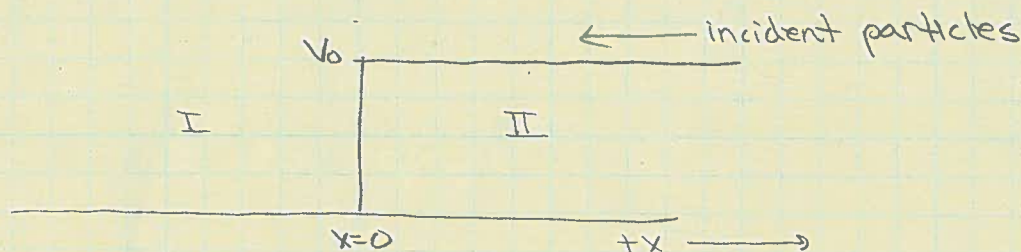


2.33



$$E > V_0$$

(a) in region I: $\frac{d^2\psi}{dx^2} + \frac{2m}{\hbar^2} E \psi = 0$

$$k_1^2 = \frac{2m}{\hbar^2} E$$

$$\psi_1(x) = B_1 e^{-jk_1 x}$$

* note: there is no component of ψ_1 in the $+x$ direction since region I is semi-infinite

in region II: $\frac{d^2\psi}{dx^2} + \frac{2m}{\hbar^2} (E - V_0) \psi = 0$

$$k_2^2 = \frac{2m}{\hbar^2} (E - V_0)$$

$$\psi_2(x) = A_2 e^{jk_2 x} + B_2 e^{-jk_2 x}$$

(b) Apply boundary conditions

$$\psi_1(0) = \psi_2(0)$$

$$\psi_1'(0) = \psi_2'(0)$$

$$B_1 = A_2 + B_2$$

$$-jk_1 B_1 = jk_2 A_2 - jk_2 B_2$$

$$B_1 = A_2 + B_2 \quad (1)$$

$$k_1 B_1 = k_2 B_2 - k_2 A_2 \quad (2)$$

$$R = \frac{A_2 \cdot A_2^*}{B_2 \cdot B_2^*}$$

\Rightarrow need A_2 in terms of B_2

since $k_i = k_r$

$$B_1 = A_2 + B_2 \quad \text{from (1)}$$

$$\text{plug into (2)} \quad k_1(A_2 + B_2) = k_2 B_2 - k_2 A_2$$

$$k_1 A_2 + k_1 B_2 = k_2 B_2 - k_2 A_2$$

$$(k_1 + k_2) A_2 = (k_2 - k_1) B_2$$

$$\text{so} \quad A_2 = B_2 \cdot \frac{(k_2 - k_1)}{(k_2 + k_1)}$$

$$\Rightarrow \boxed{R = \frac{(k_2 - k_1)^2}{(k_2 + k_1)^2}}$$

$$R + T = 1 \Rightarrow T = 1 - R = 1 - \frac{(k_2 - k_1)^2}{(k_2 + k_1)^2}$$

$$= \frac{(k_2 + k_1)^2 - (k_2 - k_1)^2}{(k_2 + k_1)^2}$$

$$= \frac{\cancel{k_2^2} + 2k_1 k_2 + \cancel{k_1^2} - \cancel{k_2^2} + 2k_1 k_2 - \cancel{k_1^2}}{(k_2 + k_1)^2}$$

$$\boxed{T = \frac{4k_1 k_2}{(k_2 + k_1)^2}}$$

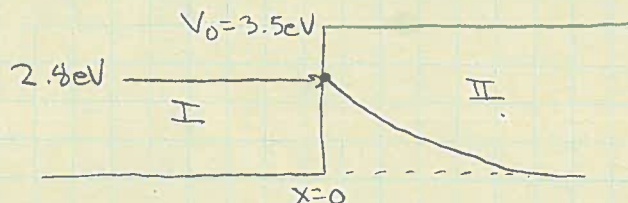
* note: if you 1st calculate T, you must use

