

#### Escola Tècnica Superior d'Enginyeria de Telecomunicació de Barcelona





Departament de Teoria del Senyal i Comunicacions





OPTICAL COMMUNICATIONS GROUP

#### **FIBER-OPTIC COMMUNICATIONS**





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- 2. OPTICAL FIBER
- 3. OPTICAL SOURCES
- 4. OPTICAL RECEIVERS
- 5. OPTICAL AMPLIFIERS
- 6. FIBER-OPTIC SYSTEMS





# 3. OPTICAL SOURCES

- INTRODUCTION TO OPTICAL SOURCES
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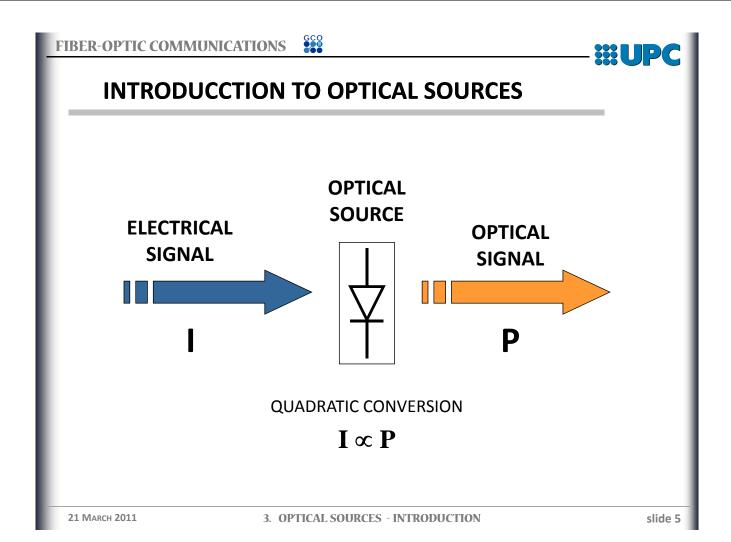
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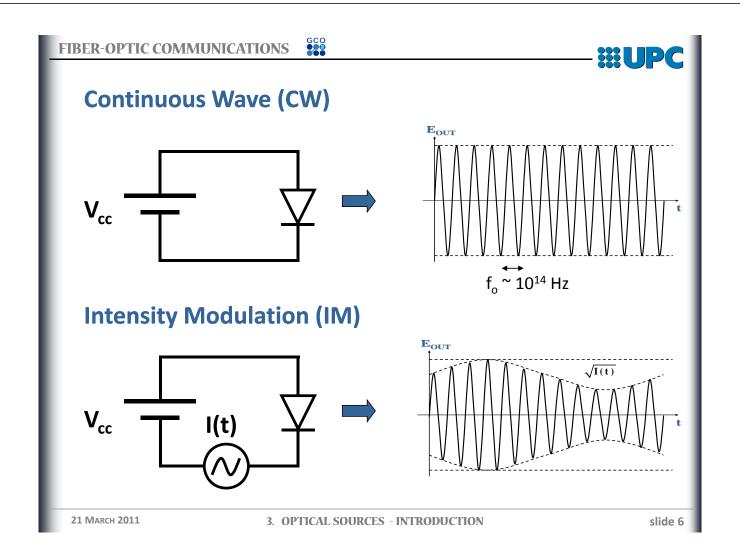
#### FIBER-OPTIC COMMUNICATIONS





- LED DYNAMICS
  - LED'S RATE EQUATION
  - LED'S DIRECT MODULATION
- LASER DIODE
  - WORKING PRINCIPLE
    - MATERIAL GAIN
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    - LIGHT CURRENT CHARACTERISTIC
    - LASER TYPES
  - LASER DYNAMICS
    - LASER'S RATE EQUATIONS
    - THRESHOLD CURRENT
    - LASER'S DIRECT MODULATION
  - MODERN LASER STRUCTURES









# **DESIRABLE CHARACTERISTICS**

- **✓** HIGH E/O CONVERSION EFFICIENCY
- **✓ WORKING TEMPERATURE AND STABILITY**
- **✓** EMISSION FREQUENCY
- **✓ HIGH MODULATION SPEED**
- **✓ LINEAR LIGHT-CURRENT RESPONSE**
- **✓** HIGH SPECTRAL PURITY (LASER)
- **✓** FIBER COMPATIBILITY (COUPLING)
- **✓** SMALL SIZE AND CONSUMPTION (INTEGRATION)
- **▼** REDUCED COST

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# **TYPES AND APPLICATIONS**

# **LED DIODE**

- ☐ Visible → visualization
- ☐ near IR → telecom

# Emitted Lig Beams Diode Transparent Plastic Case

#### **LASER DIODE**

- ☐ Visible
  - → industry
  - → medicine
  - → space telecom
- □ near IR → telecom



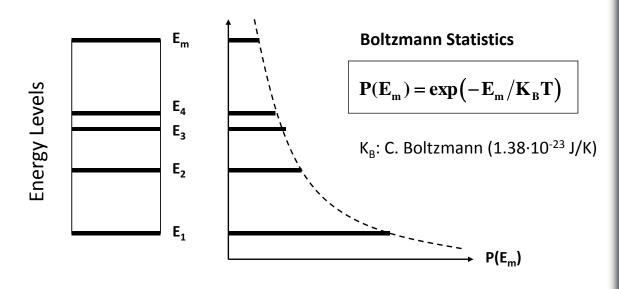




# **LIGHT-MATTER INTERACTION**

# **Atomic / Molecular Energy Level**

"The energy level of an isolated atom / molecule is discrete due to Pauli Exclusion Principle.



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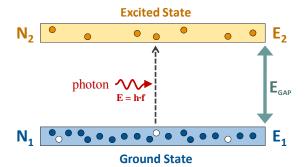
# **Light Absorption / Emission Processes**

"Any given material shows a particular light absorption characteristic. Some of them, under specific conditions, have the capacity of light emission".

hf 
$$\rightarrow$$
 photon energy  
hf  $\approx E_2 - E_1 = E_g$  (direct GAP)

h: C. Planck (6,63·10<sup>-34</sup> J·s) f: light frequency

#### STIMULATED ABSORPTION



"The incident photon is absorbed by an electron which increments its energy level"

**Photodetectors** 





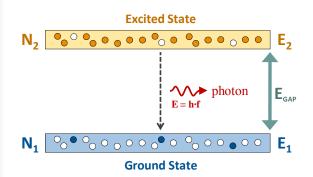
#### SPONTANEOUS EMISSION

**Recombination Lifetime** 

 $e^{-}a E_{2}[N]$ 

 $N_0$ 

N<sub>0</sub>/e



"An excited electron releases energy in the form of a photon with random frequency, phase, and direction"



# **Incoherent light (LED)**

**Bose-Einstein statistics** 

$$\sigma_{m}^{2} = \langle m \rangle (\langle m \rangle + 1)$$

"Average time to return to ground state"

 $\tau_r$ : Carrier Lifetime

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t [s]

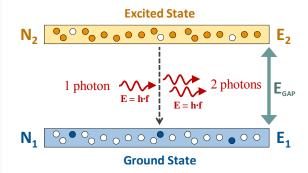
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#### STIMULATED EMISSION



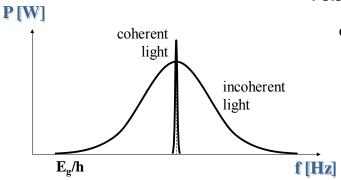
"An incident photon forces an excited electron to release its energy in the form of a new photon with exactly the same frequency, phase, and direction"



# **Coherent Light (LÀSER)**

#### **Poisson Statistics**

$$\sigma_{\rm m}^2 = \langle {\rm m} \rangle$$



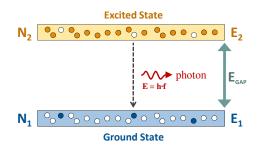
spectra



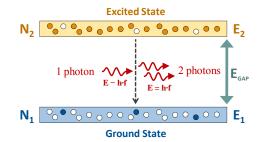


# **Thermal Equilibrium Condition**

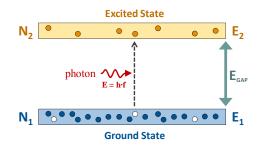
#### SPONTANEOUS EMISSION



#### STIMULATED EMISSION



#### STIMULATES ABSORPTION



# $hf >> K_R T$

"No external energy interchange"

$$\frac{\text{Absorptions}}{\text{Rate}} = \frac{\text{Emissions}}{\text{Rate}}$$

E<sub>i</sub>: Energy

N<sub>i</sub>: Carriers Density

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# **Einstein Relations**

$$\tau_{\rm r} \equiv \frac{1}{A} \left[ s \right] \quad \rho \equiv \frac{P}{v \cdot Wd} = S \cdot hf \left[ \frac{J}{m^3} \right]$$

Spontaneous E. Rate 
$$r_{\rm sp} \equiv AN_2 = N_2/\tau_{\rm r}$$

Stimulated A. Rate 
$$r_a \equiv B_{12} \rho N_1 = avS \cdot N_1$$
 Thermal Equilibrium

Stimulated E. Rate 
$$r_{st} \equiv B_{21} \rho N_2 = avS \cdot N_2$$
  $r_a = r_{sp} + r_{st}$  [m<sup>-3</sup>s<sup>-1</sup>]

$$\mathbf{r}_{\mathbf{a}} = \mathbf{r}_{\mathbf{sp}} + \mathbf{r}_{\mathbf{st}} \quad [\mathsf{m}^{\mathsf{-3}}\mathsf{s}^{\mathsf{-1}}]$$

$$\mathbf{B}_{12} = \mathbf{B}_{21} = \frac{\mathbf{a}\mathbf{v}}{\mathbf{h}\mathbf{f}} \quad \left[\frac{\mathbf{m}^3}{\mathbf{J} \cdot \mathbf{s}}\right]$$

$$\frac{N_2}{N_1} = \exp(-E_g/K_BT) = \exp(-hf/K_BT)$$

**Boltzmann Statistics** 

$$B_{12}\rho N_1 = AN_2 + B_{21}\rho N_2$$

$$\rho = \frac{AN_2}{B_{12}N_1 - B_{21}N_2} = \frac{A/B_{21}}{B_{12}N_1/B_{21}N_2 - 1} = \frac{A/B_{21}}{\left(B_{12}/B_{21}\right) exp\left(hf/K_BT\right) - 1}$$

A: Sp. Em. Coef.  $B_{12}$ : St. Ab. Coef.  $B_{21}$ : St. Em. Coef.  $\rho$ : E.S.D. radiation





Blackbody Radiation (Planck's Formula)

$$\rho \equiv \frac{8\pi h (f/v)^3}{\exp(hf/K_B T) - 1}$$

[J·m<sup>-3</sup>]

$$\rho = \frac{A/B_{21}}{\left(B_{12}/B_{21}\right) exp(hf/K_{B}T) - 1} = \frac{8\pi h \left(f/v\right)^{3}}{exp(hf/K_{B}T) - 1}$$

$$\begin{vmatrix}
A = B_{21} 8\pi h (f/v)^{3} \\
B_{12} = B_{21} = B
\end{vmatrix} \rightarrow \begin{vmatrix}
r_{st} \\
r_{sp} = \frac{B_{21} \rho N_{2}}{AN_{2}} = \left[exp(hf/K_{B}T) - 1\right]^{-1} << 1$$



P(Esp) >> P(Est)

Not interesting

**Net Stimulated Emission Rate** 

$$\mathbf{r}_{e} \equiv \mathbf{r}_{st} - \mathbf{r}_{a} = (\mathbf{N}_{2} - \mathbf{N}_{1}) \rho \cdot \mathbf{B}$$
[m<sup>-3</sup>s<sup>-1</sup>]



**Population Inversion** 

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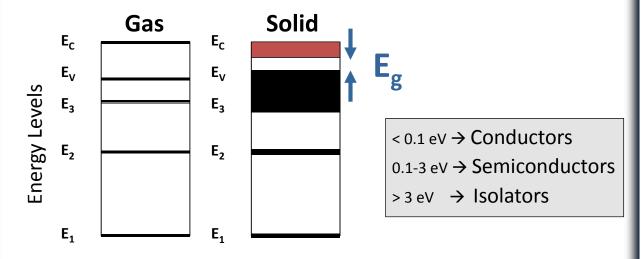
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# **SEMICONDUCTORS PRINCIPLES**

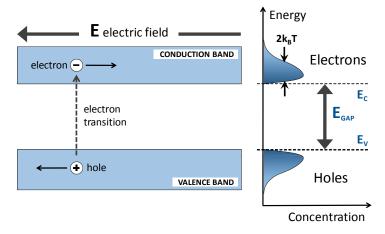
**1.** The electrons are located in discrete energy states being the last two the Valence / Conduction Bands separated by an energy GAP



Depending on the energy GAP, the materials are divided among: isolators, conductors and semiconductors.







- **2.** Electrons in the CB are not tied to any particular atom so they are free to move along the semiconductor.
- **3.** When an electron liberates from its atom and moves to CB leaves a hole in the VB which is called to have positive charge.
- **4.** An electron placed in CB may return to VB occupying a hole an releasing its energy that can be in the form of a **photon**. This process is known as **electron-hole recombination**.

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# Energy 2k<sub>B</sub>T Electrons E<sub>C</sub> E<sub>C</sub>

#### **Direct GAP**

Wave Vector (k)

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# **Fermi-Dirac Distribution**

Concentration

$$f(E) = \left\{1 + \exp\left[\left(E - E_f\right) / K_B T\right]\right\}^{-1}$$

E<sub>f</sub>: Fermi Level

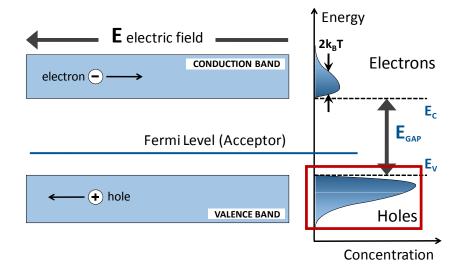
 $^{0}$   $^{1/2}$   $^{1}$   $f_{E}(E)$ 





# **P-type Semiconductor**

Some "acceptor" doping atoms are added which take electrons from the Conduction Band. A positive carrier flux is produced.



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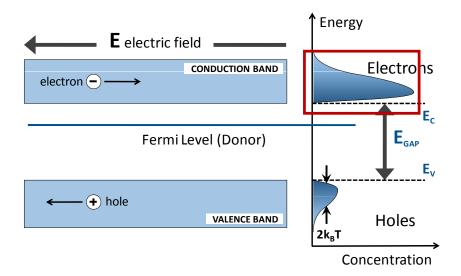
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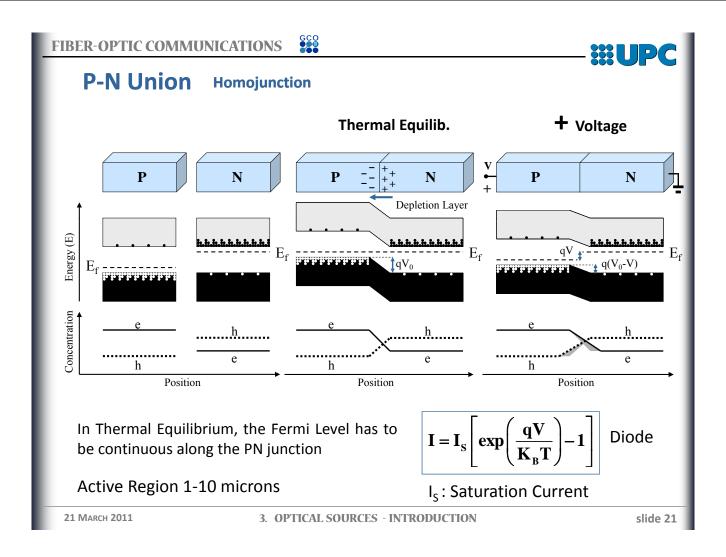


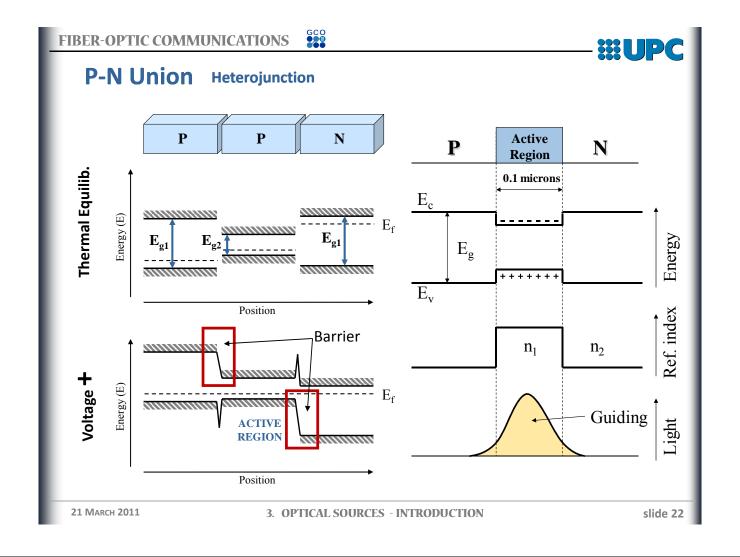


# **N-type Semiconductor**

Some "donor" doping atoms are added which give electrons to the Conduction Band. A negative carrier flux is produced.











