Modeling Melodic Dictation

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Contents

```r
library(tidyverse)
```

```
## -- Attaching packages --------------------------------- tidyverse 1.2.1 --

## v ggplot2 3.1.0     v purrr   0.2.5
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## v tidyr   0.8.2     v stringr 1.3.1
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## -- Conflicts ------------------------------------ tidyverse_conflicts() --
## x dplyr::filter() masks stats::filter()
## x dplyr::lag()    masks stats::lag()
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Chapter 1

Significance of the Study

1.1 Rationale

All students pursing a Bachelor's degree in Music from universities accredited by the National Association of Schools of Music must learn to take melodic dictation (**?**, §VIII.6.B.2.A). Melodic dictation is a cognitively demanding process that requires students to listen to a melody, retain it in memory, and then use their knowledge of Western musical notation in order to recreate the mental image of the melody on paper in a limited time frame. As of 2019 there are 644 Schools of Music belonging to National Association of Schools of Music (NASM)[1], meaning that hundreds of students every year will be expected to learn this challenging task as part of their aural skills education. The implicit logic is that as one improves in their ability to take melodic dictation, this practice of critical and active listening develops one's ability to "think in music" (**??**) and thus become a more competent musician.

Despite its ubiquity in curricula within School of Music settings, research on topics pertain to how aural skills are acquired is limited at best. The fields of music theory and cognitive psychology are best positioned to make progress on this question, but often the skills required to be well versed in their of these subjects are disparate, published in separate journals, and the research with overlap is scarce. This problem is not new and there have been repeated attempts to bridge the gap between practitioners of aural skills and people in cognitive psychology (**??????**). Literature from music theory has established conceptual frameworks regarding aural skills (**?**)[2], cognitive psychology literature has explored factors that might contribute to melodic perception (**????**), and applied literature from the field of music education (**???**).

However, despite these isolated areas of research, we as music researchers, do not have an a concrete understanding of exactly what contributes to the process of how individuals learn melodies (**?**). This is peculiar since "how does one learn a melody?" seems to be one of the fundamental questions to the fields of music theory, music psychology, as well as music education. This chasm in the literature also raises a disconcerting question among pedagogy:

> If we as pedagogues do not have a in-depth understanding of how people learn melodies, how can we fairly assess what students can be expected to do and then grade them fairly on their attempts?

While no single dissertation can solve any problem completely, this dissertation aims to fill the gap in the literature between aural skills practitioners and music psychologists in order to reach conclusions that can be applied systematically in pedagogical contexts. I do this by synthesizing literature from both music theory and music cognition in order to demonstrate how tools from both cognitive psychology as well as computational musicology can be used to help inform pedagogical practices.

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[1]For a list of Schools, please look here

[2]More aural skills textbooks here

1.2   Chapter Overview

In this first chapter, I introduce the process of melodic dictation and discuss factors that would presumably could play a role in taking melodic dictation building off of the work of Gary Karpinski (**??**) The chapter introduces both a theoretical background and rationale for using methods from both computational musicology and cognitive psychology in order to answer questions about how individuals learn melodies. In order to organize the disparate literature, I put forward a taxonomy of factors that are assumed to contribute to an individual's ability to take melodic dictation and discuss each in turn. This chapter outlines the factors hypothesized to contribute to an individual's ability to learn melodies, incorporating both individual and musical parameters. I conclude the chapter with a discussion of some philosophical and theoretical problems when attempting to measure issues concerning melodic dictation and argue for the advantages of answering this problem using a polymorphic view of musicianship (**???**).

The second chapter of my dissertation investigates individual factors that are theorized to contribute to melodic dictation. I argue that since the first two steps of Karpinski's model of melodic dictation do not require any musical training, teasing apart the individual factors that contribute to melodic dictation can be done using a memory for melodies paradigm and structural equation modeling. I interpret the results of the data and analysis to highlight the importance of working memory processes in melodic dictation. The chapter corroborates claims by **?** on the importance of understanding differences in working memory capacity and establishes rationale for including it as a variable of interest in future chapters.

The third chapter of the dissertation discusses how aural skills pedagogy could benefit from using methodologies computational musicology in order to inform their practice. The chapter begins by establishing the degree to which aural skills pedagogues agree on the difficulty of melodies for melodic dictation using a small survey. I then show how different sets of tools from computational musicology can approximate the intuitions of aural skills pedagogues from the survey. The chapter concludes by putting forward a novel theory of musical memory– The Frequency Facilitation Hypothesis– derived from computational methodologies. I show how this hypothesis can be applied in pedagogical settings to create a more linear path to success in the aural skills classroom among students.

In my fourth chapter, I introduce a novel corpus of 783 digitized melodies encoded in the `**kern` format. This chapter, encapsulating the encoding process, the sampling criteria, and the situation of corpus methodologies within the broader research area, will go over summary data and also discuss how the corpus could be used to generate hypotheses for future experiments. This dataset will serve as a valuable resource for future researchers in music, psychology, and the digital humanities.

In the fifth chapter, I will synthesize the previous research in a series of melodic dictation experiments. Stimuli for the experiments are selected based on the abstracted, computational features of the melodies discussed in earlier chapters and are manipulated as independent variables based on the previous theoretical literature. I then model responses from the experiments using both individual factors and musical features using mixed-effects modeling in order to predict how well an individual performs in behavioral tasks. In discussing the results, I also note important caveats in scoring melodic dictation to discuss similarities between the correct answer and an individual's attempts at dictation. Results from the final chapter will be discussed with reference to how findings are applicable to pedagogues in aural skills settings.

Finally, in my sixth chapter, I introduce a computational, cognitive model of melodic dictation with the goal of helping explain how students improve at melodic dictation. The model is based in research from both cognitive psychology (**?**) and computational musicology (**?**) and incorporates relevant theoretical aspects such as working memory (**??**) and the structure of the melody itself. In this chapter I demonstrate how modeling the cognitive decision process during melodic dictation helps provide a precise framework for pedagogues to understand student's inner cognition during melodic dictation and can help inform teaching practice.

1.3 Dissertation Output

1.3.1 Reserach Papers

- SEM Paper in ICMPC
- Aural Skills Paper ICMPC
- Aural Skills Textbook
- Goldsmiths Replication

1.3.2 Research Presentations

- SEM Paper at ICMPC
- Aural Skills Poster
- Milton Keynes Talk
- Public Musicology
- Again and Again?

Chapter 2

Theoretical Background and Rationale

2.1 What is melodic dictation? and Why?

Melodic dictation is the process in which an individual hears a melody, retains it in memory, and then uses their knowledge of Western musical notation to recreate the mental image of the melody on paper in a limited time frame. For many, becoming proficient at this task is at the core of developing one's aural skills (**?**). For over a century, music pedagogues have valued melodic dictation[1] which is evident from the fact that most aural skills texts with content devoted to honing one's listening skills have sections on melodic dictation (**?**). Additionally, any school accredited by the National Association of Schools of Music in North America requires students to learn this skill (**?**, §VIII.6.B.2.A).

Yet despite this tradition and ubiquity, the rationales as to *why* it is important for students to learn this ability often comes from some sort of appeal to tradition or underwhelming anecdotal evidence. The argument tends to go that time spent learning to take melodic dictation results in increases in near transfer abilities after an individual acquires a certain degree of proficiency learning to take melodic dictation. Rationales given for why students should learn melodic dictation has even been described by Gary Karpinski as being based on "comparatively vague aphorisms about mental relationships and intelligent listening" (**?**, p.192), thus leaving the evidence for the argument for learning to take melodic dictation not being well supported.

Some researchers have taken a more skeptical stance and asserted that the rationale for why we teach melodic dictation deserves more critique. For example, Klonoski in writing about aural skills education aptly questions "What specific deficiency is revealed with an incorrect response in melodic dictation settings?" (**?**). Earlier researchers like Potter, in their own publications, have noted how they have been baffled that many musicians do not actually keep up with their melodic dictation abilities after the class ends (**?**), but presumably go on to have successful and fulfilling musical lives. Additionally, suggesting that people who can hear music and then are unable to write it down, thus are unable to think *in* music (**?**), seems somewhat exclusionary to musical cultures that do not depend on any sort of written notation.

Though despite this skepticism towards the topic, melodic dictation remains at the forefront of many aural skills classrooms. The act of becoming better at this skill may or may not lead to large in increases in far transfer of ability, but used as a pedagogical tool, teaching students to take melodic dictation brings with it concepts that have been deemed relevant to the core of undergraduate music training. While there has

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[1]In his highly influential book *Aural Skills Acquisition: The Development of Listening, Reading, and Performing Skills in College-Level Musicians*, **?** documents this sentiment in music pedagogy circles by highlighting poetic adages from Romantic composer Robert Schumann in the mid 19th century through 21st century music educator Charles Elliott in the opening of his book, thus providing concrete examples of the belief that improving one's aural skills, or *ear*, is a highly sought after advanced skill.

not been extensive research on melodic dictation research in recent years– in fact **?** notes that since 2000, only four studies were published that directly examined melodic dictation– this skill set sits on the border between literature on learning, melodic perception, memory, and music theory pedagogy. Understanding and modeling exactly how melodic dictation works remains as a untapped watershed of knowledge for the field of music theory, music education, and music perception and is deserving of much more attention.

In this chapter I examine literature both directly and indirectly related to melodic dictation by first reviewing the prominent four step model put forth by Karpinski in order to establish and describe what melodic dictation is. After describing his model, I then critique what this model lacks and clarify what is missing by providing a taxonomy of parameters that presumably would contribute to an individual's ability to take melodic dictation. Using this taxonomy, I then review relevant literature and assert that the next steps forward in understanding how melodic dictation works come from examing the process both experimentally and computationally. It has been nearly two decades since *Aural Skills Acquistion* was first published as the first major step to finally build a bridge between the field of music cognition and music theory pedagogy (**???**) and as with all public works, they need to be maintained?[2]

2.1.1   Describing Melodic Dictation

Much of the foundational theoretical work on the topic of melodic dictation comes from Gary Karpinski. Summarized most recently in his *Aural Skills Acquisition* (**?**)– though first presented in an earlier article (**?**)– Karpinski proposes a four-step model of melodic dictation.[3]

The four steps of Karpinski's model include

1. Hearing
2. Short Term Melodic Memory
3. Musical Understanding
4. Notation

and occur as a looping process depicted in Figure 2.1. The model is discussed extensively in both this original article (**?**) and throughout the third chapter in his book (**?**).

Karpinski's **hearing** stage involves the initial perceptions of the sound at the psychoacoustical level and the listener's *attention* to the incoming musical information. If the listener is not actively engaging in the task because of factors such as "boredom, lack of discipline, test anxiety, attention deficit disorder, or any number of other causes" then any further processes later down the model will be detrimentally effected. Karpinski notes that these types of interferences are normally "beyond the traditional jurisdiction of aural skills instruction", but I will later argue that the concept of willful attention, when re-conceptualized as working memory, may actually play a larger role in the melodic dictation process than is claimed here.

The **short-term melodic memory** stage in his process is where musical material is held in active memory. From Figure 1 it appears that the stage is not conceptualized as an active process where something like active rehearsal would occur, but rather just consists soley of passive mental representation. Though Karpinski does not posit any sort of active process in the short term melodic memory stage, he does suggest there are two separate memory encoding mechanisms, one for contour, and one for pitch. He arrives at these two mechanisms by using both empirical qualitative interview evidece as well as noting literature from music perception that supports this claim for contour (**??**) and literature suggesting that memory for melodic material is dependant on enculturation (**???**). Since its publication in 2000, this area of research has expanded with other reearchers also demonstrating the effects of musical acculturation via exposure (**???**).

In describing the short term melodic memory stage, Karpinksi also details two processes that he believes to be nesscary for this part of melodic dictation: extractive listening and chunking. Noting that there is probably some sort of capacity limit to the perception of musical material, citing Miller (**?**), Karpinski explains how

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[2]Yes I know this is an awful metaphor and I will change it eventually

[3]This four stage process sythesizes earlier research where in Karpinski 1990 he notes two other models of melodic dictation, one from Rogers where he says there are 2 processes, another from Thomas who says there are 15
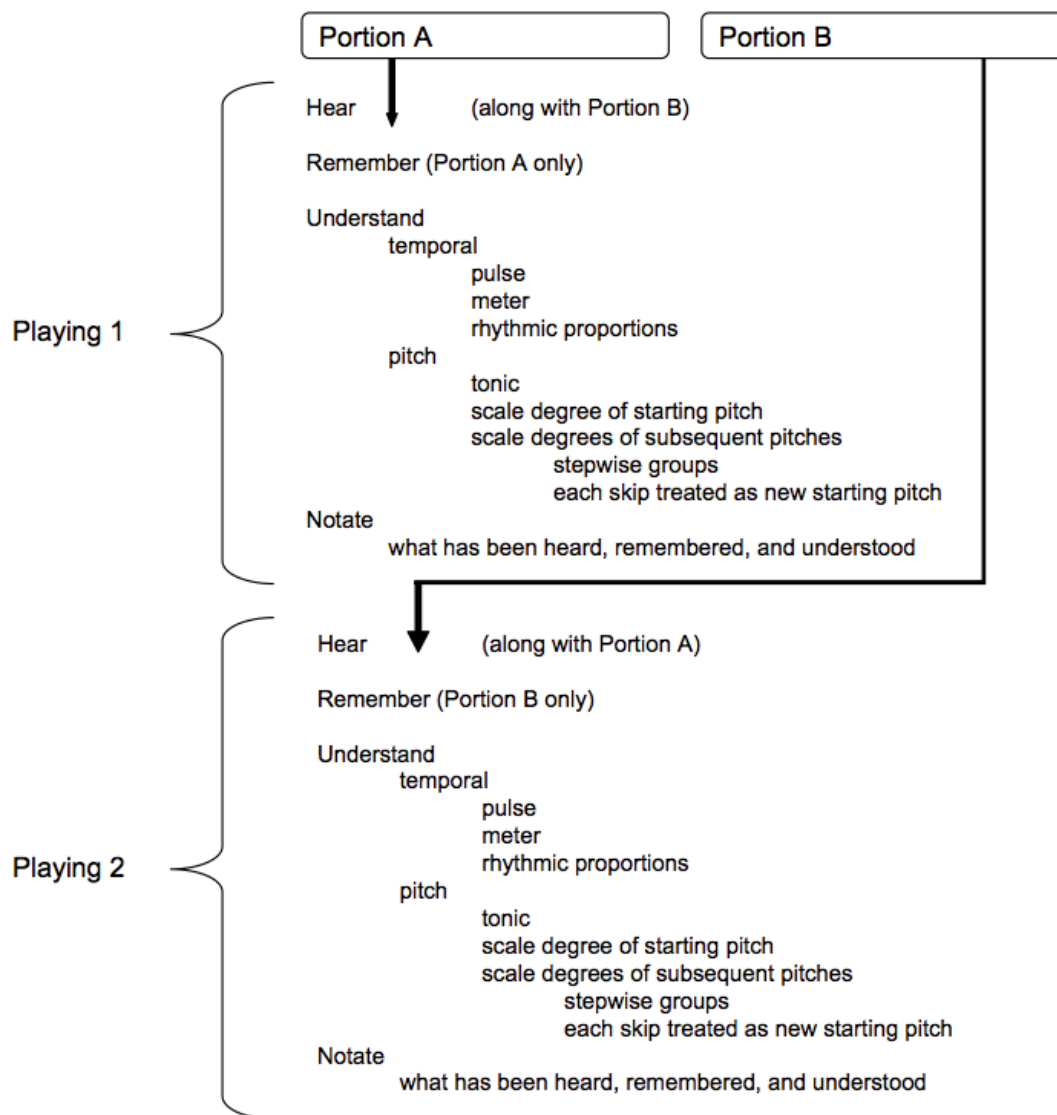
Figure 2.1: Karpinski Idealized Flowchart of Melodic Dictation

each strategy might be used. Extractive listening is the process in which someone dictating the melody will selectivly remember only a small part of the melody in order to lessen the load on memory. Chunking is the process in which smaller musical elements can be fused together in order to expand how much information can be actively held in memory and manipulated. The concept of chunking is very helpful as a pedagogical tool, but as detailed below, is a complicated concept to pin down how it works.

After some musical material is extracted, then represented in memory, the next step in the process is **musical understanding**. At this point in the dictation the dictator needs to take the extracted musical material that is represented in memory and the use their music theoretic knowledge in order to comprehend any sort of hierarchical relationships between notes, common rhythmic groupings, or any sorts of tonal functions. This is the point in the process where solimization of either or both pitch and rhythm, and musical material might be understood in terms of relative pitch. In the model solimization takes place later, but it is worth questioning if it is possible to dissacociate relative pitch relations from the qualia of the tones themselves (**?**). For Karpinski, the more quickly what is represented in musical memory can be understood, the more quickly it can then be tranlated at the final step of notation.

Notation, the final step of the dictation loop, requires that the individual taking the notation have sufficient knowledge of Western musical notation so that they are able to translate their musical understanding into written notation. This last step is ripe for errors and has proved problematic for researchers attempting to study dictation (**??**). It is also worth highlighting is that it is difficult to notate musical material if the individual who is dictating does not have the requisite musical category and knowledge for the sounds. Lack of this knowledge will limit an individual's ability to translate what is in their short term melodic memory into notation, even if it is perfectly represented in memory!

The final parts of the chapter, Karpinski notes that other factors like **tempo**, the **length and number of playings**, and the **duration between playings** will also play a role in determining how an individual will perform on a melodic dictation. While this framework can help illuminate this cognitive process and help pedagogues understand how to best help their students, presumably there are many more factors that contribute to this process. The model as it stands is not detailed enough for explanatory purposes and lacks in two areas that would need to be expanded if this model were to be explored experimentally and computationally.

First, having a single model for melodic dictation assumes that all individuals are likely to engage in this sequential ordering of events. This could in fact be the case[4], but there is research from music perception (**?**) and other areas of memory psychology such as work on expert chess players (**?**) that suggests that as individuals gain more expertise, their processing and categorization of information changes. Additionally, different individuals will most likely have different experiences dictating melodies based on their own past listening experience, an area that Karpinski refers to when citing literature on musical enculturation based on statistical exposure. The model does not have any flexibility in terms of individual differences.

Second, the model presumes the same sequence of events for every melody. As a general heurstic for communicating the process, this process is generalizable, but intuition would suggest that treating all melodies the same is not going to lead to having a robust model. For example, on page 103, Karpinski suggest that two listenings should be adequate for a listener with few to no chunking skills to listen to be able to dictate a melody of twelve to twenty notes. This process might generalize to many tonal melodies, but presumably different strategies in recognition would be involved in dictating the two melodies of equal length shown in Figure 2.2 and 2.3.

Presumably different people with different levels of abilities will perform differently on different melodies and while helpful as a pedagogical tool, this one size-fits-all approach to melodic dictation is not robust. This agnosticism for both variability for melodic and individual differences serves as a stepping off point for this study. In order to have a more through understanding of melodic dictation, there needs to be a model that is able to accomodate the exhaustive differences at both the individual and musical levels. Additionally, the model should be able to be operationalized so that it can be explored in both experimental and computational settings. By explicitly stating variables thought to contribute and noting how melodic dictation works, it

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[4]And in his Figure 3.1 he does caption it as an *idealized* dictation process

Figure 2.2: Tonal Melody

Figure 2.3: Atonal Melody

will give the community a better sense of the melodic dictation process, which will then enable a more through understanding of melodic perception and subsequently allow for better teaching practices in aural skills classrooms.

At this point, it is worth stepping back and noting that the sheer amount of variables at play here is cumbersome and almost haphazard. In order to better understand and organize factors thought to contribute to this process, it would advantagous for future research to taxonomize the multitude of features thought to contribute to melodic dictation. In doing this, it wil allow for a clearer picture of what factors might contribute and what literatures to explore in order to learn more about them.

