

1 Introduction

2 Properties of  $\mathbb{R}$

3 Properties of  $\mathbb{R}$  II



Mathematics  
and Statistics

$$\int_M d\omega = \int_{\partial M} \omega$$

# Mathematics 3A03 Real Analysis I

Instructor: David Earn

Lecture 1  
Introduction  
Tuesday 3 September 2019

# Where to find course information

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- The course web site: <http://ms.mcmaster.ca/earn/3A03>

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- Let's have a look now. . .

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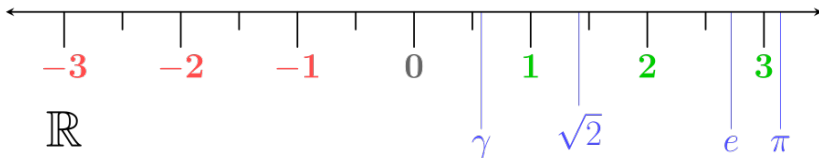
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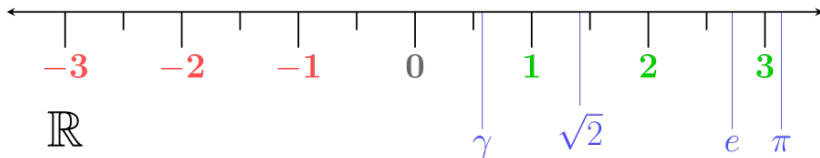
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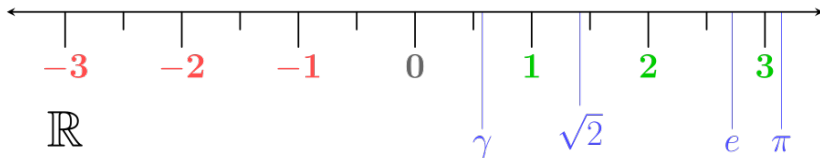
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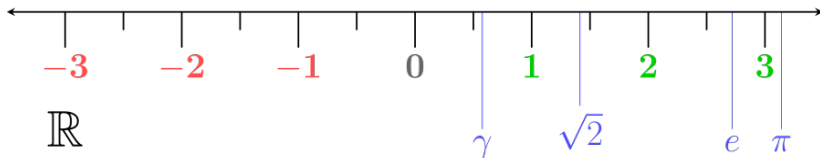
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- How do we know that  $\sqrt{2}$  is not rational?
- How can we *prove* this?  
Approach: “Proof by contradiction.”

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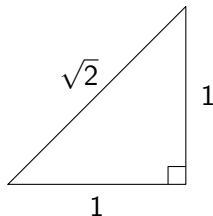
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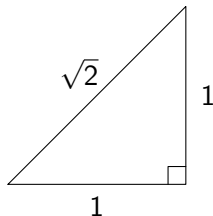
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- So irrational numbers are “real”.

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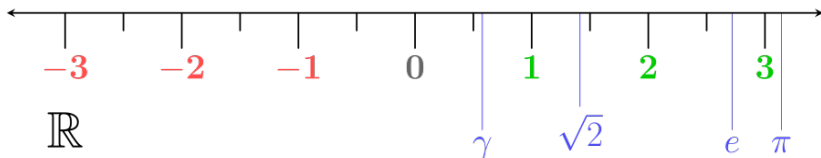
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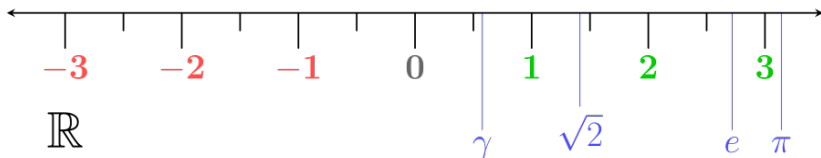
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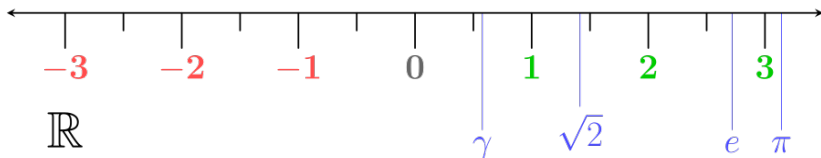
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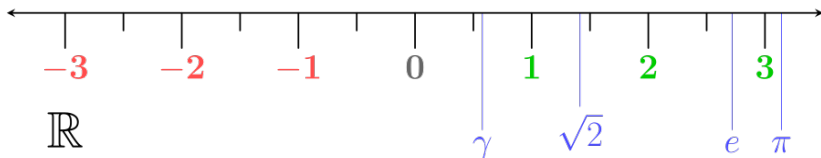


