

Turing Machine III

Zeyu Mi

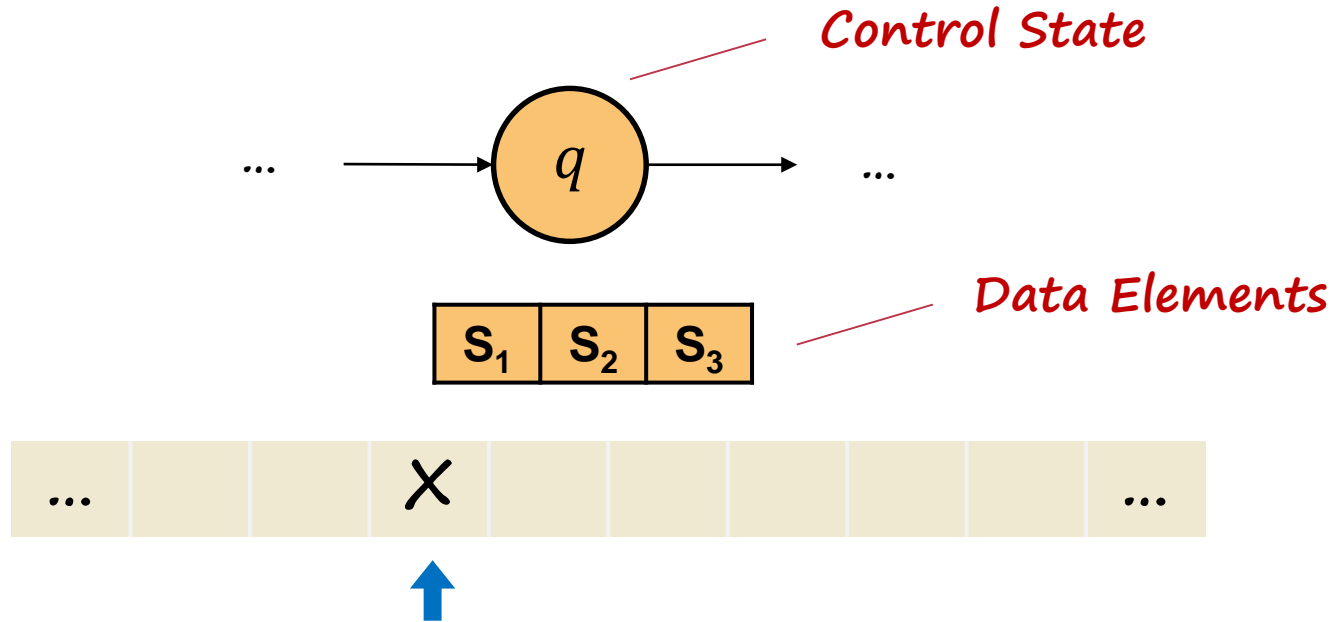
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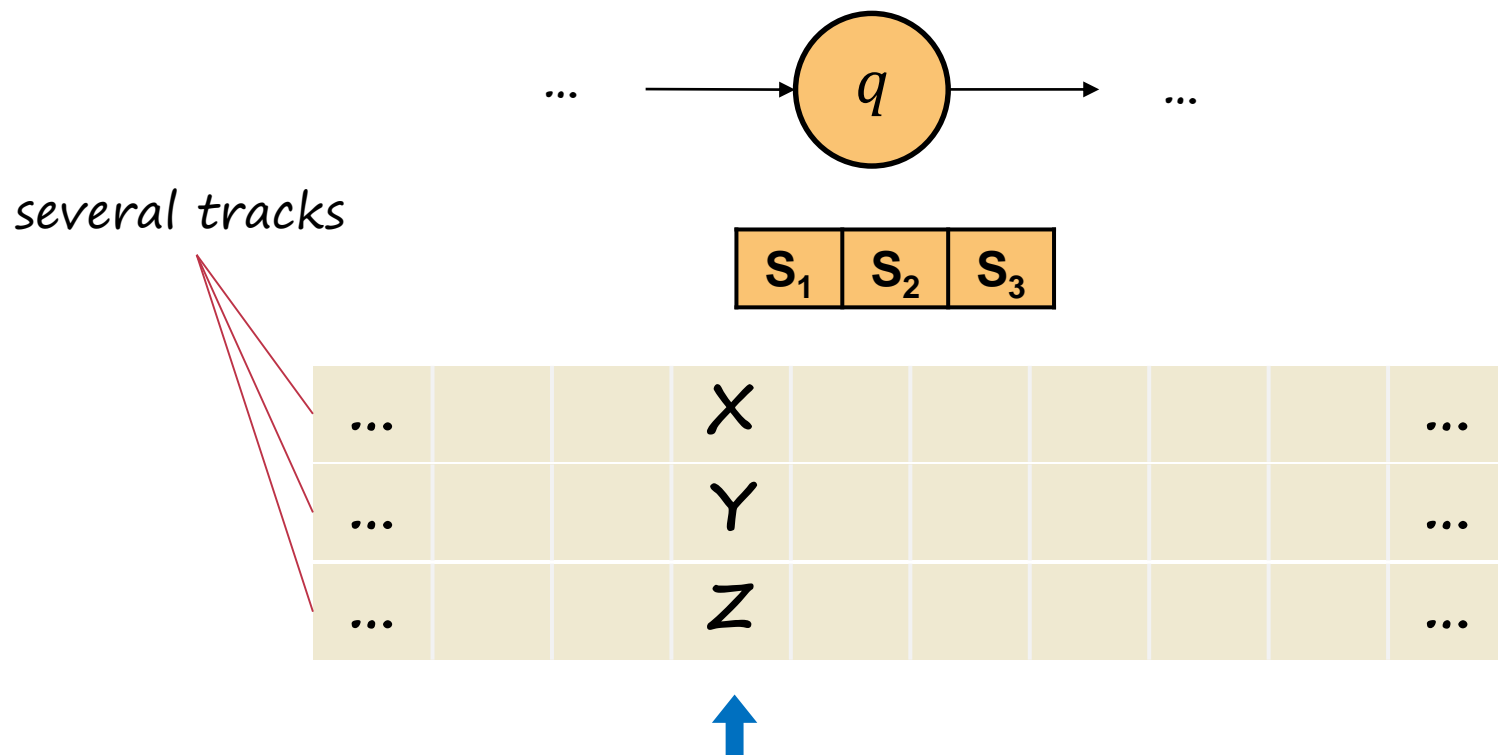
Adapted From:

IALC 9.1.2, 9.2.1, 9.2.2, 9.2.3
Stanford CS103 Turing Machine

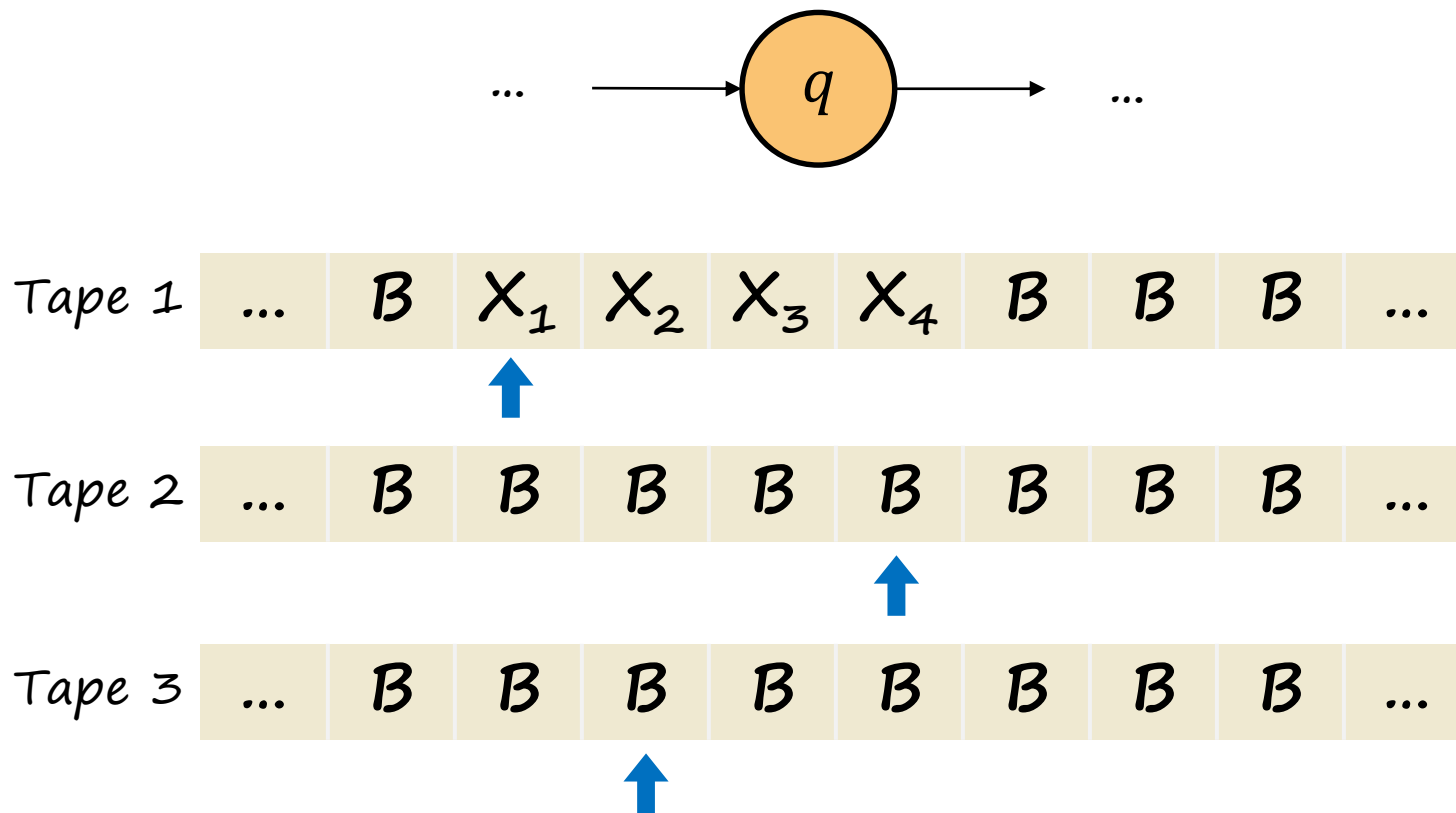
Review: TM with Storage



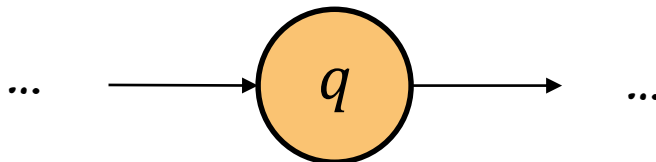
Review: Multitrack TM



Review: Multi-tape TM



Review: TM \approx Idealized Computer



Memory $\$0^*w_0\#1^*w_1\#10^*w_2\#11^*w_3\#100^*w_4\# \dots$

PC 10011

Memory Address 1101110

Peripheral Device

Scratch

Effective Computation

- An *effective method of computation* is a form of computation with the following properties:
 - The computation consists of a set of steps.
 - There are fixed rules governing how one step leads to the next.
 - Any computation that yields an answer does so in finitely many steps.
 - Any computation that yields an answer always yields the correct answer.
- This is not a formal definition. Rather, it's a set of properties we expect out of a computational system.