The taxonomy that I propose appears in Figure 2.4 and bifucates the possible factors thought to affect an individual's ability to take melodic dictation into two categories: **individual** parameters and **musical** parameters. Each of these two categories can then be split again into **cognitive** and **environmental** parameters as well as **structural** and **experimental factors** respectively. Below I expand on what these categories entail, then explore each in depth.

The **individual** parameters split broadly into **cognitive factors**, or factors of people that are relatively consistent with people over time and could be understood as largely being governed by *nature*. The other category of this division consist of factors that change with training and exposure and could be understand as largely being governed by *nurture*. This second set of parameters are the **environmental** factors. These categories are not deterministic, nor exclusive, and almost inevitably interact with one another.[5]

For example, it would be possible to imagine an individual with higher cognitive ability, the oppertunity to have a high degree of training early on in their musical career, and personality traits that are associated with higher learning aptitudes. This individual's musical perception abilities might be markedly different than someone with lower cognitive abilities, no oppertunity for individualized training, come from a lower socio-economic status, and not have a general inclination to even take music lessons. This variability at the individual level might then lead to differences in their ability to take melodic dictation.

Complementing the individual differences, there would also be differences at the **musical** level which in turn divides into two categories. On one hand exists the **structural aspects** of the melody itself. These

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[5]Could footnote this interaction and talk about how AP people generally need to have genetic predisposition, fixed pitch instrumetn in house, and start at early age CITATION FOR THAT?
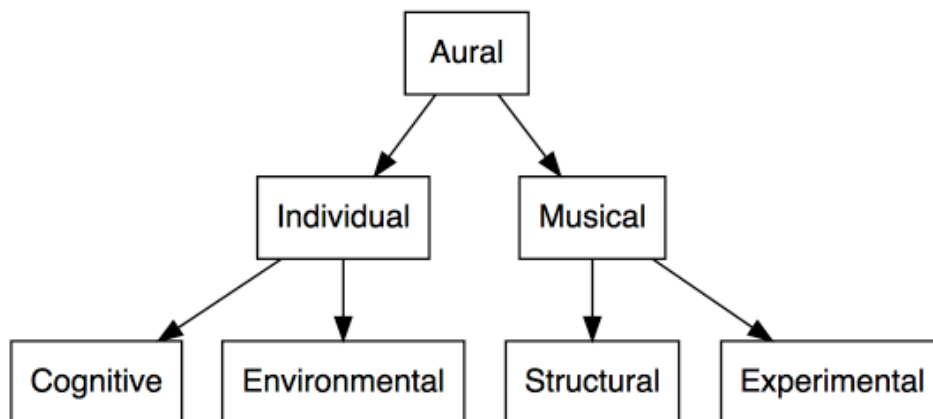
Figure 2.4: Taxonomy of Factors Contributing to Aural Skills

are aspects of the melody that would remain invariant when written down on a score. Parameters in this category would include features generated by the interval structure of the pitches over time that allow the melody to be categorically distinct from other melodies. These structural features are then complimented by the **experimental features** which are emergent properties of the structrual relation of the pitches over time based on performance pratice choices. Examples of these parameters would include, key, tempo, note density, timbral qualities, and the amount of times a melody is played during a melodic dictation or emergent properties like a melody's tonalness as computed through various metrics. This division is not an exhaustive, categorical divide. One could imagine exceptions to these rules where a melody is tranformed to the minor key, ornamented, and then played with extenive rubato and experienced as a phenomenologically similiar experience.

Given all of these parameters that could contribute to the melodic dictation process, the remainder of this chapter will exploring literature using this taxonomy as a guide. The chapter conculdes with a reflection on operationalizing each of these factors and problems that can arise in modeling and reminds the reader about the dangers of statistical reification. These are important to note since from an empirical standpoint, both the task as well as the process of melodic dictation as depicted by Karpinksi resemble something that could be operationalized as both an experiment, as well as a computational model and if understood this way will be subjectd to the same types of critique.

2.2   Individual Factors

2.2.1   Cognitive

Research from cognitive psychology suggests that individuals differ in their perceptual and cognitive abilities in ways that are both stable throughout a lifetime and are not easily influened by short term traning. When investigated on a large scale, these abilities– such as general intelligence or working memory capacity– predict a wealth of human behavior on a large scale ranging from longevity, annual income, ability to deal with stressful life events, and even the onset of Alzheimer's disease (**??**). Given the strength and generality of

these predictors, it is worth investigating the extent that these abilities might contribute when investigating any modeling of melodic dictation. It is imporant to understand the degree to which these cognitive factors might influence aural skills abilities in order to ensure that the types of assesments that are given in music schools validly measure abilities that individuals have the ability to improve upon. If it is the case that much of the variance in a student's aural skills grades can be attributed to something the student has little control over, this would call for a serious upheaval of the current model of aural skills teaching and assesment.

Recently there has been a surge of interest in this area [6] which could be attributed to the fact that educators are picking up on the fact that cognitive abilities are powerful predictors and need to be understood since they inevitably will play a role in pedagogical settings.

Before diving into a discussion regarding differences in cognitive ability, I should note that sometimes ideas regarding differences in cognitive ability been hostily received (citation against people talking about IQ) and for good reasons. Research in this area can and has been taken advantage to further specious ideologies, but often arguments that assert meaningful differences in cognitive abilities between groups are founded on statistical misunderstandings and have been debunked in other literature (**?**). Considering that, it then becomes very difficult to maintain a scientific commitment to the theory of evolution (**?**) and not expect variation in all aspects of human behavior, with cognition falling within that umbrella. Even given this statement, measuring a theoretical construct such as an aspect of cognition desereves to be examined since the ability to validly and reliably measure an individual's cognitive ability is a fundmental assumption of this study.

2.2.2 Measuring Intelligence

Attempting to measure and quantify aspects of cognition go back over a century. Even before concepts of intelligence were posited by Charles Spearman and his conception of g (**?**), scientists were interested in establishing links between an individual's mental capacities and some sort of physical manifestation. The origins of this area of research have been critiqued on the basis that the early work implicitly tended to validate preconceptualized beliefs on the superiority of certain groups of peoples and used methodlogies that today would be considered risible.

- For example, BROCA thought he could get at intelligence by measuring skulls AND MORTON
- Or Spitzka who post hoc measured eminance and brain size page 127

While not immediatly relevant to current thinking in cognitive psychology, work from both Broca and XXX was continued by the American herediterian school of IQ (page 187 in Gould) and the early research done by Alfred Binet on IQ took inspiration from Broca. This lineage of ideas has often been used to tarnish systematic investigations into differences in cognitive ability, which from their outset were to initially funded by the French governement to identify children struggling in the classroom so that they could be given special attention.

Bient was the initial developer of the idea of an intelligence quotient or IQ[7] and provided one of the first ways to attempt to quantify a theoretical concept that was not capable of being manifested in the physical world. It was also around the same time that researchers like Cyril Burt and Charles Spearmean began developing their new theories of intelligence founded on the reification of factor analysis. In developing a battry of tests whose performance on one subtest could often reliabily predict performance on another– a manifestation referred to as the postive manifold– Spearman and Burt put forth a separate conception of intelligence based on the ability to solve problems without any sort of background information and referred to this ability a g for general intellgience.

Though seemingly unrelated to the current state of thinking about cognitive abilities, Binet's and Spearman's ideologies about what intelligence is and how to measure it still represent two of the larger schools on cognitive ability. On one hand their idea that cognitive abilities are based upon a steady growth of incoming

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[6]DO I CITE THINGS HERE LIKE THAT WORK OF NANCY ROGERS, LEIGH VAN HANDLE, THE UTAH GUY, GARY KARPINSKIS ICMPC, THE FORM AT SMPC

[7]divide mental age by chronological age then multiply by 100

information that someone is able to manipulate once they retrieve from long term memor; on the other hand there is a school of thought that there is some sort of measureable construct, *g* that aids in the process of solving problems that do not depend on any sort of contextuxal information. Conceptualizing cognitive ability as these two different constructs inevitably leads to different types of measurements and subsequently what these constructs are then able to predict in terms of human behavior.

Without detailing entire histories of both lines of thought, Binet's conceptulization manifested into an argument for general crystallized intelligence or *Gc*, or the ability to solve problems based on previously acquired skills. Spearman and Burt's ideas about *g* school reflect a belief that individuals have some sort of latent cognitive ability to draw on to perform mental tasks. The cognitive psychology literature has noted that *g* often shares a statistically equivalent relationship to idea conceptualized as general fluid intelligence *Gf*, or the ability to solve problems in novel situations Cattell, 1971; Horn, 1994). This distinction between *Gf* and *Gc* is different than that of *g*, but again it should be noted that *Gf* and *g* share a statistically identical relationship Matzke, Dolan, and Molenaar (2010). These conceptions of intelligenec and cognitive ability aslo differ from more current theories that sythesize these previous areas of research (**?**).

Even though both of these constructs are powerful predictors on a large scale and do predict things like educational success, income, and even life expectancy (**?**)– even when other variables like socioeconomic status are held constant. Yet despite this, only conceptualizing cognitive abilities in terms of intelligence does not fully explain the diversity of human cognition.

Another large area in the field of cognitive psychology is the area of working memory capacity. In addition to concepts of intelligence, be it *Gf* or *Gc*, the working memory capacity literature also is directly relevant to work on melodic dictation for reasons discussed below.

2.2.3   Working Memory Capacity

Working memory is one of the most investigated concepts in the cognitive psychology literature. According to Nelson Cowan, the term working memory generally refers to

> the relatively small amount of information that one can hold in mind, attend to, or, technically speaking, maintain in a rapidly accessible state at one time. The term working is mean to indicate that mental work requires the use of such information. (p.1) (**?**)
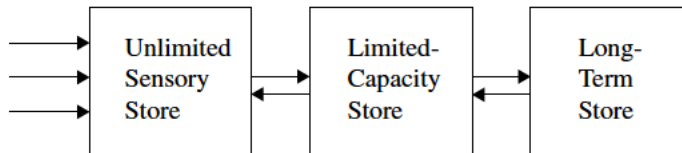
The term, like most concepts in science, does not have an exact definition, nor does it have a definitive method of measurement. While there is no universally recognized first use of the term, researchers began to postulate that there was some sort of system that mediated incoming sensory information with the world with the information in long term storage using modular models of memory in the mid-twentieth century. Summarized in (**?**), one of the first modal models of memory was proposed by (**?**) and later expanded by (**?**). As seen in Figure 2.5, both models here posit incoming information that is then put into some sort of limited capacity store. These modal models were then expanded on by Baddeley and Hitch (**?**) in their 1974 chapter with the name *Working Memory*, where they proposed a system with an central executive module that was able to carry out active maintenance and rehearsal of information that could be stored in either a phonological store for sounds or a visual sketchpad for images.

Later revisions of their model also incorporated an episodic buffer (**?**) where the modules were explicitly depicted as being able to interface with long term memory in the rehearsal processes. The model has even been expanded upon by other researchers throughout its lifetime. The most relevant to this study is by (**?**), who postulated adding a musical rehearsal loop to the already established phonological loop and visual spatial sketchpad. While Berz is most likely correct in asserting that the nature of storing and processing musical information is different to that of words or pictures and there has been experimental evidence to suggest this (**?**) that has been interpreted in favor of multiple loops (**?**) , it does introduce the theoretical problem of multiple stores which has been addressed by other researchers.

In addressing the problem of explicitly stating which rehearsal loops do and do not exist, Nelson Cowan proposed a separate model (**??**) dubbed the Embedded Process Model which do not claim the existence of any domain specific module (e.g. positing a phonological loop, visual spatial sketchpad) but is rather

Modal Model (after Broadbent, 1958)

| Unlimited Sensory Store | Limited-Capacity Store | Long-Term Store |

Working-Memory Model (after Baddeley, 2000)

Central Executive

Passive Buffers:

| Phonological Loop | Episodic Buffer | Visuospatial Sketchpad |

Long-Term Memory (Types Related to Buffers)
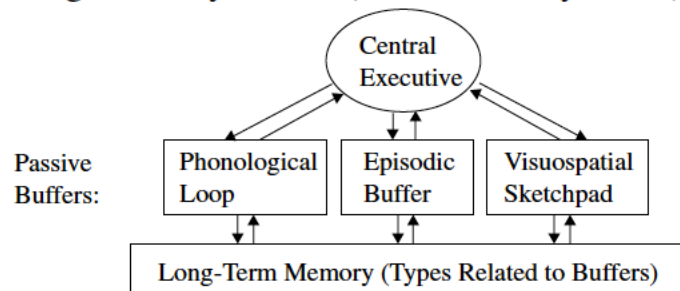
Embedded-Processes Model (after Cowan, 1988)

Central Executive

Brief Sensory Afterimages ~250 msed

a

b

Activated Sensory and Categorical Features from Long-Term Memory

Focus of Attention

Long-Term Memory

Figure 2.5: Schematics of Models of Working Memory taken from Cowan, 2005

based on an exhaustive model that did away with the problem of asserting specific buffers for new types of information.

In Cowan's own words comparing his model from that of Baddeley:

> The aim was to see if the description of the processing structure could be exhaustive, even if not complete, in detail. By analogy, consider two descriptions of a house that has not been explored completely. Perhaps it has only been examined from the outside. Baddeley's (1986) approach to modeling can be compared with hypothesizing that there is a kitchen, a bathroom, two equal-size square bedrooms, and a living room. This is not a bad guess,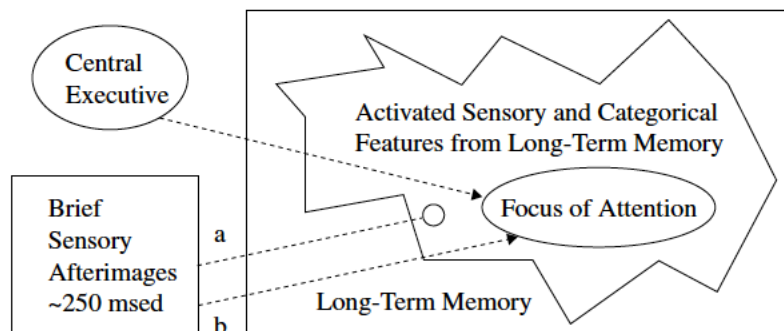 but it does not rule out the possibllity that there actually are extra bedrooms or bathroom, that the bedroom space is apportioned into two rooms very different in size, or that other rooms exist in the house. Cowan's (1988) apporach, on the other hand, can be compared with hypothesizing that the house includes food preparating quarters, sleeping quarters, batroom/toilet quarters, and other living quarters. It is meant to be exhaustive in that nothing was left out, even though it is noncommital on the details of some of the rooms. p.42 Cowan, 2005.

The system is depicted in the bottom tier of TABLE X, and conceptualizes the limited amount of information that is readily available as being in the focus of attention, with activated sensory and categorical features of what is in the focus of attention to be accessible nearby. Moving further from the locus of attention is long term memory, whose content can be turned to by using the central executive to access non-immediately available information. In contrast to the modular approaches, Cowan's framework does not require the researchers to specify exactly how and where each the incoming information is being stored which makes it advantageous for studying complex stimuli such as music and melodies.

In addition to having multiple frameworks for studying working memory capacity, there is also the problem of limits to the working memory system, often referred to as the working memory capacity. Most popularized by Miller in his famous (**?**) speech turned article, Miller suggests out of jest that the number 7 might be worth investigating, which has been used as a point of reference for many researchers since then. It is worth nothing that Miller has since gone on record as noting that using 7 (plus or minus 2) was a rhetorical device used to string together his speech (**?**). Nevertheless, while the number seven is most likely a red herring, it did inspire a large amount of research on capacity limits. In the decades since the number 7 has been reduced to about 4 (**?**) and research around capacity limits has been investigated using a variety of novel tasks, most noteable the complex span task CITATION.
When used as predictors in both higher and lower cognitive tasks, measures of working memory capacity predict performance well and additionally tend to be stable across a lifetime (**?**).

Given its predictive strength as well as its direct similarity to tasks of melodic dictation, a in depth look at the literature is warranted.
Clearly an individual's ability to take in sensory information, maintain it in memory, actively carry out other tasks (like notating said melody) are almost identical to tasks of working memory capacity. Before venturing onward from this striking parallel, tasks investigating working memory capacity differ from melodic dictation tasks in a few key ways. The first is that musical information is always sequential: a melodic dictation task would never require the student to recall the pitches back in scrambled orders. Serial order recall is an important characteristic in the scoring and analyzing of working memory tasks (**?**), but musical tones do not appear in random order and are normally in discernable chunks as discussed by Karpinski(**?**). The use of chunks is pervasive in much of the memory literature, but often is used as more of a heuristic to help explain that information in the environment and why it is often grouped together. Of the problems with chunking, most are related to music and have relevance to melodic dictation. Below I review the problems with chunking noted by Cowan (**?**), and any pertitant music psychology literature.

1. *Chunks may have a hierarchical organization.* Tonal music has historically been understood to be hierarchical (**???**) with the study for memory for tones being confounded by some pitches being undestood by their relation to structurally more important tones.
2. *The working memory load may be reduced as working memory shifts between levels in hierarchy.* If an individual understands a chunk to be something such as a major triad, the load on working memory would be less since it that information could be understood as a singular chunk.

3. *Chunks may be the endpoints of a continuum of associations.* Pairing a group of tones together that might be functionally anomolous like…

4. *Chunks may include asymmetrical information.* More tonal possibilities are possible from a stable note like tonic or dominant, whereas in a tonal context, a raised scale degree $\#\hat{4}$ when understood in a functional context would be taken as having stricter transitional probabilities ($\#\hat{4} \to \hat{5}$).

5. *There may be a complex network of associations.* If a set of pitches sounds like a similar set of pitches from long term memory , the information coming in can not be understood as being separate units of working memory.

6. *Chunks may increase in size rapidly over time.* Three tones that are seemingly unrelated when incoming like E4, G5, C5 might enter sensory perception as three different tones, but then be fused together when they are understood as one chunk– a first inverstion major triad.

7. *Information in working memory may benefit from rapid storage in long term memory.* Given the amount of patterns that an individual learns and can understand, as soon as something is fused, it could be encoded in long term memory, especially if there is a salient feature in the incoming melodic information such as the immediate recognition of a mode or cadence.

The points by Cowan are important to acknowledge in that it it not possible to directly lift work and paradigms from working memory capcacity to work in music perception. That said, the enormous amount of theoretical frameworks put forward by the working memory liteature when understood in conjunction with theories in music psychology such as implicit statistical learning (**?**) can provide for new, fruitul theories. Past reserachers have noted the strength and predictive abilities of literature from the working memory capacity as aiding research in music perception. In ending his article positing a musical memory loop to be annexed to the Baddley and Hitch modular model of working memory, Berz (**?**) captures the power of this concept in the last sentence of his article and warns future reserachers that

> Individual differences portrayed in some music aptitude tests may [sic] represent not talent or musical intelligence but ability, reflecting differences in working memory capacity. p. 362

Berz's assertion has not been exhausivly tested since first published in 1995, but the subject of music, memory, and cognitive abilities has been the focus of research of both psychologists and musicologists alike. Below I survey literature bordering on both music, as well as cognitive abiltity.

2.2.3.1 Working Memory Capacity and Music

Of the papers in the music science literature that specifically investigates working memory, each uses different measures, though but all tend to converge on two general findings. The first is that there are some sort of enhanced memory capabilities in individuals with musical training. The second is that working memory capacity, however it is measured, often plays a significant role in musical tasks. Evidence for the first point appears most convincingly in a recent meta analyses by Talamini and colleagues (**?**) who demonstrated via three separate meta-analyses that musicians outperform their non-musical counterparts on tasks dealing with long-term memory, short-term memory, as well as working memory. The authors also noted that the effects were the strongest in working memory tasks where the stimuli were tonal, which again suggests an advantage of exposure and understanding of the hierarchical organization of musical materials. In this meta-analyss and others investigating music and cognitive ability, it is important to be reminded that the direction of causality still from these studies cannot be determined using these statistical methodologies. While it might seem that musical training tends to lead to these increases, it is also possible that higher functioning individuals will self select into musical activities. Even if there is no seletion bias in engaging with musical activity it also remains a possiblity that of the people that do engage with musical activity, the higher functioning individuals will be less likely to quit over a lifetime.

