

- 1 Introduction
- 2 Properties of \mathbb{R}
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- 4 Properties of \mathbb{R} III
- 5 Properties of \mathbb{R} IV



Mathematics
and Statistics

$$\int_M d\omega = \int_{\partial M} \omega$$

Mathematics 3A03 Real Analysis I

Instructor: David Earn

Lecture 1
Introduction
Tuesday 3 September 2019

Where to find course information

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- The course web site: <http://ms.mcmaster.ca/earn/3A03>

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- Let's have a look now. . .

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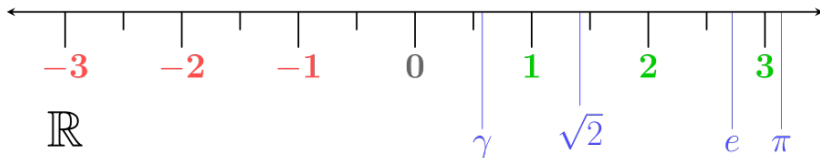
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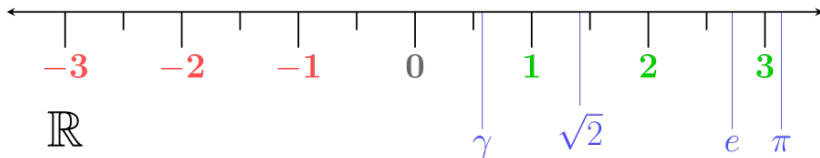
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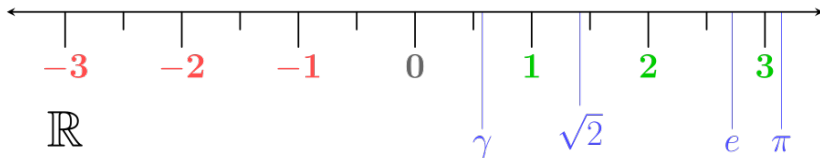
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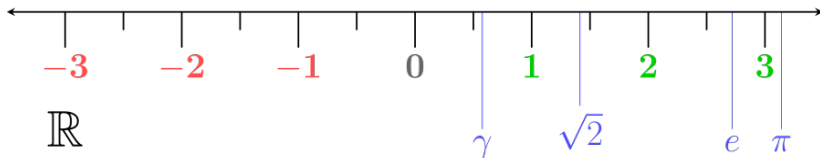
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- How do we know that $\sqrt{2}$ is not rational?
- How can we *prove* this?
Approach: “Proof by contradiction.”

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Theorem

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$$\therefore \left(\frac{m}{n}\right)^2 = (\sqrt{2})^2$$

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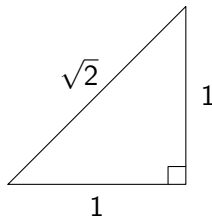
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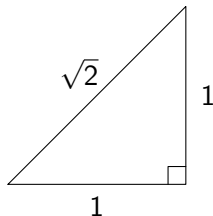
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- So irrational numbers are “real”.

Poll on rationality

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- Let's [Deactivate the poll and View Results](#)

