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Lecture overview

Overview

Intermezzo on Function Composition

Functions $1 + X \leftarrow X$ (with failure notification)

Functions $\mathbb{L}.X \leftarrow X$ (with result lists)

Functions $X \times \mathbb{L}.T \leftarrow X$ (with logging)

Fix on the outside (2): bind

Monads dissected



Recap

Covered so far
To be covered

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Covered so far

- Function and type combinators: \circ , \triangle , \times , \triangledown , +
- Polymorphic type judgment
- Pointwise and pointfree characterization of $\ \triangle$ and $\ \triangledown$
- Techniques for recursive function definitions (accumulation, tupling)
- Catamorphisms on $\, \mathbb{N} \,$ and $\, \mathbb{L}$, and their pointwise/pointfree char'n Paramorphisms, logarithmic fold on $\, \mathbb{N} \,$
- ullet Cata-fusion theorems for catas on $\,\mathbb{N}\,$ and $\,\mathbb{L}\,$
- Corresponding calculation techniques

To be covered

- Monads (intro; only tested in Assignment 2; not in final test)
- General theory of inductive types
 Polynomial/Kleene functors, F-algebras, F-homomorphisms
- General theory of co-inductive types
- Hylomorphisms
- Streams (infinite co-data)
- Lambda Calculus (not tested)

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Intermezzo on Function Composition

Various ways of combining ('gluing') functions Functions that don't combine



Various ways of combining ('gluing') functions

$f\in$	$g \in$	combinator	$composite \in$	name
$C \leftarrow B$	$B \leftarrow A$	$f\circ g$	$C \leftarrow A$	composition
$B \leftarrow A$	$C \leftarrow A$	$f \vartriangle g$	$B \times C \leftarrow A$	split
$C \leftarrow A$	$D \leftarrow B$	f imes g	$C \times D \leftarrow A \times B$	product
$C \leftarrow A$	$C \leftarrow B$	$f \triangledown g$	$C \leftarrow A + B$	case
$C \leftarrow A$	$D \leftarrow B$	f+g	$C + D \leftarrow A + B$	sum

Currying and uncurrying can also help to make functions composable

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Functions that don't combine

- Starting point: functions of type $X \leftarrow X$, that can be composed easily
- Slight variants break composability:
 - ▶ Partial function signals failure: $1 + X \leftarrow X$ (return \hookrightarrow ._ for failure)
 - ▶ Partial function throws exception: $E + X \leftarrow X$ (return $\hookrightarrow .e$ for exception e)
 - ► Function produces no or multiple results: $\mathbb{L}.X \leftarrow X$ (return list of results)
 - ► Function logs tracing info: $X \times T \leftarrow X$ (return tuple of result and info)
- One approach: 'Extend' domain to match codomain, and fix it on the inside
 - 'Extend' each function definition to handle 'extended' arguments (not DRY)
- Two other approaches: fix it on the outside
 - 1. Define custom composition combinator, a.k.a. Kleisli composition
 - 2. Introduce extension decorator (with function-to-be-extended as parameter)

Functions $1 + X \leftarrow X$ (with failure notification)

Fix on the inside

Fix on the outside (1): Kleisli composition

Kleisli composition is associative

Associativity by circuits

Kleisli composition has unit element

Generalize to $1 + Y \leftarrow X$: Maybe monad M.X = 1 + X

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Fix on the inside, for $1 + X \leftarrow X$ (failure notification)

- ullet Given $f\in\mathbb{1}+X\leftarrow X$ and $g\in\mathbb{1}+X\leftarrow X$, we want $f\circ g$ 'as expected'
- To allow $f' \circ g$, define 'extended' $f' \in \mathbb{1} + X \leftarrow \mathbb{1} + X$ by

$$f'.(\hookrightarrow._) = \hookrightarrow._$$
 { failure persists } $f'.(\hookrightarrow.x) = f.x$ { normal flow (can also lead to failure) }

- Given $f \in \mathbb{1} + X \leftarrow X \times X$ and $g,h \in \mathbb{1} + X \leftarrow X$, we want $f \circ (g \vartriangle h)$
- To allow $f' \circ (g \vartriangle h)$, define 'extended' $f' \in \mathbb{1} + X \leftarrow (\mathbb{1} + X) \times (\mathbb{1} + X)$ by

$$f'.(\hookrightarrow, \ldots) = \hookrightarrow,$$
 { left failure persists } $f'.(\ldots, \hookrightarrow, \ldots) = \hookrightarrow,$ { right failure persists } $f'.(\longleftrightarrow, x, \longleftrightarrow, y) = f.(x, y)$ { normal flow }