In terms of musical performance abilities, working memory capacity has also been shown to be a significant predictor. Kopiez and Lee suggested that working memory capacity should to contribute to sight reading tasks based on research where they found measures of working memory capacity, as measured by a matrix span task, to significantly correlated with many of their measures hypothesized to be related to sight reading ability in pianists at lower difficulty grading (**??**).

Following up on this work on sight reading, Meinz and Hambrick (**?**) found that working memory capacity, as measured by an operation span task, a reading span task, rotation span task, and a matrix span task was able to predict a small amount of variance $R^2 = .074(0.067)$ above and beyond that of deliberate practice alone $R^2 = .451(.441)$ in a sight-reading task. More recently, two studies looking at specific sub groups of musicians have shown working memory capacity to significantly contribute to models of performances on musical tasks related to novel stimuli. (**?**) found that although no differences were found between pianists and conductors in measures of working memory capacity as measured via a set of span tasks, conductors showed superior performance in their attention flexibility. Following up on this line of research (**?**) used the same battery of working memory tasks and found that jazz musicians excelled over their classically trained counterparts in a task which required them to hear notes and reproduce them on the piano. The authors also noted that of their working memory battery, based on standard operation span methods (**?**), that the auditory dictation condition scored surprisingly low and further research might consider further work on dictation abilities. Additionally (**?**) found that working memory capacity, as measured by a backwards digit span and operation span, to be successful predictors in a tapping task requiring sensory motor prediction abilities. As mentioned above, each of these tasks where working memory was a significant predictor of performance occured where the task involved active enagement with novel musical material.

The growing evidence in this field suggests that the advantage of working memory capacity to be greatest in both musically trained people, dealing with novel information, using tonal materials. Since all three of these factors are related to melodic dictation, it would seem sensible to continue to include these measures in tasks of musical perception and continue Berz's assertion that research in music perception could inadvertently be picking up on individual differences in working memory abilities.

2.2.4   General Intelligence

As discussed above, the idea of IQ or intelligence has a long history that is bothgood and bad. When used as a predictor in models it often serves to predict traits that society values like longevity and general income so given its ability to predict in more domain general settings, surveying literature where it applies to musical activity is a worthy task. Below I use the term intelligence as a catch all term to avoid the historical context of IQ and specify where availible which measure was actually used. Before surveying the literature here it is also worth noting that reserach on music and intelligence is not as developed as some of the larger studies looking at intelligence which provides problems for both establishing causal directionality, as well as controlling for other factors like self theories of ability, socioeconomic status, and personality (**?**).

2.2.4.1   Papers that suggest GF plays a role

- Redo this structure

As reviewed in **?**, both children and adults who engage in musical activity tend to score higher on general measurses of intelligence than their non-musical peers (Gibson, Folley and Park, 2009; Hille et al., 2011; Schellenberg, 2011a; Schellenberg and Mankarious, 2012) with the duration of training sharing a relationship with the extent of the increases in IQ (Degé, Kubicek and Schwarzer, 2011a; Degé, Wehrum, Stark and Schwarzer, 2015; Corrigall and Schellenberg, 2015; Corrigall, Schellenberg and Misura, 2013; Schellenberg, 2006). Though many of these studies are correlational, they also have made attempts to control for confounding variables like socio-economic status and parental involvment in out of school activities. (Corrigall et al., 2013; Degé et al., 2011a; Schellenberg, 2006, 2011a, 2011b; Schellenberg and Mankarious, 2012). Schellenberg notes the problem of smaller sample sizes in his review (Corrigall and Trainor, 2011; Parbery-Clark et al., 2011; Strait, Parbery-Clark, Hittner and Kraus, 2012) in that studies that are typically smaller do not reach statistical significance. Schellenberg also references evidence that when professional musicians are matched with non-musicians from the general population there do not seem to be these associations (CITE). Schellenberg's review suggests the current state of the literature points to support the hypothesis that higher functioning kids that take music lessons and they tend to stay in lessons longer. Additionally, Schellenberg remains skeptical of any sorts of causal factors regarding increases in IQ (e.g., François et al., 2013; Moreno

et al., 2009) noting methodlogical problems like how short exposure times were in studies claiming increases in effects or researchers who not holding pre-exisiting cognitive abilitis constant (Mehr, Schachner, Katz and Spelke, 2013).

- (?)
- (?)
- (?)

2.2.5 Environmental

Standing in contrast to factors that individuals do not have a much control over such as the size of their working memory capacity or factors related to their general fluid intelligence, most of the factors we believe contribute to someone's ability to take melodic dictation have to deal with factors related to training and the environment. In fact, one of the tacit assumptions of getting a music degree revolves around the belief that with deliberate and attentive practice, that an individual is able to move from novice to expertise in their chosen domain. The idea that time invested results in beneficial returns is probably best exemplified by work produced by **?** that suggests that performance at more elite levels results from deliberate practice. Below I review literature that supports this argument, since it's no doubt that someone has to engage in something to be good at it.

2.2.6 Musical Training

- Papers that suggest practicing makes you better?

- It almost seems redundant to review literature in support of music practice leading to better results.

- List of those papers here

2.2.7 Aural Training

In additon to individuals differeng in their general musical abilities– however they are defined– individuals also differ in their abilities at the level of their aural skills. Though not heavily researched in the past few decades (**?**), there has been specific research looking at explaining how people do in aural skills examinatons. **?** examined the effect of aural skills training on undergraduate students by creating a latent variable model investigating musical aptitude, academic ability, musical expertise, and motivation to study music in a sample of 142 undergraduate students and claimed to be able to explain 73% of the variance in aural skills abilities using the variables measured. Work from Colin Wright's dissertation found that … (**?**)

These are things that people have suggested people trying to do : As noted in **?**, researchers in the past have suggested a variety of techniques for improving their abilities in melodic dictation by isolating rhythm and melody [**?**; **?**; **?**; WILSON], listening attentively to the melody before writing (**?**), recognizing patterns (**???**) and silently vocalizing while dictating (**?**).

2.2.8 Sight Singing

Often described as the other side of the same coin of melodic dictation, sight singing is an area of music pedagogy research that has had sparse attention paid to it given its prevelance in school of music curricula. Recently (**?**) catalouged and categorized 14 different sub categories into four larger main cateogries while also providing commenatary on some of the current state of aural skills. Of the four large categories, they group them into reading mechanisms, sight singing, readings skills acquiskiton, and learnign support.

FIX HERE

The authors note a line of research that has documented that university students are often unprepared to sight-read single lines of music (Asmus, 2004; Davidson, Scripp & Welsh, 1988; Fournier, 2015; Thompson, 2004; Vujović & Bogunović, 2012) even though it is, like dictation, thought of as a means for deeper musical understanding. (DeBellis, 2005; Karpinski, 2000; Ottman, 1956; Rogers, 2004; Scripp, 1995; Scripp & Davidson, 1994) The authors of Fournier et. al also note that sight-reading has been an active area of research due to the often reported relationship that performance on sight reading often predicts several studies have shown links between academic success in sight-singing and predictors such as entrance tests (Harrison, 1987, 1990, 1991; Ottman, 1956; Rodeheaver, 1972; Schleuter, 1983), academic ability (Chadwick, 1933; Harrison, 1990, 1991; Harrison, Asmus, & Serpe, 1994; Rodeheaver,1972), and musical experience (Brown, 2001; Dean, 1937; Furby, 2008; Harrison, 1990, 1991; Harrison et al., 1994; Thostenson, 1967).

Taken as a whole, the research tends to suggesting that learning to be a fluid and compotent sight reader helps musicians hone their skills by bootstrapping other musical skills since the skills needed for sightreading touch on many of the skills used in musical performance like pattern matching and listening for small changes in intonnation. Each of these individual factors contributes in a small and significant way and additionally will interact with the other half of the taxonomy: musical parameters.

2.3   Musical Parameters

Transitioning to the other half of the taxonomy on figure X, the other main source of variation on any study looking at melodic perception, and consequently studies of melodic dictation, is the effect of the melody itself. I find it safe to assume that not all melodies are equally difficult to dictate and assert that variance in the difficulty the melody can partitioned between both **structural** and **experimental** aspects of a melody. As noted above, there is not a strict deliniation between these two categories since once could imagine drastic maniuplations in experimental parameters in order to result in a phenomenologically different experience of melody. Questions of transformations of melodies and musical similarity fall have been addressed in ohter research (**??**) but are beyond the scope of this study.

2.3.1   Structural

The notion that the music as represented by a score is able to provide insights towards understanding aspects about music is not new to music theory and anlaysis. Heinrich Schenker argued for an undertanding of tonal music (**?**) that asserted hierarchical relationships of notes on the musical surface in the early 20th century and has since been expanded upon by theorists over the past century SALTZER, SCHAKTER, ROTHSTEIN. Leonard Meyer in his *Emotion and Meaning in Music* (**?**) continued some of these lines of thought and was the first who put forward the idea that tethered the struture that earlier theorists wrote about and suggested that in addition to this struture being fundamental to the perception of the piece that there were also responsible for some aspects of the emotion and meaning listeners found in the piece.

Meyer's work since inspired a line of reserach investigating the perception of the structural aspects of music could be understood with the work of Eugene Narmor (**??**), Glenn Schellenberg (**?**), Elizabeth Hellmuth Margulis (**?**), David Huron (**?**) and have inpsired computational, machine learning approaches to expectational frameworks in with work by Marcus Pearce (**?**).

- WHAT IS THE POINT OF ALL THIS RESERACH SETNECE

These general models of melodic perception tend suggest that Meyer was correct in his assertion that computational methodologies could be used to better understand question of melodic perception and strucuture and that there are links between the structure of the music and its perception.[8]

Turning to studies examining melodic dictation with a focus on musical structure, the first study to examine it extensively was Ortmann in 1933 (**?**). Ortamnn used a series of twenty five-note melodies in order to

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[8]This whole section sucks

examine the effects of repetition, pitch direction, conjunct-disjunct motion (contour), interval size, order, and chord structure, all of which he deems to be the *determinants* of an individual's ability to dictate melodic material. Though Ortmann did not use any statistical methods to model his data, he did assert that each of his determinants contributed to an individual's ability to dictate musical material. This work was extended by (**?**) which additionally incoporated using musical skill as a predictor and additionally found evidence that these factors contributed to individual dictation abilities in a sample of 122 undergraduate students.

Although the literature is generally sparce compared to other areas of music cognition, literature exploring the effects of structural characteristics on memory does exist. Long found that length, tonal structure, contour, and individual traits all contribute to performance on melodic dictation examinations and found that structure and tonalness to have significant, albeit small predictive powers in modeling (**?**). One problem with studies such as (**?**) is that they sometimes would make conspicuous methodloogical decisions such as elimnating individuals who were bad singers for the example. Not only does this reduce the spectrum of ability levels (assuming that singing ability correlates with dictation ability, a finding since which has been established (**?**)), but is additionally flawed in that it is at odds both with the intuition that an individual's singing ability cannot be taken as a direct representation of their mental image of the melody and is probably more related to the ability to have motor control over the vocal tract (**?**).

Other researchers have also put forward other paramters thought to contribute like tempo (**?**) tonality (**?**) (**?**) (**?**) (**?**) interval motion (**??**) length of melody (**??**) number of presentations (**?**) [(**?**)] context of presentation (**?**) listener experience (**??**) (**??**) familarity of style (**?**)

? provides an extensive detailing of a systematic study to melodic dictation where they used tonality, melody length, and type of motion as variables in their experiment. They additionally also restricted their experimental melodies to those that were singable. The authors found all three variables to be significant predictors with tonality explaining 13% of the variance, length explaining 3% of the variance and type of motion explaining 1% of the variance. The paper also claims that people on average can hear and remember 10-16 notes, which is worth commenting that these 10–16 notes are dependent on the experimental context of the melodies played with the quarter note set to 90 beats per minute.

Given the lack of consistent methodlogies in adminstration and scoring of these experiments it becomes difficult to find ways to generalize basic findings like expected effect sizes– especially when the original materials and data have not been recorded– but there is often interesting theoretical insights to be gleaned. For example (**?**) used a sample of eight people to suggest that when taking melodic dictation, individuals use a system of pattern matching that interfaces with their long term memory in order to complete dictation tasks. While this paper does not bring with it exhaustive evidence supporting this claim, the idea is explored in detail in Chapter 6 the idea of pattern matching is used in conjunction with Cowan's embeded process model of working memory.

More recently the music education community has also began to do research around melodic dictation using both qualitative and quantitiatve methdologies. (**?**) interviewed high school teachers on methods they used to teach melodic dictation and (**?**) has done work on investigating methods as to best score melodic dictation. Other work by (**?**) surveyed various methodlogies used by instructurs in aural skills settings. Some of these studies consider aural skills as a totality like (**?**) who provided quantiative evidence to suggest most aural skills pedagoge's intuition that there is some sort of relationship between melodic dictation and sight singing. Looking at the notorious subset of students with absolute pitch (AP), (**?**) provided evidence demonstrated that students with AP tend to outperform their non-AP colleagues in tests of dication.

[SOME OF THESE ARE CLEARLY EXPERIMENTAL AND NEED TO BE PUT THERE]

Continuing exploring the pedagogical liteature, Naton Buonviri and colleagues have also made melodic dictation a central focus of some recent papers. **?** interviewed high school teachers on methods that they used to teach melodic dictation. **?** interviewed six sophomore music majors to find sucessful strategies that students enaged with when completing melodic dications. **?** reported beneficial effects to direct student's attention and guide them through melodic dictation exercises suggesting that some sort of mental organizaton of the dication process is helpful. **?** found that having students sing a preparatory singing pattern after

hearing the target melody, essentially a distractor task, hindered performance on melodic dictation. **?**… **?** found no effects of test presentation format (visual versus aural-visual) using a melodic memory paradigm. **?** reported no significant advatage to listening strategies while partaking in a melodic dictation test.

2.3.1.1   Recent Computational Musicology Work papers and findings

Using symbolic features of the melodies themselves is not a novel approach as noted in the above literature attempting to predict performance on melodic dictations. Much of this work pre-dates recent advances in computational musicology such as the advent of technology like David Huron's Humdrum (**?**) and Michael Cutberth's Music21 (**?**) which now allows music researchers to systematically digitize symbolic musical material. In addition to creating accesible frameworks for encoding, the computational power availble exponentially exceeds that of what was availble in the 20th century and has opened up new possibilities in the computational modeling of music.

While I reserve a longer discussion on the histories of computational musicology for the fourth chapter, relevant to this study is the additional ways it is now possible to abstract features from symbolic melodies beyond what was capable in studies such as **?** and **?**.

An abstracted feature of a melody is an emergent property of the melody that results from performing some sort of calculation on the melody? This type of feature abstraction is in contrast to much of the work done in the field of music information retrivial which often relies on the recorded audio for feature abstraction and is addressed under Experimental features LINK THAT IN!. Abstracted symbolic features of melodies can largely be conceptualized as being **static** or **dynamic**. The above papers tended to use more simplisitc methods of figuring out parameters such as counting the notes by hand but with the advent of new encoding systems and more powerful computing power it is now possible to take on much more rigerious computational analyses.

2.3.1.2   Static Views of Computational Features/ FANTASTIC

Static features of melodies work by summerizing some aspect of the melody as if it were to be experience in suspended animation. Using static features helps quantify something that might be intuitive about a melody or piece of encoded music. For example, something like David Huron's contour class used in a study investigating melodic arches (**?**) using the Essen Folk Song Collection (**?**) can only be understood as a feature of the melody itself once the melody has been sounded and is recalled would be a static feature of a melody. Other examples include a melody's global note density, normalized pairwise variability index (CITATION), and a melody's tonalness as calculated by one of the various key profile algorithms (KRUMHANSL,ALBRECT AND SHANAHAN) These measures are useful when describing melodies and are predictive of various behavioral phenomena as detailed below, but at this point it has not been well established to what degree these summary features can be directly related to aspects of human behavior.

The quintessential and most comprehensive toolboox example of this is Daniel Müllensiefen's Feature ANalysis Technology Accesing STatistics (In a Corpus) or FANTASTIC (**?**). FANTASTIC is software that is capable summerizing musical material at for monophonic melodies. In additon to computing 37 features such as contour variation, tonalnesss, note density, note length, and measures inspired by computational linguistics (THAT BOOK OF GERAINT), FANTASTIC also calculates m-types (melodic-rhyhmic motives) that are based on the frequency distributions of melodic segements found genres of music. This is inspired by fact that repeition is key structure of music (**?**)

Work using the FANTASTIC toolboox has been sucessful in predicting court case decisions (**?**), predicting chart sucesses of songs on the Beatles' *Revolver* (**?**), memory for old and new melodies in signal detection experiments (**?**), memory for earworms (**??**), memorability of pop music hook (**?**). In experimental studies, FANTASTIC has also been used to determine item difficulty (**??**) and has even been the basis of the development of a computer assistted platform for studying memory for melodies (**?**).

2.3.1.3 Dynamic

In addition to using summary based features on melodies, it is also possible to model the perception of musical materials by using a dynamic approach that is dependent on the unfolding of musical material. First explored in CONKLIN, and then first published as a dynamic model of expectation in his doctoral dissertation, Marcus Pearce's Informaton Dynamics Of Melody IDyOM models musical expectancy using various information theoretic concepts inspired by Claude Shannon (SHANNON). The model takes an unsupervised machine learning approach and calculates the information content of the amount of DECLARED n-grams in the corpus. As a model exploring expection for melody IDyOM has has been applied to a variety of settings LIST THEM HERE. The domain general application of IDyOM has given creedence to Meyer's assertion that the enculturation of musical styles stems from statistical exposure to melodies and be somewhat refelective of the cogitive processes used in muscical perception. IDyOM has also been recently extended to look at expectation in multi-part chorales (SAUVE WORK) and expectations of harmony (HARRISON WORK).

(**??**)

The advantage of using a dynamic approach is that it theoretically reflects real time perception of music with the structural characeristics of the music mapping on to real human behavior.

2.3.2 Experimental

- Advantage of vocal melodies paper | Weiss 2015

- Voice is better than instruments Weiss Trehub Schellenberg 2012

- Engaging, conspecific signal Weiss Peretz 2019 , not due to expressivity. even see this in amusics.

- Not affected by musical training or exposure

- MIR stuff

- Stuff out of education stuff that is also experimental

- WM W LVH, two span tasks and claim it effects langauge tasks

- WM Halpern 2017 with pearce, LTM stuff , re look this up

2.4 Modeling and Polymorphism of Ability

Given the current state of cognitive psychology and psychometrics, as well as recent advances in computational musicology, the possibilities for now operationalizing and then modeling aspects of melodic dictation are as advanced as they ever have been. Given that we can now assign numbers to basically every factor that is thought to contribute to this process from concepts of musicianship, to features of a melody, to the variable size in an individual's working memory capacity all of these thigns can be put into some sort of model. While this will bring the community closer to formally modeling all of this and lead to a clearer understanding, before going ahead and doing this it is worth pointing out that many of the concepts discussed above are highly complex concepts like musicianship and tonalness and rest on lots of assumptions. Musicianship, for example, or any measure of musical training is not something that can be measured directly such as a person's height or weight, but has to be inferred based on the logical assumptions of the person doing the measurments. So while the rest of this study will rely on this, it is important to note that people shouldn't confuse abstracted concepts with real things.