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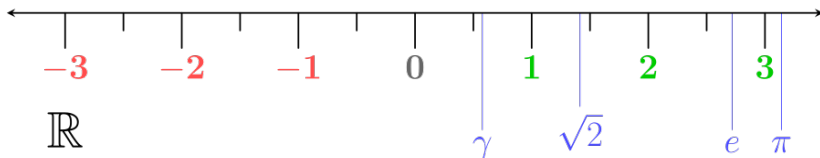
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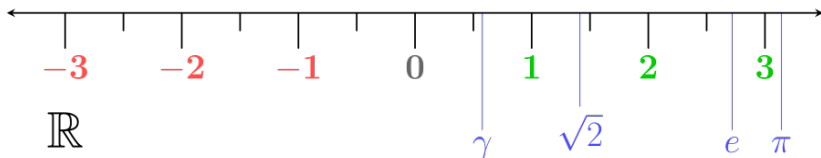
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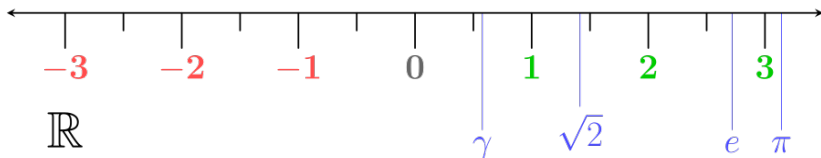
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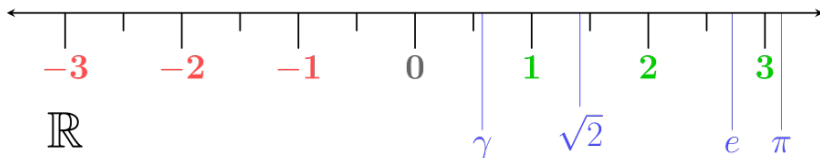
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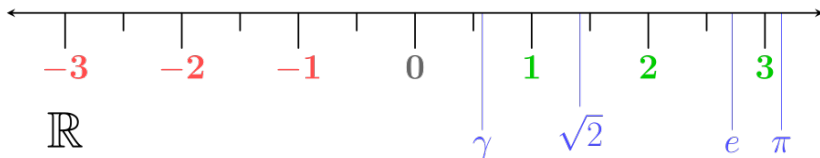
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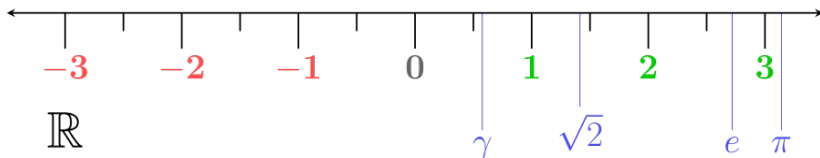
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- Can we *construct* irrational numbers? (Just as we construct rationals as ratios of integers?)
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- Maybe we should start with 0, 1, 2, ...
- But what exactly are we supposed to *construct* numbers from?

Informal introduction to construction of numbers (\mathbb{N})

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- Assume we know what a *set* is.

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- Thus, n is defined to be a set containing n elements.

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Historical note:

- We have defined n to be a set containing n elements.
- Logicians first tried to define n as “the set of all sets containing n elements”.
- The earlier definition possibly better captures our intuitive notion of what n “really is”, but such “sets” are unwieldy and create serious challenges for development of mathematical foundations.

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Mathematics
and Statistics

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Mathematics 3A03 Real Analysis I

Instructor: David Earn

Lecture 2
Properties of \mathbb{R}
Thursday 5 September 2019

Where to find course information

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- The course web site: <http://ms.mcmaster.ca/earn/3A03>

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- **Assignment 1:** You should have received an e-mail from [crowdmark](#). If not, please e-mail earn@math.mcmaster.ca ASAP stating your full name, student number, and when you registered in the course.

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Poll

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- Fill in poll **Lecture 2: Math Background**
- .

Informal introduction to construction of numbers (\mathbb{Q})

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Extra Challenge Problem: Are “+” and “.” well-defined on \mathbb{Q} ?

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Note: M3 ensures $0 \neq 1$ to exclude the uninteresting case of a field with only one element.

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\exists	there exists
\nexists	there does not exist
$\exists!$	there exists a unique

Logical operands

Standard Mathematical Shorthand

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\forall	for all
\exists	there exists
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Logical operands

\wedge

Standard Mathematical Shorthand

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Logical operands

\wedge	logical and
----------	-------------

Standard Mathematical Shorthand

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\vee	

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Note: $A \underline{\vee} B \equiv (A \vee B) \wedge (\neg A \vee \neg B)$

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\equiv	equivalent	$\Rightarrow \Leftarrow$	

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\equiv	equivalent	$\Rightarrow \Leftarrow$	contradiction

The field axioms (in mathematical shorthand) for field \mathbb{F}

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Addition axioms

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A1 *Closed, commutative.* $\forall x, y \in \mathbb{F}$,
 $\exists (x + y) \in \mathbb{F} \wedge (x + y) =$
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Distribution axiom

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Any collection \mathbb{F} of mathematical objects is called a *field* if it satisfies these 9 algebraic properties.

