

The λ -calculus, from minimal to classical logic

Webpage of the course

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ESSLLI Summer School, Bochum (Germany)

28/07/2025 – 01/08/2025

The λ -calculus,
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Lecture 5:
Krivine's approach to classical logic

Read the notes: they are full of details, proofs, explanations, exercises, bibliography!

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Previously...

- You have seen **minimal logic**
- You have seen that it corresponds to the **simply-typed λ -calculus**
- In the sense that **formulas = types** *and* **cut-elimination = β -reduction**
- **Computational understanding of logic:** proof
→ program



Previously...

Curry-Howard formalises the computational understanding (BHK) of logic in the *strongest* sense:

a proof $x : A \vdash M : B$ is a (typed) program that computes the function

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Yesterday: *minimal* logic



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What about *classical* logic?

Taking the above *literally* fails (“Joyal’s lemma” in category theory, “Lafont’s pairs” in sequent calculus,...) ... which we are not going to see

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...it actually works for richer and richer *intuitionistic* logics (e.g. system F/2nd-order λ -calculus, MLTT's etc)

What about *classical* logic?

Classical logic still has a computational content indeed!

$$\begin{array}{ccc} \text{type} & \rightsquigarrow & \text{realiser} \\ \text{purely functional} & \rightsquigarrow & \text{impure functional} \end{array}$$

Example

Classical realisability, $\neg\neg+$ Dialectica, $\lambda\mu$ -calculus, $\bar{\lambda}\mu\tilde{\mu}$ -calculus,...

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2nd order classical logic

Formulas:

$A ::= X \mid A \rightarrow A$

Proofs:

$$\frac{\frac{\underline{A}, \quad B \vdash \quad B}{\underline{A} \vdash \quad B \rightarrow C} \quad \underline{A} \vdash \quad B}{\underline{A} \vdash \quad C}$$

$$\frac{\underline{A}, \quad B \vdash \quad C}{\underline{A} \vdash \quad B \rightarrow C}$$

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Formulas:

$$A ::= X \mid A \rightarrow A$$

Proofs:

$$\overline{\underline{x} : \underline{A}, y : B \vdash y : B}$$

$$\frac{\underline{x} : \underline{A} \vdash M : B \rightarrow C \quad \underline{x} : \underline{A} \vdash N : B}{\underline{x} : \underline{A} \vdash MN : C}$$

$$\frac{\underline{x} : \underline{A}, y : B \vdash M : C}{\underline{x} : \underline{A} \vdash \lambda y. M : B \rightarrow C}$$

Proof-like terms:

$$M ::= x \mid MN \mid \lambda x. M$$

2nd order classical logic

Arithmetic expressions:

$$e ::= n \mid a \mid f(e, \dots, e) \quad (\text{for } n \in \mathbb{N}, a \in \mathcal{V}_1, f \in \mathcal{S}_k)$$

Formulas:

$$A ::= X(e, \dots, e) \mid A \rightarrow A \mid \forall c. A \mid \forall^k X. A \quad (\text{for } X \in \mathcal{V}_2^k)$$

Proofs:

$$\overline{\underline{x} : \underline{A}, y : B \vdash y : B}$$

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$$\frac{\underline{A} \vdash \forall c. B}{\underline{A} \vdash B\{c := e\}}$$

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Proofs:

$$\begin{array}{c} \frac{}{\underline{x} : \underline{A}, y : B \vdash y : B} \qquad \frac{}{\underline{A} \vdash ((B \rightarrow C) \rightarrow B) \rightarrow B} \\[10pt] \frac{\underline{x} : \underline{A} \vdash M : B \rightarrow C \quad \underline{x} : \underline{A} \vdash N : B}{\underline{x} : \underline{A} \vdash MN : C} \qquad \frac{\underline{x} : \underline{A}, y : B \vdash M : C}{\underline{x} : \underline{A} \vdash \lambda y. M : B \rightarrow C} \\[10pt] \frac{\underline{x} : \underline{A} \vdash M : B}{\underline{x} : \underline{A} \vdash M : \forall c. B} \qquad \frac{\underline{x} : \underline{A} \vdash M : B}{\underline{x} : \underline{A} \vdash M : \forall^k Y. B} \\[10pt] \frac{\underline{x} : \underline{A} \vdash M : \forall c. B}{\underline{x} : \underline{A} \vdash M : B\{c := e\}} \qquad \frac{\underline{x} : \underline{A} \vdash M : \forall^k Y. B}{\underline{x} : \underline{A} \vdash M : B\{Y := \langle C, c_1, \dots, c_k \rangle\}} \end{array}$$