The most illustrative example of this comes from a study by HARRISON ET AL who created a latent variable model of aural skills that was able to predict 74% of the variance in aural skills performance. This latent trait that the authors created may be helpful in explaining the patterns of covariance in data, but this would be to reifiy a statistical abstraction as an ontologically true idea. This idea has been discussed

before critqiuing ideas such as *g* (**??**) and has recently been the subject of critique in music psychology OUR GOLDMSI PAPER.

The same arguments put forward in this literature also are relevant here. In order to have a complete, causal model of *how* melodic dictation works, it is important to understand melodic dictation as a set of musical abilities that are related to other musical abilities, though may not be related. This idea is not new even in music psychology, the past two decades have seen calls for a more polymorphic definition of musical ability (**??**) which in its modeling will require more concrete ways of defining how it works than just correlating variable together that are helpful at prediction without saying exactly how that process happens.

2.5   Conclusions

In this chapter I first described what is melodic dictation using Karpinki's verbal model, noted what the things were that were missing from this model as a stepping off point, then went on to suggest a taxonomy of these based on what already has done. I suggest there are both individual as well as musical features that need to be understood in order to have a comprensive understanding of melodic dictation. Of the two sets of features, individual features can be either cognitive or environmental and musical features can be either structural or experimental. This taxonomy does not consist of exclusive categories and certainly permits interactions between any and all of the levels.

It would be impossible given the scope of this study to effectively quantify each and every factor and how it interacts at every level, but the degree to which there is the most literature and you can get the most bang for you buck seems like the obvious stepping off point. Rest of this dissertation will systehsize these areas and put forth novel research contributing to the modeling and subsequent understanding of melodic dictation. Understanding melodic dictation will help with both understanding melodic perception and help our pedagogy.

2.5.1   Add In

- (**?**)
- Dowling 1991 (**?**)

Chapter 3

Individual Differences

3.1 Rationale

The first two steps of Gary Karpinski's model of melodic dictation (**??**) rely exclusively on the mental representation of melodic information. Karpinski conceptualizes the first stage of *hearing* as involving the physical motions on the tympanic membrane, as well as the listener's attention to the musical stimulus. This stage is distinguished from that of *short-term melodic memory* which refers to the amount of melodic information that can be represented in conscious awareness. Given that neither stage of the first two steps of Karpinski's model requires any sort of musical expertise, every individual with normal hearing and cognition should be able to partake in the first two steps of melodic dictation.[1] The ability to hear, then remember musical information is where all students of melodic dictation are presumed to begin their aural skills education. From this baseline, students recieve explicit education in music theory and aural skills to develop the ability to link what is heard to what can then be musically understood and then notated.

While the majority of beginning students of melodic dictation are assumed to start at the same ability, recent reserach from music psychology suggests that individual differences in musical perception exist and must be accounted for from a psychological and pedagogical standpoint CITATIONS. In order to fully capture the diversity of listening abilities amongst students of melodic dictation, a complete account of melodic dictation music include individual differences in ability. Understanding how differences at the individual level vary also will help pedagogues know what can be reasonably expected of students with different experiences and abilities.

Attempting to investigate all four parts of melodic dictation from hearing, to short-term melodic memory, to musical understanding, to notation is cumbersome both from a theoretical perspective and practically unfeasible due to the amount of variables that might contribute to this process. In order to obtain a clearer picture of what mechanisms contribute to this process, these steps must be be investigated in turn. This chapter investigates the first two steps of the Karpinski model with an experiment examining individual factors that contribute to an individual's musical memory that do not depend on their knowledge of Western musical notation. By understanding which, if any, individual factors play a role in this process, it will inform what can be reasonably expected of individuals when other musical variables are introduced.

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[1]This whole model needs critique under the WMC literature. It's kind of strange to think that the act of something hitting your ear is different than attention (the way that Cowan thinks about WMC and again that you can split up the representation in memory from that of what the characteristics are of the melody like the meter and scale degrees, which have been argued to be part of intrinsic qualia) also there is a big problem here about stuff being actively rehearsed or not

3.2   Individual Differences

3.2.1   Improving Musical Memory

Most aural skills pedagogy assumes students begin with approximately the same baseline listening and dictation abilities. Assuming this baseline allows teachers to cover requisite information systematically and ensure that students are given the the same tools to enable their success in the classroom. This similarity of baseline of abilities is implicit in the Karpinski model of melodic dictation. The model provides a framework of mental choreography students are encouraged to build upon that is agnostic to individual differneces. As students gain more knowledge in music theory, they build their musical understanding which in turn enables them to recognize more of the auditory scene they are focusing on. In addition to learning explicit knowledge to facilitate their musical understanding, Karpinski suggests that there are two other skills that students can develop in order to improve their short-term musical memory: extractive listening and chunking. In Karpinski's own words:

> Only one or both stratgies can extend the capacity of short-term musical memory: (1) extractive listening and (2) chunking. (pp. 71)"

Karpinski defines extractive listening as "a combination of focused attention and selective memorization (p.70)". Extractive listening requires students to be able to focus in on the material they will be mentally representing and tune out other sources of stimulation that might distract the student. In order to improve this ability, Karpinski suggests practicing listening to melodies and having students practice directing their attention to pre-determined set sequences of notes. Students should slowly work towards being able to auralize the melody with other musical information still sounding. Karpinski claims that honing one's attention via progressive practice will not only improve student's ability to dictate melodies, but also help them with a host of other musical activity. Further, Timothy Chenette has since proposed similar types of progressive loading aural exercises by co-opting standard cognitive tasks used in working memory paradigms (**?**) .

After students master the ability to selectively hear and retain portion of a melody, the other way in which they can improve their dictation abilities is via chunking. Chunking is a listener's ability group smaller units of musical material into a larger group. The idea of chunking derives from earlier work from Gestalt psychologists and was one of the initial mechanisms proposed by **?** able to extend the finite window of memory. The general idea is that if a collection of notes can be identified as its own discrete entity, such as a descending triad, the listener will only have to remember that one structure, rather than its component parts. As discussed in the previous chapter in §XXX, music's inherently sequential nature affords it many opportunities to find repeated patterns which can be labeled, musically understood, and thus chunked. While stimuli that are inherently sequential are problematic for researchers working in the working memory literature, students are expected to use chunking to their advantage in order to become more adept listeners. As students learn to chunk more efficiently, they are able to process more musical information into their short-term musical memory. With the development of both skills, students are presumed to increase their musical memory and ultimately improve their melodic dictation abilities. But what literature supports the claim that people can improve their memory for musical materials?[2]

3.2.2   Memory for Melodies

Research findings from the memory for melody literature are mixed when considering how individuals vary in their ability to remember musical material (**?**). Some research suggests that … Others… This is not to say chunking and extractive memory are not pedagogically helpful, as the studies I am about to list do specifically address melodic dictation. For example, no effect of musicianship was found by **?** in a paradigm where they presented both musically trained and non-musically trained individuals melodies over the course of two days. In a musical recognition task, (**?**) found no effect of musicianship on memory and using an old-new design, (**?**) found an effect of musical training, but not in correctly identifying melodies, but in

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[2]Need a better transition here…?

correctly identifying songs that they had not heard before. As with much of the music psychology literature, one of the reasons that these studies may have not found an effect is that that how musical training is measured varies widely from study to study (**?**). When measured continuously using paradigms that require immediate recall and judgment, musical training does often predict memory for musical materials. Using regression modeling, Harrison et. al reported an $R^2 = 0.459$ when reporting the response variability in a melodic discrimination task (**?**) when using the Goldsmith's Musical Sophistication index. **?** found musical training, when measured continuously, was able to be a significant predictor using a exposure-recall paradigm using more ecological stimuli.

(**???**)

- Mullensifen halpern 2x
- new fantastic paradigm

Even despite mixed evidence suggesting different effects that musical training has on an individual's ability to remember melodies, these studies do not specifically deal with melodic dictation, and thus cannot be used as a direct comparison for a number of reasons. The first is that melodic dictation is a much more complicated process that not only involves hearing a melody after a few iterations, but also its notation. Seeing as melodic dictators need to notate their melodies, which again is dependent on their knowledge of Western musical notation, melodic dictation is secondly a more cognitively demanding process than the previously mentioned studies on memory for melody which often only require a simple discrimination.

While the above memory for melodies literature is mixed regarding the musician's advantage, there is research from cognitive psychology to support that musicians do in fact have better cognitive abilities on a more domain general level, which could lead to better performance. Work as reviewed in **?** investigating the relationship between musical training and general intelligence suggest that both children and adults who engage in musical activity tend to score higher on general measures of intelligence than their non-musical peers ((**????**)). The finding importantly comes with it a correlation between duration of musical training and the extent of the increases in intelligence (**????**). While many of these studies are correlational, other researchers have further investigated this relationship in experimental settings in attempt to control for confounding variables like socio-economic status and parental involvement in out of school activities (**?????**), but findings have been mixed.

Schellenberg (**?**) notes that in many of these studies there is a problem of too small of a sample size in his review (**???**) in that studies that are typically smaller do not reach statistical significance. Also referenced in Schellenberg's review is evidence that when professional musicians are matched with non-musicians from the general population these associations are non-existent (**?**). Interpreting the current literature Schellenberg's puts forward the hypothesis that higher functioning kids self-select into music lessons and they tend to stay in lessons longer which leads to the observed differences in intelligence. Additionally, Schellenberg remains skeptical of any sorts of causal factors regarding increases in IQ (**??**) noting methodological problems like how short exposure times were in studies claiming increases in effects or researchers who not holding pre-existing cognitive abilities constant (**?**).

Another cognitive ability where musicians tend to be superior is that of memory. (**?**)'s meta-analysis looking at musical and memory found not only a general advantage of musicians, but noted that musicians tended to perform better on memory tasks especially in cases where stimuli were short and tonal. This musician advantage could derive from a musician's ability to chunk information more effectively based on past exposure via implicit learning practices (**??**). As noted above, much of the research at this point still very much focuses on higher level relationships, which is progressively being improved upon by agreeing on how to measure music. Though until more concrete theories emerge that link specific musical traits to music ability, music psychology will still suffer from less exact models. CITE SELF.

3.2.3 Relationship Established

Regardless of the direction of causality, there is evidence to suggest that there is a relationship between musical training and cognitive ability. Clearly cognitive ability is at play in many task of perception and

production and presumably these abilities would interact with other variables of interest such as musical training. Even in studies outside of music, domain general cognitive abilities have been shown to be predictive above and beyond domain specific expertise. In reviewing the current literature, (**?**) reiterate that while there is evidence some of the time in many domain specific areas like chess, games, and music, the current state of the literature is not definitive enough to explain exactly how this phenomena works on a global level.

Though of all the studies mentioned thus far, one cognitive ability deserving of special attention is that of working memory. As noted by (**?**), many tests of memory– such as the tests above–require the encoding and active manipulation of musical material. In his 1994 article, Berz draws important parallels between working memory systems and music tests and postulated new loop. For example (**?**) found working memory to be predictive of performance in a sight reading task above and beyond that of deliberate practice. Work by Kopiez (**??**) has has additionally linked the importance of working memory to performance on sight reading tasks. In multiple studies, Andrea Halpern has also shown measures of working memory to be linked to performance in musical production tasks (**??**) and has even interpreted these findings in terms of Berz's memory loop. Additionally, (**?**) used a a latent variable approach where they investigated executive function in a sample of 161. Using Miyake conception of executive function and mixed effects modeling, Okada and Slevc found an effect of musical training as measures withe Goldsmiths Musical Sophistication Index on the updating component of the executive functioning model, a construct often interpreted as similar to working memory capacity. Okada and Slevc did not however link performance on their executive functioning tasks to an objective measure of musical performance implemented by the Goldsmiths Musical Sophistication Index.

So given the complex network of variables at play, in order to understand how these individual non training factors affect the first two steps of melodic dictation, need to take a multivariate approach. In order to investigate the effects of individual factors on baseline, need to first assume that m4m can stand in for first two steps of melodic dictation. Then by investigating how these factors relate using structural equation modeling, we will be able to see what, if any factors, contribute to the first tow steps here.

3.2.4   Dictation without Dictation

One of the most popular paradigms used in music perception research, specifically the memory for melody literature, are same-different memory tasks (**?**). These tasks require individuals to hear a melody, retain it in memory, then hear a second melody either at the exact same pitch level, or transposed, and then make a judgment if the two melodies were the same or different. It is important to note this type of task requires both retention of musical material and a secondary cognitive task: deciding if the melodies were identical or not. In many ways, this type of task mirrors the first two steps of melodic dictation. Same-different paradigms require individuals to first hear a finite amount of musical material, then while held in conscious representation, perform some sort of mental action on the contents of memory by making a similarity judgment. If one were to acknowledge these similarities in mental processes, using same-different paradigms could provide a way to investigate the first two steps of Karpinski's model of melodic dictation in the general population which may or may not have musical training.

3.2.5   Cognitive Measures of interest

Having previously established that many tests of musical ability and aptitude, may in fact be tests of working memory (**?**), one factor not yet accounted for in many of the measures of musical memory might be working memory. If operationalized as conceptualized as Cowan above (**?**), one would have to measure working memory using a task that uses both retention and manipulation of information in memory, or a set of complex span tasks (**?**). Additionally, since general intelligence is often predictive of performance on a host of cognitive tasks LIST and has been theoretically related to working memory (**?**), this measure should also be accounted for. Finally, in response to claims made by (**?**) having to need to account for specific covariates, it would also be good to keep track of things like socioeconomic status, degree of education, and OTHER VARIABLES.

3.2.6 PATH Analysis here

Given the complex nature being investigated and the theoretical concepts at play such as working memory, general fluid intelligence, and musical sophistication conceptualized as a latent variable, it follows that the most appropriate method of parsing out the variance in this covariance structure would be to use some form of path analysis. Path analysis is a type of analysis developed by XXXX which originally assumed a closed algebraic system which could be used to parse out causal structures among covariance relationships WHY. The sets of variables presented do not make up a closed system as originally devised by XXX in his investigation of the heritable of Guiana pig traits PEARL PAGE, path analysis using structural equation modeling does allow insight into the degree that variables of interest contribute to complex causal relationships.

3.2.7 Hypotheses

If I then assume that a same-different melodic memory paradigm is a stable proxy for the first two steps of Karpinski's model of melodic dictation, then data generated from both objective tests of the Goldsmiths' Musical Sophistication Index can serve as proxy for this measure of interest. In this analyses, I will use a series of structural equation models in order to investigate how various individual factors contribute to an individual's memory for melody. Following a step wise procedure LIKE EE, these sets of analyses will provide a way what individual factors need to be accounted for in future research.

Given a robust instrument for measuring musicality, and two well established cognitive measures as specifically defined, this study analysis seeks to investigate the degree to which these individual level variables are predictive of a task that is proxy to the first two steps of melodic dictation.

If a large proportion of the variance of musical memory can be attributed to training, then variables related to the Goldsmiths Musical Sophistication Index should be most predictive with the highest path coefficients and lead to the best model fit. If instead cognitive factors do play a role, this should be evident in the path loadings. Not an either or, more like a both.

3.3 Overview of Experiment

3.3.1 Participants

Two hundred fifty-four students enrolled at Louisiana State University completed the study. Students were mainly recruited in the Department of Psychology and the School of Music. The criteria for inclusion in the analysis were no self-reported hearing loss, not actively taking medication that would alter cognitive performance, and the removal of any univariate outliers (defined as individuals whose performance on any task was greater than 3 standard deviations from the mean score of that task). Using these criteria, eight participants were not eligible due to self reporting hearing loss, one participant was removed for age, and six participants were eliminated as univariate outliers due to performance on one or more of the tasks of working memory capacity. Thus, 239 participants met the criteria for inclusion. The eligible participants were between the ages of 17 and 43 (M = 19.72, SD = 2.74; 148 females). Participants volunteered, received course credit, or were paid $20.

3.3.2 Materials

3.3.2.1 Cognitive Measures

All variables used for modeling approximated normal distributions. Processing errors for each task were positively skewed for the complex span tasks similar to **?**. Positive and significant correlations were found between recall scores on the three tasks measuring working memory capacity (WMC) and the two measuring

general fluid intelligence (Gf). The WMC recall scores negatively correlated with the reported number of errors in each task, suggesting that rehearsal processes were effectively limited by the processing tasks (**?**).

3.3.2.2   Measures

3.3.2.2.1   Goldsmiths Musical Sophistication Index Self Report (Gold-MSI)

Participants completed a 38-item self-report inventory and questions consisted of free response answers or choosing a selection on a likert scale that ranged from 1-7. (**?**). The complete survey with all questions used can be found at goo.gl/dqtSaB.

3.3.2.2.2   Tone Span (TSPAN)

Participants completed a two-step math operation and then tried to remember three different tones in an alternating sequence (based upon **?**). We modeled the three tones after **?** paper's using frequencies outside of the equal tempered system (200Hz, 375Hz, 702Hz). The same math operation procedure as OSPAN was used. The tones was presented aurally for 1000ms after each math operation. During tone recall, participants were presented three different options H M and L (High, Medium, and Low), each with its own check box. Tones were recalled in serial order by clicking on each tone's box in the appropriate order. Tone recall was untimed. Participants were provided practice trials and similar to OSPAN, the test procedure included three trials of each list length (3-7 tones), totaling 75 letters and 75 math operations.

3.3.2.2.3   Operation Span (OSPAN)

Participants completed a two-step math operation and then tried to remember a letter (F, H, J, K, L, N, P, Q, R, S, T, or Y) in an alternating sequence (**?**). The same math operation procedure as TSPAN was used. The letter was presented visually for 1000ms after each math operation. During letter recall, participants saw a 4 x 3 matrix of all possible letters, each with its own check box. Letters were recalled in serial order by clicking on each letter's box in the appropriate order. Letter recall was untimed. Participants were provided practice trials and similar to TSPAN, the test procedure included three trials of each list length (3-7 letters), totalling 75 letters and 75 math operations.

3.3.2.2.4   Symmetry Span (SSPAN)

Participants completed a two-step symmetry judgment and were prompted to recall a visually-presented red square on a 4 X 4 matrix (**?**). In the symmetry judgment, participants were shown an 8 x 8 matrix with random squares filled in blank. Participants had to decide if the black squares were symmetrical about the matrix's vertical axis and then click the screen. Next, they were shown a "yes" and "no" box and clicked on the appropriate box. Participants then saw a 4 X 4 matrix for 650 ms with one red square after each symmetry judgment. During square recall, participants recalled the location of each red square by clicking on the appropriate cell in serial order. Participants were provided practice trials to become familiar with the procedure. The test procedure included three trials of each list length (2-5 red squares), totalling 42 squares and 42 symmetry judgments.

3.3.2.2.5   Gold-MSI Beat Perception

Participants were presented 18 excerpts of instrumental music from rock, jazz, and classical genres (**?**). Each excerpt was presented for 10 to 16s through headphones and had a tempo ranging from 86 to 165 beats per minute. A metronome beep was played over each excerpt either on or off the beat. Half of the excerpts had a beep on the beat, and the other half had a beep off the beat. After each excerpt was played, participants answered if the metronome beep was on or off the beat and provided their confidence: "I am sure", "I am somewhat sure", or "I am guessing". The final score was the proportion of correct responses on the beat judgment.

3.3.2.2.6 Gold-MSI Melodic Memory Test

Participants were presented melodies between 10 to 17 notes long through headphones (**?**). There were 12 trials, half with the same melody and half with different melodies. During each trial, two versions of a melody were presented. The second version was transposed to a different key. In half of the second version melodies, a note was changed a step up or down from its original position in the structure of the melody. After each trial, participants answered if the two melodies had identical pitch interval structures.

