

# Prelim 2 Solution

CS 2110, 21 November 2019, 5:30 PM

	1	2	3	4	5	6	7	Total
Question	Name	Short Answer	Heaps	Trees	Collections	Graphs	Sorting	
Max	1	30	12	16	13	16	12	100
Score								
Grader								

The exam is closed book and closed notes. Do not begin until instructed.

You have **90 minutes**. Good luck!

Write your name and Cornell **NetID**, **legibly**, at the top of the first page, and your Cornell ID Number (7 digits) at the top of pages 2-9! There are 7 questions on 9 numbered pages, front and back. Check that you have all the pages. When you hand in your exam, make sure your pages are still stapled together. If not, please use our stapler to reattach all your pages!

We have scrap paper available. If you do a lot of crossing out and rewriting, you might want to write code on scrap paper first and then copy it to the exam so that we can make sense of what you handed in.

Write your answers in the space provided. Ambiguous answers will be considered incorrect. You should be able to fit your answers easily into the space provided.

In some places, we have abbreviated or condensed code to reduce the number of pages that must be printed for the exam. In others, code has been obfuscated to make the problem more difficult. This does not mean that it's good style.

**Academic Integrity Statement:** I pledge that I have neither given nor received any unauthorized aid on this exam. I will not talk about the exam with anyone in this course who has not yet taken Prelim 2.

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(signature)

## 1. Name (1 point)

Write your name and NetID, **legibly**, at the top of page 1. Write your Student ID Number (the 7 digits on your student ID) at the top of pages 2-9 (so each page has identification).

## 2. Short Answer (30 points)

(a) True / False (8 points) Circle T or F in the table below.

(a)	T	F	An algorithm with time complexity $O(n)$ will always run faster than one with time complexity $O(n^2)$ . <b>False.</b> E.g. an algorithm executing $100,000,000n$ basic steps is likely to be slower for $n < 1000$ than an algorithm that executes $n^2$ basic steps.
(b)	T	F	In a dense graph, an algorithm whose running time is proportional to $ E $ is preferable to one whose running time is proportional to $ V $ . <b>False.</b> In a dense graph, $ E $ approaches $ V ^2$ .
(c)	T	F	In order for a class to support for-each loops, it must implement <b>Iterator</b> and provide a method that returns an <b>Iterable</b> . <b>False.</b> It must implement <b>Iterable</b> and have a method that returns an <b>Iterator</b> .
(d)	T	F	Although both quicksort and mergesort recursively sort sub-lists, quicksort's worst-case running time is $O(n^2)$ , while mergesort's is $O(n \log n)$ . <b>True.</b>
(e)	T	F	In a tree, every path between two nodes $s$ and $t$ in which all nodes are different is a shortest path from $s$ to $t$ . <b>True.</b> There is a unique (simple) path between two nodes in a tree.
(f)	T	F	Computing the outdegree of a node $n$ is faster with adjacency lists than adjacency matrices. <b>True.</b> It takes time $O(\text{outdegree}(n))$ with an adjacency list and time $O( V )$ with an adjacency matrix.
(g)	T	F	Any class $K$ can be used as a key in a <code>HashMap&lt;K, V&gt;</code> . <b>True.</b>
(h)	T	F	Swapping left and right subtrees in a BST breaks the BST property, but swapping them in a heap doesn't affect the heap-order property. <b>True.</b> The heap property is concerned only about the relative priorities of parents and children.

(b) Complexity (3 points) For each of the functions  $f$  below, state a function  $g$  such that  $f$  is  $O(g)$  where  $O(g)$  is as simple and tight as possible. For example, one could say that  $f(n) = 2n^2$  is  $O(n^3)$  or  $O(2n^2)$ , but the required answer is  $O(n^2)$ .

1.  $f(n) = 5n^2 + 8n^3$   $O(n^3)$
2.  $f(n) = 2^n + n \cdot \log(n)$   $O(2^n)$
3.  $f(n) = \frac{4n^3 - 3n^2 + 2}{5n}$   $O(n^2)$

(c) GUI (3 points) What three steps are required to listen to an event in a Java GUI?

