

Monitoring and Evaluation Annex

IHME Prospective Country Evaluation

13 November, 2019

INTRODUCTION

ISSUES WITH MODULAR FRAMEWORK AND GRANT TRACKING SYSTEM

One issue that's become more apparent during this period of grant implementation is that the Global Fund's system for monitoring absorption is not adequately set-up to track catalytic and matching funds. In the PUDRs, there is no way to separate out catalytic or matching funds, and so for the PCE the best we could do was to report on modules that were targeted for matching funds.

The PCE has also noticed inconsistencies with the performance indicator structure. For example, the stockout performance indicator for ARVs is a reverse indicator but the stockout indicator for TB meds isn't.

Strange PUDR reporting structure - Semester 1, and Semester 1-2. We've had instances of negative absorption, and it's also impossible to track the budget denominators with all of the revisions going on. There is no consistent naming scheme for budget files - sometimes we get information about a file being LFA reviewed and the last date it was reviewed from the budget name, and sometimes we don't. Would be very good to include the date the file was last reviewed in the file itself, probably on the cover page.

ISSUES WITH APPLICATION OF MODULAR FRAMEWORK

Finding 1: Classifications for similar activity descriptions are inconsistent among and between PRs.

Activity descriptions that mentioned "GeneXpert" were classified in multiple different ways among PRs during different grant periods. By grant, grant period, and module:

| Grant | Grant period | Module | Classifications |
|---------------|--------------|--|-----------------|
| COD-T-CARITAS | 2015-2017 | Multidrug-resistant TB | 14 |
| COD-T-CARITAS | 2015-2017 | TB/HIV | 7 |
| COD-T-MOH | 2018-2020 | Multidrug-resistant TB | 5 |
| COD-T-MOH | 2018-2020 | TB care and prevention | 3 |
| COD-T-MOH | 2018-2020 | TB/HIV | 1 |
| GTM-T-MSPAS | 2016-2019 | Multidrug-resistant TB | 2 |
| GTM-T-MSPAS | 2016-2019 | TB care and prevention | 1 |
| GTM-T-MSPAS | 2019-2022 | Multidrug-resistant TB | 8 |
| GTM-T-MSPAS | 2019-2022 | TB care and prevention | 2 |
| GTM-T-MSPAS | 2019-2022 | TB/HIV | 1 |
| UGA-T-MoFPED | 2015-2017 | Multidrug-resistant TB | 5 |
| UGA-T-MoFPED | 2018-2020 | Multidrug-resistant TB | 1 |
| UGA-T-MoFPED | 2018-2020 | TB care and prevention | 5 |
| SEN-Z-MOH | 2018-2020 | Health management information system and monitoring and evaluation | 4 |
| SEN-Z-MOH | 2018-2020 | Multidrug-resistant TB | 2 |
| SEN-Z-MOH | 2018-2020 | TB care and prevention | 7 |

By module alone:

| Module | Classifications |
|--|-----------------|
| Multidrug-resistant TB | 37 |
| TB/HIV | 9 |
| TB care and prevention | 18 |
| Health management information system and monitoring and evaluation | 4 |

There was a mix of classifications between MDR-TB, general TB Care and Prevention, and TB/HIV. However, when reviewing the activity descriptions, it's unclear why these distinctions were made.

The following is a table of the specific activity descriptions that mentioned "GeneXpert", and the module they were classified under.

| Module | Activity description |
|------------------------|--|
| Multidrug-resistant TB | Acquerir 22 appareils GeneXpert pour le depistage rapide de la TB MR |

