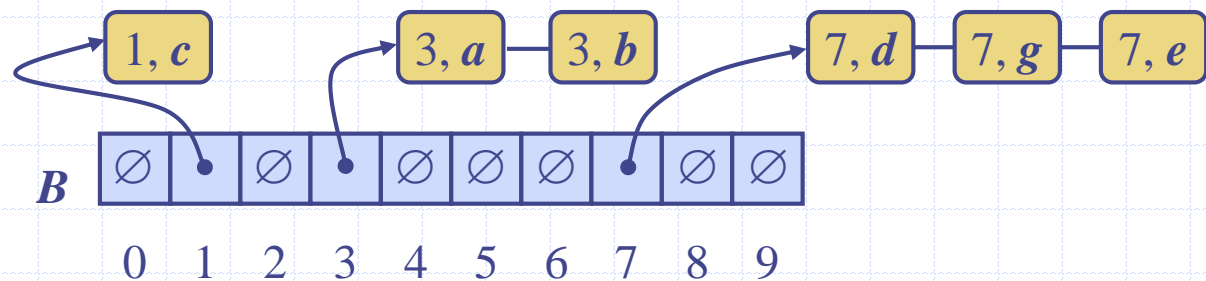


# Bucket-Sort and Radix-Sort



# Introduction

◆ Comparison-based sorting algorithms →  
 $\Omega(n \log n)$

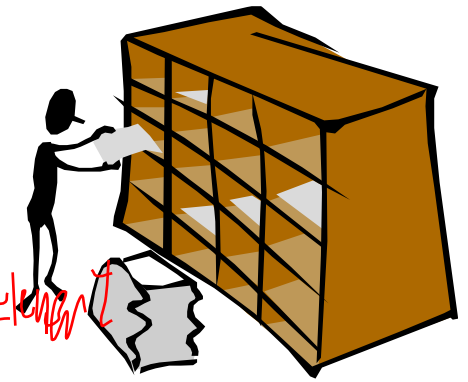
- Quick-sort, heap-sort, merge-sort

◆ Can we do better?

- Yes, if the keys have a restricted type
- Examples: Bucket-sort and radix-sort
- Complexity: Linear!

No comparison needed!

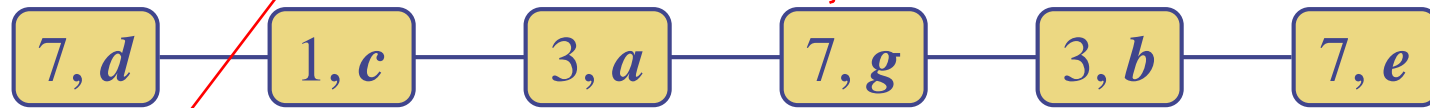
# Bucket-sort Example



◆ Key range [0, 9]

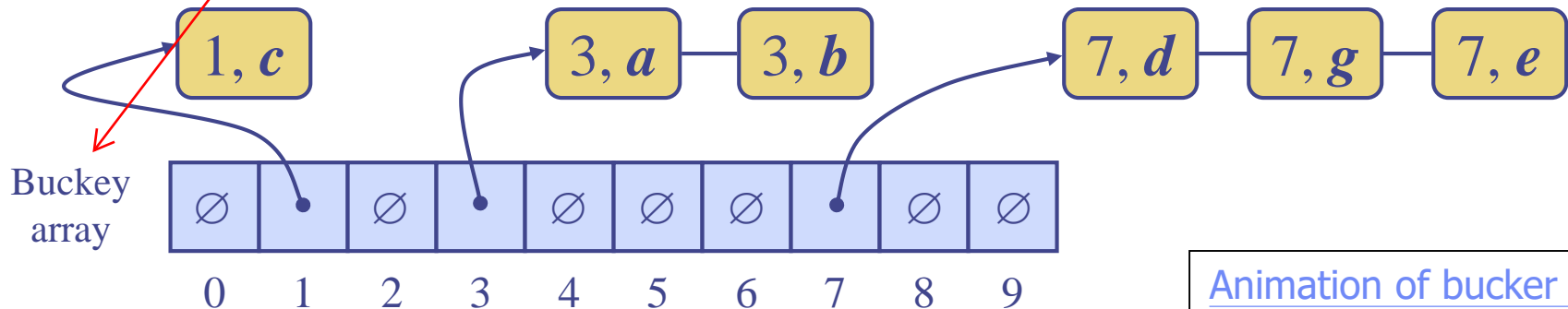
$10000/N = \# \text{ of elements}$

$175 \sqrt{N}$



$O(n)$  Phase 1

Exam scores, etc.