1. Have some class  $C$  implement an interface  $IN$  that is connected with the event.
2. In class  $C$ , override methods required by interface  $IN$ ; these methods are generally called when the event happens.
3. Register an object of class  $C$  as a listener for the event. That object's methods will be called when event happens.

**(d) Hashing (6 points)** Consider a hash table of size 6, with elements numbered in 0..5. The hash function being used is:

$$H(k) = 2k.$$

Consider inserting these values into it, in order: 4, 0, 6, 5, 3, 7.

To the left, draw the table after inserting the values using open addressing with linear probing. To the right, draw the table after inserting the values (into an empty table) using chaining. Draw the linked lists as simply and as clearly as possible.

0	6	4	3	5	7	*	*	*	*	*	*	(order of values in linked lists does not matter)
						0		4		5		
						6		7				
						3						

**(e) Anonymous functions (6 points)** State the property that interface II must satisfy in order to be used in an assignment statement like the one to the right:

II v= an anonymous function;

II must have exactly one abstract method.

Below, write an anonymous function that is equivalent to the function to the right:

`b -> b < 0 ? -b : b;`

```
int abs(int b) {  
    return b < 0 ? -b : b;  
}
```

**(f) Method calls (4 points)** Write the steps in executing the call `m(5)` on this procedure:

```
public static void m(int p) { ... }
```

1. Push a frame for the call onto the call stack.
2. Assign argument 5 to parameter p.
3. Execute the method body.
4. Pop the frame from the call from the call stack.

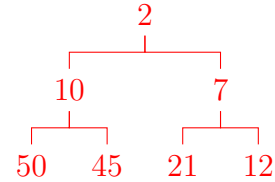
### 3. Heaps (12 Points)

(a) **2 points** Suppose array  $b[0..n-1]$  is a heap. To the right, write the index of the left child of node  $b[i]$  (assuming it has one):

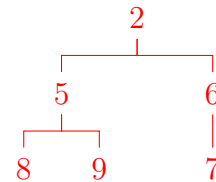
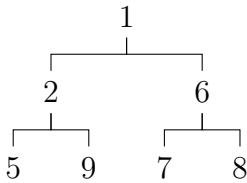
Answer

$$2i + 1$$

(b) **6 points** To the right, draw the min-heap formed by inserting the following integers in order:  $[21, 50, 7, 45, 10, 2, 12]$



(c) **4 points** To the right, draw the min-heap that results from calling `poll()` on the following min-heap:



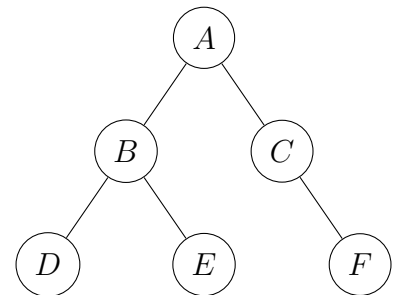
### 4. Trees (16 Points)

(a) **6 points** Write the inorder and preorder traversals of tree to the right:

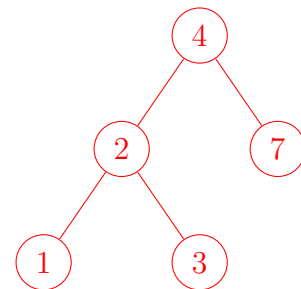
inorder: **D B E A C F**

preorder: **A B D E C F**

postorder: **D E B F C A**



(b) **4 points** To the right, construct a BST, adding nodes in the following order:  $[4, 7, 2, 1, 3]$ .



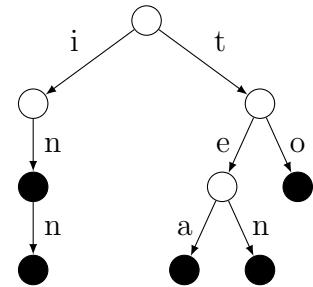
(c) **2 points** What is the worst-case time complexity for the following, given a BST of  $n$  nodes?