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| Module | Activity description |
|------------------------|---|
| Multidrug-resistant TB | Acquerir 22 kits solaires pour alimenter 22 appareils GeneXpert pour le depistage rapide de la TB MR |
| Multidrug-resistant TB | Acquerir 22 kits solaires pour alimenter 22 appareils GeneXpert pour le depistage rapide de la TB MR |
| Multidrug-resistant TB | Organiser au niveau du LNR un atelier de formation de 8 Techniciens avec l appui d un expert local pendant 5 jours sur la technique du LPA s l an 2 |
| TB care and prevention | Fortalecer la oferta de servicios a traves de la adquisicion de pruebas moleculares por medio de la adquisicion de cartuchos para Gene Xpert para fortalecer el diagnosticos de TB en centros penales con pruebas moleculares |
| TB care and prevention | PSM Costs for Genexpert Catridges |
| TB care and prevention | Organiser une supervision semestrielle des Techniciens des sites disposant d appareils Xpert |
| TB care and prevention | Reviser le guide de PEC de la TB de l enfant avec l appui d un expert local suite aux nouvelles recommandations nationales sur le diagnostic et la PEC survenues avec la revision du guide national de PEC de la TB s l an 1 |
| TB/HIV | Acquerir 15 000 cartouches de Genexpert pour les CPLT |

Finding 2: Human rights is questionably applied as a module, especially through mixing human rights and key populations.

The following table is a hand-reviewed selection of activity descriptions that were classified under the module “Programs to reduce human rights-related barriers to HIV services”. These are cases I feel are questionably classified under this module. The highlighted items are cases where the activity might be better classified under a key populations module.

| orig_module | orig_intervention | activity_description |
|---|---|---|
| Programas para reducir las barreras relacionadas a los derechos humanos para acceder a los servicios de VIH | Servicios juridicos relacionados con el VIH y la TB VIH | Paquete de salario personal de gestion y campo para SR HSH Abogado Promotor El costo incluye salario y prestaciones laborales bonificacion anual aguinaldo indemnizacion vacaciones igss patronal |
| Programas para reducir las barreras relacionadas a los derechos humanos para acceder a los servicios de VIH | Servicios juridicos relacionados con el VIH y la TB VIH | Paquete de salario personal de gestion y campo para SR MTS Abogado Promotor El costo incluye salario y prestaciones laborales bonificacion anual aguinaldo indemnizacion vacaciones igss patronal |

(continued)

| orig_module | orig_intervention | activity_description |
|---|--|--|
| Programas para reducir las barreras relacionadas a los derechos humanos para acceder a los servicios de VIH | Servicios juridicos relacionados con el VIH y la TB VIH | Paquete de salario personal de gestion y campo para SR PV Abogado Promotor El costo incluye salario y prestaciones laborales bonificacion anual aguinaldo indemnizacion vacaciones igss patronal |
| Programas para reducir las barreras relacionadas a los derechos humanos para acceder a los servicios de VIH | Servicios juridicos relacionados con el VIH y la TB VIH | Paquete de salario personal de gestion y campo para SR TRANS Abogado Promotor El costo incluye salario y prestaciones laborales bonificacion anual aguinaldo indemnizacion vacaciones igss patronal |
| Programs to reduce human rights related barriers to HIV services | Other intervention s to reduce human rights related barriers to HIV services | Conduct data verifications and data audit assessments |
| Programs to reduce human rights related barriers to HIV services | Other intervention s to reduce human rights related barriers to HIV services | Conduct refresher courses for KP Peer Leaders for 10 MSM 10 SW and 10 Fisherfolk |
| Programs to reduce human rights related barriers to HIV services | Other intervention s to reduce human rights related barriers to HIV services | Conduct stakeholders dialogues to increase awareness and knowledge and understanding of harm reduction interventions and PWIUD tailored prevention packages Needle and Syringe programmes and Opioid substitution Therapy |
| Programs to reduce human rights related barriers to HIV services | Other intervention s to reduce human rights related barriers to HIV services | Conduct trainings for KP Peer Leaders for PWUID PWDs |
| Programs to reduce human rights related barriers to HIV services | Other intervention s to reduce human rights related barriers to HIV services | Consolidating KP programming in the Entertainment Establishments Hot spots in 13 Districts the 11 PLACE Districts Amudat Dokolo Hoima Jinja Kanungu Mbale Mbarara Nakasongola Nwoya Serere Tororo and Kampala Wakiso Districts |
| Programs to reduce human rights related barriers to HIV services | Other intervention s to reduce human rights related barriers to HIV services | Convene bi annual coordination meetings with implementing partners |
| Programs to reduce human rights related barriers to HIV services | Other intervention s to reduce human rights related barriers to HIV services | End of Grant Evaluation in Yr3 |