# **Materials for Optical Sources Fabrication**

NO METALS					
III	IV	V	VI	VII	
В	С	N	О	F	
Al	Si	P	S	Cl	
Ga	Ge	As	Se	Br	
In	Sn	Sb	Te	I	
Tl	Pb	Bi	Po	At	

$$\mathbf{E}_{\mathbf{g}} = \mathbf{h} \cdot \mathbf{f}_{\mathbf{g}} = \mathbf{h} \frac{\mathbf{c}}{\lambda_{\mathbf{g}}}$$

Material	$E_{g}$ (eV)	$\lambda_{g}\left(\mu m\right)$	GAP
Ge	0.66	1.88	I
Si	1.11	1.15	I
AlP	2.45	0.52	I
AlAs	2.16	0.57	I
AlSb	1.58	0.75	I
GaP	2.26	0.55	I
GaAs	1.42	0.87	D
GaSb	0.73	1.70	D
InP	1.35	0.92	D
InAs	0.36	3.5	D
InSb	0.17	7.3	D

- ☐ Binaries → GaAs
- $\square$  Quaternaries  $\rightarrow$  In<sub>x</sub>Ga<sub>1-x</sub>As<sub>y</sub>P<sub>1-y</sub> (1<sup>st</sup>, 2<sup>nd</sup> & 3<sup>rd</sup> window)

(1st window)

☐ Ternaries  $\rightarrow$  Al<sub>x</sub>Ga<sub>1-x</sub>As (1<sup>st</sup> window)  $\rightarrow$  In<sub>x</sub>Ga<sub>1-x</sub>As (2<sup>nd</sup> & 3<sup>rd</sup> window)

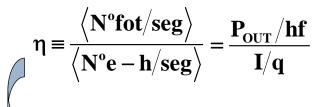
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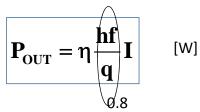
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# **QUANTUM EFFICIENCY**

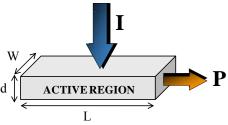




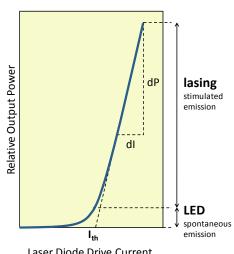
LED  $\rightarrow \eta \sim 6\%$ LASER  $\rightarrow \eta \sim 70\%$ 

q: electron charge  $(1,6\cdot10^{-19})$  C





# light-current characteristic



Laser Diode Drive Current





# **Internal / External Quantum Efficiency**

$$\eta \equiv \frac{\left\langle N^{o}fot/seg\right\rangle_{out}}{\left\langle N^{o}e-h/seg\right\rangle_{total}} = \frac{\left\langle N^{o}fot/seg\right\rangle_{out}}{\left\langle N^{o}fot/seg\right\rangle_{generated}} \frac{\left\langle N^{o}fot/seg\right\rangle_{generated}}{\left\langle N^{o}e-h/seg\right\rangle_{total}}$$

$$\boxed{ \boldsymbol{\eta} \equiv \boldsymbol{\eta}_{i} \cdot \boldsymbol{\eta}_{e} } \quad \begin{array}{c} \text{Si} \rightarrow \boldsymbol{\eta}_{i} \sim 10^{-5} \\ \text{AsGa} \rightarrow \boldsymbol{\eta}_{i} \sim 0.7 \end{array}$$

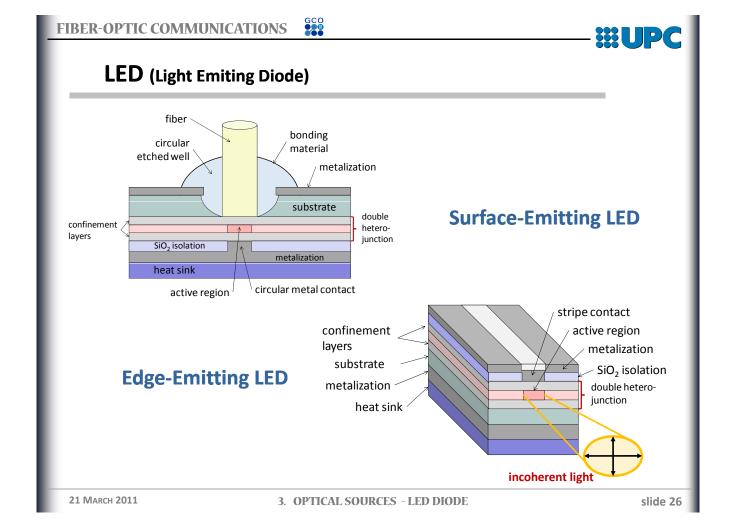
#### **Inefficiency Causes**

- Emitted light omnidirectionality
- Non-radiative recombinations → thermal energy
- Stimulated absorption in the active region
- Reflection in the source-air transition
- Phonon

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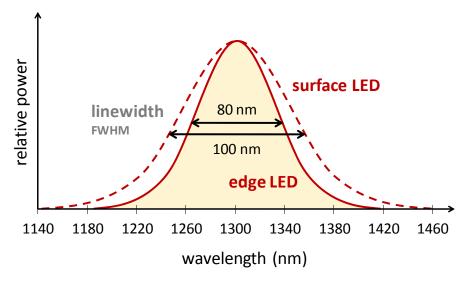








# **LED characteristic figures**



- $\rightarrow$  BW up to 100 MHz  $\rightarrow$  R<sub>B</sub> up to 100 Mb/s
- $\rightarrow \Delta \lambda$  huge  $\rightarrow$  100 nm
- ➤ P<sub>OUT</sub> very small → -20 dBm

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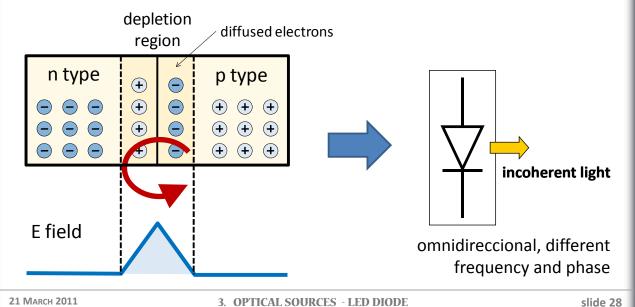
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# **WORKING PRINCIPLE**

"LED source is a diode (PN junction) directly polarized which emits light by **spontaneous emission** (incoherent light) thanks to an electron-hole recombination process"

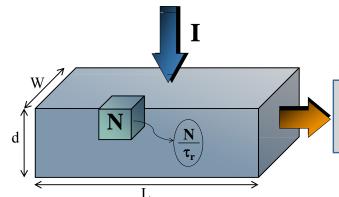






# **Carrier Injection – Optical Power**

# quantum efficiency



$$\eta \equiv \frac{\left\langle N^o fot/seg \right\rangle}{\left\langle N^o e - h/seg \right\rangle} \ = \frac{P_{OUT}/hf}{I/q}$$

$$\mathbf{P} = \eta \frac{\mathbf{N}}{\tau_{r}} \mathbf{V} \cdot \mathbf{hf} \equiv \frac{\mathbf{Joules}}{\mathbf{s}}$$

$$\frac{N}{\tau_r} \equiv \frac{recomb/m^3}{s} \quad \Longrightarrow \quad \frac{N}{\tau_r} V \equiv \frac{recomb}{s} \quad \Longrightarrow \quad \eta \frac{N}{\tau_r} V \equiv \frac{fotons}{s}$$

$$P_{\rm OUT} = \eta \frac{hf}{q} I = \eta \frac{N}{\tau_{\rm r}} V \cdot hf \quad \Longrightarrow \quad \frac{N}{\tau_{\rm r}} = \frac{I}{qV} \quad \text{equilibrium state}$$

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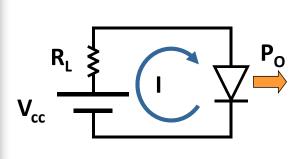
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# **Light – Current characteristic**

"Representation of the optical power emitted by the source as a function of the polarization electrical current intensity"



$$P_{OUT} = \eta \frac{hf}{q}I$$
 [W]

typical efficiency: 0.05 mW/mA

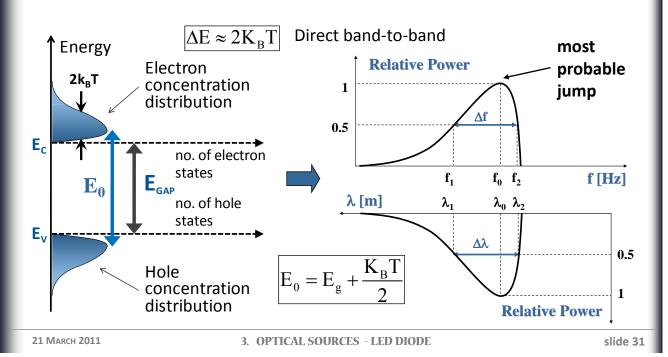
AsGa 
$$\rightarrow \eta_i \sim 0.7$$
  $\frac{hf}{q} \approx 0.8$ 





# **Power Spectral Density**

"One of the main characteristics of LED diodes is its spectral width due to the fact that the light is incoherent (spontaneous emission)"



#### FIBER-OPTIC COMMUNICATIONS





Peak wavelength -  $\lambda_0$  (most probable jump):

$$E_0 = E_g + \underbrace{\frac{K_B T}{2}}_{\text{thermal}} = h f_0 = h \frac{c}{\lambda_0} \qquad \qquad \\ \boxed{ \qquad } \boxed{ \qquad } \boxed{ \lambda_0 = \frac{hc}{E_g + K_B T/2}} \approx \frac{hc}{E_g}$$

**Spectral width** -  $\Delta\lambda$  :

$$\begin{split} \Delta\lambda & \equiv \lambda_2 - \lambda_1 = \frac{c}{f_2} - \frac{c}{f_1} = \frac{hc}{E_2} - \frac{hc}{E_1} = hc \bigg[ \frac{E_1 - E_2}{E_1 E_2} \bigg] & \Delta E << E_0 \\ & = hc \frac{\Delta E}{\bigg( E_c - \frac{\Delta E}{2} \bigg) \bigg( E_c + \frac{\Delta E}{2} \bigg)} = hc \frac{\Delta E}{E_c^2 - \bigg( \frac{\Delta E}{2} \bigg)^2} \approx hc \frac{\Delta E}{E_0^2} \\ & \approx hc \frac{2K_B T}{E_g^2} \approx \frac{2K_B T}{hc} \lambda_0^2 \end{split}$$





$$\Delta \lambda \approx \frac{2K_BT}{hc} \lambda_0^2 = \underbrace{\frac{2K_BT}{hc}}_{0.03-0.06} \lambda_0 \qquad \qquad \Delta \lambda \rightarrow 30-100 \text{ nm}$$

$$\Delta E_{LED} \sim 3-4 \text{ K}_BT/q$$

Temperature Effect:

$$\begin{split} E_0 &= E_{\rm g} \left( T \right) + \frac{K_{\rm B} T}{2} \to \lambda_0 \left( T \right) \\ &\Delta \lambda \left( T \right) \approx \frac{2 K_{\rm B} T}{hc} \lambda_0^2 (T) & \Delta \lambda_{\rm LED} \sim 0.3 \text{-} 0.4 \text{ nm/$^{\circ}$C} \end{split}$$

# **Incoherent Light:**

spontaneous emission  $\rightarrow$  photons with random frequency, phase, and direction (incoherent light)

$$\sigma_{\rm m}^2 = \langle \mathbf{m} \rangle (\langle \mathbf{m} \rangle + 1)$$

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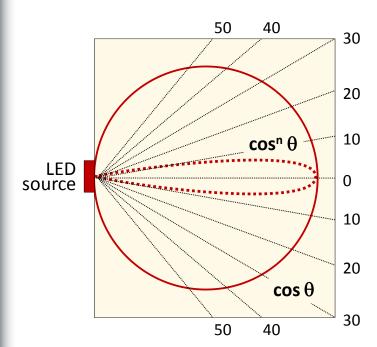
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# **LED LOSSES**

# **Radiation Diagram**



#### Lambert's Law

$$I(\theta) = I_0 \cos \theta$$
$$P(\theta) = P_0 \cos \theta$$



$$\begin{aligned} \mathbf{P}_{\mathrm{T}} &= 2\pi \int\limits_{\theta_{\mathrm{a}}}^{\pi/2} \mathbf{P}\left(\theta\right) \sin\theta \partial\theta \\ \mathbf{P}_{\mathrm{i}} &= 2\pi \int\limits_{0}^{\theta_{\mathrm{a}}} \mathbf{P}\left(\theta\right) \sin\theta \partial\theta \end{aligned}$$

$$\eta_{c} \equiv \frac{P_{i}}{P_{T}} = \sin^{2}\theta_{a} = \left[\frac{NA}{n_{0}}\right]^{2}$$

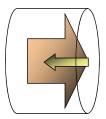




Refractive Indices Mismatch (reflection)

$$P_{IN} = 2\pi \int_{0}^{\theta_{a}} (1 - R) P(\theta) \sin \theta \cdot \partial \theta$$

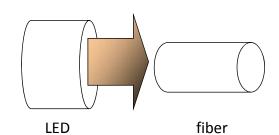
$$\mathbf{R} = \left(\frac{\mathbf{n}_{ZA} - \mathbf{n}_0}{\mathbf{n}_{ZA} + \mathbf{n}_0}\right)^2 \leftarrow \text{Fresnel's Law}$$



LED/fiber Effective Area Mismatch

$$P_{IN} = 2\pi \int_{0}^{\theta_{a}} LP(\theta) \sin \theta \cdot \partial \theta$$

$$L = \left(\frac{\phi_{\text{fibra}}}{\phi_{\text{LED}}}\right)^2 \leftarrow \phi_{\text{LED}} > \phi_{\text{fiber}}$$



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#### FIBER-OPTIC COMMUNICATIONS





# **LED DYNAMICS**

"The way the carrier equilibrium is restored after a current fluctuation can be modeled by what is known as LED's **rate equation**"

 $\frac{\partial \mathbf{N}}{\partial \mathbf{t}}$ 

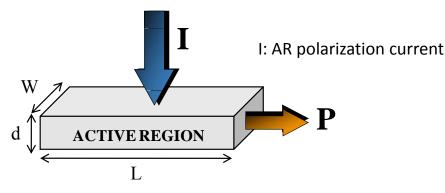
 $N, \tau_r$ 

N: AR carrier density

I: electrical current τ<sub>r</sub>: carrier lifetime







$$\begin{array}{ll} \text{current} & J \equiv \frac{I}{\grave{a}rea} = \frac{I}{WL} \\ \\ \text{carrier} & \equiv \frac{I}{q \cdot V} = \frac{J}{q \cdot d} \\ \\ \text{carrier} & \equiv \frac{N}{\tau_r} \end{array}$$

# **LED's rate equation**

$$\frac{\partial N}{\partial t} = \frac{I}{qV} - \frac{N}{\tau_r} \quad \text{[m-3s-1]}$$

Unstimulated state  $t_0 \begin{cases} \mathbf{N} = \mathbf{N}_0 \\ \mathbf{I} = \mathbf{0} \end{cases}$ 

$$\frac{\partial N}{\partial t} = -\frac{N}{\tau_{_{\mathbf{r}}}} \, \rightarrow N = N_{_{\mathbf{0}}} e^{-t/\tau_{_{\mathbf{r}}}} \ \xrightarrow{_{t \rightarrow \infty}} 0$$

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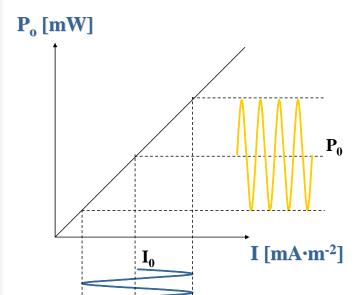
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#### FIBER-OPTIC COMMUNICATIONS





# LED's modulation - sinusoidal modulation



sinusoidal stimulus

$$\mathbf{I}(t) \equiv \mathbf{I}_0 \left[ 1 + \mathbf{m}_{\mathrm{I}} e^{\mathbf{j}(\omega_0 t + \phi)} \right]$$

$$\mathbf{N}(t) \equiv \mathbf{N}_0 \left[ 1 + \mathbf{m}_{\mathrm{N}} e^{\mathrm{j}(\omega_0 t + \phi - \theta_{\mathrm{N}})} \right]$$

$$\mathbf{P}_{0}$$
  $\mathbf{P}(t) \equiv \mathbf{P}_{0} \left[ \mathbf{1} + \mathbf{m}_{N} e^{\mathbf{j}(\omega_{0}t + \phi - \theta_{N})} \right]$ 

I<sub>0</sub>: DC electrical component

**Optical Power** 

$$\mathbf{P}(t) = \eta \frac{\mathbf{N}(t)}{\tau_{r}} \mathbf{V} \cdot \mathbf{h} \mathbf{f}$$





Modulation Signal 
$$I(t) = I_0 \left[ 1 + m_1 e^{(j\omega_0 t + \phi)} u(t) \right]$$

$$N(t) = \underbrace{\frac{I_0 \tau_r}{q V}}_{N_0} \left\{ \left[ 1 - e^{-t/\tau_r} \right] + \underbrace{\frac{m_I}{1 + j \omega_0 \tau_r}}_{m_N} e^{j\phi} \left[ e^{j\omega_0 t} - e^{-t/\tau_r} \right] u(t) \right\}$$

$$P(t) = \eta \frac{N(t)}{\tau_r} \mathbf{V} \cdot \mathbf{h} \mathbf{f}$$

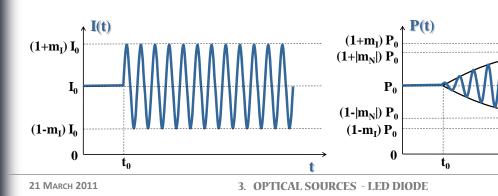
$$P(t) = \eta \frac{N(t)}{\tau_{_{r}}} V \cdot hf$$

$$P(t) = \eta \frac{hf}{q} I_{_{0}} \left\{ \left[ 1 - e^{-t/\tau_{_{r}}} \right] + \underbrace{\frac{m_{_{I}}}{1 + j\omega_{_{0}}\tau_{_{r}}}}_{m_{_{N}}} e^{j\phi} \left[ e^{j\omega_{_{0}}t} - e^{-t/\tau_{_{r}}} \right] u(t) \right\} \xrightarrow[t \to \infty]{} P_{_{0}} \left\{ 1 + m_{_{N}} e^{(j\omega_{_{0}}t + \phi)} \right\}$$

# modulation index

$$\begin{aligned} \left| \mathbf{m}_{\mathrm{N}} \right| &= \frac{\mathbf{m}_{\mathrm{I}}}{\sqrt{1 + \left(\tau_{\mathrm{r}} \omega_{\mathrm{0}}\right)^{2}}} \\ \theta_{\mathrm{N}} &= t \mathbf{g}^{-1} \left\{ -\tau_{\mathrm{r}} \omega_{\mathrm{0}} \right\} \end{aligned}$$

$$\xrightarrow{t\to\infty} P_0 \left\{ 1 + m_N e^{(j\omega_0 t + \phi)} \right\}$$



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#### FIBER-OPTIC COMMUNICATIONS



