

Prelim 2 Solution

CS 2110, 21 November 2019, 7:30 PM

	1	2	3	4	5	6	7	Total
Question	Name	Short Answer	Heaps	Trees	Collections	Graphs	Sorting	
Max	1	30	12	16	13	16	12	100
Score								
Grader								

The exam is closed book and closed notes. Do not begin until instructed.

You have **90 minutes**. Good luck!

Write your name and Cornell **NetID**, **legibly**, at the top of the first page, and your Cornell ID Number (7 digits) at the top of pages 2-7! There are 7 questions on 7 numbered pages, front and back. Check that you have all the pages. When you hand in your exam, make sure your pages are still stapled together. If not, please use our stapler to reattach all your pages!

We have scrap paper available. If you do a lot of crossing out and rewriting, you might want to write code on scrap paper first and then copy it to the exam so that we can make sense of what you handed in.

Write your answers in the space provided. Ambiguous answers will be considered incorrect. You should be able to fit your answers easily into the space provided.

In some places, we have abbreviated or condensed code to reduce the number of pages that must be printed for the exam. In others, code has been obfuscated to make the problem more difficult. This does not mean that it's good style.

Academic Integrity Statement: I pledge that I have neither given nor received any unauthorized aid on this exam. I will not talk about the exam with anyone in this course who has not yet taken Prelim 2.

(signature)

1. Name (1 point)

Write your name and NetID, **legibly**, at the top of page 1. Write your Student ID Number (the 7 digits on your student ID) at the top of pages 2-7 (so each page has identification).

2. Short Answer (30 points)

(a) **True / False (8 points)** Circle T or F in the table below.

(a)	T	F	Swapping a parent and a child in a BST can break the BST invariant, just as doing so in a heap can break the heap-order invariant. True.
(b)	T	F	In a sparse graph, an algorithm whose running time is proportional to $ E $ would be slower than one whose running time is proportional to $ V $. False. In a sparse graph, E is $O(V)$.
(c)	T	F	To support for-each loops, a class must implement <code>Iterable</code> and have methods <code>hasNext()</code> and <code>next()</code> . False. Those are methods of <code>Iterator</code>. An <code>Iterable</code> just returns an <code>Iterator</code>.
(d)	T	F	Declaring a variable of type <code>List<int></code> will cause a compile-time error. True. Primitives cannot be used with generics.
(e)	T	F	Since quicksort and mergesort both recursively sort sub-lists, they both have worst-case running time $O(n \log n)$. False. Quicksort's worst case is $O(n^2)$
(f)	T	F	If a graph has no cycles, then it is a tree. False. Trees must be connected.
(g)	T	F	Determining whether two nodes are connected by an edge is slower with adjacency lists than adjacency matrices. True. It takes $O(1)$ time with an adjacency matrix and $O(n)$ time with an adjacency list.
(h)	T	F	An algorithm that takes time $3n^2 + n \log n$ has the same big-O complexity as one that takes time $\frac{n^3}{n-1}$. True. Both functions are $O(n^2)$.

(b) **Complexity (3 points)** For each of the functions f below, state a function g such that f is $O(g)$ where $O(g)$ is as simple and tight as possible. For example, one could say that $f(n) = 2n^2$ is $O(n^3)$ or $O(2n^2)$, but the required answer is $O(n^2)$.

1. $f(n) = 100n + \frac{1}{2}n^2$ **$O(n^2)$**
2. $f(n) = \log n + n^{50} + 2^n$ **$O(2^n)$**
3. $f(n) = \frac{-7n^2 + n^5 + 12n}{2n^2}$ **$O(n^3)$**