Church-Turing Thesis

Every effective method of computation is either equivalent to or weaker than a Turing machine.

- This is not a **theorem** but a falsifiable scientific hypo**thesis**. But it has been thoroughly tested! So we have strong faith in its correctness.
- This means Turing Machine can model any “computation”.

How we investigate computability?

2.1 Discussion on Problems

Part1. Intro & Set theory(I) : Basics & Formal Language.

Part2. Set Theory(II): Axiom system & Cardinality.

Part3. Capture Structures: Binary Relation & Function

2.2 Discussion on Computation

Part1. Turing Machine Basics.

Part2. Variants of Turing Machine. Church-Turing Thesis.

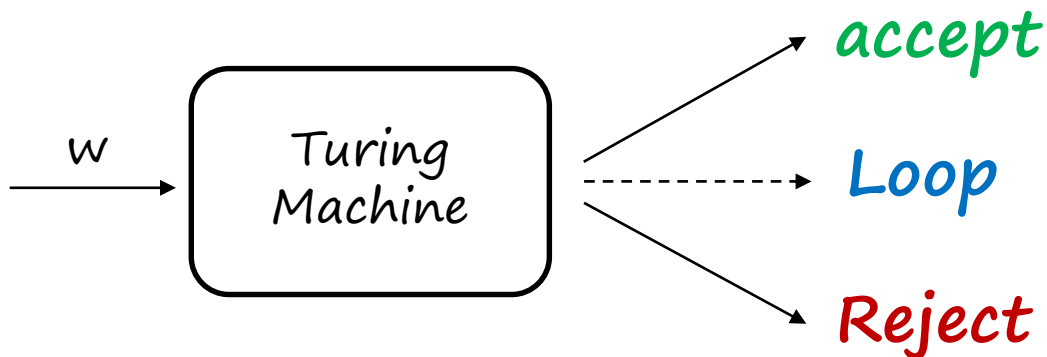
2.3 Discussion on computability

Part1. The Language of Turing Machine. R & RE

Part2. Undecidability.

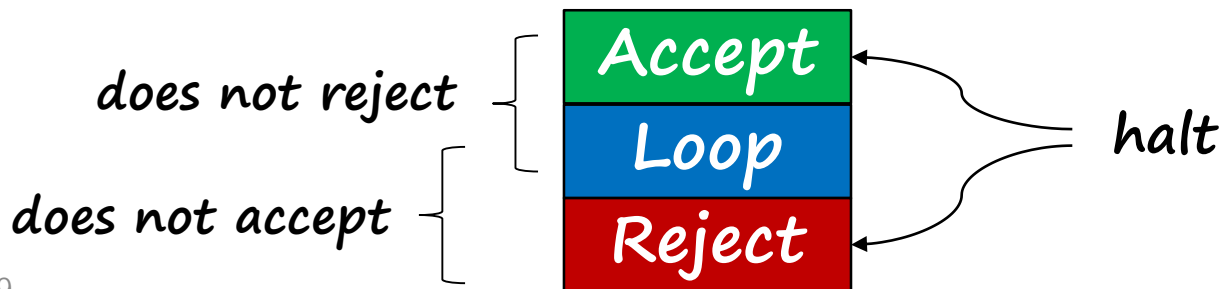
Output of TM

- For a certain input string, the output of a TM can be one in three kinds:
 - The TM **accepts** this string.
 - The TM **rejects** this string.
 - Rather than accepting or rejecting, the TM **loops** forever on the input string and never stops.



Very Important Terminology

- Let M be a Turing machine and let s be a string.
 - M **accepts** s if it enters an accepting state when running on s
 - M **rejects** s if it enters a rejecting state when running on s .
 - M **loops infinitely** on s when running on s if it enters neither an accepting nor a rejecting state.
 - M **does not accept** s if it either rejects s or loops on s .
 - M **does not reject** s if it either accepts s or loops on s .
 - M **halts** on s if it accepts w or rejects s .



More Details of RE

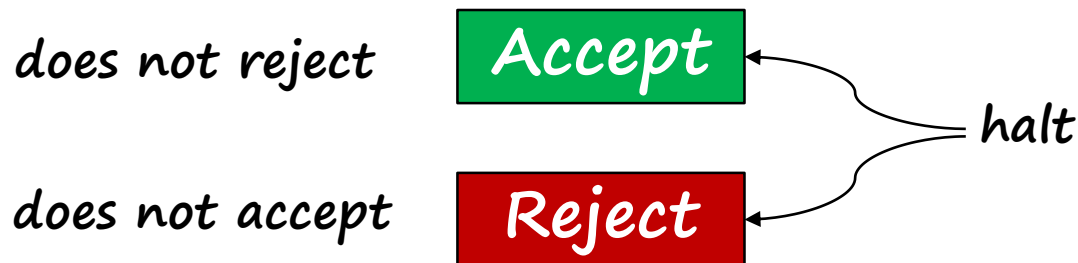
- We've known that for a certain language L , if there exists a TM M such that $L = L(M)$, then we call L is a **recursively enumerable language(递归可枚举语言)**, or **RE**.
- So for any RE L , a TM M that $L(M) = L$, and any string w
 - If $w \in L$, M accepts w in finite steps.
 - If $w \notin L$, M **does not accept** w , it means M rejects w or **loops forever**
- We also call the TM M a **recognizer** for the language L , and L is **Turing-recognizable(图灵可识别的)**.

More Details of RE

- In other words, as a recognizer, M will tell you correct on every correct input, but M is not necessary to tell you wrong on every wrong input.
 - You can not determine the input is correct or wrong until M halts.
- But a problem is solvable(computable) if the computation process finishes in finite steps.

Decider

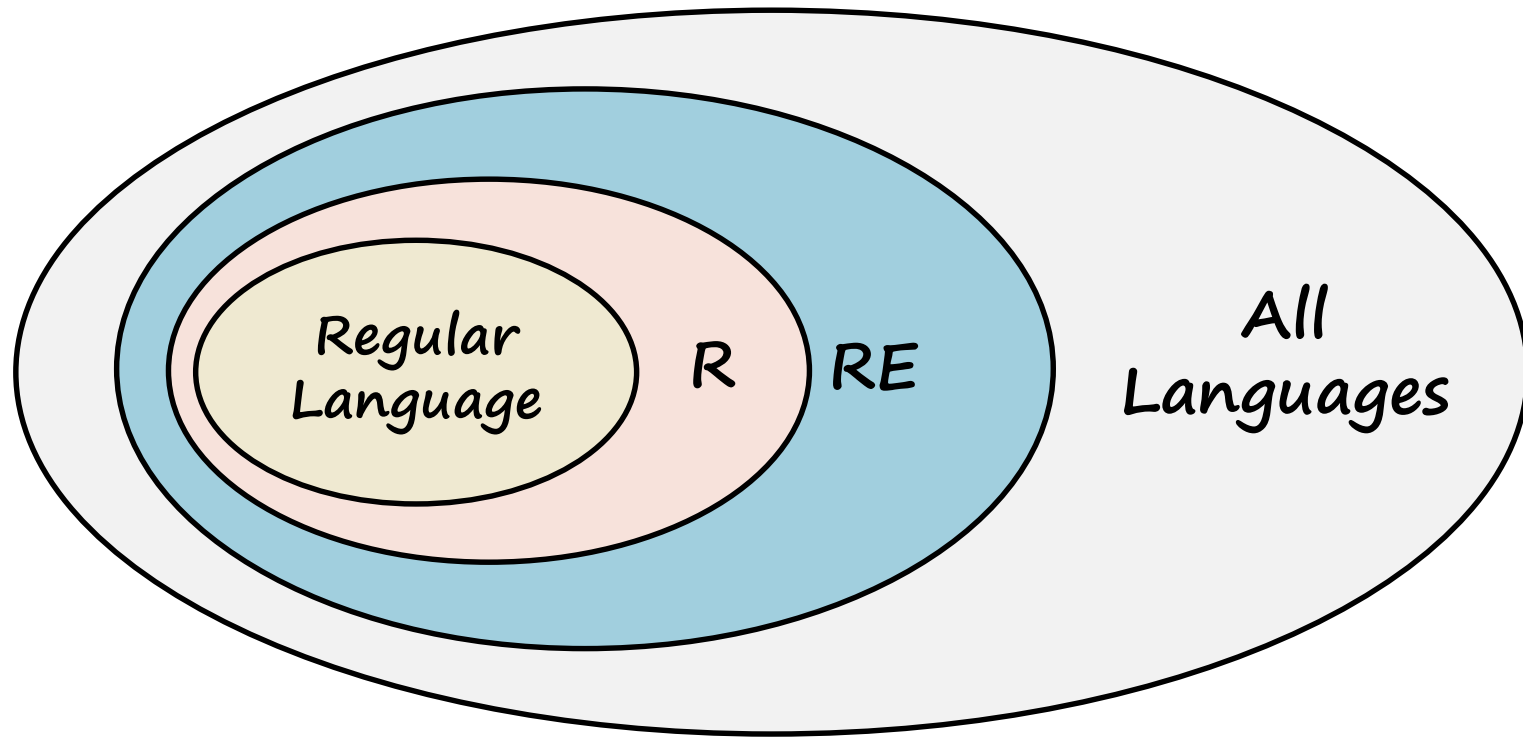
- Some Turing machines always halt; they never go into an infinite loop.
- If M is a TM and M halts on every possible input, then we say that M is a decider.
- For deciders, accepting is the same as not rejecting and rejecting is the same as not accepting.