Multiplication axioms

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Poll

- Go to https://www.childsmath.ca/childsa/forms/main_login.php
- Click on [Math 3A03](#)
- Click on [Take Class Poll](#)
- Fill in poll **Lecture 2: Which are Fields?**
- .

The integers modulo 3 (\mathbb{Z}_3)

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Imagine a clock that repeats after 3 hours rather than 12 hours.

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Examples of fields

Examples of fields

Set	Field?	Why?
-----	--------	------

Examples of fields

Set	Field?	Why?
rationals (\mathbb{Q})		

Examples of fields

Set	Field?	Why?
rationals (\mathbb{Q})	YES	

Examples of fields

Set	Field?	Why?
rationals (\mathbb{Q})	YES	
integers (\mathbb{Z})		

Examples of fields

Set	Field?	Why?
rational numbers (\mathbb{Q})	YES	
integers (\mathbb{Z})	NO	

Examples of fields

Set	Field?	Why?
rational numbers (\mathbb{Q})	YES	
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Examples of fields

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Examples of fields

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Examples of fields

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reals (\mathbb{R})	YES	
complexes (\mathbb{C})		

Examples of fields

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rationals (\mathbb{Q})	YES	no multiplicative inverses
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Examples of fields

Set	Field?	Why?
rational numbers (\mathbb{Q})	YES	
integers (\mathbb{Z})	NO	no multiplicative inverses
reals (\mathbb{R})	YES	
complexes (\mathbb{C})	YES	
integers modulo 3 (\mathbb{Z}_3)	YES	$2^{-1} = 2$

Ordered fields

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- 2 For any $x, y, z \in \mathbb{F}$, if $x < y$ is true and $y < z$ is true, then $x < z$ is true, i.e., $\forall x, y, z \in \mathbb{F}, (x < y) \wedge (y < z) \implies (x < z)$
- 3 For any $x, y \in \mathbb{F}$, if $x < y$ is true, then $x + z < y + z$ is also true for any $z \in \mathbb{F}$

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$$\forall x, y \in \mathbb{F}, ((x = y) \wedge \neg(x < y) \wedge \neg(y < x)) \vee ((x \neq y) \wedge [(x < y) \vee (y < x)])$$
- O2** For any $x, y, z \in \mathbb{F}$, if $x < y$ is true and $y < z$ is true, then $x < z$ is true, *i.e.*, $\forall x, y, z \in \mathbb{F}, (x < y) \wedge (y < z) \implies (x < z)$
- O3** For any $x, y \in \mathbb{F}$, if $x < y$ is true, then $x + z < y + z$ is also true for any $z \in \mathbb{F}$, *i.e.*, $\forall x, y \in \mathbb{F}, (x < y) \implies x + z < y + z, \forall z \in \mathbb{F}$

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Poll

- Go to https://www.childsmath.ca/childsa/forms/main_login.php
- Click on [Math 3A03](#)
- Click on [Take Class Poll](#)
- Fill in poll **Lecture 2: Which are ORDERED Fields?**
- .

Examples of ordered fields

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Field	Ordered?	Why?
-------	----------	------

Examples of ordered fields

Field	Ordered?	Why?
rationals (\mathbb{Q})		

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Food for thought: Is it possible for any finite field be ordered?

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It is related to *upper and lower bounds*...



Mathematics
and Statistics

$$\int_M d\omega = \int_{\partial M} \omega$$

Mathematics 3A03 Real Analysis I

Instructor: David Earn

Lecture 3
Properties of \mathbb{R} II
Friday 6 September 2019

Putnam Competition

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- The William Lowell Putnam competition is a university-level mathematics competition held annually for undergraduate students at North American universities. It is organized by the Mathematical Association of America and is taken by over 4,000 participants at more than 500 colleges and universities. More information can be found at

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- There will be an information session **Thursday**, Sept. 12 at 11:30am in HH-312.

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Usually a slightly redundant set of axioms is stated to emphasize all the key properties.

