operations

## Review of Asymptotic Notation

(Textbook Ch. 3.1)

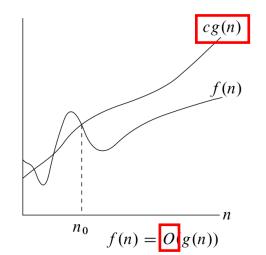
- f(n) = time or space of an algorithm for an input of size n
- Asymptotic analysis: focus on the **growth** of f(n) as  $n \to \infty$

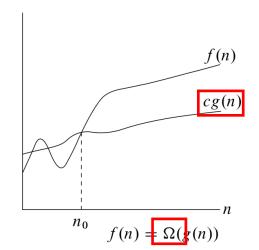


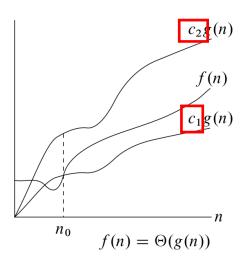
#### Review of Asymptotic Notation

(Textbook Ch. 3.1)

- f(n) = time or space of an algorithm for an input of size n
- Asymptotic analysis: focus on the **growth** of f(n) as  $n \to \infty$
- O, or Big-Oh: upper bounding function
- $\Omega$ , or Big-Omega: **lower** bounding function
- Θ, or Big-Theta: tightly bounding function







#### Formal Definition of Big-Oh

(Textbook Ch. 3.1)

• For any two functions f(n) and g(n),

$$f(n) = O(g(n))$$

if there exist positive constants  $n_0$  and c s.t.

$$0 \le f(n) \le c \cdot g(n)$$

for all  $n \ge n_0$ .

g(n)的某個常數倍 $c \cdot g(n)$ 可以在n夠大時壓得住f(n)

$$f(n) = O(g(n))$$

- Intuitive interpretation
  - f(n) does not grow faster than g(n)

#### Comments

- 1) f(n) = O(g(n)) roughly means  $f(n) \le g(n)$  in terms of rate of growth
- "=" is not "equality", it is like  $(\epsilon \text{ (belong to)})$ "

  The equality is  $\{f(n)\}\subseteq O(g(n))$
- 3) We do not write O(g(n)) = f(n)

#### Note

- f(n) and g(n) can be negative for some integers n
- In order to compare using asymptotic notation 0, both have to be non-negative for sufficiently large n
- This requirement holds for other notations, i.e.  $\Omega$ ,  $\Theta$ , o,  $\omega$

#### Review of Asymptotic Notation

(Textbook Ch. 3.1)

- Benefit
  - Ignore the <u>low-order terms</u>, <u>units</u>, and <u>coefficients</u>
  - Simplify the analysis
- Example:  $f(n) = 5n^3 + 7n^2 8$ 
  - Upper bound:  $f(n) = O(n^3)$ ,  $f(n) = O(n^4)$ ,  $f(n) = O(n^3 \log_2 n)$
  - Lower bound:  $f(n) = \Omega(n^3)$ ,  $f(n) = \Omega(n^2)$ ,  $f(n) = \Omega(n\log_2 n)$
  - Tight bound:  $f(n) = \Theta(n^3)$

"=" doesn't mean "equal to"

- Q:  $f(n) = O(n^3)$  and  $f(n) = O(n^4)$ , so  $O(n^3) = O(n^4)$ ?
  - $O(n^3)$  represents a set of functions that are upper bounded by  $cn^3$  for some constant c when n is large enough  $O(n^4) = O(n^3)$  weird
  - In asymptotic analysis, "=" means "€ (belong to)"

#### Exercise: $100n^2 = O(n^3 - n^2)$ ?

Draft.

$$100n^2 \le 100(n^3 - n^2)$$

$$\leftarrow 200n^2 < 100n^3$$

$$\leftarrow 2 \le n$$

• Let  $n_0 = 2$  and c = 100

$$100n^2 \le 100(n^3 - n^2)$$

holds for  $n \geq 2$ 

$$100n^2 = O(n^3 - n^2)$$

## Exercise: $n^2 = O(n)$ ?

- Disproof.
  - Assume for a contradiction that there exist positive constants c and  $n_0$  s.t.