Recursive Language

- A language L is **recursive(递归的)** if there's a TM M such that:
 - If $w \in L$, M accepts w in finite steps.
 - If $w \notin L$, M rejects w in finite steps.
- We also call the TM M a **decider** for the language L , and L is **Turing-decidable(图灵可判定的)**.
- Decidable problems, in some sense, are that can definitely be “solved” by a computer.
- Recursive Language is a subset of RE language

Language Hierarchy

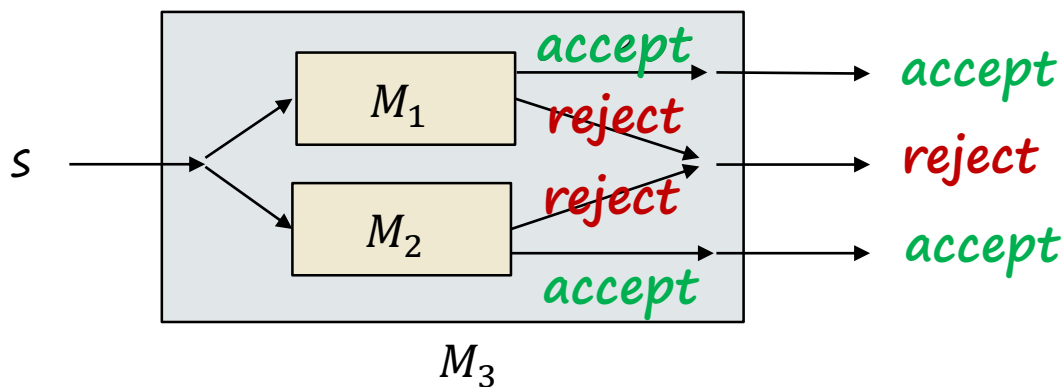


Properties of R & RE

- Union/Intersection of two **RE** language is **RE**.
- Union/Intersection of two **R** language is **R**.

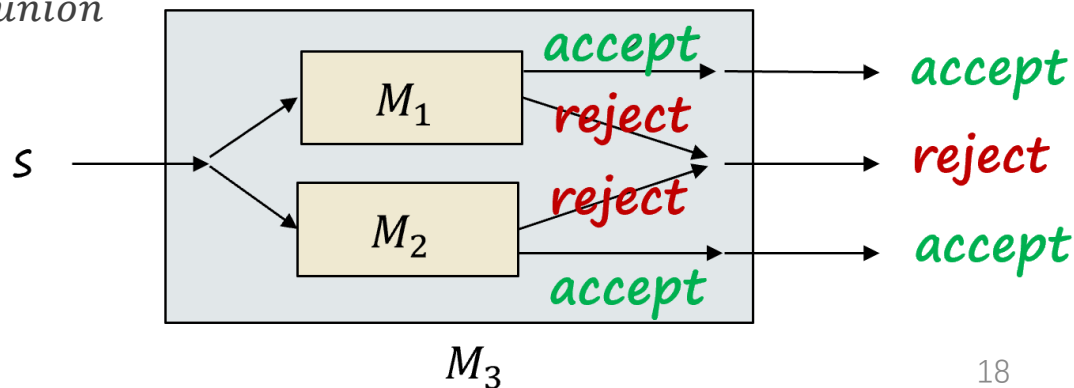
Union of Two RE

- Let L_1, L_2 be two RE language, $L_{union} = L_1 \cup L_2$
- According to definition, there are two TMs M_1, M_2 accept L_1, L_2
- We can design a new TM M_3 based on M_1 and M_2
- M_3 concurrently simulates M_1 and M_2 , if any one accepts, then M_3 accepts. (How to simulate this process?)



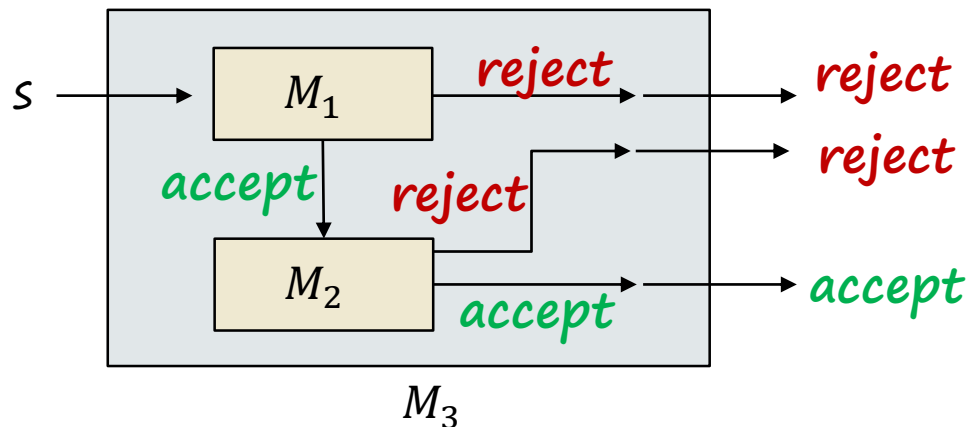
Union of Two RE

- If $s \in L_{union}$
 - $\Rightarrow s \in L_1 \vee s \in L_2$
 - $\Rightarrow M_1$ or M_2 accepts $s \Rightarrow M_3$ accepts s
- If $s \notin L_{union}$
 - $\Rightarrow s \notin L_1 \wedge s \notin L_2$
 - $\Rightarrow M_1$ and M_2 do not accept $s \Rightarrow M_3$ does not accept s
- M_3 is a TM recognizing L_{union}
- L_{union} is RE



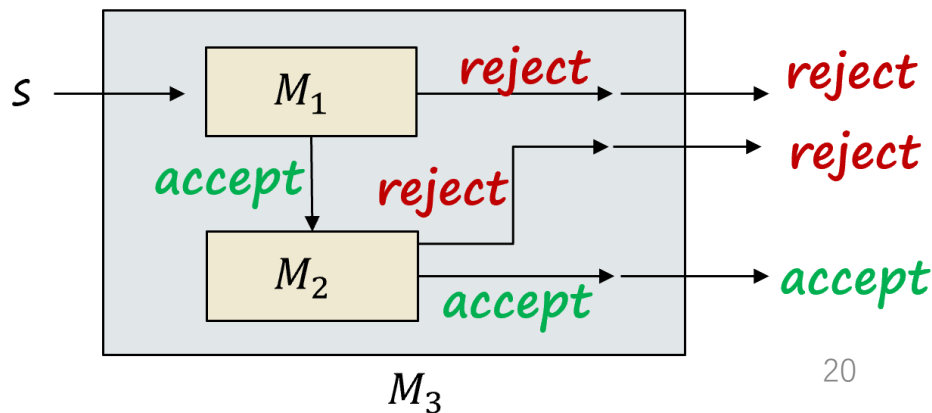
Intersection of Two RE

- Let L_1, L_2 be two RE language, $L_{intersection} = L_1 \cap L_2$
- According to definition, there are two TMs M_1, M_2 accept L_1, L_2
- We can design a new TM M_3 based on M_1 and M_2
- M_3 first simulates M_1 , if M_1 accepts then simulates M_2 . If M_2 still accepts, then M_3 accepts. (How to simulate this process?)



Intersection of Two RE

- If $s \in L_{\text{intersection}}$
 $\Rightarrow s \in L_1 \wedge s \in L_2$
 $\Rightarrow M_1 \text{ and } M_2 \text{ accepts } s \Rightarrow M_3 \text{ accepts } s$
- If $s \notin L_{\text{intersection}}$
 $\Rightarrow s \notin L_1 \vee s \notin L_2$
 $\Rightarrow M_1 \text{ or } M_2 \text{ does not accept } s \Rightarrow M_3 \text{ does not accept } s$
- M_3 is a TM recognizing $L_{\text{intersection}}$
- $L_{\text{intersection}}$ is RE



Properties of R & RE

- Union/Intersection of two **RE** language is **RE**.
- Union/Intersection of two **R** language is **R**.

It can be similarly proved.

Complements of Language

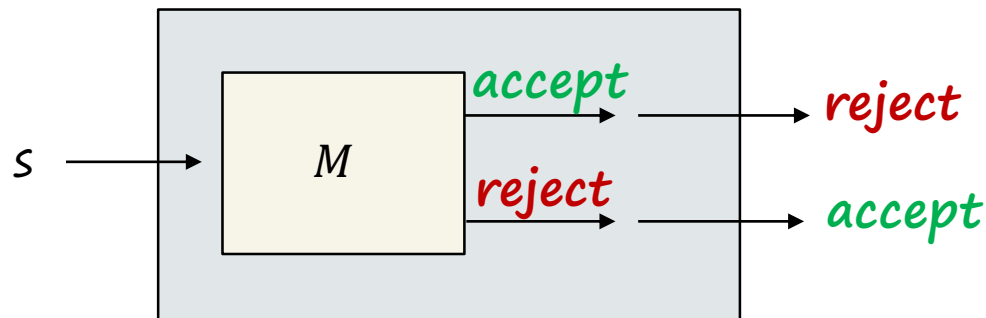
- A language L 's complement \bar{L} is the set containing all valid strings not in L
 - $\bar{L} = \Sigma^* - L$, recall that Σ^* is total available string set
- Properties:
 - If L is recursive, so is \bar{L}
 - If both L and \bar{L} is RE, then L is recursive

Complements of Language

If L is recursive, so is \bar{L} .

Proof:

- Let $L = L(M)$ for some TM M that always halts. We construct a TM \bar{M} such that $\bar{L} = L(\bar{M})$ by “flipping” the result of M .

