

# CS 475

# Operating Systems



Department of Mathematics  
and Computer Science

Lecture 6  
Synchronization (Part III)  
Monitors

# Problem: Synchronization Is Hard

► **Original Problem:** Want to support thread coordination and mutual exclusion

- Semaphores gave us a way!
- But they're error-prone, hard to use.
  - Easy to deadlock
  - (Was it obvious at the time of coding?)



► **Want:** Even higher level language support

- It'd be nice to avoid setting up locks and semaphores at all!

# Goals for This Lecture...

- ▶ Basic Problem Definition
- ▶ Mechanisms to Control Access to Shared Resources
  - Low Level Mechanisms:
    - Busy-Waiting (Spin) Locks
    - Self-Blocking Locks
  - High Level Mechanisms:
    - Semaphores
    - Condition Variables and Monitors

# Bank Example

## ► Joint bank account

- Bank must ensure synchronization when multiple people (threads) access ATMs simultaneously.

## ► 3 Threads (People) at different ATMs:

- T1: `withdraw(600)`
- T2: `withdraw(300)`
- T3: `deposit(500)`

```
// shared vars
int balance = 0;

void withdraw(int amt) {
    balance -= amt;
}

void deposit(int amt) {
    balance += amt;
}
```

## ► *What could go wrong if T1, T2, T3*

*run concurrently? (It helps to remember that `-=` and `+=` aren't atomic)*

# High-Level Synchronization Mechanisms

## ► *Monitors (early 1970s)*

- Developed by C.A.R. (Tony) Hoare.
- Combines a *lock* and *condition variables* for coordinating threads' access to shared data
- Has a set of functions that are provided with ***mutual exclusion*** within the monitor.

```
monitor name {  
  
    << shared variables >>  
  
    <<mutually excl. functions>>  
    func1(...) {  
        ...  
    }  
  
    func2(...) {  
        ...  
    }  
  
}
```

# High-Level Synchronization Mechanisms (2)

## ► *Monitors* (cont.)

- A monitor lock ensures that **one** thread is actively running within the monitor!
- All other threads wait in a **queue** to gain entry to the monitor.
- When thread finishes an operation, it releases the monitor lock

```
monitor name {  
    << shared variables >>  
  
    <<mutually excl. functions>>  
    func1(...) {  
        ...  
    }  
  
    func2(...) {  
        ...  
    }  
}
```

# Bank Code (This Works!)

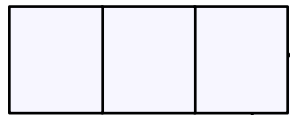
## ► Set up:

- Shared Variable: balance
- Race conditions can't occur within **withdraw()** and **deposit()**