(continued)

| orig_module | orig_intervention | activity_description |
|--|--|---|
| Programs to reduce human rights related barriers to HIV services | Other intervention s to reduce human rights related barriers to HIV services | Facilitate counsellors to conduct psychosocial support to PWUIDs to undertake referrals linkages and follow up for effective HIV TB prevention treatment and care support services |
| Programs to reduce human rights related barriers to HIV services | Other intervention s to reduce human rights related barriers to HIV services | Mobile boat clinics water ambulances in 2 Districts Kalangala and Buvuma procure boats fueling maintenance consumables etc |
| Programs to reduce human rights related barriers to HIV services | Other intervention s to reduce human rights related barriers to HIV services | Monthly facilitation of 10 sex workers peer leaders to undertake referrals linkages and follow up for effective HIV TB prevention treatment and care support services |
| Programs to reduce human rights related barriers to HIV services | Other intervention s to reduce human rights related barriers to HIV services | Performance related facilitation and support supervision of health workers and Champions countrywide |
| Programs to reduce human rights related barriers to HIV services | Other intervention s to reduce human rights related barriers to HIV services | Pilot an enhanced referral and service delivery tracking system in MARPI Centres within a total of 4 health units MARPI Mulago 3 Health Units including Gulu for effective tracking and retention of KPs linkage to initiation and care |
| Programs to reduce human rights related barriers to HIV services | Other intervention s to reduce human rights related barriers to HIV services | Procure maintain 2 mobile boat clinics water ambulances in 2 Districts |
| Programs to reduce human rights related barriers to HIV services | Other intervention s to reduce human rights related barriers to HIV services | Procure outreach gears for CHAGs for fisher folk for enhanced follow up within fishing communities |
| Programs to reduce human rights related barriers to HIV services | Other intervention s to reduce human rights related barriers to HIV services | Scale up home to home boat to boat integrated HTC approach |
| Programs to reduce human rights related barriers to HIV services | Other intervention s to reduce human rights related barriers to HIV services | Strengthen M E for KP data for HIV TB Programming Baseline in Yr1 |
| Programs to reduce human rights related barriers to HIV services | Other intervention s to reduce human rights related barriers to HIV services | Strengthen one existing MSM led clinic |

(continued)

| orig_module | orig_intervention | activity_description |
|--|--|--|
| Programs to reduce human rights related barriers to HIV services | Other intervention s to reduce human rights related barriers to HIV services | Support referrals linkages and follow up for effective HIV TB prevention treatment and care support services for KPs |
| Programs to reduce human rights related barriers to HIV services | Other intervention s to reduce human rights related barriers to HIV services | Support existing DICs for truckers to enhance service delivery support towards peer leaders health workers in DICs |

Finding 3: RSSH classifications are inconsistent between grants

One example is how surveys of various kinds are classified. This table represents all activity descriptions with the word “survey” (in English, French, or Spanish) that were not classified under the RSSH module “Health management information system and monitoring and evaluation” and the intervention “Surveys”.

| orig_module | orig_intervention | activity_description |
|--|---|---|
| Tuberculose VIH | Interventions concertees de lutte contre la tuberculose et le VIH | Realiser periodiquement l enquete de seroprevalence du VIH chez les patients atteints de tuberculose |
| SSRS Sistemas de informacion en salud y monitoreo y evaluacion | Calidad de los programas y de los datos | Encuesta de farmacoresistencia para el 2020 |
| BCC community outreach | unspecified | Encuesta CAP con escolares para evaluación de conocimientos y prácticas de malaria en la población de las comunidades priorizadas de 148 distritos endémicos de malaria |
| Prevention programs for adolescents and youth in and out of school | Community mobilization and norms change | Follow up survey in 17 districts |
| Treatment care and support | Other intervention s for treatment | Conduct annual sentinel surveillance survey |

Finding 4: Program management and salary costs may be incorrectly labeled as disease-specific modules, skewing perceptions of funding.