Inserting a node:  $O(n)$

Deleting a node:  $O(n)$

**(d) 4 points** The *trie* data structure is a tree that maintains a set of words. Each edge is labeled with a lower-case letter, in `a..z`, so a node has at most 26 children.

Each node contains a boolean value to indicate whether the path from the root to that node represents a complete word. E.g. this allows both "in" and "inn" to be words, shown to the right. Here, the black nodes represent words in the set {"in", "inn", "tea", "ten", "to"}.



To look up a word like "inn", begin at the root and follow the path of letters in the word. Assume that the child of a node corresponding to a letter can be referenced in constant time (the child is either a node or null, in case the child is empty).

Consider a trie with  $n$  strings of maximum length  $m$ . What is the tightest Big-O worst-case complexity of determining whether the trie contains a given word of length  $k$ ? (Give your answer in terms of  $n$ ,  $m$ , and/or  $k$ .)

$O(\min(m, k))$  —  $O(m)$  or  $O(k)$  get 1/2 credit

## 5. Collections (13 Points)

**(a) 5 points** Here are a few collection classes we have discussed:

LinkedList, HashSet, ArrayList, Heap (without the extra HashMap used in A5)

For each of the following operations with the given data structure and size, give the tightest bound you can on worst-case time complexity. The answers are all one of:  $O(1)$ ,  $O(\log n)$ ,  $O(n)$ ,  $O(n \log n)$ ,  $O(n^2)$ ,  $O(n^2 \log n)$ , and  $O(n^3)$ .

- I. Merge sort on an ArrayList of size  $n^2$ .  $O(n^2 \log n)$
- II. Binary search on a (doubly) LinkedList of size  $n$ .  $O(n)$
- III. Search if there exists an element with given priority in a max-Heap of size  $n$ .  $O(n)$
- IV. Add an element to a HashSet (assume open addressing) with size  $n^3$ .  $O(n^3)$
- V. bubbleDown in a min-Heap of size  $n$ .  $O(\log n)$

**(b) 8 points** Java has a class named Deque. It's a list that supports element insertion and removal at both ends — "deque" is short for "double ended queue". The start of the class declaration appears to the right, showing its fields. Assume the rest of the methods are there.

```

/* TODO 5 */
public class Deque<E> implements Iterable<E> {
    /** Class invariant:
     * b[0..size-1] represents the deque.
     * b[0] is the first element and
     * b[size-1] is the last. */
    private E[] b;
    private int size;
    ...

```

Unfortunately, Java forgot to make this class `Iterable` and has asked us to do it. Below, we have stubbed in method `iterator` and fields and methods in class `MyIt`; these go in class `Deque`, of course. Complete (1) the body of method `iterator`; (2) the invariant for class `MyIt`, thus defining field `idx`; (3) the body of method `hasNext`; (4) the rest of the body of method `next`; —the latter two being written according to what you wrote for a class invariant. Finally, (5) Fix the first line of the declaration of class `Deque`, above to the right.

```
/** = an iterator over elements of this deque. */
public Iterator<E> iterator() {
    /*TODO 1:*/ return new MyIt();
}

/** An iterator over elements of this deque. */
private class MyIt implements Iterator<E> {
    /*TODO 2:*/
    // 0 <= idx <= size; elements b[idx..size-1] remain to be enumerated.
    private int idx= 0;

    /** = there is another value to be enumerated. */
    public @Override boolean hasNext() {
        /*TODO 3:*/ return idx < size;
    }

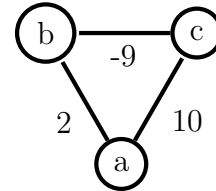
    /** Enumerate (return) the next value of this deque. <br>
     * Throw a NoSuchElementException if there is none. */
    public @Override E next() {
        if (!hasNext()) throw new NoSuchElementException();
        /*TODO 4:*/ idx= idx + 1 ; return b[idx - 1];
    }
}
```

## 6. Graphs (16 Points)

(a) **1 point** One of BFS and DFS has a natural recursive implementation. Circle it:

DFS      BFS      DFS should be circled

(b) **4 points** For Dijkstra's shortest-path algorithm to work, all edge weights must be positive. To the right is a graph in which one edge weight is negative. Below is the settled set, frontier set, and d-values after initialization and after the first iteration.