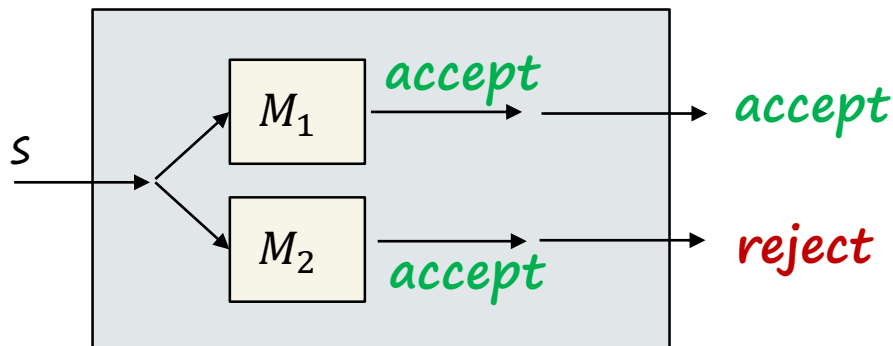


Complements of Language

If both L and \bar{L} is RE, then L is recursive

Proof:

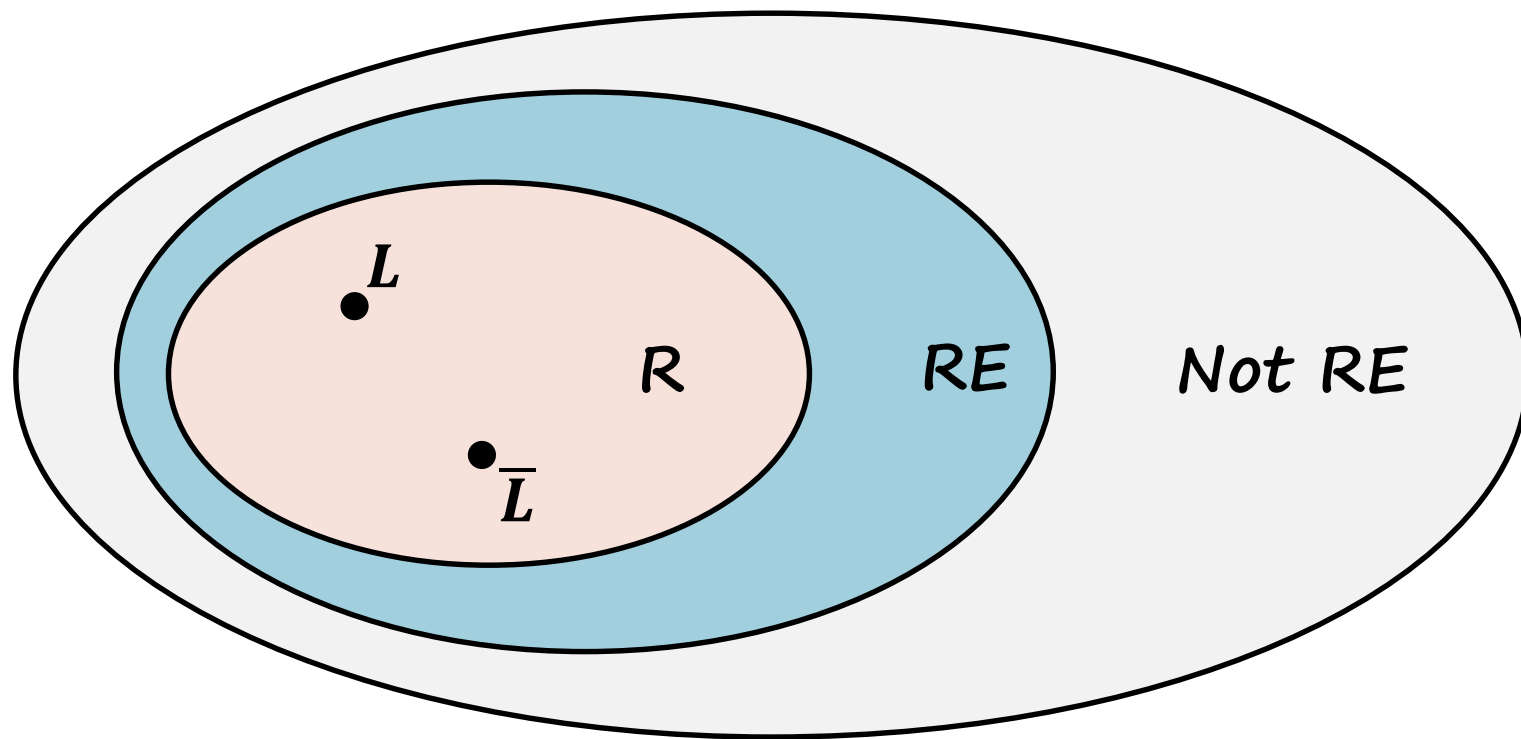
- Let $L = L(M_1)$ and $\bar{L} = L(M_2)$ for some TM M_1 and M_2 . We construct a TM M_3 that always halts such that $L = L(M_3)$ by combining M_1 and M_2



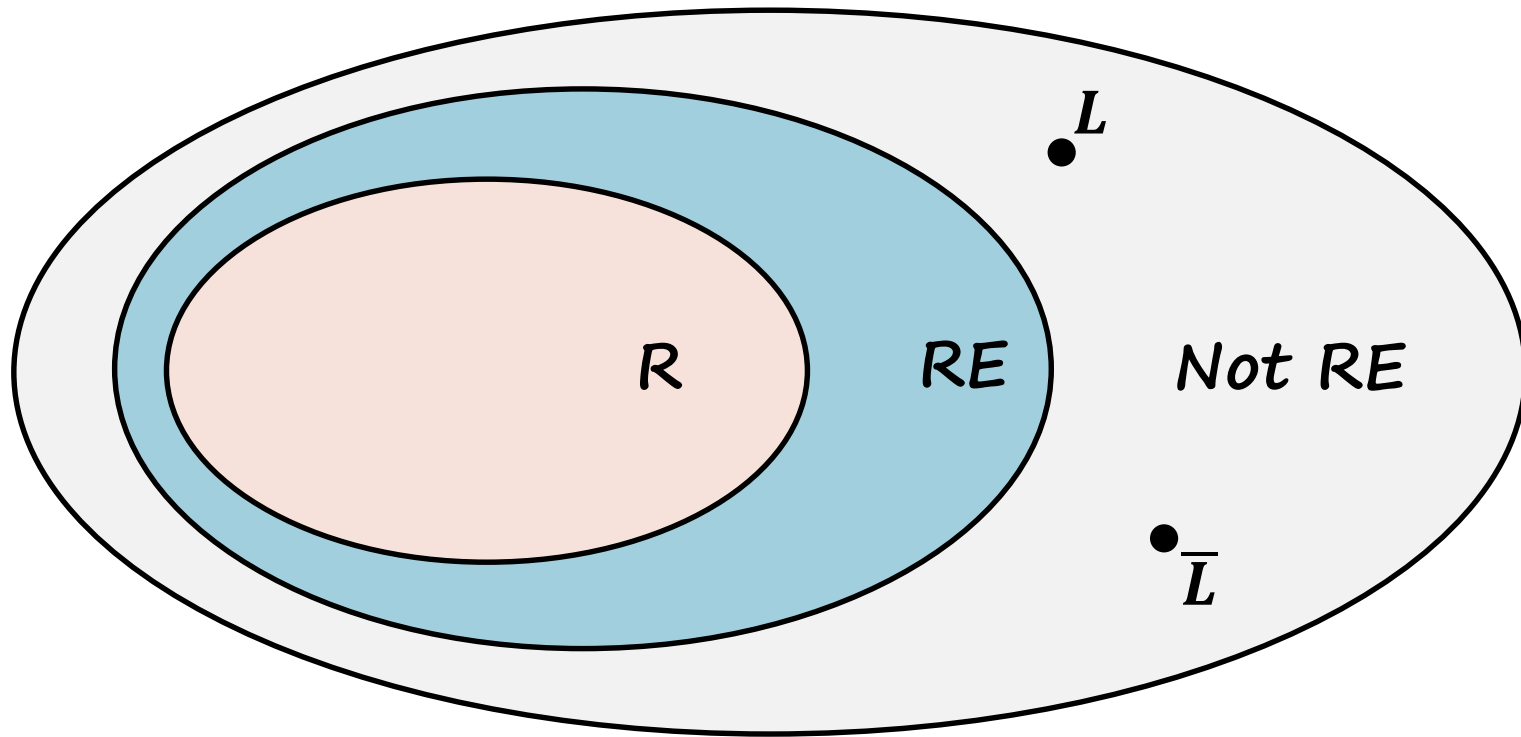
Complements of Language

- For any language L , L can be one of three kinds types: **R**, **RE** but not **R** (If any), not **RE**.
- But there are only four possible types combination of L and \bar{L}
 - Both L and \bar{L} are **R**
 - Both L and \bar{L} are not **RE**
 - One of L and \bar{L} is **RE**, the other one is not **RE**