# **LED's Transfer Function**

$$P_0 = \eta \frac{1}{q} \text{ hf}$$

$$\omega_0 = \eta \frac{\text{hf}}{1} \frac{1}{1}$$

# modulation cutoff frequency

Low-pass behavior 
$$\frac{1}{1/\sqrt{2}}$$
  $\frac{3dB}{00\tau_r}$ 

$$\left|H(\omega_0)\right| = \eta \frac{hf}{q} \frac{1}{\sqrt{1 + \left(\omega_0 \tau_r\right)^2}}$$

$$\omega_0 = \frac{1}{\tau_r} \quad \rightarrow \quad f_{3dB} = \frac{1}{2\pi\tau_r}$$

typically: 10-100 MHz





# **Digital Modulation**

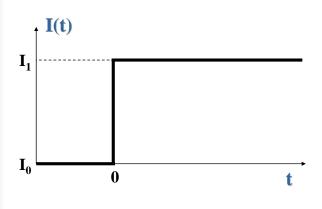
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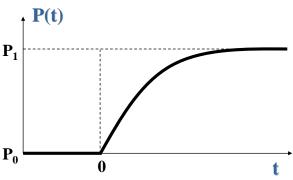
$$\mathbf{I}(t) \equiv \mathbf{I}_0 + \left[ \mathbf{I}_1 - \mathbf{I}_0 \right] \cdot \mathbf{u}(t)$$



$$N(t) = \underbrace{\frac{I_0}{qV}\tau_r}_{N_0} + \underbrace{\frac{I_1 - I_0}{qV}\tau_r}_{N_1 - N_0} \left(1 - e^{-t/\tau_r}\right) \cdot u(t)$$

$$P(t) = \underbrace{\eta \frac{I_0}{q} hf}_{P_0} + \underbrace{\eta \frac{I_1 - I_0}{q} hf}_{P_1 - P_0} \left(1 - e^{-t/\tau_r}\right) \cdot u(t)$$





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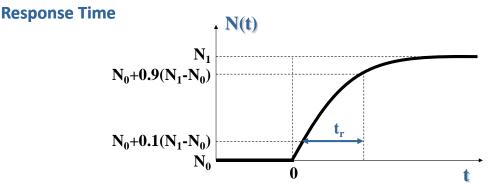
3. OPTICAL SOURCES - LED DIODE

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#### FIBER-OPTIC COMMUNICATIONS







$$\begin{split} N(t) &= N_{0} + \left(N_{1} - N_{0}\right) \left(1 - e^{-t/\tau_{r}}\right) \\ N_{f} - N_{0} &= \left(N_{1} - N_{0}\right) \left(1 - e^{-t_{f}/\tau_{r}}\right) \\ e^{-t_{f}/\tau_{r}} &= 1 - \frac{N_{f} - N_{0}}{N_{1} - N_{0}} = \frac{N_{1} - N_{f}}{N_{1} - N_{0}} \\ t_{f} &= \tau_{r} \ln \left(\frac{N_{1} - N_{0}}{N_{1} - N_{f}}\right) = \tau_{r} \ln \left(\frac{P_{1} - P_{0}}{P_{1} - P_{f}}\right) \end{split}$$

$$t_{0.1} = \tau_{r} \ln \left( \frac{N_{1} - N_{0}}{N_{1} - (0.1(N_{1} - N_{0}) + N_{0})} \right)$$

$$t_{0.9} = \tau_{r} \ln \left( \frac{N_{1} - N_{0}}{N_{1} - (0.9(N_{1} - N_{0}) + N_{0})} \right)$$

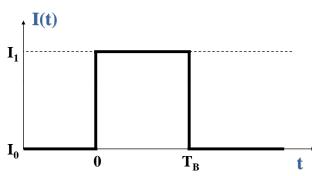
$$t_{r} = t_{0.9} - t_{0.1} = \tau_{r} \ln(0.9/0.1)$$

$$f_{3dB} = \frac{1}{2\pi\tau_{r}} = \frac{1}{2\pi t_{r}} \ln(0.9/0.1)$$





# **Maximum Modulation Speed**



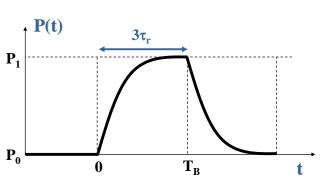
LED's response time limits the modulation speed

$$f_{3dB,LED} = \frac{1}{2\pi\tau_r} \ f_{3dB,NRZ} = \frac{R_B}{2}$$

$$\mathbf{f}_{3dB,LED} \geq \mathbf{f}_{3dB,NRZ}$$

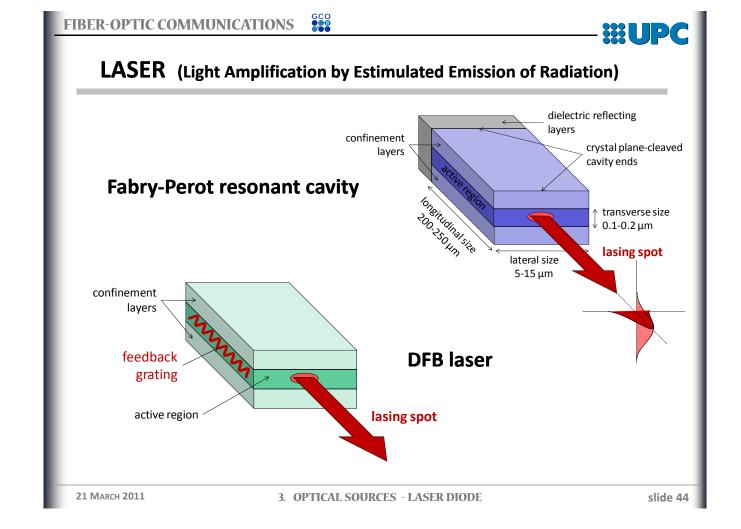
$$\frac{1}{2\pi\tau_{_{\boldsymbol{r}}}}\!\geq\!\frac{R_{_{\boldsymbol{B}}}}{2}\to \boxed{R_{_{\boldsymbol{B}}}\leq\!\frac{1}{\pi\tau_{_{\boldsymbol{r}}}}}$$

typically:  $\tau_r \sim 10$ ns



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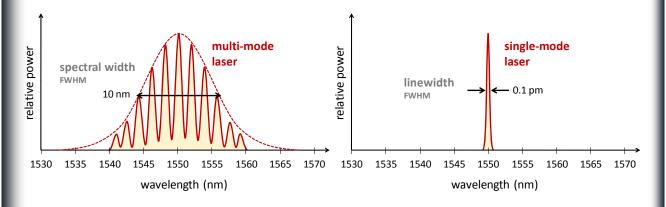
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# **LASER Main Figures**



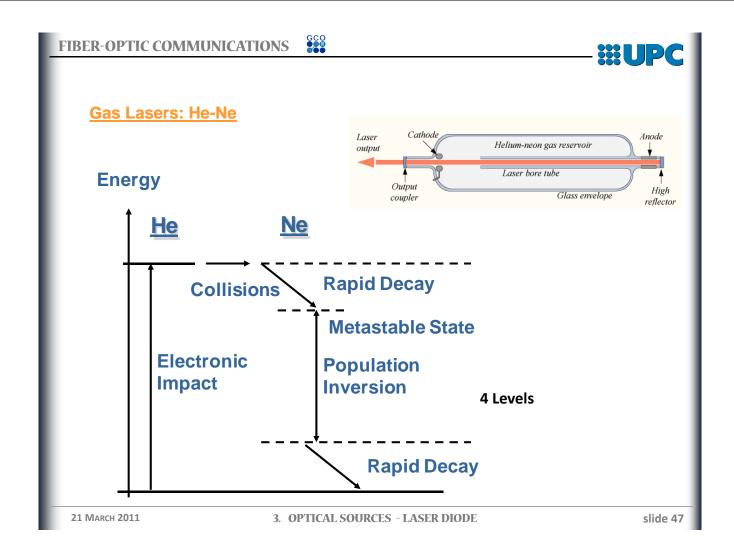
- $\rightarrow$  BW up to 10 GHz  $\rightarrow$  R<sub>B</sub> up to 10 Gb/s
- $\rightarrow \Delta \lambda$  very narrow  $\rightarrow$  10 MHz (0.08 pm)
- ➤ P<sub>OUT</sub> high → 3 dBm

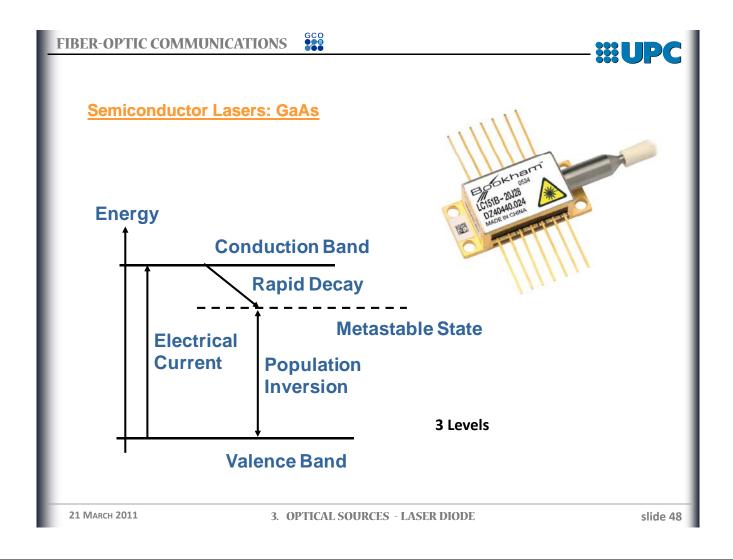
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3. OPTICAL SOURCES - LASER DIODE

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# TYPES OF LASERS Solid-State Lasers: Ruby Energy Rapid decay Metastable State Population Inversion 3 Levels



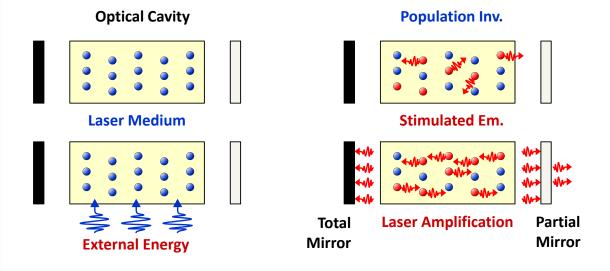






# **WORKING PRINCIPLE**

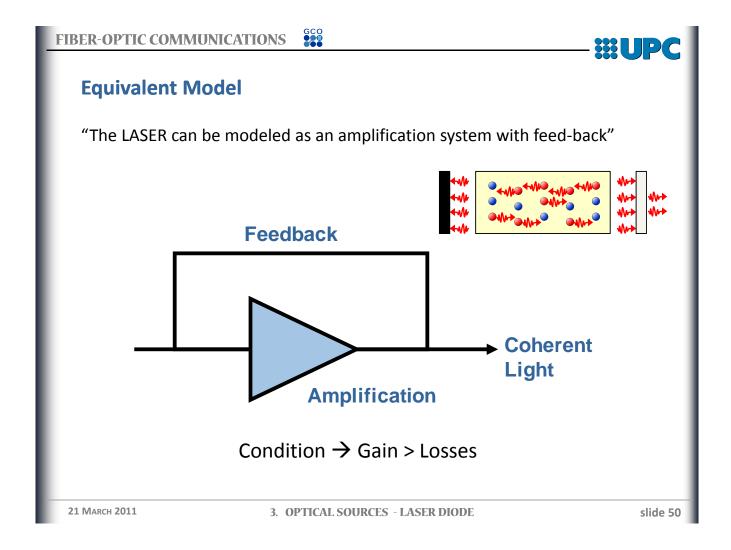
"The LASER source consists of an optical resonant cavity based on the **stimulated emission** process and provides coherent light"

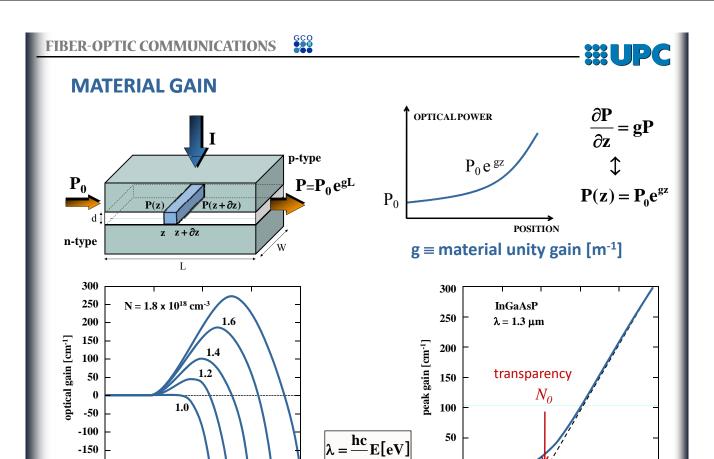


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3. OPTICAL SOURCES - LASER DIODE

1.25

carrier density [1018 cm-3]

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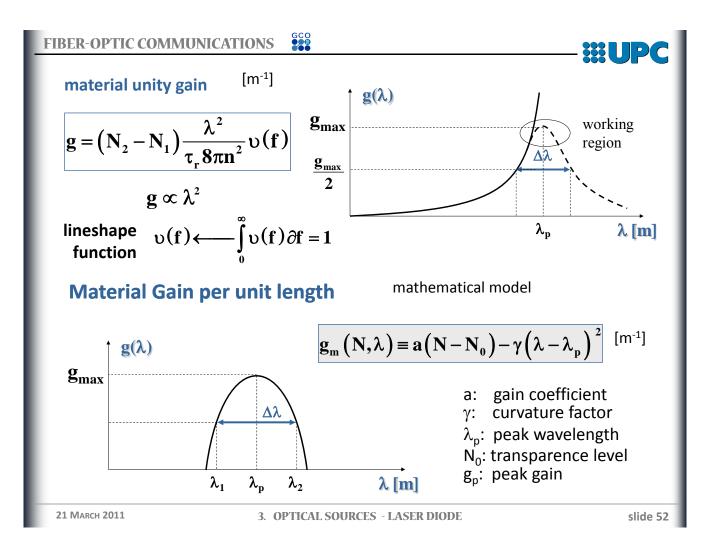
-150 -200 0.90

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0.94

photon energy [eV]

0.98



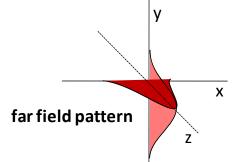




#### **Confinement Factor**

"Energy fraction inside the active region"

$$\Gamma \equiv \frac{E\big|_{AR}}{E\big|_{Total}} \leq 1$$





$$g(\lambda) \equiv \Gamma g_m(\lambda) = \Gamma a(N - N_0) - \Gamma \gamma (\lambda - \lambda_p)^2$$

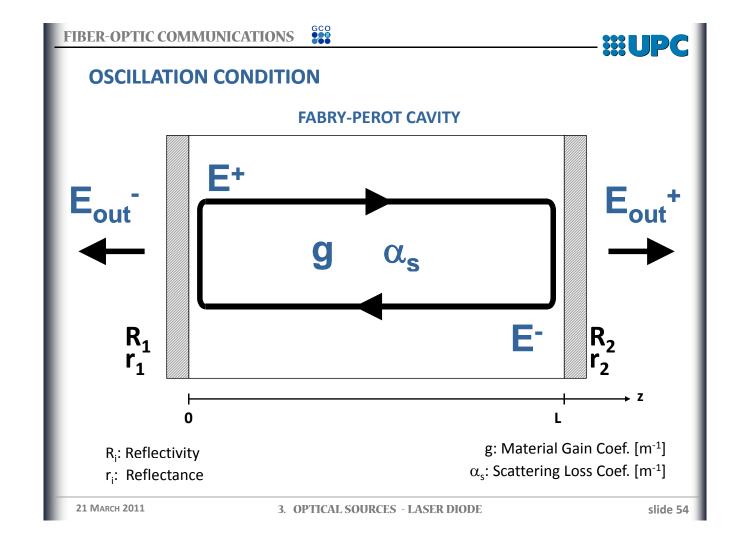
# **Net Material Gain per unit length**

$$\mathbf{g}_{n}(\lambda) \equiv \mathbf{g}(\lambda) - \alpha_{s} = \Gamma \mathbf{a}(\mathbf{N} - \mathbf{N}_{0}) - \Gamma \gamma (\lambda - \lambda_{p})^{2} - \alpha_{s}$$

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3. OPTICAL SOURCES - LASER DIODE

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Propagation Equations (plane wave)

$$E^{+}(z) = E_{0}^{+}e^{\frac{1}{2}(g-\alpha_{s})z}e^{-j\beta z}e^{-j\omega t}$$

$$\mathbf{E}^{-}(\mathbf{z}) = \mathbf{E}_{\mathbf{z}}^{-} \mathbf{e}^{\frac{1}{2}(\mathbf{g} - \alpha_{s})(\mathbf{L} - \mathbf{z})} \mathbf{e}^{-\mathbf{j}\beta(\mathbf{L} - \mathbf{z})} \mathbf{e}^{-\mathbf{j}\omega t}$$

**Boundary Conditions** 

$$E^{+}(0) = r_1 E^{-}(0)$$

$$\mathbf{E}^{-}(\mathbf{L}) = \mathbf{r}_{2}\mathbf{E}^{+}(\mathbf{L})$$

$$\begin{split} E^{+}(0) = E_{0}^{+} e^{-j\omega t} &= r_{1} E^{-}(0) = r_{1} \left( E_{L}^{-} e^{\frac{1}{2}(g - \alpha_{s})L} e^{-j\beta L} e^{-j\omega t} \right) \longrightarrow E_{0}^{+} = r_{1} E_{L}^{-} e^{\frac{1}{2}(g - \alpha_{s})L} e^{-j\beta L} \\ E^{-}(0) = E_{L}^{-} e^{\frac{1}{2}(g - \alpha_{s})L} e^{-j\beta L} e^{-j\omega t} \end{split}$$

$$\begin{split} E^{-}(L) &= E_{L}^{-} e^{-j\omega t} = r_{2} E^{+}(L) = r_{2} \left( E_{0}^{+} e^{\frac{1}{2}(g - \alpha_{s})L} e^{-j\beta L} e^{-j\omega t} \right) \rightarrow E_{L}^{-} = r_{2} E_{0}^{+} e^{\frac{1}{2}(g - \alpha_{s})L} e^{-j\beta L} \\ E^{+}(L) &= E_{0}^{+} e^{\frac{1}{2}(g - \alpha_{s})L} e^{-j\beta L} e^{-j\omega t} \end{split}$$

$$\mathbf{E}_{0}^{\prime +} = \mathbf{r}_{1} \mathbf{r}_{2} \mathbf{E}_{0}^{\prime +} \mathbf{e}^{(\mathbf{g} - \alpha_{s})L} \mathbf{e}^{-\mathbf{j}2\beta L} \ \rightarrow \mathbf{r}_{1} \mathbf{r}_{2} \mathbf{e}^{(\mathbf{g} - \alpha_{s})L} \mathbf{e}^{-\mathbf{j}2\beta L} = \mathbf{1}$$