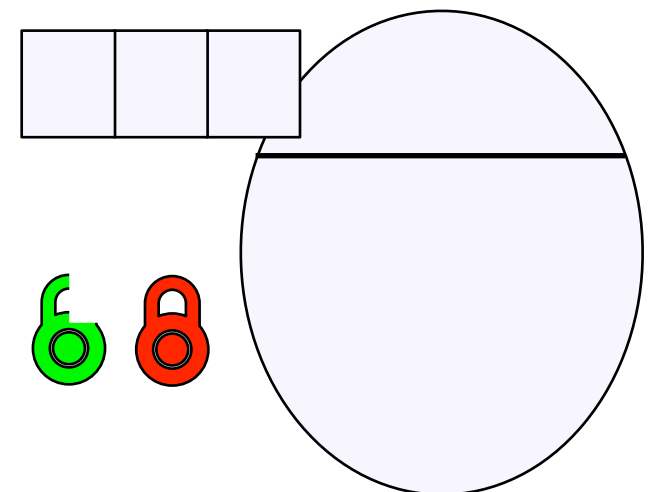
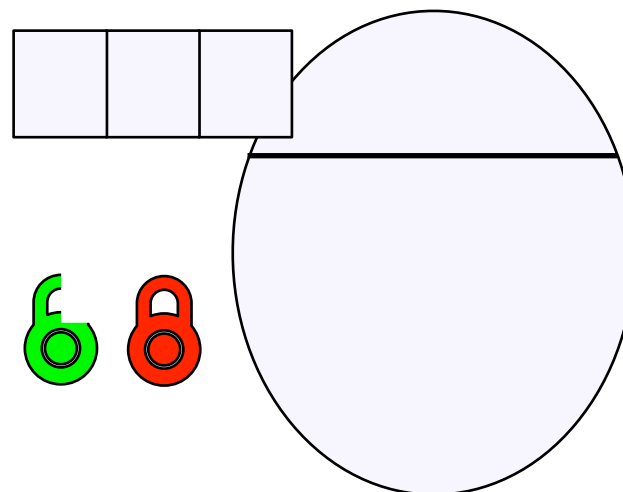
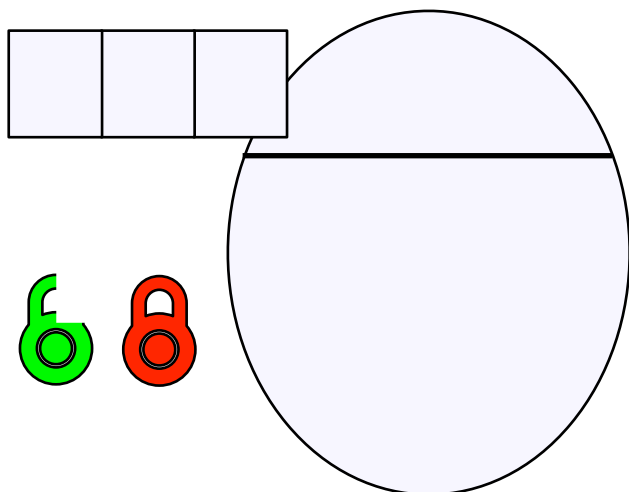
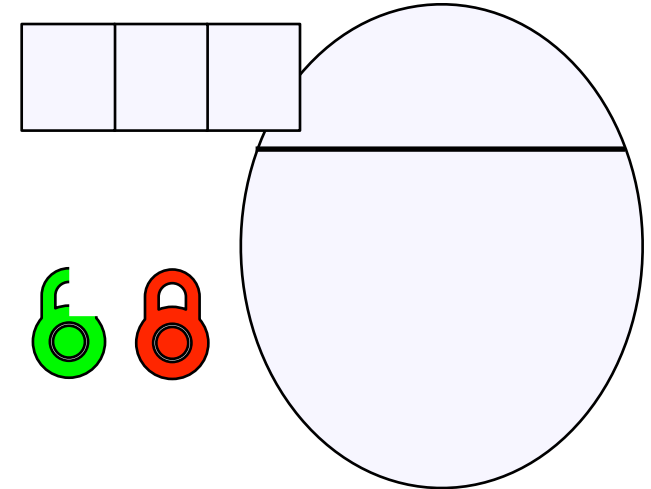
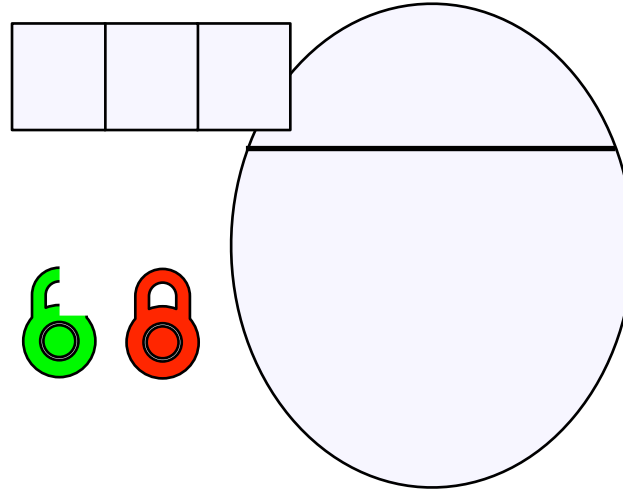
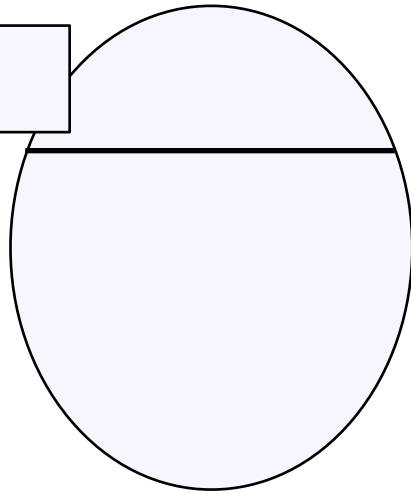
```
monitor Bank {  
    // shared vars  
    int balance = 0;  
  
    void withdraw(int amt) {  
        balance -= amt;  
    }  
  
    void deposit(int amt) {  
        balance += amt;  
    }  
}
```

# Bank Example (Mutual Exclusion)

Entry Set



Monitor Lock





# Bank Example

## ► Joint bank account

- Bank must ensure synchronization when multiple people access ATMs



Now consider this additional "Correctness Property":

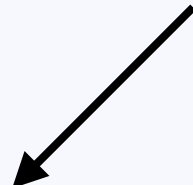
Don't let customers `withdraw()` if there's not enough \$ in account.  
(i.e., make customers **wait** to `withdraw()` until there is enough \$.)

# Integration of Condition Variables

## ► *Monitors*

- But that "correctness property" is not at all about mutual exclusion.
- Rather, it's about the coordination of threads (like what semaphores are for!)
- To coordinate among threads, we use *condition variables* inside monitors.

```
monitor name {  
    << shared variables >>  
  
    <<mutually excl. functions>>  
    func1(...) {  
        ...  
    }  
  
    func2(...) {  
        ...  
    }  
  
    << condition variables >>  
}
```



# Condition Variables

## ► *Condition Variables (CV)*

- They don't store any values
  - Each CV associates a queue of waiting threads inside the monitor
- 
- ## ► A condition variables supports three operations:
- **wait()**: atomically unlock monitor, blocks the calling thread, and places it on the CV's queue.
  - **notify()**: wake up one thread waiting on CV.
    - Awoken thread competes for re-entry, but starts execution from where it left off.
  - **notifyAll()**: wake up all threads waiting on CV.
    - Let all threads compete for re-entry.

