## RNIE: predicting intrinsic terminators in bacterial sequence

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## **Abstract**

Bacterial Rho-independent terminators (RITs) are important genomic landmarks involved in gene regulation and terminating gene expression. In this investigation we present RNIE, a probabilistic approach for predicting RITs. The method is based upon covariance models which have been known for many years to be the most accurate computational tools for predicting structural non-coding RNAs using homology. We show that RNIE has superior performance in model species from a spectrum of bacterial phyla. Further analysis of species where a low number of RITs were predicted revealed a highly conserved structural sequence motif enriched near the genic termini of the pathogenic Actinobacteria, Mycobacterium tuberculosis. This motif, together with classical RITs, account for up to 90% of all the significantly structured regions from the termini of M. tuberculosis genic elements.

Software, predictions and alignments are available from https://github.com/ppgardne/RNIE

## Introduction

Transcription termination in bacteria is accomplished by two different mechanisms, both dependent upon RNA polymerase (RNAP) pause sites. The first relies upon the interaction of a protein called Rho with RNA polymerase. The second, often called "intrinsic termination", relies upon the presence of short genomically encoded motifs called Rho-independent terminators (RITs). These are characterised by short G+C-rich hairpin loops containing approximately 30 nucleotides followed by a poly-uridine tail of typically three to seven consecutive uridines which precede the stop-site (see Figure 1). These motifs bind to

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components of RNA polymarase causing the bacterial polymerase to stall, releasing the nascent transcript resulting in transcription termination [1].

An early bioinformatic analysis of complete genomes implied that there is a broad range in the degree of dependence on intrinsic versus Rho-dependent termination [2]. This result is supported by mutagenesis experiments showing that the terminator protein Rho is essential in some organisms such as *S. enterica* yet non-essential in others such as *B. subtilis* [3,4]. Indeed, Rho itself is the only protein known to depend on Rho-dependent termination in *B. subtilis*. Consequently there appears to be competition between the two termination systems across bacterial species, resulting in clade-specific skews in usage for one or the other [5–7]. For example, in *E. coli* just 171 genes have experimentally characterised RITs, whereas in *B. subtilis* there are 891 confirmed terminations by RITs.

As the number of bacterial genome sequences grows (1194 in the June 2010 release of EMBL ver. 104) gene annotation is increasingly reliant on automated approaches. This will accelerate as new sequencing technologies are targeted towards sparse and poorly covered corners of the bacterial tree of life [8]. Therefore it is of utmost importance to ensure that this annotation is as accurate as possible. Transcription terminators, along with promoters, Shine-Dalgarno sequences and the start and stop codons form important landmarks in the bacterial genomic landscape. By evaluating each landmark in the context of neighbouring landmarks we can start to improve the depth of genome annotations and support predictions of biological significance [9]. Furthermore, some researchers have successfully inferred and subsequently verified the existence of non-coding RNA (ncRNA) genes using promoter and terminator annotation tools [10]. These ncRNAs have historically have been notoriously difficult to infer. This approach has now been automated using the sRNApredict and sRNAscanner bioinformatic tools [11, 12]. The task of predicting RITs has been tackled previously but no existing method results in highly reliable predictions. Typically these approaches use a free-energy-based approach to infer a secondary structure and either an ad-hoc score for the characteristic poly-U tail or a further energy based approach computing the affinity for the 3' RIT tail with the template DNA strand [13–15]. Some of the methods also give bonuses to predictions that occur in the correct genetic context e.g. immediately 3' to an annotated CDS [15, 16] In this work we have implemented a covariance model (CM) based approach for annotating RITs. We show that the CM-based approach is more accurate than existing methods, despite the fact that we are not using additional information such as proximity to the 3' end of an annotated gene. After noticing a paucity of predicted RITs in the *Mycobacterium tuberculosis* genome we investigated the existence of significantly structured regions in the proximity of 3' ends of annotated genes relative to shuffled controls. We were

surprised to discover the presence of a previously unknown abundant hairpin motif with a well conserved sequence.