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 - These videos go at a slower pace than we do, and may be very helpful to you to get your head around the idea of a rigorous mathematical proof.

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A set that is bounded above and below is said to be *bounded*.

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Poll

- Go to https://www.childsmath.ca/childsa/forms/main_login.php
- Click on [Math 3A03](#)
- Click on [Take Class Poll](#)
- Fill in poll **Lecture 3: bounded sets**
- .

Bounds, maxima and minima

Example

Set	bounded below	bounded above	bounded	min	max

Bounds, maxima and minima

Example

Set	bounded below	bounded above	bounded	min	max
$[-1, 1]$					

Bounds, maxima and minima

Example

Set	bounded below	bounded above	bounded	min	max
$[-1, 1]$	YES				

Bounds, maxima and minima

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Set	bounded below	bounded above	bounded	min	max
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Bounds, maxima and minima

Example

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Bounds, maxima and minima

Example

Set	bounded below	bounded above	bounded	min	max
$[-1, 1]$	YES	YES	YES	-1	

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\mathbb{R}	NO	NO	NO	\nexists	\nexists
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Least upper bounds

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What sets have least upper bounds?

Least upper bounds

Least upper bounds

Example

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Example

Set	bounded above	sup

Least upper bounds

Example

Set	bounded above	sup
$[-1, 1]$		

Least upper bounds

Example

Set	bounded above	sup
$[-1, 1]$	YES	

Least upper bounds

Example

Set	bounded above	sup
$[-1, 1]$	YES	1

Least upper bounds

Example

Set	bounded above	sup
$[-1, 1]$	YES	1
$[-1, 1)$		

Least upper bounds

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Set	bounded above	sup
$[-1, 1]$	YES	1
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Least upper bounds

Example

Set	bounded above	sup
$[-1, 1]$	YES	1
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\emptyset		

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$[-1, 1]$	YES	1
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Least upper bounds

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$[-1, 1]$	YES	1
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Least upper bounds

Example

Set	bounded above	sup
$[-1, 1]$	YES	1
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\emptyset	YES	\nexists
$\{x \in \mathbb{R} : x^2 < 2\}$		

Least upper bounds

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Set	bounded above	sup
$[-1, 1]$	YES	1
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\emptyset	YES	\nexists
$\{x \in \mathbb{R} : x^2 < 2\}$	YES	

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$[-1, 1]$	YES	1
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$\{x \in \mathbb{R} : x^2 < 2\}$	YES	$\sqrt{2}$

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$[-1, 1]$	YES	1
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\emptyset	YES	\nexists
$\{x \in \mathbb{R} : x^2 < 2\}$	YES	$\sqrt{2}$
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$[-1, 1]$	YES	1
$[-1, 1)$	YES	1
\emptyset	YES	\nexists
$\{x \in \mathbb{R} : x^2 < 2\}$	YES	$\sqrt{2}$
$\{x \in \mathbb{Q} : x^2 < 2\}$	YES	$\notin \mathbb{Q}$

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For now, we will simply annoint the least upper bound property as an axiom:

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Completeness Axiom

If $E \subseteq \mathbb{R}$, $E \neq \emptyset$, and E is bounded above, then E has a **least upper bound**

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YES, but we will delay the construction until later in the course.

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Completeness Axiom

If $E \subseteq \mathbb{R}$, $E \neq \emptyset$, and E is bounded above, then E has a **least upper bound** (i.e., $\sup E$ exists and $\sup E \in \mathbb{R}$).

\mathbb{R} is a complete ordered field

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- Any field \mathbb{F} that satisfies the order axioms and the completeness axiom is said to be a *complete ordered field*.

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- Any field \mathbb{F} that satisfies the order axioms and the completeness axiom is said to be a *complete ordered field*.
- \mathbb{R} is a complete ordered field.
- Are there any other complete ordered fields?

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- Any field \mathbb{F} that satisfies the order axioms and the completeness axiom is said to be a *complete ordered field*.
- \mathbb{R} is a complete ordered field.
- Are there any other complete ordered fields?
- **Extra Challenge Problem:**
Prove that \mathbb{R} is the only complete ordered field.