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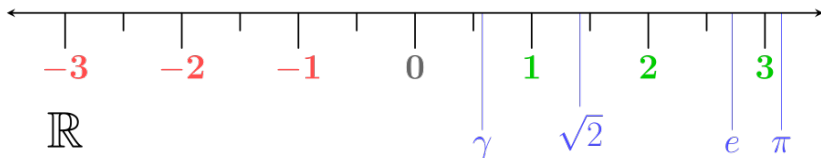
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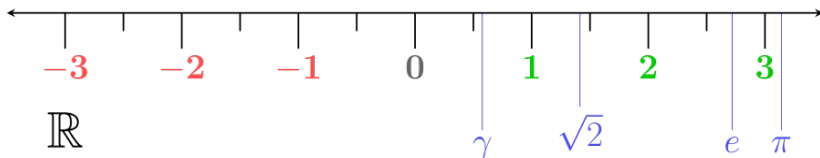
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- But what exactly are we supposed to *construct* numbers from?

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  - Some books define  $\mathbb{N} = \{0, 1, 2, \dots\}$  and  $\mathbb{N}^+ = \{1, 2, 3, \dots\}$ .
  - It is more common to define  $\mathbb{N}$  to start with 1.
- Thus,  $n$  is defined to be a set containing  $n$  elements.

# Informal introduction to construction of numbers ( $\mathbb{N}$ )

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- The earlier definition possibly better captures our intuitive notion of what  $n$  “really is”, but such “sets” are unwieldy and create serious challenges for development of mathematical foundations.

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  - We can then *construct* the rationals  $\mathbb{Q}$ ...



Mathematics  
and Statistics

$$\int_M d\omega = \int_{\partial M} \omega$$

# Mathematics 3A03 Real Analysis I

Instructor: David Earn

Lecture 2  
Properties of  $\mathbb{R}$   
Thursday 5 September 2019

# Where to find course information

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- **Assignment 1:** You should have received an e-mail from [crowdmark](#). If not, please e-mail [earn@math.mcmaster.ca](mailto:earn@math.mcmaster.ca) ASAP stating your full name, student number, and when you registered in the course.

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  - Given  $\mathbb{N}$  and  $\mathbb{Z}$ , we can construct  $\mathbb{Q}$ . . .

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- .



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**Extra Challenge Problem:** Are “+” and “.” well-defined on  $\mathbb{Q}$ ?

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# Properties of the rational numbers ( $\mathbb{Q}$ )

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**A1** *Closed and commutative under addition.* For any  $x, y \in \mathbb{Q}$  there is a number  $x + y \in \mathbb{Q}$  and  $x + y = y + x$ .

**A2** *Associative under addition.* For any  $x, y, z \in \mathbb{Q}$  the identity

$$(x + y) + z = x + (y + z)$$

is true.

**A3** *Existence and uniqueness of additive identity.* There is a unique number  $0 \in \mathbb{Q}$  such that, for all  $x \in \mathbb{Q}$ ,

$$x + 0 = 0 + x = x.$$

**A4** *Existence of additive inverses.* For any number  $x \in \mathbb{Q}$  there is a corresponding number denoted by  $-x$  with the property that

$$x + (-x) = 0.$$

# Properties of the rational numbers ( $\mathbb{Q}$ )

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# Properties of the rational numbers ( $\mathbb{Q}$ )

**Addition and multiplication together:**

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The 9 properties (A1–A4, M1–M4, AM1) make the rational numbers  $\mathbb{Q}$  a *field*.

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The 9 properties (A1–A4, M1–M4, AM1) make the rational numbers  $\mathbb{Q}$  a *field*.

Note: M3 ensures  $0 \neq 1$  to exclude the uninteresting case of a field with only one element.