## Methods

The approach for RIT prediction that we have developed makes use of covariance models (CMs) [17,18]. CMs are powerful statistical models for identifying homologs to a family of related RNAs. This is done by comparison to a "seed" alignment of representative RNAs that have been annotated with a consensus secondary structure. In recent years, CM methods have become increasingly practical due to dramatic improvements in the memory-requirements [19], computation time [20–22] and accuracy [23]. For the following work we have used the Infernal package, version 1.0.2 [24].

This approach avoids the awkward issue of combining unrelated measures of free-energy and sequence composition. Instead, the primary sequence and predicted secondary structure of target sequences are scored within a unified statistical framework. The probability that each subsequence of a target database was generated by the CM is computed and compared with the probability it was generated by a background model of random sequence, resulting in a log-odds score called a 'bit score'. Positive scores indicate a given sequence looks more like the seed sequences than a random sequence, conversely negative scores look more like random sequences than seed sequences.

## **Building a RIT alignment**

We obtained 171 and 891 experimentally validated terminators from the Gram-negative Proteobacteria *E. coli* and the Gram-positive Firmicute *B. subtilis* respectively [5–7]. These were made available by the ECDC (E.coli database collection) and a well annotated set of *B. subtilis* RITS from the supplementary materials of de Hoon *et al.* (2005). The evidence for the RITs was carefully checked and 981 sequences with experimental evidence for RIT activity were used for further work.

We ran iterative rounds of alignment, structure prediction, refinement and homology search on this dataset to produce a large alignment of RITs. The alignments and structures were inferred and refined using a combination of the computational methods WAR [25], CMfinder [26], MLocarna [27] and Infernal [24] followed by manual refinement using the RALEE alignment editor [28]. Searches of the EMBL database were performed using the Rfam annotation pipeline [29]. Carefully selected predicted terminators, variant from existing seed sequences, were incorporated into the seed. These selected sequences where required to fulfil the following criteria: (1) the maximum similarity to an existing seed sequence had to be 95% and the

minimum 60%, (2) the minimum fraction of canonical basepairs had to be 75%, (3) the sequence annotation should not contain terms like contaminant, pseudogene, repeat or transposon (4) they must score above a bit score threshold of 20. These criteria have been found in other work to produce candidate sequences with useful levels of variation for extending RNA families [29]. Finally, the selected sequences were manually checked for correct context with respect to coding sequence annotation. This resulted in a total of 1,117 aligned sequences (the Rfam pipeline produces a multiple alignment). We then split the sequences into two groups based on bit score by building a CM from the alignment and using it to rescore all 1,117 sequences. If a sequence scored 14 bits or higher it was placed into group A, else it was placed into group B. Each group's alignment was then iteratively refined by re-aligning the group's sequences to a CM built from the current alignment until no major changes in bit score were observed (using the –refine option in Infernal's cmbuild program). The resulting two alignments were then manually refined and used to build the final two RNIE CMs that were used for all subsequent annotations and benchmarks.

## Two major RNIE modes

We have built two major modes into the RNIE algorithm. The default mode, dubbed "genome mode" is optimised for the task of high throughput genome annotation. This mode employs parameters that ensure a rapid search ( $\approx 43 \text{ KB/sec}$ ) with a very low false positive rate ( $\approx 1.7 \text{ FP/MB}$ ). The sensitivity, positive predictive value and Matthew's correlation coefficient for this mode is 0.70, 0.79 and 0.74 respectively. The second major mode, dubbed "gene mode", is optimised for the task of individually annotating the downstream regions of genes. Typically these are smaller datasets and a higher sensitivity (0.83) is desirable, while a slower search is tolerable ( $\approx 1 \text{ KB/sec}$ ). The false positive rate, positive predictive value and Matthew's correlation coefficient for this mode is  $\approx 9.6 \text{ FP/MB}$ , 0.45 and 0.61 respectively.

## **Benchmarks**

In order to evaluate the performance of our method relative to comparable tools we have conducted two independent benchmarks.

