

# Game values and (sur)real numbers

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# GOALS

- ▶ Describe:
  - ▶ Combinatoric games
  - ▶ Surreal numbers
  - ▶ Where the real numbers fit in
- ▶ Stay on this side of sanity

# Game theory

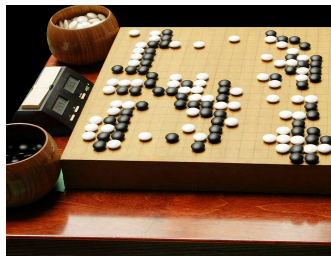
- ▶ Classic game theory is the theory of games with *imperfect information*
- ▶ Why would that be?





# Combinatorial game theory

- ▶ Except that deterministic games are not boring at all
- ▶ Conway decided to think about what it might mean to add two deterministic games together
- ▶ The result was the best thing



# Resources

- ▶ \* *On Numbers and Games*, Conway
- ▶ \* *Surreal Numbers*, Knuth
- ▶ \* *Winning Ways*, Berlekamp, Conway, Guy

# Review

- ▶ We define the real numbers by:
  - ▶ Building the integers as nested sets
  - ▶ Building the rationals as equivalence classes of ordered pairs of integers
  - ▶ Building the reals as cuts of the rationals
- ▶ A lot of work, also, we're left with three definitions of the number 3 (and 2 of the number  $3/2$ )

# Axiom 1: what is a game?

- ▶ A game is: a set of options for the Left player, and a set of options for the Right player
  - ▶  $x = (x^L | x^R)$
  - ▶ Options are *previously defined* games
- ▶ A game *state* is a game together with a specification of whose turn it is
- ▶ Motivation: Clearly define a wide range of deterministic games
  - ▶ in a way that's going to make it easy to add and subtract them
- ▶ Bonus: Highly inductive



# Um, what?

- ▶ I have just defined a bewilderingly wonderful agglomeration of objects
  - ▶ We will need to “chop” it three times to get to the real numbers
- ▶ But is it clear that I've defined any objects at all?

# What are some games?

- ▶ A set of options for the Left player, and a set of options for the Right player
- ▶  $(\emptyset|\emptyset) = (|)$ 
  - ▶ 0
- ▶  $(0|)$ 
  - ▶ 1
- ▶  $(|0)$ 
  - ▶ -1
- ▶  $(0|0)$ 
  - ▶ \*

# How to play a game?

- ▶ If it's your turn, you choose an option
- ▶ It's then the other player's turn in that game
- ▶ If you have no options then you lose

# Hackenbush

- ▶ Uses a drawing with blue, red and green lines, and a “ground”
- ▶ On your turn, you remove a line
  - ▶ Lines no longer connected to ground are removed
- ▶ bLue lines can be removed by Left
- ▶ Red lines can be removed by Right
- ▶ greeN lines can be removed by aNyone

# What outcomes can a game have?

- ▶  $\mathcal{O}(0) = S$  – second player wins
- ▶  $\mathcal{O}(*) = F$  – first player wins
- ▶  $\mathcal{O}(1) = L$  – Left player wins
- ▶  $\mathcal{O}(-1) = R$  – Right player wins

## Axiom 2: Adding games

- ▶ To play in the game  $A + B$ , you move *either* in  $A$  or in  $B$ 
  - ▶  $A + B = (A + B^L, A^L + B | A + B^R, A^R + B)$
- ▶ This is perfectly well defined, and beautifully inductive
  - ▶ All games are defined in terms of previously defined games
- ▶ Motivation: related to thinking about certain kinds of specific games
  - ▶ Also, turns out to be super-cool

# Examples

- ▶ What happens if we add games with various outcomes?
  - ▶  $S + S = S$
  - ▶  $F + F = ?$
  - ▶  $L + L = L$
  - ▶  $L + R = ?$
  - ▶  $L + F = ?$

# Some games are better

- ▶ We say  $A \leq B$  if  $B$  is at least as good for the Left player as  $A$
- ▶ Motivation:
  - ▶ \* classify games by their potential additive effects
  - ▶ \* put a partial ordering on the games



# Definition

- ▶ The **negative** of a game reverses the roles of Left and Right
- ▶ This has a nice, recursive definition
  - ▶  $A = (A^L | A^R)$
- ▶  $-A \equiv (-A^R | -A^L)$
- ▶ We then evaluate  $A : B$  by looking at the outcome of  $A - B \equiv A + (-B)$

## At least as good

- ▶  $A$  is at least as good as  $B$  (for Left) if  $A - B$  has no good moves (for Right)
  - ▶ This means  $\mathcal{O}(A - B) =$ 
    - ▶ L, or S

# Mirror world

- It is sometimes useful to construct  $A - B$  by imagining a mirror, and putting  $B$  on the opposite side of the mirror (Left and Right are reversed there)



## Axiom 3: Partial ordering

- ▶ We say position  $A - B$  is good for Left, *unless*
  - ▶ Right has a good move
- ▶ We say  $A \geq B$  *unless*
  - ▶ Some  $A^R \leq B$ , or
  - ▶ Some  $B^L \geq A$