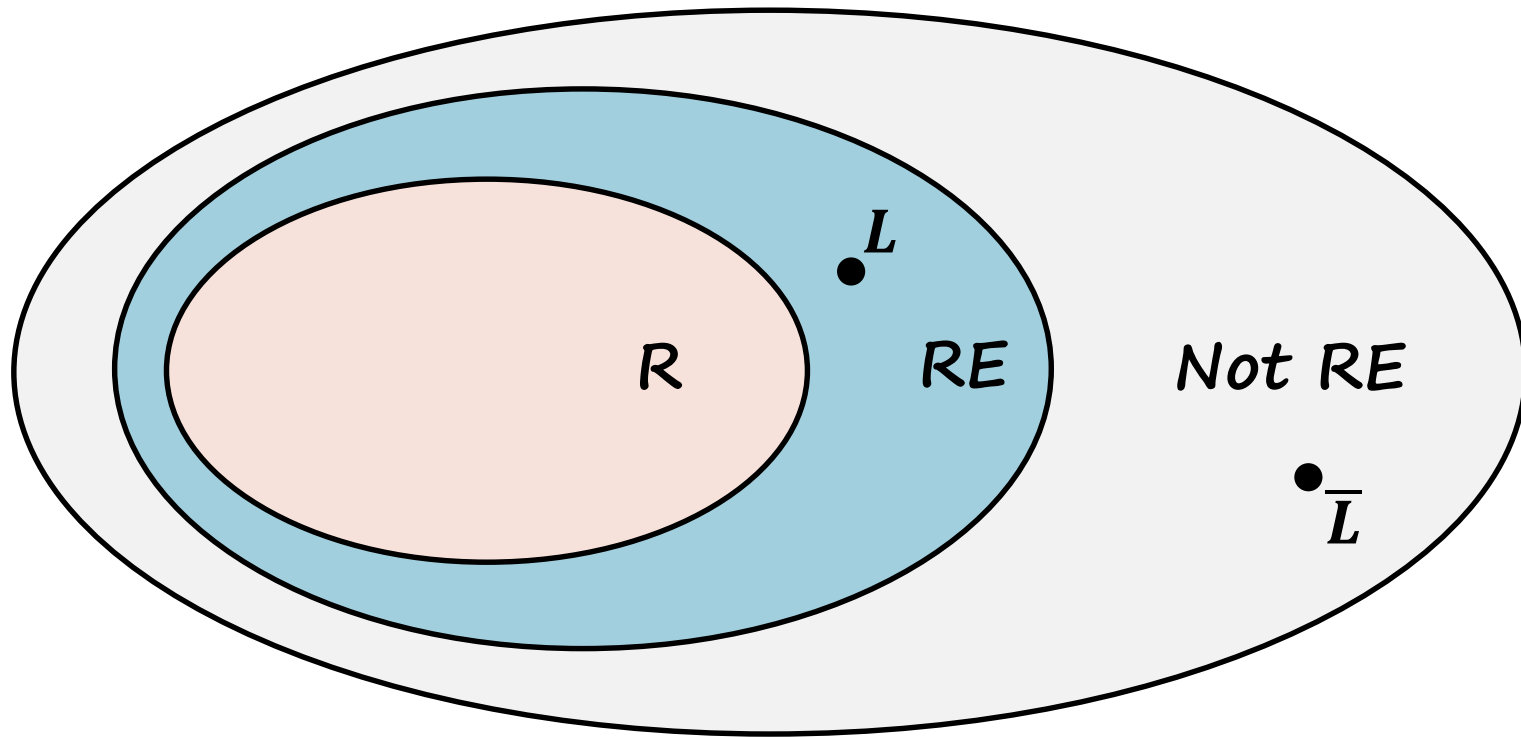
Both in Recursive



Both in Not RE



Both in Not RE

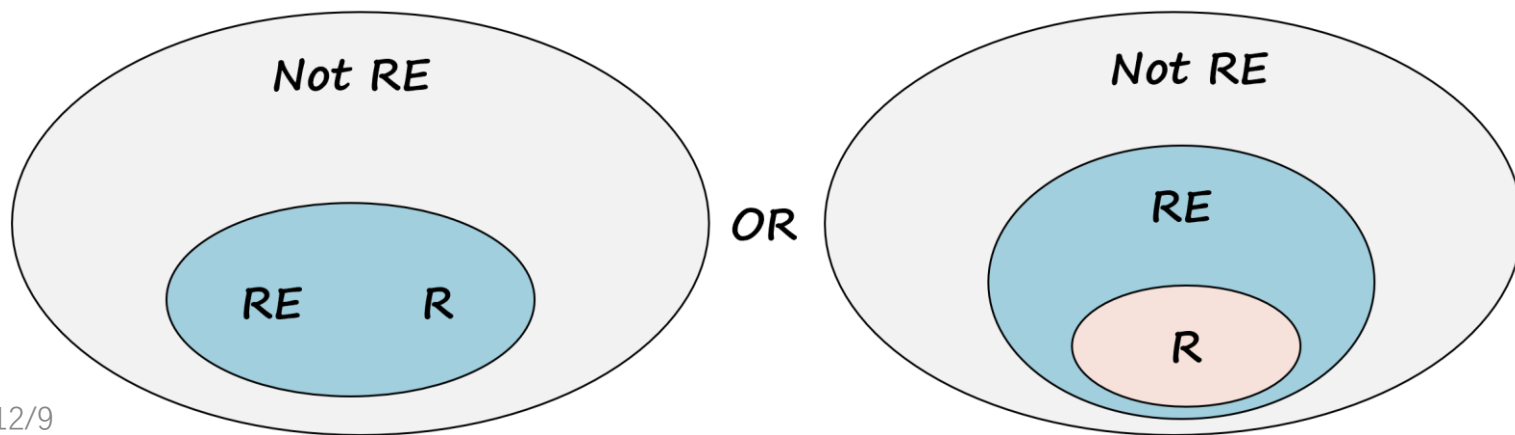


R & RE

- We've know $R \subseteq RE$, but more important question is that:

$$R = RE?$$

- That is, if you can just confirm “yes” answers to a problem, can you necessarily solve that problem?



Universal Turing Machine

通用图灵机

An Observation

- When we've been discussing Turing machines, we've talked about designing specific TMs to solve specific problems.
 - TM for $0^n 1^n$
- Does this match your real-world experiences? Do you have one computing device for each task you need to perform?
- Or can we make a “**reprogrammable** Turing machine?”

A TM Simulator

- We've known it is possible to program a TM simulator on an unbounded-memory computer.

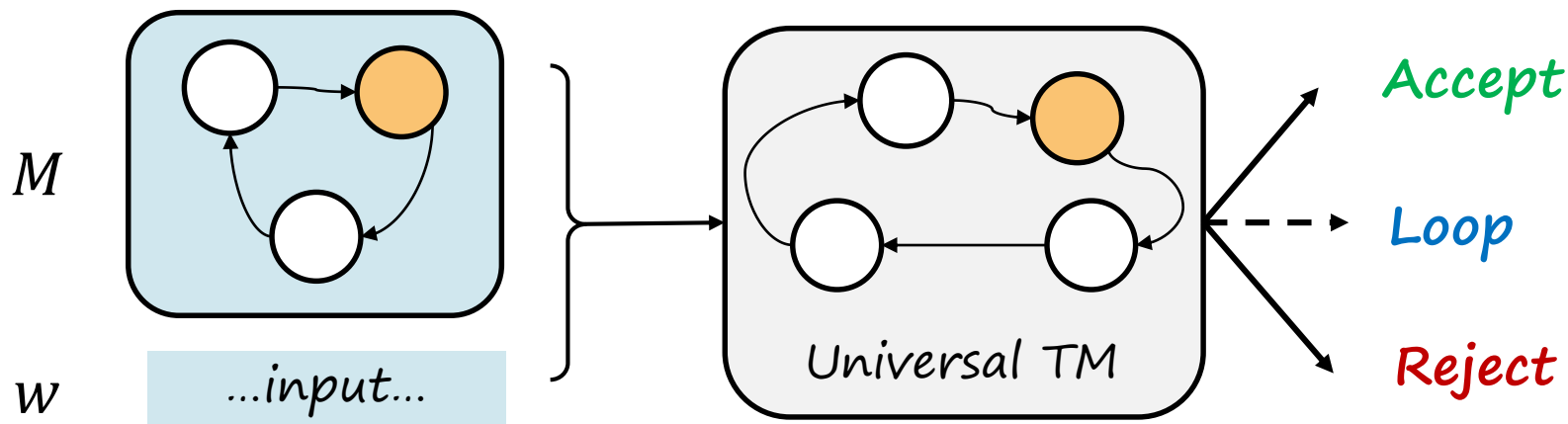
- We could imagine it as a method

boolean simulateTM(TM M, string w)

- with the following behavior:
 - If M accepts w , then $\text{simulateTM}(M, w)$ returns true.
 - If M rejects w , then $\text{simulateTM}(M, w)$ returns false.
 - If M loops on w , then $\text{simulateTM}(M, w)$ loops infinitely.

A TM Simulator

- It is also known that anything that can be done with an unbounded-memory computer can be done with a TM
- This means that there must be some TM that has the behavior of this *simulateTM* method.



The Universal Turing Machine

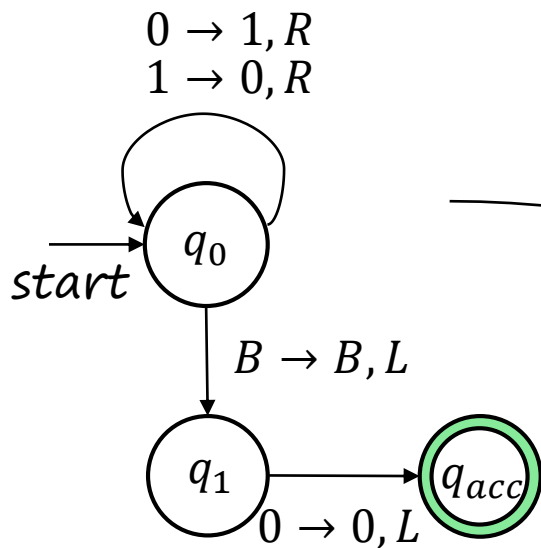
- **Theorem (Turing, 1936):** There is a Turing machine U_{TM} called **the Universal Turing Machine** that, when run on an input of the form $\langle M, w \rangle$, where M is a Turing machine and w is a string, simulates M running on w and **does whatever** M does on w (accepts, rejects, or loops).
- U_{TM} behaves as follows:
 - If M accepts w , then U_{TM} accepts $\langle M, w \rangle$
 - If M rejects w , then U_{TM} rejects $\langle M, w \rangle$
 - If M loops on w , then U_{TM} loops on $\langle M, w \rangle$

Encoding Input with binary

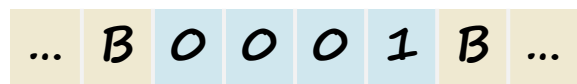
- Input string may contains any possible character in the input alphabet of M
- But we know everything on your computer is a string over $\{0, 1\}$
- We can let the input alphabet to be $\{0, 1\}$
- It not necessary to limit the alphabet as $\{0, 1\}$, but only for simplicity.

The Universal Turing Machine

Machine M



TM as Input?



Encoding TM

- In order to take a Turing Machine as an input, we need to encoding the TM. Similarly, we shall encode TM with binary.
- We first assign integers to the states, tape symbols and directions
 - We assume the states are q_1, q_2, \dots, q_k for some k . q_1 is the **input state** and q_2 is the **only accept state**. (Is it right?)
 - The tape symbols are X_1, X_2, \dots, X_m for some m . X_1, X_2, X_3 are **0, 1, B** respectively.
 - Refer to direction **L as D_1** , **R as D_2**

Encoding TM

- After assigning each state, symbol and direction an integer, we can encode the transition function δ .
- Suppose one transition rule is $\delta(q_i, X_j) = (q_k, X_l, D_m)$, we shall code this rule by the string $0^i 10^j 10^k 10^l 10^m$.
 - Notice i, j, k, l, m are at least one, so there're no occurrences of two or more consecutive 1's with in the code for a transition
- So let C_i donate the code for the i th transition rule, we can encode the whole TM as:
 - $C_1 11C_2 11C_3 11 \dots 11C_n$

Example of Code for TM

- Let a TM: $M = (\{q_1, q_2, q_3\}, \{0,1\}, \{0,1, B\}, \{\delta\}, q_1, B, q_2)$
 - $X_1 = 0, X_2 = 1, X_3 = B, D_1 = L, D_2 = R$
- Transition Function δ :
 - $\delta(q_1, 1) = (q_3, 0, R)$
 - $\delta(q_3, 0) = (q_1, 1, R)$
 - $\delta(q_3, 1) = (q_2, 0, R)$
 - $\delta(q_3, B) = (q_3, 1, L)$