First, we discuss some caveats to this benchmark. In any bioinformatic setting the ideal is to separate ones training and test data in order to avoid problems due to over-training. However, in this situation we had relatively few examples for training or testing purposes; These were from just 2 organisms. Furthermore, it was impossible to remove the training data from the alternative methods that we test here

TransTermHP [15], RNAmotif [13] and Rnall [30]. Therefore, we have had to include a biased test (the

alpha benchmark) using the training data for testing. The results of this can be considered an upper-bound on the likely true performance of these algorithms. To alleviate the worst of these concerns we took the two best algorithms from the alpha benchmark and added a "beta benchmark" which is independent of the training data. This test considered the correlation of whole genome annotations with gene ends on both native and shuffled genome sequences. These genome sequences where selected from a broad range of bacteria spanning all the main bacterial phyla and specifically avoided either *E. coli* or *B. subtilis*.

#### Alpha benchmark

The first benchmark used 485 previously established *E. coli* and *B. subtilis* terminators. The 144 *E. coli* RITs were derived from the ECDC database [6]. These RITs had little associated annotation. Consequently the provenance of the data is difficult to establish and therefore some of these RITs might not be biological. This contrasts with the 341 *B. subtilis* RITs that are derived from a screen by de Hoon *et al.* [7]. This dataset has an excellent annotation of the evidence for each RIT. We manually selected those with good experimental evidence for function.

These RITs were embedded in 1,000 bases of randomly selected and then permuted bacterial genomic sequence (see Table 1 for the sources of genomic sequences). The permuted genomic sequences were shuffled using a di-nucleotide frequency preserving procedure that preserves some of the strongest statistical signals in the genome such as CpG content and the stacking signals that are important to control for when investigating RNA secondary structure [31]. An additional set of 100 decoy sequences were generated for each known terminator. These were also embedded in 1,000 bases of randomly selected permuted genomic sequence. The decoy sequences were generated using a 1st-order Markov process with nucleotide transition rates estimated from the known terminators. This method was used rather than shuffling since short terminator sequences may have a limited number of permuted conformations with an identical di-nucleotide content. TransTermHP [15], the Lesnik et al. RNAmotif descriptor [13] and Rnall [30] and RNIE were used to predict RITs in these datasets. Since the TransTermHP algorithm requires annotated genic features we artificially generated sets of 2, 4, 9 and 10 features for each sequence. In each set, one of the features had a 3' end corresponding to the start of a known terminator or a decoy terminator sequence (see supplementary information for further details). Each terminator prediction for each algorithm was classified as either a true positive or a false positive depending upon whether the prediction overlapped a known terminator sequence by 1 nucleotide or more. The score (or scores) for each prediction were also stored for each terminator prediction and the ROC and sensitivity vs positive

predictive value (PPV) plots were generated for each tool and score combination (see Figure 2).

#### Beta benchmark

The second benchmark relies upon the correlation of predicted terminators with annotated genic elements on a range of native and shuffled genome sequences. For this test we were able to exclude all the training data from the test set by taking a selection of 14 representative bacterial genomes that are widely distributed throughout the better characterised portions of bacterial phylogeny (See Table 1). The annotations for each genome were extracted from the EMBL nucleotide database [32] and supplemented with ncRNA annotations from Rfam 10.0 [29]. We took all the unique genic features for each test genome and computed the minimum distance between these and each terminator prediction on the same strand for each method. The pooled results are shown in Figure 3.

#### Results

The results of the alpha and beta benchmarks are presented in Figures 2&3. In the following sections we discuss these results in more detail.