# Monitor Solution

► Try this schedule:

- T1: **withdraw**(600)
- T2: **withdraw**(300)
- T3: **deposit**(500)
- T4: **deposit**(500)

```
monitor Bank {  
  
    // shared vars  
    int balance = 0;  
  
    void withdraw(int amt) {  
        while (balance < amt) {  
            notEnough.wait();  
        }  
        balance -= amt;  
    }  
  
    void deposit(int amt) {  
        balance += amt;  
        if (balance > 0) {  
            notEnough.notifyAll();  
        }  
    }  
  
    // condition vars  
    Condition notEnough;  
  
}
```

# Monitor Solution

T4	T3	T2	T1
----	----	----	----



## ► Try this schedule:

- T1: **withdraw**(600) - ready
- T2: **withdraw**(300) - ready
- T3: **deposit**(500) - ready
- T4: **deposit**(500) - ready

### **Current state:**

*Monitor is initially unlocked*

**monitor** Bank {

*// shared vars*

**int** balance = 0;

```
void withdraw(int amt) {
    while (balance < amt) {
        notEnough.wait();
    }
    balance -= amt;
}
```

```
void deposit(int amt) {
    balance += amt;
    if (balance > 0) {
        notEnough.notifyAll();
    }
}
```

*// condition vars*

Condition notEnough;

		T1
--	--	----

*notEnough's wait queue*

}

# Monitor Solution

	T4	T3	T2
--	----	----	----



► Try this schedule:

- T1: **withdraw(600)** - **running**
- T2: **withdraw(300)** - ready
- T3: **deposit(500)** - ready
- T4: **deposit(500)** - ready

**Current state:**

*T1 acquires the monitor lock and runs  
**withdraw(600)***

```
monitor Bank {

    // shared vars
    int balance = 0;

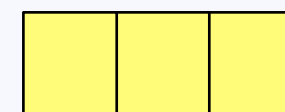
    void withdraw(int amt) {
        while (balance < amt) {
            notEnough.wait();
        }
        balance -= amt;
    }

    void deposit(int amt) {
        balance += amt;
        if (balance > 0) {
            notEnough.notifyAll();
        }
    }

    // condition vars
    Condition notEnough;

}
```

T1 §



# Monitor Solution

	T4	T3	T2
--	----	----	----



► Try this schedule:

- T1: **withdraw**(600) - *wait* -
- T2: **withdraw**(300) - ready
- T3: **deposit**(500) - ready
- T4: **deposit**(500) - ready

**Current state:**

*T1 must wait on notEnough.*

*Places itself on the notEnough queue and unlocks the monitor atomically.*

```
monitor Bank {

    // shared vars
    int balance = 0;

    void withdraw(int amt) {
        while (balance < amt) {
            notEnough.wait();
        }
        balance -= amt;
    }

    void deposit(int amt) {
        balance += amt;
        if (balance > 0) {
            notEnough.notifyAll();
        }
    }

    // condition vars
    Condition notEnough;

}
```

		T1
--	--	----

# Monitor Solution

		T4	T3
--	--	----	----



► Try this schedule:

- T1: **withdraw**(600) - wait -
- T2: **withdraw**(300) - **running**
- T3: **deposit**(500) - ready
- T4: **deposit**(500) - ready

**Current state:**

*T2 acquires the monitor lock and runs  
**withdraw**(300)*

```
monitor Bank {

    // shared vars
    int balance = 0;

    void withdraw(int amt) {
        while (balance < amt) {
            notEnough.wait();
        }
        balance -= amt;
    }

    void deposit(int amt) {
        balance += amt;
        if (balance > 0) {
            notEnough.notifyAll();
        }
    }

    // condition vars
    Condition notEnough;

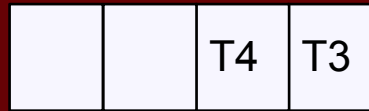
}
```

T2 §

		T1
--	--	----



# Monitor Solution



## ► Try this schedule:

- T1: **withdraw**(600) - *wait* -
- T2: **withdraw**(300) - *wait* -
- T3: **deposit**(500) - ready
- T4: **deposit**(500) - ready

### **Current state:**

*T2 must also wait on notEnough.*

*Places itself on the notEnough queue and unlocks the monitor atomically.*

```
monitor Bank {

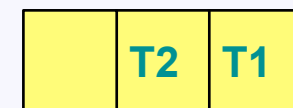
    // shared vars
    int balance = 0;

    void withdraw(int amt) {
        while (balance < amt) {
            notEnough.wait();
        }
        balance -= amt;
    }

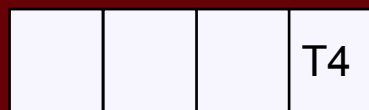
    void deposit(int amt) {
        balance += amt;
        if (balance > 0) {
            notEnough.notifyAll();
        }
    }

    // condition vars
    Condition notEnough;

}
```



# Monitor Solution



► Try this schedule:

- T1: **withdraw**(600) - wait -
- T2: **withdraw**(300) - wait -
- T3: **deposit**(500) - **running**
- T4: **deposit**(500) - ready