# Standard Mathematical Shorthand

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## Quantifiers

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## Quantifiers

$\forall$

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## Quantifiers

$\forall$  for all

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## Quantifiers

$\forall$  for all

$\exists$

# Standard Mathematical Shorthand

## Quantifiers

$\forall$  for all

$\exists$  there exists



# Standard Mathematical Shorthand

## Quantifiers

$\forall$  for all

$\exists$  there exists

$\nexists$

# Standard Mathematical Shorthand

## Quantifiers

|            |                      |
|------------|----------------------|
| $\forall$  | for all              |
| $\exists$  | there exists         |
| $\nexists$ | there does not exist |

# Standard Mathematical Shorthand

## Quantifiers

|            |                      |
|------------|----------------------|
| $\forall$  | for all              |
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| $\exists!$ |                      |

# Standard Mathematical Shorthand

## Quantifiers

|            |                       |
|------------|-----------------------|
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## Logical operands

# Standard Mathematical Shorthand

## Quantifiers

|            |                       |
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## Logical operands

$\wedge$

# Standard Mathematical Shorthand

## Quantifiers

|            |                       |
|------------|-----------------------|
| $\forall$  | for all               |
| $\exists$  | there exists          |
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## Logical operands

|          |             |
|----------|-------------|
| $\wedge$ | logical and |
|----------|-------------|

# Standard Mathematical Shorthand

## Quantifiers

|            |                       |
|------------|-----------------------|
| $\forall$  | for all               |
| $\exists$  | there exists          |
| $\nexists$ | there does not exist  |
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## Logical operands

|          |             |
|----------|-------------|
| $\wedge$ | logical and |
| $\vee$   |             |



# Standard Mathematical Shorthand

## Quantifiers

|            |                       |
|------------|-----------------------|
| $\forall$  | for all               |
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## Logical operands

|          |             |
|----------|-------------|
| $\wedge$ | logical and |
| $\vee$   | logical or  |

# Standard Mathematical Shorthand

## Quantifiers

|            |                       |
|------------|-----------------------|
| $\forall$  | for all               |
| $\exists$  | there exists          |
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## Logical operands

|          |             |
|----------|-------------|
| $\wedge$ | logical and |
| $\vee$   | logical or  |
| $\neg$   |             |

# Standard Mathematical Shorthand

## Quantifiers

|            |                       |
|------------|-----------------------|
| $\forall$  | for all               |
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## Logical operands

|          |             |
|----------|-------------|
| $\wedge$ | logical and |
| $\vee$   | logical or  |
| $\neg$   | logical not |

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|            |                       |
|------------|-----------------------|
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## Logical operands

|                    |             |
|--------------------|-------------|
| $\wedge$           | logical and |
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|            |                       |
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| $\wedge$           | logical and          |
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## Other shorthand

# Standard Mathematical Shorthand

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|            |                       |
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## Logical operands

|                    |                      |
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## Other shorthand

$\therefore$



# Standard Mathematical Shorthand

## Quantifiers

|            |                       |
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|                    |                      |
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## Other shorthand

$\therefore$       therefore

# Standard Mathematical Shorthand

## Quantifiers

|            |                       |
|------------|-----------------------|
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## Other shorthand

|              |           |
|--------------|-----------|
| $\therefore$ | therefore |
| $\})$        |           |

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## Other shorthand

|              |           |
|--------------|-----------|
| $\therefore$ | therefore |
| $\})$        | such that |

# Standard Mathematical Shorthand

## Quantifiers

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|              |           |
|--------------|-----------|
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| $\})$        | such that |
| $\equiv$     |           |

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|------------|-----------------------|
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## Other shorthand

|              |            |
|--------------|------------|
| $\therefore$ | therefore  |
| $\})$        | such that  |
| $\equiv$     | equivalent |

# Standard Mathematical Shorthand

## Quantifiers

|            |                       |
|------------|-----------------------|
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## Other shorthand

|              |            |              |
|--------------|------------|--------------|
| $\therefore$ | therefore  | $\therefore$ |
| $\})$        | such that  |              |
| $\equiv$     | equivalent |              |

# Standard Mathematical Shorthand

## Quantifiers

|            |                       |
|------------|-----------------------|
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## Logical operands

|                    |                      |
|--------------------|----------------------|
| $\wedge$           | logical and          |
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Note:  $A \underline{\vee} B \equiv (A \vee B) \wedge (\neg A \vee \neg B)$