## Alpha benchmark

The alpha benchmark illustrates some interesting features of the terminator predictors (see Figure 2). Many of the energy-based methods employ scoring schemes based on the free energy of the RIT hairpin and the free energy of the RIT tail disassociation with the template DNA strand (see Figure 1). These two score types show characteristic trajectories through the ROC and sensitivity vs. PPV plots. We noted in particular that the disassociation energies reported by RNAMotif (dG), RnaII (hbG) and RnaII-Brkr (hbG) show very little potential for discriminating between true and false RITs based on their atypical trajectories through these plots. However, the RIT hairpin energies from RNAMotif (struct), RnaII (dG) and RnaII-Brkr (dG) show some discriminatory potential; in particular the RNAMotif descriptor by Lesnik et al. performed well [13]. In fact, this was the only method of this class to reach over the y=1-x threshold on the sensitivity vs. PPV plot (see the red perforated line in Figure 2). This line is an indicator whether a method is doing better or worse than a "random" predictor.

For the TransTermHP method we were forced to provide fictional gene annotations in order to get the method to run. In order to assess dependence on the number of features we ran four tests using 2, 4, 9 and 10 regularly spaced genes. In each case one annotation was terminated by either a native or decoy RIT.

There was little consistent influence on the performance of TransTermHP based on the number false gene annotations. The maximum Matthew's correlation coefficient was acheived by the run with 10 annotations (max(MCC)=0.50), the minimum was with 4 annotations (max(MCC)=0.44).

The RNIE method we are presenting in this work performed very well compared to the alternative tools on this particular benchmark. The highest maximum Matthew's correlation coefficient was attained by RNIE run in genome mode ( $\max(\text{MCC}) = 0.75$ ), followed by the run in gene mode ( $\max(\text{MCC}) = 0.74$ ). We used these results to identify thresholds for each mode that optimally balanced the number of true and false positives. A too high threshold will mean we miss a lot of real terminators, a too low threshold will mean we are swamped in noisy predictions. For both genome and gene modes we selected thresholds (16.00 and 14.00 bits respectively) slightly lower than those suggested by the optimal MCC-based threshold (16.45 and 19.09 bits respectively). The lower thresholds accepted a few more false-positives but generally researchers are more forgiving of these than false-negatives in genomics work. Furthermore, most false-positives can be discounted by their genomic context.

The speed of the RNIE algorithm is comparable to the alternative methods. While CMs have long been known to be computationally intensive, for this work we use an optimised CM approach that employs several methods to increase the computational efficiency [22,24]. In genome mode RNIE can scan more than 43 kilobases per second (KB/sec), in gene mode it scans just 1 KB/sec. This is comparable to TransTermHP which scans 74-186 KB/sec, however, for this tool there is a linear relationship between number of annotations and speed for this tool i.e. the more annotations the slower it scans. The RNAmotif descriptor scans 602 KB/sec and is the fastest tool we encountered. Finally, Rnall scans  $\approx 1$  KB/sec, however, the Rnall speed had to be estimatated by computing a CPU factor as the only version we had access to runs on an outdated computer architecture.

#### Beta benchmark

The beta benchmark illustrates that RNIE can accurately detect terminators across a broad range of bacterial genomes outside of *E. coli* and *B. subtilis* genomes and without requiring gene annotation information (See Figure 3). Figure 3A shows that in genome annotation mode there is an excess of RIT predictions near the 3' end of CDS and ncRNA annotations. The perforated lines show that RNIE, in genome annotation mode, makes a negligible number of predictions in the permuted genomes, verifying that the false-positive rate for this approach is very low. The results for RNIE in gene annotation mode show similar results, with an expected higher number of predictions in the correct context to gene

annotation but with a correspondingly higher false-positive rate. The results for TransTermHP show the worrying result that RIT predictions are enriched in the 3' ends of genes for both the native and permuted genome sequences. This indicates that a significant fraction of predictions by TransTermHP are false even though they appear in a genomic context associated with genic termini.