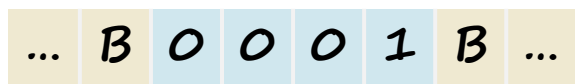
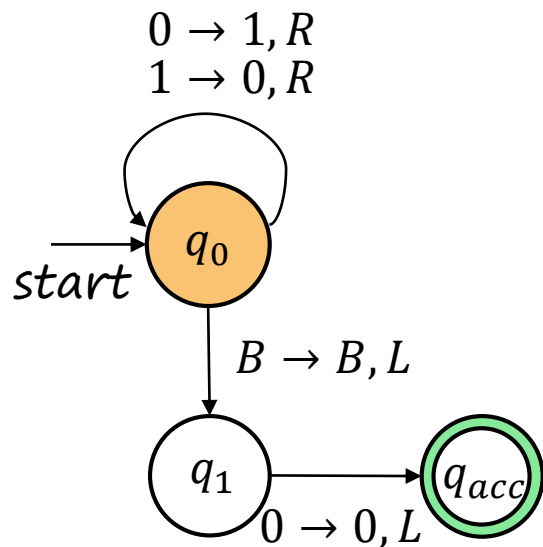
Example of Code for TM

- Let a TM: $M = (\{q_1, q_2, q_3\}, \{0,1\}, \{0,1, B\}, \{\delta\}, q_1, B, q_2)$
 - $X_1 = 0, X_2 = 1, X_3 = B, D_1 = L, D_2 = R$
- Transition Function δ :
 - $\delta(q_1, 1) = (q_3, 0, R) \Rightarrow \delta(q_1, X_2) = (q_3, X_1, D_2) \Rightarrow 0100100010100$
 - $\delta(q_3, 0) = (q_1, 1, R) \Rightarrow \delta(q_3, X_1) = (q_1, X_2, D_2) \Rightarrow 0001010100100$
 - $\delta(q_3, 1) = (q_2, 0, R) \Rightarrow \delta(q_3, X_2) = (q_2, X_1, D_2) \Rightarrow 00010010010100$
 - $\delta(q_3, B) = (q_3, 1, L) \Rightarrow \delta(q_3, X_3) = (q_3, X_2, D_1) \Rightarrow 0001000100010010$
- Code for this TM:

01001000101001100010101001001100010010010100110001000100010010

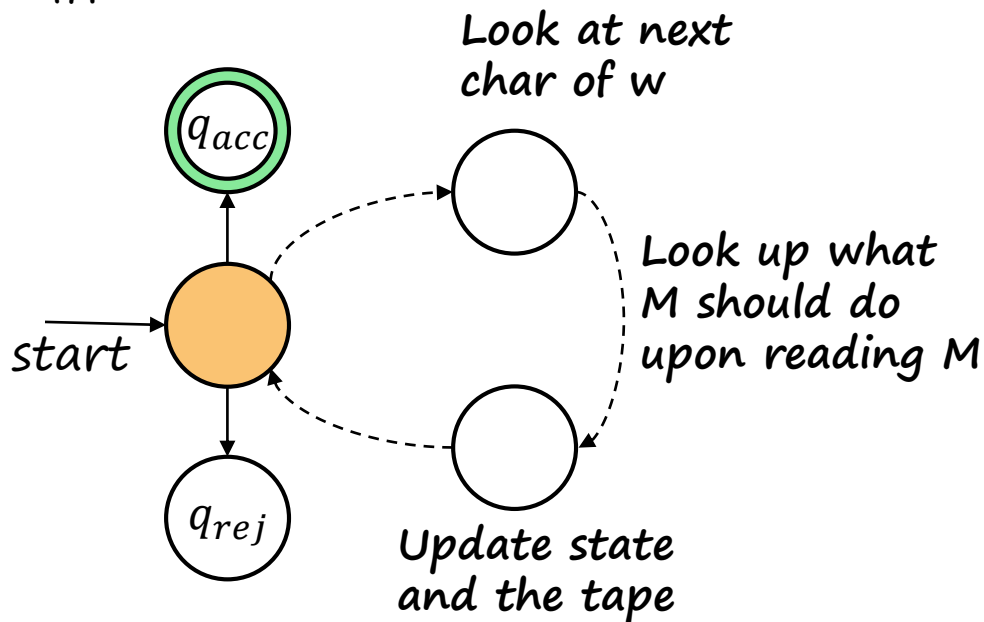
The Universal Turing Machine

Machine M



2019/12/9

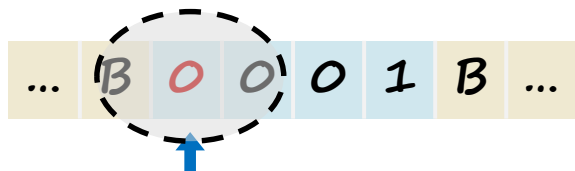
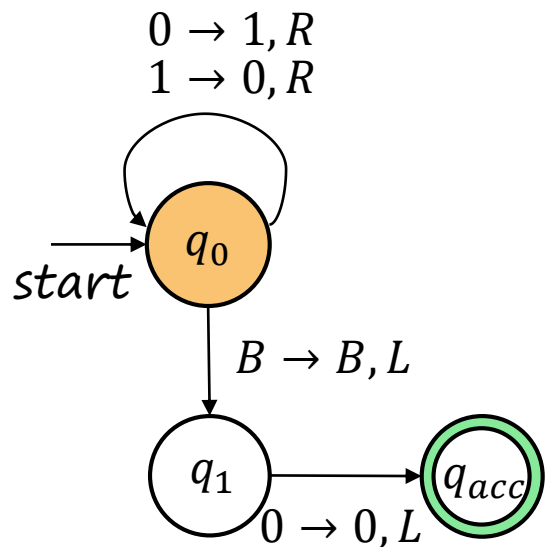
U_{TM}



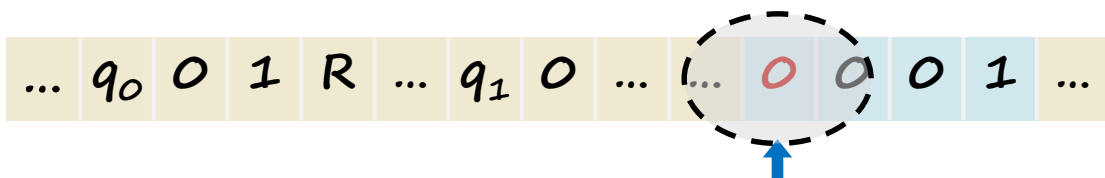
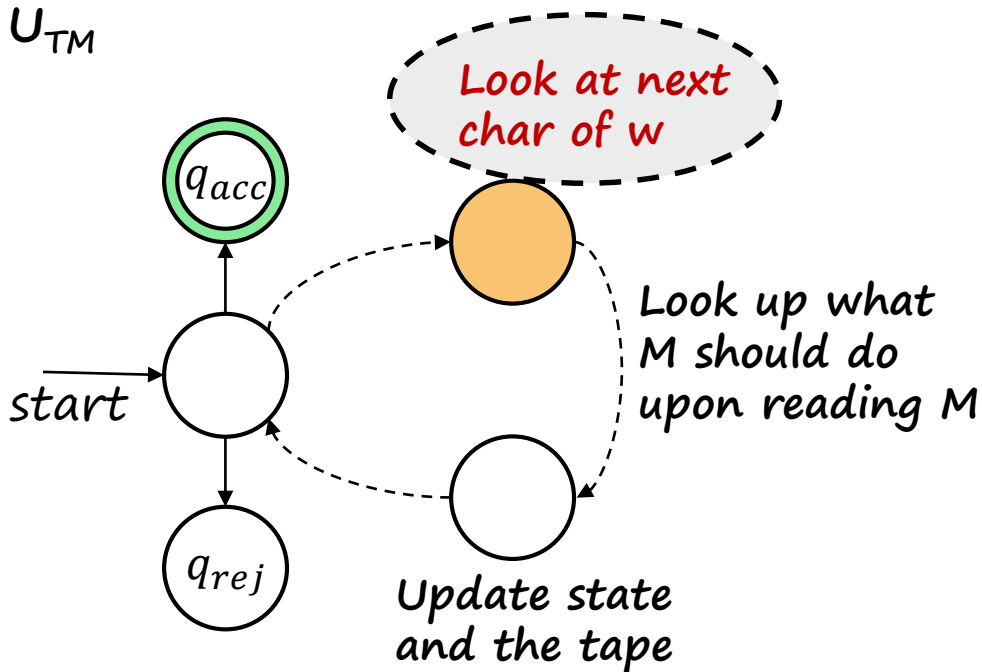
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The Universal Turing Machine

Machine M

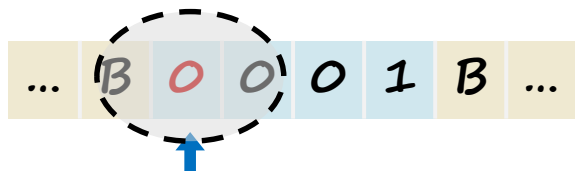
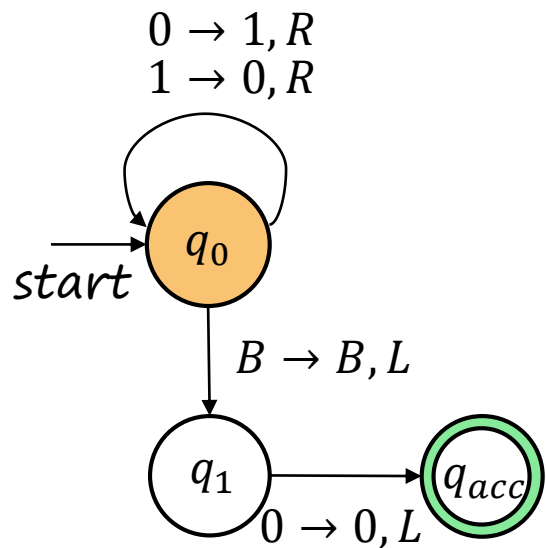