**Current state:**

*T3 acquires the monitor lock and runs deposit(500)*

**monitor** Bank {

*// shared vars*

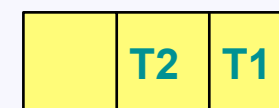
**int** balance = **500**;

**void** **withdraw**(**int** amt) {  
     **while** (balance < amt) {  
         notEnough.**wait**();  
     }  
     balance -= amt;  
}

**void** **deposit**(**int** amt) {  
     balance += amt;  
     **if** (balance > **0**) {  
         notEnough.**notifyAll**();  
     }  
}

*// condition vars*

Condition notEnough;



}

T3 §

# Monitor Solution

		T4	T3
--	--	----	----



► Try this schedule:

- T1: **withdraw**(600) - *wakeup!*
- T2: **withdraw**(300) - *wakeup!*
- T3: **deposit**(500) - **running**
- T4: **deposit**(500) - ready

**Current state:**

*T1 notifies all threads waiting on notEnough. (What if just **notify**() was used?)*

```
monitor Bank {

    // shared vars
    int balance = 500;

    void withdraw(int amt) {
        while (balance < amt) {
            notEnough.wait();
        }
        balance -= amt;
    }

    void deposit(int amt) {
        balance += amt;
        if (balance > 0) {
            notEnough.notifyAll();
        }
    }

    // condition vars
    Condition notEnough;

}
```

T3

	T2	T1
--	----	----

# Monitor Solution

	T4	T2	T1
--	----	----	----



## ► Try this schedule:

- T1: **withdraw**(600) - ready
- T2: **withdraw**(300) - ready
- ~~T3: **deposit**(500) - done -~~
- T4: **deposit**(500) - ready

### **Current state:**

*T1, T2 re-enter entry set with priority.*

*T3 exits and unlocks the monitor.*

```
monitor Bank {

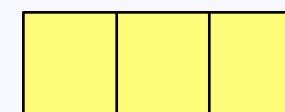
    // shared vars
    int balance = 500;

    void withdraw(int amt) {
        while (balance < amt) {
            notEnough.wait();
        }
        balance -= amt;
    }

    void deposit(int amt) {
        balance += amt;
        if (balance > 0) {
            notEnough.notifyAll();
        }
    }

    // condition vars
    Condition notEnough;

}
```



# Monitor Solution

	T4	T2
--	----	----



► Try this schedule:

- T1: **withdraw(600)** - **running**
- T2: **withdraw(300)** - ready
- ~~T3: **deposit(500)** - done -~~
- T4: **deposit(500)** - ready

**Current state:**

*T1 acquires monitor lock.*

*T1 picks up from where it left off in the loop. Goes right back to check the looping condition. Must wait again.*

```
monitor Bank {

    // shared vars
    int balance = 500;

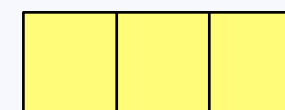
    void withdraw(int amt) {
        while (balance < amt) {
            notEnough.wait();
        }
        balance -= amt;
    }

    void deposit(int amt) {
        balance += amt;
        if (balance > 0) {
            notEnough.notifyAll();
        }
    }

    // condition vars
    Condition notEnough;

}
```

T1 §



# Monitor Solution

	T4	T2
--	----	----



## ► Try this schedule:

- T1: **withdraw**(600) - *wait* -
- T2: **withdraw**(300) - ready
- ~~T3: **deposit**(500) - done -~~
- T4: **deposit**(500) - ready

### **Current state:**

*T1 back in the notEnough queue.  
Unlocks monitor atomically.*

```
monitor Bank {

    // shared vars
    int balance = 500;

    void withdraw(int amt) {
        while (balance < amt) {
            notEnough.wait();
        }
        balance -= amt;
    }

    void deposit(int amt) {
        balance += amt;
        if (balance > 0) {
            notEnough.notifyAll();
        }
    }

    // condition vars
    Condition notEnough;

}
```

		T1
--	--	----

# Monitor Solution

	T4
--	----



► Try this schedule:

- T1: **withdraw**(600) - wait -
- T2: **withdraw**(300) - **running**
- ~~T3: **deposit**(500) - done -~~
- T4: **deposit**(500) - ready

**Current state:**

*T2 acquires monitor lock.*

*T2 picks up from where it left off in the loop. Breaks out of loop!*

*Updates balance.*

**monitor** Bank {

*// shared vars*

**int** balance = **200**;

```
void withdraw(int amt) {
    while (balance < amt) {
        notEnough.wait();
    }
    balance -= amt;
}
```

T2 §

```
void deposit(int amt) {
    balance += amt;
    if (balance > 0) {
        notEnough.notifyAll();
    }
}
```

*// condition vars*

Condition notEnough;

		T1
--	--	----

}

# Monitor Solution

	T4
--	----



## ► Try this schedule:

- T1: **withdraw**(600) - wait -
- ~~T2: **withdraw**(300) - done -~~
- ~~T3: **deposit**(500) - done -~~
- T4: **deposit**(500) - ready