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3. OPTICAL SOURCES - LASER DIODE

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#### FIBER-OPTIC COMMUNICATIONS



# **WUPC**

#### **Module Oscillation Condition**

$$1 = r_1 r_2 e^{(g_{th} - \alpha_s)L}$$

$$\frac{1}{r_1 r_2} = e^{(g_{th} - \alpha_s)L} \rightarrow ln \left(\frac{1}{r_1 r_2}\right) = (g_{th} - \alpha_s)L$$

$$\mathbf{g}_{th} = \alpha_{s} + \frac{1}{L} \ln \left( \frac{1}{\mathbf{r}_{1} \mathbf{r}_{2}} \right) = \alpha_{s} + \frac{1}{2L} \ln \left( \frac{1}{\mathbf{R}_{1} \mathbf{R}_{2}} \right) \equiv \alpha_{t}$$

$$\mathbf{R}_{\mathbf{i}} = \left| \mathbf{r}_{\mathbf{i}} \right|^2 -$$

# Threshold Gain [m<sup>-1</sup>]

$$\mathbf{g} \ge \mathbf{g}_{th} = \alpha_s + \frac{1}{2L} \ln \left( \frac{1}{\mathbf{R}_1 \mathbf{R}_2} \right)$$

 $g_{th}$ : threshold gain  $\alpha_c$ : cavity losses  $\alpha_t$ : total losses

scattering losses

cavity
losses

$$e^{gL}$$
 $e^{(g-\alpha_s)L}$ 
 $e^{(g-\alpha_s-\alpha_c)I}$ 

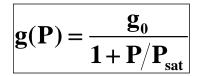
1





# **WUPC**

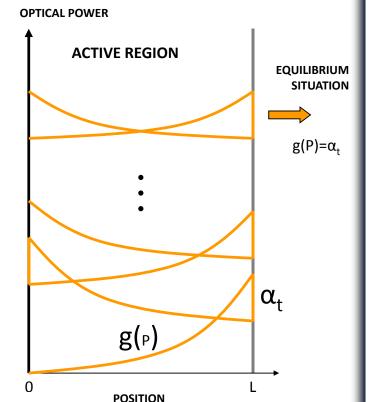
#### **Gain Saturation**



$$\mathbf{g} < \mathbf{\alpha}_{\mathbf{t}} \longrightarrow ext{Unstable Situation (No} \ ext{Oscillation)}$$

$$\mathbf{g} = \mathbf{\alpha}_{\mathbf{t}} \longrightarrow {}^{\text{Stable Situation}}$$
 (Oscillation)

$$\mathbf{g} > \mathbf{lpha}_{\mathbf{t}} o$$
 Unstable Situation (Saturation)



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3. OPTICAL SOURCES - LASER DIODE

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#### FIBER-OPTIC COMMUNICATIONS





# **Phase Oscillation Condition**

$$1 = e^{-j2\beta L}$$

$$2\beta L = m \cdot 2\pi$$

$$2\frac{2\pi}{\lambda}nL = m \cdot 2\pi \rightarrow L = m\frac{\lambda_m}{2n} = m\frac{c}{2nf_m}$$

$$f_{m} = m \frac{c}{2nL}$$

$$\Delta f = \frac{c}{2nL}$$

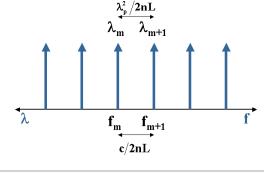
$$\frac{\Delta\lambda}{\lambda_{\rm p}}\approx\frac{\Delta f}{f_{\rm p}}$$

$$\Delta \lambda \approx \Delta \mathbf{f} \cdot \frac{\lambda_p^2}{c} = \frac{\lambda_p^2}{2nL}$$

# $f_{m} = m \frac{c}{2nL}$ Cavity Resonance Frequencies



# **Oscillation Modes** (longitudinal)

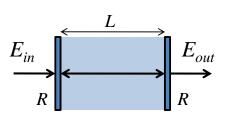








#### **Fabry-Perot Interferometer (Etalon)**



$$E_{out} = (1 - R^{2})e^{-j\beta L}E_{in} + \\ + R^{2}e^{-j2\beta L}(1 - R^{2})e^{-j\beta L}E_{in} + \\ + R^{4}e^{-j4\beta L}E_{in}$$

$$R = \frac{+R e^{-jR} (1-R) e^{-jR} E_{in} + E}{1-R^{2} e^{-jR} E_{in}} = \frac{4R^{2}}{(1-R^{2})^{2}}$$

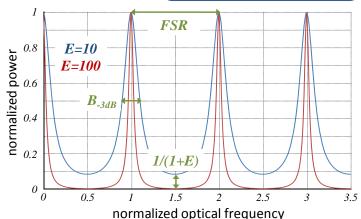
$$E_{out} = (1-R^{2}) e^{-j\beta L} E_{in} \sum_{k=0}^{\infty} R^{2k} e^{-j2k\beta L} = \frac{(1-R^{2}) e^{-j\beta L}}{(1-R^{2} e^{-j2\beta L})} E_{in} \rightarrow \left[H(f)\right]^{2} = \frac{1}{1+E \sin^{2}(\beta L)}$$

Contrast 
$$C \equiv |H|_{\text{max}}^2 / |H|_{\text{min}}^2 = 1 + E$$

Free-Spectral Range 
$$FSR = \frac{c}{2nL}$$

3dB-Bandwidth 
$$B_{-3dB} = \frac{c}{\pi n L \sqrt{E}}$$

Finesse 
$$F = \frac{FSR}{B_{-3dB}} = \frac{\pi}{2}\sqrt{E}$$



3. OPTICAL SOURCES - LASER DIODE

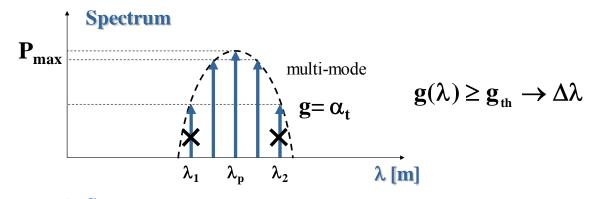
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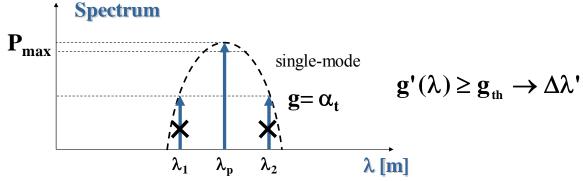
#### FIBER-OPTIC COMMUNICATIONS

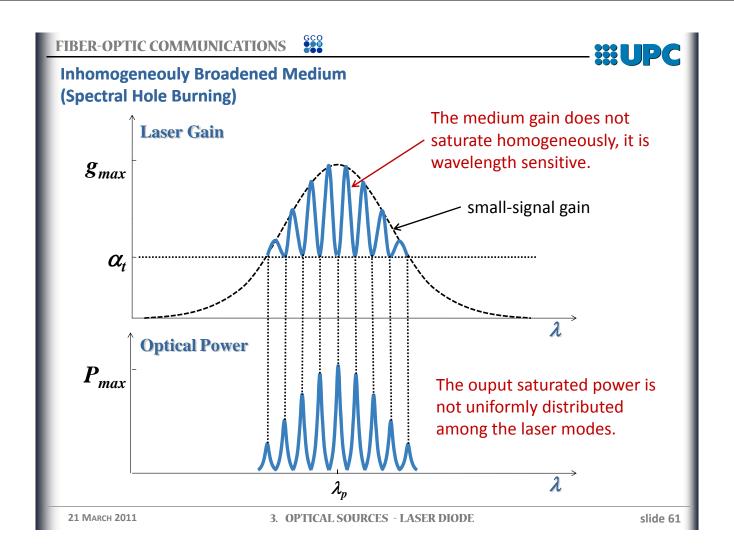


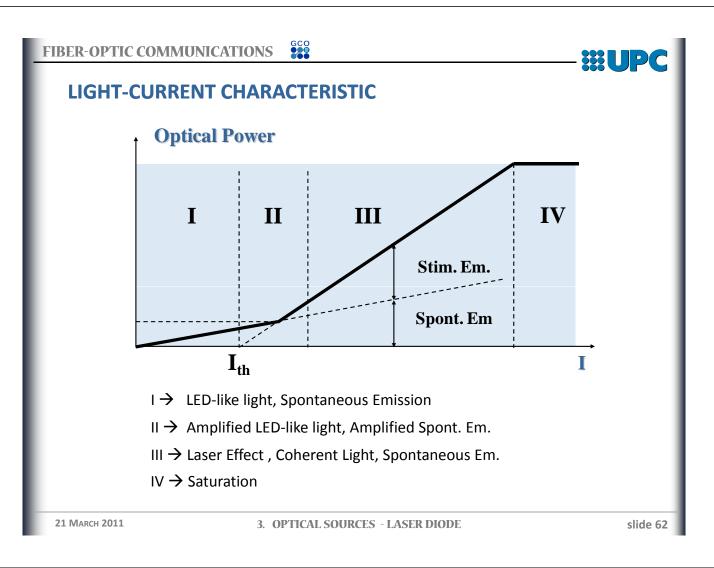


#### **Combined Effect**





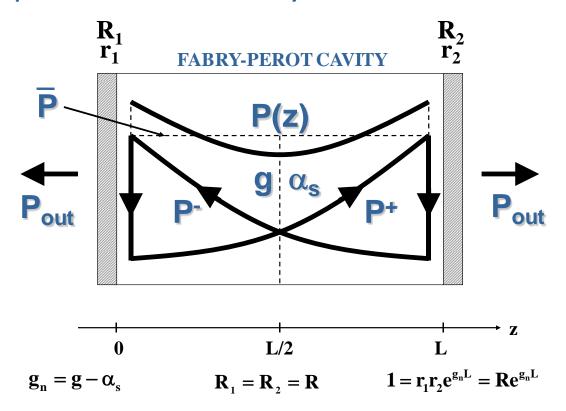








# **Optical Power in the Laser Cavity**



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#### FIBER-OPTIC COMMUNICATIONS





$$P(z) = P^{+}(z) + P^{-}(z) = P_{0} \left[ e^{g_{n}z} + e^{g_{n}[L-z]} \right] = P_{0} e^{g_{n}L/2} \left[ e^{g_{n}[z-L/2]} + e^{g_{n}[L/2-z]} \right]$$

$$P^{+}(z) = P_{0}e^{g_{n}z} \qquad e^{g_{n}[z-L/2]} \approx 1 + \sigma_{0} \left[ z - L/2 \right]$$

$$\begin{split} P^{+}(z) &= P_{0}e^{g_{n}z} & e^{g_{n}\left[z-L/2\right]} \approx 1 + g_{n}\left[z-L/2\right] \\ P^{-}(z) &= P_{0}e^{g_{n}\left[L-z\right]} & e^{g_{n}\left[L/2-z\right]} \approx 1 + g_{n}\left[L/2-z\right] = 1 - g_{n}\left[z-L/2\right] \end{split}$$

$$P(z) \approx P_0 e^{g_n L/2} \left[ 1 + g_n \left[ z - L/2 \right] + 1 - g_n \left[ z - L/2 \right] \right] = 2 \, P_0 e^{g_n L/2} \equiv \overline{P}$$

$$P_{out} = P_0 e^{g_n L} \left( 1 - R \right) \approx \frac{\overline{P}}{2 e^{g_n L/2}} e^{g_n L} \left( 1 - R \right) = \frac{\overline{P}}{2} e^{g_n L/2} \left( 1 - R \right) = \frac{\overline{P}}{2} \frac{1 - R}{\sqrt{R}}$$

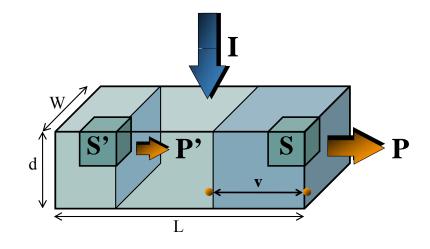
$$R e^{g_n L} = 1 \rightarrow e^{g_n L} = \frac{1}{R} \rightarrow e^{g_n L/2} = \frac{1}{\sqrt{R}}$$

$$\overline{P} \approx \frac{2\sqrt{R}}{1-R} P_{out}$$
  $\xrightarrow{\text{modes}}$   $P_{out} \approx \sum_{m} \frac{1-R}{2\sqrt{R}} \overline{P}_{m}$ 





# **Photon Density – Optical Power Relationship**



$$\frac{\mathbf{Fotons}}{\mathbf{sec.}} = \frac{\mathbf{P} \left[ \mathbf{J/s} \right]}{\mathbf{hf} \left[ \mathbf{J} \right]}$$

# **Photon Density in the Active Region**

$$S [m^{-3}] = \frac{P [J/s]}{hf [J] \times \frac{c}{n} [m/s] \times Wd [m^{2}]}$$

$$\mathbf{P}(\mathbf{z}) = \mathbf{P}_0 e^{g_n z}$$

$$\downarrow$$

$$\mathbf{S}(\mathbf{z}) = \mathbf{S}_0 e^{g_n z}$$

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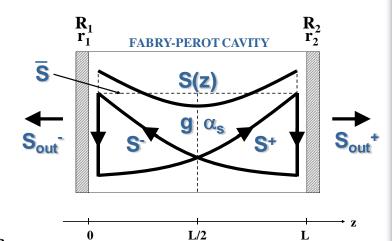
3. OPTICAL SOURCES - LASER DIODE

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#### FIBER-OPTIC COMMUNICATIONS



# **Photon Density in** the Laser Cavity



# **Optical Power**

$$\overline{P} = \overline{S} \cdot \mathbf{v} \cdot \mathbf{W} \mathbf{d} \cdot \mathbf{h} \mathbf{f}$$

$$P_{out} \approx \left[ \left( 1 - R \right) \middle/ 2 \sqrt{R} \right] \overline{P} = \left[ \left( 1 - R \right) \middle/ 2 \sqrt{R} \right] \overline{S} \cdot v \cdot Wd \cdot hf$$

$$P_{m} \approx \left[ \left( 1 - R \right) / 2\sqrt{R} \right] \overline{S}_{m} \cdot v \cdot Wd \cdot hf_{m}$$

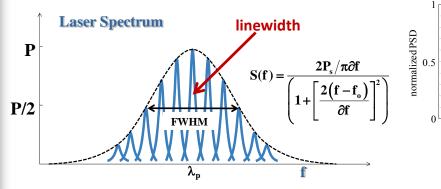
**Total Optical Power** 

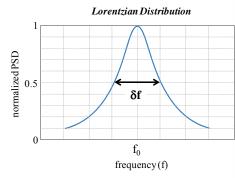
$$\mathbf{P}_{\text{out}} = \sum_{\mathbf{m}} \mathbf{P}_{\mathbf{m}} \qquad \qquad \bigcirc \qquad \\ \mathbf{f}_{\mathbf{m}} \approx \mathbf{f}_{\mathbf{p}}$$

$$P_{\text{out}} = \sum_{m} P_{m}$$
  $\Longrightarrow$   $P_{\text{out}} \approx \sum_{m} \frac{1 - R}{2\sqrt{R}} \cdot \overline{S}_{m} \cdot v \cdot Wd \cdot hf_{p}$ 



#### **OPTICAL POWER SPECTRUM**





Finesse 
$$\mathbf{F} \equiv \frac{\Delta \mathbf{f}}{\partial \mathbf{f}}$$

1. 
$$\Delta f = \frac{c}{2nL}$$
  $\Delta \lambda \approx \frac{\lambda_p^2}{2nL}$ 

1. 
$$\Delta f = \frac{c}{2nL}$$
  $\Delta \lambda \approx \frac{\lambda_p^2}{2nL}$  resonance frequencies  
2.  $g \ge g_{th} = \alpha_s + \frac{1}{2L} ln \left(\frac{1}{R_1 R_2}\right)$  gain condition

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FIBER-OPTIC COMMUNICATIONS





# LASER DYNAMICS

"Carrier and Photons concentration can be modeled using two coupled rate equations"

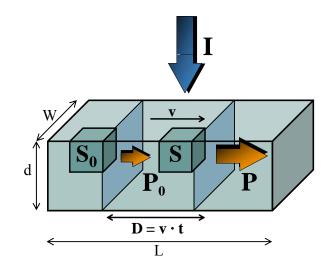
$$\left\{ \begin{array}{c} \text{carrier} \\ \text{variation} \end{array} \right\} = \left\{ \begin{array}{c} \text{carrier} \\ \text{generation} \\ \text{rate} \end{array} \right\} - \left\{ \begin{array}{c} \text{spont.} \\ \text{emission} \\ \text{rate} \end{array} \right\} - \left\{ \begin{array}{c} \text{stimul.} \\ \text{emission} \\ \text{rate} \end{array} \right\}$$

$$\left\{ \begin{array}{c} \text{photon} \\ \text{variation} \end{array} \right\} = \left\{ \begin{array}{c} \text{stimul.} \\ \text{emission} \\ \text{rate} \end{array} \right\} - \left\{ \begin{array}{c} \text{photon} \\ \text{absorp.} \\ \text{rate} \end{array} \right\} + \left\{ \begin{array}{c} \text{spont.} \\ \text{emission} \\ \text{fraction} \end{array} \right\}$$