$$n^2 \leq c n$$
 holds for any integer  $n$  with  $n \geq n_0.$ 

Assume

$$n = 1 + \lceil \max(n_0, c) \rceil$$

and because  $\ n>n_0, n>c$  , it follows that

$$n^2 > cn$$

Due to contradiction, we know that

$$n^2 \neq O(n)$$

#### Rules

#### (Textbook Ch. 3.1)

The following statements hold for any real-valued functions f(n) and g(n), where there is a constant  $n_0$  such that f(n) and g(n) are nonnegative for any integer  $n \geq n_0$ .

- Rule 1: f(n) = O(f(n)).
- Rule 2: If c is a positive constant, then  $c \cdot O(f(n)) = O(f(n))$ .
- Rule 3: If f(n) = O(g(n)), then O(f(n)) = O(g(n)).
- Rule 4:  $O(f(n)) \cdot O(g(n)) = O(f(n) \cdot g(n))$ .
- Rule 5:  $O(f(n) \cdot g(n)) = f(n) \cdot O(g(n))$ .

#### Other Notations

(Textbook Ch. 3.1)

$$f(n) = O(g(n)) \to f(n) \leq g(n)$$
 in rate of growth  $f(n) = \Omega(g(n)) \to f(n) \geq g(n)$  in rate of growth  $f(n) = \Theta(g(n)) \to f(n) = g(n)$  in rate of growth  $f(n) = o(g(n)) \to f(n) < g(n)$  in rate of growth  $f(n) = \omega(g(n)) \to f(n) > g(n)$  in rate of growth

#### Goal: Finding Hardness

- First learn how to analyze / measure the effort an algorithm needs
  - Time complexity
  - Space complexity
- Focus on worst-case complexity
  - "average-case" analysis requires the assumption about the probability distribution of problem instances

Using O to give upper bounds on the worst-case time complexity of algorithms



■ 擂台法

The worst-case time complexity is

$$0(1)$$
 time-

2. 
$$j = 1$$
;

$$0(1)$$
 time

3. for 
$$(i = 2; i \le n; i++) O(n)$$
 iterations

4. if 
$$(A[i] > A[j])$$

$$0(1)$$
 time

5. 
$$j = i$$
;

$$0(1)$$
 time

$$O(1)$$
 time

$$0(1)$$
 time

$$O(1) + O(1) + O(n) \cdot (O(1) + O(1)) + O(1)$$

$$3 O(1) + O(n) (2O(1))$$

$$=O(1) + O(n) \cdot O(1)$$
 Rule 2

$$=O(1) + O(n)$$

$$=O(n) + O(n)$$
 1 =  $O(n)$  & Rule 3

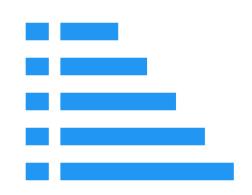
$$1 = O(n)$$
 & Rule 3

$$=2 \cdot O(n)$$

$$=O(n)$$

Adding everything together → an upper bound on the worst-case time complexity

#### Sorting Problem



• Input:

An array A of n distinct integers.

• Output:

Reorder A such that  $A[1] < A[2] < \cdots < A[n]$ .