$$T = \frac{B_1 \cdot B_1^*}{B_2 \cdot B_2^*} \cdot \frac{v_1}{v_2} = \frac{B_1 \cdot B_1^*}{B_2 \cdot B_2^*} \cdot \frac{k_1}{k_2}$$

since the k-vectors are different on the two sides

2.34

Consider electron with $KE = 2.8 \text{ eV}$



What is the relative probability of finding the electron at (a) 5 \AA , (b) 15 \AA , and (c) 40 \AA beyond the barrier compared to finding it at the barrier edge

in region II: $\psi(x) = Ae^{-k_2 x}$

$$k_2 = \sqrt{\frac{2m}{\hbar^2} (V_0 - E)} =$$

$$\psi(d) = Ae^{-k_2 d}$$

$$\psi(0) = A$$

$$\text{so relative probability is } P = \frac{A^2 e^{-2k_2 d}}{A^2} = e^{-2k_2 d}$$

see Ex 2.4

$$k_2 = \sqrt{\frac{2(9.11 \times 10^{-31} \text{ kg})(3.5 - 2.8 \text{ eV})(1.6 \times 10^{-19} \text{ J/eV})}{(1.054 \times 10^{-34} \text{ J}\cdot\text{s})^2}} = 4.29 \times 10^9 \text{ m}^{-1}$$

$$(a) \quad \exp(-2(4.29 \times 10^9 \text{ m}^{-1})(5 \times 10^{-10} \text{ m})) = \boxed{0.0137}$$

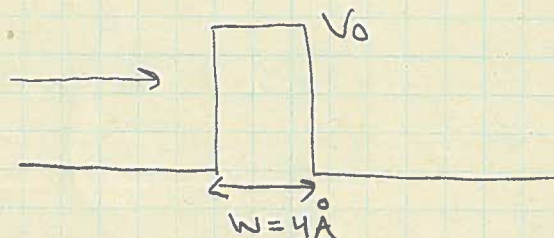
$$(b) \quad \exp(-2(4.29 \times 10^9 \text{ m}^{-1})(15 \times 10^{-10} \text{ m})) = \boxed{2.57 \times 10^{-6}}$$

$$(c) \quad \exp(-2(4.29 \times 10^9 \text{ m}^{-1})(40 \times 10^{-10} \text{ m})) = \boxed{1.24 \times 10^{-15}}$$

2.35

$$KE = 0.1 \text{ eV}$$

$$V_0 = 1.0 \text{ eV}$$



$$T = 16 \left(\frac{E}{V_0} \right) \left(1 - \frac{E}{V_0} \right) \exp(-2k_2 a)$$

$$(a) \quad k_2 = \sqrt{\frac{2(9.11 \times 10^{-31} \text{ kg})}{(1.054 \times 10^{-34} \text{ J} \cdot \text{s})^2} (1.0 \text{ eV} - 0.1 \text{ eV})(1.6 \times 10^{-19} \text{ J/eV})}$$

$$= 4.86 \times 10^9 \text{ m}^{-1}$$

$$T = 16 \left(\frac{0.1}{1.0} \right) \left(1 - \frac{0.1}{1.0} \right) \exp(-2(4.86 \times 10^9 \text{ m}^{-1})(4 \times 10^{-10} \text{ m}))$$

$$= 1.44 \cdot 0.0205 = 0.0295$$

$$(b) \quad T = 1.44 \exp(-2(4.86 \times 10^9 \text{ m}^{-1})(12 \times 10^{-10} \text{ m})) = 1.24 \times 10^{-5}$$

$$(c) \quad J = 1.2 \text{ mA/cm}^2 = 1.2 \times 10^{-3} \text{ C/cm}^2 \cdot \text{s}$$