• What a drag (to do this for every function)

Fix on the outside (1), for $1 + X \leftarrow X$: Kleisli composition

• Define Kleisli composition • for $f,g\in\mathbb{1}+X\leftarrow X$ by

```
f \bullet g \in \mathbb{1} + X \leftarrow X
f \bullet g = (\hookrightarrow \nabla f) \circ g \quad \{ \text{ pointfree } \}
(f \bullet g).x = f'.(g.x) \text{ where } \{ \text{ pointwise } \}
f'.(\hookrightarrow._) = \hookrightarrow._
f'.(\hookleftarrow.y) = f.y
```

- This captures that a failure from *g* bypasses *f*
- In functional programming, you can define your own exception handling

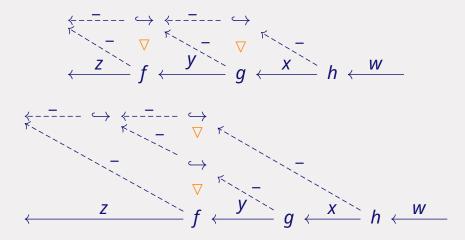
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Kleisli composition for $1 + X \leftarrow X$ is associative

```
f \bullet (g \bullet h) \qquad \{ \text{goal: } (f \bullet g) \bullet h \} 
= \{ \text{def.} \bullet (\text{twice}) \} 
(\hookrightarrow \nabla f) \circ (\hookrightarrow \nabla g) \circ h 
= \{ \nabla \text{-fusion: } p \circ (q \nabla r) = (p \circ q) \nabla (p \circ r) \} 
((\hookrightarrow \nabla f) \circ \hookrightarrow \nabla (\hookrightarrow \nabla f) \circ g) \circ h 
= \{ \nabla \text{-self: } (p \nabla q) \circ \hookrightarrow = p \} 
(\hookrightarrow \nabla (\hookrightarrow \nabla f) \circ g) \circ h 
= \{ \text{def.} \bullet \} 
(\hookrightarrow \nabla f \bullet g) \circ h 
= \{ \text{def.} \bullet \} 
(f \bullet q) \bullet h
```

Associativity by circuits



Results on 'cut' into f differ: 1 + X vs 1 + (1 + X)

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Kleisli composition for $1 + X \leftarrow X$ has unit element

• Define *u* by

$$u \in 1 + X \leftarrow X$$

 $u = \leftarrow \{ \text{ pass value on as success, never failing } \}$

• Verify by (parallel/tupled) calculation:

$$(f \bullet u, u \bullet f) \qquad \{ \text{goal: } (f,f) \}$$

$$= \{ \text{def. } \bullet, u \}$$

$$((\hookrightarrow \nabla f) \circ \hookleftarrow, (\hookrightarrow \nabla \hookleftarrow) \circ f)$$

$$= \{ \nabla \text{-self, } \nabla \text{-id } \}$$

$$(f, \text{id } \circ f)$$

$$= \{ \text{id is unit of composition } \}$$

$$(f, f)$$

Generalize to $1 + Y \leftarrow X$: Maybe monad M.X = 1 + X

- Decouple domain and codomain (or: make coupling explicit and general)
- Define (polymorphic) type \mathbb{M} by $\mathbb{M}.X = \mathbb{1} + X$, used for codomains
- $f \in \mathbb{M}.C \leftarrow B$ and $g \in \mathbb{M}.B \leftarrow A$
- Kleisli composition $f \bullet g \in \mathbb{M}.\mathsf{C} \leftarrow \mathsf{A}$ is defined by $f \bullet g = (\hookrightarrow \triangledown f) \circ g$
- N.B. Here: \hookrightarrow \in \mathbb{M} .C \leftarrow $\mathbb{1}$; hence $(\hookrightarrow \triangledown f) \in \mathbb{M}$.C $\leftarrow \mathbb{M}$.B
- Unit of Kleisli composition: $u \in \mathbb{M}.X \leftarrow X$ is defined by $u = \leftarrow$
- (\mathbb{M}, \bullet, u) is (one manifestation of) the Maybe monad
- Maybe monad offers regular composition plus side channel for failures
- • $\in (M.C \leftarrow A) \leftarrow (M.C \leftarrow B) \times (M.B \leftarrow A)$ { Haskel notation: <=< }
- Kleisli composition ensures that failures combine properly (i.e., persist)
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Functions $\mathbb{L}.X \leftarrow X$ (with result lists)