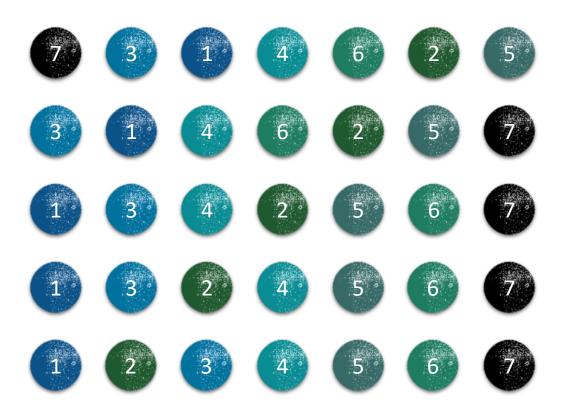
Bubble-Sort Algorithm

```
int i, d<mark>one;</mark>
1.
                       flag
      do {
         done = 1;
3.
         for (i = 1; i < n; i ++) {
           if(A[i] > A[i + 1]) {
5.
              exchange A[i] and A[i + 1];
              done = 0;
7.
8.
9.
      } while (done == 0)
10.
```

```
O(1) time
 f(n) iterations
 O(1) time
 O(n) iterations
 O(1) time
O(1) time
 O(1) time
   O(1) + f(n) \cdot (O(1) + O(n) \cdot O(1))
 =O(1) + f(n) \cdot O(n)
 =f(n)\cdot O(n) f(n)=O(n)
```

 $= O(n^2)$  prove by induction

## **Example Illustration**



#### Goal: Finding Hardness

- First learn how to analyze / measure the effort an algorithm needs
  - Time complexity
  - Space complexity
- Focus on worst-case complexity
  - "average-case" analysis requires the assumption about the probability distribution of problem instances

Using O to give **upper bounds** on the worst-case time complexity of algorithms

Using  $\Omega$  to give **lower bounds** on the worst-case time complexity of algorithms



#### ■ 擂台法

```
\Omega(1) time
      int i;
1.
                                        \Omega(1) time
      int m = A[1];
2.
                                       \Omega(n) iterations
      for (i = 2; i \le n; i ++) {
3.
         if (A[i] > m)
                                        \Omega(1) time
4.
                                        \Omega(1) time
              m = A[i];
5.
6.
                                        \Omega(1) time
      return m;
7.
```

$$3 \cdot \Omega(1) + \Omega(n) \cdot (2 \cdot \Omega(1))$$

$$= \Omega(1) + \Omega(n) \cdot \Omega(1)$$

$$= \Omega(1) + \Omega(n)$$

$$= \Omega(n)$$

→ a lower bound on the worstcase time complexity?



#### 百般無聊擂台法

```
\Omega(1) time
      int i;
1.
                                       \Omega(1) time
      int m = A[1];
2.
      for (i = 2; i \le n; i ++) {
                                       \Omega(n) iterations
3.
                                       \Omega(1) time
         if (A[i] > m)
4.
                                       \Omega(1) time
              m = A[i];
5.
        if (i == n)
                                       \Omega(1) time
6.
                                       \Omega(n) time
            do i++ n times
7.
8.
                                       \Omega(1) time
      return m;
9.
```

$$3 \cdot \Omega(1) + \Omega(n) \cdot (3 \cdot \Omega(1) + \Omega(n))$$

$$= \Omega(1) + \Omega(n) \cdot \Omega(n)$$

$$= \Omega(1) + \Omega(n^{2})$$

$$= \Omega(n^{2})$$

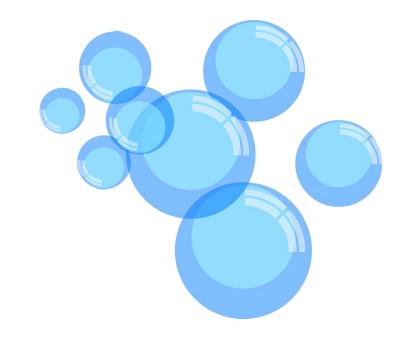


Adding together may result in errors.

The safe way is to analyze using problem instances.