$$J_T = \text{charge} \cdot T \cdot \text{incident Flux}$$

$$J_T = q \cdot T \cdot v_i \cdot N$$

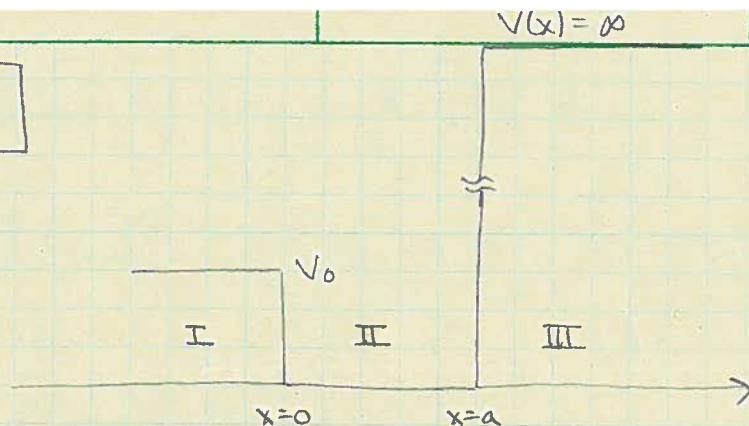
$$E_i = \frac{1}{2} m v_i^2 \Rightarrow v_i = \sqrt{\frac{2E}{m}} = \sqrt{\frac{2(0.1 \text{ eV})(1.6 \times 10^{-19} \text{ J/eV})}{9.11 \times 10^{-31} \text{ kg}}}$$

$$= 1.87 \times 10^5 \text{ m/s} = 1.87 \times 10^7 \text{ cm/s}$$

$$\text{so } N = \frac{J_T}{q \cdot T \cdot v_i} = \frac{1.2 \times 10^{-3} \text{ C/cm}^2 \cdot \text{s}}{(1.6 \times 10^{-19} \text{ C})(0.0295)(1.87 \times 10^7 \text{ cm/s})}$$

$$= 1.36 \times 10^{10} \text{ cm}^{-3}$$

2.40



- assume $E < V_0$

(a) Write wave solutions in each region

TISE gives: $\frac{d^2\psi}{dx^2} + \frac{2m}{\hbar^2} (E - V(x))\psi = 0$

region I: $E < V_0$

so $\frac{d^2\psi_1}{dx^2} - \frac{2m}{\hbar^2} (V_0 - E)\psi_1 = 0$

$$\Rightarrow k_1 = \sqrt{\frac{2m(V_0 - E)}{\hbar^2}}$$

so $\frac{d^2\psi_1}{dx^2} - k_1^2\psi_1 = 0$

$$\Rightarrow \psi_1(x) = A_1 e^{k_1 x} + B_1 e^{-k_1 x}$$

but $B_1 = 0$ to remain bounded as $x \rightarrow -\infty$

so $\boxed{\psi_1(x) = A_1 e^{k_1 x}}$

region II: $V(x) = 0$

so $\frac{d^2\psi_2}{dx^2} + \frac{2mE}{\hbar^2}\psi_2 = 0$

with $k_2 = \sqrt{\frac{2mE}{\hbar^2}}$

so $\boxed{\psi_2(x) = A_2 e^{jk_2 x} + B_2 e^{-jk_2 x}}$ general solution in II

- since $\psi_2(x)$ is "bound" by the well, it is better to use sine and cosine form

$\boxed{\psi_2(x) = A_2 \cos k_2 x + B_2 \sin k_2 x}$

in region III: $V(x) = \infty \Rightarrow \psi_2(a) = \psi_3(a) = 0$

↳ wave function does not penetrate into region III

- applying the boundary conditions at $x=0$ and $x=a$

$$\psi_1(0) = \psi_2(0) \Rightarrow \boxed{A_1 = A_2} \quad (1)$$

$$\psi_2(a) = \psi_3(a) = 0 \Rightarrow A_2 \cos k_2 a + B_2 \sin k_2 a = 0$$

$$\Rightarrow A_2 \cos k_2 a = B_2 \sin k_2 a$$

$$\Rightarrow \boxed{A_2 = -B_2 \tan k_2 a} \quad (2)$$

$$\psi_1'(0) = \psi_2'(0) \Rightarrow A_1 k_1 = -A_2 k_2 \sin 0 + B_2 k_2 \cos 0$$