# Partial ordering

- ▶  $\mathcal{O}(A - B)$ ?
  - ▶  $L \implies A > B$
  - ▶  $R \implies A < B$
  - ▶  $S \implies A = B$
  - ▶  $F \implies A \sim B$

# Theorem

- ▶ If  $A = B$ , then:
  - ▶  $\forall X, \mathcal{O}(X + A) = \mathcal{O}(X + B)$
  - ▶  $\mathcal{O}(X + A) = \mathcal{O}((X + A) + (B - A)) =$   
 $\mathcal{O}((X + B) + (A - A)) = \mathcal{O}(X + B)$



# Values

- ▶ We can thus define a game value as an *equivalence class* of games
  - ▶ A set of games that are linked by an equivalence relation
  - ▶ The rational numbers were defined last week in a similar way:
    - ▶  $1/2$  is the equivalence class of ordered pairs  $(1, 2)$ ;  $(2, 4)$ ; ...



# Numbers

- ▶ The values I've defined are a very cool group.
- ▶ But not very numerical:
  - ▶  $* + * = 0$
- ▶ Games have “numerical” value if you can count free moves, which works when moving is always bad.



# Axiom 1N: what is a (surreal) number?

- ▶ Recall: a game is: a set of options for the Left player, and a set of options for the Right player
  - ▶  $x = (x^L | x^R)$
  - ▶ Options are *previously defined* games
- ▶ A number is: a set of options for the Left player, and a set of options for the Right player
  - ▶  $x = (x^L | x^R)$ , s.t. no  $x^L \geq x^R$
  - ▶ Options are *previously defined* numbers

# Examples

- ▶  $1 + 1 = 2$

- ▶  $(0-1)$

- ▶  $(0-2)$

- ▶  $(0-3)$

# Simplicity theorem

- ▶ The value of  $(x^L|x^R)$  is the simplest, non-prohibited value
- ▶ Prohibited: if it is larger than some  $x^R$  or less than some  $x^L$
- ▶ Simplest: earliest created; it has no options that are not prohibited

# Integers

- ▶ We create the integers as  $n + 1 = (n|)$

# Binary fractions

- ▶ We create the dyadic rationals as
  - ▶  $2k + 1/2^{n+1} = (k/2^n | (k + 1)/2^n)$

# The limit

- ▶ What happens if we take the limit of all numbers we can make in a finite number of steps?
- ▶ We can get all the reals ...
- ▶ plus some very weird stuff
  - ▶  $\omega = (0, 1, 2, \dots |)$
  - ▶  $1/\omega = (0|1, 1/2, 1/4, \dots)$



0.999...

- ▶ Is 0.999... really equal to 1?
- ▶ Depends on your definitions
- ▶ What is 0.1111... (base 2) as a game?

# Ordinals

- ▶ You can take as many limits as you want, and get all of the infinite ordinals, and a wide range of infinitesimals



# Axiom 1R: what is a (real) number?

- ▶ Recall: a number is: a set of options for the Left player, and a set of options for the Right player
  - ▶  $x = (x^L | x^R)$ , s.t.:
    - ▶ no  $x^L \geq x^R$
  - ▶ Options are *previously defined* numbers
- ▶ A real number is: a set of options for the Left player, and a set of options for the Right player
  - ▶  $x = (x^L | x^R)$ , s.t.:
    - ▶ no  $x^L \geq x^R$
    - ▶  $x^L$  has a largest element iff  $x^R$  has a smallest element
  - ▶ Options are *previously defined* real numbers

## Axiom 4

- ▶ You can define multiplication
  - ▶ Motivation:  $(x - x^S)(y - y^S)$  has a known sign

# Theorem

- ▶ You can construct division and show that the surreal numbers are a field
  - ▶ Insane recursion that only a genius could come up with, seriously
  - ▶ Recursion simultaneously on simpler quotients, and on the quotient itself

# Surreal arithmetic

- ▶  $\omega - 1$ ,
- ▶  $\omega/2$ ,  $\sqrt{(\omega)}$
- ▶ Even crazier stuff:  $\sqrt[3]{\omega - 1} - \pi/\omega$

# Micro-infinitesimals

- ▶ If we allow values that aren't numbers, we have infinitesimals that are smaller than the smallest infinitesimal numbers



# Nimbers

- ▶ We can define neutral games by identifying options for Left and Right
- ▶ This is the theory of Nim values

# Hot games

- ▶ Hot games are games where there can be a positive value to moving
- ▶ Example: domineering

# Conclusion

- ▶ We can define a bewildering array of games with a simple, recursive definition
- ▶ By defining addition, we can chop these into values, which form a group under sensible game addition
- ▶ By recursively requiring making a move to have a cost, we can chop these further into numbers, which contain the reals, the infinite ordinals and a consistent set of infinitesimals
  - ▶ These surreal numbers form a field