3.3.2.2.7 Number Series

Participants were presented with a series of numbers with an underlying pattern. After being given two example problems to solve, participants had 4.5 minutes in order to solve 15 different problems. Each trial had 5 different options as possible answers (**?**).

3.3.2.2.8 Raven's Advanced Progressive Matrices

Participants were presented a 3 x 3 matrix of geometric patterns with one pattern missing (**?**). Up to eight pattern choices were given at the bottom of the screen. Participants had to click the choice that correctly fit the pattern above. There were three blocks of 12 problems, totalling 36 problems. The items increased in difficulty across each block. A maximum of 5 min was allotted for each block, totalling 15 min. The final score was the total number of correct responses across the three blocks.

3.3.3 Procedure

Participants in this experiment completed eight different tasks, lasting about 90 minutes in duration. The tasks consisted of the Gold-MSI self-report inventory, coupled with the Short Test of Musical Preferences, and a supplementary demographic questionnaire that included questions about socioeconomic status, aural skills history, hearing loss, and any medication that might affect their ability to perform on cognitive tests. Following the survey they completed three WMC tasks: a novel Tonal Span, Symmetry span, and Operation span task; a battery of perceptual tests from the Gold-MSI (Melodic Memory, Beat Perception, Sound Similarity) and two tests of general fluid intelligence (Gf): Number Series and Raven's Advanced Progressive Matrices.

Each task was administered in the order listed above on a desktop computer. Sounds were presented at a comfortable listening level for the tasks that required headphones. All participants provided informed consent and were debriefed. Only measures used in modeling are reported below.

3.3.4 Results

3.3.4.1 Descriptive, Data Screening, Correlational

The goal of the analyses was to examine the relationships among the measures and constructs of WMC, general fluid intelligence, musical sophistication (operationalized as the General score from the Gold-MSI), in relation to the two objective listening tests on the Gold-MSI. Before running any sort of modeling, we inspected our data to ensure in addition to outlier issues as mentioned above, the data exhibited normal distributions. I report both correlation values, as well as visually displaying our distributions in Figure 1.

Before running any modeling, I checked our data for assumptions of normality since violations of normality can strongly affect the covariances between items. While some items in Figure 1 displayed a negative skew, many of the individual level items from the self report scale exhibited high levels of Skew and Kurtosis beyond the generally accepted ± 2 (**?**), but none of the items with the unsatisfactory measures are used in the general factor.
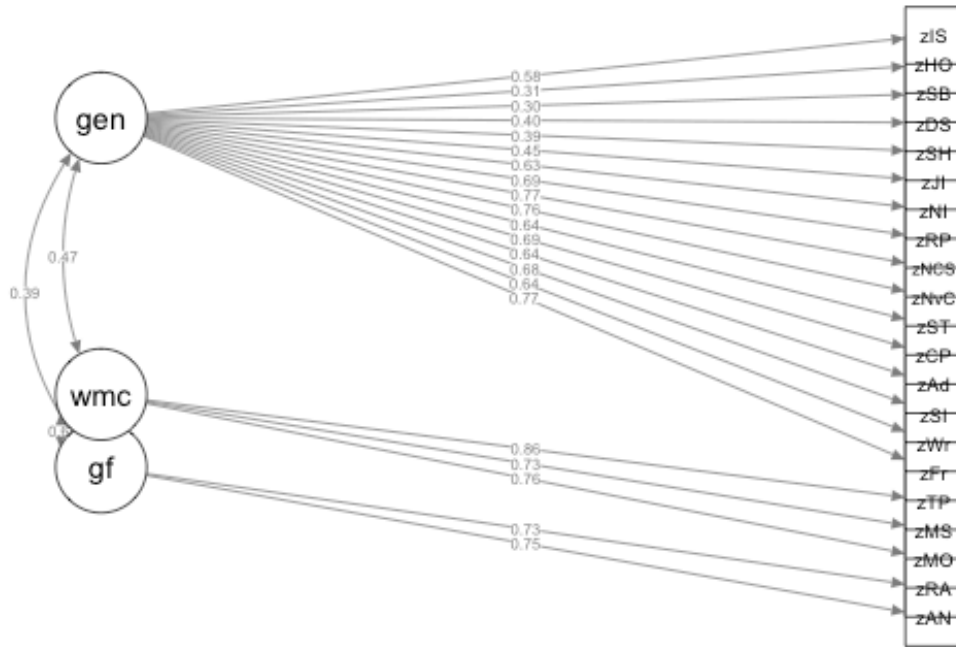
Figure 3.1: CFA Measurement Model

3.3.4.2 Modeling

3.3.4.2.1 Measurement Model

We then fit a measurement model to examine the underlying structure of the variables of interest used to assess the latent constructs (general musical sophistication, WMC, general fluid intelligence) by performing a confirmatory factor analysis (CFA) using the lavaan package (**?**) using R (**?**). Model fits in can be found in Table 3. For each model, latent factors were constrained to have a mean of 0 and variance of 1 in order to allow the latent covariances to be interpreted as correlations. Since the objective measures were on different scales, all variables were converted to z scores before running any modeling.

Variables are listed in the table below:

Abbreviation	Variable
gen	General Self-Report Musical Sophistication
wmc	Working Memory Capacity
gf	General Fluid Intelligence
zIS	Identify What is Special
zHO	Hear Once Sing Back
zSB	Sing Back After 2-3
zDS	Don't Sing In Public
zSH	Sing In Harmony
zJI	Join In
zNI	Number of Instruments

Abbreviation	Variable
zRP	Regular Practice
zNCS	Not Consider Self Musician
zNcV	Never Complimented
zST	Self Tonal
zCP	Compare Performances
zAd	Addiction
zSI	Search Internet
zWr	Writing About Music
zFr	Free Time
zTP	Tone Span
zMS	Symmetry Span
zMO	Operation Span
zRA	Ravens
zAN	Number Series

3.3.4.3 Structural Equation Models

Following the initial measurement model, we then fit a series of SEMs in order to investigate both the degree to which factor loadings changed when variables were removed from the model as well as the model fits. We began with a model incorporating our three latent variables (general musical sophistication, WMC, general fluid intelligence) predicting our two objective measures (beat perception and melodic memory scores) and then detailed steps we took in order to improve model fit. For each model, we calculated four model fits: χ^2, comparative fit index (CFI), root mean square error (RMSEA), and Tucker Lewis Index (TLI). In general, a non-significant χ^2 indicates good model fit, but is overly sensitive to sample size. Comparative Fit Index (CFI) values of .95 or higher are considered to be indicative of good model fits as well as Root Mean Square Error (RMSEA) values of .06 or lower, Tucker Lewis Index (TLI) values closer to 1 indicate a better fit. (Beajean, 2014).

After running the first model (Model 1), we then examined the residuals between the correlation matrix the model expects and our actual correlation matrix looking for residuals above .1. While some variables scored near .1, two items dealing with being able to sing ("I can hear a melody once and sing it back after hearing it $2 - 3$ times" and "I can hear a melody once and sing it back") exhibited a high level of correlation amongst the residuals (.41) and were removed for Model 2 and model fit improved significantly (χ^2 (41)=123.39, p < . 001).

After removing the poorly fitting items, we then proceeded to examine if removing the general musical sophistication self-report measures would significantly improve model fit for Model 3. Fit measures for Model 3 can be seen in Table 3 and removing the self-report items resulted in a significantly better model fit (χ^2 (171)=438.8, p < . 001). Following the rule of thumb that at least 3 variables should be used to define any latent-variable (Beajuean, 2014) we modelled WMC as latent variable and Gf as a composite average of the two tasks administered in order to improve model fit. This model resulted in significant improvement to the model (χ^2 (4)=14.37, p < . 001). Finally we examined the change in test statistics between Model 2 and a model that removed the cognitive measures– a model akin to one of the original models reported in (**?**)– for Model 5. Testing between the two models resulted in a significant improvement in model fit (χ^2 (78)=104.75, p < . 001). Figure 3 displays Model 4, our nested model with the best fit indices.
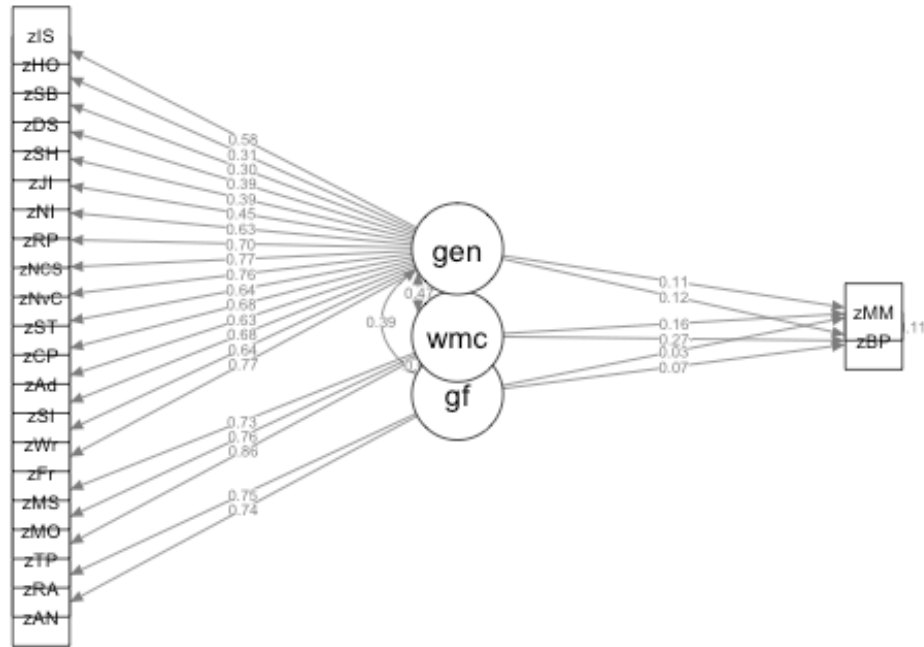
Figure 3.2: Full Model, All Variables Included

Models	df	chi	p	CFI	RMSEA	TLI
CFA	186	533.60	> .001	0.83	0.09	0.81
Model 1	222	586.30	> .001	0.83	0.08	0.80
Model 2	181	462.90	> .001	0.86	0.08	0.83
Model 3	10	24.11	> .05	0.97	0.08	0.94
Model 4	6	9.74	> .14	0.99	0.51	0.97
Model 5	130	358.16	> .001	0.83	0.10	0.80

3.3.5 Discussion

- Add relevance to melodic dictation

3.3.5.0.1 Measurement Model

After running a confirmatory factor analysis on the variables of interest, the model fit was below the threshold of what is considered a "good model fit" as shown in Table 1 with references to above model fits. This finding is to be expected since no clear theoretical model has been put forward that would suggest that the general musical sophistication score, when modelled with two cognitive measures should have a good model fit. This model was run to create a baseline measurement.
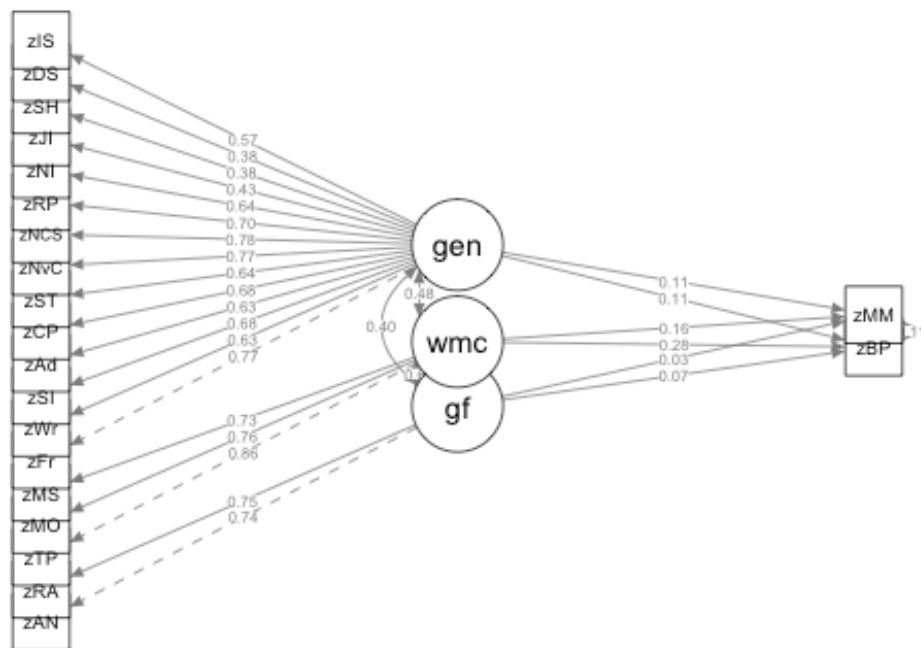
3.3.5.0.2 Structural Equation Model Fitting

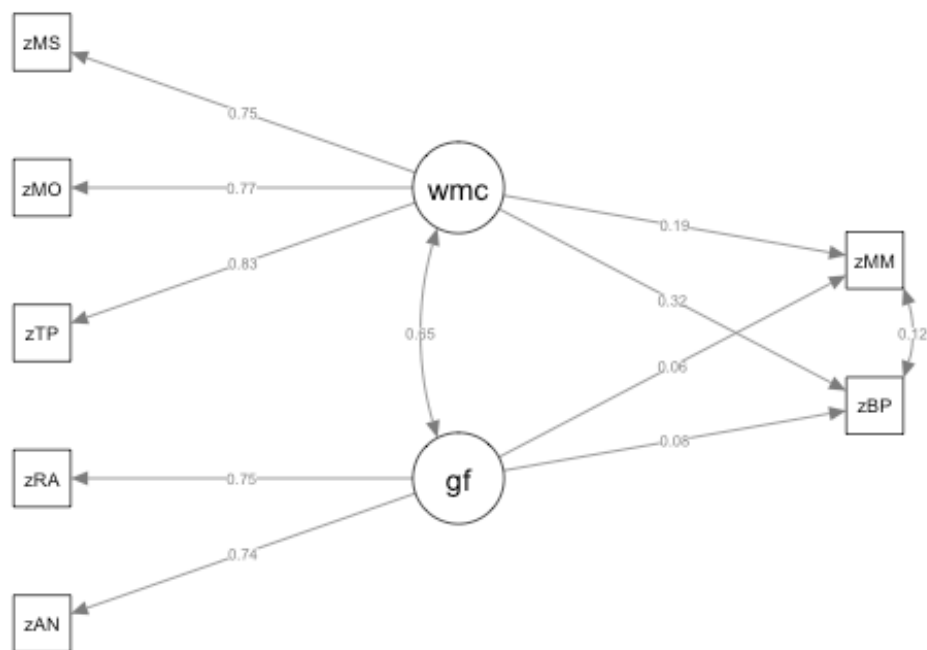Figure 3.3: Full Model, Highly Correlated Residual Items

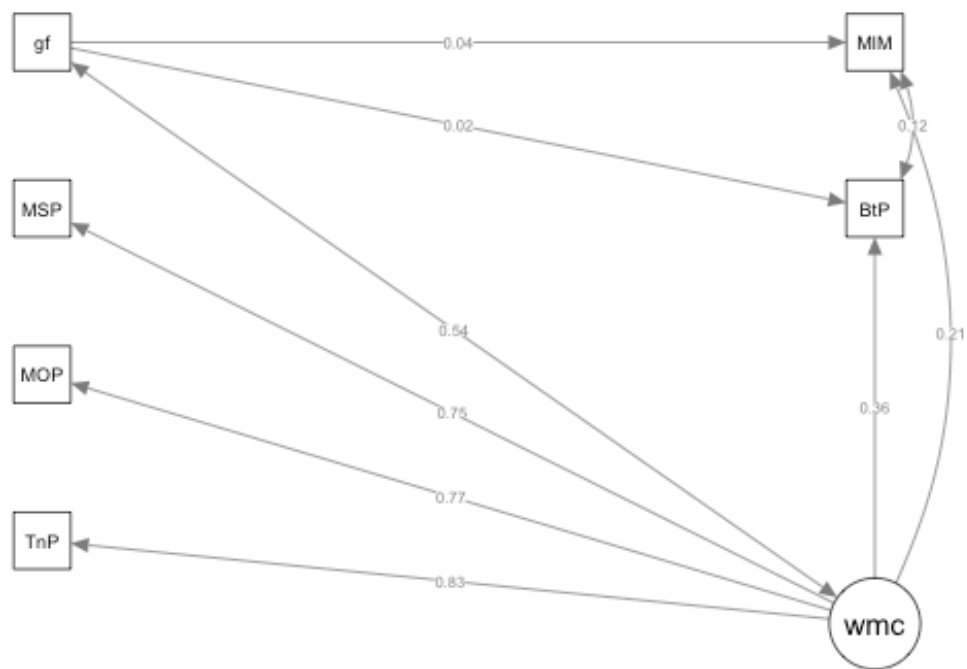Figure 3.4: Self Report Removed, Only Cognitive Measures

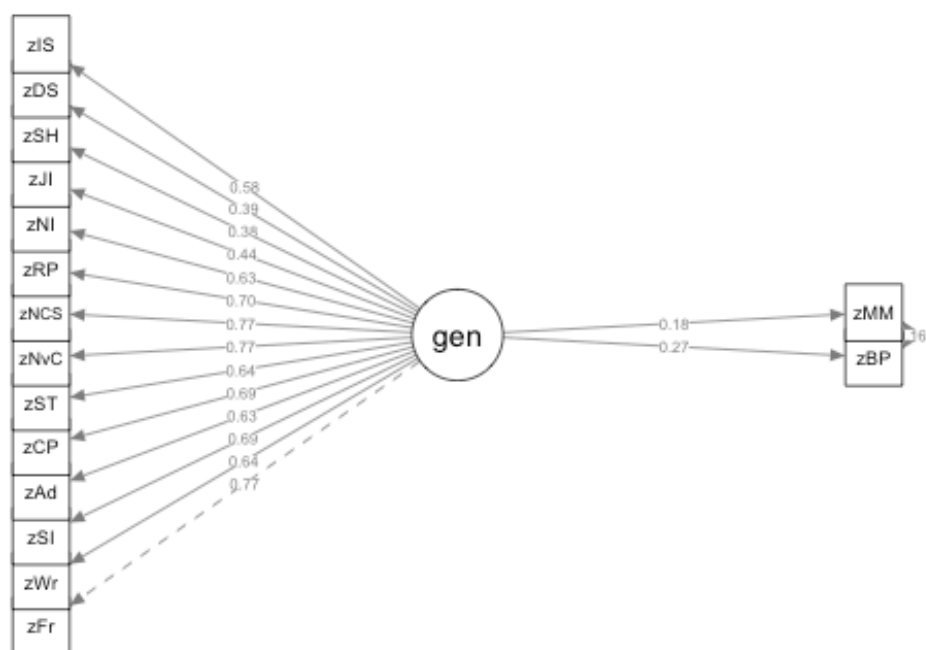Figure 3.5: Cognitive Measures, Gf as Observed

Figure 3.6: General Self Report Only

Following a series of nested model fits, we were able to improve model fits on a series of SEMs that incorporated both measures of WMC and measures of general fluid intelligence. Before commenting on new models, it is worth noting that the Model 5 does not seem to align with the findings from the original 2014 paper by (**?**)l. While the correlation between the objective tasks is the same (r = .16), the factor loadings from this paper suggest lower values for both Beat Perception (.37 original, .27 this paper) as well as Melodic Memory (.28 original, .18 this paper). Note that two items were removed dealing with melody for memory for this model; when those items were re-run with the data, the factor loadings did not deviate from these numbers.