The Figure 3B plot shows the fraction of genes with RIT predictions associated with genic termini for all the species in Table 1 for each of the three prediction approaches. Again, these generally illustrate the high sensitivity of RNIE and low false-positive rate relative to TransTermHP. This plot also illustrates the diverse degree of RIT usage across bacterial species which does not follow traditional lines of bacterial classification. For example, the Gram-positive species from the phyla Firmicutes and Actinobacteria have a mixture of exemplars from both ends of the usage spectrum. I.e. B. subtilis makes substantial use of RITs whereas neither of the Actinobacteria M. tuberculosis and S. griseus make substantial use of RITs. Even within phyla there can be a lot of variation of RIT usage. For example, the Proteobacteria E. coli and S. enterica clearly employ high levels of RITs for transcriptional termination whereas H. pylori does not.

#### Case study: Mycobacterium tuberculosis termination

In  $Mycobacterium\ tuberculosis$  the number of predicted RITs was very low, however other researchers have suggested that  $M.\ tuberculosis$  do employ a rho-independent terminator mechanism [2,16,33–35]. Therefore we chose to analyse genic termini in more detail within this species. There is published evidence that  $M.\ tuberculosis$  genes are enriched for stable secondary structures near the coding terminus [2]. However, further analysis has shown that these do not fit the canonical terminator model. A method has been developed that attempts to classify predicted secondary structures from coding termini sequence into any of 5 different classes [16,33–35], with bonuses given for "correct" genomic context. The predominant form of these are "i-shaped" structures (> 90%) or a short stem-loop, that have < 3 U's in the 10nt stretch following the stem-loop.

To illustrate the flexibility of our approach we took all the annotated coding sequences from the *Mycobacterium tuberculosis* CDC1551 complete genome and extracted subsequences from -20 to +80 nucleotides around all annotated gene termini. These were each folded using the RNAfold routine from the Vienna package [36] and then subjected to a permutation test, where native MFE's were compared to the pooled distribution of MFEs for 1,000 permuted sequences with the same di-nucleotide content for each termini [31]. The regions that had a p-value < 0.001 where subsequently fed into the alignment and folding algorithm CMfinder [26]. This alignment was manually refined using the RALEE alignment editor [28]. A

covariance model was built for the resulting alignment [24] and then RNIE was deployed for annotating the entire *Mycobacterium tuberculosis* CDC1551 genome with the more specific covariance model.

We were surprised to discover a previously unpublished well conserved motif (see Figure 4) that we have called the "Tuberculosis Rho-independent terminator" or TRIT. The TRITs account for 72% (59/82) of the significantly stable terminator sequences (p < 0.001), the standard models add a further 7%. This ratio increases to 81% (29/36) when we use a more stringent threshold of p < 0.0001 plus a further 8% from the standard models (see Figure 4B). Consequently the RIT and TRIT models account for 80 - 90% of all the highly structured regions near gene termini in M. tuberculosis. There are 147 copies of TRIT scattered throughout the M. tuberculosis genome (EMBL accession: AE000516). Given the palindromicity of these sequences the bulk are bi-directional. The TRITs are closely associated with the terminal regions of annotated genic features (see Figure 4A).

A unique TRIT feature that we observed is that the distribution of TRIT sequences relative to the nearest annotated stop codon is very narrow. These are largely positioned within the coding sequence, around the -8 position, whereas the RIT sequences are much more broadly distributed and are predominately located further downstream between +10 and +20. Scans of the public sequence databases for other TRITs show that this terminator type is restricted to Mycobacterium. The TRIT utilising species that we identified include M. abscessus, M. avium, M. bovis, M. gilvum, M. intracellulare, M. kansasii, M. marinum, M. smeqmatis, M. ulcerans and M. vanbaalenii.

## **Concluding remarks**

This work has shown that covariance models can be deployed to predict Rho-independent terminators with an accuracy that has not been available previously. The method we propose is slightly slower than some competing approaches however we think the boost in prediction accuracy is worth the sacrifice.