Bubble-Sort Algorithm

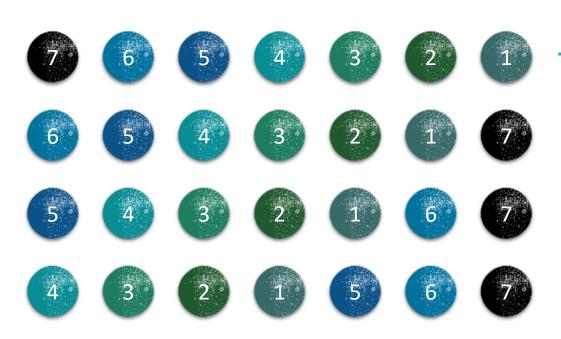
```
int i, done;
                                    f(n) iterations
      do {
        done = 1;
        for (i = 1; i < n; i ++) \{ \Omega(n) \text{ time } \}
           if (A[i] > A[i + 1]) {
5.
              exchange A[i] and A[i + 1];
              done = 0;
      } while (done == 0)
10.
```



When A is decreasing,  $f(n) = \Omega(n)$ . Therefore, the worst-case time complexity of Bubble-Sort is

$$f(n) \cdot \Omega(n) = \Omega(n^2)$$

## **Example Illustration**



*n* iterations

# Algorithm Complexity

In the worst case, what is the growth of function an algorithm takes

#### Time Complexity of an Algorithm

- We say that the (worst-case) time complexity of Algorithm A is  $\Theta(f(n))$  if
- 1. Algorithm A runs in time O(f(n)) &
- 2. Algorithm A runs in time  $\Omega(f(n))$  (in the worst case)
  - $\circ$  An input instance I(n) s.t. Algorithm A runs in  $\Omega(f(n))$  for each n

### Tightness of the Complexity

- If we say that the time complexity analysis about O(f(n)) is tight  $^{\perp R \oplus G \cap G}$
- = the algorithm runs in time  $\Omega(f(n))$  in the worst case
- = (worst-case) time complexity of the algorithm is  $\Theta(f(n))$ 
  - Not over-estimate the worst-case time complexity of the algorithm
- If we say that the time complexity analysis of Bubble-Sort algorithm about  $O(n^2)$  is tight
- = Time complexity of Bubble-Sort algorithm is  $\Omega(n^2)$
- = Time complexity of Bubble-Sort algorithm is  $\Theta(n^2)$

#### ■ 百般無聊擂台法

```
O(1) time
     int i;
1.
                                O(1) time
     int m = A[1];
     for (i = 2; i \le n; i ++)
                                O(n) iterations
                                O(1) time
       if (A[i] > m)
4.
           m = A[i];
                                O(1) time
5.
     if (i == n)
                                O(1) time
6.
          do i++ n times
                                O(n) time
8.
                                O(1) time
     return m;
9.
```

The worst-case time complexity of  $\Box$  百般無聊擂臺法」is  $\Theta(n)$ .

#### <mark>non-tight</mark> analysis

$$3 \cdot O(1) + O(n) \cdot (3 \cdot O(1) + O(n))$$
$$=O(1) + O(n) \cdot O(n)$$

$$=O(1) + O(n^2)$$

$$=O(n^2)$$

#### tight analysis

Step 3 takes O(n) iterations for the forloop, where only last iteration takes O(n) time and the rest take O(1) time. The steps 3-8 take time

$$O(n) \cdot O(1) + 1 \cdot O(n) = O(n)$$

The same analysis holds for  $\Omega(n)$ 



#### Algorithm Comparison

- Q: can we say that Algorithm 1 is a better algorithm than Algorithm 2 if
  - Algorithm 1 runs in O(n) time
  - Algorithm 2 runs in  $O(n^2)$  time
- A: No! The algorithm with a lower upper bound on its worst-case time does not necessarily have a lower time complexity. 沒有說哪一個是tight

#### Comparing A and B



- Algorithm A is no worse than Algorithm B in terms of worst-case time complexity if there exists a positive function f(n) s.t.
  - Algorithm A runs in time O(f(n)) &
  - Algorithm B runs in time  $\Omega(f(n))$  in the worst case
- Algorithm A is (strictly) better than Algorithm B in terms of worst-case time complexity if there exists a positive function f(n) s.t.
  - Algorithm A runs in time O(f(n)) & A<=n
  - Algorithm  ${\it B}$  runs in time  $\omega \big( f(n) \big)$  in the worst case B>n or
  - Algorithm A runs in time o(f(n)) &
  - Algorithm B runs in time  $\Omega(f(n))$  in the worst case