The first two models we ran resulted in minor improvements to model fit. While difference in models was significant (χ^2 (41)=123.39, p < . 001), probably due to the number of parameters that were now not constrained, the relative fit indices of the models did not change drastically. It was not until the self-report measures were removed from the model, and then manipulated according to latent variable modeling recommendations, was there a marked increase in the relative fit indices. Fitting the model with only the cognitive measures, we were able to enter the bounds of acceptable relative fit indices that were noted above. In order to find evidence that the cognitive models (Models 3 and 4) were indeed a better fit than using the General factor, we additionally ran a comparison between our adjusted measurement model and a model with only the self report. While both of our nested models were significantly different, the cognitive models exhibited superior relative fit indices. Lastly, turning to Figure 3, we note that our latent variable of WMC exhibited much larger factor loadings predicting the two objective, perceptual tests than our measure of general fluid intelligence. We also note that the factor loading predicting the Beat Perception task (.36) was higher than that of the Melodic Memory task (.21). These rankings mirror that of the original (**?**) paper and merit further examination in order to disentangle what processes are contributing to both tasks.

These results align with predictions made with Process Overlap Theory (**?**), which predict that higher executive loads are needed for tasks of perception. While we failed to predict which task would load higher –we assumed that the ability to maintain and manipulate information in the Melodic Memory task would be better predicted by WMC than the Beat Perception task– this might be due to the fact that performing well in a melodic memory task demands a certain amount of musical training that is not captured by either cognitive measure. In the future, we are interested in exploring more theoretically-driven models that use specific, task oriented predictors in order to explain the relationships between the perceptual tasks and the cognitive measures. Given the results here that suggest that measures of cognitive ability play a significant role in tasks of musical perception, we suggest that future research should consider taking measures of cognitive ability into account, so that other variables of interest are able to be shown to contribute above and beyond baseline cognitive measures.

  IV. Experiment V. Results and MODEL discussion

  V. Relavnace to dicatation A. recap looked at MM via m4m SD Assume xyz When looking at results found yes on wmc this means berz was right also corrobates okda slevc? This should inform further research, esp modling addressed in later chapters Why didnt others do as well? Why gf sucks? wrong skill (pot maybe) not senative to specific at MD looking at large modeling, might not pick that up What does this mean for music theory pedaoggy? WMC improtant note distribution of wmc (not going to have clinical, but obvs important in our modeling) The thing is that capacity can not expand (chennete) Also likely not clincial populations Answer after maybe consider that distractor thing (related to extractive listening, not focus in but tuen out) Want to see if chunking is question of peceptual fluency in dividual coudl be that as PF goes up, better chunk thsu better m4m and performance in these tasks Ran some extra regressions to look at that at individual level and objective perofrmance? Diff coudl just be exccerbated by WMC will investigate question of PF with corpus evdience in next chapter

In this chapter we fit a series of structural equation models in order to investigate the degree to which baseline cognitive ability was able to predict performance on a musical perception task. Our findings suggest that measures of WMC are able to account for a large amount of variance beyond that of self report in tasks of musical perception.

Chapter 4

Computation Chapter

— ISMIR ; symbolic music processing ; computational music theory and musicology ; cognitive MIR ; datasets ; evaluation beyond just notes ; training and education application —

4.1   Rationale

Music theorists use their pedagogical experience and intuitions to build the appropriate curricula for their aural skills pedagogy. Teaching aural skills typically starts with providing students with simpler exercises, often employing a limited number of notes and rhythms, and then slowly progressing to more difficult repertoire. This progression from simpler to more difficult is evident in aural skills text books. Of the major aural skills textbooks such as the OTTMANN, the BERKOWITZ, KARPINSKI, CLENDENNING MARVIN, DOBREA CLELAND, each is structured in such a way that musical material presented earlier on in the book is more manageable than that near the end. In fact, this is true of almost any ETUDE book: open to a random page in a book of musical studies and the difficulty of the study will most likely scale accordingly to its relative position in the textbook. But it is not a melody's position in a text book that makes it difficult to perform: this difficulty comes from the structural elements from the music itself.

Intuitively, music theorists have a general understanding of what makes a melody difficult to dictate.[1] Factors that might contribute to this complexity could be attributes such as the melody's note density, the intricacies of the rhythms involved, the scale from which the melody derives, or even more intuitively understood factors such as how tonal the melody sounds. Although given all these factors, there is no definitive combination of features that perfectly predicts the degree to which theorists will agree how complex a melody is. In many ways, questions of melodic complexity are very much like questions of melodic similarity: it depends on both who is asking the question and for what reasons (CITE SELF).

Looking at the melodies presented in Figures X and Y, most aural skills pedagogues will be able to successfully intuit which melody is more complex, and presumably, more difficulty to dictate.

- FIGURE 1 – Melody with 8 Bars, functional accidentals (V/V, V/IV)

- FIGURE 2 – Same sets of notes rearranged

While I reserve an extended discussion of what features might characterize why one melody is more difficult than the other to dictate for below, I assume that these melodies do differ in a fundamentally different way when performed in a similar fashion. Additionally, many readers of this dissertation can probably draw from anecdotal evidence of their own as to how student's at various stages of their aural training might fair when asked to dictate both melodies. For some, melody Y might be overwhelmingly difficult.

---

[1]I find it safe to assume that more complex melodies are more difficult to dictate As I will demonstrate in this chapter, a melody's complexity and difficulty to be dictated are closely related. For that reason, I will use the term complexity as a proxy for its ability to be dictated.

In fact, it might be overwhelmingly difficulty for the vast majority of people. Student are quick establish if they belie that a melody they are being tested on is too difficult, and importantly from a pedagogical standpoint, we as educators need to be able to know how difficulty melodies are given our students in order to asses a degree of fairness in our grading of student's performance. While of course with each student there are inevitably many other variables at play ranging from personal abilities to the goals of the instructor in the scope of their course, yet there are intuitive benchmarks that students are expected to be able to complete throughout their education. As students progress, they are expected to be able to dictate more and more difficult melodies, yet exactly what makes a melody complex is often left to the expertise and intuition of a theorist.

In this chapter I examine how tools from computational musicology can be used to help model an aural skill's notion of complexity in melodies. First, I establish that theorists agree on the differences in melodic complexity using results from a small survey of XX theorists. Second, explore how both static and dynamic computationally derived measures can be used to approximate an aural skills pedagogue's intuition. Third and finally, I use evidence afforded by research in computational musicology to posit that the distributional patterns in a corpus of music can be strategically employed to create a more linear path to success among students of aural skills. I demonstrate how by combining evidence from the statistical learning hypothesis, the probabilistic prediction hypothesis, and a newly posited distributional frequency hypothesis can explain why some musical sequences in a melody of a certain complexity are easier to dictate than others. Using this logic, I then create a new compendium of short melodies, sorted by their perceptual complexity, that can be used for teaching applications.

4.2   Agreeing on Complexity

Returning to melodies X and Y from above, an aural skills pedagogue most likely has some sort of intution of which of the two melodies would be easier to dictate. Melody X exhibits a predictiable melodic synatax and phrase structure, the chromatic notes resovle within the conventions of the Common Practice period, and many of the melodic motives outline and imply a harmony based on tertian harmony. On the other hand, Melody Y's syntax does not comform the the conventions of the common practice music and does not imply any sort of underlying harmony. The durations of the rhythms appear irregular and the melody implies an uneven phrase structure. Yet both melodies X and Y have the exact same set of notes and rhythms. Though despite these content similarities, it would be safe to assume that melody X is probably much easier to dictate than melody Y assuming both were to be played at the same tempo and instrumentation.

In fact, aural skills pedagoges tend to agree for the most part on questions of difficulty of dication. To demonstrate this, I surveyed XX aural skills pedagogues who have all taught at least two years of aural skills at the University level asking them questions presented in TABLE X on a sample of XX melodies found in the BERKOWITZ. The survey had questions that specficially were designed to gauge their appropriateness for use in a melodic dictation context.

Questions included:

Table
1. What semester of aural skills do you think this melody is appropriate for?
2. How many times do you think this melody should be played in reference to your answer from question 1?
3. Please rate how difficult you believe this melody to be for the average second year undergraduate at your instiution.
4. How musical do you believe this melody to be?
5. Where in the melody (please list a measure number) do you think student's will have the most difficulty dictating the me
6. Is this a melody you would feel comfortable using in your own aural skill's class?
7. Could you dictate this melody now?

Overall, the sample exhibited a _____ degree of inter-rater reliability as measured by THIS STATISTICX[2]

---

[2]Reference here about what is good and what is bad.

Plotting the respondent's answers across the textbook, with the book progressing from less to more difficult, there is a trend of pedagoges to AGREE ON THE SIMPLER ONES THEN HAVE MORE DIAGREEMENT LATER ON? Central to my argument is a VERY LINEAR TREND of rating of complexity that correlate with both PAGE NUMBER from the textbook that it was drawn from, as well as an even better fitting model of THE EXACT NUMBER OF THE MELODY. MORE DISCUSSION HERE AFTER LOOKING AT DATA.

Taken together, both anecdotal and the evidence for this survey suggest that aural skills pedagoges tend to agree on how difficult a melody is for use in an aural skills setting. This sense of difficulty or complexity tracks as the book progresses, but to attribute the cause of a melody being difficult as its position in the book would be making a pretty hilarious error.

———— EDIT HERE It is important to highlight that despite the level of agreement at lower levels of difficulty, even experts do not seem to agree on the appropriateness of melodies as they become more complex for classroom use. Of course perfect levels of agreement are not expected, but due ot the fact that people need to be graded and assessed fairly on melodies, this level of disagreement is cause for alarm. This level of disagreement might also help explain student's responses to aural skills pedagogy...

And to compound this problem, literature from psychology (Kanneman and Tversky, that medicice doctor study) importantly highlight the fact that it's important to be skeptical of expert opinions. Drawing from the medical liteature– a body of research where getting somethign wrong probably matters more– have evidence of stuff happenign with heart attcks. Undelrying logic being that people think they have the key, but really they do not. And what solved this was a computaional problem. ————

The rest of this chapter investigates if similar computaionally derrived tools can help inform aural skills pedagogy. In order to provide a sense of validity to the measure, I use the expert answers from the survey as the ground truth for the computational models.[3]

4.3 Modeling Complexity

The ability to quantify what theorists generally agree to be melodic complexity depends on distilling complexity into its component parts. Earlier, when comparing melodies X and Y, some of the features put forward that might contribute to this measure were features such as note density, the melody's rhythm, what scale the melody draws its notes from, and how expected the notes are, and how tonal the melody might be percieved. Some combination of these component features presumably make up the construct of complexity.

Attempting to use features of a melody to to predict how well a melody is remembered has a long history. In 193X, Ortmann put forward a set of melodic determinants that he asserted predicted how well a melody was remembered. These features such as a melody's repeition, pitch-direction, contour (conjunct-disjunct motion), degree, order, and implied harmony (chord structure) were deemed to affect the melody's ability to be remembered.

Pedagoges since Ortmann such as XYZ have expanded on this research and concluded that _____. Using some sort of non-musical representation like a melody's contour is an abstracted feature of the melody. Abstracted features of melodys assume some sort of suspended animation of listening meaning that what is abstracted has some sort of meaning that is related to the listening experience. While if an abstracted measure maps directly to any sort of phenomenological experience in time, might be contested, these types of measures have been becoming more common in music psycholgy research.

- Sentence here about static and dynamic.

---

[3]Do I have to explain what ground truth is?

4.3.1   Static

An abstracted feature can be either a quantitative or qualitiative observable feature of a melody that is assumed to be perceptual to the listener, but often difficult to quantify with the traditional tools of music analysis. Often, these abstracted features come inspired from other domains like computational linguistics. To given an example of an abstracted feature, perhaps one of the most popular features in recent decades is the normalized pairwise variability index or nPVI (CITE). The nPVI began as a measure of rhythmic variablility in langauge. Shown in FIGURE X, the nPVI quantifies the xxx by the yyy in order to provide a metric that can be used as an approximation of the perception that some langauges sound perceptually different. (GET MORE STUFF FROM ORIGINAL NPVI) In lingusitics, the nPVI has been used to delinate stress timed langauges from something else... Recenlty in the past x years, music science researchers have used the nPVI to attempt to investigate claims about the relationship between speeach and laguage (CITE ALL HERE). While results are mixed regarding the nPVI's predictive ability and some people argue against nPVI (Nat), it does serve as a very good example of a static comuputationall derrived measure. Just like taking the average weight in a population, the nPVI summerizes a phrase and importantly assumes that this measure is representive of the entire phrase the calcuation was performed upon.

or stuff here of meredith and that chapter.

One of the most complete set of computational measures as applied to music perception comes from Daniel Mullensiefens' whole name FANTASTIC toolbox (CITE). According to FANTATIC's techncial report,

> "FANTASTIC is a program...that analyzes melodies by computing features The aim is to characterise a melody or a melodic phrase by a set of numerical or categorical values reflecting different aspects of musical structure. This feature representation of melodies can then be applied in Music Information Retrieval algorithms or computational models of melody cognition." (pp. 4)

Drawing from fields both central and perphircal to music science, FANTASTIC computes a collection of XX features to analyze features of melodies and continues from a tradition of feature extraction in music research FROM Lomax (1977), Steinbeck (1982), Jesser (1990), Sagrillo (1999), Eerola and Toiviainen (2004) and since its release has been sucessful at ...... SINCE THEN. Addittionally, FANTASATIC also provides a framework for comparing the features of a melody with a parent corpus from which the melody belongs.

Returning to the Aural Skills classroom, many of these features can be used to approximate the intutions of complexity as agreed upon by theorists. Below, I SHOW A SERIES OF PLOTS WHERE the continously measured abstracted features of FANTASTIC are plotted against the measures of perceieved complexity and difficulty of expert aural skills pedagoges with their respective correlations in TABLE X.

- GIANT FIGURE HERE

- GIANT TABLE HERE

From this, it becomes evident that some features like _____ and _____ succede quite well in approximating the rated complexity measures, while others like _____ and _____ do not. I suggest that the reasons that _____ measures are sucessful in explainig is because _____.

In modeling this problem univariatly (one v one), it quicly becomes evident that no single static measure from FANTASTIC is able to complete mirror that of the complexity measrues. Following past research (HARRISON, ME, OTHER PAPER THAT I CANT THINK OF), I used a PRINCIPAL COMPONENTS ANALYSIS to distill a single measure of complexity from THIS THIS AND THIS, that I then plot against the ratings and show that using this measure in a regression context increases our correlatio/R2 up a bit.

Hopefully at this point, I can make the argument that I am getting quite close at fully explaining the variance in ratings. Finally, using the features of FANTASTIC, I can also use a random forrest method (LIKE THESE DANIEL PAPERS) to use some machine learning methods to see what best explains this data.

USING A .... details of Random Forrest here......

And importanly….. Variable imporacne plot which works by running multiple models and seeing how much variance is accounted for when the model is left out.

We see that X Y Z are of highest importance, and this corroborates literature from other FANTASTIC measures that say XYZ.

This is important for pedagogy, this can be used to help provide objective measure of difficulty. Can also be a starting point for work on linking these various features with listener response. And thus help people desgin curricula and also then better understand human pecerception and role of melody in the aural skills clasroom.

Though while sucessful at modeling, using various linear combinations of these static abstracted features still assumes that listerns experience melodies in some sort of perceptual suspended animation. In order to have more phenomenologically approriate model that incorporates computainoally derived features, it is important to turn to dynamic models of music perception.

4.3.2 Dynamic

The Information Dynamic of Muscic (IDyOM) model of Marcus Pearce is a computational model of auditory cognition that … PEARCE 2018. Unlike measures from FANTASTIC, that calculate summary statistics on melodies, IDyOM works by…. As mentioned in Chapter 1, IDyOM is based both on the SLH and PPH. THey state…. Due to the fact that IDyOM makes its calculations based on a series of n-grams that the model learns, IDyOM is able to output measures of expectedness for each symbolic token used in its calculations. As a model, IDyOM has been sucessful at modeling…. The findings are robust, yet the measures of information content based on Shannon entropy have yet to be used to actually quantify memory (even ala Miller 1956).

Using measures of IC from IDyOM is a novel application of the IDyOM model, but makes sense. Literature from sequential learning notes that much of our learning for materials happens implicitly This happens from both langauge and music. Roherhimer reference Margulis reference

If true, repeatitive stimuli are then easier to processly as they are going to tax memory less. Lking aslo follow that IC measures of expctedness (if we assume expct are easier ot procecss) can be used in memory measure

Take for example the following two 8grams THey are listed with their informaiton contnet. One is the opening of this tune The other is this really famous tone row

4.4 Frequency Facilitation Hypothesis

Can see that both are sequences of eight notes, but one consists of more predictiable notes. Can model this in terms of information content and as information accumulates over the phrase. Intutivly, returning to logic from above, one will be easier to remember and dictate. And This is due to processing fluency, thus less of a tax on memory. So I am saying that you can use the IC measures of informaiton as actul measures of information.

More formally, the evidence can be summerized in what I am calling DFH. Which states:

1. some sequences are easier to process, means they are more expected.
2. IC measures of expectancy can be used as prox for memory
3. Given sequence of N lenght melody, ease of dication which is loads on memory is relative to its degree of expectedness in IC and link it back to corpus by saying that it is relative to it's n-gram distribution frequency.

From this hypothesis, we derrive a couple of testiable predciitions that we can continue to use tools from computational musicology and subsequestntly music psychology to answer.

4.4.1 Distributional Patterns in Corpus

If this is true, we should see this again throughotu the corpus And If IC measures can be used as memory proxy bc of processing due to SLH and PPH, would follow that n-grams woudl be processed relative to their distribution in a corpus. And they will have lower IC. To show this I extract out of a corpus of 2 3 5 10 grams form both sides of frequency distribution of a corpus to present side by side show intuitive.

we can also plot this continously

4.4.2 Memory Facilitation

Predictions here OR add in mini experiment that will be test for ISMIR paper.

go for further psychology experiemnts in this, lays basis for the computational model and more direct pedagogical applicaitons are discussed below

4.4.3 Peagogical applcation

Here is how you would do it pedagoically If this is case, would mean that this is a new way to organize melodies in book. Could instead list all melodies and have them as snippets. Orgnize them like books in terms of ascending difficulty. And this is how i have done it. Samples from all the n-grams in this table

TABLE

Can find all the things in the back. Would suggest learning these patterns as supplment to melody learning. It would do the following things. I list melodies in the back. I would predict that if people learned this as supplment, they would do a lot better. Also get rid of some sort of performance anxiety in little successes.

4.5 Chapter Conclusions

In this chapter I have demonstrated how tools from computational musicology can be used as an aide in aural skills pedagogy. After first establishing the extent to which aural skills pedagogues on various melody parameters, I then show how two families of computationally derrived features can stand in for a pedaguges intution. First, using the FANTASTIC toolbox, I show how different combintations of static abstracted features can help explain theorists agreed upon complexity. This first will help with selection of melodies and also provides insights as to which features of the melodies contribute most to percieved difficutly. Second, I demonstrated how assumptions derived from the IDyOM framework can serve as a basis for the intutions of why smaller sequences of notes within melodies are more or less difficult to dictate. Using the logic that sequences that are easier to process are more expected, and that computed measures of information content can be used as a proxy for memory, I show that it follows that given the sequence of an N lenght melody, the ease of dictaiton that it loads on memory is relative to both its degree of expectednes quantified in terms of informaiton content and link it back to hte corpus by linking THAT to it's n-gram distribbutional freuqency. This chain of thinking then allowed me to put forward a new sequence of melody segments that can be arragned, like other theory textbooks, in terms of their increasing complexiy. I argue that using this smaller, snippit approach, will allow students to not be overwhelmed in their learning by taking a more linear path to dictation, before moving on to more more ecologically valid melodies. I finish by disucssiong how this might be implemented in the classroom.

THER PEOPLE WHO HAVE DONE THIS

- look into Wiggins et al., 1993, for history of representation

Folk music

- Bartok 1936?