TRIM?: There are two major modes researchers want from RIT prediction software. The first, that has been targeted by existing methods, is to investigate the possibility of a RIT for a specific gene or cis-regulatory element. For this a high-sensitivity approach is desirable with an acknowledged cost to specificity, yet the context of the prediction should add some specificity. The second major mode is to screen entire bacterial genomes for RITs in the hope of identifying ORFs, sRNAs and cis-regulatory elements such as riboswitches [37,38]. These can also be used to validate, provide strand information and otherwise improve the annotation of transcripts from transcriptome data such as RNA-seq [39]. Our approach is well suited to either task. Our benchmarks have shown that for the first mode where greater

sensitivity is desirable then RNIE can be run in 'gene' mode which uses a very permissive score threshold and other parameter setting which sacrifice some speed for additional sensitivity. For the second mode where a high specificity is desirable then using a stringent threshold with an optimised parameter set produces very reasonable results.

Our further investigation of gene termini in M. tuberculosis identified a terminator motif with both strong sequence and structure conservation. This TRIT motif, together with our RIT predictions account for 80 - 90% of all the most highly structured regions near gene termini in M. tuberculosis. The high sequence conservation of the TRITs implies that further cellular machinery may be involved in termination in this organism. Possibly a factor that binds the double stranded RNA sequence motif.

We tried the same approach with two other species with a paucity of RIT predictions; These were *H. pylori* and *F. nodosum*, but could identify no obvious terminator motif. *F. nodosum* did show some enrichment of structured elements, however, the bulk of these fit the traditional RIT motif with a lower G+C content than other well characterised examples, a lower threshold for this species identified the missing RITs.

#### END ON A HIGH NOTE!

## List of abbreviations

RIT - Rho-independent terminator, TRIT - tuberculosis Rho-independent terminator, CM - covariance model, ncRNA - non-coding RNA, sRNA - short bacterial ncRNA,

## Competing interests

The authors declare that they have no competing interests

#### **Authors' contributions**

PPG did all the work. These other useless bastards sat around drinking beer.

## Description of additional data files Authors' information Acknowledgements

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## Figure legends

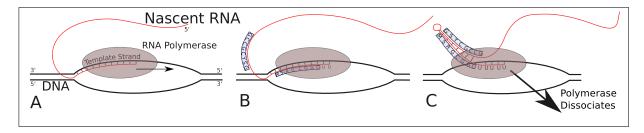


Figure 1: (A) Rho-independent termination: The RNA polymerase traverses the DNA template strand from 3' to 5', synthesizing the nascent RNA molecule. (B) As the polymerase nears a termination site, a G+C rich terminator stem sequence (highlighted in blue) is transcribed. (C) Formation of a hairpin structure causes the polymerase to pause, and together with a string of unstable rU-dA bonds causes the polymerase to release from the template.

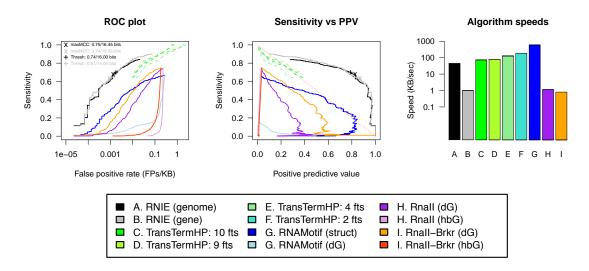


Figure 2: Alpha benchmark. The accuracy of RNIE compared to existing methods of terminator prediction. The left figure shows a receiver-operator characteristic curve (ROC-plot) for 4 independent methods. The middle figure compares the sensitivity and positive-predictive value for the 4 methods. The figure on the right shows the speeds for each algorithm in kilobases per second.