$$\Rightarrow \boxed{A_1 = \frac{k_2}{k_1} B_2}$$

$$\Rightarrow \boxed{B_2 = \frac{k_1}{k_2} A_1} \quad (3)$$

so using (1) and (3) into (2) we get

$$A_1 = -\frac{k_1}{k_2} A_1 \tan k_2 a$$

$$\Rightarrow \frac{k_2}{k_1} = -\tan k_2 a$$

$$\text{or } \boxed{\sqrt{\frac{E}{V_0 - E}} = -\tan \left[\sqrt{\frac{2mE}{\hbar^2}} a \right]}$$

characteristic equation

- If we plot LHS vs RHS we will see that this equation is only valid for specific values of E

⇒ energy levels are quantized

$$\text{- assume } V_0 = 2E \Rightarrow -1 = \tan \left[\sqrt{\frac{2mE}{\hbar^2}} a + n\pi \right]$$

↑
periodic

- For plotting, assume $a = 5 \text{ \AA}$

$$\text{so } \rightarrow -1 = \tan \left[\frac{\sqrt{2m}}{\hbar} a \sqrt{E} + n\pi \right]$$

$$\frac{\sqrt{2m}}{\hbar} a = \frac{\sqrt{2(9.11 \times 10^{-31} \text{ kg})}}{1.054 \times 10^{-34} \text{ J}\cdot\text{s}} (5 \times 10^{-10} \text{ m})$$

$$= 6.403 \times 10^9 \frac{\sqrt{\text{kg}}}{\text{kg} \frac{\text{m}^2}{\text{s}^2}} \cdot \cancel{\text{m}}$$

$$= 6.403 \times 10^9 \frac{\sqrt{\text{kg}}}{\text{kg} \frac{\text{m}}{\text{s}}}$$

$$\text{units are } \frac{\sqrt{\text{kg}}}{\sqrt{\text{kg}} \cdot \sqrt{\text{kg}} \frac{\text{m}}{\text{s}}} = \frac{1}{\sqrt{E}}$$

$$\text{so we have } 6.403 \times 10^9 \frac{1}{\sqrt{E}}$$

$$\text{convert to } \frac{1}{\sqrt{\text{eV}}} : 6.403 \times 10^9 \frac{1}{\sqrt{E}} \cdot \frac{(1.6 \times 10^{-19} \text{ J})^{1/2}}{\sqrt{\text{eV}}}$$