# **Temporal evolution of Photon Density**



$$\frac{\partial \mathbf{P}}{\partial \mathbf{z}} = \mathbf{g}\mathbf{P} \to \frac{\partial \mathbf{S}}{\partial \mathbf{z}} = \mathbf{g}\mathbf{S}$$

$$\frac{\partial S}{\partial t} = \frac{\partial S}{\partial z} \frac{\partial z}{\partial t} = g S \cdot v$$

$$\frac{\partial \mathbf{P}}{\partial \mathbf{t}} = \mathbf{vg}\,\mathbf{P}$$

$$\frac{\partial \mathbf{S}}{\partial \mathbf{t}} = \mathbf{vg}\,\mathbf{S}$$

$$\mathbf{P} = \mathbf{P}_0 \mathbf{e}^{gD} = \mathbf{P}_0 \mathbf{e}^{g \cdot v \cdot t} \longrightarrow \frac{\partial \mathbf{P}}{\partial t} = \mathbf{g} \mathbf{v} \mathbf{P}_0 \mathbf{e}^{g \cdot v \cdot t} = \mathbf{g} \mathbf{v} \mathbf{P}$$

$$S = \frac{P}{hf \cdot v \cdot Wd} \longrightarrow \frac{\partial S}{\partial t} = \frac{1}{hf \cdot v \cdot Wd} \frac{\partial P}{\partial t} = \frac{1}{hf \cdot v \cdot Wd} vgP = vgS$$

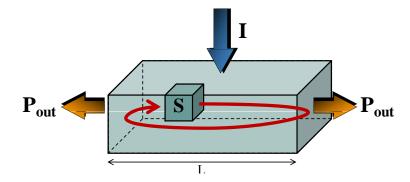
3. OPTICAL SOURCES - LASER DIODE

#### FIBER-OPTIC COMMUNICATIONS





# **Photon Variation in the Cavity**



$$\begin{split} S &= S_0 \; e^{\left(g - \alpha_s\right) 2L} R^2 = S_0 \; e^{\left(g - \alpha_s\right) 2L} e^{-2 l n \frac{1}{R}} = S_0 \; e^{\left(g - \alpha_s - \frac{1}{L} l n \frac{1}{R}\right) 2L} \\ R^2 &= e^{2 l n R} = e^{-2 l n \frac{1}{R}} \end{split} \qquad \qquad \alpha_t \equiv \alpha_s - \frac{1}{L} l n \frac{1}{R} \end{split}$$

$$S(z) = S_0 \, e^{\left(g - \alpha_t\right)d} \quad \xrightarrow{\quad d = v \cdot t \quad} \quad S(t) = S_0 \, e^{\left(g - \alpha_t\right)v \cdot t}$$





# LASER'S RATE EQUATIONS

Carriers 
$$\Longrightarrow \frac{\partial N}{\partial t} = \frac{I}{qV} - \frac{N}{\tau_r} - v \sum_i g_i S_i$$
 [m<sup>-3</sup>s<sup>-1</sup>]

N: carrier density in the AR

S: photon density in the AR

g<sub>i</sub>: net espont. emission gain

sub-index i: mode # i

I: electrical current intensity

 $\tau_r$ : carrier lifetime

 $\beta$ : spontaneous emission coeff.

 $\alpha_{t}$ : cavity total losses

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3. OPTICAL SOURCES - LASER DIODE

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#### FIBER-OPTIC COMMUNICATIONS





# Static Behavior

$$\frac{\partial N}{\partial t} = \frac{I}{qV} - \frac{N}{\tau_r} - v \cdot gS = 0$$

$$\frac{\partial S}{\partial t} = v \cdot gS - v \cdot \alpha_t S + \beta \frac{N}{\tau_r} = 0$$

 $\rightarrow \mathbf{v} \cdot \mathbf{g} \mathbf{S} - \mathbf{v} \cdot \mathbf{\alpha}_{t} \mathbf{S} = \mathbf{0} \rightarrow \mathbf{g} = \mathbf{\alpha}_{t}$ 

single-mode cavity 

$$\Gamma a(N-N_0) = \alpha_t \rightarrow N = N_0 + \frac{\alpha_t}{\Gamma a}$$
  $g = \Gamma a(N-N_0) - \Gamma \gamma (\lambda - \lambda_p)^2$ 

$$\mathbf{g} = \Gamma \mathbf{a} (\mathbf{N} - \mathbf{N}_0) - \Gamma \gamma (\lambda - \lambda_p)^2$$

$$\frac{1}{qV} - \frac{N}{\tau_r} - v \cdot \alpha_t S = 0$$

$$\tau_{p} \equiv \frac{1}{\mathbf{v} \cdot \alpha_{t}}$$

$$S = \frac{I}{v \cdot \alpha_{t} q V} - \frac{N}{v \cdot \alpha_{t} \tau_{r}} = \frac{I}{q V} \tau_{p} - \frac{\tau_{p}}{\tau_{r}} N = \frac{I}{q V} \tau_{p} - \frac{\tau_{p}}{\tau_{r}} \left[ N_{0} + \frac{\alpha_{t}}{\Gamma a} \right]$$



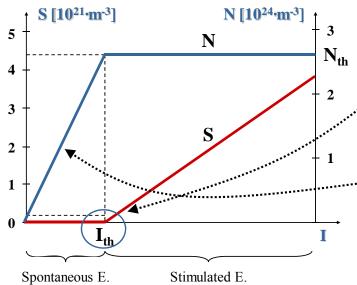
### **Carriers**

Constant with J

# Photons Lineal with I

$$\mathbf{N} = \mathbf{N}_0 + \frac{\alpha_t}{\Gamma \mathbf{a}} \equiv \mathbf{N}_{th}$$
 [m<sup>-3</sup>

$$N = N_0 + \frac{\alpha_t}{\Gamma a} \equiv N_{th} \quad S = \frac{I}{qV} \tau_p - \frac{\tau_p}{\tau_r} \left[ N_0 + \frac{\alpha_t}{\Gamma a} \right] \quad \text{[m-3]}$$



inflexion point

$$S = 0$$

$$N = N_{th}$$

LED behavior

$$\frac{I}{qV} = \frac{N}{\tau_{_{I}}} \ \rightarrow \frac{I_{_{th}}}{qV} = \frac{N_{_{th}}}{\tau_{_{r}}}$$

**LED** 

**LASER** 

I<sub>th</sub>: threshold current

N<sub>th</sub>: threshold carrier density

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### FIBER-OPTIC COMMUNICATIONS





### **Laser Activation Condition**

$$\frac{I_{th}}{qV} = \frac{N_{th}}{\tau_r} \rightarrow \boxed{I_{th} = \frac{qV}{\tau_r}N_{th} = \frac{qV}{\tau_r} \left[N_0 + \frac{\alpha_t}{\Gamma a}\right]}$$

# **Threshold Current**

$$I \geq \frac{qV}{\tau_r} \Bigg[ N_0 + \frac{\alpha_t}{\Gamma a} \Bigg] = \underbrace{\frac{qV}{\tau_r} N_0}_{T.Medi} + \underbrace{\frac{qV}{\tau_r} \frac{\alpha_t}{\Gamma a}}_{P.Totals}$$

The minimum current has to compensate for the Medium **Transparency and Total Losses** 

# **Photon Density**

$$\begin{split} \mathbf{S} &= \frac{\mathbf{I}}{\mathbf{q}\mathbf{V}} \, \tau_{\mathbf{p}} - \frac{\tau_{\mathbf{p}}}{\tau_{\mathbf{r}}} \Bigg[ \, \mathbf{N}_{\mathbf{0}} + \frac{\alpha_{\mathbf{t}}}{\Gamma \mathbf{a}} \Bigg] = \frac{\mathbf{I}}{\mathbf{q}\mathbf{V}} \, \tau_{\mathbf{p}} - \frac{\mathbf{I}_{\mathbf{th}}}{\mathbf{q}\mathbf{V}} \, \tau_{\mathbf{p}} = \frac{\overline{\tau_{\mathbf{p}}}}{\mathbf{q}\mathbf{V}} \Big[ \mathbf{I} - \mathbf{I}_{\mathbf{th}} \Big] \\ \mathbf{I}_{\mathbf{th}} &= \frac{\mathbf{q}\mathbf{V}}{\tau} \Bigg[ \, \mathbf{N}_{\mathbf{0}} + \frac{\alpha_{\mathbf{t}}}{\Gamma \mathbf{a}} \Bigg] \, \rightarrow \, \frac{1}{\tau} \Bigg[ \, \mathbf{N}_{\mathbf{0}} + \frac{\alpha_{\mathbf{t}}}{\Gamma \mathbf{a}} \Bigg] = \frac{\mathbf{I}_{\mathbf{th}}}{\mathbf{q}\mathbf{V}} \end{split}$$





# **Output Optical Power**

$$\mathbf{P}_{\text{out}} = \left( \left( 1 - \mathbf{R} \right) / 2 \sqrt{\mathbf{R}} \right) \cdot \mathbf{S} \cdot \mathbf{v} \cdot \mathbf{W} \cdot \mathbf{d} \cdot \mathbf{hf}$$

$$P_{\text{out}} = \left( (1 - R) / 2 \sqrt{R} \right) \frac{hf}{q\alpha_t L} (I - I_{\text{th}})$$



$$I \geq I_{th} \hspace{0.5cm} \tau_{_p} \equiv \frac{1}{v \cdot \alpha_{_t}}$$

$$S \approx \frac{\tau_p}{qV} \Big( I - I_{th} \Big)$$

$$N = N_{th}$$

active region length influence

$$J = \frac{I}{WL}$$

$$P_{out} = \left( \left( 1 - R \right) \middle/ 2 \sqrt{R} \right) \frac{hf}{q\alpha_{t} \cancel{L}} W \cancel{L} \left( J - J_{th} \right) \xrightarrow{L \to 0} 0$$

$$J_{th} = \frac{qd}{\tau_r} \left[ N_0 + \frac{\alpha_t}{\Gamma a} \right] \xrightarrow{L \to 0} \infty$$

$$\alpha_{t} \equiv \alpha_{s} + \frac{1}{L} \ln \frac{1}{R}$$

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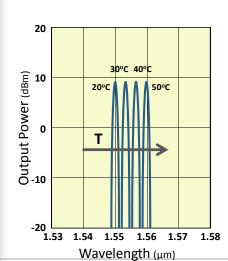
### FIBER-OPTIC COMMUNICATIONS



# **Temperature Effect**

# **Threshold Current & Optical Power**

$$T \uparrow \longrightarrow I_{th} \uparrow$$
,  $P_{out} \downarrow$ 



# 

Laser Drive Current (mA)

# Wavelenth / frequency

$$T \uparrow \longrightarrow \lambda_c \uparrow$$
,  $f_c \downarrow$ 



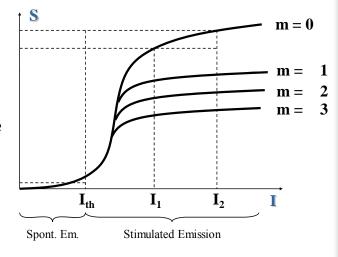


### **Modal Condition of a Laser Diode**

### 1. gain profile

$$g_{m}(\lambda) \equiv a(N - N_{0}) - \gamma(\lambda - \lambda_{p})^{2}$$
$$|\lambda - \lambda_{p}| \uparrow \Rightarrow g_{m}(\lambda) \downarrow \Rightarrow S_{m} \downarrow$$

"The sharper the gain profile the more single-mode"



### 2. bias current

 $I < I_{th} \implies$  Spontaneous Em. (LED effect)

 $I > I_{th} \implies$  The efficiency depends on the mode (LASER)

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3. OPTICAL SOURCES - LASER DIODE

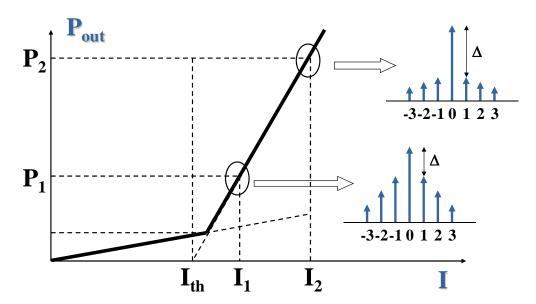
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### FIBER-OPTIC COMMUNICATIONS





"The Side-Mode Suppression Ration increases with the bias current. The higher the current the more single-mode"



PRACTICAL SINGLE MODE CRITERION  $\implies$  SMSR =  $10\log \frac{P_o}{p_1} \begin{cases} \ge 20 dB \leftarrow 3a \\ \ge 13 dB \leftarrow 2a \end{cases}$ 





# 3. $\beta$ parameter

"Determines the spontaneous emission fraction in the LD"

$$\frac{\partial S_{_{i}}}{\partial t} = v \cdot g_{_{i}} S_{_{i}} - v \cdot \alpha_{_{t}} S_{_{i}} + \cancel{\beta} \frac{N}{\tau_{_{r}}}$$

$$P_{out}$$
 , I = ct

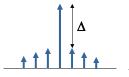
$$\beta = 10^{-3}$$
  $\beta = 10^{-4}$ 

$$\beta = 10^{-5}$$

 $\beta \downarrow \rightarrow$  single-modality  $\uparrow$ 

 $S_{SAT}$  ,  $P_{SAT} \propto \beta$ 







### 4. cavity length

$$\Delta \lambda \approx \frac{\lambda_p^2}{2nL}$$
  $\Longrightarrow$  L  $\downarrow \rightarrow$  single-modality  $\uparrow$ 

trade-off with optical power

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### FIBER-OPTIC COMMUNICATIONS





# LASER'S DIRECT MODULATION

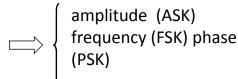
# **Modulation Techniques**

Intensity Modulation

(direct detection)

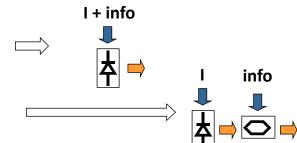
Field Modulation (coherent detection)

optical power (IM)



Direct Modulation

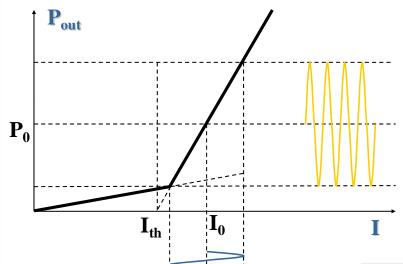
External Modulation







### **Sinusoidal Modulation**



$$\frac{\partial N}{\partial t} = \frac{I}{qV} - \frac{N}{\tau_r} - v \cdot g \cdot S$$
$$\frac{\partial S}{\partial t} = v \cdot g \cdot S - v \cdot \alpha_t S$$

$$I(t) \equiv I_0 \left[ 1 + m_I e^{j\omega_0 t} \right]$$

$$m_I << 1$$

**Small Signal!!** 

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### FIBER-OPTIC COMMUNICATIONS





# **Response to a Sinusoidal Signal in Permanent Regime**

$$I(t) \equiv I_{0} \left[ 1 + m_{I} e^{j\omega_{0}t} u(t) \right]$$

$$S = \frac{\tau_p}{qV} \Big( \mathbf{I} - \mathbf{I}_{th} \Big)$$
 
$$\mathbf{N} = \mathbf{N}_{th}$$

### **Carriers**

$$N(t) = N_{th} \left[ 1 + \underbrace{\frac{I_0}{I_{th}} \frac{m_I}{\tau_r} \frac{j\omega_0}{\omega_c^2 - \omega_0^2 + j2\alpha\omega_0}}_{m_N} e^{j\omega_0 t} \right] \qquad N_{th} = I_{th} \frac{\tau_r}{qV}$$

$$N_{th} = I_{th} \frac{\tau_r}{qV}$$

 $\alpha$ : laser damping factor  $\rightarrow \sim 10^9$   $\omega_c$ : laser resonance frequency  $\rightarrow \sim 10^{12}$   $\omega_c^2 \equiv \frac{1}{\tau_r} + v\Gamma a \cdot S_0$   $\omega_c^2 \equiv \frac{v\Gamma a \cdot S_0}{\tau}$ 

$$2\alpha \equiv \frac{1}{\tau_r} + v\Gamma a \cdot S_0$$
$$\omega_c^2 \equiv \frac{v\Gamma a \cdot S_0}{\tau}$$

### **Photons**

$$S(t) = S_0 \left[ 1 + \underbrace{\frac{I_0}{I_0 - I_{th}} m_I \frac{\omega_c^2}{\omega_c^2 - \omega_0^2 + j2\alpha\omega_0}}_{m_S} e^{j\omega_0 t} \right]$$

$$\mathbf{S}_{0} = \frac{\tau_{\mathrm{p}}}{\mathbf{q}\mathbf{V}} \left( \mathbf{I}_{0} - \mathbf{I}_{\mathrm{th}} \right)$$





# **Laser Output Power in Permanent Regime**

$$P(t) = \frac{1 - R}{2\sqrt{R}} \cdot v \cdot Wd \cdot hf \cdot S(t)$$

$$I(t) = I_0 \left[ 1 + m_I e^{(j\omega_0 t)} u(t) \right]$$

$$P(t) = P_0 \left[ 1 + \underbrace{\frac{I_0}{I_0 - I_{th}} m_I \frac{\omega_c^2}{\omega_c^2 - \omega_0^2 + j2\alpha\omega_0}}_{m_S} e^{j\omega_0 t} \right] P_0 = \frac{1 - R}{2\sqrt{R}} \cdot v \cdot Wd \cdot hf \cdot S_0$$

$$S_0 = \frac{\tau_p}{qV} (I_0 - I_{th})$$

$$\mathbf{P}_0 = \frac{1 - \mathbf{R}}{2\sqrt{\mathbf{R}}} \cdot \mathbf{v} \cdot \mathbf{W} \mathbf{d} \cdot \mathbf{h} \mathbf{f} \cdot \mathbf{S}_0$$

$$\mathbf{S}_{0} = \frac{\tau_{p}}{\mathbf{qV}} \left( \mathbf{I}_{0} - \mathbf{I}_{th} \right)$$

$$\tau_{p} \equiv \frac{1}{\mathbf{v} \cdot \boldsymbol{\alpha}_{s}}$$

$$P(t) = \frac{1 - R}{2\sqrt{R}} \frac{1}{\alpha_t L} \frac{hf}{q} \left[ \left( I_0 - I_{th} \right) + I_0 m_I \underbrace{\frac{\omega_c^2}{\omega_c^2 - \omega_0^2 + j2\alpha\omega_0}}_{M(\omega_0)} e^{j\omega_0 t} \right] = P_{DC} + \Delta P(t)$$

$$P_{\rm DC} = \frac{1-R}{2\sqrt{R}} \frac{1}{\alpha_{\rm t} L} \frac{hf}{q} \left(I_{\rm 0} - I_{\rm th}\right)$$

$$\Delta P(t) = \frac{1 - R}{2\sqrt{R}} \frac{1}{\alpha_t L} \frac{hf}{q} I_0 m_I M(\omega_0) e^{j\omega_0 t}$$