## Other shorthand

|              |            |            |         |
|--------------|------------|------------|---------|
| $\therefore$ | therefore  | $\because$ | because |
| $\}$         | such that  |            |         |
| $\equiv$     | equivalent |            |         |

# Standard Mathematical Shorthand

## Quantifiers

|            |                       |
|------------|-----------------------|
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## Other shorthand

|              |            |            |         |
|--------------|------------|------------|---------|
| $\therefore$ | therefore  | $\because$ | because |
| $\}$         | such that  | $\iff$     |         |
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## Other shorthand

|              |            |            |                |
|--------------|------------|------------|----------------|
| $\therefore$ | therefore  | $\because$ | because        |
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| $\equiv$     | equivalent |            |                |

# Standard Mathematical Shorthand

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|            |                       |
|------------|-----------------------|
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## Other shorthand

|              |            |                          |                |
|--------------|------------|--------------------------|----------------|
| $\therefore$ | therefore  | $\because$               | because        |
| $\})$        | such that  | $\iff$                   | if and only if |
| $\equiv$     | equivalent | $\Rightarrow \Leftarrow$ |                |

# Standard Mathematical Shorthand

## Quantifiers

|            |                       |
|------------|-----------------------|
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|                    |                      |
|--------------------|----------------------|
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Note:  $A \underline{\vee} B \equiv (A \vee B) \wedge (\neg A \vee \neg B)$

## Other shorthand

|              |            |                          |                |
|--------------|------------|--------------------------|----------------|
| $\therefore$ | therefore  | $\because$               | because        |
| $\})$        | such that  | $\iff$                   | if and only if |
| $\equiv$     | equivalent | $\Rightarrow \Leftarrow$ | contradiction  |

# The field axioms (in mathematical shorthand) for field $\mathbb{F}$

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## Addition axioms

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**A1** *Closed, commutative.*  $\forall x, y \in \mathbb{F}$ ,  
 $\exists (x + y) \in \mathbb{F} \wedge (x + y) =$   
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## Multiplication axioms

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 $x + 0 = 0 + x = x.$

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## Multiplication axioms

**M** *Closed, commutative.*  $\forall x, y \in \mathbb{F},$   
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**M3** *Identity.*  $\exists! 1 \in \mathbb{F} \setminus \{0\} \nmid$   
 $\forall x \in \mathbb{F}$ ,  $x1 = 1x = x$ .

# The field axioms (in mathematical shorthand) for field $\mathbb{F}$

## Addition axioms

**A1** *Closed, commutative.*  $\forall x, y \in \mathbb{F}$ ,  
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## Distribution axiom

**A1** *Distribution.*  $\forall x, y, z \in \mathbb{F}$ ,  $(x + y)z = xz + yz$ .

## Multiplication axioms

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 $x + (-x) = 0$ .

## Distribution axiom

**A1** *Distribution.*  $\forall x, y, z \in \mathbb{F}$ ,  $(x + y)z = xz + yz$ .

Any collection  $\mathbb{F}$  of mathematical objects is called a *field* if it satisfies these 9 algebraic properties.

## Multiplication axioms

**M** *Closed, commutative.*  $\forall x, y \in \mathbb{F}$ ,  
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# Poll

- Go to [https://www.childsmath.ca/childsa/forms/main\\_login.php](https://www.childsmath.ca/childsa/forms/main_login.php)
- Click on [Math 3A03](#)
- Click on [Take Class Poll](#)
- Fill in poll **Lecture 2: Which are Fields?**
- .

# The integers modulo 3 ( $\mathbb{Z}_3$ )

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Imagine a clock that repeats after 3 hours rather than 12 hours.

