

# Hölder estimates for the Hausdorff distance and a quasi-metric

Ayo Aitokhuehi, Benjamin Braiman, David Owen Horace Cutler\*, Mel Deaton, Jude Horsley, Jen Tang, Prakhar Gupta, Vasanth Pidaparthi, Tamás Darvas

University of Maryland

January 2025 (edited July 2025)



# Acknowledgements

This work was an REU project accomplished with from the NSF through grants DMS 1846942, 2149913, 2405274.

The project benefited from invaluable conversations with doctoral students Prakhar Gupta and Vasanth Pidaparthi, as well as from the advising of Professor Tamás Darvas.

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- 2  $\mathcal{K}^n$  is the family of compact, convex sets in  $\mathbb{R}^n$ .
- 3  $\mathcal{K}^n$  is a complete metric space with the **Hausdorff distance**

$$d_{\mathcal{H}}(K, L) := \max \left\{ \sup_{x \in K} d(x, L), \sup_{y \in L} d(y, K) \right\}$$

# The Minkowski Sum

- 1 The Minkowski sum  $K + L$  is the set

$$K + L := \{x + y : x \in K, y \in L\}.$$

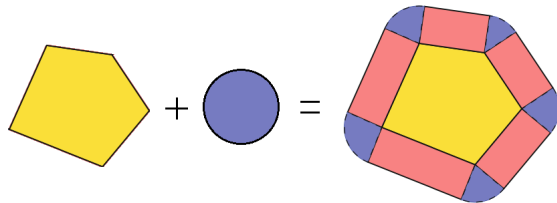
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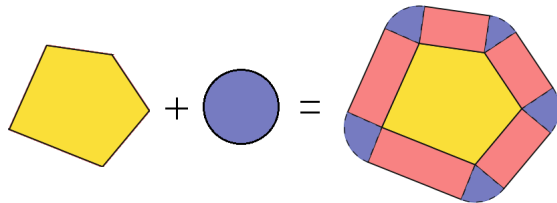


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- 2 If  $t \geq 0$ , the Minkowski dilate  $tK$  is the set

$$tK := \{tx : x \in K\}.$$

# Mixed Volumes

- ① (Brunn-Minkowski) There are real numbers  $MV_j(K, L) \geq 0$  for  $0 \leq j \leq n$  such that

$$\text{Vol}(K + tL) = \sum_{j=0}^n \binom{n}{j} MV_j(K, L) t^j$$

for all  $t \geq 0$ .

- ② The quantity  $MV_j(K, L)$  is called the  $j$ -th *mixed volume* of  $K$  and  $L$ .



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$$d_G(K, L) = \sum_{j=1}^n 2MV_j(G, K \tilde{\cup} L) - MV_j(G, K) - MV_j(G, L).$$

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- 3  $d_G$  satisfies all the axioms of metric except for the triangle inequality
- 4 This quantity  $d_G$  is the principle object of our study

# A Brief Detour into Complex Geometry

- 1 Darvas-Di Nezza-Lu in [2] define a quasi-metric  $d$  between singularity types of psh functions on a Kähler manifold.
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- 2  $d$  satisfies all the axioms of a metric (i.e., symmetry, non-negativity, and non-degeneracy), except for the triangle inequality.
- 3 Rather, the quasi-triangle inequality holds:

$$d([u], [v]) \leq D(d([u], [w]) + d([w], [v]))$$

for all singularity types  $[u], [v], [w]$  for a fixed  $D > 1$ .

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$$d([u], [v]) = \sum_{j=1}^n 2MV_j(P, K \tilde{\cup} L) - MV_j(P, K) - MV_j(P, L) = d_P(K, L)$$

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- 3  $d_G$  is a natural generalization of  $d_P$ !



## Questions:

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- 2 Are there inequalities relating  $d_G$  and  $d_{\mathcal{H}}$ ?
- 3 Does the choice of  $G$  play any significant role in the definition of  $d_G$ ?

# Our Work

## Theorem

*There exists  $C > 0$  such that*

$$d_G(K, L) \leq C \cdot d_{\mathcal{H}}(K, L)$$

*for all  $K, L \in \mathcal{K}(G)$ . Moreover, if  $\beta > 1$ , then no inequality of the form  $d_G(K, L) \leq C \cdot d_{\mathcal{H}}(K, L)^\beta$  can hold for all  $K, L$ .*

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# Improvements in Special Cases

## Theorem

*If  $G$  is a convex polytope, then exists  $A > 0$  such that*

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## Theorem

*If  $G$  has  $C^2$  boundary, then there exists  $A > 0$  such that*

$$A \cdot d_{\mathcal{H}}(K, L)^{\frac{n+1}{2}} \leq d_G(K, L)$$

*for all  $K, L \in \mathcal{K}(G)$ .*



# A First Lipschitz Estimate

We prove the following inequality:

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# Idea

- 1 Mixed volumes are Lipschitz continuous:

$$MV_j(G, K \tilde{\cup} L) - MV_j(G, K) \leq jMV_1(G, B)d_{\mathcal{H}}(K, L)$$

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- 3 Add everything up:

$$\begin{aligned}d_G(K, L) &= \sum_{j=1}^n 2MV_j(G, K \tilde{\cup} L) - MV_j(G, K) - MV_j(G, L) \\&\leq \sum_{j=0}^n 2jMV_1(G, B)d_{\mathcal{H}}(K, L) \\&\leq 2n^2MV_1(G, B)d_{\mathcal{H}}(K, L)\end{aligned}$$

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- 4  $d_G(K, L) \leq C \cdot d_{\mathcal{H}}(K, L)$ , where  $C = 2n^2MV_1(G, B)$

# Lower Hölder Bound of $d_{\mathcal{H}}$ on $d_G$

## Theorem

*There exists  $D > 0$  such that*

$$D \cdot d_{\mathcal{H}}(K, L)^n \leq d_G(K, L)$$

*for all  $K, L \in \mathcal{K}(G)$ .*

# Getting Rid of Mixed Volumes

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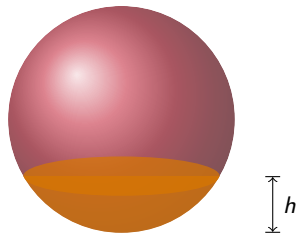
3 So, we can use  $\rho_G$  to prove estimates for  $d_G$ .

# Convex Caps

- A **convex cap** of  $G$  is

$$C_G(u, h) := \{x \in G : u \cdot x \geq \sup_{y \in G} u \cdot y - h\},$$

for some unit vector  $u$  and height  $h \geq 0$ .

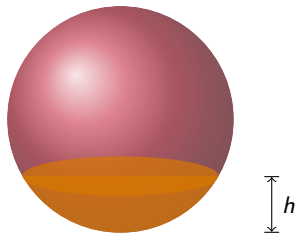


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- These convex caps prove to be powerful tool to analyze lower bounds of the form

$$A \cdot d_{\mathcal{H}}(K, L)^p \leq d_G(K, L)$$

for general convex bodies  $G$ .

# Estimation in Four Short Steps

Using caps and getting rid of mixed volumes allows us a new idea to find a lower bound:

- 1 Need a bound of the form

$$C \cdot d_{\mathcal{H}}(K, L)^n \leq \rho_G(K, L) = 2V(G + K \tilde{\cup} L) - V(G + K) - V(G + L);$$

by monotonicity then suffices to get a lower bound by  $C \cdot d_{\mathcal{H}}(K, L)^n$  of

$$V(G + K \tilde{\cup} L) - V(G + K) \quad \text{or} \quad V(G + K \tilde{\cup} L) - V(G + L),$$

which given the respective containments reduces to bounding volumes of

$$(G + K \tilde{\cup} L) \setminus (G + K) \quad \text{or} \quad (G + K \tilde{\cup} L) \setminus (G + L).$$

# Estimation in Four Short Steps

- 2 Use some convex geometry to stick a cap of  $G$  with height  $d := d_{\mathcal{H}}(K, L)$  in one of these sets.
- 3 Stick a cone in that cap, which has volume itself that looks like  $d^n$  up to a constant.
- 4 Profit!

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We know step (1) makes sense. We can also provide some intuition for (3), starting in  $\mathbb{R}^2$ .

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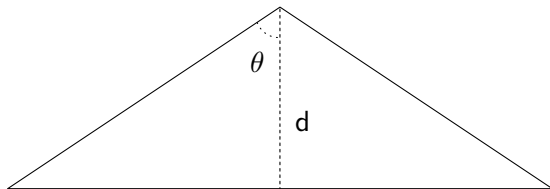
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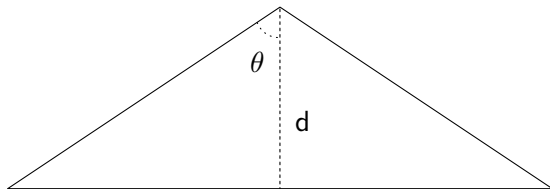




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where our cone in this case is our cap, and there is a trigonometric relation relating the length of the base directly to  $d$ , such that the area of the cone is  $d^2$  up to a constant.

# What's Believable, What's Not?

This idea clearly also gives intuition in higher dimensions; a short argument proves the desired statement entirely. We want then to more rigorously justify (2).