The table below represents all cases where “salary” was mentioned (in English, French, and Spanish) in an activity description, but the module was NOT marked as program management.

| orig_module | orig_intervention | activity_description |
|---|---|---|
| Systcmes de sante resiliants et perennes ressources humaines pour la sante y compris agents de sante communautaires | Retention et renforcement des effectifs du secteur de la sante y compris des agents de sante communautaires | Payer la prime du contrat de service aux cadres de la D5 DSNIS et le Salaire aux Assistants Techniques Nationaux pour le renforcement du SNIS |
| Programas de prevencion integral para hombres que tienen relaciones sexuales con hombres | Intervenciones conductuales para HSH | Paquete de salario personal de gestion y campo para SR HSH Coordinador MyE Coordinador de Proyecto Educador Orientador 33 Psicologo Medico 2 Tecnico Lab Enfermero Piloto chofer Bodeguero Recepcionista Clinica El costo incluye salario y prestaciones laborales bonificacion anual aguinaldo indemnizacion vacaciones igss patronal |
| Programas de prevencion integral para hombres que tienen relaciones sexuales con hombres | Intervenciones conductuales para HSH | Paquete de salario personal de gestion y campo para SR HSH y Trans Cordinador de Proyecto Educador Orientador 2 Enfermero Tecnico de Lab Psicologo Medico Bodeguero Coordinador de MyE El costo incluye salario y prestaciones laborales bonificacion anual aguinaldo indemnizacion vacaciones igss patronal |
| Programas de prevencion integral para hombres que tienen relaciones sexuales con hombres | Intervenciones conductuales para HSH | Paquete de salario personal de gestion y campo para SR HSH y Trans Educador Orientador 21 Enfermero Tecnico de Lab Psicologo Medico Piloto Chofer Bodeguero Recepcionista clinica El costo incluye salario y prestaciones laborales bonificacion anual aguinaldo indemnizacion vacaciones igss patronal |
| Programas de prevencion integral para personas transgenero | Intervenciones conductuales para personas transgenero | Paquete de salario personal de gestion y campo para SR HSH y TRANS Coordinador de Proyecto Coodinador MyE Educador Orientador 3 Medico El costo incluye salario y prestaciones laborales bonificacion anual aguinaldo indemnizacion vacaciones igss patronal |
| Programas de prevencion integral para personas transgenero | Intervenciones conductuales para personas transgenero | Paquete de salario personal de gestion y campo para SR HSH y TRANS Educador Orientador 3 El costo incluye salario y prestaciones laborales bonificacion anual aguinaldo indemnizacion vacaciones igss patronal |
| Programas de prevencion integral para personas transgenero | Intervenciones conductuales para personas transgenero | Paquete de salario personal de gestion y campo para SR TRANS Coordinador de Proyecto Coodinador MyE Educador Orientador 23 Psicologo Medico 2 Tecnico Lab Enfermero Piloto chofer Bodeguero Recepcionista clinica El costo incluye salario y prestaciones laborales bonificacion anual aguinaldo indemnizacion vacaciones igss patronal |