### Current state:

*T2 exits, and unlocks the monitor atomically.*

```
monitor Bank {

    // shared vars
    int balance = 200;

    void withdraw(int amt) {
        while (balance < amt) {
            notEnough.wait();
        }
        balance -= amt;
    }

    void deposit(int amt) {
        balance += amt;
        if (balance > 0) {
            notEnough.notifyAll();
        }
    }

    // condition vars
    Condition notEnough;

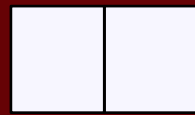
}
```

		T1
--	--	----

T2



# Monitor Solution



► Try this schedule:

- T1: **withdraw**(600) - *wakeup!*
- ~~T2: **withdraw**(300) - done -~~
- ~~T3: **deposit**(500) - done -~~
- T4: **deposit**(500) - **running**

**Current state:**

*T4 acquires monitor lock.*

*T4 runs deposit(500). Updates the balance and notifies all.*

**monitor** Bank {

*// shared vars*

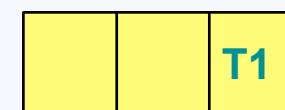
**int** balance = **700**;

```
void withdraw(int amt) {
    while (balance < amt) {
        notEnough.wait();
    }
    balance -= amt;
}
```

```
void deposit(int amt) {
    balance += amt;
    if (balance > 0) {
        notEnough.notifyAll();
    }
}
```

*// condition vars*

Condition notEnough;



T4 §

# Monitor Solution



## ► Try this schedule:

- T1: **withdraw**(600) - ready
- ~~T2: **withdraw**(300) - done -~~
- ~~T3: **deposit**(500) - done -~~
- ~~T4: **deposit**(500) - done -~~

### Current state:

*T1 re-enters entry set.*

*T4 exits and unlocks monitor.*



```
monitor Bank {

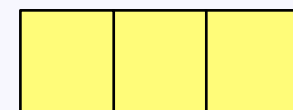
    // shared vars
    int balance = 700;

    void withdraw(int amt) {
        while (balance < amt) {
            notEnough.wait();
        }
        balance -= amt;
    }

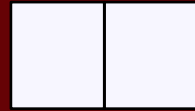
    void deposit(int amt) {
        balance += amt;
        if (balance > 0) {
            notEnough.notifyAll();
        }
    }

    // condition vars
    Condition notEnough;

}
```



# Monitor Solution



► Try this schedule:

- T1: **withdraw(600)** - **running**
- ~~T2: withdraw(300)~~ - done -
- ~~T3: deposit(500)~~ - done -
- ~~T4: deposit(500)~~ - done -

**Current state:**

*T1 acquires monitor lock.*

*T1 updates balance (finally!)*

**monitor** Bank {

*// shared vars*

**int** balance = **100**;

**void** withdraw(**int** amt) {  
     **while** (balance < amt) {  
         notEnough.**wait**();  
     }

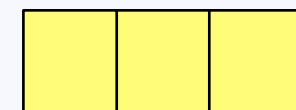
    balance -= amt;

}

**void** deposit(**int** amt) {  
     balance += amt;  
     **if** (balance > **0**) {  
         notEnough.**notifyAll**();  
     }  
 }

*// condition vars*

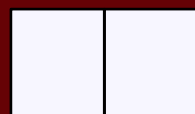
Condition notEnough;



}

T1 §

# Monitor Solution



► Try this schedule:

- T1: ~~withdraw~~(600) - done
- T2: ~~withdraw~~(300) - done -
- T3: ~~deposit~~(500) - done -
- T4: ~~deposit~~(500) - done -

**Current state:**

*T1 exits, unlocks the monitor.*

*Balance correctly reflects \$100.*

```
monitor Bank {

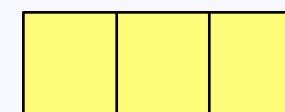
    // shared vars
    int balance = 100;

    void withdraw(int amt) {
        while (balance < amt) {
            notEnough.wait();
        }
        balance -= amt;
    }

    void deposit(int amt) {
        balance += amt;
        if (balance > 0) {
            notEnough.notifyAll();
        }
    }

    // condition vars
    Condition notEnough;

}
```



# Goals for This Lecture...

- ▶ Basic Problem Definition
- ▶ Mechanisms to Control Access to Shared Resources
  - Low Level Mechanisms:
    - Busy-Waiting (Spin) Locks
    - Self-Blocking Locks
  - High Level Mechanisms:
    - Semaphores
    - Condition Variables and Monitors
      - Example: Java

# Java's Synchronization Support

## ► The **synchronized** keyword

- Java allows methods to be declared to be **synchronized**
- Also allows definition of **synchronized blocks**

## ► Every Java Object is associated with a *monitor (or "intrinsic") lock*

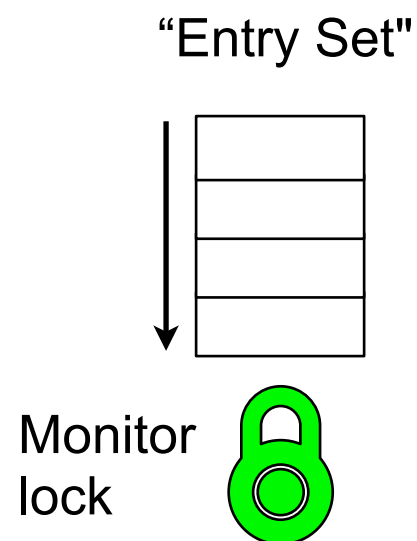
- When a method is declared to be **synchronized**, a thread calling the method must first acquire the intrinsic lock.
  - Or it waits in the Entry Set (This is just like Monitors!)
- Synchronized Method Syntax:

```
public synchronized returnType method(...) {  
    // everything you do here is mutually exclusive  
}
```