[Animation of bucket sort](#)

$O(n+N)$  Phase 2





# Bucket-Sort

- ◆ Let be  $S$  be a sequence of  $n$  (key, element) entries with keys **in the range**  $[0, N - 1]$
  - ◆ Bucket-sort uses the keys as indices into an auxiliary array  $B$  of sequences (buckets)
    - Phase 1:** Empty sequence  $S$  by moving each entry  $(k, o)$  into its bucket  $B[k]$
    - Phase 2:** For  $i = 0, \dots, N - 1$ , move the entries of bucket  $B[i]$  to the end of sequence  $S$
  - ◆ Analysis:
    - Phase 1 takes  $O(n)$  time
    - Phase 2 takes  $O(n + N)$  time
- Bucket-sort takes  $O(n + N)$  time

## Algorithm *bucketSort*( $S, N$ )

**Input** sequence  $S$  of (key, element) items with keys in the range  $[0, N - 1]$

**Output** sequence  $S$  sorted by increasing keys

$B \leftarrow$  array of  $N$  empty sequences

**while**  $\neg S.empty()$

$(k, o) \leftarrow S.front()$

$S.eraseFront()$

$B[k].insertBack((k, o))$

**for**  $i \leftarrow 0$  **to**  $N - 1$

**while**  $\neg B[i].empty()$

$(k, o) \leftarrow B[i].front()$

$B[i].eraseFront()$

$S.insertBack((k, o))$

Linear time if  $N \ll n$

Bucket-Sort and Radix-Sort

# Counting-sort

## ◆ Characteristics of counting-sort

- A special case of bucket-sort
- Avoid the use of linked list by counting the **number** of occurrence of each key

# Counting-sort Example

Quiz!

- Input array:

6	0	1	3	2	3	4	2	2
---	---	---	---	---	---	---	---	---

Keys in 0~6

- Count array:

0	1	2	3	4	5	6
1	1	3	2	1	0	1

Use keys as indices

- Position array:

0	1	2	3	4	5	6
0	1	2	5	7	8	8

Cumulative sum of the count array

Starting positions

- Output array:

0	1	2	3	4	5	6	7	8
0	1	2	2	2	3	3	4	6

[Animation of count-sort](#)

# Properties and Extensions



## ◆ Key-type Property

- The keys are used as indices into an array and cannot be arbitrary objects
- No external comparator

## ◆ Stable Sort Property

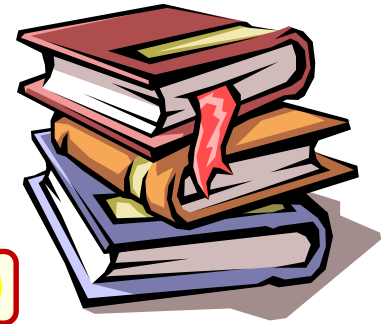
- The relative order of any two items with the same key is preserved after the execution of the algorithm

## Extensions

- Integer keys in the range  $[a, b]$ 
  - ◆ Put entry  $(k, o)$  into bucket  $B[k - a]$
- String keys from a set  $D$  of possible strings, where  $D$  has constant size (e.g., names of the 50 U.S. states)
  - ◆ Sort  $D$  and compute the rank  $r(k)$  of each string  $k$  of  $D$  in the sorted sequence
  - ◆ Put entry  $(k, o)$  into bucket  $B[r(k)]$

You need to convert keys into bucket array indices.

# Lexicographic Order



Dictionary order

- ◆ A  $d$ -tuple is a sequence of  $d$  keys  $(k_1, k_2, \dots, k_d)$ , where key  $k_i$  is said to be the  $i$ -th dimension of the tuple
- ◆ Example:
  - The Cartesian coordinates of a point in space are a 3-tuple
- ◆ The lexicographic order of two  $d$ -tuples is recursively defined as follows

$$(x_1, x_2, \dots, x_d) < (y_1, y_2, \dots, y_d)$$



$$x_1 < y_1 \vee x_1 = y_1 \wedge (x_2, \dots, x_d) < (y_2, \dots, y_d)$$

I.e., the tuples are compared by the first dimension, then by the second dimension, etc.