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|---|---|---|---|
| + | 0 | 1 | 2 |
| 0 | 0 | 1 | 2 |
| 1 | 1 | 2 | 0 |
| 2 | 2 | 0 | 1 |

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| 2 | 2 | 0 | 1 |

| · | 0 | 1 | 2 |
|---|---|---|---|
| 0 | 0 | 0 | 0 |
| 1 | 0 | 1 | 2 |
| 2 | 0 | 2 | 1 |



# Examples of fields

# Examples of fields

| Set | Field? | Why? |
|-----|--------|------|
|-----|--------|------|

# Examples of fields

| Set                        | Field? | Why? |
|----------------------------|--------|------|
| rationals ( $\mathbb{Q}$ ) |        |      |

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| rationals ( $\mathbb{Q}$ ) | YES    |      |

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# Examples of fields

| Set                               | Field? | Why? |
|-----------------------------------|--------|------|
| rational numbers ( $\mathbb{Q}$ ) | YES    |      |
| integers ( $\mathbb{Z}$ )         | NO     |      |

# Examples of fields

| Set                        | Field? | Why?                       |
|----------------------------|--------|----------------------------|
| rationals ( $\mathbb{Q}$ ) | YES    |                            |
| integers ( $\mathbb{Z}$ )  | NO     | no multiplicative inverses |

# Examples of fields

| Set                        | Field? | Why?                       |
|----------------------------|--------|----------------------------|
| rationals ( $\mathbb{Q}$ ) | YES    |                            |
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# Examples of fields

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| integers ( $\mathbb{Z}$ )            | NO     |                            |
| reals ( $\mathbb{R}$ )               | YES    |                            |
| complexes ( $\mathbb{C}$ )           | YES    |                            |
| integers modulo 3 ( $\mathbb{Z}_3$ ) |        |                            |

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| complexes ( $\mathbb{C}$ )           | YES    |                            |
| integers modulo 3 ( $\mathbb{Z}_3$ ) | YES    |                            |

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| reals ( $\mathbb{R}$ )               | YES    |                            |
| complexes ( $\mathbb{C}$ )           | YES    |                            |
| integers modulo 3 ( $\mathbb{Z}_3$ ) | YES    | $2^{-1} = 2$               |

# Ordered fields

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A field  $\mathbb{F}$  is said to be *ordered* if the following properties hold:



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- O** For any  $x, y \in \mathbb{F}$ , exactly one of the statements  $x = y$ ,  $x < y$  or  $y < x$  is true ("*trichotomy*"), *i.e.*,  
$$\forall x, y \in \mathbb{F}, \left( (x = y) \wedge \neg(x < y) \wedge \neg(y < x) \right) \vee \left( (x \neq y) \wedge [(x < y) \vee (y < x)] \right)$$

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$$\forall x, y \in \mathbb{F}, ((x = y) \wedge \neg(x < y) \wedge \neg(y < x)) \vee ((x \neq y) \wedge [(x < y) \vee (y < x)])$$
- O2** For any  $x, y, z \in \mathbb{F}$ , if  $x < y$  is true and  $y < z$  is true, then  $x < z$  is true, *i.e.*,  $\forall x, y, z \in \mathbb{F}, (x < y) \wedge (y < z) \implies (x < z)$
- O3** For any  $x, y \in \mathbb{F}$ , if  $x < y$  is true, then  $x + z < y + z$  is also true for any  $z \in \mathbb{F}$ , *i.e.*,  $\forall x, y \in \mathbb{F}, (x < y) \implies x + z < y + z, \forall z \in \mathbb{F}$



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- 04 For any  $x, y, z \in \mathbb{F}$ , if  $x < y$  is true and  $z > 0$  is true, then  $xz < yz$  is also true

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# Poll

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- Click on [Math 3A03](#)
- Click on [Take Class Poll](#)
- Fill in poll **Lecture 2: Which are ORDERED Fields?**
- .

# Examples of ordered fields

# Examples of ordered fields

| Field | Ordered? | Why? |
|-------|----------|------|
|-------|----------|------|

# Examples of ordered fields

| Field                      | Ordered? | Why? |
|----------------------------|----------|------|
| rationals ( $\mathbb{Q}$ ) |          |      |

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| Field                      | Ordered?   | Why? |
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| integers modulo 3 ( $\mathbb{Z}_3$ ) |            |      |

# Examples of ordered fields

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|--------------------------------------|----------|------|
| rationals ( $\mathbb{Q}$ )           | YES      |      |
| reals ( $\mathbb{R}$ )               | YES      |      |
| integers modulo 3 ( $\mathbb{Z}_3$ ) | NO       |      |