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Proof-like terms:

$$M ::= x \mid MN \mid \lambda x. M \mid \text{callcc}$$

Operational semantics of λ -calculus + callcc

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Operational semantics of λ -calculus + callcc

<i>OPS via:</i>	<i>programs run...</i>	<i>E.g.</i>	<i>Logic</i>
β -reduction	by themselves	$M \twoheadrightarrow_{\beta} N$	intuitionistic
Abstract Machine	by interaction with execution stacks	$M \star \pi \rightarrow N \star \rho$	classical

Operational semantics of λ -calculus + `callcc`

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Proof-Like terms $P ::= x \mid \lambda x. P \mid P P \mid \text{callcc}$

Terms $M ::= x \mid \lambda x. M \mid M M \mid \text{callcc} \mid k_{\pi}$

Stacks $\pi ::= [] \mid M :: \pi$

Operational semantics of λ -calculus + callcc

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Terms $M ::= x \mid \lambda x. M \mid MM \mid \text{callcc} \mid k_{\pi}$

Stacks $\pi ::= [] \mid M :: \pi$

Operational Semantics via a (simplified) KAM:

Weak Head β -reduction $\left\{ \begin{array}{ll} \text{(push)} & MN \star \pi \rightarrow M \star N :: \pi \\ \text{(pop)} & \lambda x. M \star N :: \pi \rightarrow M\{x := N\} \star \pi \end{array} \right.$

Continuation passing style $\left\{ \begin{array}{ll} \text{(save)} & \text{callcc} \star M :: \pi \rightarrow M \star k_{\pi} :: \pi \\ \text{(restore)} & k_{\pi} \star M :: \rho \rightarrow M \star \pi \end{array} \right.$

Arena

Player		Opponent
--------	--	----------

\mathbf{k}_π	\star	$M :: \rho$
------------------	---------	-------------

\downarrow

M	\star	π
-----	---------	-------

Operational semantics of λ -calculus + callcc

Arena			Weapons	
Player	Opponent		Witnesses	Counterwitnesses
k_π	\star	$M :: \rho$		
	\downarrow		$M \in \mathcal{W}(A)$	$\pi \in \mathcal{C}(A)$
M	\star	π		

Operational semantics of λ -calculus + callcc

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M	\star	π		
	\cap			
	$\perp\!\!\!\perp$			

Arena			Weapons	
Player	Opponent		Witnesses	Counterwitnesses
k_π	\star	$M :: \rho$		
	\downarrow		$M \in \mathcal{W}(A)$	
			$k_\pi \in \mathcal{W}(A \rightarrow \perp)$	$\pi \in \mathcal{C}(A)$
M	\star	π		

$\Rightarrow \quad k_\pi \in \mathcal{W}(\neg A) \quad \text{for all } \pi \in \mathcal{C}(A)$

Arena

Player		Opponent
--------	--	----------

<code>callcc</code>	\star	$M :: \pi$
---------------------	---------	------------

\downarrow

M	\star	$k_\pi :: \pi$
-----	---------	----------------

Operational semantics of λ -calculus + `callcc`

Arena			Weapons	
Player		Opponent	Witnesses	Counterwitnesses
<code>callcc</code>	\star	$M :: \pi$		
	\downarrow			
M	\star	$k_\pi :: \pi$	$M \in \mathcal{W}(\neg\neg A)$	$\pi \in \mathcal{C}(A)$

Operational semantics of λ -calculus + callcc

Arena			Weapons	
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callcc	\star	$M :: \pi$		
	\downarrow		$M \in \mathcal{W}(\neg A \rightarrow \perp)$	$\pi \in \mathcal{C}(A)$
M	\star	$k_\pi :: \pi$		
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	$\perp\!\!\!\perp$			