Fix on the inside

Fix on the outside (1): Kleisli composition

Kleisli composition is associative

Kleisli composition has unit element

Generalize to $\mathbb{L}.Y \leftarrow X$: List monad \mathbb{L}

Fix on the inside, for $\mathbb{L}.X \leftarrow X$ (result lists)

- Given $f \in \mathbb{L}.X \leftarrow X$ and $g \in \mathbb{L}.X \leftarrow X$, we want $f \circ g$ 'as expected' $\mathbb{L}.X$ generalizes $\mathbb{1} + X : \hookrightarrow \mathbb{L} \sim []$ (failure) and $\hookrightarrow X \sim [x]$ (success)
- To allow $f' \circ g$, define 'extended' $f' \in \mathbb{L}.X \leftarrow \mathbb{L}.X$ by

```
f' = concat \circ map.f  { a.k.a. flatmap.f } f'.xs = [y \mid x \leftarrow xs, y \leftarrow f.x]  { pointwise with list comprehension } Try in Haskell: f x = [x, x]; fe = concat . map f; fe [3, 4]
```

- Given $f \in \mathbb{L}.X \leftarrow X imes X$ and $g,h \in \mathbb{L}.X \leftarrow X$, we want $f \circ (g \vartriangle h)$
- To allow $f' \circ (g \triangle h)$, define 'extended' $f' \in \mathbb{L}.X \leftarrow \mathbb{L}.X \times \mathbb{L}.X$ by $f'.(xs,ys) = [z \mid x \leftarrow xs, \ y \leftarrow ys, \ z \leftarrow f.(x,y)]$
- What a drag (to do this for every function)
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Fix on the outside (1), for $\mathbb{L}.X \leftarrow X$: Kleisli composition

• Define Kleisli composition • for $f,g \in \mathbb{L}.X \leftarrow X$ by

```
f \bullet g \in \mathbb{L}.X \leftarrow X
f \bullet g = concat \circ map.f \circ g  { pointfree }
(f \bullet g).x = concat.(map.f.(g.x))  { pointwise }
= [z \mid y \leftarrow g.x, z \leftarrow f.y]
```

ullet This captures that f is applied to each result from g, returned in one list

Kleisli composition for $\mathbb{L}.X \leftarrow X$ is associative

```
f • (g • h) { goal: (f • g) • h }

= { def. • (rightmost) }
f • (concat ∘ map.g ∘ h)

= { def. • }
concat ∘ map.f ∘ concat ∘ map.g ∘ h

= { fusion (exercise) }
concat ∘ map. (concat ∘ map.f ∘ g) ∘ h

= { def. • }
concat ∘ map. (f • g) ∘ h

= { def. • }
(f • g) • h
```

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Kleisli composition for $\mathbb{L}.X \leftarrow X$ has unit element

```
• Define u by u \in \mathbb{L}.X \leftarrow X u = (\vdash[]) { singleton, for single result: u.x = [x] }
```

• Verify by (parallel/tupled) calculation:

```
(f \bullet u, u \bullet f) { goal: (f,f) }

= { def. •, u }

(concat \circ map.f \circ (\vdash []), concat \circ map.(\vdash []) \circ f )

= { pointwise (left), fusion (right, exercise) }

(f, id \circ f)

= { id is unit of composition }

(f, f)
```

Generalize to $\mathbb{L}.Y \leftarrow X$: List monad \mathbb{L}

- Decouple domain and codomain
- ullet Type ${\mathbb L}$ is polymorphic, used for codomains
- $f \in \mathbb{L}.C \leftarrow B$ and $g \in \mathbb{L}.B \leftarrow A$
- Kleisli composition $f \bullet g \in \mathbb{L}.\mathsf{C} \leftarrow \mathsf{A}$ is defined by $f \bullet g = \mathsf{concat} \circ \mathsf{map}.f \circ g$
- N.B. Here: $concat \in \mathbb{L}.C \leftarrow \mathbb{L}.(\mathbb{L}.C)$
- Unit of Kleisli composition: $u \in \mathbb{L}.X \leftarrow X$ is defined by $u = (\vdash [])$
- (\mathbb{L}, \bullet, u) is (one manifestation of) the List monad
- List monad offers regular composition plus side channel for multi-results
- • $\in (\mathbb{L}.C \leftarrow A) \leftarrow (\mathbb{L}.C \leftarrow B) \times (\mathbb{L}.B \leftarrow A)$ { Haskel notation: <=< }
- Kleisli composition ensures that result lists combine properly
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Functions $X \times \mathbb{L}.T \leftarrow X$ (with logging)