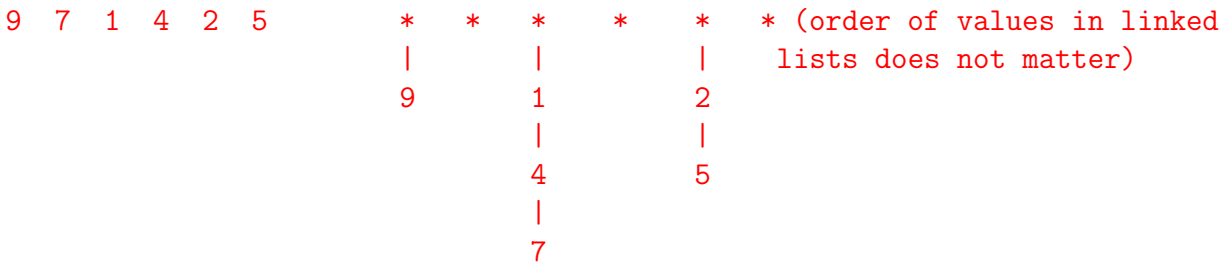
(c) **GUI (3 points)** What layout manager is associated with a `JFrame`? How are added components placed in the `JFrame`? **The `BorderLayoutManager` is the default manager for a `JFrame`. At most five components can be placed in a `JFrame`: in the Center, North, South, East, and West.**

(d) **Hashing (6 points)** Consider a hash table of size 6, with elements numbered in 0..5. The hash function being used is:

$$H(k) = 2k.$$

Consider inserting these values into it, in order: 1, 9, 2, 5, 4, 7.

To the left, draw the table after inserting the values using open addressing with linear probing. To the right, draw the table after inserting the values (into an empty table) using chaining. Draw the linked lists as simply and as clearly as possible.



(e) **Anonymous functions (6 points)** Below, write an anonymous function that is equivalent to the function to the right:

`b -> b > 0 ? 1 : -1;`

```
int sign(int b) {
    return b > 0 ? 1 : -1;
}
```

State the property that an interface `IN` must satisfy in order to be used in an assignment statement like the one to the right:

`IN x= an anonymous function;`

IN must have exactly one abstract method.

(f) **Method calls (4 points)** Write the steps in evaluating this new-expression:

`new C(5, new D())`

1. Create (draw) an object of class `C`.
2. Execute the constructor call `C(5, new D())`.
3. Give as value of the new-expression the name of (pointer to) the newly created object.

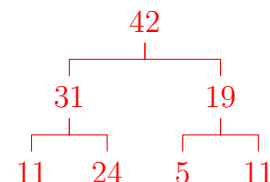
3. Heaps (12 Points)

(a) **2 points** Suppose array $b[0..n-1]$ is a heap. To the right, write the index of the right child of node $b[i]$ (assuming it has one):

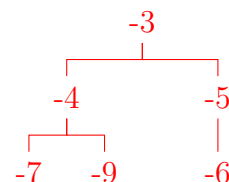
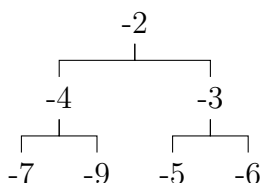
Answer

$2i + 2$

(b) **6 points** To the right, draw the max-heap formed by inserting the following integers in order: `[31, 11, 5, 24, 42, 11, 19]`



(c) **4 points** To the right, draw the max-heap that results from calling `poll()` on the following max-heap:



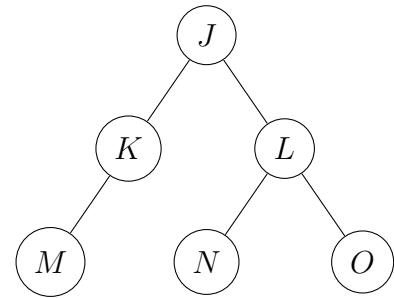
4. Trees (16 Points)

(a) **6 points** Write the inorder and preorder traversals of tree to the right:

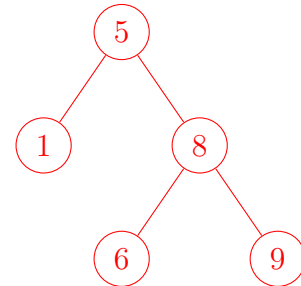
inorder: **M K J N L O**

preorder: **J K M L N O**

postorder: **M K N O L J**



(b) **4 points** To the right, construct a BST, adding nodes in the following order: [5, 1, 8, 6, 9].