# Java's Synchronization Support (Cont.)

## ► *Java implements the monitor structure!*

- Guarantees mutex when a thread calls it (acquires monitor lock)
- Monitor lock is released when thread exits the method



```
public class Counter {  
    private int val = 0;  
  
    public synchronized void inc() {  
        // do stuff with Counter object locked  
        val++;  
        // unlock right before leaving method  
    }  
  
    public int getval() {  
        // this method doesn't require synchronization  
        // threads don't compete for the monitor lock  
        return val;  
    }  
}
```

# Java's Synchronization Support (Cont.)

- ▶ Sometimes you don't *need* mutual exclusion on the *whole* method body.
  - You can use a **synchronized block** if only a critical section of code within the method needs to be locked.
- **Synchronized Block Syntax:**

```
public void someMethod() {  
    synchronized(someObject) {  
        // acquires intrinsic lock on some object object  
        // releases lock after you leave the block  
    }  
}
```



# Synchronized Blocks

- ▶ These two code snippets are equivalent:
  - If you just want to run a block of code mutually exclusively inside the current object, just use "**this**"

```
public class Counter {  
    private int val = 0;  
  
    public void inc() {  
        // lock up the current object  
        synchronized(this) {  
            val++;  
        }  
    }  
}
```

```
public class Counter {  
    private int val = 0;  
  
    public synchronized void inc() {  
        val++;  
    }  
}
```

# Synchronized Blocks (2)

- ▶ But synchronized blocks buy us more flexibility.
  - There may be lots of code before and after the critical section that can be run without synchronization.

```
public class Counter {  
    private int val = 0;  
  
    public void inc() {  
        // stuff that doesn't need synced  
  
        synchronized(this) {  
            // 'this' object is now locked!  
            val++;  
        }  
        // 'this' Counter object is released!  
  
        // more stuff that doesn't need synced  
    }  
}
```

# What about Condition Variables?

- ▶ *Every Object* can also be used as a Condition Variable (CV)!
  - In addition to a monitor lock (and queue), every object additionally has a CV wait queue. (Yes, an object can have two queues!)
- ▶ Three operations (API for *Object* class):
  - *wait()* - Waits until another thread calls *notify()* or *notifyAll()*
  - *notify()* - Wake up *one* thread that's waiting
  - *notifyAll()* - Wake up *all* threads that's waiting

# Java's Condition Variables (CVs)

- ▶ Rule: All CV operations must be done in a **synchronized** block that locks the CV up.
- ▶ **Syntax:** Assume below that **notEnough** is an Object variable.

```
public void someMethod() {  
    synchronized(notEnough) {  
        notEnough.wait();  
    }  
}  
  
public void someOtherMethod() {  
    synchronized(notEnough) {  
        notEnough.notifyAll();  
    }  
}
```

# Java's Condition Variables (CVs)

- Rule: All CV operations must be done in a **synchronized** block that locks the CV up.



```
// This code is correct

public class Counter {
    private int val = 0; // shared data
    private Object foo = new Object(); // CV

    public void dec() {
        synchronized (foo) {
            while (val < 10) {
                foo.wait();
            }
            val--;
        }
    }

    public void inc() {
        synchronized (foo) {
            val++;
            if (val >= 10) {
                foo.notify();
            }
        }
    }
}
```

# Java's Condition Variables (CVs)

## ► Restoring the synchronized blocks on CV foo...

### Assume the same scenario before:

Thread T1 is running `dec()` and needs to wait. But OS context switches to T2 right before `foo.wait()` is called.

However, T2 cannot make progress in `inc()` because T1 still holds the lock on **foo**!

Eventually, OS must switch back to T1. Now it waits, releasing **foo**'s lock.

T2 gets to run (finally), and notifies T1 to wake up.



```
public class Counter {
    private int val = 0; // shared data
    private Object foo = new Object(); // CV


    public void dec() {
        synchronized (foo) {
            while (val < 10) {
                foo.wait();
            }
            val--;
        }
    }

    public void inc() {
        synchronized (foo) {
            val++;
            if (val >= 10) {
                foo.notify();
            }
        }
    }
}
```

# Another Common Problem

- Problem: Using synchronized methods/block when you don't need to.
  - Say I added the **synchronized** keyword to the method declarations.

```
public class Counter {  
    private int val = 0; // shared data  
    private Object foo = new Object();  
  
    public synchronized void doStuff() {  
        synchronized (foo) {  
            while (foo.count < 10)  
                foo.wait();  
            val--;  
        }  
    }  
  
    public synchronized void doSomething() {  
        synchronized (foo) {  
            val++;  
            if (foo.count >= 10)  
                foo.notify();  
        }  
    }  
}
```



foo's  
lock

# Another Common Problem

- Recall that a **synchronized** method is just like having a giant **synchronized** block on **this**.