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### FIBER-OPTIC COMMUNICATIONS





# **Laser Transfer Function (Small Signal)**

$$\frac{\Delta P}{\Delta I} = \frac{\frac{1 - R}{2\sqrt{R}} \frac{1}{\alpha_t L} \frac{hf}{q} I_0 m_I M(\omega_0) e^{j\omega_0 t}}{I_0 m_I e^{j\omega_0 t}} = \frac{1 - R}{2\sqrt{R}} \frac{1}{\alpha_t L} \frac{hf}{q} M(\omega_0)$$

$$= \frac{1-R}{2\sqrt{R}} \frac{1}{\alpha_t L} \frac{hf}{q} M(\omega_0)$$

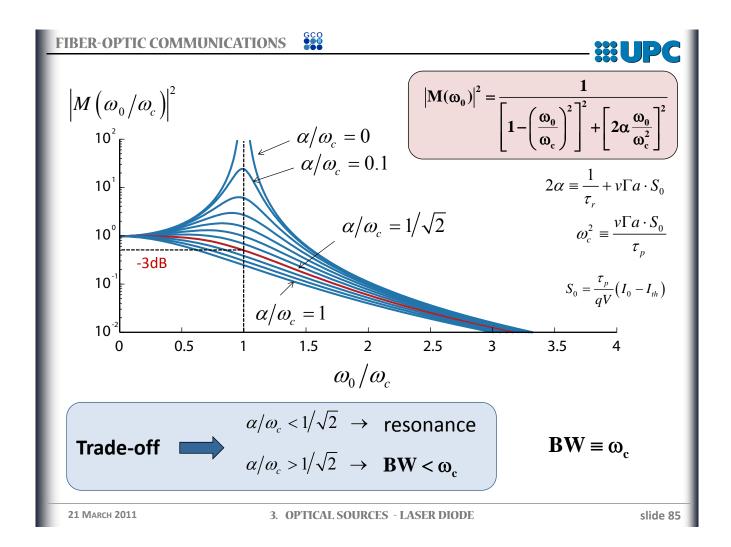
$$\begin{split} \Delta P(t) = & \frac{1-R}{2\sqrt{R}} \frac{1}{\alpha_t L} \frac{hf}{q} I_0 m_I M(\omega_0) e^{j\omega_0 t} \\ \Delta I(t) = & m_I I_0 e^{j\omega_0 t} \end{split}$$

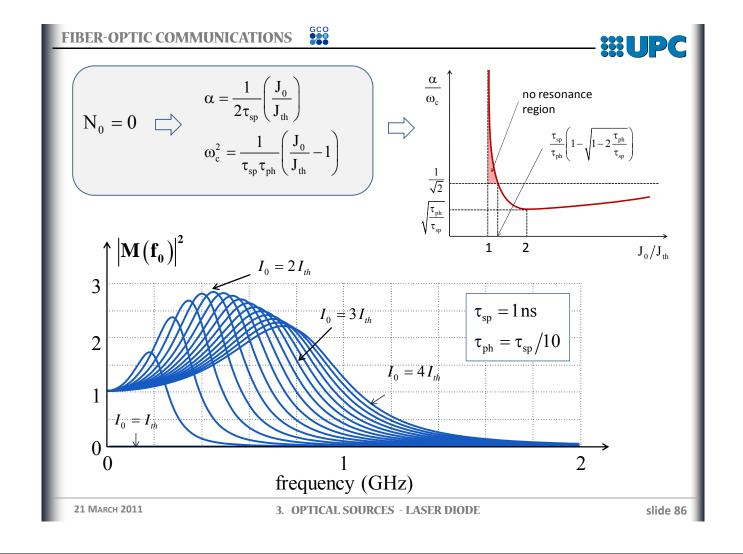
$$\overline{H(\omega_0)} = M(\omega_0) = \frac{\omega_c^2}{\omega_c^2 - \omega_0^2 + j2\alpha\omega_0}$$

$$\begin{array}{c|c} \bullet & & & \\ \hline R & & L \\ \hline \omega_0^2 = 1/RC & \hline C \end{array}$$

$$\left| \mathbf{M}(\omega_0) \right|^2 = \frac{1}{\left[ 1 - \left( \frac{\omega_0}{\omega_c} \right)^2 \right]^2 + \left[ 2\alpha \frac{\omega_0}{\omega_c^2} \right]^2}$$

2<sup>nd</sup> order low-pass filter

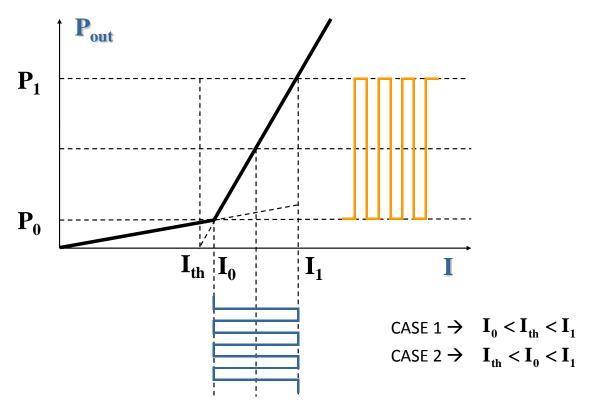








# **Digital Modulation**



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### FIBER-OPTIC COMMUNICATIONS





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# **Digital Modulation – Current Step**

$$\mathbf{I}(t) = \mathbf{I}_0 + \underbrace{\left(\mathbf{I}_1 - \mathbf{I}_0\right)\mathbf{u}(t)}_{\Delta\mathbf{I}(t)}$$

inside the laser working regime (particular case of Appendix 2 when  $\omega_0 = 0$ , &  $m_1 = (I_1 - I_0)/I_0$ )

### **Carriers**

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$$\Delta N(t) = \frac{I_1 - I_0}{qV} \frac{e^{-\alpha t}}{\Omega} \sin(\Omega t) u(t)$$

$$\Omega^2 \equiv \omega_c^2 - \alpha^2$$

$$\Omega^2 \equiv \omega_c^2 - \alpha^2$$

$$\Delta S(t) = v\Gamma a \cdot S_0 \frac{I_1 - I_0}{qV} \frac{1}{\omega_c^2} \left[ 1 - \frac{\alpha}{\sqrt{\omega_c^2 - \alpha^2}} e^{-\alpha t} \sin(\Omega t) - e^{-\alpha t} \cos(\Omega t) \right] u(t) \approx 0$$

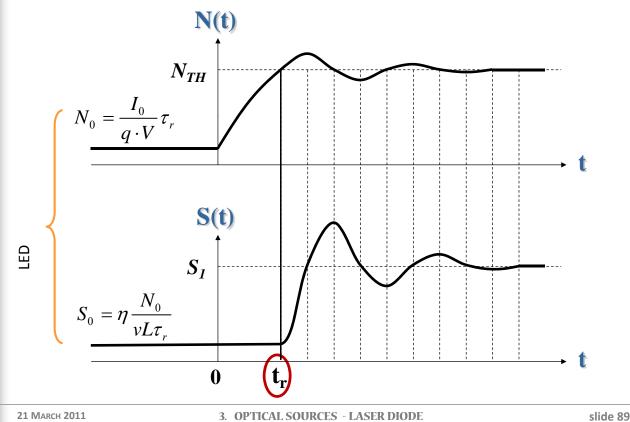
$$\Delta S(t) \approx v\Gamma a \cdot S_0 \frac{I_1 - I_0}{qV} \frac{1}{\omega_c^2} \left[ 1 - e^{-\alpha t} \cos(\Omega t) \right] u(t)$$

# damped sinusoidal oscillations shifted 90º





$$\mathbf{I}_0 < \mathbf{I}_{\text{th}} < \mathbf{I}_1$$



### FIBER-OPTIC COMMUNICATIONS



Only Spontaneous Emission (LED)

$$\mathbf{I}(t) = \mathbf{I}_0 + (\mathbf{I}_1 - \mathbf{I}_0)\mathbf{u}(t)$$

$$N\!\left(t\right)\!=\!\frac{\tau_{_{\mathbf{r}}}}{qV}I_{_{0}}\!+\!\frac{\tau_{_{\mathbf{r}}}}{qV}\!\!\left[I_{_{1}}\!-\!I_{_{0}}\right]\!\!\left(1\!-\!e^{-t/\tau_{_{\mathbf{r}}}}\right)\!u\!\left(t\right) \qquad 0\!\leq\!t\!\leq\!t_{_{\mathbf{r}}}$$

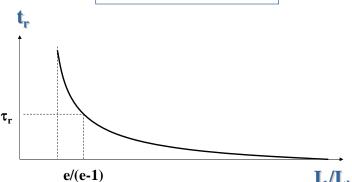
# **Response Time**

$$\boldsymbol{t}_{_{\mathbf{r}}}=\boldsymbol{\tau}_{_{\mathbf{r}}}\,ln\frac{\boldsymbol{I}_{_{1}}-\boldsymbol{I}_{_{0}}}{\boldsymbol{I}_{_{1}}-\boldsymbol{I}_{_{th}}}$$

$$t_{r} = \tau_{r} \ln \frac{I_{1} - I_{0}}{I_{1} - I_{th}} \qquad I_{0} = 0 \rightarrow \boxed{t_{r} = \tau_{r} \ln \frac{I_{1}/I_{th}}{I_{1}/I_{th} - 1}}$$

$$t_{\rm r}|_{\rm LED} = 2.19\tau_{\rm r}$$

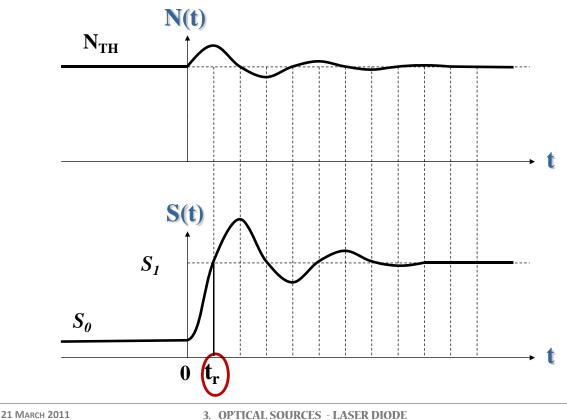
In this case we have not a fixed t<sub>r</sub> as we had with a LED











### FIBER-OPTIC COMMUNICATIONS





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Always Stimulated Emission (LASER)

$$N(t) \approx N_{TH} + \frac{I_1 - I_0}{q \cdot V} t \quad 0 \le t \le t_r$$

$$S(t) \approx S_0 \exp \left[ \frac{v\Gamma a}{2qV} (I_1 - I_0) t^2 \right] \qquad 0 \le t \le t_r$$

$$S(t) = S_1$$

$$t_{r} \approx \left[ \frac{2qV}{v\Gamma a} \frac{\ln\left(\left(I_{1} - I_{th}\right) / \left(I_{0} - I_{th}\right)\right)}{I_{1} - I_{0}} \right]^{1/2}$$
No  $\tau_{r}$  dependence



First order approximations of carriers and photons

functions in the rise time

The response time in this case is much faster.

$$I_1 - I_0 = \alpha \cdot I_{th} \rightarrow I_1 \uparrow \rightarrow t_r \checkmark$$

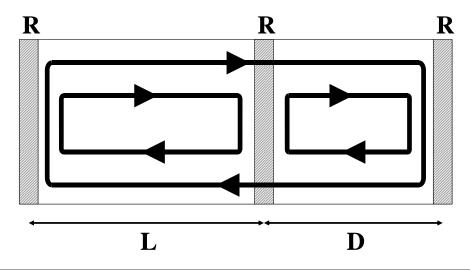




# **MODERN LASER STRUCTURES**

# **Coupled Cavities**

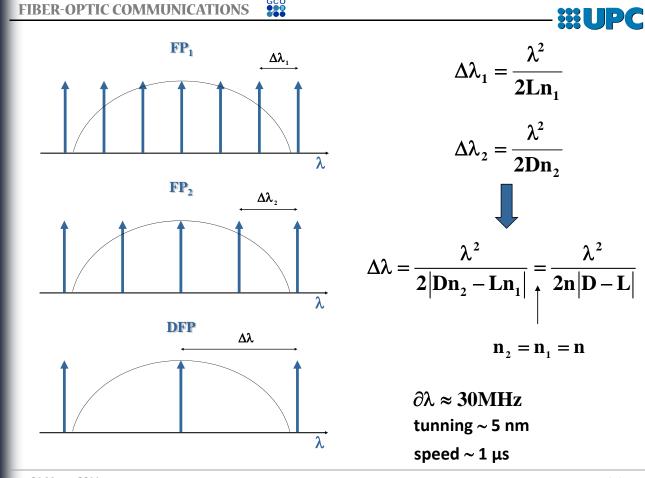
### **COUPLEDFABRY-PEROT CAVITIES**

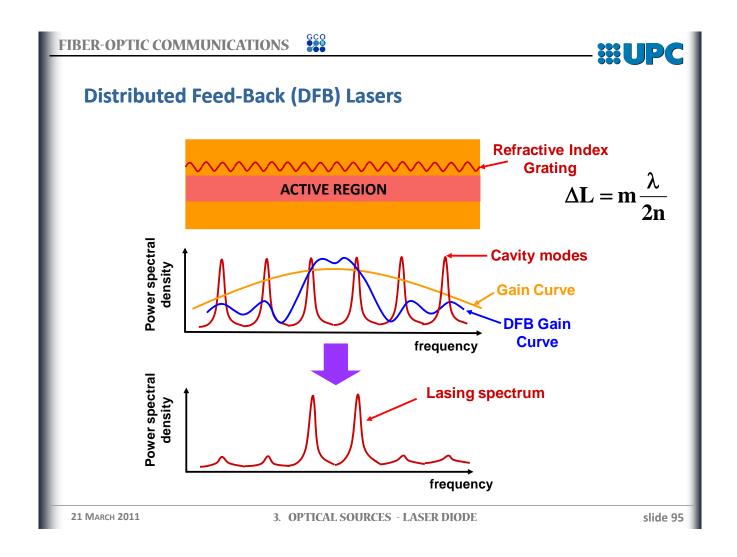


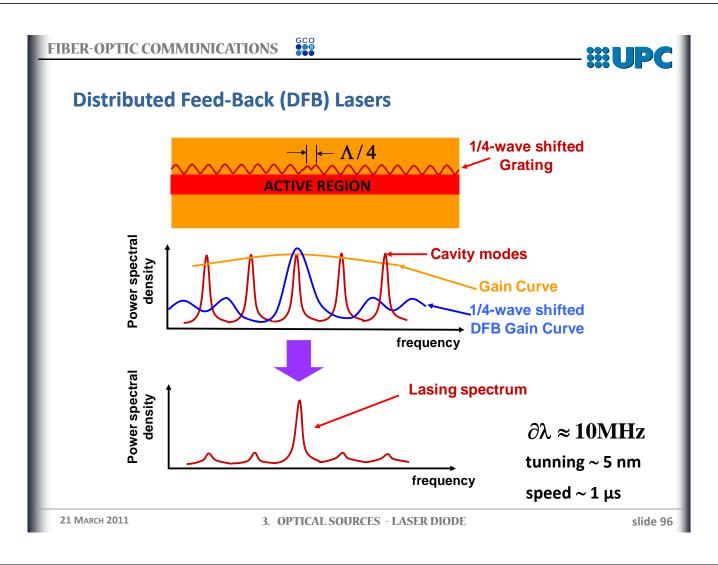
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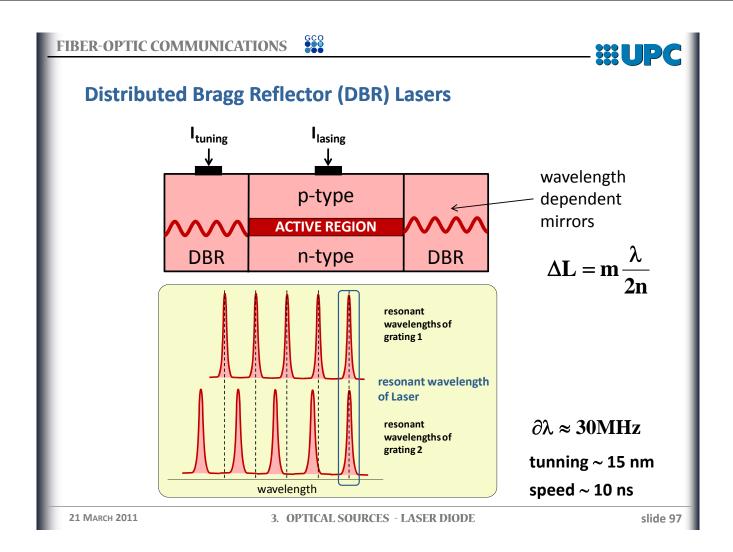
3. OPTICAL SOURCES - LASER DIODE