Fix on the inside

Fix on the outside (1): Kleisli composition

Kleisli composition is associative

Associativity by circuits

Kleisli composition has unit element

Unit by circuits

Generalize to $Y \times \mathbb{L}.T \leftarrow X$: Writer monad \mathbb{W}

Fix on the inside, for $X \times \mathbb{L}.T \leftarrow X$ (with logging)

- Given $f \in X \times \mathbb{L}.T \leftarrow X$ and $g \in X \times \mathbb{L}.T \leftarrow X$, we want $f \circ g$ 'as expected'
- To allow $f' \circ g$, define 'extended' $f' \in X \times \mathbb{L}.T \leftarrow X \times \mathbb{L}.T$ by

```
f' = (id \times ++) \circ assocr \circ (f \times id) where assocr = (\ll \circ \ll) \triangle (\gg \times id) { assocr((x,s),t) = (x,(s,t)) } f'.(x,t) = let (y,s) = f.x in (y,s++t) { pointwise: prepend to log }
```

• What a drag (to do this for every function)

TU/e

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Fix on the outside (1), for $X \times \mathbb{L}.T \leftarrow X$: Kleisli composition

• Define Kleisli composition • for $f,g \in X imes \mathbb{L}.T \leftarrow X$ by

$$f \bullet g \in X \times \mathbb{L}.T \leftarrow X$$
 $f \bullet g = (id \times ++) \circ assocr \circ (f \times id) \circ g$ { pointfree }
 $(f \bullet g).x = let (y,t) = g.x$
 $(z,s) = f.y$
 $let (z,s++t)$ { pointwise }

• This captures that f 's logging is prepended to that from g

Kleisli composition for $X \times \mathbb{L}.T \leftarrow X$ is associative

```
f \bullet (g \bullet h) \qquad \{ \text{goal: } (f \bullet g) \bullet h \} 
= \{ \text{def. } \bullet (\text{rightmost}) \} 
f \bullet ( (\text{id} \times ++) \circ assocr \circ (g \times \text{id}) \circ h ) 
= \{ \text{def. } \bullet, \text{composition is associative } \} 
(\text{id} \times ++) \circ assocr \circ (f \times \text{id}) \circ (\text{id} \times ++) \circ assocr \circ (g \times \text{id}) \circ h 
= \{ \text{fusion (exercise; easier than solving a Sudoku)} \} 
(\text{id} \times ++) \circ assocr \circ (( (\text{id} \times ++) \circ assocr \circ (f \times \text{id}) \circ g) \times \text{id}) \circ h 
= \{ \text{def. } \bullet \} 
(\text{id} \times ++) \circ assocr \circ ((f \bullet g) \times \text{id}) \circ h 
= \{ \text{def. } \bullet \} 
(f \bullet g) \bullet h
```

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Associativity by circuits

Results on 'cut' into leftmost id \times ++ differ: (z, (s, t ++ u)) vs (z, (s ++ t, u))



Kleisli composition for $X \times \mathbb{L}.T \leftarrow X$ has unit element

• Define *u* by

$$u \in X \times \mathbb{L}.T \leftarrow X$$

 $u = \operatorname{id} \triangle[]^{\bullet}$ { no logging data yet: $u.x = (x,[])$ }

• Verify by (parallel/tupled) calculation:

$$(f \bullet u, u \bullet f) \qquad \{ \text{goal: } (f,f) \}$$

$$= \{ \text{def. } \bullet, u \}$$

$$((\text{id} \times ++) \circ assocr \circ (f \times \text{id}) \circ (\text{id} \triangle []^{\bullet}), \\ (\text{id} \times ++) \circ assocr \circ ((\text{id} \triangle []^{\bullet}) \times \text{id}) \circ f)$$

$$= \{ \text{fusion, } [] \text{ (right/left) unit of } ++ \}$$

$$(f, \text{id} \circ f)$$

$$= \{ \text{id is unit of composition } \}$$

$$(f, f)$$

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Unit by circuits

Results on 'cut' from leftmost id \times ++ differ: (y, (s, [])) vs (y, ([], s))