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| rationals ( $\mathbb{Q}$ )           | <b>YES</b> |                 |
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| integers modulo 3 ( $\mathbb{Z}_3$ ) | <b>NO</b>  | Next slide. . . |

# Examples of ordered fields

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| reals ( $\mathbb{R}$ )               | YES      |  |
| integers modulo 3 ( $\mathbb{Z}_3$ ) | NO       | Next slide. . .  |
| complexes ( $\mathbb{C}$ )           | NO       | <b>Extra Challenge Problem:</b><br><i>Prove the field <math>\mathbb{C}</math> cannot be ordered.</i> |

# The field of integers modulo 3 cannot be ordered



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## Proposition

$\mathbb{Z}_3$  is not an *ordered field*.

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Approach: proof by contradiction.

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## Proof.

Approach: proof by contradiction.

If  $\mathbb{Z}_3$  is ordered, then

# The field of integers modulo 3 cannot be ordered

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$\mathbb{Z}_3$  is not an *ordered field*.

## Proof.

Approach: proof by contradiction.

If  $\mathbb{Z}_3$  is ordered, then O1 (trichotomy) implies that

# The field of integers modulo 3 cannot be ordered

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$\mathbb{Z}_3$  is not an *ordered field*.

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Approach: proof by contradiction.

If  $\mathbb{Z}_3$  is ordered, then O1 (trichotomy) implies that either  $0 < 1$  or  $1 < 0$  (and not both).

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Suppose  $0 < 1$  and  $1 \not< 0$ .



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If  $\mathbb{Z}_3$  is ordered, then **O1 (trichotomy)** implies that either  $0 < 1$  or  $1 < 0$  (and not both).

Suppose  $0 < 1$  and  $1 \not< 0$ . Then **O3**  $\implies 0 + 1 < 1 + 1$ ,

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If  $\mathbb{Z}_3$  is ordered, then **O1 (trichotomy)** implies that either  $0 < 1$  or  $1 < 0$  (and not both).

Suppose  $0 < 1$  and  $1 \not< 0$ . Then **O3**  $\implies 0 + 1 < 1 + 1$ ,  
i.e.,  $1 < 2$ .

# The field of integers modulo 3 cannot be ordered

## Proposition

$\mathbb{Z}_3$  is not an *ordered field*.

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Approach: proof by contradiction.

If  $\mathbb{Z}_3$  is ordered, then O1 (trichotomy) implies that either  $0 < 1$  or  $1 < 0$  (and not both).

Suppose  $0 < 1$  and  $1 \not< 0$ . Then O3  $\implies 0 + 1 < 1 + 1$ ,  
i.e.,  $1 < 2$ .  $\therefore$  O2 (transitivity)  $\implies$

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*Food for thought: Is it possible for any finite field be ordered?*

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It is related to *upper and lower bounds*. . .



Mathematics  
and Statistics

$$\int_M d\omega = \int_{\partial M} \omega$$

# Mathematics 3A03 Real Analysis I

Instructor: David Earn

Lecture 3  
Properties of  $\mathbb{R}$  II  
Friday 6 September 2019

# Putnam Competition

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- This year's competition will occur on Saturday Dec. 7. If you are interested in participating or learning more, send email to David Earn, [earn@math.mcmaster.ca](mailto:earn@math.mcmaster.ca) or Bradd Hart, [hartb@mcmaster.ca](mailto:hartb@mcmaster.ca).

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- There will be an information session **Thursday**, Sept. 12 at 11:30am in HH-312.

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Usually a slightly redundant set of axioms is stated to emphasize all the key properties.

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  - These videos go at a slower pace than we do, and may be very helpful to you to get your head around the idea of a rigorous mathematical proof.

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A set that is bounded above and below is said to be *bounded*.

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# Poll

- Go to [https://www.childsmath.ca/childsa/forms/main\\_login.php](https://www.childsmath.ca/childsa/forms/main_login.php)
- Click on [Math 3A03](#)
- Click on [Take Class Poll](#)
- Fill in poll **Lecture 3: bounded sets**
- .