- That means there's now monitor lock too

Thread 1 Runs `doStuff()`

Thread 2 Runs `doSomething()`



Counter's  
lock  
(monitor lock)



foo's  
lock

```
public class Counter {
    private int val = 0; // shared data
    private Object foo = new Object();

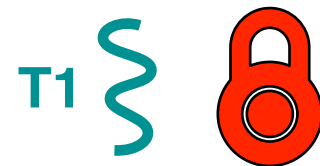
    public void doStuff() {
        synchronized(this) {
            synchronized (foo) {
                while (foo.count < 10)
                    foo.wait();
                val--;
            }
        }
    }

    public void doSomething() {
        synchronized(this) {
            synchronized(foo) {
                val++;
                if (foo.count >= 10)
                    foo.notify();
            }
        }
    }
}
```



# Another Common Problem

Thread 1 Runs `doStuff()`:  
- Acquires monitor lock.



Counter's  
lock  
(monitor lock)

```
public class Counter {
    private int val = 0; // shared data
    private Object foo = new Object();

    public void doStuff() {
        synchronized(this) {
            synchronized (foo) {
                while (foo.count < 10)
                    foo.wait();
                val--;
            }
        }
    }

    public void doSomething() {
        synchronized(this) {
            synchronized(foo) {
                val++;
                if (foo.count >= 10)
                    foo.notify();
            }
        }
    }
}
```



foo's  
lock

# Another Common Problem

Thread 1 Runs `doStuff()`:

- Acquires monitor lock.
- Acquires foo's lock



Counter's  
lock  
(monitor lock)

```
public class Counter {
    private int val = 0; // shared data
    private Object foo = new Object();

    public void doStuff() {
        synchronized(this) {
            synchronized (foo) {
                while (foo.count < 10)
                    foo.wait();
                val--;
            }
        }
    }

    public void doSomething() {
        synchronized(this) {
            synchronized(foo) {
                val++;
                if (foo.count >= 10)
                    foo.notify();
            }
        }
    }
}
```



foo's  
lock

T1

# Another Common Problem

Thread 1 Runs `doStuff()`:

- Acquires monitor lock.
- Acquires `foo`'s lock
- Waits on `foo`. Unlocks `foo`.  
(Monitor lock is still held!)



Counter's  
lock  
(monitor lock)

```
public class Counter {
    private int val = 0; // shared data
    private Object foo = new Object();

    public void doStuff() {
        synchronized(this) {
            synchronized (foo) {
                while (foo.count < 10)
                    foo.wait();
                val--;
            }
        }
    }

    public void doSomething() {
        synchronized(this) {
            synchronized(foo) {
                val++;
                if (foo.count >= 10)
                    foo.notify();
            }
        }
    }
}
```



`foo`'s  
lock

T1  
(queued)

# Another Common Problem



Counter's  
lock  
(monitor lock)

Thread 1 Runs **doStuff()**:

- Acquires monitor lock.
- Acquires foo's lock
- Waits on **foo**. Unlocks **foo**.  
(Monitor lock is still held!)

Thread 2 Runs **doSomething()**:

- Attempts to acquire monitor lock.

```
public class Counter {
    private int val = 0; // shared data
    private Object foo = new Object();

    public void doStuff() {
        synchronized(this) {
            synchronized (foo) {
                while (foo.count < 10)
                    foo.wait();
                val--;
            }
        }
    }

    public void doSomething() {
        synchronized(this) {
            synchronized(foo) {
                val++;
                if (foo.count >= 10)
                    foo.notify();
            }
        }
    }
}
```



foo's  
lock

T1  
(queued)

# Another Common Problem

T2  
(queued here)



Counter's  
lock  
(monitor lock)

Thread 1 Runs `doStuff()`:

- Acquires monitor lock.
- Acquires `foo`'s lock
- Waits on `foo`. Unlocks `foo`.  
(Monitor lock is still held!)

Thread 2 Runs `doSomething()`:

- Attempts to acquire monitor lock.
- Must wait at monitor entry. Queues up in entry set.
- **Deadlock.**

```
public class Counter {
    private int val = 0; // shared data
    private Object foo = new Object();

    public void doStuff() {
        synchronized(this) {
            synchronized (foo) {
                while (foo.count < 10)
                    foo.wait();
                val--;
            }
        }
    }

    public void doSomething() {
        synchronized(this) {
            synchronized(foo) {
                val++;
                if (foo.count >= 10)
                    foo.notify();
            }
        }
    }
}
```



`foo`'s  
lock

T1  
(queued)

# Goals for This Lecture...

- ▶ Basic Problem Definition
- ▶ Mechanisms to Control Access to Shared Resources
  - Low Level Mechanisms:
    - Busy-Waiting (Spin) Locks
    - Self-Blocking Locks
  - High Level Mechanisms:
    - Semaphores
    - Condition Variables and Monitors
      - Example: The Bank Example revisited in Java.