Greatest lower bounds

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Definition (Greatest Lower Bound/Infimum)

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If m is the greatest lower bound of E then we write $m = \inf E$.

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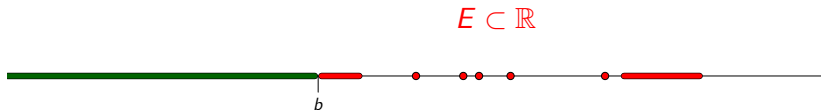
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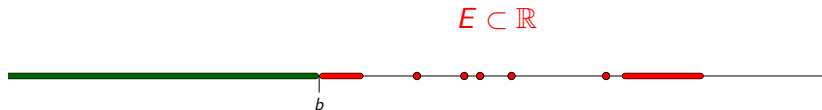
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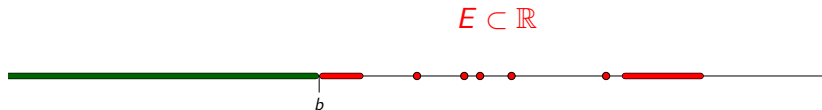
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Correct answer: “ \mathbb{R} is not bounded above so it does not have a least upper bound.”

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Theorem (Archimedean property)

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Suppose \mathbb{N} is bounded above. Then it has a least upper bound, say $B = \sup \mathbb{N}$. Thus, for all $n \in \mathbb{N}$, $n \leq B$. But if $n \in \mathbb{N}$ then $n + 1 \in \mathbb{N}$,

Consequences of the real number axioms (§§1.7–1.9)

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Consequences of the real number axioms (§§1.7–1.9)

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Theorem (Equivalences of the Archimedean property)

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- 3 *Given any $x > 0$ and $y > 0$, there exists $n \in \mathbb{N}$ such that $nx > y$.
i.e., Given any positive number y , no matter how large, and any positive number x , no matter how small, one can add x to itself sufficiently many times so that the result exceeds y (i.e., $nx > y$ for some $n \in \mathbb{N}$).*

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- 4 *Given any $x > 0$, there exists $n \in \mathbb{N}$ such that $\frac{1}{n} < x$.
i.e., Given any positive number x , no matter how small, one can always find a fraction $1/n$ that is smaller than x .*



Mathematics
and Statistics

$$\int_M d\omega = \int_{\partial M} \omega$$

Mathematics 3A03 Real Analysis I

Instructor: David Earn

Lecture 4
Properties of \mathbb{R} III
Tuesday 10 September 2019

Comments arising. . .

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- TA Math Help Centre hours are now listed on course information sheet.

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- Remember Assignment 1 is due Tuesday 17 Sep 2019 @ 2:25pm via [crowdmark](#).
- Last time we ended with some [equivalent conditions relating \$\mathbb{R}\$ and \$\mathbb{N}\$](#) .

Poll

- Go to https://www.childsmath.ca/childsa/forms/main_login.php
- Click on [Math 3A03](#)
- Click on [Take Class Poll](#)
- Fill in poll **Lecture 4: Theorem or Axiom?**
- .

Consequences of the real number axioms (§§1.7–1.9)

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Theorem (Well-Ordering Property)

Every nonempty subset of \mathbb{N} has a smallest element.

Consequences of the real number axioms (§§1.7–1.9)

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Proof.

Consequences of the real number axioms (§§1.7–1.9)

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Let $S \subseteq \mathbb{N}$, $S \neq \emptyset$.

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Proof.

Let $S \subseteq \mathbb{N}$, $S \neq \emptyset$. Then S is a non-empty set of real numbers that is bounded below

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Let $S \subseteq \mathbb{N}$, $S \neq \emptyset$. Then S is a non-empty set of real numbers that is **bounded below** (for instance by 0), and hence has a **greatest lower bound** (in \mathbb{R}). Let $b = \inf S$.

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Suppose $b \notin S$.