	Settled set	Frontier set	d-values
Initially:	{ }	{a}	d[a] = 0
After 1 iteration:	{a}	{b, c}	d[a] = 0, d[b] = 2, d[c] = 10

The algorithm was developed in terms of three invariants and a theorem proved from the invariant. State which of these four parts is not true of the settled set, frontier set, and d-values shown after one iteration. If you mention a part as being not true, you must state that part clearly.

The theorem says that for the node in the frontier set with minimum distance, that distance is indeed the shortest path from the start node to that node. Here, the node is b, with  $d[b] = 2$ . But the shortest path from a to b, which is (a, c, b), has length 1.

(c) **4 points** Both Kruskal and Prim's algorithms are additive, i.e. starting with no edges, edges are added one by one. Explain why an additive algorithm is better than a subtractive algorithm in terms of number of operations.

A dense graph has  $O(n^2)$  edges; a spanning tree has  $n - 1$  edges. Therefore, the subtractive algorithm would have to remove  $O(n^2)$  edges. The additive algorithms require exactly  $n - 1$  edges to be added.

(d) **7 points** Complete the following method. Make it recursive. You can write "visit n" without explaining how to visit the node  $n$  and " $n$  is visited" or " $n$  is unvisited" to check whether the node  $n$  has been visited. You can also use an English phrase to get all the neighbors of a given node.

```
/** Return true if t is reachable along a completely
    unvisited path from s to t. */
public boolean reachable(Node s, Node t) {
    if (s is visited) return false;
    if (s == t) return true;
    visit s;
    for each neighbor w of s {
        if (reachable(w,t)) return true;
    }
    return false;
}
```

## 7. Sorting (12 Points)

(a) 4 points The following implementation of quicksort has two lines with errors. Cross out the two incorrect lines and rewrite them correctly. The specification of `partition` is shown in part (b).

```
/** quicksort b[h..k]. */
public static void QS(int[] b, int h, int k) {
    if (k - h == 1) return;      if (h >= k) return;
    int j= partition(b, h, k);
    QS(b, h, j - 1);
    QS(b, j, k);      QS(b, j + 1, k);
}
```

(b) 8 points Below is the header of method `partition`, using assertions `Pre` and `Post` on the right.

```
/** Given Pre, swap values of b[h..k] to
 * truthify Post and return j. */
static int partition(int[] b, int h, int k)
```

Pre:  $b$ 

$h$	$x$	$?$	$k$
-----	-----	-----	-----

Post:  $b$ 

$h$	$\leq x$	$j$	$\geq x$	$k$
-----	----------	-----	----------	-----

Method `partition` will use a loop with the same precondition but postcondition `Post1` and invariant `Inv1`, shown to the right. Then one more statement truthifies `truthify Post`. Below, you write the method body in steps—all except the final return statement.

Pre:  $b$ 

$h$	$x$	$?$	$k$
-----	-----	-----	-----

Post1:  $b$ 

$h$	$x$	$\leq x$	$j$	$\geq x$	$k$
-----	-----	----------	-----	----------	-----

Inv1:  $b$ 

$h$	$x$	$\leq x$	$t$	$?$	$j$	$\geq x$	$k$
-----	-----	----------	-----	-----	-----	----------	-----

(b1) 2 points Write the loop initialization.

$t = h + 1; j = k;$

(b2) 2 points Write the loop condition (do not write “while”).

$t \leq j$

(b3) 4 points Write the repetend. You can use a “Swap” statement.

$\text{if } (b[t] \leq b[j]) \{t = t + 1;\}$   
 $\text{else } \{\text{Swap } b[t] \text{ and } b[j]; j = j - 1;\}$

(b4) 4 points Write a statement that, given `Post1` true, truthifies `Post`.

Swap  $b[h]$  and  $b[j];$