U_{TM}

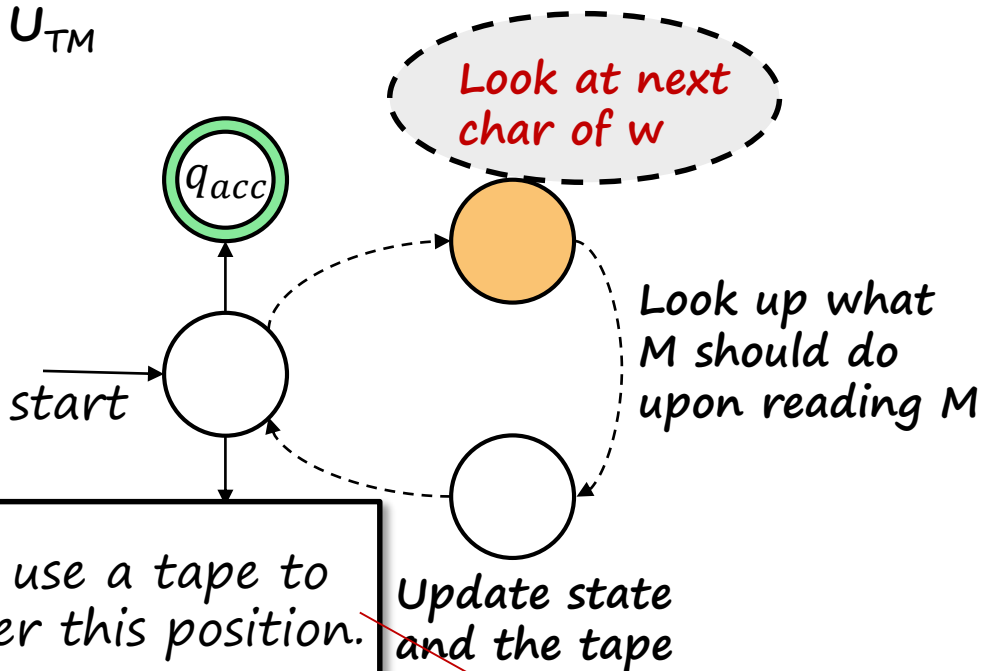


The Universal Turing Machine

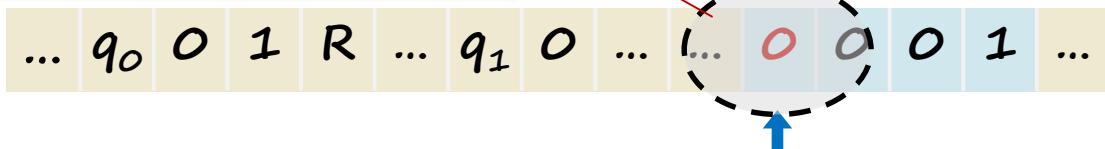
Machine M



U_{TM}

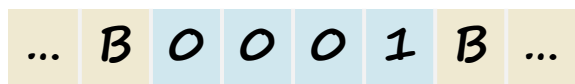
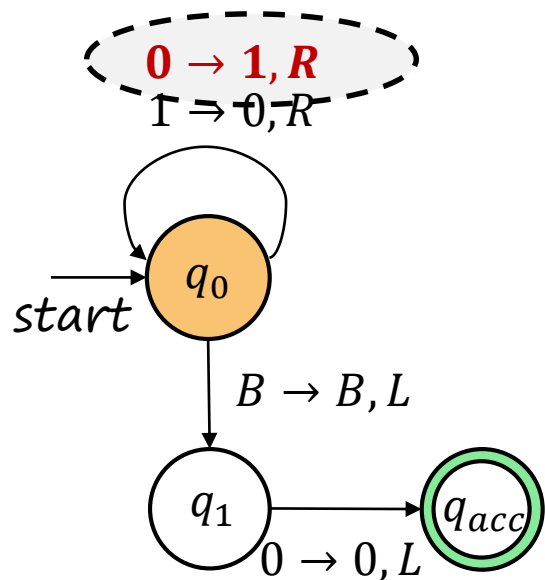


We can use a tape to remember this position.

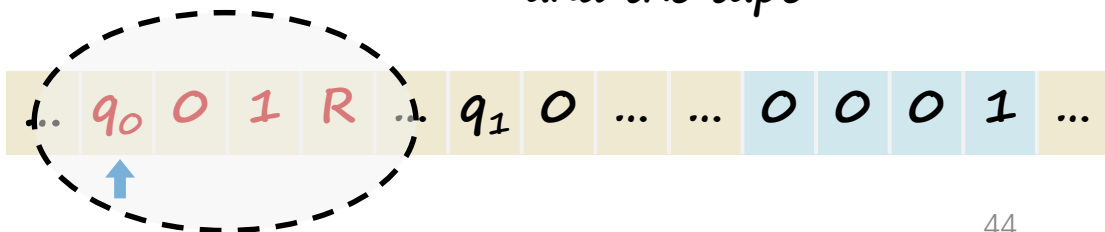
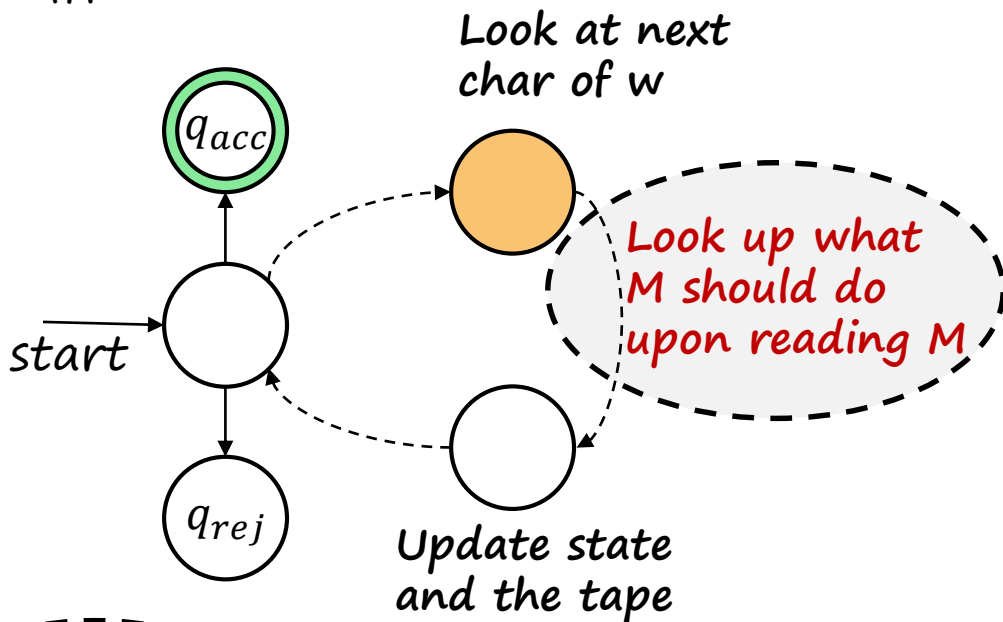


The Universal Turing Machine

Machine M

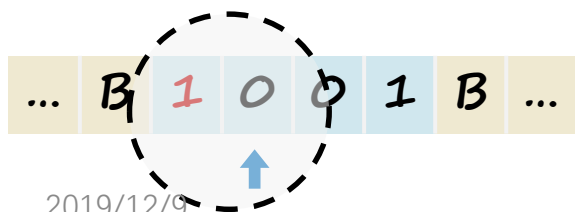
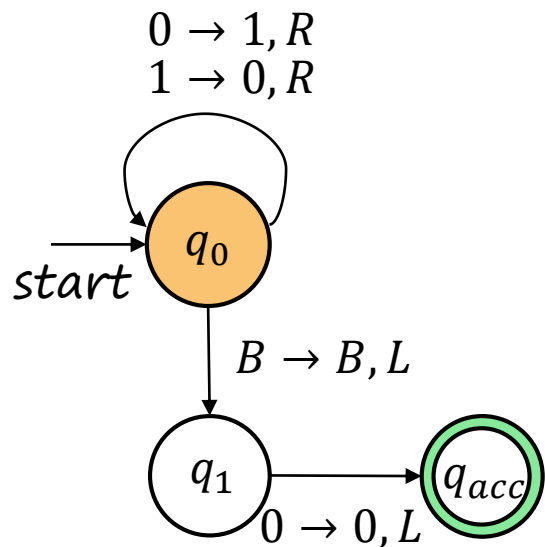


U_{TM}

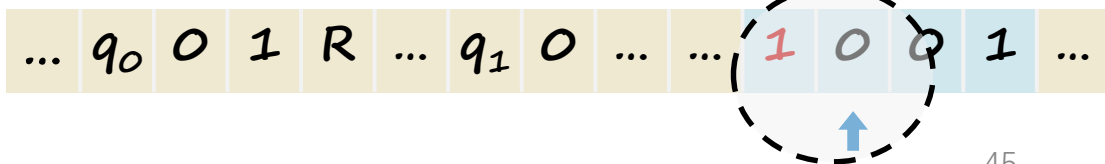
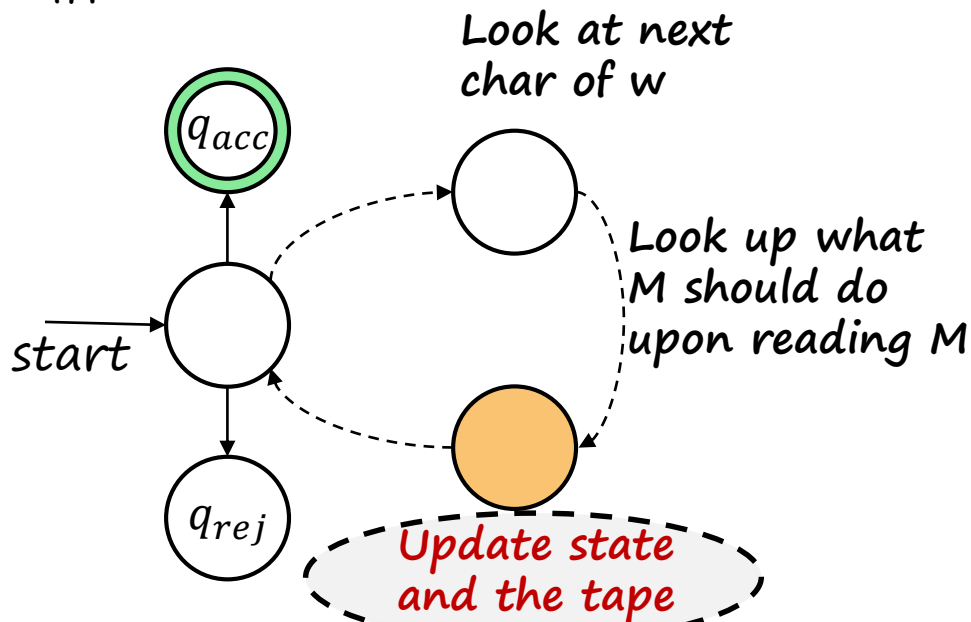