To do so, we introduce a key lemma that explains why (2) even holds.

# A Return to Convex Geometry

Define  $(p, q] := (p \tilde{\cup} q) \setminus p$ .

## Lemma

*Let  $K, L \in \mathcal{K}^n$ . Up to relabeling, there exists  $p \in K$  and  $q \in L$  such that  $\|q - p\| = d_{\mathcal{H}}(K, L)$  and  $(p, q] \subseteq K \tilde{\cup} L \setminus K$ . Moreover, there exists a hyperplane orthogonal to  $[p, q]$  which supports  $K$ .*

# A Return to Convex Geometry

Proof:

- 1 Obtain  $p, q$  the natural way by a compactness argument. In particular, take WLOG

$$d_{\mathcal{H}}(K, L) = \sup_{x \in L} d(x, K) = d(p, K) = d(q, K) = |p - q|$$

for  $p \in K, q \in L$ .

- 2 Clearly  $(p, q] \subseteq K \cup L$ , so assume  $(p, q] \not\subseteq K$ ; i.e.  $\exists t \in (0, 1]$  such that  $tp + (1 - t)q \in K$ . Then

$$|q - ((1 - t)p + tq)| = (1 - t)|p - q| < |p - q|,$$

but this is a contradiction, as  $p$  is taken as the closest point in  $K$  to  $q$ .

- 3 One verifies we also have the supporting hyperplane we want quickly.

# A Return to Convex Geometry

## Lemma

Let  $K, L \in \mathcal{K}(G)$ . Then there exists, up to relabeling,  $u \in S^{n-1}$  and  $q \in L$  such that

$$\text{int}(C_G(u, d_{\mathcal{H}}(K, L)) + q) \subseteq (G + K \tilde{\cup} L) \setminus (G + K)$$

Proof:

- 1 Simply let  $d := d_{\mathcal{H}}(K, L)$  and take  $|q - p| = d$  as done previously. The choice  $u = \frac{q-p}{d}$  suffices.

# Lower Hölder Bound of $d_{\mathcal{H}}$ on $d_G$

We've verified every ingredient, so we recover:

## Theorem

*There exists  $D > 0$  such that*

$$D \cdot d_{\mathcal{H}}(K, L)^n \leq d_G(K, L)$$

*for all  $K, L \in \mathcal{K}(G)$ .*

# Optimal Hölder Exponent for Polytopes

## Theorem

*If  $G$  is a convex polytope, then there exists  $A > 0$  such that*

$$Ad_{\mathcal{H}}(K, L)^n \leq d_G(K, L)$$

*holds for all  $K, L \in \mathcal{K}(G)$ , and no such bound can exist with exponent less than  $n$ .*

- 1 For a polytope, a cone asymptotically approximates the volume of a cap. So a cone is the best way to approximate a polygonal cap.
- 2 So  $d_G$  and  $d_{\mathcal{H}}^n$  are asymptotically the same for small  $h$ , implying the minimality of  $n$ .

# Improvement for $C^2$ Bodies

## Theorem

If  $G$  is a  $C^2$  body, then there exists  $A > 0$  such that

$$A \cdot d_{\mathcal{H}}(K, L)^{\frac{n+1}{2}} \leq d_G(K, L)$$

for all  $K, L \in \mathcal{K}(G)$ .

- 1 Bound  $\text{Vol}(C_G(u, h))$  below by volume of a paraboloid.
- 2 The volume of the paraboloid behaves like  $h^{(n+1)/2}$ .



# $C^2$ isn't actually the condition

Rather, it's that our body  $G$  supports, tangentially, an ball of constant  $\epsilon$  inside it; i.e. you can roll an  $\epsilon$ -ball smoothly inside of it, against its interior.

# Works Cited



T. Darvas, E. Di Nezza and C. H. Lu, Log-concavity of volume and complex Monge-Ampere equations with prescribed singularity, Math. Ann. 379 (2021), no. 1-2, 95-132. arXiv:1807.00276.



T. Darvas, E. Di Nezza and C. H. Lu, The metric geometry of singularity types, J. Reine Angew. Math. 771 (2021), 137-170. arXiv:1909.00839

# Future Directions:

- ① Is  $d_G$  a quasi-metric? I.e., does it satisfy the quasi-triangle inequality?
- ② Is  $d_G$  quasi-isometric to a true metric? I.e., is there a true metric  $d$  with

$$c_1 d \leq d_G \leq c_2 d?$$

- ① Partial progress: if  $d(K, L) = \text{Vol}((G + K) \triangle (G + L))$ , we conjecture  $d$  is quasi-isometric to  $d_G$ .

# Questions?