# Lexicographic-Sort

- ◆ Let  $C_i$  be the comparator that compares two tuples by their  $i$ -th dimension
- ◆ Let  $stableSort(S, C)$  be a **stable** sorting algorithm that uses comparator  $C$
- ◆ Lexicographic-sort sorts a sequence of  $d$ -tuples in lexicographic order by executing  $d$  times algorithm  $stableSort$ , one per dimension
- ◆ Lexicographic-sort runs in  $O(dT(n))$  time, where  $T(n)$  is the running time of  $stableSort$

**Algorithm** *lexicographicSort*( $S$ )

**Input** sequence  $S$  of  $d$ -tuples

**Output** sequence  $S$  sorted in lexicographic order

**for**  $i \leftarrow d$  **downto** 1  
     $stableSort(S, C_i)$

Another example:  
Sort dates

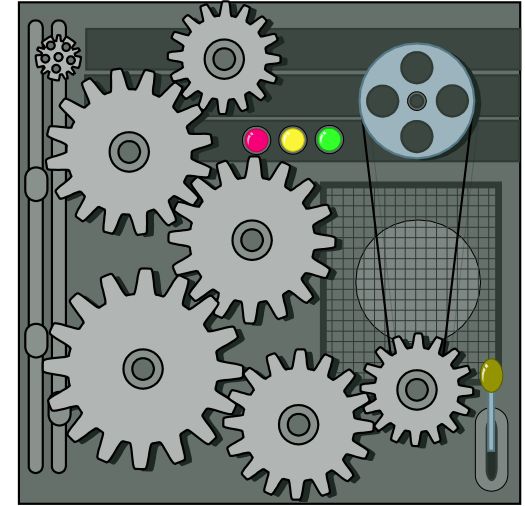
**Example:**

(7, 4, 6) (5, 1, 5) (2, 4, 6) (2, 1, 4) (3, 2, 4)  
(2, 1, 4) (3, 2, 4) (5, 1, 5) (7, 4, 6) (2, 4, 6)  
(2, 1, 4) (5, 1, 5) (3, 2, 4) (7, 4, 6) (2, 4, 6)  
(2, 1, 4) (2, 4, 6) (3, 2, 4) (5, 1, 5) (7, 4, 6)

# Radix-Sort

- ◆ Radix-sort is a specialization of lexicographic-sort that uses **bucket-sort** as the stable sorting algorithm in each dimension
- ◆ Radix-sort is applicable to tuples where the keys in each dimension  $i$  are integers in the range  $[0, N - 1]$
- ◆ Radix-sort runs in time  $O(d \cdot (n + N))$

[Animation of radix sort](#)



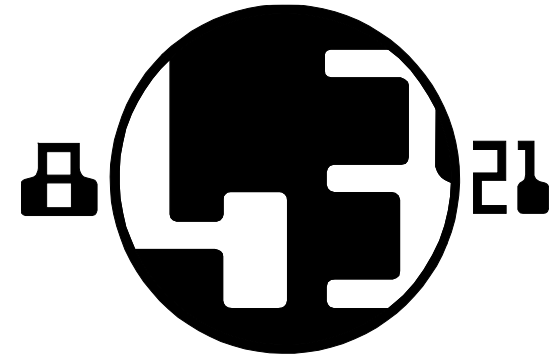
## Algorithm *radixSort*( $S, N$ )

**Input** sequence  $S$  of  $d$ -tuples such that  $(0, \dots, 0) \leq (x_1, \dots, x_d)$  and  $(x_1, \dots, x_d) \leq (N - 1, \dots, N - 1)$  for each tuple  $(x_1, \dots, x_d)$  in  $S$

**Output** sequence  $S$  sorted in lexicographic order

**for**  $i \leftarrow d$  **downto** 1  
    *bucketSort*( $S, N$ )

# Radix-Sort for Binary Numbers



- ◆ Consider a sequence of  $n$   $b$ -bit integers

$$x = x_{b-1} \dots x_1 x_0$$

- ◆ We represent each element as a  $b$ -tuple of integers in the range  $[0, 1]$  and apply radix-sort with  $N = 2$
- ◆ This application of the radix-sort algorithm runs in  $O(bn)$  time
- ◆ For example, we can sort a sequence of **32-bit integers** in linear time

**Algorithm** *binaryRadixSort(S)*

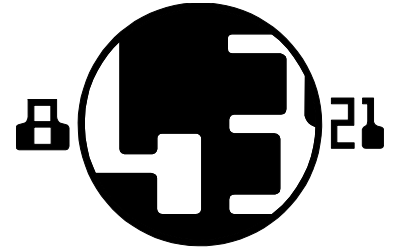
**Input** sequence  $S$  of  $b$ -bit integers

