

# Slot Based Scheduling



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One of the ways that NR enables the three axes of 5G (eMBB, URLLC, mMTC) is by providing greater flexibility in use of radio resources.

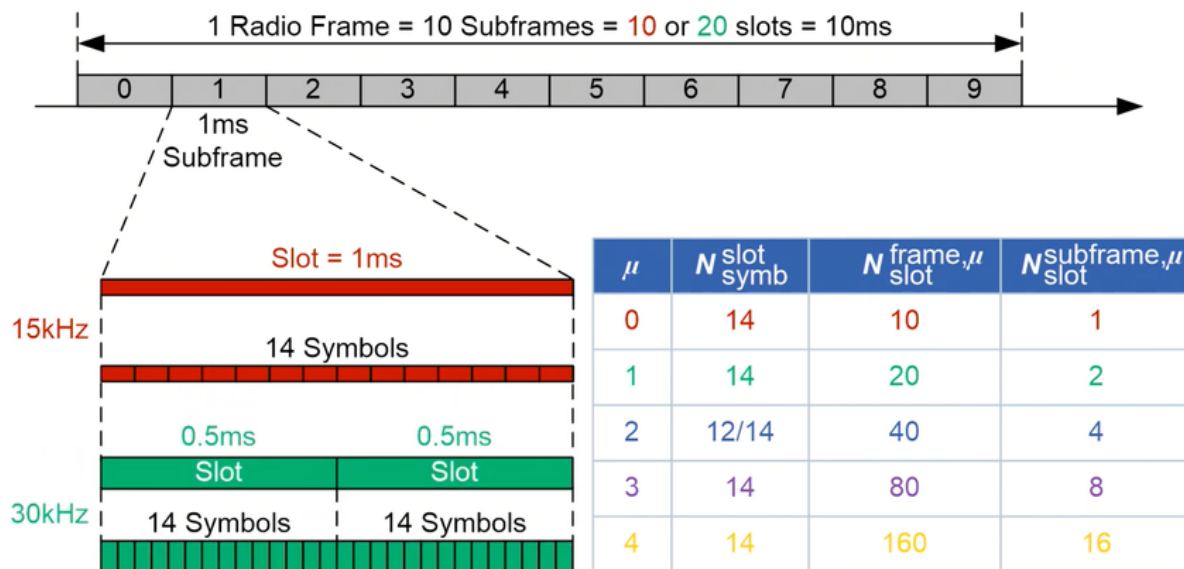
In both 4G and 5G

- a radio frame is defined as a 10ms interval, and
- a subframes is defined as one of 10 contiguous 1ms intervals within a radio frame.

In 4G the number of slots in a subframe is always 1, and the number of symbols in a slot is always 14.

In 5G, that combination is just one of 7 options called numerologies. The 7 different numerologies are indexed by the symbol  $\mu$  in the table below.

## Comparing $\mu=0$ and $\mu=1$ Frame Format



5G numerologies in some pre-release 17 state; release 17 has 7 numerologies and in each the number of symbols per slot is either 7 or 14.

The smallest division of time here, a symbol, is the time for transmission of one OFDM symbol.

That is, there is a double use of the word symbol here; in discussion of modulation schemes the word "symbol" refers to a unit of information, but on this page "symbol" refers to a window of time. I'll clarify with an example. Referring back to the contents of the Introduction to 5G page on modulation, if a subcarrier frequency of  $f=700\text{MHz}$  is to send the QAM symbol for 01 (encoded with  $I=-1$  for the 0, and  $Q=1$  for the 1) then it sends a sinusoidal wave proportional to

$$(-1) \cos(2\pi f t) - (1) \sin(2\pi f t)$$

over the time interval for the symbol.

Thus, each subcarrier frequency carries a symbol (like 01) over each time interval called a symbol (like a  $\mu=0$  interval of width  $1\text{ms}/14$ ).

## Slot Formats

The UE and gNB agree on a timing for use of a subcarrier frequency as an uplink or downlink channel. The timing options for 14 symbol slots are enumerated by 3GPP specifications, and a few of the options are shown below. In the table, D is for downlink and U is for uplink.

Format	Symbol number in a slot													
	0	1	2	3	4	5	6	7	8	9	10	11	12	13
0	D	D	D	D	D	D	D	D	D	D	D	D	D	D
1	U	U	U	U	U	U	U	U	U	U	U	U	U	U
2	X	X	X	X	X	X	X	X	X	X	X	X	X	X
...	...													
32	D	D	D	D	D	D	D	D	D	D	X	X	U	U
...	...													
61	D	D	X	X	X	X	U	D	D	X	X	X	X	U
62 – 254	Reserved													
255	UE determines the slot format for the slot based on <i>TDD-UL-DL-ConfigurationCommon</i> , or <i>TDD-UL-DL-ConfigDedicated</i> and, if any, on detected DCI formats													

Source: 3GPP TS 38.213 V15.4.0, Table 11.1.1-1

## Duplexing with Slot Formats

Recall that the term “duplexing” refers to sending information both directions, uplink and downlink. By contrast, half-duplex refers to sending information one direction. This subsection shows how the format options in NR facilitate a range of duplexing.

### Half Duplex

Format 0 and 1 correspond to using a carrier frequency as a half duplex channel. Format 0 is the time division duplexing option for the half duplex scheme one might call “downlink only”. Format 1 is the time division duplexing option for the half duplex scheme one might call “uplink only”.

### FDD

Use of format 0 and format 1 for two different subcarrier frequencies to allow simultaneous uplink and downlink. That simultaneous use is called frequency division duplexing (FDD).

### TDD

The other formats are for time division duplex; the slots are broken down into time periods for uplink, downlink or for neither. Some applications are uplink heavy (like uploading a video). Others are downlink heavy (like watching a video.) NR can accommodate a wide variety of these applications using the 62 predefined format options, future format options that 3GPP might specify for option 63 etc, or custom UE defined option 255.

## Slot Format Scheduling

Most typically, the signaling about which slot format to use on each subcarrier frequency is transmitted from the gNB to the UE by either

- the dedicated signaling connection in the RRC layer
- the downlink control information (DCI) which has a slot format indicator.

Less typically, as seen in the table above, format 255 specifies that the UE decides tells the gNB the slot format.

Thus, slot format 255 might be a good option for application that require careful control by an application of the uplink-downlink ratio. In particular, future VR applications run over NR will likely need a high level of control over the uplink to downlink data transmission ratio.

## Mini-slots

Please help; I can not find a presentation of 5G minislots that does not center around the idea “as you already know about minislots...”