Generalize to $Y \times \mathbb{L}.T \leftarrow X$ **: Writer monad** \mathbb{W}

- · Decouple domain and codomain
- Define (polymorphic) type \mathbb{W} by $\mathbb{W}.X = X \times \mathbb{L}.T$, used for codomains
- $f \in \mathbb{W}.\mathsf{C} \leftarrow \mathsf{B}$ and $g \in \mathbb{W}.\mathsf{B} \leftarrow \mathsf{A}$
- Kleisli composition $f \bullet g \in \mathbb{W}.\mathsf{C} \leftarrow \mathsf{A}$ is defined by

$$f \bullet g = (id \times ++) \circ assocr \circ (f \times id) \circ g$$

- Unit of Kleisli composition: $u \in \mathbb{W}.X \leftarrow X$ is defined by $u = \mathrm{id} \triangle []^{\bullet}$
- (\mathbb{W}, \bullet, u) is (one manifestation of) the Writer monad
- Writer monad offers regular composition plus side channel for logging
- • $\in (\mathbb{W}.C \leftarrow A) \leftarrow (\mathbb{W}.C \leftarrow B) \times (\mathbb{W}.B \leftarrow A)$ { Haskel notation: <=< }
- Kleisli composition ensures that logs combine properly
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Fix on the outside (2): bind

Fix on the outside (2): extend functions

Fix on the outside (2): extend functions

- Combinator (decorator) to 'extend' $f \in M.Y \leftarrow X$: take 'extended' argument
- Notation: (≫=f) (pronounce: 'bind' or 'monadic apply')
- $\gg \in M.Y \leftarrow M.X \times (M.Y \leftarrow X)$ with $(\gg f) = f \bullet id : mx \gg f = (f \bullet id).mx$

$$\begin{array}{|c|c|c|c|c|c|}\hline \mathsf{Monad} & \mathbb{M}.\mathsf{X} = \mathbb{1} + \mathsf{X} & \mathbb{L}.\mathsf{X} & \mathbb{W}.\mathsf{X} = \mathsf{X} \times \mathbb{L}.\mathsf{T} \\ \hline (\gg=f) & \hookrightarrow \forall f & \mathit{concat} \circ \mathit{map}.f & (\mathsf{id} \times +\!\!\!\!+) \circ \mathit{assocr} \circ (f \times \mathsf{id}) \\ \hline \end{array}$$

- Can rewrite $\hookrightarrow \triangledown f = (\hookrightarrow \triangledown \operatorname{id}) \circ (\operatorname{id} + f)$ { + -absorption}
- Note that $(\hookrightarrow \triangledown \operatorname{id}) \circ (\operatorname{id} + f) = (\hookrightarrow \triangledown \operatorname{id}) \circ \operatorname{\mathbb{M}}.f$ $\operatorname{concat} \circ \operatorname{map}.f = \operatorname{concat} \circ \operatorname{\mathbb{L}}.f$ $(\operatorname{id} \times ++) \circ \operatorname{assocr} \circ (f \times \operatorname{id}) = (\operatorname{id} \times ++) \circ \operatorname{assocr} \circ \operatorname{\mathbb{W}}.f$

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Monads dissected

A deeper look into monads

Operator properties for monad M

Sequencing and do -notation

Standard monads

Identity monad

IO monad

Overview of generalization steps

A deeper look into monads

- Let $f \in M.C \leftarrow B$ and $g \in M.B \leftarrow A$
- Kleisli composition takes form $f \bullet g = \mu \circ \textit{M.} f \circ g$
- Types: $M.C \leftarrow \frac{\mu}{M.M.M.C} \leftarrow M.B \leftarrow \frac{g}{M.B} \rightarrow A$
- μ is flatten operator (a.k.a. *multiplication* or *join*): $\mu \in M.X \leftarrow M.$ (M.X)
- Relationship to Kleisli composition: $\mu = id \cdot id$
- Monad can also be defined as triple (M, μ, u) with some Monad laws
- ... or as triple (M, \gg , u) (in Haskell: u is named **return**) with Monad laws
 - 1. (**return** x) >>= f ==== f x
 - 2. mx >>= **return** ==== mx
 - 3. $(mx >>= f) >>= g ==== mx >>= (\x -> f x >>= g)$