- Bartok and Lord 1951

- Lomax 1977 ; Lomax, A. (1977). Universals in song. The World of Music, 19, 117–129.

- Steinbeck 1982

- Jesser 1992

- Sagrillo 1999

- GET AND READ PAT SAVAGE ARTICLE

Popular Music

- Moor 2006
- Kramarz 2006
- Furnes 2006
- Riedemann ????

Computational Musicology

- Eerola eta al 2007 and 2007
- McCay 2005
- Huron 2006
- Frieler 2008
- JAZZOMAT PROJECRT OUTPUT

Chapter 5

Hello, Corpus

– chapter will basically just be reference manual for corpus? NO

- COmputational musicology needs corpora
- Here is a history of that
- And it's important for this to be representative
- What do i think rrepresntaive means
- In this chapter I introduce new SS corpus.

Give basic summary statistics on the corpus. Compare it as a corpus to essen.

After make argument about two different types of corpora, say we have implicit If it is implicit, follows that there should be some sort of overlap kind of like a markov chain and leonard meyer.

- Point is to introduce corpus
- Origin outside music, current state IN music, limitations
- Describe features of music in corpus
- Compare it to the features in the Essen Collection
- then talk about using IC idea from previosu chapter, how that can be applied pegagoically

Corpus work helps inform how we see the world bc it generalizes. This was first done in linguistics. Paragraph here on gw book. Note that there is general split between sylometery studies and using it for NLP.

Similar paths were taken in music. We have the MP trajectory that he outlines. Many of the early studies just summerise. Though they are largely situated in Meyer idea that genre is complex system of relations.

Interesting point here is the scoping between what a corpsu represents. On one side we can have the collected works of a dead musician. Something like all of the trumpet solos of clifford brown. Basically have a population here, tho incomplete. Theoretically could have all the studio recordings, transcribe them, total collection. While we could sample from this, problem is that the set is finite. There is no recreating of more clifford brown solos since he died.

Could further zoom out and look at a genre. This is very much like the Nick cook chopin studies. Mirrored here with Shanahan's Debussy studies. Also shanahan chord change studies Idea here is that you are looking for changes over time. But again with clifford, can't really re-do the 20th century. Maybe find one or two more tunes, but no way to re write cultural conceptions. Almost tautologically we have kept what we wanted and used that for our definition.

Zooming out even further we have corpora like the Essen Collection. here it is meant to be represntitive of folk songs of Europe. Presumably this is also bounded by space and time And it begs the question of should songs today be added to the Essen collection? What does this corpus actually represent?

Societal conception of what our prototypes might derrive from? Or Colleciton of folk songs for historical purposes? (Ala lomax, bartok, whatever) At this level, represents the genre or style.

We see this assumption that these collections or styles are representing something we implicitly have in the work of MP IDyOM. He trains his auditory computational model of cognition on a corpus that is limited in space and time, but then generalizes those probabilities into human behavior.

And the thing is that they are very sucessful. We can look at the many things from Pearce 2018 where IDyOM is able to get it right. Western, Jazz, Chinese music.

So knowing that there is a move from the single dead composer to a cognitive conception of style. Would be good to have digital representaitons of sytles in brain. Could argue that sight singing melodies in the bel canto tradition follow this. Of course there is cultural baggage with it. But as stated in previous chapters, lots of people do sight singing and sight reading. And these melodies presumably come from the same culture they train musicians for,

Interesting question as what it would mean to add a batch of sgiht sininging melodies ot other corpora.

But here it is, a corpus of XXX melodies.

5.0.1   Why I don't follow a random sampling method

Also I will explicitly say here I don't follow a random sampling method as perscribed by London. In his article comes to the conclusion that 300 or so pieces is needed for representive sample. Show how the cannon needs to be connected to something perceptual.

** LONDON OF WHAT DOES IT MEAN TO BE REPRESENTIVE – london suggests 300 pieces for classical music representation – says that the point is the build a corpus that is "broadly representative of the classical composers, styles, and genres that are most familiar to the 21st century listener." – says that this then comes from what we hear — JL deliniates between passive and active consumption – point is that these sources "reflect our musical environment" – the implicit assumption being that works outside this sampling would be described as not belonging, but is that not really just a not natural category since if we were to decide that it did belong, it would get lumped in? Clearly the deliniating point here is that of questions of musical similarity and questions of musical similarity are questions of subjectivity. So have to realize with this loop that unless we can additionally provide external evidence that melodies are perceptually different, hard to maintain the borders of what the corpus represents.

– Also does this take into account that the listener would change based on exposure and training – get direct and indirect uses of classical music and sample from that – def notes problems in sampling esp w value judgments and lenght of recordings

Matthews (2009) – looking at american programming

Burden of learning to play the piece in terms of diversity?>?

VOLUME 31 OF MUSIC PERCEPTION

Moving away from performance like live stuff or score based.

Also when assembling a representive corpus, if you have a lot from one genere where the music is very similar, would htat not be an example of having your residuals correlated?

And is there also an implicit assumption that there is something intrisic to each of these melodies that would allow them to be perceptually distiguishable from the other ones. Style crossovers are very hard , things like post modern jukebox.

So instead I am introducing novel corpus of 600 melodies that are not tethered to a large cannon in the way that something like a London corpus, or Barlow and Morgenstern, or Essen would presumably be. In the above context they come from small subcultre most related to bel canto singing.

I first describe the corpus as a whole.

Descriptive statistics. Number of songs. Lenghts of songs. Key distributions. facet wrap of tone profiles.

But then I compare this to the Essen folk song and Densmore and China.

Would be interested to know if you train an IDyOM model on three distinct corpora, will the melody from each of the corpus reflect the different expectationa values in terms of cultural difference.

Make the argument that all corpora are implicit genres. Find that pearce paper about historical listening.

5.1 Brief review of Chapter 4 on corpus (Language to reflect journal submission)

5.1.1 Corpus outside of music

5.1.2 Corpus in Music

5.1.3 The point is that it implicitly represents humand knowledge

5.1.4 IDyOM 1

5.1.5 IDyOM 2

5.1.6 IDyOM 3

5.1.7 Huron suggestions that starts of melodies relate to mental rotaiton

5.1.8 Other Huron claims

5.2 Note problem with using corpus is making corpus

5.2.1 Many are used on Essen

5.2.2 Brinkman says Essen Sucks

5.2.3 If going to make generlizable claims, need to always have new data

5.3 Solem duty to encode and report on corpus

5.3.1 Justin London Article on what makes it into a corpsu

5.3.2 Though I just encoded the whole thing because in my heart of hearts I'm a Bayesian

5.4 The Corpus

5.4.1 History of Sight Singign books

5.4.2 Assumed to be where long term store comes from (adumbrate computational model)

5.4.3 Lots of melodies in ascending order of difficulty, grouped appropriately though? Utah guy

5.4.4 Why I encoded it in XML

5.4.5 Is it legal?

5.5 Descriptive Stats of Corpus

5.5.1 Why?

Chapter 6

Experiments

6.1 Rationale

6.1.1 Have done all this and have not actually talked about dictation yet

6.1.2 Clearly many factors contribte to this whole thing and need to be taken into a model

6.1.3 Dictation is basically a within subjects design Experiment

6.1.3.1 Get very ecological and dirty and run it

- Paney 2016 had 30 second timing

6.1.4 Factors

6.1.4.1 Cognitive

6.1.4.1.1 WMC

6.1.4.1.2 GF

6.1.4.2 Training

6.1.4.2.1 Goldsmiths MSI

6.1.4.3 Musical

6.1.4.3.1 FANTASTIC

6.1.4.3.2 IDyOM

6.1.4.4   Investigate melodies with this context and set scoring

6.1.4.5   Mirror design to see if effects of melody are there

6.2   Experiments

6.2.1   Experiment I

6.2.1.1   Participants

6.2.1.2   Procedure

6.2.1.3   Materials

6.2.1.4   Scoring

6.2.1.5   Results

6.2.1.6   Modeling

6.2.1.7   Discussion

6.2.2   Experiment II

6.2.2.1   Participants (New)

6.2.2.2   Procedure (Same)

6.2.2.3   Materials (Swapped but controlled)

6.2.2.4   Scoring (Same)

6.2.2.5   Results

6.2.2.6   Modeling (same)

6.2.3   General Discussion

6.2.3.1   What happened

6.2.3.2   Assumption of all of this is that many things are happening linearly in combination with each other

6.2.3.3   Additionally the mixed effects framework works better with more data?

6.2.3.4   Also how we score it is going to mess wiht the DVs

6.2.4   Really what is needed is Computational Model

Chapter 7

Computational Model

7.1 Levels of Abstraction

In his 2007 article *Models of Music Similarity* (**?**), Geraint Wiggins distinguishes between *descriptive* and *explanatory* models in describing the modeling of human behavior. Descriptive models assert what will happen in response to an event. For example, as discussed in the previous chapter, as the note density of a melody increases and the tonalness of a melody decreases, a melody may become harder to dictate. While the increase in note density is assumed to drive the decrease in dictation scores, merely stating that there is an established relationship between one variable and the other says nothing about the inner workings of this process. An explanatory model on the other hand not only describes what will happen, but additionally notes why and how this process occurs. For example, much of the work musical expectation demonstrates that as an individual's exposure to a musical style increases, so does their ability to predict specific events within a given musical texture (**?**).

Not only does more exposure predict more accurate responses, but many of these models of musical expectation derive their underlying predictive power from the brain's ability to implicitly track statistical regularities in musical perception (**??**). The *how* derives from the tracking of statistical regularities in musical information and the *why* derives from evolutionary demands; Organisms that are able to make more accurate predictions about their environment are more likely to survive and pass on their genes (**?**).

Wiggins writes that although there can be both explanatory and descriptive theories, depending on the level of abstraction, a theory may be explanatory at one level, yet descriptive at another. Using the mind-brain dichotomy, he asserts that the example of a theory of musical expectation could be explanatory at the level of behavior as noted above, but says nothing about what is happening at the neural level. Both descriptive and explanatory theories are needed: descriptive theories are used to test explanatory theories and by stringing together different layers of abstraction, we can arrive at a better understanding of how the world works.

Returning to melodic dictation, under Wiggins' framework the Karpinski model of melodic dictation (**??**) qualifies as a descriptive model. The model says what happens over the time course of a melodic dictation– specifying four discrete stages discussed in earlier chapters– but does not explicitly state *how* or *why* this process happens. In order to have a more complete understanding of melodic dictation, an explanatory model is needed.

In this chapter I introduce an explanatory model of melodic dictation. The model is inspired by work from both computational musicology and cognitive psychology. From computational musicology I draw on the work of Marcus Pearce's IDyOM (**?**) and from cognitive psychology I draw from Nelson Cowan's Embedded Process model of working memory (**??**) to explain the perceptual components. In addition to quantifying each step, the model incorporates flexible parameters that could be adjusted in order to accommodate individual differences, while still relying on a domain general process. By relying on cognitive mechanisms

based in statistical learning, rather than a rule based system for music analysis (**????**) this model allows for the heterogeneity of musical experience among a diversity of music listeners.

7.2   Model Overview

The model consists of three main modules, each with its own set of parameters:

1. Prior Knowledge
2. Selective Attention
3. Transcription and Re-entry

Inspired by Bayesian computational modeling, the *Prior Knowledge* module reflects the previous knowledge an individual brings to the melodic dictation. The *Selective Attention*– somewhat akin to Karpinski's extractive listening– segments incoming musical information by using the window of attention as conceptualized as the limits of working memory capacity as a sensory bottleneck to constrict the size of musical chunk that an individual could to transcribe. Once musical material is in the focus of attention, the *Transcription* function pattern matches against the *Prior Knowledge's* corpus of information in order to find a match of explicitly known musical information. The *Transcription* function will recursively truncate what musical information is in *Selective Attention* if no match is found. In addition to *Transcription*, there is also a *Re-entry* function that will restart the entire loop. This process reflects, but does not actually mirror the exact cognitive process used in melodic dictation, yet seems to be phenomenologically similar to the decision making process used when attempting notate novel melodies. Based on both the prior knowledge and individual differences of the individual, the model will scale in ability, with the general retrieval mechanisms in place. The exact details of the assumptions, parameters, and complete formula of the model are discussed below.

7.3   Verbal Model

Below I describe my model's assumptions, parameters, as well as the steps taken when the model is run. After detailing the inner workings of each of the assumptions and the modules, described in roughly the order that they occur, I present the model using psudeocode with the terminology described below. I discuss the issues of assumptions and representations as they arise in describing the model.

7.3.1   Model Representational Assumptions

In order to write a computer program that mirrors the melodic dictation process, how the mind perceives and represents about musical information must be defined *a priori*. Before delving into questions of representation, this model assumes that the musical surface[1] as represented by the notes via Western musical notation are salient and can be perceived as distinct perceptual phenomena. Although there is work that suggests that different cultures and levels of experience might not categorize melodic information universally (**?**), other work suggests that experiencing pitches as discrete, categorical phenomena is categorized as a statistical human universal (**?**). For the purposes of this model I assume that individuals do in fact perceive the musical surface similarly to the written score.

Knowing that it is melodic information or melodic data that needs to be represented, the question then becomes what is the best way in which to represent it. This issue becomes increasingly complex when considering literature suggesting that the human mind represents musical information in a variety of different forms (**??**).

For the purposes of this model and further examples I choose to represent musical information using both the pitch (note and scale degree) and timing (rhythm and inter-onset-interval) representation described in

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[1]As conceptualized as either a Schenkerian foreground (**?**) or defined by **?**

?. Future research comparing this model's output using different representations will also contribute to conversations regarding pedagogy in that if one form of data representation mirrors human behavior better than others, it would provide more than evidence in support of the pedagogy of one system over another. How the model represents musical information is the first important parameter value that needs be chosen before running the model and this establishes the *Prior Knowledge.*

7.3.2 Contents of the Prior Knowledge

The *Prior Knowledge* consists of a corpus of digitally represented melodies taken to reflect the implicitly understood structural patterns in a musical style that the listener has been exposed to. The logic of representing an individual's prior knowledge follows the assumptions of both the Statistical Learning Hypothesis (SLH) and the Probabilistic Prediction Hypothesis (PPH), both core theoretical assumptions of the Information Dynamic of Music (IDyOM) model of Marcus Pearce (**??**). Using a corpus of melodies to represent an individual's prior knowledge relies on the Statistical Learning Hypothesis which states:

> musical enculturation is a process of implicit statistical learning in which listeners progressively acquire internal models of the statistical and structural regularities present in the musical styles to which they are exposed, over short (e.g., an individual piece of music) and long time scales (e.g., an entire lifetime of listening). p.2 (Pearce, 2018)

The logic here is that the more an individual is exposed musical material, the more they will implicitly understand it which leads the corroborating probabilistic prediction hypothesis which states:

> while listening to new music, an enculturated listener applies models learned via the SLH to generate probabilistic predictions that enable them to organize and process their mental representations of the music and generate culturally appropriate responses. p.2 (Pearce, 2018).

Taken together and then quantified using Shannon information content (**?**), it then becomes possible using the IDyOM framework to have a quantifiable measure that reliably predicts the amount of perceived unexpectedness in a musical melody that can change pending on the musical corpus that the model is trained on. As a model IDyOM has been successful mirroring human behavior in melodies in various styles (**?**), harmony– outperforming (**?**) sensory models of harmony (**?**)–, and is also being developed to handle polyphonic materials (**?**).

Stepping beyond the assumptions of IDyOM, the prior knowledge also needs to have a implicit/explicitly known parameter which indicates whether or not an pattern of music– or n-gram[2] pattern– is explicitly learned. This threshold can be set relative to the entire distribution of all n-grams in the corpus.

7.3.3 Modeling Information Content

Having established that the models' first parameters to be decided are the representation of strings and the implicit/explicit threshold, the next decision that has to be made is how the model decides segmentation for the second stage of *Selective Attention*. Although there has been a large amount of work on different ways to segment the musical surface using rule based methods (**????**), which rely on matching a music theorist's intuition with a set of descriptive rules somewhat like the boundary formation rules put forward in *A Generative Theory of Tonal Music*, as noted by Pearce (**?**), rule based models often fail at when applied to music outside the Western art music canon. Additionally, since melodic dictation is an active memory process, rather than a semi-passive process of listening, this model needs to be able to quantify musical information on two conditions. The first is that it must be dependent on prior musical experience. The second is that it should allow for a movable boundary for selective attention so that musical information that is memory can be actively maintained while carrying out another cognitive process, that of notating the melody.

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[2]n-grams refer to the amount of musical objects in a string. For example a bi-gram or 2-gram, would be an interval. Tri-grams or 3-grams would consist of two intervals and so on.

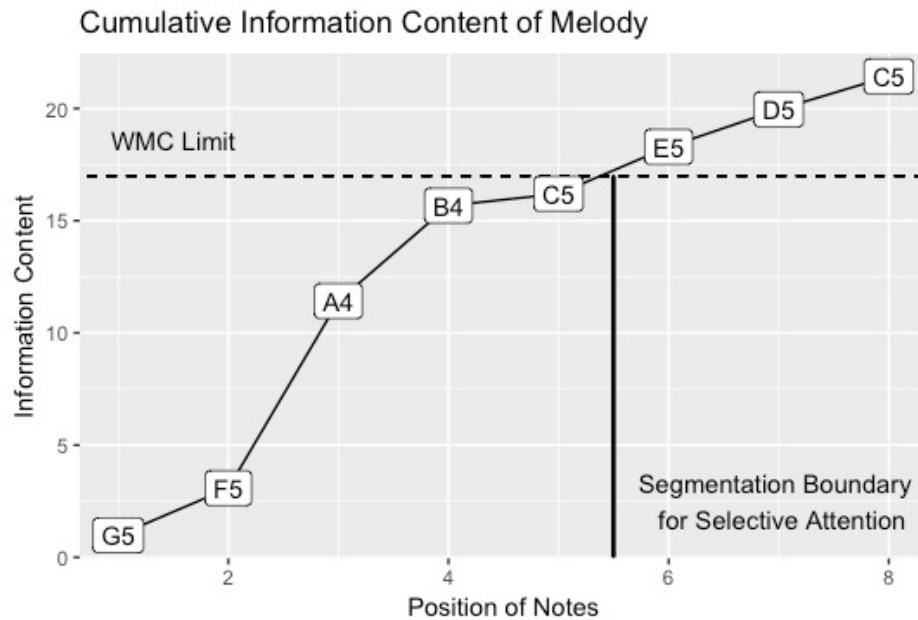Figure 7.1: Cadential Excerpt from Schubert's Octet in F Major

Figure 7.2: Cumulative Information in Schubert Octet Excerpt

In order to create this metric, I rely on IDyOM's use of information content (**?**) which quantifies the information content of melodies based on corpus of materials. For example, when trained against a corpus of melodies, this excerpt in Figure 7.1 from the fourth movement of Schubert's *Octet in F Major* (D.803) lists the information content of the excerpt calculated for each note atop the notation[3] Appearing in Figure 7.2, I plot the cumulative information content of the melody, along with both an arbitrary threshold for the limits of working memory capacity and where the subsequent segmentation boundary for musical material to be put in the *Selective Attention* buffer would be. These values chosen show a small example of how the *Selective Attention* module works. The advantage of operationalizing how an individual hears a melody like this is that melodies with lower information content, derived from an understanding of having more predictable patterns from the corpus, will allow for larger chunks to be put inside of the selective attention buffer. Additionally, individuals with higher working memory capacity would be able to take in more musical information.