$$= 2.5612 \frac{1}{\sqrt{\text{eV}}}$$

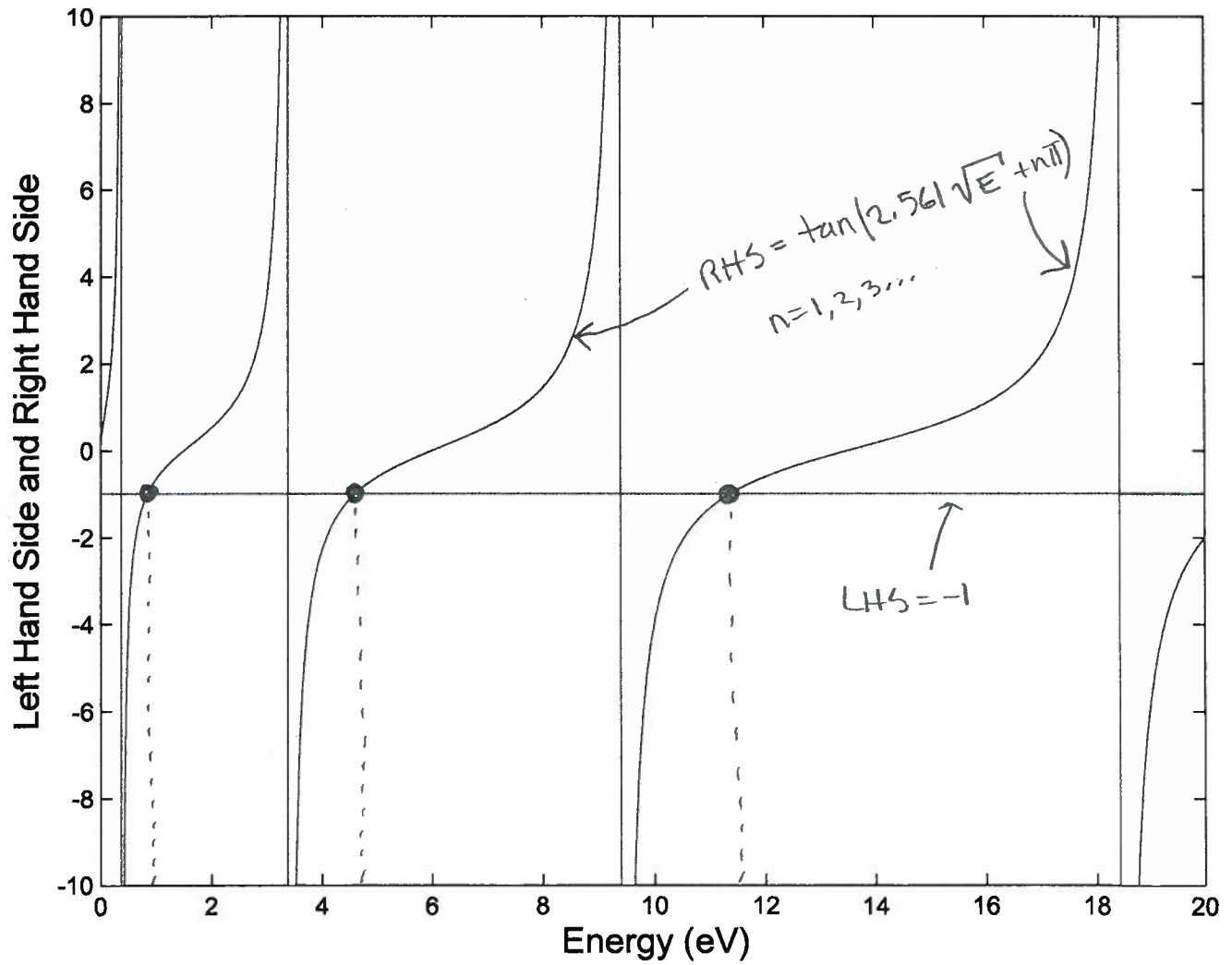
$$\text{- so we have } -1 = \tan \left[2.5612 \sqrt{E} + n\pi \right]$$

where E is in [eV]

plot LHS & RHS (see attached plot)

\rightarrow intersection points are the only allowed solutions for E

2.40



- dots show allowed values for E
- E is quantized

3.1

If the lattice constant (a_0) became smaller, the band gap would increase due to stronger interaction with the crystal.