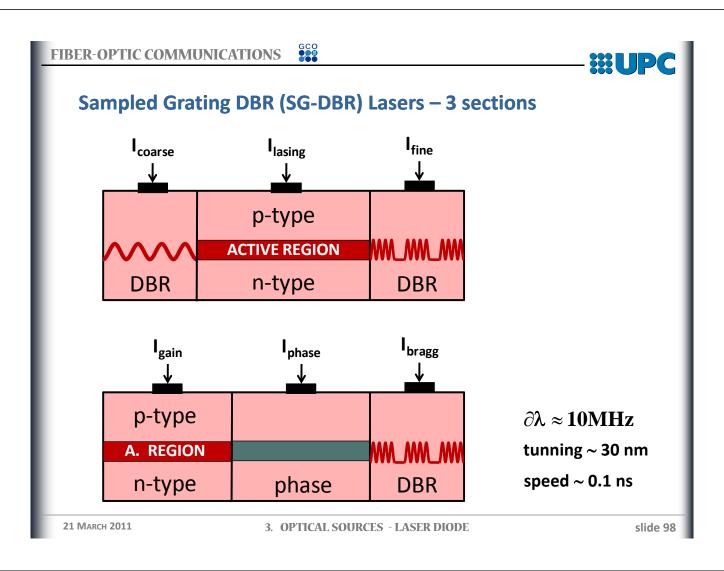
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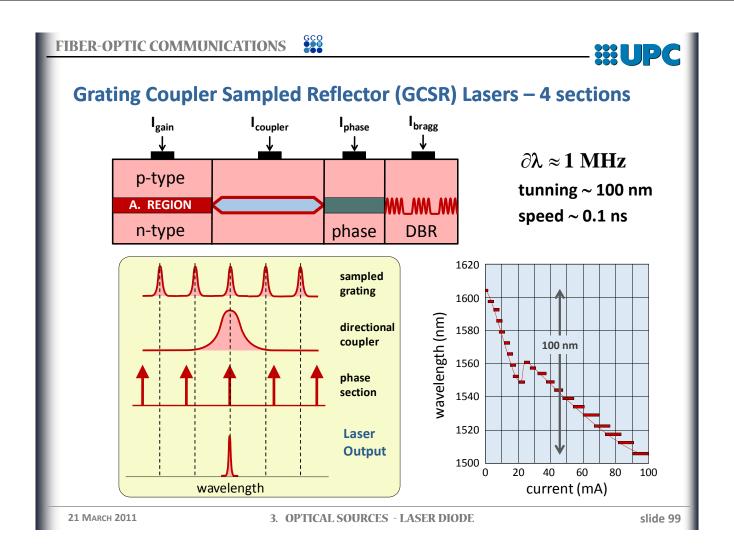


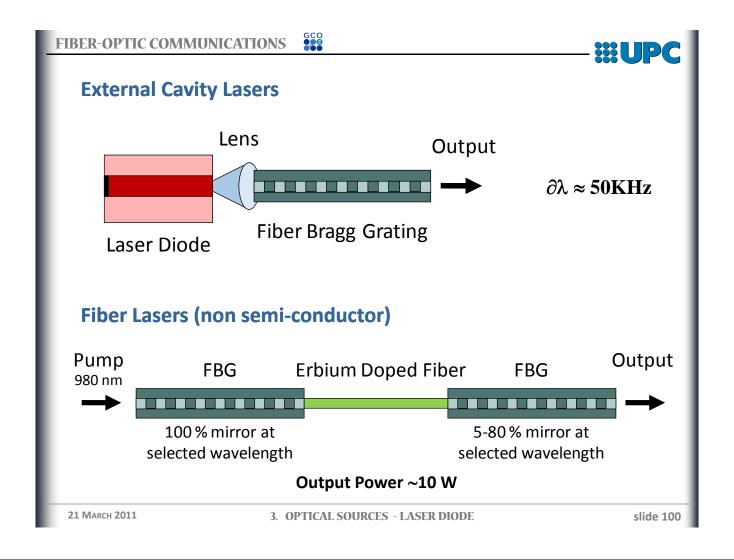


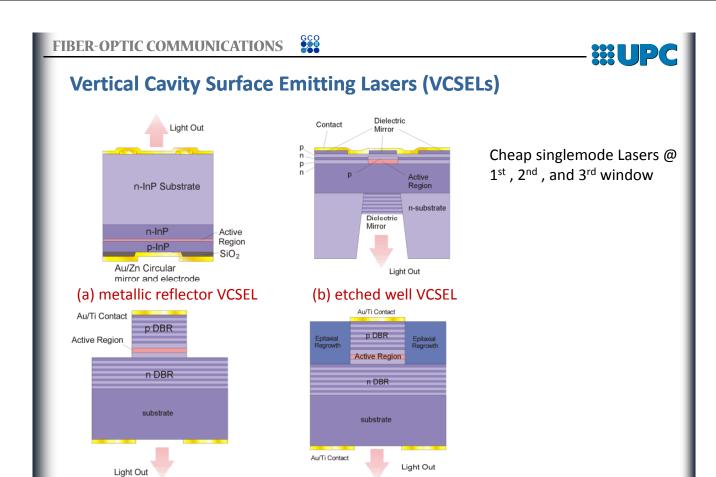












(d) burned regrouwth VCSEL

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(c) air post VCSEL

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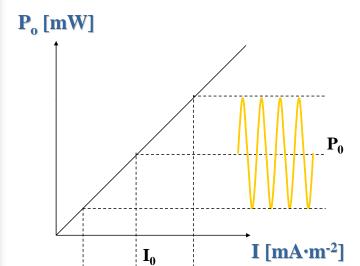
# **APPENDIX 1**

LED modulation





# LED's modulation - sinusoidal modulation



sinusoidal stimulus

$$I(t) \equiv I_0 \left[ 1 + m_1 e^{j(\omega_0 t + \phi)} \right]$$

$$N(t) \equiv N_0 \left[ 1 + m_N e^{j(\omega_0 t + \phi - \theta_N)} \right]$$

$$R(t) = R \left[ 1 + m_N e^{j(\omega_0 t + \phi - \theta_N)} \right]$$

$$P_0 \qquad P(t) \equiv P_0 \left[ 1 + m_N e^{j(\omega_0 t + \phi - \theta_N)} \right]$$

I<sub>0</sub>: DC electrical component

**Optical Power** 

$$\mathbf{P}(\mathbf{t}) = \eta \frac{\mathbf{N}(\mathbf{t})}{\tau_{r}} \mathbf{V} \cdot \mathbf{h} \mathbf{f}$$

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### FIBER-OPTIC COMMUNICATIONS





# Pure sinusoidal stimulus response

$$\text{TF} \qquad \frac{\partial N(t)}{\partial t} + \frac{N(t)}{\tau_{_{\mathbf{r}}}} = \frac{I(t)}{qV}$$

$$I(t) = \mathbf{m}_{\mathbf{I}} \mathbf{I}_{0} e^{\mathbf{j}(\omega_{0}t + \phi)} \mathbf{u}(t)$$

$$\updownarrow$$

$$I(\omega) = \mathbf{m}_{\mathbf{I}} \mathbf{I}_{0} e^{\mathbf{j}\phi} \left[ \pi \partial \left( \omega - \omega_{0} \right) + \frac{1}{\mathbf{j}(\omega - \omega_{0})} \right]$$

$$j\omega N(\omega) - N(t = 0^{+}) + \frac{N(\omega)}{\tau_{_{\rm r}}} = \frac{m_{_{\rm I}}I_{_{0}}}{qV} e^{j\phi} \left[ \pi \partial \left(\omega - \omega_{_{0}}\right) + \frac{1}{j\left(\omega - \omega_{_{0}}\right)} \right]$$

$$N(\omega) = \frac{m_{_{I}}I_{_{0}}}{qV}e^{j\phi} \left[\pi\partial\left(\omega - \omega_{_{0}}\right) + \frac{1}{j\left(\omega - \omega_{_{0}}\right)}\right]\frac{1}{j\omega + \frac{1}{\tau_{_{r}}}}$$



$$N(\omega) = \frac{m_{_{I}}I_{_{0}}}{qV}e^{j\phi} \left[ \pi \partial \left(\omega - \omega_{_{0}}\right) \frac{1}{j\omega + \frac{1}{\tau_{_{r}}}} + \frac{1}{j\left(\omega - \omega_{_{0}}\right)} \frac{1}{j\omega + \frac{1}{\tau_{_{r}}}} \right] \\ \frac{\partial \left(\omega - \omega_{_{0}}\right) \frac{1}{j\omega_{_{0}} + \frac{1}{\tau_{_{r}}}}}{\left[\frac{1}{j\left(\omega - \omega_{_{0}}\right)} - \frac{1}{j\omega + \frac{1}{\tau_{_{r}}}}\right] \frac{1}{j\omega_{_{0}} + \frac{1}{\tau_{_{r}}}}} \right]$$

$$= \frac{m_{\mathrm{I}}I_{0}}{qV}e^{j\phi}\frac{1}{j\omega_{0}+\frac{1}{\tau_{r}}}\left[\underbrace{\pi\partial\left(\omega-\omega_{0}\right)+\frac{1}{j\left(\omega-\omega_{0}\right)}}_{F^{-1}\left\{e^{j\omega_{0}t}u(t)\right\}}-\underbrace{\frac{1}{j\omega+\frac{1}{\tau_{r}}}}_{F^{-1}\left\{e^{-t/\tau_{r}}u(t)\right\}}\right]$$

$$N(t) = \frac{I_0 \tau_r}{q V} \frac{m_I e^{j\phi}}{1 + j \omega_0 \tau_r} \left[ e^{j\omega_0 t} - e^{-t/\tau_r} \right] u(t) \xrightarrow{t \to \infty} \frac{I_0 \tau_r}{q V} \underbrace{\frac{m_I}{1 + j \omega_0 \tau_r}}_{N_0} e^{j(\omega_0 t + \phi)}$$

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### FIBER-OPTIC COMMUNICATIONS



# Step stimulus response

$$\begin{split} &I(t) = m_{_{I}}I_{_{0}}e^{\left(j\omega_{_{0}}t + \phi\right)}u(t) \xrightarrow{\quad \omega_{_{0}} = \phi = 0 \quad} I_{_{0}}u(t) \\ & \updownarrow \\ &I(\omega) = m_{_{I}}I_{_{0}}e^{j\phi} \Bigg[\pi\partial\left(\omega - \omega_{_{0}}\right) + \frac{1}{j\left(\omega - \omega_{_{0}}\right)}\Bigg] \xrightarrow{\quad \omega_{_{0}} = \phi = 0 \quad} I_{_{0}}\Bigg[\pi\partial\left(\omega\right) + \frac{1}{j\omega}\Bigg] \end{split}$$

$$\begin{split} N(t) = & \frac{I_0 \tau_r}{q V} \frac{m_I e^{j\phi}}{1 + j \omega_0 \tau_r} \Big[ e^{j \omega_0 t} - e^{-t/\tau_r} \Big] u(t) & \xrightarrow{\qquad \omega_0 = \phi = 0 \qquad } \frac{I_0 \tau_r}{q V} \Big[ 1 - e^{-t/\tau_r} \Big] u(t) \\ \xrightarrow{\qquad t \to \infty \qquad } \frac{I_0 \tau_r}{q V} \end{split}$$

Pure sinusoidal stimulus response  $I(t) = I_0 \left\{ 1 + m_I e^{\left(j\omega_0 t + \phi\right)} \right\} u(t)$ 





# **APPENDIX 2**

LASER modulation

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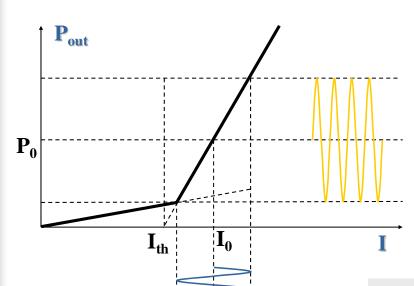
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# FIBER-OPTIC COMMUNICATIONS



# -:::UPC

# **Sinusoidal Modulation**



$$\frac{\partial N}{\partial t} = \frac{I}{qV} - \frac{N}{\tau_{\rm r}} - v \cdot gS = 0$$

$$\frac{\partial S}{\partial t} = v \cdot gS - v \cdot \alpha_t S = 0$$

 $\mathbf{I}(\mathbf{t}) \equiv \mathbf{I}_0 \left[ 1 + \mathbf{m}_{\mathbf{I}} \mathbf{e}^{\mathbf{j}\omega_0 t} \right]$ 

 $m_I \ll 1$ 

**Small Signal!!** 





$$I(t) \equiv I_0 \left\lceil 1 + m_{_J} e^{j\omega_0 t} u(t) \right\rceil \equiv I_0 + \Delta I(t) \quad \text{modulation current}$$

stationary regime

static behavior

$$\omega_0 \rightarrow 0$$

$$\mathbf{I} \equiv \mathbf{I}_0 \lceil 1 + \mathbf{m}_{\mathbf{J}} \rceil$$

$$S = \frac{\tau_p}{qV} \Big( I - I_{th} \Big) = \underbrace{\frac{\tau_p}{qV} \Big( I_0 - I_{th} \Big)}_{S_0} + \underbrace{\frac{\tau_p}{qV} m_J I_0}_{\Delta S(0)}$$

 $S = \frac{\tau_p}{\alpha V} (I - I_{th})$ 

 $N = N_{th}$ 

sinusoidal regime

$$S \equiv S_0 + \Delta S(t)$$

$$N \equiv N_{th} + \Delta N(t)$$

sinusoidal oscillation

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### FIBER-OPTIC COMMUNICATIONS





Carrier Rate Equation

$$\mathbf{S} \equiv \mathbf{S}_0 + \Delta \mathbf{S}(\mathbf{t})$$

$$N \equiv N_a + \Delta N(t)$$

$$\mathbf{N} \equiv \mathbf{N}_{_{\mathrm{th}}} + \Delta \mathbf{N}(\mathbf{t}) \quad \mathbf{g}|_{\lambda_{_{\mathrm{n}}}} = \Gamma \mathbf{a} \big( \mathbf{N} - \mathbf{N}_{_{0}} \big)$$

$$\frac{\partial N}{\partial t} = \frac{I}{qV} - \frac{N}{\tau} - vgS$$

$$\frac{\partial N}{\partial t} = \frac{I}{qV} - \frac{N}{\tau_r} - vgS \qquad \leftarrow g(N) = g(N_{th} + \Delta N) = \underbrace{\Gamma a(N_{th} - N_0)}_{\alpha_t} + \Gamma a \cdot \Delta N$$

$$\frac{\partial \Delta N}{\partial t} = \frac{I_0 + \Delta I}{qV} - \frac{N_{th} + \Delta N}{\tau_r} - v \left[\alpha_t + \Gamma a \cdot \Delta N\right] \cdot \left(S_0 + \Delta S\right)$$

$$\mathbf{N}_{th} = \mathbf{N}_0 + \frac{\alpha_t}{\Gamma a}$$

$$\mathbf{N}_{th} = \mathbf{I}_{th} \frac{\tau_{r}}{q\mathbf{V}} \qquad \mathbf{S}_{0} = \frac{\tau_{p}}{q\mathbf{V}} (\mathbf{I}_{0} - \mathbf{I}_{th})$$

$$\frac{\partial \Delta N}{\partial t} = \underbrace{\frac{I_0 - I_{th}}{qV}}_{S/r} + \frac{\Delta I}{qV} - \frac{\Delta N}{\tau_r} - v \left[\alpha_t + \Gamma a \cdot \Delta N\right] \cdot \left(S_0 + \Delta S\right)$$

$$\tau_{\rm p} \equiv \frac{1}{\mathbf{v} \cdot \mathbf{\alpha}}$$

$$\frac{\partial \Delta N}{\partial t} = \frac{S_{o}}{\tau_{p}} + \frac{\Delta I}{qV} - \frac{\Delta N}{\tau_{r}} - \left[ \frac{S_{o}}{\tau_{p}} + \frac{\Delta S}{\tau_{p}} + v\Gamma a \cdot \Delta N \cdot S_{0} + \underbrace{v\Gamma a \cdot \Delta N \cdot \Delta S}_{n \text{ glible}} \right]$$





$$\frac{\partial \Delta N}{\partial t} = -\Delta N \left( \frac{1}{\tau_r} + v \cdot \Gamma a \cdot S_0 \right) - \frac{\Delta S}{\tau_p} + \frac{\Delta I}{qV}$$

$$(1) \qquad \frac{\partial}{\partial \mathbf{t}}$$

Photon Rate Equation

Photon Rate Equation 
$$\frac{\partial S}{\partial t} = v \cdot g \cdot S - v \cdot \alpha_t S + \beta \frac{N}{\tau_r} \approx \frac{S}{\tau_p} \left( \frac{g}{\alpha_t} - 1 \right)$$
 
$$S \equiv S_0 + \Delta S(t)$$
 
$$g = \alpha_t + \Gamma a \cdot \Delta N$$
 
$$\frac{\partial \Delta S}{\partial t} = \left( S_0 + \Delta S \right) \left( \alpha_t + \Gamma a \cdot \Delta N \right)$$

$$\mathbf{S} \equiv \mathbf{S}_0 + \Delta \mathbf{S}(\mathbf{t}) \quad \boldsymbol{\tau}_p \equiv \frac{1}{\mathbf{v} \cdot \boldsymbol{\alpha}_t}$$
$$\mathbf{g} = \boldsymbol{\alpha}_t + \Gamma \mathbf{a} \cdot \Delta \mathbf{N}$$

$$\frac{\partial \Delta S}{\partial t} = \frac{\left(S_{_{0}} + \Delta S\right)}{\tau_{_{p}}} \left(\frac{\alpha_{_{t}} + \Gamma a \cdot \Delta N}{\alpha_{_{t}}} - 1\right) = v\Gamma a \cdot S_{_{0}} \Delta N + \underbrace{v\Gamma a \cdot \Delta S \cdot \Delta N}_{nocligible}$$

$$\frac{\partial \Delta \mathbf{S}}{\partial \mathbf{t}} \approx \mathbf{v} \mathbf{\Gamma} \mathbf{a} \cdot \mathbf{S}_0 \Delta \mathbf{N}$$
 (2)

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### FIBER-OPTIC COMMUNICATIONS





Derivate (1) and substute in (2)

$$\frac{\partial^2 \Delta N}{\partial t^2} + \frac{\partial \Delta N}{\partial t} \left( \frac{1}{\tau_r} + v \Gamma a \cdot S_0 \right) + \frac{v \Gamma a \cdot S_0}{\tau_p} \Delta N = \frac{1}{q V} \frac{\partial \Delta I}{\partial t}$$

 $\alpha$ : laser damping factor  $\rightarrow$  ~10<sup>9</sup>

 $\omega_c$ : laser resonance frequency  $\rightarrow$  ~ 10<sup>12</sup>

$$2\alpha = \frac{1}{\tau_r} + v\Gamma a \cdot S_0$$

$$v\Gamma a \cdot S$$

$$\omega_{\rm c}^2 \equiv \frac{\mathbf{v} \Gamma \mathbf{a} \cdot \mathbf{S}_0}{\tau_{\rm p}}$$