The Universal Turing Machine

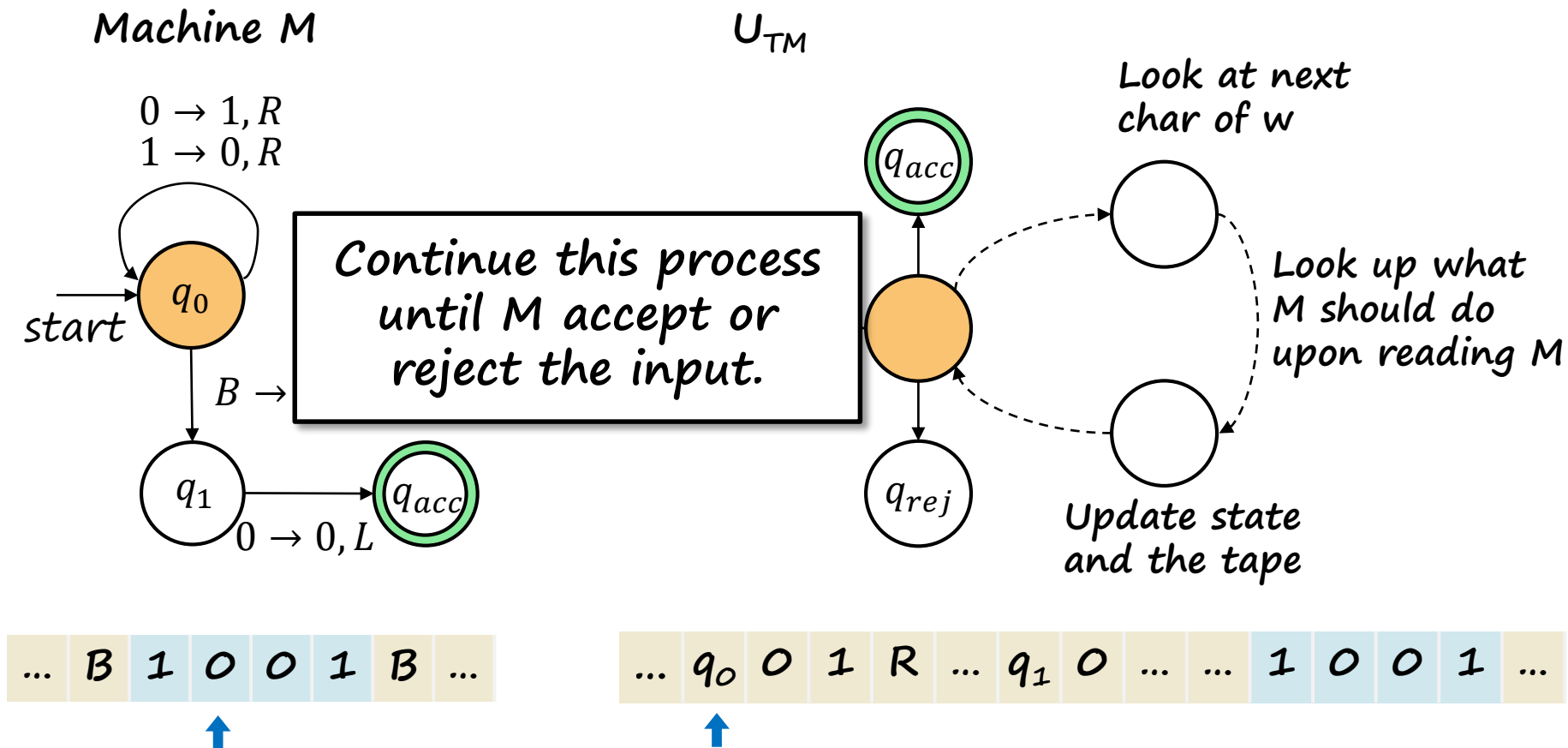
Machine M



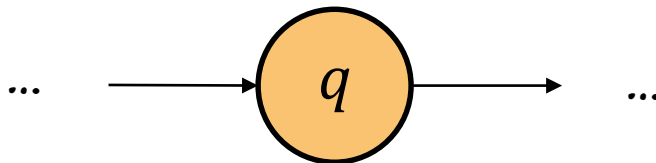
U_{TM}



The Universal Turing Machine



The Universal Turing Machine



Input

$M w$

Tape
of M

10001...

State
of M

1001

Scratch

The Language of U_{TM}

- Recall that the language of a TM is the set of all strings that TM accepts.
- U_{TM} when run on a string $\langle M, w \rangle$, where M is a TM and w is a string, will
 - Accept $\langle M, w \rangle$ if M accepts w
 - Reject $\langle M, w \rangle$ if M rejects w
 - Loop on $\langle M, w \rangle$ if M loops on w .

The Language of U_{TM}

- The **universal language**, denoted L_u , is the language of the U_{TM}

$$\begin{aligned} L_u &= L(U_{TM}) = \{\langle M, w \rangle \mid M \text{ is a TM and } M \text{ accepts } w\} \\ &= \{\langle M, w \rangle \mid M \text{ is a TM and } w \in L(M)\} \end{aligned}$$

- Useful fact:

$$\langle M, w \rangle \in L_u \Leftrightarrow M \text{ accepts } w$$

- Because $L_u = L(U_{TM})$, we know that $L_u \in RE$

The Language of U_{TM}

- If M accepts w , then we have:
 - U_{TM} accepts $\langle M, w \rangle$
 - U_{TM} accepts $\langle U_{TM}, \langle M, w \rangle \rangle$
 - U_{TM} accepts $\langle U_{TM}, \langle U_{TM}, \langle M, w \rangle \rangle \rangle$
 -



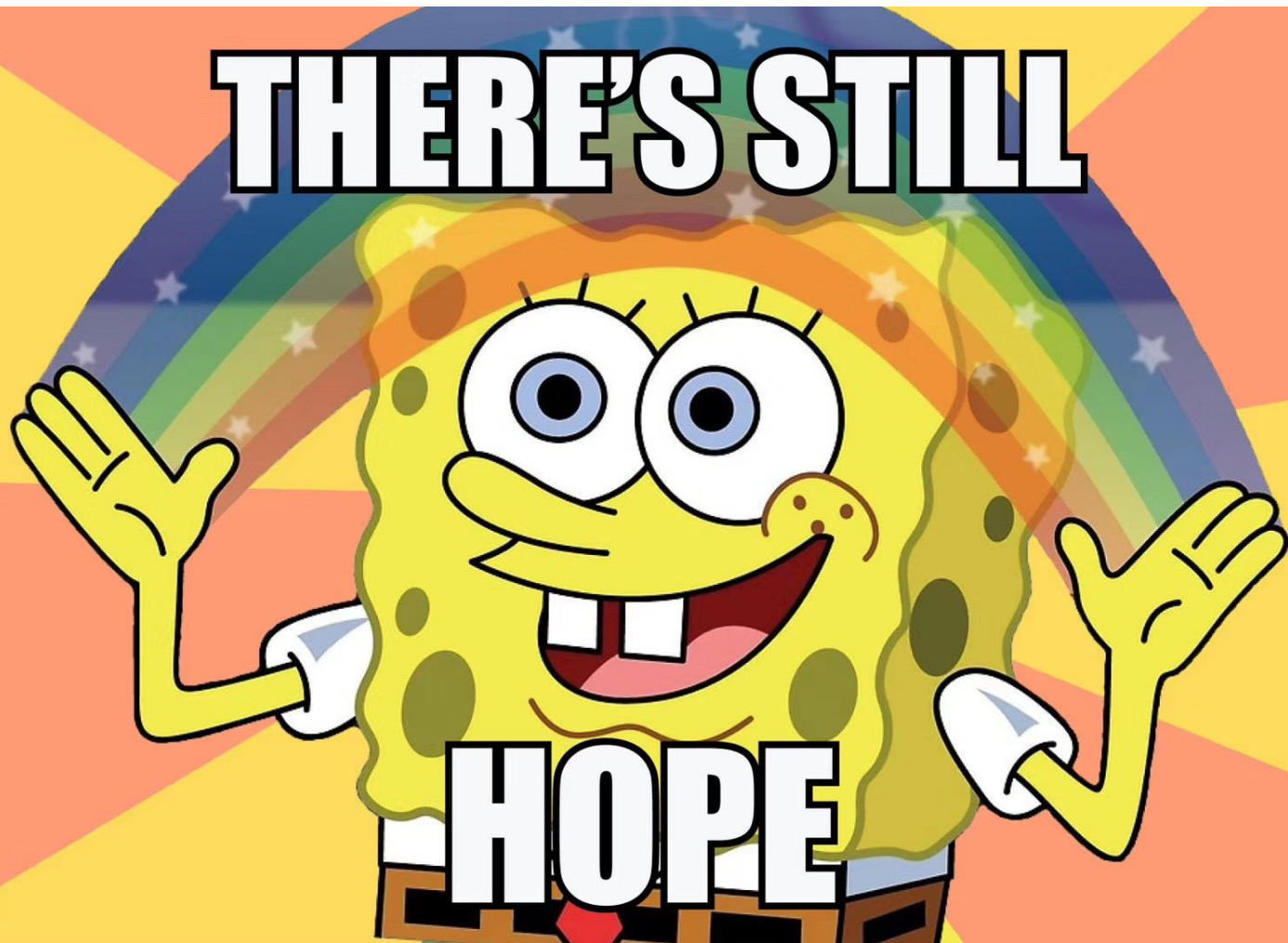
Next

Self-Reference

- Turing machines that compute on themselves!

Undecidable Problems

- Problems truly beyond the limits of algorithmic problem-solving!



► 测试范围

两部分：

- 形式化验证 (70%)
- 图灵机 (30%)

测试范围

形式化验证部分：70%

- 命题逻辑：程序转化，DPLL算法
- 谓词逻辑：程序转化，lazy SMT techniques, EUF solver, Nelson-Oppen method, trigger matching
- 霍尔逻辑：公理和推导规则，循环不变量，最弱前置条件

不考的部分：

Switch variable, GSAT, eager SMT techniques, 对lazy SMT techniques的优化(incremental T-solver, theory propagation), e-matching, 公理系统, 最强后置条件

测试范围

图灵机部分：30%

- 图灵机基础：DFA；图灵机定义、表示、计算；设计图灵机解决某一问题。RE&R的定义，性质（交并补）
- 不可判定性：不可判定问题（通用图灵机语言、停机问题）；了解构造证明和规约。

► 期末考试范围

课程组统一出卷阅卷

- 命题范围: 逻辑1,2,4,5章
- 集合论9,10,11章