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Operator properties for monad M

```
(f \bullet g) \bullet h = f \bullet (g \bullet h)
f \bullet u = f
u \bullet f = f
(f \bullet g) \circ h = f \bullet (g \circ h)
(f \circ g) \bullet h = f \bullet (M.g \circ h)
id \bullet id = \mu \quad \{join \text{ or flatten}\}
f \bullet g = \mu \circ M.f \circ g \quad \{\text{Kleisli composition}\}
mx \gg f = (\mu \circ M.f).mx \quad \{\text{bind, monadic application}\}
f \bullet g = (\gg f) \circ g = (\lambda x : g.x \gg f)
mx \gg f = (f \bullet id).mx
M.f = (u \circ f) \bullet id \quad \{\text{a.k.a. } liftM.f\}
\mu \circ u = id \quad \{\text{mind the types: } M.X \leftarrow M.X\}
```

Sequencing and do-notation

- Define:
 - ► $x \gg y = x \gg y^{\bullet}$ { don't confuse this infix \gg with projection }
 - $\bullet \quad \mathsf{do} \{x\} = x$
 - ▶ **do** $\{x; x_1; ...; x_n\} = x \gg \text{do} \{x_1; ...; x_n\}$
 - ▶ **do** $\{a \leftarrow x; x_1; ...; x_n\} = x \gg (\lambda a : \textbf{do} \{x_1; ...; x_n\}) \{x_i \text{ may contain } a\}$
 - $[e \mid a_1 \leftarrow x_1, \dots, a_n \leftarrow x_n] = \mathbf{do} \{ a_1 \leftarrow x_1; \dots; a_n \leftarrow x_n; u.e \}$ { list monad }

where all expressions x and x_i map into the (same) monad If needed, the last one can use u to accomplish this

- Appropriate monad is used depending on types involved
- $(f \bullet g).x = \mathbf{do} \{ y \leftarrow g.x; f.y \}$
- **do** { $a \leftarrow [1,2,3]; [a^2]$ } = $[a^2 | a \leftarrow [1,2,3]]$

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Standard monads

- Identity: M.X = X without side-effect
- Maybe: M.X = 1 + X with one failure signal
- Error: M.X = E + X with multiple exceptions/errors
- List: $M.X = \mathbb{L}.X$ with multiple results (possibly none)
- Writer: $M.X = X \times T$ with logging
- IO: M.X = IO.X with input and output
- Reader: $M.X = E \rightarrow X$ with read-only environment
- State: $M.X = S \rightarrow X \times S$ with updatable state
- Continuation: $M.X = (A \rightarrow X) \rightarrow X$ with continuation chaining

Identity monad

- Identity monad defined by functor F.X = X (identity functor)
- Kleisli composition: $f \bullet g = f \circ g$
- Unit of Kleisli composition: u = id
- Identity monad offers regular composition without side channel



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IO monad

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- Input and output are/have side effects
- 10 monad (in Haskell) encapsulates this

```
• main :: IO ()
```

```
• putStrLn :: String -> IO ()
```

```
• getLine :: IO String
```

• Get two line of input and print them in reverse order:

```
reverse2lines :: IO ()
reverse2lines = do line1 <- getLine
line2 <- getLine
putStrLn (reverse line2)
putStrLn (reverse line1)</pre>
```

Overview of generalization steps

- $X \leftarrow X$
- $\mathbb{1} + X \leftarrow X$ to signal failures If $f \in X \leftarrow X$, then $u \circ f \in \mathbb{1} + X \leftarrow X$ (never fails)
- $\mathbb{1}+X\leftarrow\mathbb{1}+X$ to allow composition If $f\in\mathbb{1}+X\leftarrow X$, then $(\gg=f)=f$ •id $\in\mathbb{1}+X\leftarrow\mathbb{1}+X$
- $1 + Y \leftarrow 1 + X$ to decouple domain and co-domain
- M.Y ← M.X to allow accumulation of other 'effects'
 M is parameterized (polymorphic) type: Monad with Kleisli composition •
- *M* is functor: also maps functions, and adheres to functor laws
- Monad laws: Kleisli composition is associative and has unit *u*