**Carrier Oscillation Equation** 

$$\frac{\partial^{2}\Delta N(t)}{\partial t^{2}} + 2\alpha \frac{\partial \Delta N(t)}{\partial t} + \omega_{c}^{2}\Delta N(t) = \frac{1}{qV} \frac{\partial \Delta I(t)}{\partial t}$$

 $\alpha \ll \omega_0$ 





### **Sinusoidal Oscillation**

$$\begin{split} \frac{\partial^2 \Delta N(t)}{\partial t^2} + 2\alpha \frac{\partial \Delta N(t)}{\partial t} + \omega_c^2 \Delta N(t) &= \frac{1}{qV} \frac{\partial \Delta I(t)}{\partial t} \\ \text{TF} \end{split} \\ \Delta I(t) &= m_{_I} I_{_0} e^{j\omega_0 t} u(t) \\ \Delta I(\omega) &= m_{_I} I_{_0} \bigg[ \pi \partial \Big( \omega \Big) \bigg] \end{split}$$

$$\Delta I(t) = m_I I_0 e^{j\omega_0 t} u(t)$$

$$\Delta I(\omega) = m_I I_0 \left[ \pi \partial (\omega - \omega_0) + \frac{1}{j(\omega - \omega_0)} \right]$$

$$-\omega^{2}\Delta N(\omega) + j2\alpha\omega\Delta N(\omega) + \omega_{c}^{2}\Delta N(\omega) = \frac{1}{qV}j\omega\Delta I(\omega)$$

$$\Delta N(\omega) \left[\underbrace{\omega_{c}^{2} + j2\alpha\omega - \omega^{2}}_{\omega_{c}^{2} - \alpha^{2} + (\alpha + j\omega)^{2}}\right] = \frac{1}{qV} m_{I} I_{0} j\omega \left[\pi \partial \left(\omega - \omega_{0}\right) + \frac{1}{j\left(\omega - \omega_{0}\right)}\right]$$

$$\Delta N(\omega) = \frac{m_{I}I_{0}}{qV}j\omega \left[\pi\partial\left(\omega - \omega_{0}\right) + \frac{1}{j(\omega - \omega_{0})}\right] \frac{1}{\Omega^{2} + (\alpha + j\omega)^{2}}$$

 $\Omega^2 \equiv \omega_c^2 - \alpha^2$ 

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### FIBER-OPTIC COMMUNICATIONS



$$\Delta N(\omega) = \frac{m_{_{I}}I_{_{0}}}{qV}j\omega \left[\pi\partial\left(\omega-\omega_{_{0}}\right)\frac{1}{\Omega^{^{2}}+\left(\alpha+j\omega\right)^{^{2}}} + \underbrace{\frac{1}{j\left(\omega-\omega_{_{0}}\right)}\frac{1}{\Omega^{^{2}}+\left(\alpha+j\omega\right)^{^{2}}}}_{\partial\left(\omega-\omega_{_{0}}\right)\frac{1}{\Omega^{^{2}}+\left(\alpha+j\omega_{_{0}}\right)^{^{2}}} + \underbrace{\frac{1}{j\left(\omega-\omega_{_{0}}\right)}\frac{1}{\Omega^{^{2}}+\left(\alpha+j\omega_{_{0}}\right)^{^{2}}}}_{\left[\frac{1}{j\left(\omega-\omega_{_{0}}\right)} - \frac{\left(\alpha+j\omega_{_{0}}\right)+\left(\alpha+j\omega\right)^{^{2}}}{\Omega^{^{2}}+\left(\alpha+j\omega_{_{0}}\right)^{^{2}}}\right]}^{\frac{1}{\Omega^{^{2}}+\left(\alpha+j\omega_{_{0}}\right)^{^{2}}}}\right]$$

$$\Delta N(\omega) = \frac{m_{I}I_{0}}{qV} \frac{j\omega}{\Omega^{2} + \left(\alpha + j\omega_{0}\right)^{2}} \left[ \frac{\pi\partial\left(\omega - \omega_{0}\right) + \frac{1}{j\left(\omega - \omega_{0}\right)} - \frac{\left(\alpha + j\omega_{0}\right) + \left(\alpha + j\omega\right)}{\Omega^{2} + \left(\alpha + j\omega\right)^{2}}}{\frac{\alpha + j\omega_{0}}{\Omega^{2} + \left(\alpha + j\omega\right)^{2}}} \right]$$

$$TF^{-1}$$

$$-\frac{\alpha + j\omega_{0}}{\Omega} \frac{\Omega}{\Omega^{2} + \left(\alpha + j\omega\right)^{2}} - \frac{\alpha + j\omega}{\Omega^{2} + \left(\alpha + j\omega\right)^{2}}$$

$$\frac{\alpha + j\omega_{0}}{\Omega^{2} + \left(\alpha + j\omega\right)^{2}} - \frac{\alpha + j\omega}{\Omega^{2} + \left(\alpha + j\omega\right)^{2}}$$

$$\frac{\alpha + j\omega_{0}}{\Omega^{2} + \left(\alpha + j\omega\right)^{2}} - \frac{\alpha + j\omega}{\Omega^{2} + \left(\alpha + j\omega\right)^{2}}$$

$$\frac{\alpha + j\omega_{0}}{\Omega^{2} + \left(\alpha + j\omega\right)^{2}} - \frac{\alpha + j\omega}{\Omega^{2} + \left(\alpha + j\omega\right)^{2}}$$



$$\begin{split} \Delta N(t) = \frac{m_{_{I}}I_{_{0}}}{qV} \frac{1}{\Omega^{^{2}} + \left(\alpha + j\omega_{_{0}}\right)^{^{2}}} \frac{\partial}{\partial t} \bigg\{ e^{j\omega_{_{0}}t}u(t) - \frac{\alpha + j\omega_{_{0}}}{\Omega} e^{-\alpha t} \sin(\Omega t)u(t) - \\ - e^{-\alpha t} \cos(\Omega t)u(t) \bigg\} \end{split}$$

$$\frac{\partial}{\partial t} \Big\{ e^{j\omega_0 t} u(t) \Big\} = j\omega_0 e^{j\omega_0 t} u(t) + e^{j\omega_0 t} \partial(t) = j\omega_0 e^{j\omega_0 t} u(t) + \partial(t)$$

$$\begin{split} \frac{\partial}{\partial t} & \left\{ \frac{\alpha + j\omega_0}{\Omega} e^{-\alpha t} \sin(\Omega t) u(t) \right\} = \left[ -\alpha \frac{\alpha + j\omega_0}{\Omega} e^{-\alpha t} \sin(\Omega t) + \left( \alpha + j\omega_0 \right) e^{-\alpha t} \cos(\Omega t) \right] u(t) \\ & + \underbrace{\frac{\alpha + j\omega_0}{\Omega} e^{-\alpha t} \sin(\Omega t) \partial(t)}_{\Omega} \end{split}$$

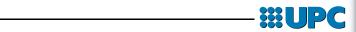
$$\frac{\partial}{\partial t} \Big\{ e^{-\alpha t} \cos \big(\Omega t \big) u(t) \Big\} = \left[ \underbrace{-\alpha e^{-\alpha t} \cos \big(\Omega t \big)} - \Omega e^{-\alpha t} \sin \big(\Omega t \big) \right] u(t) + \underbrace{e^{-\alpha t} \cos \big(\Omega t \big) \partial (t)}_{\partial (t)}$$

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### FIBER-OPTIC COMMUNICATIONS



$$\Delta N(t) = \frac{m_{_{I}}I_{_{0}}}{qV} \frac{1}{\Omega^{^{2}} + \left(\alpha + j\omega_{_{0}}\right)^{^{2}}} \begin{cases} j\omega_{_{0}}e^{j\omega_{_{0}}t}u(t) + \partial(t) - \partial(t) + \\ + \left[\alpha \frac{\alpha + j\omega_{_{0}}}{\Omega}e^{-\alpha t}\sin(\Omega t) - j\omega_{_{0}}e^{-\alpha t}\cos(\Omega t) + \\ + \left[\alpha \frac{\alpha + j\omega_{_{0}}}{\Omega}e^{-\alpha t}\sin(\Omega t) - j\omega_{_{0}}e^{-\alpha t}\cos(\Omega t) + \\ + \left[\alpha \frac{\alpha + j\omega_{_{0}}}{\Omega}e^{-\alpha t}\sin(\Omega t) - j\omega_{_{0}}e^{-\alpha t}\cos(\Omega t) + \\ + \left[\alpha \frac{\alpha + j\omega_{_{0}}}{\Omega}e^{-\alpha t}\sin(\Omega t) - j\omega_{_{0}}e^{-\alpha t}\cos(\Omega t) + \\ + \left[\alpha \frac{\alpha + j\omega_{_{0}}}{\Omega}e^{-\alpha t}\sin(\Omega t) - j\omega_{_{0}}e^{-\alpha t}\cos(\Omega t) + \\ + \left[\alpha \frac{\alpha + j\omega_{_{0}}}{\Omega}e^{-\alpha t}\sin(\Omega t) - j\omega_{_{0}}e^{-\alpha t}\cos(\Omega t) + \\ + \left[\alpha \frac{\alpha + j\omega_{_{0}}}{\Omega}e^{-\alpha t}\sin(\Omega t) - j\omega_{_{0}}e^{-\alpha t}\cos(\Omega t) + \\ + \left[\alpha \frac{\alpha + j\omega_{_{0}}}{\Omega}e^{-\alpha t}\sin(\Omega t) - j\omega_{_{0}}e^{-\alpha t}\cos(\Omega t) + \\ + \left[\alpha \frac{\alpha + j\omega_{_{0}}}{\Omega}e^{-\alpha t}\sin(\Omega t) - j\omega_{_{0}}e^{-\alpha t}\cos(\Omega t) + \\ + \left[\alpha \frac{\alpha + j\omega_{_{0}}}{\Omega}e^{-\alpha t}\sin(\Omega t) - j\omega_{_{0}}e^{-\alpha t}\cos(\Omega t) + \\ + \left[\alpha \frac{\alpha + j\omega_{_{0}}}{\Omega}e^{-\alpha t}\sin(\Omega t) - j\omega_{_{0}}e^{-\alpha t}\cos(\Omega t) + \\ + \left[\alpha \frac{\alpha + j\omega_{_{0}}}{\Omega}e^{-\alpha t}\sin(\Omega t) - j\omega_{_{0}}e^{-\alpha t}\cos(\Omega t) + \\ + \left[\alpha \frac{\alpha + j\omega_{_{0}}}{\Omega}e^{-\alpha t}\sin(\Omega t) - j\omega_{_{0}}e^{-\alpha t}\cos(\Omega t) + \\ + \left[\alpha \frac{\alpha + j\omega_{_{0}}}{\Omega}e^{-\alpha t}\sin(\Omega t) - j\omega_{_{0}}e^{-\alpha t}\cos(\Omega t) + \\ + \left[\alpha \frac{\alpha + j\omega_{_{0}}}{\Omega}e^{-\alpha t}\sin(\Omega t) - j\omega_{_{0}}e^{-\alpha t}\cos(\Omega t) + \\ + \left[\alpha \frac{\alpha + j\omega_{_{0}}}{\Omega}e^{-\alpha t}\sin(\Omega t) - j\omega_{_{0}}e^{-\alpha t}\cos(\Omega t) + \\ + \left[\alpha \frac{\alpha + j\omega_{_{0}}}{\Omega}e^{-\alpha t}\sin(\Omega t) - j\omega_{_{0}}e^{-\alpha t}\cos(\Omega t) + \\ + \left[\alpha \frac{\alpha + j\omega_{_{0}}}{\Omega}e^{-\alpha t}\sin(\Omega t) - j\omega_{_{0}}e^{-\alpha t}\cos(\Omega t) + \\ + \left[\alpha \frac{\alpha + j\omega_{_{0}}}{\Omega}e^{-\alpha t}\sin(\Omega t) - j\omega_{_{0}}e^{-\alpha t}\cos(\Omega t) + \\ + \left[\alpha \frac{\alpha + j\omega_{_{0}}}{\Omega}e^{-\alpha t}\sin(\Omega t) - j\omega_{_{0}}e^{-\alpha t}\cos(\Omega t) + \\ + \left[\alpha \frac{\alpha + j\omega_{_{0}}}{\Omega}e^{-\alpha t}\sin(\Omega t) - j\omega_{_{0}}e^{-\alpha t}\cos(\Omega t) + \\ + \left[\alpha \frac{\alpha + j\omega_{_{0}}}{\Omega}e^{-\alpha t}\cos(\Omega t) - j\omega_{_{0}}e^{-\alpha t}\cos(\Omega t) + \\ + \left[\alpha \frac{\alpha + j\omega_{_{0}}}{\Omega}e^{-\alpha t}\cos(\Omega t) - j\omega_{_{0}}e^{-\alpha t}\cos(\Omega t) + \\ + \left[\alpha \frac{\alpha + j\omega_{_{0}}}{\Omega}e^{-\alpha t}\cos(\Omega t) - j\omega_{_{0}}e^{-\alpha t}\cos(\Omega t) + \\ + \left[\alpha \frac{\alpha + j\omega_{_{0}}}{\Omega}e^{-\alpha t}\cos(\Omega t) - j\omega_{_{0}}e^{-\alpha t}\cos(\Omega t) + \\ + \left[\alpha \frac{\alpha + j\omega_{_{0}}}{\Omega}e^{-\alpha t}\cos(\Omega t) - j\omega_{_{0}}e^{-\alpha t}\cos(\Omega t) + \\ + \left[\alpha \frac{\alpha + j\omega_{_{0}}}{\Omega}e^{-\alpha t}\cos(\Omega t) - j\omega_{_{0}}e^{-\alpha t}\cos(\Omega t) + \\ + \left[\alpha \frac{\alpha + j\omega_{_{0}}}{\Omega}e^{-\alpha$$

$$\begin{split} \Delta N(t) = & \frac{m_{_{I}}I_{_{0}}}{qV} \frac{1}{\Omega^{^{2}} + \left(\alpha + j\omega_{_{0}}\right)^{^{2}}} \bigg\{ j\omega_{_{0}}e^{j\omega_{_{0}}t} + \Bigg[\alpha \frac{\alpha + j\omega_{_{0}}}{\Omega} + \Omega\Bigg]e^{-\alpha t} \sin(\Omega t) - \\ & - j\omega_{_{0}}e^{-\alpha t} \cos(\Omega t) \bigg\} u(t) \end{split}$$

Permanent Regime

$$\left. \Delta N(t) \right|_{t \to \infty} = \frac{m_{_{I}} I_{_{0}}}{q V} \frac{j \omega_{_{0}}}{\Omega^{2} + \left(\alpha + j \omega_{_{0}}\right)^{2}} e^{j \omega_{_{0}} t} = \frac{I_{_{0}}}{q V} m_{_{I}} \frac{j \omega_{_{0}}}{\omega_{_{c}}^{2} - \omega_{_{0}}^{2} + j2\alpha\omega_{_{0}}} e^{j \omega_{_{0}} t}$$

$$\Omega^2 \equiv \omega_c^2 - \alpha^2$$

**Carriers** 



### **Photons**

$$\begin{split} \Delta N(t) = & \frac{m_{_{I}}I_{_{0}}}{qV} \frac{1}{\omega_{_{c}}^{^{2}} - \omega_{_{0}}^{^{2}} + j2\alpha\omega_{_{0}}} \frac{\partial}{\partial t} \left\{ \left[ e^{j\omega_{_{0}}t} - \frac{\alpha + j\omega_{_{0}}}{\Omega} e^{-\alpha t} \sin(\Omega t) - e^{-\alpha t} \cos(\Omega t) \right] u(t) \right\} \end{split}$$

$$\frac{\partial \Delta S(t)}{\partial t} = v \Gamma a \cdot S_0 \Delta N(t)$$

$$\Delta S(t) = v\Gamma a \cdot S_0 \frac{m_{_I} I_{_0}}{qV} \frac{1}{\omega_{_c}^2 - \omega_{_0}^2 + j2\alpha\omega_{_0}} \Bigg[ e^{j\omega_{_0}t} - \frac{\alpha + j\omega_{_0}}{\Omega} e^{-\alpha t} \sin(\Omega t) - \\ - e^{-\alpha t} \cos(\Omega t) \Bigg] u(t)$$

Permanent Regime

$$\left|\Delta S(t)\right|_{t\rightarrow\infty} = v\Gamma a \cdot S_0 \frac{I_0}{qV} \frac{m_I}{\omega_c^2 - \omega_0^2 + \mathbf{j}2\alpha\omega_0} e^{\mathbf{j}\omega_0 t} = \frac{I_0}{qV} \tau_p m_I \frac{\omega_c^2}{\omega_c^2 - \omega_0^2 + \mathbf{j}2\alpha\omega_0} e^{\mathbf{j}\omega_0 t}$$

$$\omega_c^2 \equiv \frac{v\Gamma a}{\tau_p} S_0$$

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### FIBER-OPTIC COMMUNICATIONS



# **Response to a Sinusoidal Signal in Permanent Regime**

$$I(t) \equiv I_0 \left[ 1 + m_I e^{j\omega_0 t} u(t) \right]$$

Static Relations 
$$\mathbf{S} = \frac{\tau_p}{qV} \Big(\mathbf{I} - \mathbf{I}_{th}\Big)$$
 
$$\mathbf{N} = \mathbf{N}_{th}$$

### **Carriers**

$$N_{_{th}}=I_{_{th}}\frac{\tau_{_{r}}}{qV}$$

 $\alpha$ : laser damping factor  $\rightarrow \sim 10^9$   $2\alpha = \frac{1}{\tau_r} + v\Gamma a \cdot S_0$  $ω_c$ : laser resonance frequency  $\rightarrow \sim 10^{12}$   $ω_c^2 \equiv \frac{v\Gamma a \cdot S_0}{\tau}$ 

$$2\alpha \equiv \frac{1}{\tau_{r}} + v\Gamma a \cdot S_{0}$$

$$\omega_{c}^{2} \equiv \frac{v\Gamma a \cdot S_{0}}{\tau}$$

### **Photons**

$$S(t) = S_0 \left[ 1 + \underbrace{\frac{I_0}{I_0 - I_{th}} m_1 \frac{\omega_c^2}{\omega_c^2 - \omega_0^2 + j2\alpha\omega_0}}_{m_S} e^{j\omega_0 t} \right]$$

$$S_{_0} = \frac{\tau_{_p}}{qV} \left( I_{_0} - I_{_{th}} \right)$$