(continued)

| orig_module | orig_intervention | activity_description |
|---|---|--|
| Programas para reducir las barreras relacionadas a los derechos humanos para acceder a los servicios de VIH | Servicios juridicos relacionados con el VIH y la TB VIH | Paquete de salario personal de gestion y campo para SR HSH Abogado Promotor El costo incluye salario y prestaciones laborales bonificacion anual aguinaldo indemnizacion vacaciones igss patronal |
| Programas para reducir las barreras relacionadas a los derechos humanos para acceder a los servicios de VIH | Servicios juridicos relacionados con el VIH y la TB VIH | Paquete de salario personal de gestion y campo para SR MTS Abogado Promotor El costo incluye salario y prestaciones laborales bonificacion anual aguinaldo indemnizacion vacaciones igss patronal |
| Programas para reducir las barreras relacionadas a los derechos humanos para acceder a los servicios de VIH | Servicios juridicos relacionados con el VIH y la TB VIH | Paquete de salario personal de gestion y campo para SR PV Abogado Promotor El costo incluye salario y prestaciones laborales bonificacion anual aguinaldo indemnizacion vacaciones igss patronal |
| Programas para reducir las barreras relacionadas a los derechos humanos para acceder a los servicios de VIH | Servicios juridicos relacionados con el VIH y la TB VIH | Paquete de salario personal de gestion y campo para SR TRANS Abogado Promotor El costo incluye salario y prestaciones laborales bonificacion anual aguinaldo indemnizacion vacaciones igss patronal |
| Programas para reducir las barreras relacionadas a los derechos humanos para acceder a los servicios de VIH | Mejora de leyes reglamentos y politicas relacionadas con el VIH y la TB VIH | Paquete de salario personal de gestion y campo para SR HSH Encargado de Incidencia El costo incluye salario y prestaciones laborales bonificacion anual aguinaldo indemnizacion vacaciones igss patronal |
| Programas para reducir las barreras relacionadas a los derechos humanos para acceder a los servicios de VIH | Mejora de leyes reglamentos y politicas relacionadas con el VIH y la TB VIH | Paquete de salario personal de gestion y campo para SR MTS Encargado de Incidencia El costo incluye salario y prestaciones laborales bonificacion anual aguinaldo indemnizacion vacaciones igss patronal |
| Programas para reducir las barreras relacionadas a los derechos humanos para acceder a los servicios de VIH | Mejora de leyes reglamentos y politicas relacionadas con el VIH y la TB VIH | Paquete de salario personal de gestion y campo para SR PV Encargado de Incidencia El costo incluye salario y prestaciones laborales bonificacion anual aguinaldo indemnizacion vacaciones igss patronal |

(continued)

| orig_module | orig_intervention | activity_description |
|---|---|--|
| Programas para reducir las barreras relacionadas a los derechos humanos para acceder a los servicios de VIH | Mejora de leyes reglamentos y politicas relacionadas con el VIH y la TB VIH | Paquete de salario personal de gestion y campo para SR TRANS Encargado de Incidencia El costo incluye salario y prestaciones laborales bonificacion anual aguinaldo indemnizacion vacaciones igss patronal |
| Programas para reducir las barreras relacionadas a los derechos humanos para acceder a los servicios de VIH | Formacion de los proveedores de servicios de salud sobre los derechos humanos y etica medica en relacion con el VIH y la TB VIH | Paquete de salario personal de gestion y campo para SR HSH Encargado de Monitoreo Ciudadano El costo incluye salario y prestaciones laborales bonificacion anual aguinaldo indemnizacion vacaciones igss patronal |
| Programas para reducir las barreras relacionadas a los derechos humanos para acceder a los servicios de VIH | Formacion de los proveedores de servicios de salud sobre los derechos humanos y etica medica en relacion con el VIH y la TB VIH | Paquete de salario personal de gestion y campo para SR MTS Coordinador de Proyecto Encargado de Monitoreo Ciudadano El costo incluye salario y prestaciones laborales bonificacion anual aguinaldo indemnizacion vacaciones igss patronal |
| Programas para reducir las barreras relacionadas a los derechos humanos para acceder a los servicios de VIH | Formacion de los proveedores de servicios de salud sobre los derechos humanos y etica medica en relacion con el VIH y la TB VIH | Paquete de salario personal de gestion y campo para SR PV Coordinador de Proyecto Encargado de Monitoreo Ciudadano El costo incluye salario y prestaciones laborales bonificacion anual aguinaldo indemnizacion vacaciones igss patronal |
| Programas para reducir las barreras relacionadas a los derechos humanos para acceder a los servicios de VIH | Formacion de los proveedores de servicios de salud sobre los derechos humanos y etica medica en relacion con el VIH y la TB VIH | Paquete de salario personal de gestion y campo para SR TRANS Encargado de Monitoreo Ciudadano Coordinador de Proyecto El costo incluye salario y prestaciones laborales bonificacion anual aguinaldo indemnizacion vacaciones igss patronal |
| Tratamiento atencion y apoyo | Asesoramiento y apoyo psicosocial | Paquete de salario personal de gestion y campo para SR PV Educador Orientador 5 Coordinador del Proyecto Nutricionista 2 Bodeguero Recepcionista El costo incluye salario y prestaciones laborales bonificacion anual aguinaldo indemnizacion vacaciones igss patronal |
| Community systems strengthening | Community based monitoring for accountability | Salary for Gender specialist |