Operational semantics of λ -calculus + callcc

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callcc	★	$M :: \pi$		
	\downarrow			
M	★	$k_\pi :: \pi$	$M \in \mathcal{W}(\neg\neg A)$ $\text{callcc} \in \mathcal{W}(\neg\neg A \rightarrow A)$	$\pi \in \mathcal{C}(A)$

$\Rightarrow \quad \text{callcc} \Vdash \neg\neg A \rightarrow A$

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<code>callcc</code>	\star	$M :: \pi$		
	\downarrow			
M	\star	$k_\pi :: \pi$	$M \in \mathcal{W}(\neg\neg A)$ $\text{callcc} \in \mathcal{W}(\neg\neg A \rightarrow A)$	$\pi \in \mathcal{C}(A)$

$$\implies \text{callcc} \Vdash \neg\neg A \rightarrow A$$

Similar argument for

$$\lambda x. \text{callcc } x \Vdash \neg\neg A \rightarrow A \quad \text{and} \quad \lambda x. \text{callcc}(\lambda y. x y) \Vdash \neg\neg A \rightarrow A$$

Realisability and its adequacy to provability

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Definition (Realisability semantics of formulas)

$$C^\perp := \{t \in \mathbb{W} \mid t \perp \pi \text{ for all } \pi \in C\}$$

$$\mathcal{W}(_) := \mathcal{C}(_)^\perp$$

	\mathbb{W}	\mathbb{C}	$\perp \subseteq \mathbb{W} \times \mathbb{C}$	$*$
<i>Tarski</i>	$\{\square\}$	$\{\dagger\}$	\emptyset	\Rightarrow
<i>Krivine</i>	Λ	Λ^*	<i>pole</i>	<i>cons</i>

$$\mathcal{C}(X(e_1, \dots, e_k)) = \llbracket X \rrbracket (\llbracket e_1 \rrbracket, \dots, \llbracket e_k \rrbracket)$$

$$\mathcal{C}(A \rightarrow B) = \mathcal{W}(A) * \mathcal{C}(B)$$

$$\mathcal{C}(\forall c. A) = \bigcup_{m \in \mathbb{N}} \mathcal{C}(A\{c := m\})$$

$$\mathcal{C}(\forall^m Y. A) = \bigcup_{Q: \mathbb{N}^m \rightarrow \mathcal{P}(\mathbb{C})} \mathcal{C}(A\{Y := Q\})$$

Realisability relation: $\mathbf{M} \Vdash A$ whenever \mathbf{M} is a closed proof like term in $\mathcal{W}(A)$

Not only $\lambda x. \text{callcc}(\lambda y. x y) \Vdash \neg\neg A \rightarrow A$ but even:

$$\vdash \lambda x. \text{callcc}(\lambda y. x y) : \neg\neg A \rightarrow A$$

In fact, typing \Rightarrow realising. The converse fails: that's precisely what we want!

Adequacy Theorem

Let

$$x_1 : A_1, \dots, x_m : A_m \vdash M : B$$

and fix an interpretation of the (free) 1st and 2nd order variables of A_1, \dots, A_m, B .

For all closed terms N_1, \dots, N_m , we have:

$$N_1 \in \mathcal{W}(A_1), \dots, N_m \in \mathcal{W}(A_m) \implies M\{\vec{x} := \vec{N}\} \in \mathcal{W}(B).$$

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Corollary

$$\vdash \mathbf{M} : A \implies \mathbf{M} \Vdash A$$

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In other words, a proof $\mathbf{x} : A \vdash \mathbf{M} : B$ defines a proof-term $\lambda \mathbf{x}. \mathbf{M}$ that computes (for each interpretation of variables and notion of winning process), the function

$$N \in \mathcal{W}(A) \mapsto \mathbf{M}\{\mathbf{x} := N\} \in \mathcal{W}(B)$$

Realisability formalises BHK by extending the literal Curry-Howard one!