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Suppose $b \notin S$. Then $\exists n \in S$ such that $n < b + 1$ (otherwise $b + 1$ would be a lower bound for S that is greater than b)

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Suppose $b \notin S$. Then $\exists n \in S$ such that $n < b + 1$ (otherwise $b + 1$ would be a lower bound for S that is greater than b) and, moreover, $n > b$

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Consequences of the real number axioms (§§1.7–1.9)

Theorem (Well-Ordering Property)

Every nonempty subset of \mathbb{N} has a smallest element.

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Suppose $b \notin S$. Then $\exists n \in S$ such that $n < b + 1$ (otherwise $b + 1$ would be a lower bound for S that is greater than b) and, moreover, $n > b$ (since $b \notin S$). $\therefore n \in S \cap (b, b + 1)$. But just as $b + 1$ cannot be a lower bound for S , n cannot be a lower bound for S (since it too would be a lower bound greater than $b = \inf S$). $\therefore \exists m \in S \cap (b, n)$. But we now have $b < m < n < b + 1$, which is **impossible** because m and n are both integers. $\Rightarrow \Leftarrow$

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Suppose $b \notin S$. Then $\exists n \in S$ such that $n < b + 1$ (otherwise $b + 1$ would be a lower bound for S that is greater than b) and, moreover, $n > b$ (since $b \notin S$). $\therefore n \in S \cap (b, b + 1)$. But just as $b + 1$ cannot be a lower bound for S , n cannot be a lower bound for S (since it too would be a lower bound greater than $b = \inf S$). $\therefore \exists m \in S \cap (b, n)$. But we now have $b < m < n < b + 1$, which is **impossible** because m and n are both integers. $\Rightarrow \Leftarrow$ Therefore $b \in S$, so $b = \min S$. \square

Consequences of the real number axioms (§§1.7–1.9)

Consequences of the real number axioms (§§1.7–1.9)

Corollary

Every nonempty subset of \mathbb{Z} that is bounded below (in \mathbb{R}) has a smallest element.

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Proof.

Consequences of the real number axioms (§§1.7–1.9)

Corollary

Every nonempty subset of \mathbb{Z} that is bounded below (in \mathbb{R}) has a smallest element.

Proof.

The proof is identical to the proof of the [well-ordering property for \$\mathbb{N}\$](#) except that we start with a set of integers that is bounded below, rather than having to first identify a lower bound for the set. \square

Consequences of the real number axioms (§§1.7–1.9)

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Theorem (Principle of Mathematical Induction)

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Consequences of the real number axioms (§§1.7–1.9)

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Consequences of the real number axioms (§§1.7–1.9)

Theorem (Principle of Mathematical Induction)

Let $S \subseteq \mathbb{N}$. Suppose that $1 \in S$ and, for every $n \in \mathbb{N}$,

Consequences of the real number axioms (§§1.7–1.9)

Theorem (Principle of Mathematical Induction)

Let $S \subseteq \mathbb{N}$. Suppose that $1 \in S$ and, for every $n \in \mathbb{N}$, if $n \in S$ then $n + 1 \in S$.

Consequences of the real number axioms (§§1.7–1.9)

Theorem (Principle of Mathematical Induction)

Let $S \subseteq \mathbb{N}$. Suppose that $1 \in S$ and, for every $n \in \mathbb{N}$, if $n \in S$ then $n + 1 \in S$. Then $S = \mathbb{N}$.

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Proof.

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Let $S \subseteq \mathbb{N}$. Suppose that $1 \in S$ and, for every $n \in \mathbb{N}$, if $n \in S$ then $n + 1 \in S$. Then $S = \mathbb{N}$.

Proof.

Let $E = \mathbb{N} \setminus S$ and suppose $E \neq \emptyset$.

Consequences of the real number axioms (§§1.7–1.9)

Theorem (Principle of Mathematical Induction)

Let $S \subseteq \mathbb{N}$. Suppose that $1 \in S$ and, for every $n \in \mathbb{N}$, if $n \in S$ then $n + 1 \in S$. Then $S = \mathbb{N}$.

Proof.