(continued)

| orig_module | orig_intervention | activity_description |
|--|---|---|
| HSS Health information systems and M E | Surveys | Operations Research on evaluation of the TASO Community ART Delivery Models towards the Attainment of UNAIDS 90 90 90 salary for research team |
| HSS Service delivery | Service organization and facility management | PSM monitoring supervision of PSM services driver s salary |
| HSS Procurement supply chain management PSCM | Operationalization of procurement and supply chain management system | Monthly salary of 2 additional staff for the QPPU |
| Programmes de prevention complets destines aux hommes ayant des rapports sexuels avec des hommes HSH | Diagnostic et traitement des IST et autres services lies s la sante sexuelle pour les HSH | Assurer le salaire de l equipe de prise en charge des IST et du VIH chez les HSH au niveau du centre de reference de la polyclinique 1medecin 1 personnel paramedical 2 mediateurs |
| Programmes de prevention complets destines aux usagers de drogues injectables et s leurs partenaires | Diagnostic et traitement des infections sexuellement transmissibles dans le cadre des programmes destines aux consommateurs de drogues injectables et s leurs partenaires | Assurer les Salaires mensuelles du point focal du gestionnaire de donnees et du coordonateur de l equipe RDR en charge des activites CDI dans les trois sites de PEC Dakar thiaroye mbour |
| Programmes de prevention complets destines aux usagers de drogues injectables et s leurs partenaires | Autres interventions ciblant les usagers de drogues injectables et de leurs partenaires | Assurer les Salaires mensuelles du point focal du gestionnaire de donnees et du coordonateur de l equipe RDR en charge des activites CDI dans les trois sites de PEC Dakar thiaroye mbour |
| Traitement prise en charge et soutien | Conseil et soutien psycho social | Assurer les Salaires des Conseillers VIH des autres regions |
| Gestion des subventions | IEC CCC | PAIEMENT DES SALAIRES SR1 SR3 SR4 |
| Gestion des subventions | IEC CCC | PAIEMENT DES SALAIRES SR1 SR3 SR4 |
| Gestion des subventions | IEC CCC | PAIEMENT DES SALAIRES SR1 SR3 SR4 |

FINDING STATEMENTS IN PROGRESS

Finding 5: Module and intervention categories are not well structured to capture the true intention of activity funding (“breadth” of an intervention is too large) ***

Finding 6: Subpopulations are not neatly split in activity descriptions *** THIS ISSUE MAY BE RESOLVED WITH THE NEW MODULAR FRAMEWORK

Finding 7: PRs still don’t understand how to apply the modular framework (often picking and choosing an intervention to go with a module, instead of only choosing an intervention within a given module)

Finding 8: There’s no drop-down menu for choosing a module and intervention, so we get a lot of modules that are listed slightly differently than each other.