# (Java Solution to the Bank Problem)

```
public class TheBank {
    private int balance = 0;
    private Object notEnough = new Object(); //condition variable

    public void withdraw(int amt) {
        synchronized(notEnough) { // lock on notEnough CV; No lock on TheBank
            while (balance < amt) {
                try {
                    notEnough.wait(); //unlock notEnough and wait (atomically!)
                } catch (InterruptedException e) {
                    e.printStackTrace();
                }
            }
            balance -= amt;
            System.out.println("Withdrew $" + amt + ". Now $" + balance);
        }
    }

    public void deposit(int amt) {
        synchronized(notEnough) { // lock on notEnough CV; No lock on TheBank
            balance += amt;
            if (balance > 0)
                notEnough.notifyAll();
            System.out.println("Deposited $" + amt + ". Now $" + balance);
        }
    }
}
```

# Customer Threads

- ▶ Thread Objects need to implement **Runnable**

```
public class Customer implements Runnable {
    private String name;
    private TheBank myBank;

    public Customer(String name, TheBank bank) {
        this.name = name;
        myBank = bank;
    }

    /** Runs automatically on thread start */
    @Override
    public void run() {
        while (true) {
            if (name.equals("Adam")) {
                // Adam always (synchronously) deposits $1
                myBank.deposit(1);
            }
            else {
                // Brad and America always (synchronously) withdraw $3
                myBank.withdraw(3);
            }
        }
    }
}
```



# Run the Customer Threads! (Thread Object)

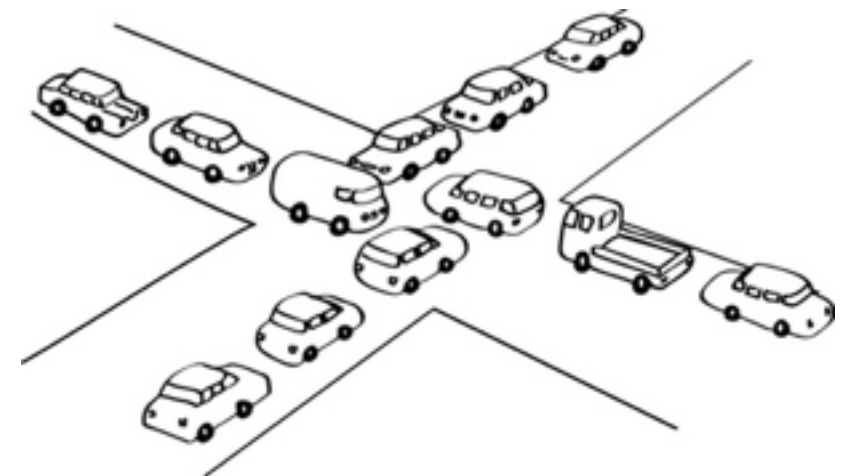
```
public class Tester {  
  
    private static final String[] cust_names = {"Adam", "Brad", "America"};  
  
    public static void main(String[] args) {  
        TheBank syncedBank = new TheBank();  
  
        // start the threads  
        Thread[] customers = new Thread[cust_names.length];  
        for (int i = 0; i < cust_names.length; i++) {  
            // Creating Thread objects -- need to encapsulate a Runnable object  
            customers[i] = new Thread(new Customer(cust_names[i], syncedBank));  
  
            // Not a typo -- call start(), not run()  
            customers[i].start();  
        }  
  
        //join the customer threads  
        for (int i = 0; i < cust_names.length; i++) {  
            try {  
                customers[i].join();  
            } catch (InterruptedException e) {  
                e.printStackTrace();  
            }  
        }  
        System.out.println("Done!");  
    }  
}
```

# Java Code Examples

- ▶ You can check out the Java code examples.
  - <https://github.com/davidtchiu/cs475-lec-producerConsumerJava>
  - <https://github.com/davidtchiu/cs475-lec-theBankJava>

# In Conclusion...

- ▶ Synchronization is hard
  - But increasingly necessary for today's programmers
    - Tough to get it right because an incorrect execution may only happen very, very rarely
  - Java makes things a little easier
- ▶ Because waiting is an essential mechanism, threads could wait on each other forever
  - How might we deal with *deadlocks*?



# Administrivia 3/27

## ► Announcements:

- Hwk 6 extended to tomorrow!

## ► Last time...

- Binary vs counting semaphores
- Solved bounded-buffer problem using semaphores

## ► Today:

- Monitors and condition variables
- Java

# Administrivia 3/29

## ► Announcements:

- Review Study Guide #2 is up on canvas

## ► Last time...

- Semaphores are hard to set up and use. Error-prone.
  - What can we build using semaphores that makes sync easier?
  - Monitors & Condition variables

## ► Today

- Synchronization in Java
- Synchronized methods, synchronized blocks
- Multithreading syntax in Java
- *(On exams: You do not need to know locks and semaphores in Java)*

# Administrivia 3/29 (Cont)

- ▶ Faculty candidate talk
  - Voronoi Cells of Varieties in Various Distances
  - Dr. Maddie Weinstein, Stanford University
  - Faculty candidate in Math
  
- ▶ Where: TH 391
  
- ▶ When: Thursday 3/30 (tomorrow!), 4-5pm