Realisability and its adequacy to provability

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For all closed terms $\mathbf{N}_1, \dots, \mathbf{N}_m$, we have:

$$\mathbf{N}_1 \in \mathcal{W}(A_1), \dots, \mathbf{N}_m \in \mathcal{W}(A_m) \implies \mathbf{M}\{\vec{\mathbf{x}} := \vec{\mathbf{N}}\} \in \mathcal{W}(B).$$

Corollary

Let \mathcal{T} be a theory of PA2 (or ZF/+CH/+C+...). If all axioms A of \mathcal{T} are realised by programs $\mathbf{N}_A \Vdash A$, then: $\underline{\mathbf{x}} : \underline{A} \vdash \mathbf{M} : \underline{B} \implies \mathbf{M}\{\underline{\mathbf{x}} := \underline{\mathbf{N}}\} \Vdash \underline{B}$.

E.g., A = countable/dependent axiom of choice, ultrafilter axiom on \mathbb{N} , Continuum Hypothesis,...

Moral of the story 1:

(The computational content of) Classical logic is about the interaction with some notion of environment

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(The computational content of) Classical logic is about the interaction with some notion of environment

Proof.

True for all (that I know) computational approaches of classical logic: classical realisability, Game Semantics, Linear Logic, $\lambda\mu$ -calculus, $\neg\neg$ +Dialectica \square

Moral of the story 1:

(The computational content of) Classical logic is about the interaction with some notion of environment

Moral of the story 2:

Now that we disclosed the “hidden” computational content of classical logic, we want to realise axioms, not only theorems!

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Example

The theory of the formulas which admit a realiser is deductively closed. Under mild assumption on the pole \perp , it is also non-contradictory.

Study its models.

In the case for ZFC, this is stronger than forcing!

Realisability and its adequacy to provability

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(The computational content of) Classical logic is about the interaction with some notion of environment

Moral of the story 2:

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Example

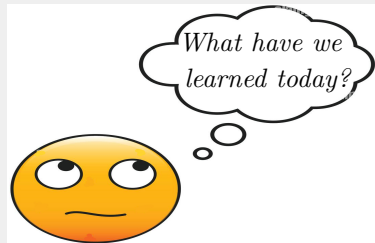
The theory of the formulas which admit a realiser is deductively closed. Under mild assumption on the pole \perp , it is also non-contradictory. Study its models.

In the case for ZFC, this is stronger than forcing!



- 1 2nd order classical logic
- 2 Operational semantics of λ -calculus + callcc
- 3 Realisability and its adequacy to provability
- 4 Summary, Exercises, Bibliography

- We have seen proof-terms for **classical 2nd order logic**
- We have given them an operational semantics in terms of a **KAM** which manipulates **continuations**
- We have defined the **realisability semantics** by refining Tarski
- We have seen that realisability is **adequate** wrt provability



Summary, Exercises, Bibliography

- Look at our **notes** on the [webpage of the course](#), there are plenty of **details**, **proofs** and **exercises**.

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Exercise

The 2nd order encoding of $A \vee B$ is:

$A \vee B := \forall^0 X. (A \rightarrow X) \rightarrow (B \rightarrow X) \rightarrow X$. With that, show (by hand) that:

$$\text{callcc}(\lambda y v h. h(\lambda x. y(\lambda z w. z x))) \Vdash A \vee \neg A.$$

This is actually the proof-term of a derivation of the excluded middle from *Consequentia Mirabilis* (itself an instance of Peirce's law). Do *not* use adequacy though.

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One million dollars exercise

Find a program M such that $M \Vdash$ full Axiom of Choice

[*Hint (?)*: Krivine proved that one exists.]

- Where the idea of callcc with Peirce law was introduced:
A formulae-as-type notion of control, Timothy G. Griffin, 1990,
<https://dl.acm.org/doi/10.1145/96709.96714>
- A standard introduction to the topic:
Realizability in classical logic, Jean-Luis Krivine, 2004,
<https://www.irif.fr/~krivine/articles/Luminy04.pdf>
- A very nice and clear PhD manuscript on the topic:
On Forcing and Classical Realizability, Lionel Rieg, 2014,
<https://www-verimag.imag.fr/~riegl/assets/thesis-color.pdf>
- To go further (one cool example among many possible):
A program for the full Axiom of Choice, Jean-Luis Krivine, 2021,
https://www.irif.fr/~krivine/articles/A_program_for_full_AC.pdf