

Recursion termination: the bound function

Bound function

Recursive function `pow` to the right has the base case $k = 0$ and the recursive case $k > 0$. To show that a call like `pow(20)` terminates, we have to show that at some point the base case is reached. It's easy to see with this function because the recursive call `pow(k-1)` has an argument that is one less than parameter k , so the call `pow(20)` will result in the recursive call `pow(19)`, which will result in the recursive call `pow(18)`, etc., until the call `pow(0)` is executed.

```
/** = 2^k.
 * Precondition k >= 0. */
public static int pow(int k) {
    if (k == 0) return 1;
    return 2 * pow(k-1);
}
```

Often, when we write recursive functions, as above, it is easy to see that they terminate. But sometimes termination can be trickier to show. Below, we use this example to give a general method for proving that a recursive method terminates.

A recursive method may have a list of several parameters. Let's use p for the name of this list. For example, for function `pow` above, p is (k) , and for procedure `QS` (for quicksort) whose header is shown to the right, p is (b, h, k) . Similarly, we use a for the list of arguments of a recursive call

```
/** Sort b[h..k] */
public static void QS(int[] b, int h, int k)
```

We formalize the notion of proving termination as follows.

Proof of termination of recursive calls of method $f(p)$

To prove termination of a call $f(p)$, exhibit a *bound function* $bf(p)$ with the following properties:

1. For a base case p , $bf(p) \leq 0$.
2. For a recursive case p , $bf(p) > 0$.
3. The arguments of recursive call are "smaller" in the sense of bound function bf than the parameters of the method: $f(a)$, $bf(a) < bf(p)$.

Example 1. For function `pow` above, use the following bound function. You can easily check that the three properties are satisfied.

$$bf(k) = k.$$

Example 2. For procedure `QS` whose heading is given above, use

$$bf(b, h, k) = k - h \quad \text{i.e. (size of segment } b[h..k]) - 1.$$

The base case will be when $b[h..k]$ has 0 or 1 values, for then $b[h..k]$ is already sorted. In that case $bf(b, h, k)$ is -1 or 0 . If $b[h..k]$ has 2 or more values, $bf(b, h, k) > 1$. To prove termination, then, we would have to show point 3 above: the segment to be sorted by each recursive call has to be smaller than segment $b[h..k]$.

Example 3. Function `gcd` to the right calculates the greatest common divisor of b and c . For example, `gcd(5, 3) = 1` and `gcd(9, 6) = 3`. The function rests on these properties of `gcd` for $b > 0$ and $c > 0$:

- (1) `gcd(b, b) = b`
- (2) `gcd(b, c) = gcd(b, c-b) = gcd(b-c, c)`

We search for a bound function $bf(b, c)$. Looking at the two recursive calls, we think of using

$$bf(b, c) = \max(b, c)$$

since if $b > c$, we have $\max(b-c, c) < \max(b, c)$ and if $c > b$, we have $\max(b, c-b) < \max(b, c)$. That's good. However, in the base case, when $b = c$, we have $bf(b, c) = \max(b, c) = b > 0$, while the properties for proving termination require $bf(b, c) \leq 0$. Thus, we modify our choice of bound function to:

$$bf(b, c) = \max(b, c) - \gcd(b, c)$$

```
/** = gcd(b, c).
 * Precondition 0 < b and 0 < c. */
public static int gcd(int b, int c) {
    if (b == c) return b;
    if (b > c) return gcd(b - c, c);
    return gcd(b, c - b);
}
```

Discussion. This example shows that our rules for proving termination could be made more flexible. We could instead require that there exist a k such that for a base case, $bf(p) \leq k$, and for a recursive case, $bf(p) > k$.

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Example 4. To the right, function `isPal` determines whether its parameter `s` is a palindrome —whether `s` reads the same forward and backward. An obvious bound function `bf` is:

$$\text{bf}(s) = s.\text{length}() - 1$$

The base case is `s.length() <= 1`, and in this case, `bf(s) <= 0`. Second, in the recursive case, when `s` has at least 2 characters, `bf(s) > 0`. Third, the argument of the recursive call has 2 less chars in it than parameter `s`.

Example 5. We leave it to you to show that a suitable bound function for recursive function `fib`, to the right, is

$$\text{bf}(n) = n - 1$$

```
/** = "s is a palindrome" */
public static boolean isPal(String s) {
    if (s.length() <= 1) return true;

    // { s has at least 2 chars }
    int n = s.length()-1;
    return s.charAt(0) == s.charAt(n) &&
        isPal(s.substring(1, n));
}
```

```
/** = fibonacci(n), for n >= 0 */
public static int fib(int n) {
    if (n <= 1) return n;
    // { 1 < n }
    return fib(n-2) + fib(n-1);
}
```