If the lattice constant (a_0) became larger, the band gap would decrease

Larger bandgap \Rightarrow behaves more like an insulator

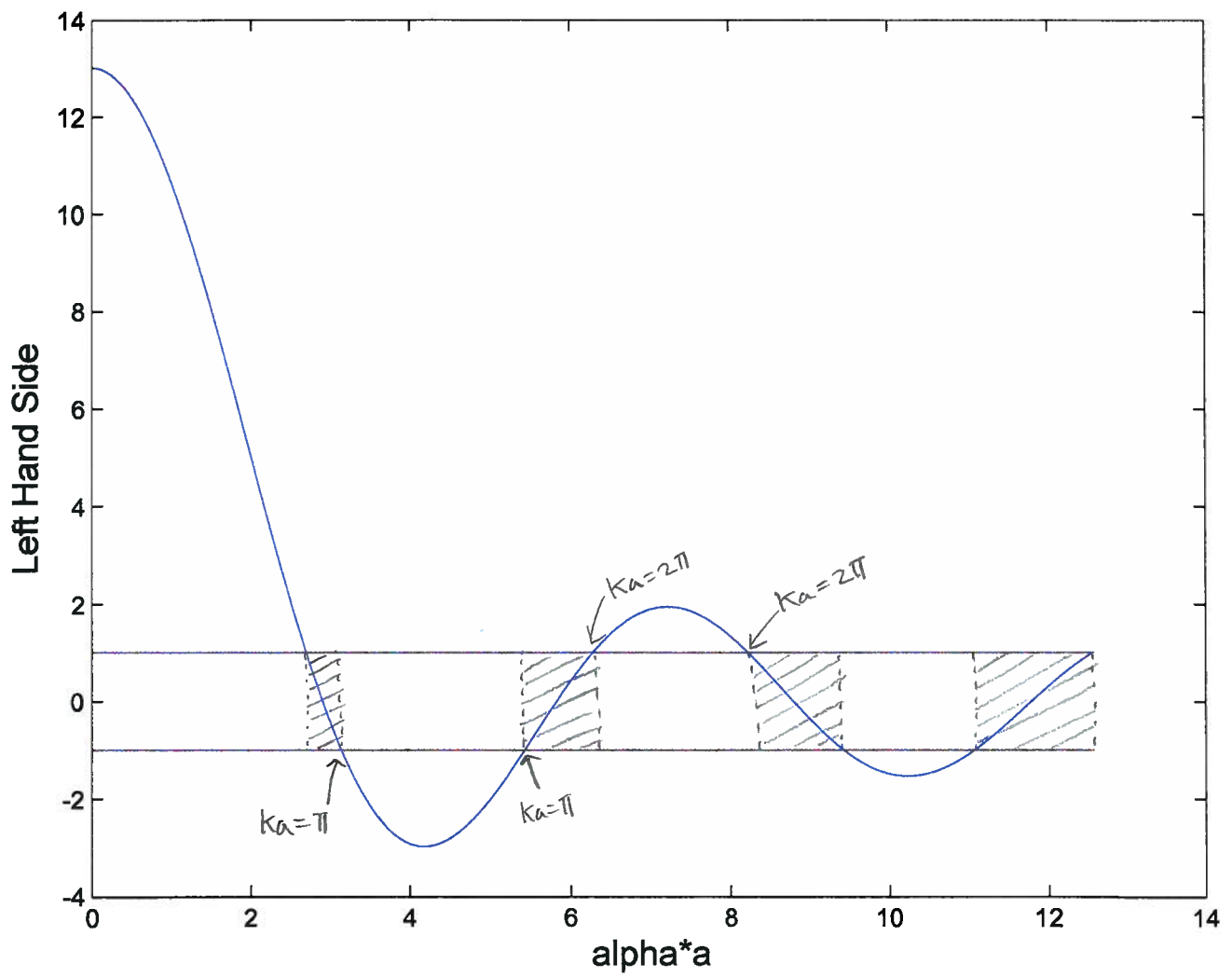
Smaller bandgap \Rightarrow behaves more like a metal

\hookrightarrow when $E_g \rightarrow 0$

3.5 Plot $F(xa) = \frac{12(\sin(xa))}{xa} + \cos(xa)$ For $0 \leq xa \leq 4\pi$

SEE Matlab plot

5.3



at $\kappa a = \pi$: $\kappa a = \pi$, $\alpha a = 1.729\pi$

at $\kappa a = 2\pi$: $\kappa a = 2\pi$, $\alpha a = 2.617\pi$

3.8 $a = 4.2 \text{ \AA}$, Free electron

- determine width of Forbidden bands that exist at
(a) $ka = \pi$ and (b) $ka = 2\pi$