## Problem Complexity

In the worst case, what is the growth of the function the optimal algorithm of the problem takes

#### Time Complexity of a Problem

- We say that the (worst-case) time complexity of Problem P is  $\Theta(f(n))$  if
- 1. The time complexity of Problem P is O(f(n)) &
  - $\circ$  There exists an O(f(n))-time algorithm that solves Problem P
- 2. The time complexity of Problem P is  $\Omega(f(n))$ 
  - $\circ$  Any algorithm that solves Problem P requires  $\Omega(f(n))$  time
- The time complexity of the champion problem is  $\Theta(n)$  because
- 1. The time complexity of the champion problem is O(n) &
  - $\circ$  「擂臺法」is the  $\mathit{O}(\mathit{n})$ -time algorithm
- 2. The time complexity of the champion problem is  $\Omega(n)$ 
  - $\circ$  Any algorithm requires  $\Omega(n)$  time to make each integer in comparison at least once

#### Optimal Algorithm

最好的

- If Algorithm A is an optimal algorithm for Problem P in terms of worst-case time complexity
  - Algorithm A runs in time O(f(n)) &
  - The time complexity of Problem P is  $\Omega(f(n))$  in the worst case
- Examples (the champion problem)
  - 擂台法→optimal algorithm
    - It runs in O(n) time &
    - Any algorithm solving the problem requires time  $\Omega(n)$  in the worst case
  - ■百般無聊擂台法 → optimal algorithm
    - It runs in O(n) time &
    - Any algorithm solving the problem requires time  $\Omega(n)$  in the worst case







- Problem P is no harder than Problem Q in terms of (worst-case) time complexity if there exists a function f(n) s.t.
  - The (worst-case) time complexity of Problem P is O(f(n)) & Q=>n
  - The (worst-case) time complexity of Problem Q is  $\Omega(f(n))$
- Problem P is (strictly) easier than Problem Q in terms of (worst-case) time complexity if there exists a function f(n) s.t.
  - The (worst-case) time complexity of Problem P is O(f(n)) &
  - The (worst-case) time complexity of Problem Q is  $\omega(f(n))$  or
  - זכ
  - The (worst-case) time complexity of Problem P is o(f(n)) &
  - The (worst-case) time complexity of Problem Q is  $\Omega(f(n))$

### **Concluding Remarks**

- Algorithm Design and Analysis Process
  - 1) Formulate a **problem**
  - 2) Develop an **algorithm**
  - 3) Prove the **correctness**
  - 4) Analyze **running time/space** requirement

**Design Step** 

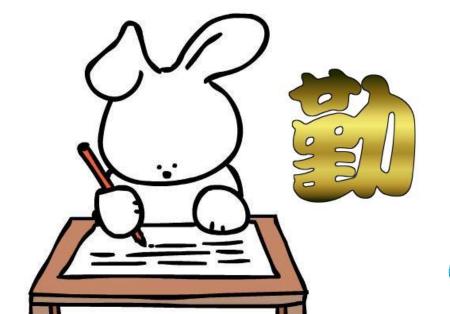
**Analysis Step** 

- Usually brute force (暴力法) is not very efficient
- Analysis Skills
  - Prove by contradiction
  - Induction
  - Asymptotic analysis
  - Problem instance
- Algorithm Complexity
  - In the worst case, what is the growth of function an algorithm takes
- Problem Complexity
  - In the worst case, what is the growth of the function the optimal algorithm of the problem takes



## Reading Assignment

Textbook Ch. 3 – Growth of Function





## Question?

Important announcement will be sent to @ntu.edu.tw mailbox & post to the course website

Course Website: <a href="http://ada.miulab.org">http://ada.miulab.org</a>

Email: ada-ta@csie.ntu.edu.tw