It is important to highlight that the notes above the melody here are dependent on what is current in the *Prior Knowledge* module. A corpus of *Prior Knowledge* with less melodies would lead to higher information content measures for each set of notes, while a prior knowledge that has extensive tracking of the patterns would lead to lower information content. This increase in predictive accuracy mathematically reflects the

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[3]The following musical examples is taken from **?** reflects a model where IDyOM was configured to predict pitch with an attribute linking melodic pitch interval and chromatic scale degree (pitch and scale degree) using both the short-term and long-term models, the latter trained on 903 folk songs and chorales (data sets 1, 2, and 9 from table 4.1 in (**?**) comprising 50,867 notes.

intuition that those with more listening experience can process greater chunks of musical information.

7.3.4 Setting Limits with Transcribe

With each note then quantified with a measure of information content, it then becomes possible to set a limit on the maximum amount of information that the individual would be able to hold in memory as defined by the *Selective Attention* module. A higher threshold would allow for more musical material to be put in the attentional buffer, and a lower threshold would restrict the amount of information held in an attentional buffer. By putting a threshold on this value, this serves as something akin to a perceptual bottleneck based on the assumption that there is a capacity limit to that of working memory (**??**). Modulating this boundary will help provide insights into the degree to which melodic material can be retained between high and low working memory span individuals.

In practice, notes would enter the attentional buffer until the information content from the melody is equal to the memory threshold. At this point, the notes that are in the attentional buffer are segmented and will be actively maintained in the *Selective Attention* buffer. In theory, the maximum of the attentional buffer should not be reached since the individual performing the dictation would still need mental resources and attention to actively manipulate the information in the attentional buffer for the process of notating.

7.3.5 Pattern Matching

With subset of notes of the melody represented in the attentional buffer, whether or not the melody becomes notated depends on whether or not the melody or string in the buffer can be matched with a string that is explicitly known in the corpus. Mirroring a search pattern akin to Cowan's Embedded Process model (**??**), the individual would search across their long term memory, or *Prior Knowledge* for anything close to or resembling the pattern in the *Selective Attention* buffer. Cowan's model differs from other more module based models of working memory like those of **?** by positing that working memory should be conceptualized as a small window of conscious attention. As an individual directs their attention to concepts represented in their long term memory, they can only spotlight a finite amount of information where categorical information regarding what is in the window of attention not far from retrieval. An example of this bottle necking is given after a formal statement of the model. Using this logic, longer pattern strings n-grams would be less likely to be recalled exactly since they occur less frequently in the prior knowledge.

When searching for a pattern match, the *Transcription* module is at work. If a pattern match that has been moved to *Selective Attention* is immediately found, the contents of *Selective Attention* would be considered to be notated. The model would register that a loop had taken place and document the n-gram match. Of course, finding an immediate pattern match each time is highly unlikely and the model needs to be able to compensate if that happens.

If a pattern is not found in the initial search that is *explicitly* known, one token of the n-gram would be dropped off the string and the search would happen again. This recursive search would happen until an explicit long term memory match is made. Like humans taking melodic dictation, the computer would have the best luck finding patterns that fall within the largest density of a corpus of intervals distribution. Additionally, like students performing a dictation, if a student does not explicitly know an interval, or a 2-gram, the dictation would not be able to be completed. If this happens, both the model and student would have to move on to the next segment via the *Re-entry* function.

Eventually there would be a successful explicit match of a string in the *Transcription* module and that section of the melody would be considered to be dictated. The model here would register that one iteration of the function has been run and the chunk transcribed would then be recorded. After recording this history, the process would happen again starting at either the next note from where the model left off, the note in the entire string with the lowest information content, or n-gram left in the melody with that is most represented in the corpus. This parameter is defined before the model is run and the question of dictation re-entry certainly warrants further research and investigation.

This type of pattern search is also dependent on the way that the *Prior Knowledge* is represented. In the example here, both pitch and rhythmic information are represented in the string. Since there is probably a very low likelihood of finding an exact match for every n-gram with both pitch and rhythm, this pattern search can happen again with both rhythms and pitch information queried separately. If not found, exact pitch-temporal matches are found and the search is run again on either the pitch or rhythmic information separately; this would be computationally akin to Karpinski's proto-notation that he suggests students use in learning how to take melodic dictation (**?**, p.88). This feature of the model would predict that more efficient dictations would happen when pitch and interval information is dictated simultaneously. Running the model prioritizing the secondary search with either pitch or rhythmic information will provide new insights into practical applications of dictation strategies. Using this separate search feature as an option of the model seems to match with the intuitions strategies that someone dictating a melody might use.

7.3.6   Dictation Re-Entry

Upon the successful pattern match of a string, the *Selective Attention* and *Transcription* module would need too then be run again. This process is done via the *Re-entry* function. As noted above, re-entry in the melody could be a highly subjective point of discussion. The model could either re-enter at the last note where the function successfully left off, the note in the melody with the lowest information content, the n-gram most salient in the corpus, or theoretically any other type of way that could be computationally implemented. Entering at the last note not transcribed is logical from a computational standpoint, but this linear approach seems to be at odds with anecdotal experience. Entering at the note with the lowest information content seems to provide a intuitive point of re-entry in that it would then be easier to transcribe. Entering at the most represented n-gram seems to match the most with intuition in that people would want to tackle the easier tasks first, but this rests on the assumption that humans are able to reliably detect the sections of a melody that are easiest to transcribe based on implicitly learned statistical patterns. For example, some people might instead choose to go to the end of a melody after successful transcription of the start of the melody. This might be because this part of the melody is most active in memory due to a recency effect, or it could be that that cadential gestures are more common in being represented in the prior knowledge.

7.3.7   Completion

Given the recursive nature of this process, if all 2-grams are explicitly represented in the *Prior Knowledge* then the target melody should be transcribed. If only represented using such a small chunk, the model will have to loop over the melody many times, thus indicating that the transcriber had a high degree of difficulty dictating the melody. If there is a gap in explicit knowledge in the prior knowledge, only patches of the melody will be recorded and the melody will not be recorded in its entirety. An easier transcription will result in less iterations of the model with larger chunks. Though the current instantiation of the model does not incorporate how multiple hearings might change how a melody is dictated, one could constrain the process to only allow a certain number of iterations to reflect this. Of course as a new melody is learned it is slowly being introduced into long term memory and could be completely be capable of being represented in long term memory without being explicitly notated at the end of a dictation with time running out and thus not possible to be completed. This of course then would be imposing some sort of experimental constraint on the process and since this is meant to be a cognitive computational model of melodic dictation this caveat would complicate the model. Future research could be done to optimize the choices that the model makes in order to satisfy whatever constraints are imposed and could be an interesting avenue of future research, but are beyond the initial goals of the model.

7.3.8 Model Output

The model then outputs each n-gram transcribed and can be counted as a series with less attempts mapping to an easier transcription. I believe that this lines with many intuitions about the process of melodic dictation. It first creates a linear mapping of attempts to dictate with difficulty of the melody. It relies on a distinction between explicit and implicit statistical knowledge. It is based on the Embedded Process Model from working memory and attention, so is part of a larger generative model, giving more credibility that this *could* be how melodic dictation works.

7.4 Formal Model

Below I present the computational model in psudeocode as described in Figure 7.3. First listed are the defined inputs, the functions needed to run the algorithm, and then the sequence the model runs. To aid distinguishing between functions and objects, I put functions in italics and objects in bold. Below the model in Figure 7.4, I provide a brief walk through of one iteration of the model.

7.4.1 Computational Model

7.4.2 Example

The example above shows one iteration of the model run using the musical example from above using a hypothetical corpus for the pattern matching. Using the model above, the following inputs were defined *a priori*:

- The **Prior Knowledge** is a hypothetical corpus of symbolic strings representing all n-grams of melodies
- The **Threshold** is set to **five** exact matches in the **Prior Knowledge**
- The **WMC** is set at 17
- The **Target Melody** is the Schubert excerpt from above
- The **String Position** object is used to track the position in the dictation
- The **Difficulty** object starts at 0
- The **Dictation** object is `NULL` to begin, and each new n-gram successfully transcribed is annexed to it

Figure 7.4 progresses from left to right over the course of time. The algorithm begins by first running the `listen()` function on the **Target Melody**. First the model checks that there are notes to transcribe; this being the first loop of the model, this is statement will be `FALSE` so the next step is taken. Notes of the **Target Melody** are read in to the **Selective Attention** buffer until the information content of the melody exceeds that of the working memory threshold. This is depicted graphically in the leftmost panel of Figure 7.4. Each note unfolding over time fills up the **Selective Attention** working memory buffer. When the amount of information reaches the perceptual bottleneck– as indicated by the dashed line– the **Selective Attention** buffer stops receiving information. At this point the model will mark where in the melody it stopped taking in new information for later. Here the contents in **Selective Attention** are moved to the `transcribe()` function.

With the contents of **Selective Attention** passed to `transcribe()`, the model adds one to the counter indicating the first search is about to run. Moving to the middle panel of Figure 7.4, the symbol string of notes in the first column are indexed against the **Prior Knowledge**. Only if a five note pattern has appeared more than or equal to five times, as determined by the **Threshold** input, will the corresponding `EXPLICIT` column be `TRUE`. In this case, this pattern has occurred over the threshold of 5 and thus a successful match is found. It is at this step that the search resembles that of Cowan's model of working memory as active attention. The pattern being searched for is compared against a vast amount of information, with cues from the contents of what is in **Selective Attention** grouping similar patterns together. At the neural level, this is most likely a much more complex process, but to show this grouping I note that this search is at least

Computational Model

Define Inputs

priorKnowledge ← corpus of symbolic strings representing all possible n-grams of melodies
Consists of complex (IDyOM) and simple (pitch and rhythm) representation
threshold ← threshold set for **priorKnowledge** that determines which n-grams are explicitly represented
wmc ← individual limit on amount of information that can be held in memory
selectiveAttention ← buffer used to hold truncated melodies
targetMelody← novel melody represented as symbol string with calculated information content
stringPosition ← object used to track position in dictation
difficulty ← counter used to track number of iterations of model

dictation ← segmented string that holds n-grams parsed by model

Define Functions

listen ← function(**targetMelody**){
1.   IF length(targetMelody == 0 { DONE }
2.   ELSE{ Read in symbols of target melody until melody information content >= **wmc**
3.   Put symbols into **selectiveAttention**
4.   **stringPosition** ← floor(selectiveAttention$position)
5.   Move contents of **selectiveAttention** to *transcribe* }

transcribe ← function(**selectiveAttention**){
1.   Current string counter ++
2.   Pattern match **selectiveAttention** to corpus where explicit == TRUE
   a.   IF(Match == TRUE) { run notateReentry on **selectiveAttention** }
   b.   IF(NO match found) { drop 1 token; re-run *transcribe* }
   c.   IF(NO 2-gram found) { run separate searches on **priorKnowledge** simple notation}
3.   Pattern match **selectiveAttention** to **priorKnowledge** pitch representation where explicit == TRUE
4.   Pattern match **selectiveAttention** to **priorKnowledge** rhythm representation where explicit == TRUE
5.   If no 2-grams found, run *notateReentry* with noMatch == TRUE

notateReentry ← function(**selectiveAttention**, noMatch == FALSE){
1.   IF (noMatch == TRUE) { run *listen* at position **stringPosition** + 1 }
2.   ELSE { **dictation** ←← **selectiveAttention**; run *listen* at position **stringPosition** + 1 }

Run Model

*listen(***targetMelody)**
transcribe()
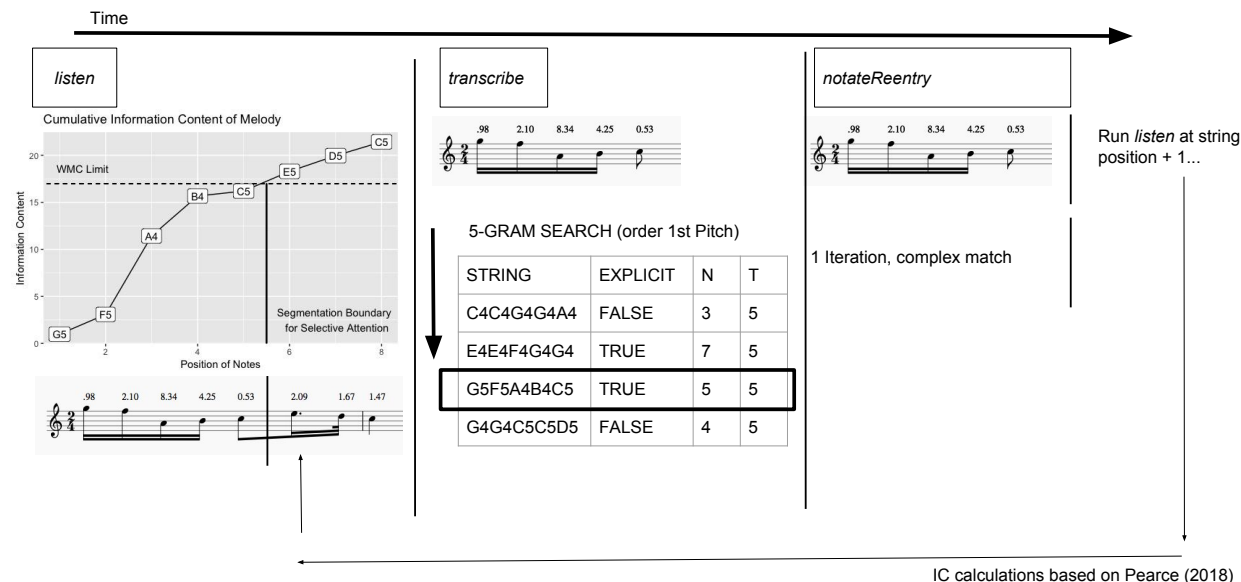*notateReentry*()

Figure 7.3: Formal Model

Figure 7.4: Model Example

organized by the first pitch. I assume it would be reasonable that patterns starting on G as $\hat{5}^4$ might happen together. Since this string does have a TRUE match with EXPLICIT, the contents of **Selective Attention** are considered notated. At this point the model would record the 5-gram, along with the string that it was matched with. the function would then re-run the listen function via the notateReentry() function at the next point in the melody as tracked by the **String Position** object.

If there were not to have been an exact match, the model would remove one token from the melody and performed the search again on the knowledge of all 4-grams and add one to the **Difficulty** counter. This process would happen recursively until a match is found. If no match is found in either the complex representation, or that of the two rhythm and pitch corpora, the fifth step of transcribe() would trigger notateReentry() to be run without documenting the n-gram currently being dictated. This would be akin to a student not being able to identify a difficult interval, thus having to restart the melody at a new position. Decisions about re-entry warrant further research and discussion, but this model for the sake of parsimony, assumes linear continuation. As noted in §7.3.5, other modes of re-entry could be incorporated into the model.

This looping process would occur again and again until the entire melody is notated. With each iteration of each n-gram notated, the difficulty counter would increase in relation to the representation of that string in the corpus. This provides an algorithmic implementation of a theorist's intuition that less common n-grams or intervals (2-grams) are going to lead to higher difficulty in dictation. Also worth noting is steps 3 and 4 in the transcribe() function are akin to Karpinski's proto-notation. Further research might consider advantages in the order of searching the **Prior Knowledge** corpora.

7.5 Conclusions

In this chapter, I presented an explanatory, computational model of melodic dictation. The model combines work from computational musicology and work from cognitive psychology. In addition to being a complete model that explicates every step of the dictation process, the model seems to match phenomenological intuitions as to the process of melodic dictation. Given the current state of the model, it makes predictions

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[4]As determined by being calculated against the corpus with both pitch and scale degree information

about the dictation process and can eventually be implemented and tested against human behavioral data to provide evidence in support of its verisimilitude. For example, the model predicts:

- Segments of melodies are likely successfully to be dictated relative to the frequency distribution of their prior knowledge.
- Higher working memory span individuals will be able to dictate bigger chunks of melodies, and thus perform better at dictation
- Using an *atomistic* dictation will result not as effective dictations than attempting to identify larger patterns
- Determining the difficulty of melodies of equal length is predictable from the frequency the melody's cumulative n-gram distribution.
- Some *atonal* melodies will be easier to dictate than tonal melodies if they consist of patterns that are more frequent in a listener's prior knowledge
- Higher exposure to sight-singing results in more explicitly learned patterns, thus the ability to identify larger patterns of music

Although many of these hypotheses might seem intuitive to any instructor that has taught aural skills before, work from this dissertation provides a theory as to why each appears to be true. Future research beyond this dissertation will explore further predictions of this work in more detail. Most importantly from a pedagogical standpoint, the model and underlying theory gives exact language as to how and why melodic dictation works, which can serve as a valuable pedagogical and research contribution.

Chapter 8

Reference Log

8.1 To Incorporate

- (**?**) – Margulis Model
- (**?**) – Specialty jazz background helps in tasks, WMC
- (**?**) – Fix intext
- (**?**) – Quote about why people should do ear training
- (**?**) – Quote from K2001 about why people should do ear training
- (**?**) – Musical Characteristics predict memory
- (**?**) – Great citation that lots of things change memory, even structural!
- (**?**) – Long boring talk on STM, LTM
- (**?**) – Awful experimental design that says people use structual tones
- (**?**) – Call for experimental, suggestions as to what factors might contribute, use of deductive reasoning, qualitative
- (**?**) – People need to focus right away, not establish, distractors
- (**?**) – Showing people visual music does not help much.
- (**?**) – Listening helps with other things, no best strategy in terms of writing
- (**?**) – Literature to say people are bad at teaching melodic dictation and we don't know a lot about it, also interesting stuff about what solfege systems people use
- (**?**) – Call for music educators to do aural skills research, notes problem with aural skills pedagogy in lack of direction, also nice Nicholas Cook quotes on point of theory
- (**?**) – music ed study with weird stats, has references to follow up on with advantages of pitch systems and people who reccomend things for sight singing
- (**?**) – Effects of melodies, also how people do it. Interesting that they too effect of melodies, but talka bout things in terms of notes and not in terms of information content. Thought ot have an experiment where the n-grams that are more common are easier to write down. Lots of good charts too.
- (**?**) – It's not good if you tell people what to do when they are dictating, article has a lot of good review for dictation materials to add to the 'toRead' folder.
- (**?**) – Good references that people are awful at Aural Skills, Also suggestions that people are not that great at transfer, and some stuff to suggest academic abililty is intertwined in all of this. Good reference for when starting to talk about untangling the mess that is aural skills.
- (**?**, 1995) – Add on a new module to the WMC model of baddel with music, presents some evidence for why this theoretically should be included, but actually takes examples of dictation. A lot of this article felt like things that i was reinventing...not good.
- (**?**) – Proof some other people are starting to think in terms of pedagogical schemas
- (**?**) – Music cognition needs to talk to aural skills more, also need to unbind theory routine with aural skills and think of things more as in a perceptual learning hierarchy
- (**?**) – great quotes that when people get something wrong with aural skills, what does that even mean,

lack of transfer effects, article ends with ways to get better at things

- (**?**) – Survey of what people in the late 1980s were doing in terms of aural skills pedagogy
- (**?**) – addresses why Gary Karpinski thinks we should teach melodic dictation
- (**?**) – dictation teacher surprised that people don't keep up their dictaiton skills quote

8.2   Chapter 3

- (**?**) – This book will probably serve as cornerstone of chapter in terms of creating relevant literature in addition to EE course readings on WMC. Provides history of WMC models and notes how attention based model as opposed to Baddely loop might actually be better theoretical model for talking about fact that WMC could just be something related to attention if not that. Provides extensive listing on problems with chunking that are all relevant to music, but then also supports it. Shows that Miller 1956 is a generally bad citation, own author even says that in Miller 1989 (check and add) and says limit is probably about 4 (use Cowan 2001 for ctation find that). Lots of good ideas like how music is always serial recall, examples of how to model the process, great discussions on zooming out and categorical nature of music within span of WMC ideas.

- (**?**) – uses case of savant to argue bits of Berz WM Music Model

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