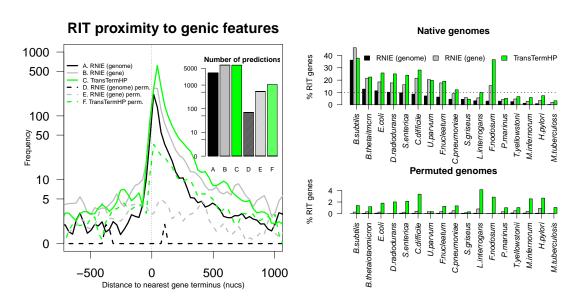


Figure 3: Beta benchmark. Ideal terminator predictors will generally produce predictions that are immediately 3' to annotated genes on native sequence and no predictions on shuffled controls. For all the test genomes in Table 1 (excluding E.coli and B.subtilis) we computed the distance to the nearest 3' genic element, including CDSs, ncRNAs and riboswitches. This was done for both native sequences and di-nucleotide shuffled control sequences with corresponding gene annotation transferred to the controls. The figure shows the distribution of distances for RNIE genome and gene modes and for the TransTermHP method. Inset is a barplot showing the total number of predictions for each method on native and shuffled genomes. B. This figure shows the percentage of genes that have a predicted RIT in the region -50 to +150 from an annotated 3' end of a CDS or ncRNA across all the genome sequences described in Table 1. The upper panel illustrates the results for the native genomes, while the lower panel illustrates results for the permuted genomes.

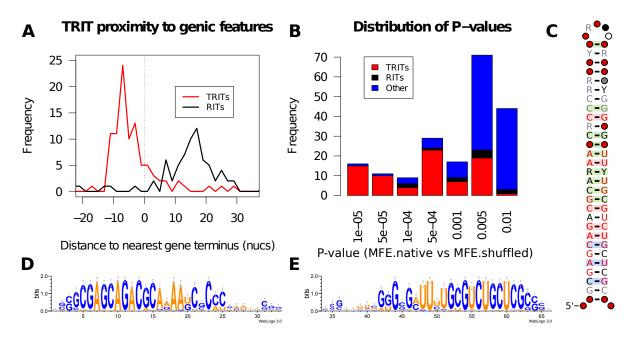


Figure 4: (A) the frequency of TRITs and RITs near the terminal regions of M. tuberculosis (EMBL accession: AE000516) genic features. (B) The distribution of structural-stability derived p-values for the most significant M. tuberculosis terminal regions coloured by TRIT (red), RIT (black) or unclassified (blue). (C&D) Sequence logos generated for the 5' (C) and 3' (D) halves of an alignment of the 147 copies of TRIT in the M. tuberculosis genome.

# Tables and captions

Table 1: Control genomes.

Species	EMBL	Phylum	Genome	Number	G+C	Number predictions			ons
	accession		size	CDSs	content	Genome		Gene	
			(MB)			Nat.	Shuff.	Nat.	Shuff.
M.tuberculosis	AE000516	Actinobacteria	4.40	4189	0.66	19	0	111	3
S.griseus	AP009493	Actinobacteria	8.55	7138	0.72	72	0	353	2
B. theta iota omic ron	AE015928	Bacteroidetes	6.26	4778	0.43	783	2	1470	44
C.pneumoniae	AE001363	Chlamydiae	1.23	1052	0.41	61	3	135	19
P.marinus	AE017126	Cyanobacteria	1.75	1882	0.36	81	5	131	22
D. radio durans	AE000513	Deinococcus-Thermus	2.65	2579	0.67	283	0	506	2
B.subtilis	AL009126	Firmicutes	4.22	4245	0.44	1851	4	2540	54
C. difficile	AM180355	Firmicutes	4.29	3777	0.29	431	8	1152	58
F.nucleatum	AE009951	Fusobacteria	2.17	2067	0.27	155	1	457	34
T.yellowstonii	CP001147	Nitrospirae	2.00	2033	0.34	78	6	176	41
E.coli	U00096	Proteobacteria	4.64	4321	0.51	601	6	1058	35
H.pylori	AE000511	Proteobacteria	1.67	1566	0.39	28	12	128	61
S.enterica	AE014613	Proteobacteria	4.79	4323	0.52	537	4	980	32
L.interrogans	AE016823	Spirochaetes	4.28	3394	0.35	164	18	375	132
U.parvum	AF222894	Tenericutes	0.75	611	0.26	54	0	163	5
F.nodosum	CP000771	Thermotogae	1.95	1750	0.35	409	3	588	28
M.infernorum	CP000975	Verrucomicrobia	2.29	2472	0.45	50	7	157	52