# Bounds, maxima and minima

## Example

| Set | bounded<br>below | bounded<br>above | bounded | min | max |
|-----|------------------|------------------|---------|-----|-----|
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| Set       | bounded<br>below | bounded<br>above | bounded    | min | max |
|-----------|------------------|------------------|------------|-----|-----|
| $[-1, 1]$ | <b>YES</b>       | <b>YES</b>       | <b>YES</b> |     |     |

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|-----------|------------------|------------------|------------|------|-----|
| $[-1, 1]$ | <b>YES</b>       | <b>YES</b>       | <b>YES</b> | $-1$ |     |

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| $[-1, 1)$      | <b>YES</b>       | <b>YES</b>       | <b>YES</b> | -1  | $\nexists$ |
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| $[-1, -\frac{1}{4}) \cup (\frac{1}{2}, 1]$ |                  |                  |            |     |            |

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| $[-1, 1]$                                  | <b>YES</b>       | <b>YES</b>       | <b>YES</b> | -1  | 1          |
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| $\mathbb{N}$                               | <b>YES</b>       | <b>NO</b>        | <b>NO</b>  | 1   | $\nexists$ |
| $\mathbb{R}$                               |                  |                  |            |     |            |

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| $[-1, \infty)$                             | YES              | NO               | NO      | -1  | $\nexists$ |
| $[-1, -\frac{1}{4}) \cup (\frac{1}{2}, 1]$ | YES              | YES              | YES     | -1  | 1          |
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| $\mathbb{N}$                               | <b>YES</b>       | <b>NO</b>        | <b>NO</b>  | 1   | <del>#</del> |
| $\mathbb{R}$                               | <b>NO</b>        | <b>NO</b>        |            |     |              |

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| $\mathbb{N}$                               | <b>YES</b>       | <b>NO</b>        | <b>NO</b>  | 1   | <del>#</del> |
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| $\mathbb{N}$                               | <b>YES</b>       | <b>NO</b>        | <b>NO</b>  | 1          | $\nexists$ |
| $\mathbb{R}$                               | <b>NO</b>        | <b>NO</b>        | <b>NO</b>  | $\nexists$ | $\nexists$ |
| $\emptyset$                                |                  |                  |            |            |            |

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# Bounds, maxima and minima

## Example

| Set  | bounded<br>below | bounded<br>above | bounded | min        | max        |
|--|------------------|------------------|---------|------------|------------|
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| $[-1, \infty)$                             | YES              | NO               | NO      | -1         | $\nexists$ |
| $[-1, -\frac{1}{4}) \cup (\frac{1}{2}, 1]$ | YES              | YES              | YES     | -1         | 1          |
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*What sets have least upper bounds?*

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|-------|------------------|-----|
| <hr/> |                  |     |

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*Prove that  $\mathbb{R}$  is the only complete ordered field.*

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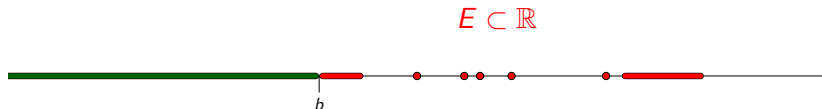
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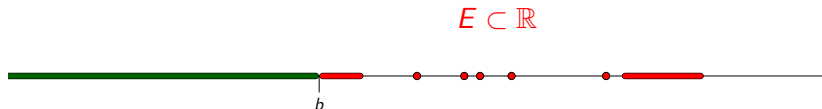
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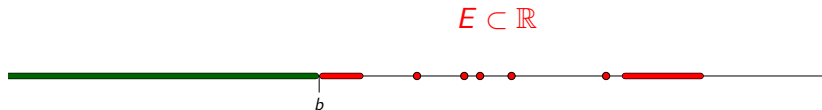
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- 3 *Given any  $x > 0$  and  $y > 0$ , there exists  $n \in \mathbb{N}$  such that  $nx > y$ .  
i.e., Given any positive number  $y$ , no matter how large, and any positive number  $x$ , no matter how small, one can add  $x$  to itself sufficiently many times so that the result exceeds  $y$  (i.e.,  $nx > y$  for some  $n \in \mathbb{N}$ ).*
- 4 *Given any  $x > 0$ , there exists  $n \in \mathbb{N}$  such that  $\frac{1}{n} < x$ .  
i.e., Given any positive number  $x$ , no matter how small, one can always find a fraction  $1/n$  that is smaller than  $x$ .*