**Output** sequence  $S$  sorted  
replace each element  $x$  of  $S$  with the item  $(0, x)$

**for**  $i \leftarrow 0$  **to**  $b - 1$

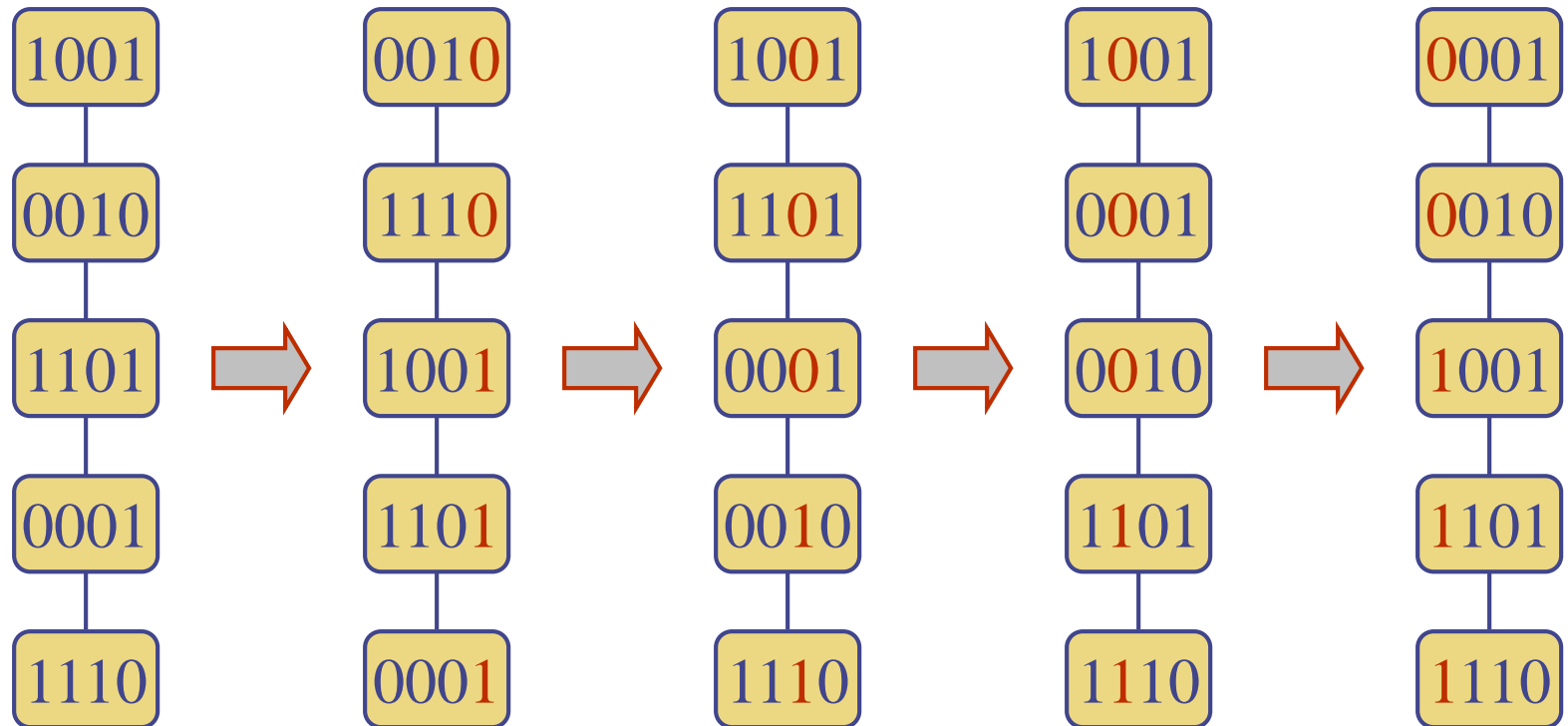
replace the key  $k$  of each item  $(k, x)$  of  $S$  with bit  $x_i$  of  $x$

*bucketSort(S, 2)*



# Radix-sort Example

◆ Sorting a sequence of 4-bit integers



# Another Radix-sort Example

1233  
1145  
7650  
4721  
6732  
4265  
7235  
6161  
0774  
5336  
4536  
5522

*input*

7650  
4721  
6161  
6732  
5522  
1233  
0774  
1145  
4265  
7235  
5336  
4536

*digit 1*

4721  
5522  
6732  
1233  
7235  
5336  
4536  
1145  
7650  
6161  
4265  
0774

*digit 2*

1145  
6161  
1233  
7235  
4265  
5336  
5522  
4536  
7650  
6732  
4721  
0774

*digit 3*

0774  
1145  
1233  
4265  
4536  
4721  
5336  
5522  
6161  
6732  
7235  
7650

*digit 4*

◆ Quicksort:  $O(n \log n)$

◆ Radix sort:  $O(d \cdot (n+10))$

Quiz!