Let $E = \mathbb{N} \setminus S$ and suppose $E \neq \emptyset$. Since $E \subset \mathbb{N}$ and $E \neq \emptyset$,

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Let $S \subseteq \mathbb{N}$. Suppose that $1 \in S$ and, for every $n \in \mathbb{N}$, if $n \in S$ then $n + 1 \in S$. Then $S = \mathbb{N}$.

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Consequences of the real number axioms (§§1.7–1.9)

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Given $x \in \mathbb{R}$, consider the interval $(x - \frac{1}{n}, x + \frac{1}{n})$ for $n \in \mathbb{N}$.

The metric structure of \mathbb{R} (§1.10)

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Definition (Absolute Value function)

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- 4 $|x| - |y| \leq |x - y|.$

The metric structure of \mathbb{R} (§1.10)

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Definition (Distance function or metric)

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The distance between two real numbers x and y is

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- 1 $d(x, y) \geq 0$ *distances are positive or zero*
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Note: Any function satisfying these properties can be considered a “distance” or “metric”.

The metric structure of \mathbb{R} (§1.10)

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Given $d(x, y) = |x - y|$, the properties of the distance function are equivalent to:

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4 $|x + y| \leq |x| + |y|$ (*the triangle inequality*)

Slick proof of the triangle inequality

Theorem (The Triangle Inequality)

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Let $s = \text{sign}(x + y)$. Then

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A non-standard metric on \mathbb{R}

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Example (finite distance between every pair of real numbers)

A non-standard metric on \mathbb{R}

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Let

$$f(x) = \frac{|x|}{1 + |x|},$$

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Example (finite distance between every pair of real numbers)

Let

$$f(x) = \frac{|x|}{1 + |x|},$$

and define

$$d(x, y) = f(x - y).$$

A non-standard metric on \mathbb{R}

Example (finite distance between every pair of real numbers)

Let

$$f(x) = \frac{|x|}{1 + |x|},$$

and define

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Prove that $d(x, y)$ can be interpreted as a distance between x and y because it satisfies [all the properties of a metric](#).



Mathematics
and Statistics

$$\int_M d\omega = \int_{\partial M} \omega$$

Mathematics 3A03 Real Analysis I

Instructor: David Earn

Lecture 5
Properties of \mathbb{R} IV
Thursday 12 September 2019

Announcements

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- A typo has been corrected in Question 3(c) of Assignment 1 on [crowdmark](#).

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- Both midterm tests will tentatively take place in [JHE 264](#).

Last time...

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- Archimedean theorem (\mathbb{N} has no upper bound)

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Last time...

- Archimedean theorem (\mathbb{N} has no upper bound)
- \mathbb{N} is well-ordered (and an important corollary)
- Principle of Mathematical Induction
- Distance/metric definitions.

Plan for today's class

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- Prove that \mathbb{Q} is dense in \mathbb{R} .

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 - Emphasizing explorations you might make in order to discover how to construct a proof.

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- Prove that \mathbb{Q} is dense in \mathbb{R} .
 - Emphasizing explorations you might make in order to discover how to construct a proof.
- Begin discussing sequences.

Poll

- Go to https://www.childsmath.ca/childsa/forms/main_login.php
- Click on [Math 3A03](#)
- Click on [Take Class Poll](#)
- Fill in poll **Lecture 5: Dense sets**
- .

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- We will first develop the ideas for the proof in the way that you might proceed if you were trying to discover a proof from scratch.
- We will then look at a “clean proof” that you might construct after discovering an argument that works.

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Given such m and n , it will follow that

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If we can find integers m and n that satisfy this inequality, then we can work backwards to get what we want.

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$\therefore S$ is a non-empty set of integers that is bounded below.

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If $y \notin \mathbb{Z}$, let $S = \{j \in \mathbb{Z} : y - 1 < j\}$ and let $k = \min S$, i.e., find k such that $k - 1 < y - 1 < k$, which implies $k < y < k + 1$, and hence, in particular, $y - 1 < k < y$, i.e., $k \in [y - 1, y)$. That's what we need! But, *how do we know such a k exists?*

$S \neq \emptyset$ because \mathbb{N} is not bounded above.

$\therefore S$ is a non-empty set of integers that is bounded below. Hence it has a least element.

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