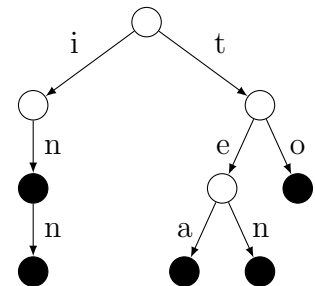
(c) **2 points** What is the worst-case time complexity for the following, given a BST of n nodes?

Checking if a number is in the BST: **$O(n)$**

Computing the depth of the BST: **$O(n)$**

(d) **4 points** The *trie* data structure is a tree that maintains a set of words. Each edge is labeled with a lower-case letter, in **a..z**, so a node has at most 26 children.

Each node contains a boolean value to indicate whether the path from the root to that node represents a complete word. E.g. this allows both "in" and "inn" to be words, shown to the right. Here, the black nodes represent words in the set {"in", "inn", "tea", "ten", "to"}.



To look up a word like "inn", begin at the root and follow the path of letters in the word. Assume that the child of a node corresponding to a letter can be referenced in constant time (the child is either a node or null, in case the child is empty).

Consider a trie with n strings of maximum length m . What is the tightest Big-O worst-case complexity of determining whether the trie contains a given word of length k ? (Give your answer in terms of m , k , and/or n .) **$O(\min(m, k))$ — $O(m)$ or $O(k)$ get 1/2 credit**

5. Collections (13 Points)

(a) **5 points** Here are a few collection classes we have discussed:

`LinkedList`, `HashSet`, `ArrayList`, `Heap` (without the extra `HashMap` used in A5)

For each of the following operations with the given data structure and size, give the tightest bound you can on worst-case time complexity. The answers are all one of: $O(1)$, $O(\log n)$, $O(n)$, $O(n \log n)$, $O(n^2)$, $O(n^3 \log n)$, and $O(2^n)$.

- I. Binary search on an array of size 2^n . $O(n)$
- II. Merge sort on an ArrayList of size n^3 . $O(n^3 \log n)$
- III. Remove an element from a HashSet (using chaining) with size n^2 . $O(n^2)$
- IV. Determine if an element with given value is in a max-Heap of size n . $O(n)$
- V. bubbleUp in a max-Heap of size n . $O(\log n)$

(b) 8 points We want a list that supports insertion and deletion at both ends—that's like a *queue* and a *stack* together, so we call it a **Quest**. The start of the class declaration appears to the right, showing its fields. Assume the rest of the methods are there.

```

/* TODO 5 */
public class Quest<T> implements Iterable<T> {
    /** Class invariant:
     * c[0..numb-1]: the elements of the list.
     * c[0]: the first element.
     * c[numb-1]: the last element. */
    private ArrayList<T> c;
    private int numb;
    ...

```

Your job is to make this class **Iterable**. Below, we have stubbed in method **iterator** and a field and methods in class **QuestIt**; these go in class **Quest**, of course. Complete (1) the body of method **iterator**; (2) the invariant for class **Quest**, thus defining field **k**; (3) the body of method **hasNext**; (4) the rest of the body of method **next**; —the latter two being written according to what you wrote for a class invariant. Finally, (5) Fix the first line of the declaration of class **Quest**, above to the right.

```

/** = an iterator over elements of this Quest. */
public Iterator<T> iterator() {
    /*TODO 1:*/ return new QuestIt();
}

/** An iterator over elements of this Quest. */
private class QuestIt implements Iterator<T> {
    /*TODO 2:*/
    // 0 <= idx <= numb; elements c[k..numb-1] remain to be enumerated.
    private int k= 0;

    /** = there is another value to be enumerated. */
    public @Override boolean hasNext() {
        /*TODO 3:*/ return k < numb;
    }

    /** Enumerate (return) the next value to be enumerated. <br>
     * Throw a NoSuchElementException if there is none. */
    public @Override T next() {
        if (!hasNext()) throw new NoSuchElementException();
        /*TODO 4:*/ k = k + 1 ; return c.get(k - 1);
    }
}

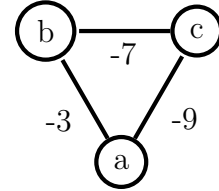
```