(a) at $ka = \pi$ $\kappa a = \pi$ and 1.729π

$$\kappa^2 = \frac{2mE}{\hbar^2} \Rightarrow E = \frac{\kappa^2 \hbar^2}{2m}$$

$$\kappa_1 = \frac{\pi}{a} = 7.48 \times 10^9 \text{ m}^{-1}$$

$$\kappa_2 = \frac{1.729\pi}{a} = 1.29 \times 10^{10} \text{ m}^{-1}$$

$$E_1 = \frac{(7.48 \times 10^9 \text{ m}^{-1})^2 (1.054 \times 10^{-34} \text{ F.s})^2}{2(9.11 \times 10^{-31} \text{ Kg})}$$

$$= 3.41 \times 10^{-19} \text{ J} = 2.132 \text{ eV}$$

$$E_2 = \frac{(1.29 \times 10^{10} \text{ m}^{-1})^2 (1.054 \times 10^{-34} \text{ F.s})^2}{2(9.11 \times 10^{-31} \text{ Kg})} = 1.015 \times 10^{-18} \text{ J}$$

$$= 6.341 \text{ eV}$$

$$\text{so } E_{\text{gap } \pi} = 6.341 - 2.132 \text{ eV} = 4.21 \text{ eV}$$

b) at $ka = 2\pi$, $\kappa a = 2\pi$ and $\kappa a = 2.617\pi$

$$\kappa_1 = \frac{2\pi}{a} = 1.496 \times 10^{10} \text{ m}^{-1}$$

$$\kappa_2 = \frac{2.617\pi}{a} = 1.96 \times 10^{10} \text{ m}^{-1}$$

$$E_1 = \frac{(1.496 \times 10^{10} \text{ m}^{-1})^2 (1.054 \times 10^{-34} \text{ F.s})^2}{2(9.11 \times 10^{-31} \text{ Kg})} = 1.365 \times 10^{-18} \text{ J}$$

$$= 8.53 \text{ eV}$$

$$E_2 = \frac{(1.96 \times 10^{10} \text{ m}^{-1})^2 (1.054 \times 10^{-34} \text{ F.s})^2}{2(9.11 \times 10^{-31} \text{ Kg})} = 2.342 \times 10^{-18} \text{ J}$$

$$= 14.64 \text{ eV}$$

$$\text{so } E_{\text{gap } 2\pi} = 14.64 - 8.53 = 6.11 \text{ eV}$$

3.9 $a = 4.2 \text{ \AA}$

determine the width in eV of the allowed energy bands for

(a) $0 < ka < \pi$

(b) $\pi < ka < 2\pi$

From 3.5, using the matlab plot

(a) at $ka=0 \Rightarrow \kappa a = 2.699 \Rightarrow \kappa_1 = \frac{2.699}{a} = 6.43 \times 10^9 \text{ m}^{-1}$
 at $ka=\pi \Rightarrow \kappa_2 a = \pi \Rightarrow \kappa_2 = \frac{\pi}{a} = 7.48 \times 10^9 \text{ m}^{-1}$

so we get $\Delta E = \frac{\hbar^2}{2m} (\kappa_2^2 - \kappa_1^2)$

$$= \frac{(1.054 \times 10^{-34} \text{ J}\cdot\text{s})^2}{2(9.11 \times 10^{-31} \text{ kg})} \left[(7.48 \times 10^9 \text{ m}^{-1})^2 - (6.43 \times 10^9 \text{ m}^{-1})^2 \right]$$

$$= 8.9 \times 10^{-20} \text{ J}$$

$$= 0.557 \text{ eV}$$

(b) For the 2nd band

at $ka=\pi \Rightarrow \kappa a = 1.729\pi \Rightarrow \kappa_1 = \frac{1.729\pi}{a} = 1.29 \times 10^{10} \text{ m}^{-1}$
 at $ka=2\pi \Rightarrow \kappa a = 6.283 \Rightarrow \kappa_2 = \frac{6.283}{a} = 1.50 \times 10^{10} \text{ m}^{-1}$

$$\Delta E = \frac{(1.054 \times 10^{-34} \text{ J}\cdot\text{s})^2}{2(9.11 \times 10^{-31} \text{ kg})} \left[(1.50 \times 10^{10} \text{ m}^{-1})^2 - (1.29 \times 10^{10} \text{ m}^{-1})^2 \right]$$

$$= 3.57 \times 10^{-19} \text{ J}$$

$$= 2.23 \text{ eV}$$