6. Graphs (16 Points)

(a) **1 point** One of BFS and DFS has a natural recursive implementation. Circle it:

BFS DFS **DFS should be circled**

(b) **4 points** For Dijkstra's shortest-path algorithm to work, all edge weights must be positive. To the right is a graph with negative edge weights. Cycles are allowed in paths. Below is the settled set, frontier frontier, and d-values after initialization and after the first iteration.



	Settled set	Frontier set	d-values
Initially:	{ }	{c}	d[c] = 0
After 1 iteration:	{c}	{a, b}	d[c] = 0, d[b] = -7, d[a] = -9

The algorithm was developed in terms of three invariants and a theorem proved from the invariant. At least one of these four parts is not true after the first iteration. State one part that is not true after the first iteration and explain why.

There is no shortest path from c to any node, e.g. b, because one can always extend that path with the cycle (b, a, c, b), decreasing the path length by 19. Therefore: (1) The first invariant, which says that $d[c] = 0$ is the length of the shortest path from c to c, is false. (2) Similarly, the second invariant about the frontier set is false. (3) The theorem, which says that $d[a] = -9$ is the shortest path length from c to a, is false.

(c) **4 points** Both Kruskal and Prim's algorithms are additive, i.e. starting with no edges, edges are added one by one. Explain why an additive algorithm is better than a subtractive algorithm in terms of number of operations.

A dense graph with n nodes has $O(n^2)$ edges; a spanning tree has $n - 1$ edges. Therefore, a subtractive algorithm has to remove $O(n^2)$ edges. The additive algorithms only add $n - 1$ edges.

(d) **7 points** Complete the following method. Make it recursive. You can write "visit n" without explaining how to visit node n and " n is visited" or " n is unvisited" to check whether node n has been visited. You can also use an English phrase to get all the neighbors of a given node.

```
/** Return true if t is reachable along an unvisited path from s to t.
 * Precondition: s is unvisited. */
public boolean reachable(Node s, Node t) {
    if (s == t) return true;
    visit s;
    for each neighbor w of s {
        if (w is unvisited && reachable(w,t)) return true;
    }
    return false;
}
```

7. Sorting (12 Points)

(a) 4 points The following implementation of quicksort has two lines with errors. Cross out the two incorrect lines and rewrite them correctly. The specification of `partition` is shown in part (b).

```
/** quicksort b[p..q]. */
public static void QS(int[] b, int p, int q) {
    if (q == 1 + p) return;          p >= q
    int j = partition(b, p, q);
    QS(b, p, j);          QS(b, p, j-1);
    QS(b, j+1, q);
}
```

(b) 8 points Below is the header of method `partition`, using assertions `Pre` and `Post` on the right.

```
/** Given Pre, swap values of b[p..q] to
 * truthify Post and return j. */
static int partition(int[] b, int p, int q)
```

Method `partition` will use a loop with the same pre-condition but postcondition `Post1` and invariant `Inv1`, shown to the right. Then one more statement truthifies `truthify Post`. Below, you write the method body in steps—all except the final return statement.

(b1) 1 point Write the loop initialization.

`t = p; j = q;`

(b2) 1 point Write the loop condition (do not write “while”).

`t < j`

(b3) 4 points Write the repetend. You can use a “Swap” statement.

`if (b[t+1] ≤ b[p]) {t = t + 1;}`
`else {Swap b[t+1] and b[j]; j = j - 1;}`

(b4) 2 points Write a statement that, given `Post1` true, truthifies `Post`.

`Swap b[p] and b[j];`

Pre: b

p	q
x	?

Post: b

p	j	q
$\leq x$	x	$\geq x$

Pre: b

p	q
x	?

Post1: b

p	j	q
x	$\leq x$	$\geq x$

Inv1: b

p	t	j	q
x	$\leq x$?	$\geq x$